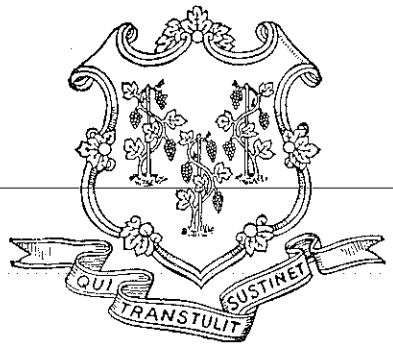
CONNECTICUT STATE BUDGET 1997-99



A SUMMARY OF REVENUE
APPROPRIATIONS AND BONDS AUTHORIZED
BY THE GENERAL ASSEMBLY
SEPTEMBER 1997

OFFICE OF FISCAL ANALYSIS
CONNECTICUT GENERAL ASSEMBLY

OFA STAFF

Robert D. Harris, Jr., Acting Director

Finance Section
(Revenue, Bonding and Investments)

Daniel Schnobrich, Section Chief
Felix Planas, Associate Economic Analyst
Linda Miller, Principal Economic Analyst
Rob Wysock, Associate Economic Analyst

Appropriations Section
(Budget and Program Analysis)

Analysis Unit I: Geary Maher, Section Chief

Analyst	Major Responsibility
Sandra Pereira, Principal Budget Analyst	Transportation, Motor Vehicles
Michael Wambolt, Principal Budget Analyst	Administrative Services, Public Safety
Elyse Gittleman, Principal Budget Analyst	Conservation and Economic Development
Alan Calandro, Principal Budget Analyst	Judicial
Marvin Lyon, Jr., Associate Budget Analyst	Higher Education, Corrections
Alan Shepard, Principal Budget Analyst	Elementary and Higher Education
Christina Gellman, Principal Budget Analyst	Retirement Systems, OPM, Miscellaneous Accounts

Analysis Unit II: L. Spencer Cain, Section Chief

Joan Soulsby, Principal Budget Analyst	Health and Human Services/-DPH, DCF Federal Funds Coordinator
Neil Ayers, Budget Analyst II	Health and Human Services-DMHAS, DSS
Christine Ashburn, Budget Analyst II	Health and Human Services- DMR
Kerry Kelley, Budget Analyst	Health and Human Services
Tom Nestico, Associate Budget Analyst	Legislative, Regulation and Protection
Gloria Davis-Delancy, Associate Budget Analyst	Town Grant Analysis, Housing, Consumer Protection, Secretary of the State

Budget System/Collective Bargaining

Rochelle Hyman, Principal Budget Analyst	Budget System Coordinator
Laurie Gallacher, Budget Analyst II	Budget System, Collective Bargaining

Laurie A. Lasrich, Secretary to the Director
Lisa Kiro, Sr. Administrative Assistant/Fiscal Note Coordinator
Martena Campanelli, Sr. Legislative Secretary
Czeslava Ferrigno, Sr. Legislative Secretary
Theresa Kelly, Sr. Legislative Secretary

Legislative Office Building
Room 5200
Hartford, CT 06106
(860) 240-0200

1997 BUDGET LEGISLATION
(Amounts in Millions)

REVENUE RELATED ACTS

		Estimated Revenue FY 98	Estimated Revenue FY 99
PA 97-309 (sHB 6955)	AA Providing for Reductions in the Motor Vehicle Fuels Tax and the Personal Income Tax, a Credit Against the Personal Income Tax for Certain Property Taxes and the Collection of Various Municipal and State Taxes	$(85.9) GF (23.2) TF	$(112.9) GF (42.5) TF
PA 97-322 (HB 7069, E-Cert.)	AAC The State Budget and Tax Reductions for the Biennium Ending June 30, 1999	-	(34.0) GF

ACTS APPROPRIATING FUNDS IN 1996-97

		Appropriation
SA 97-8 (sHB 6698)	AA Making Deficiency Appropriations for the Fiscal Year Ending June 30, 1997	$137.1 GF
PA 97-11-JSS2 (SB 3004, E-Cert.)	AAC Appropriations for the Fiscal Years Ending June 30, 1997	118.8 GF 5.2 TF

ACTS APPROPRIATING FUNDS FOR 1997-99

		Appropriation FY 98	Appropriation FY 99
SA 97-21 (sHB 6702)	AAC The State Budget for the Biennium Ending June 30, 1999, and Making Appropriations Therefor	$9,337.2 GF 829.8 TF	$9,529.9 GF 828.8 TF
PA 97-322 (HB 7069, E-Cert.)	AAC The State Budget and Tax Reductions for the Biennium Ending June 30, 1999	-	(34.0) GF
PA 97-11-JSS2 (SB 3004, E-Cert.)	AAC Appropriations for the Fiscal Years Ending June 30, 1997	5.0 GF	

(continued on inside back cover)

PA 97-1-JSS1 (HB 7502 E-Cert)	AA Increasing Certain Bond Authorizations for Capital Improvements	163.3	115.2
PA 97-2-JSS1 (HB 7503, E-Cert)	AAC the Authorization of Special Tax Obligation Bonds of the State for Certain Transportation Purposes	144.8	186.5
SA 97-5 (sSB 1050)	AAC the Authorization of Bonds of the State for the University of Connecticut	9.4	
PA 97-259 (sHB 5461)	AAC School Readiness and Child Day Care	0.8	
PA 97-265 (sHB 6707)	AAC Authorization of State Grant Commitments for School Building Projects and Clarification and Separation of the Grant and Bond Program for School Building Projects and the Grant and Bond Program for Interest Payment Subsidies	176.8	189.8
PA 95-230	AA to Enhance the Infrastructure of the University of Connecticut	93.1	64.3

* GF = General Fund; TF = Transportation Fund

PREFACE

This publication is intended to serve as a reference source for legislators and administrative officials of the various state agencies in matters relating to the state budget. It includes all appropriations, bond authorizations, and revenue items which make up the budget for the 1997-99 biennium.

The first several pages of the book provide an overview of the state budget and summarize the major changes made by the General Assembly in 1997. Various tables are included which present compilations of significant budget data and spending limit calculations for each year of the biennium. Section I, concerning state revenues, provides revenue estimates for 1997-99, explains revenue measures enacted in 1997, and gives a brief description of all General and Transportation Fund revenue items including the base and rate of each tax item. Section II contains the individual state agency budget summaries, including appropriations, bond authorizations, and other resources available to the agencies from special non-appropriated funds and federal and private sources. An explanation of legislative intent concerning appropriated funds and a summary of significant legislation affecting an agency are also provided where appropriate. We have added program performance measures for each agency to enhance legislative oversight and executive accountability. A new Section III is included which presents a comprehensive summary of projects authorized in 1997 bonding legislation. While bonding also appears in other sections of the book, this is a more focused presentation of the new authorizations.

The appendix contains a copy of SA 97-21, the 1997-99 Budget Act. Unlike the 1997 law itself, the agency appropriations for each year of the biennium are displayed side by side for ease of use. The Comptroller's account codes have been added to the act for reference purposes, and any changes required by other subsequent legislation have been incorporated. In addition, the appendix provides a listing of all agencies' bond authorizations for 1997-98 and 1998-99 consolidated with those from previous years which have unallocated balances remaining. At the end of the appendix is a listing of major grant payments to individual towns.

It is also significant to note that the operating budget data presented for each agency in Section II is stored in a computerized budget information system, and various on-line inquiry programs are available to perform additional comparative analyses of the data in this book and previous books. Please contact the office for further information concerning this system.

PLEASE NOTE: THE TEXT OF FOOTNOTES USED IN THIS DOCUMENT WILL GENERALLY BE FOUND AT THE END OF SECTIONS OR AGENCY SUMMARIES RATHER THAN AT THE BOTTOM OF THE PAGE WHERE THE NOTATION OCCURS.

TABLE OF CONTENTS

PREFACE 1

OVERVIEW OF THE BUDGET
(Charts) 4

SUMMARY OF THE 1997-99 STATE BUDGETS

Introduction 19
Appropriations 24
Revenue and Taxation 35
Preliminary General Fund Revenue and Expenditure Projections for Fiscal Years 2000-2002 38
Preliminary Transportation Fund, Revenue and Expenditure Projections through Fiscal Year 2002 43
Bond Authorizations 45
1996-97 Deficiencies 46
Funding the Deficit 51
Spending Limit (Cap) 52
Summary Schedules of the State Budget
Statutory Spending Cap Calculations 53
Budget Growth Rates 54
State Budget by Fund 55
Summary of the 1997-99 Appropriations by Major Object Totals and by Fund 57
Summary of the 1997-99 Appropriations by Function of Government 59
Summary of Authorized Positions All Appropriations Funds, 1997-99 60
Summary of Federal Funds, 1997-99 62
Summary of State Agency Budgets, 1997-99 63
State Grants to Towns (Appropriated, Non-Appropriated and Bonded) 66

SECTION I - REVENUE

Schedule of General Fund Revenue 1997-99 73
Schedule of Revenue for Other Appropriated Funds, 1997-99 74
Tax and Other Revenue Changes Made by 1997 Legislation 78
Descriptions of General and Transportation Fund Taxes 84

SECTION II - AGENCY BUDGETS **111**

(Refer to agency index at end of book to locate a specific agency's budget)

SECTION III - CAPITAL BUDGET (BONDING) **649**

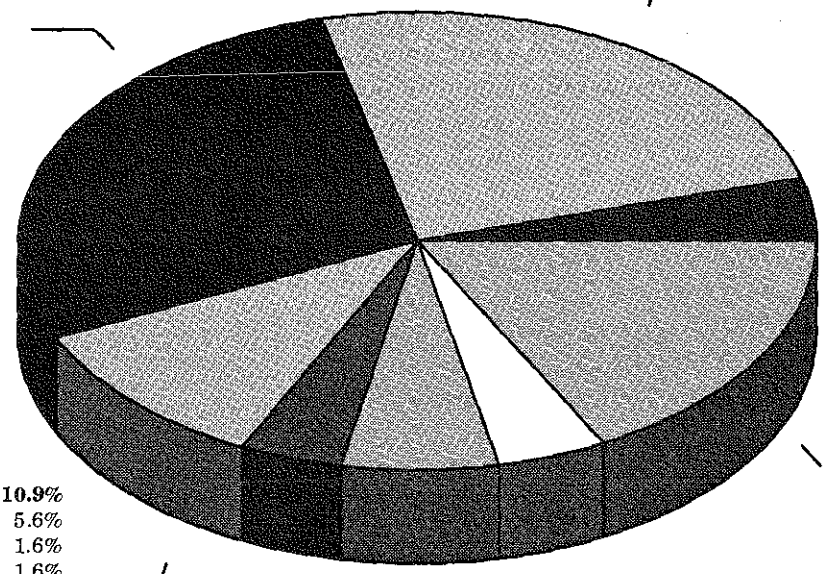
APPENDIX

The Budget Act for the 1997-99 Fiscal Years 705
Statutory Formula Grants to Towns 755

AGENCY INDEX **799**

REVENUE
(All Appropriated Funds)

FY 1998 $10,422.1 Million*



Sales and Use $2,694.5 24.2%

Personal Income $3,135.1 28.2%

Motor Fuels $521.2 4.7%

Federal Funds $1,886.5 17.0%

Business	**$1,207.7**	**10.9%**
Corporations	626.1	5.6%
Insurance	179.6	1.6%
Public Service	175.0	1.6%
Hospitals	151.8	1.4%
Petroleum	75.2	.7%

Gambling	**$494.4**	**4.4%**
Indian Gaming Payments	230.0	2.1%

Other Taxes	**$476.5**	**4.3%**
Inheritance	193.5	1.7%
Cigarettes	121.9	1.1%
Real Estate	72.1	.6%
Alcohol	39.4	.4%

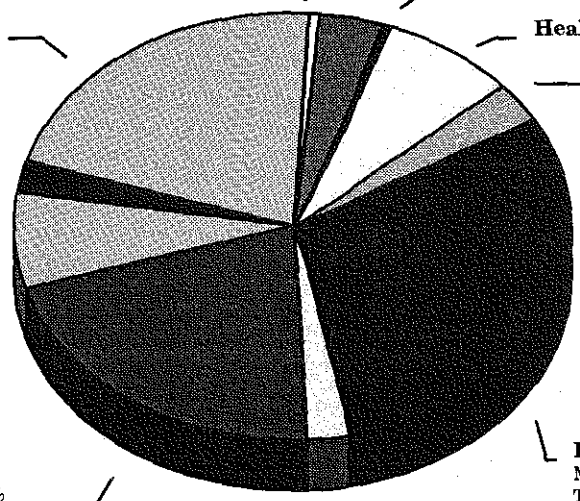
Other Revenue	**$890.4**	**7.9%**
Licenses, Permits Fees & Receipts	279.2	2.5%

* The amount shown is net revenue available to balance the budget. It reflects gross revenue of $11,306.3 million minus $884.2 million of various revenue adjustments comprised mainly of Refunds of Taxes. The amounts shown for each category in the chart represent gross revenue figures.

APPROPRIATIONS
(All Appropriated Funds)

FY 1998, $10,377.8 Million*

By Function of Government



Legislative $44.4 .4%

General Govt. $411.8 3.9%

Cons. & Devel. $65.2 0.6%

Health & Hosp. $828.3 7.8%

Transportation $298.1 2.8%

Non-Functional $2,235.3 21.1%
Debt Service 1,166.6 11.0%
Fringe Benefits 731.5 6.9%

Judicial $240.7 2.3%

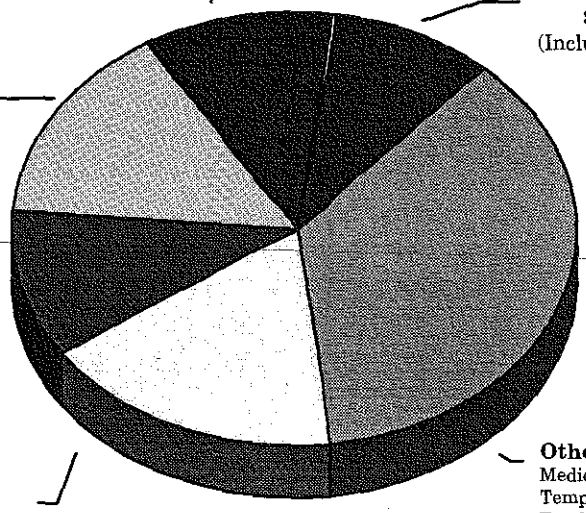
Corrections $762.2 7.2%

Human Services $3,220.6 30.4%
Medicaid 1,936.4 18.3%
Temp. Asst. to Families 278.7 2.6%

Education $2,214.8 20.9%
Elementary Ed. 1,774.3 16.8%
Higher Ed. 440.5 4.2%

Reg. & Prot. $268.8 2.5%

By Character of Expenditure



Equipment $13.1 .1%

Other Expenses $1,116.3 10.5%
Fringe Benefits $731.5 6.9%

Other Current Expenses $986.8 9.3%
(Includes Higher Ed. At $440.5)

Personal Services $1,592.0 15.0%
(Excludes Higher Ed. Units est. at $401.3)

Debt Service $1,166.6 11.0%

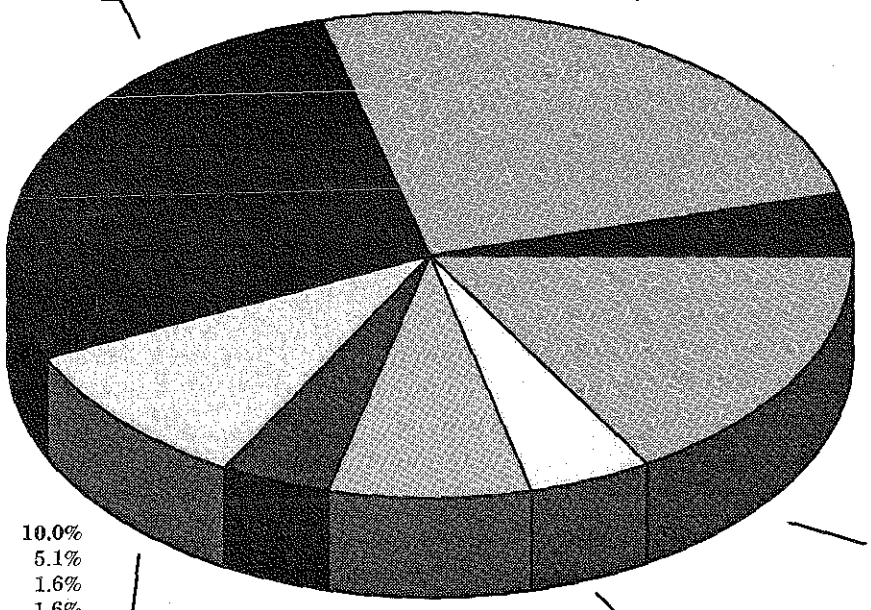
Other Grants $3,865.7 36.5%
Medicaid $1,936.4 18.3%
Temp. Asst. to Families 278.7 2.6%
Teacher's Retirement 184.6 1.7%

Grants to Towns $1,849.6 17.5%
Education Grants $1,420.1 13.4%

* The amount shown is the total net appropriations for all appropriated funds and reflects the subtraction of $212.3 million for estimated lapse. The amounts shown for each category reflect the share of gross appropriations of $10,590.1million.

REVENUE
(All Appropriated Funds)

FY 1999 $10,470.9 Million*



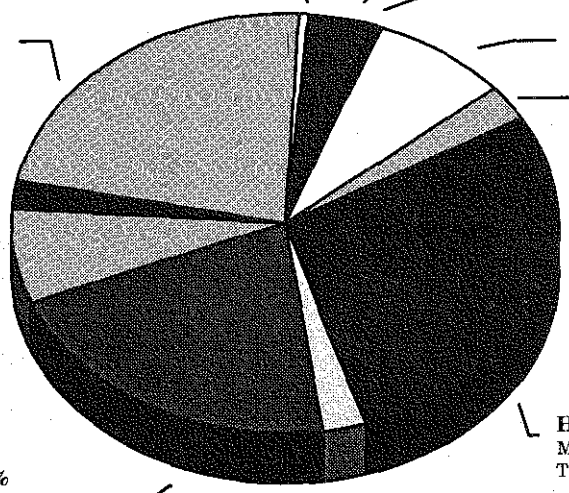
* The amount shown is net revenue available to balance the budget. It reflects gross revenue of $11,313.7 million minus $842.8 million of various revenue adjustments comprised mainly of Refunds of Taxes. The amounts shown for each category in the chart represent gross revenue figures.

Total revenue is less than total net appropriations due to two factors: 1.) $45 million of "extra" revenue is being transferred to the Mashantucket Pequot/Mohegan Fund in FY 98 than will be expended; this balance will be available to meet expenditures in FY 99; 2.) Debt Service payments in the Transportation Fund will be lowered by $21.2 million through the use of surplus funds placed in escrow for Debt Service.

APPROPRIATIONS
(All Appropriated Funds)

FY 1999, $10,531.9 Million*

By Function of Government



Legislative $47.4 .4%

General Govt. $425.7 3.9%

Cons. & Devel. $66.7 0.6%

Health & Hosp. $841.2 7.8%

Transportation $302.1 2.8%

Non-Functional $2,434.4 22.6%
Debt Service 1,272.8 11.8%
Fringe Benefits $767.2 7.1%

Judicial $253.3 2.3%

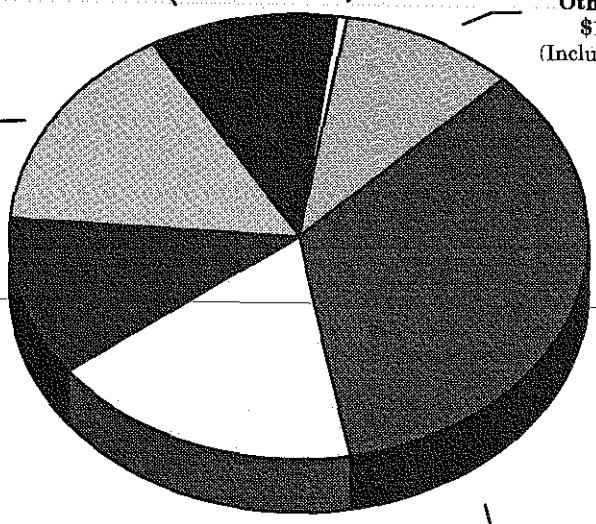
Corrections $770.6 7.1%

Human Services $3,082.4 28.6%
Medicaid 1,872.3 17.4%
Temp. Asst. to Families 174.3 1.6%

Education $2,282.6 21.2%
Elementary Ed. 1,829.9 17.0%
Higher Ed. 452.6 4.2%

Reg. & Prot. $275.1 2.6%

By Character of Expenditure



Other Expenses $1,145.1 10.6%
Fringe Benefits $767.2 7.1%

Equipment
$13.8 .1%

Other Current Expenses
$1,064.4 9.9%
(Includes Higher Ed. At $452.6)

Personal Services
$1,653.6 15.3%
(Excludes Higher Ed. Units est. at $411.6)

Debt Service
$1,272.8 11.8%

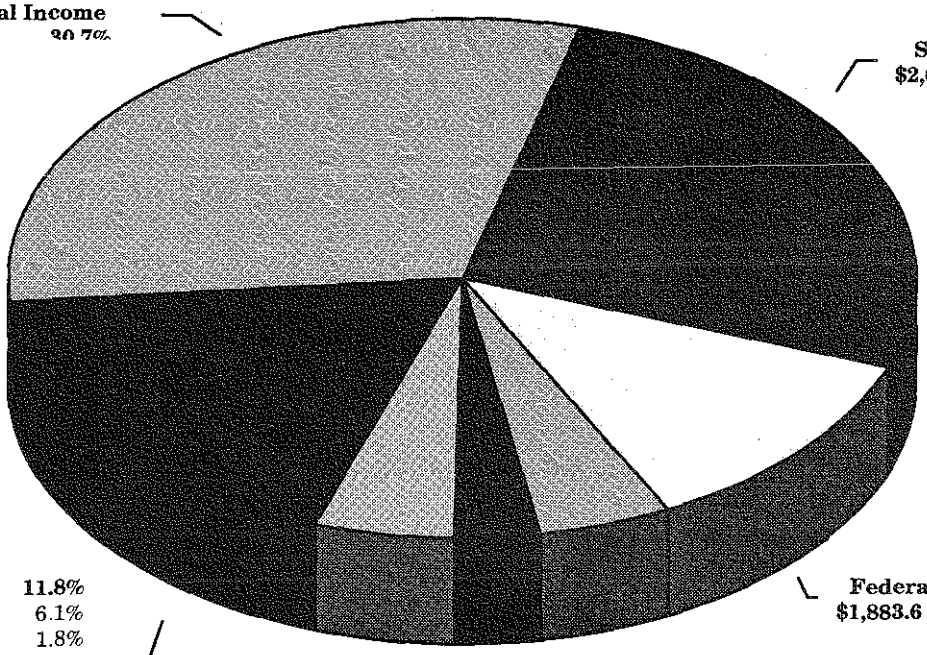
Other Grants $3,755.2 34.8%
Medicaid $1,872.3 17.4%
Temp. Asst. to Families 174.3 1.6%
Teacher's Retirement 194.0 1.8%

Grants to Towns $1,876.6 17.4%
Education Grants $1,446.3 13.4%

* The amount shown is the total net appropriations for all appropriated funds and reflects the subtraction of $249.5 million for estimated savings/lapse. The amounts shown for each category reflect the share of gross appropriations of $10,781.4 million.

REVENUE
(General Fund)

FY 1998 $9,342.4 Million*



Personal Income
$3,135.1 33.7%

Sales and Use
$2,694.5 26.4%

Federal Funds
$1,883.6 18.4%

Business	**$1,207.7**	**11.8%**
Corporations	626.1	6.1%
Insurance	179.6	1.8%
Public Service	175.0	1.7%
Hospitals	151.8	1.5%
Petroleum	75.2	.7%

Gambling	**$494.4**	**4.8%**
Indian Gaming Payments	230.0	2.3%

Other Taxes	**$476.5**	**4.7%**
Inheritance	193.5	1.9%
Cigarettes	121.9	1.2%
Real Estate	72.1	.7%
Alcohol	39.4	.4%

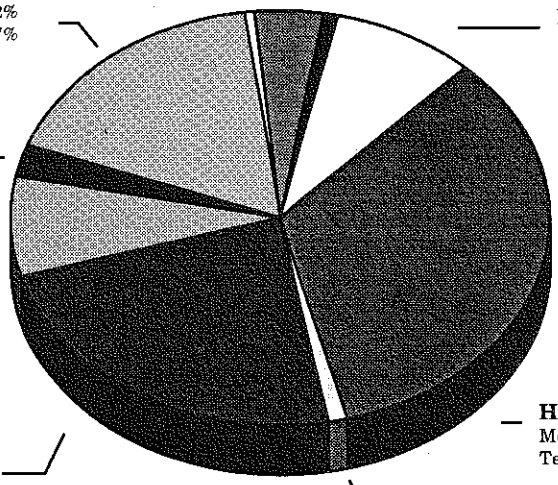
Other Revenue	**$328.7**	**3.2%**
Licenses, Permits Fees & Receipts	110.7	1.1%

* The amount shown is the net General Fund revenue available to balance the FY 1997-98 budget. It reflects gross revenue of $10,220.4 million (shown broken out by category in the chart) minus $878.0 million of various revenue adjustments comprised mainly of Refunds of Taxes.

APPROPRIATIONS
(General Fund)

FY 1998, $9,342.2 Million *

By Function of Government



Legislative $44.4 .5%

General Govt. $409.3 4.3%

Cons. & Devel. $64.6 0.7%

Health & Hosp. $828.3 8.7%

Non-Functional $1.639.9 17.2%
Debt Service 1,166.6 12.2%
Fringe Benefits 731.5 7.7%

Judicial $238.8 2.5%

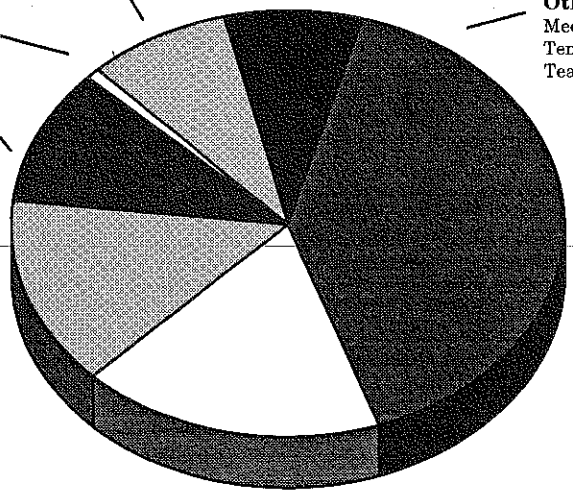
Corrections $762.2 8.0%

Education $2,214.8 23.2%
Elementary Ed. 1,774.3 18.6%
Higher Ed. 440.5 4.6%

Human Services $3,217.4 33.7%
Medicaid 1,936.4 20.3%
Temp. Asst. to Families 278.7 2.9%

Reg. & Prot. $114.5 1.2%

By Character of Expenditure



Other Current Expenses
$810.2 8.5%
(Includes Higher Ed. At $440.5)

Debt Service
$777.5 8.2%

Equipment
$5.4 .1%

Other Grants $3,865.4 40.5%
Medicaid $1,936.4 20.3%
Temp. Asst. to Families 278.7 2.9%
Teacher's Retirement 184.6 1.9%

Other Expenses $992.3 10.4%
Fringe Benefits $670.7 7.0%

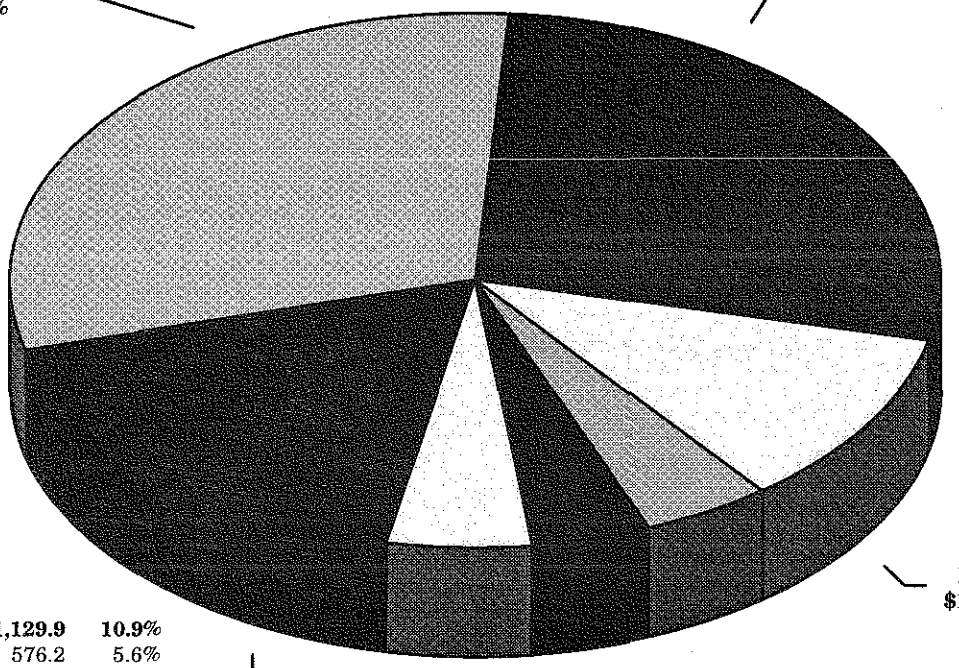
Personal Services
$1,390.2 14.6%
(Excludes Higher Ed.
Units est. at $401.3)

Grants to Towns $1,693.2 17.8%
Education Grants $1,420.1 14.9%

* The amount shown is the net appropriation for the General Fund in FY 1997-98. This reflects the subtraction of $191.9 million for estimated savings/lapse from the gross appropriation of $9,534.1 million (which is reflected in the amounts shown for each category).

REVENUE
(General Fund)

FY 1999 $9,496.0 Million*



Personal Income
$3,142.7 30.4%

Sales and Use
$2,825.0 27.3%

Federal Funds
$1,843.0 17.8%

Business	**$1,129.9**	**10.9%**
Corporations	576.2	5.6%
Insurance	185.0	1.8%
Public Service	178.8	1.7%
Hospitals	131.8	1.3%
Petroleum	58.1	.6%

Gambling	**$518.4**	**5.0%**
Indian Gaming Payments	245.0	2.4%

Other Taxes	**$462.5**	**4.5%**
Inheritance	181.1	1.8%
Cigarettes	118.9	1.2%
Real Estate	72.1	.7%
Alcohol	39.4	.4%

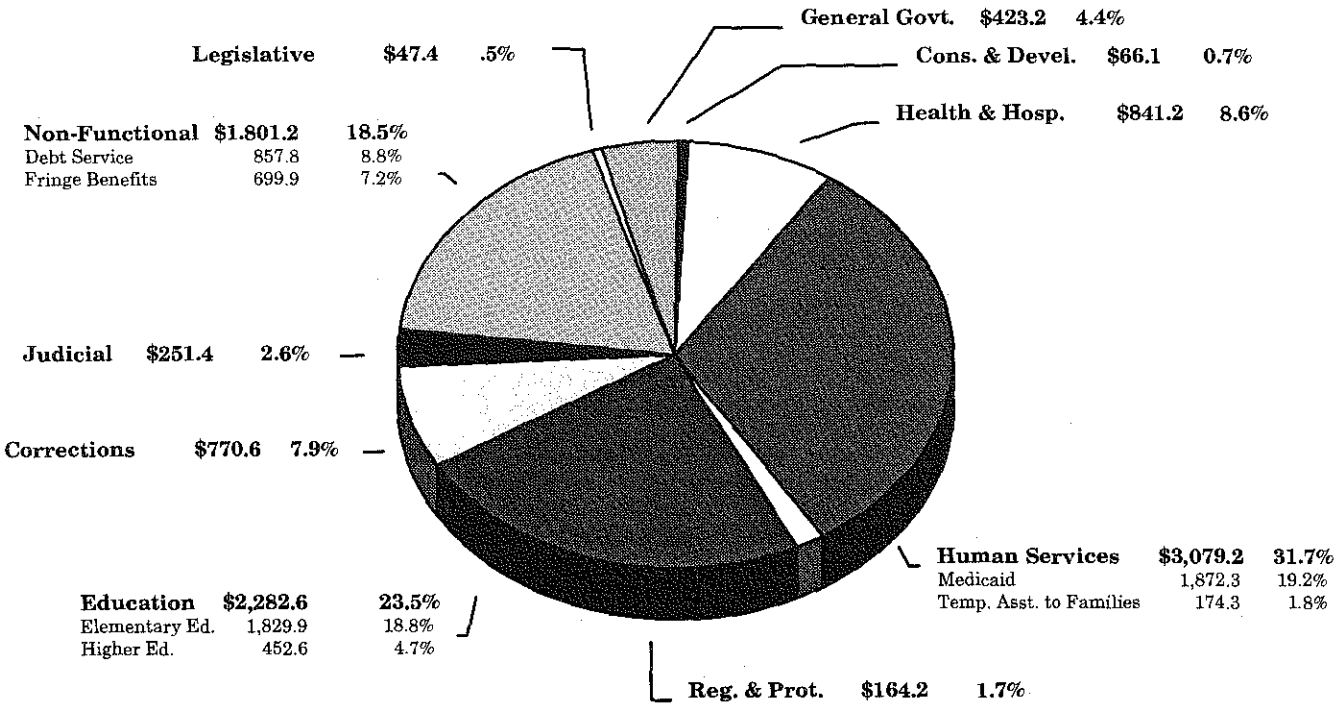
Other Revenue	**$411.5**	**4.0%**
Licenses, Permits Fees & Receipts	116.2	1.1%

* The amount shown is the net General Fund revenue available to balance the FY 1998-99 budget. It reflects gross revenue of $11,333.0 million (shown broken out by category in the chart) minus $837.0 million of various revenue adjustments comprised mainly of Refunds of Taxes.

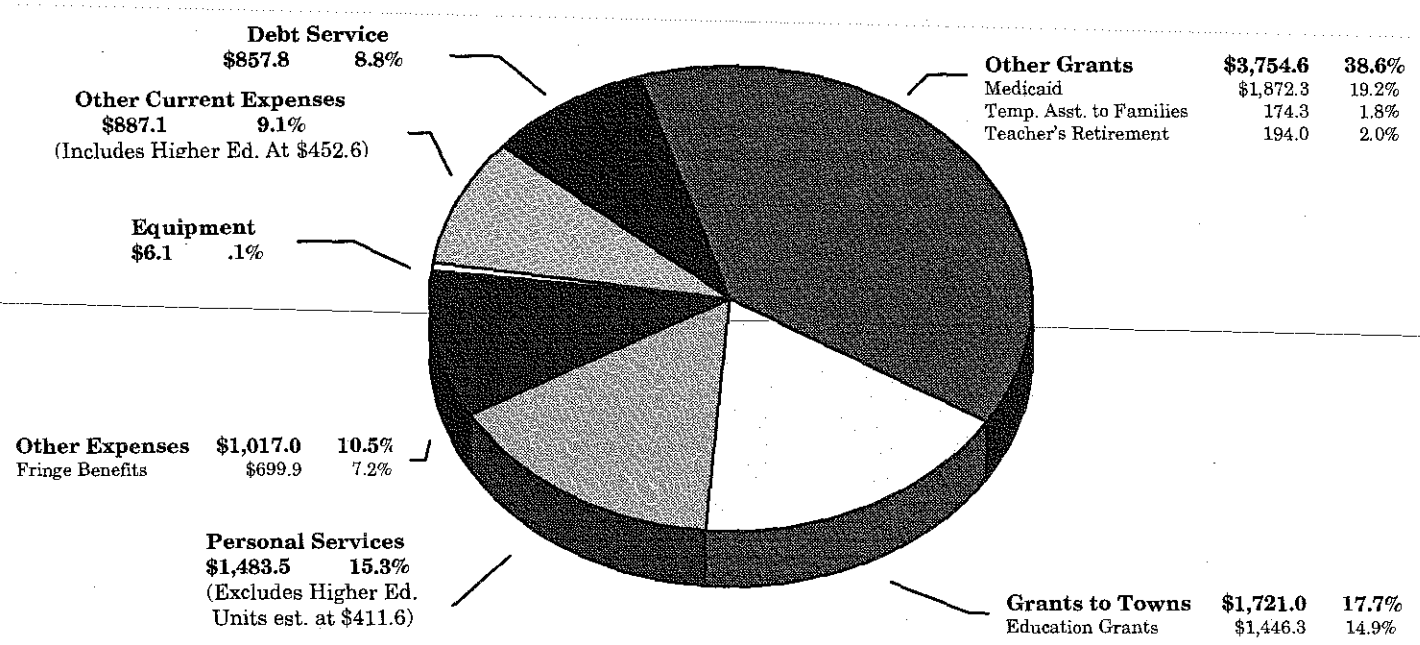
APPROPRIATIONS
(General Fund)

FY 1999 $9,495.9 Million*

By Function of Government



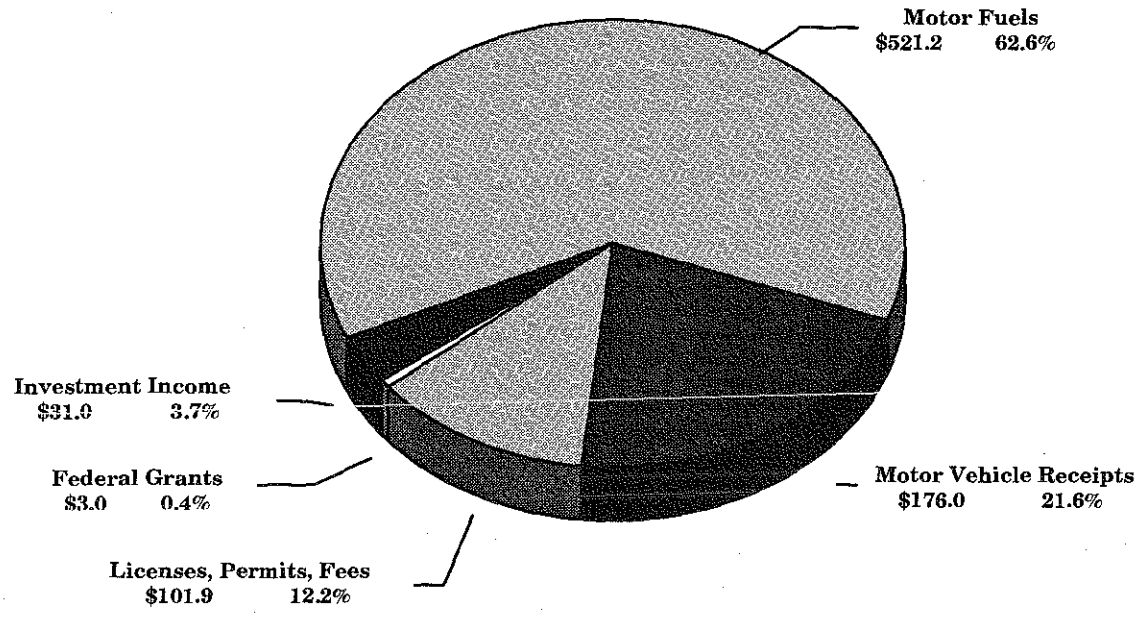
By Character of Expenditure



* The amount shown is the net appropriation for the General Fund in FY 1998-99. This reflects the subtraction of $231.2 million for estimated savings/lapse from the gross appropriation of $9,727.0 million (which is reflected in the amounts shown for each category.).

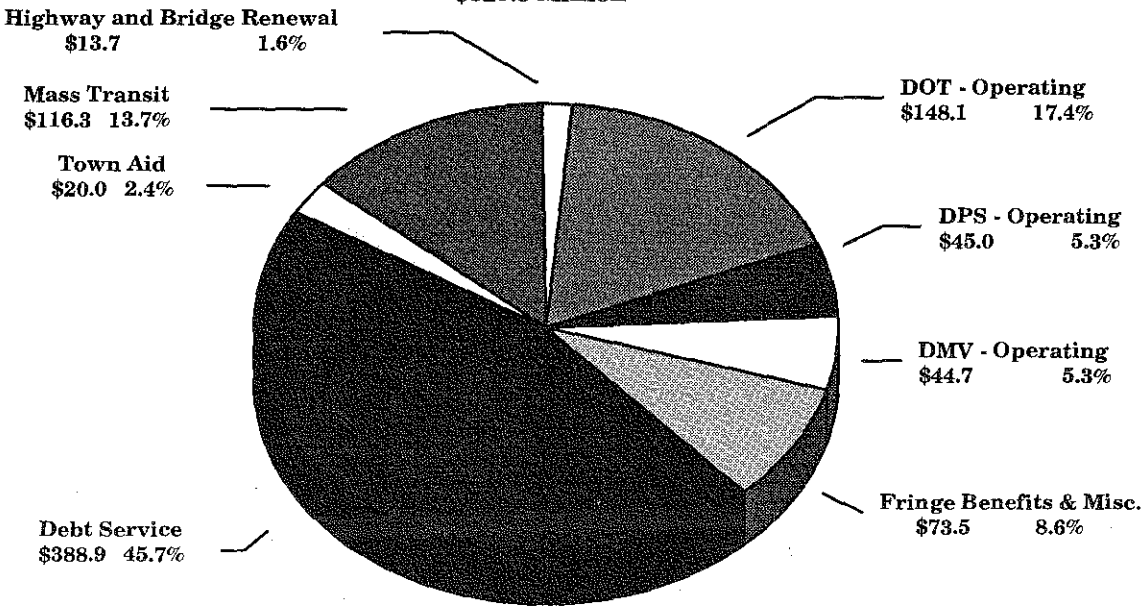
TRANSPORTATION FUND
FY 1998

Revenues
$826.9 Million*



* The amount shown is the net Transportation Fund revenue available for FY 1997-98. It reflects gross revenue of $833.1 million (shown broken out by category in the chart) minus $6.2 million in revenue adjustments comprised mainly of Refunds of Taxes.

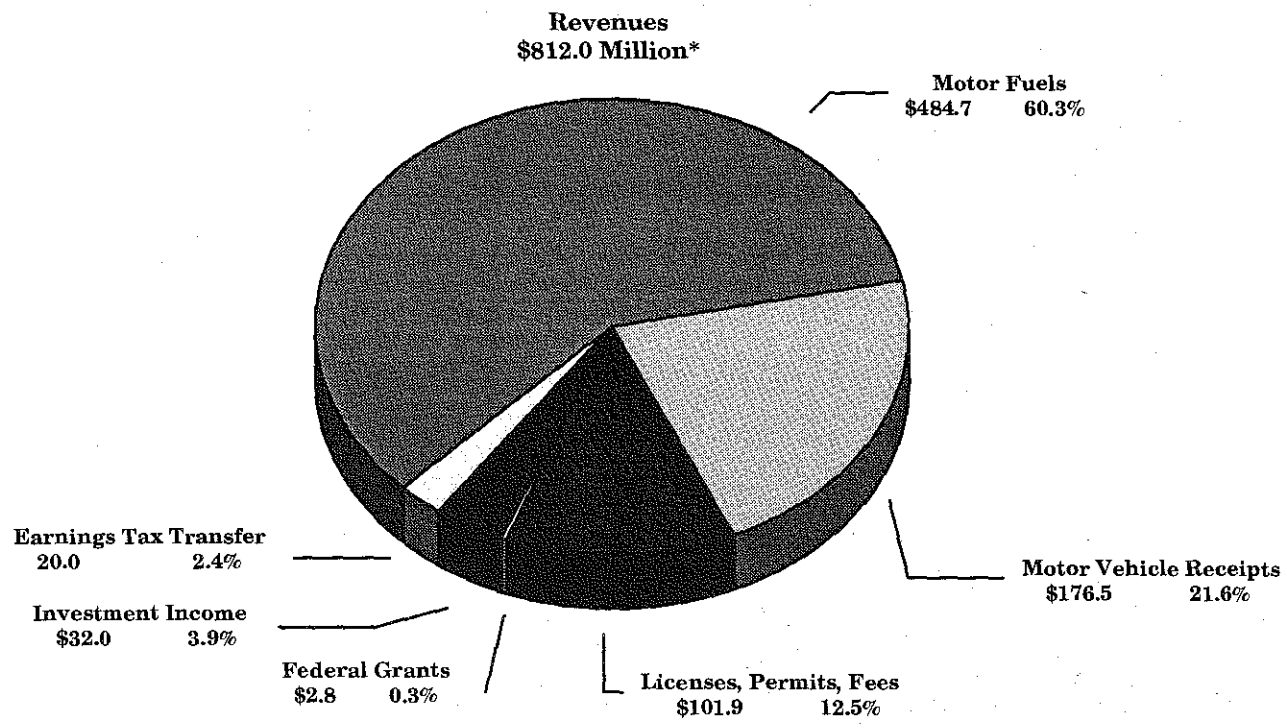
Expenditures
$829.8 Million*



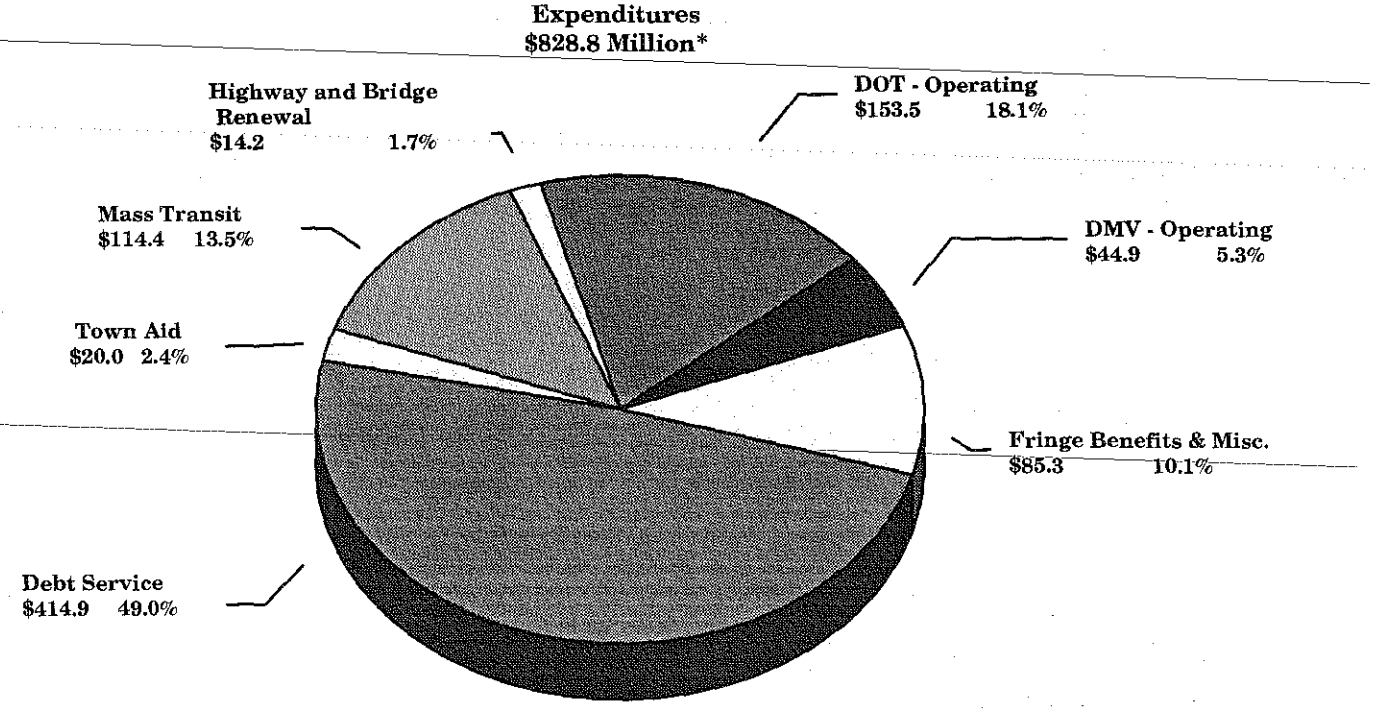
* The amount shown is the net appropriation for the Transportation Fund for FY 1997-98 and reflects the subtraction of $20.4 million for estimated savings/lapse from the gross appropriation of $850.2 million (which is reflected in the amounts shown for each category).

Debt Service payments will be lowered by $5.4 million through the use of surplus funds placed in escrow for Debt Service.

TRANSPORTATION FUND
FY 1999



* The amount shown is net Transportation Fund revenue available for FY 1998-99. It reflects gross revenue of $817.9 million (shown broken out by category in the chart) minus $5.9 million in revenue adjustments comprised mainly of Refunds of Taxes.



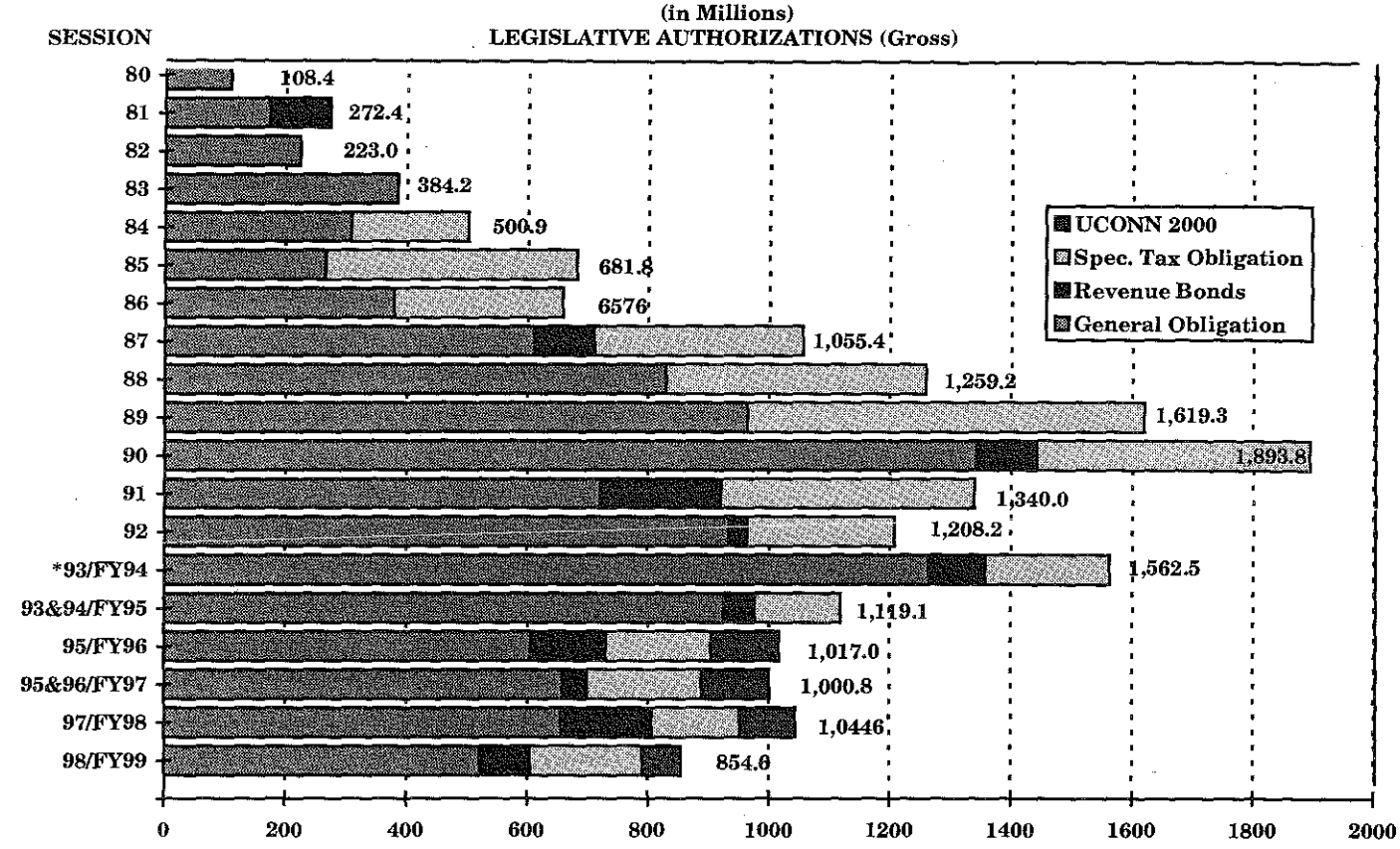
* The amount shown is net appropriations for the Transportation Fund for FY 1998-99 and reflects the subtraction of $18.3 million for estimated savings/lapse from the gross appropriation of $847.2 million (which is reflected in the amounts shown for each category).

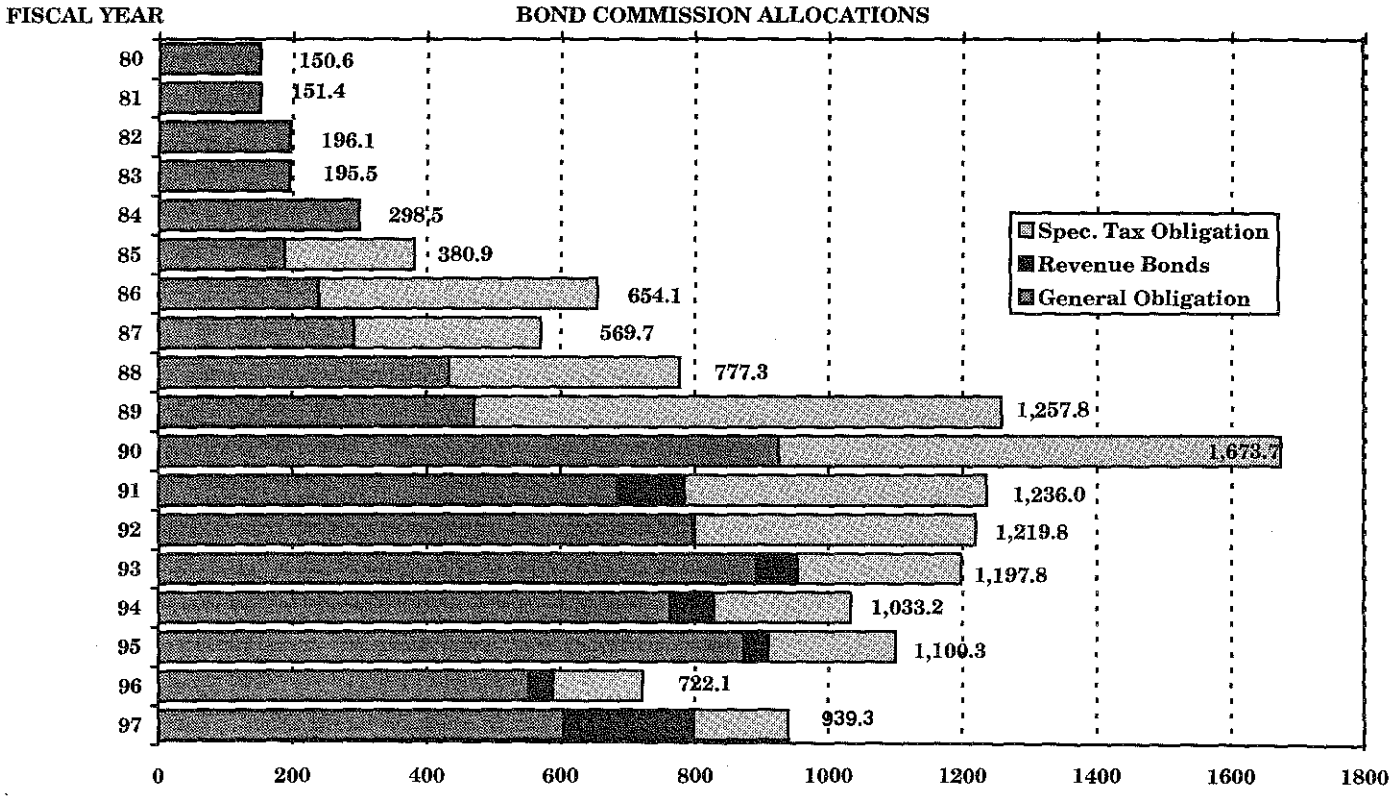
Debt service payments will be lowered by $21.2 million through the use of surplus funds placed in escrow for Debt Service.

BOND AUTHORIZATIONS AND ALLOCATIONS

FY 1980 - 1999

(in Millions)



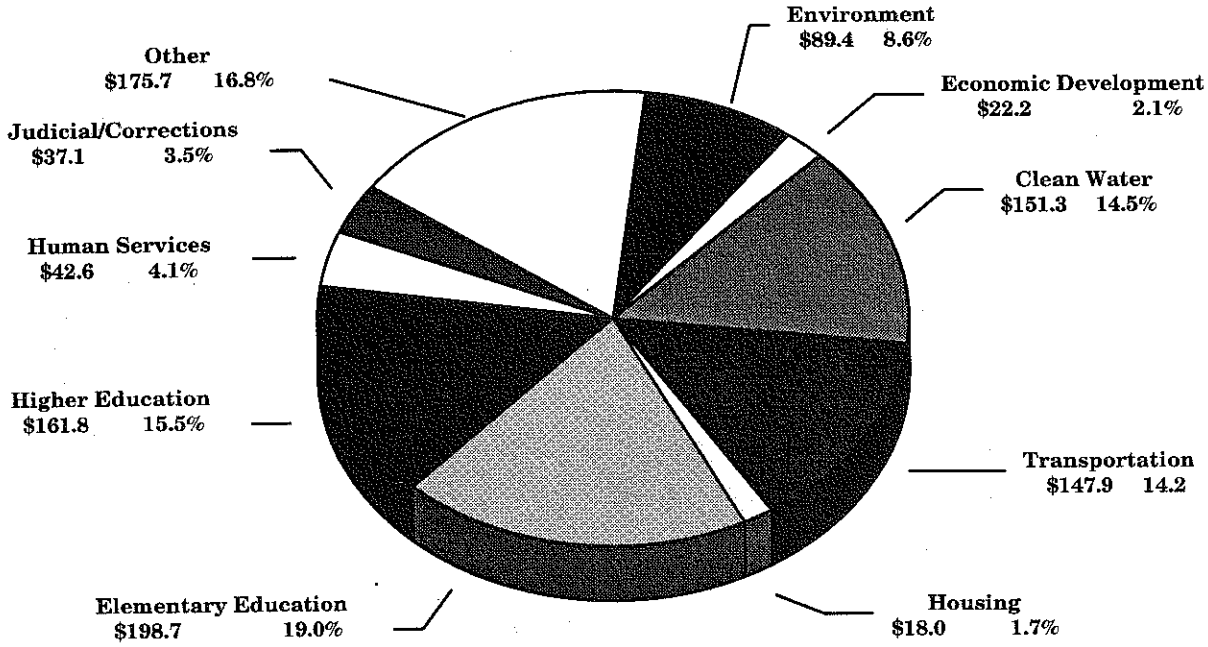


*Note:93/94 total for Legislative Authorizations includes $252.1 million authorized in September 1993 for the construction of a stadium in Hartford. This authorization was subsequently repealed by Section 101, PA 94-2 of the May Special Session.

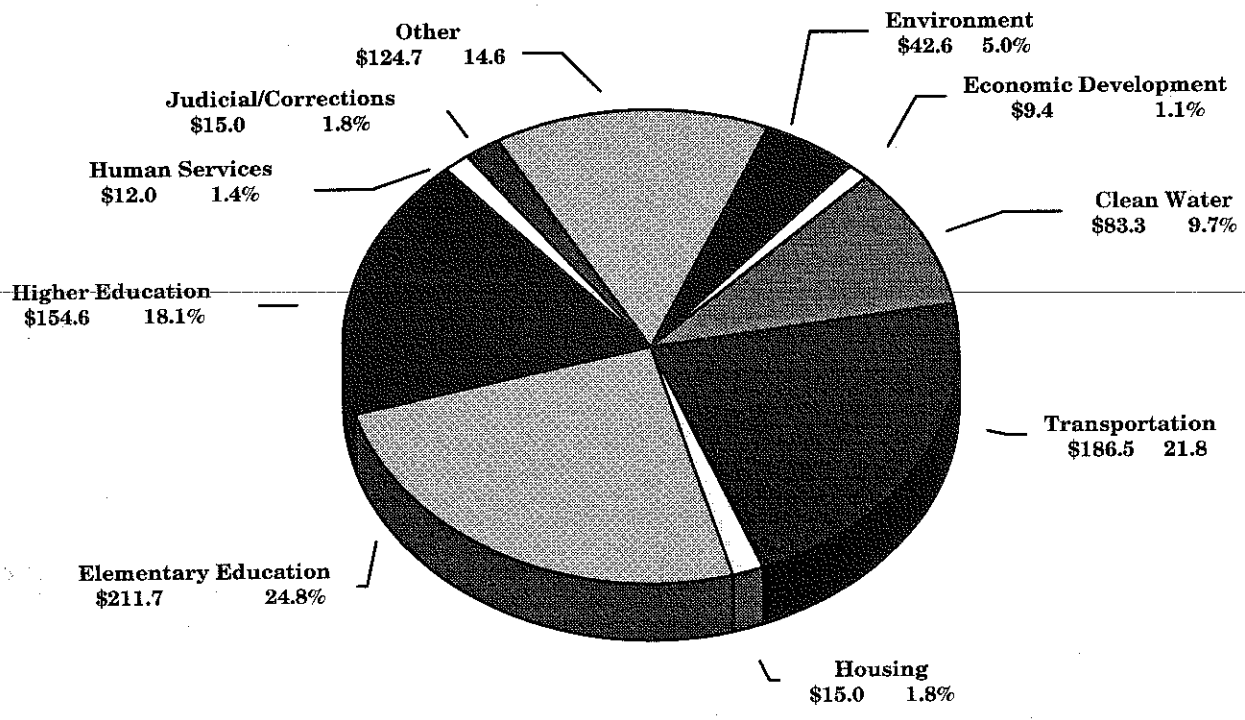
GENERAL, REVENUE AND SPECIAL TAX OBLIGATION BOND AUTHORIZATIONS

1997 LEGISLATIVE SESSION

FY 1998, $1,044.7 Million

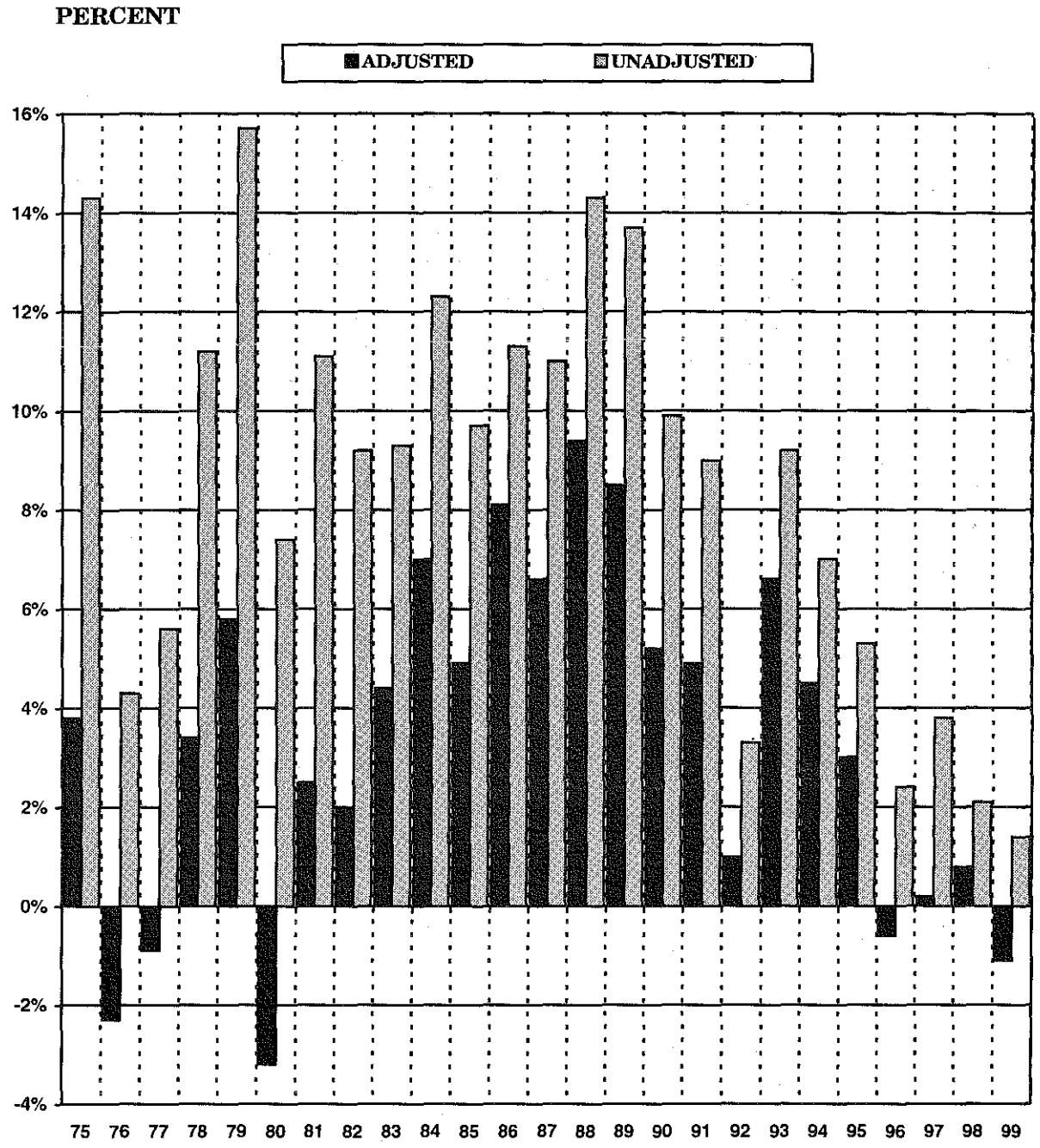


FY 1999, $854.7 Million



GENERAL BUDGET EXPENDITURES*
ANNUAL RATES OF GROWTH

FY 1974-75 - FY 1998-99



ADJUSTED GROWTH = ACTUAL GROWTH ADJUSTED FOR CHANGES IN THE IMPLICIT PRICE DEFLATOR

* Includes all appropriated funds as well as Tuition Funds and the Economic Recovery Fund.

GENERAL BUDGET EXPENDITURES
FY 1974-75 - FY 1998-99

Fiscal Year	General Budget Expenditures ($000)	Annual Increase ($000)	Growth In Expenditures	Inflation Adjusted Growth In Expenditures
74-75	1,613,786	201,357	14.3	3.8
75-76	1,683,143	69,357	4.3	(2.3)
76-77	1,777,464	94,321	5.6	(0.9)
77-78	1,977,388	199,924	11.2	3.4
78-79	2,286,885	309,497	15.7	5.8
79-80	2,455,197	168,312	7.4	(3.2)
80-81	2,726,600	271,403	11.1	2.5
81-82	2,976,926	250,326	9.2	2.0
82-83	3,253,843	276,917	9.3	4.4
83-84	3,652,902	399,059	12.3	7.0
84-85	4,005,721	352,819	9.7	4.9
85-86	4,458,593	452,872	11.3	8.1
86-87	4,947,832	489,239	11.0	6.6
87-88	5,656,761	708,929	14.3	9.4
88-89	6,433,574	776,813	13.7	8.5
89-90	7,071,134	637,560	9.9	5.2
90-91	7,705,581	634,447	9.0	5.0
91-92	7,962,141	256,560	3.3	1.0
92-93	8,693,528	731,387	9.2	6.8
93-94	9,298,194	604,666	7.0	5.6
94-95	9,789,510	491,316	5.3	3.0
95-96	10,022,764	233,254	2.4	(0.6)
96-97est.	10,404,884	382,120	3.8	0.2
97-98 Bud	10,627,191	222,307	2.1	0.8
98-99 Bud.	10,778,775	156,584	1.4	(1.1)

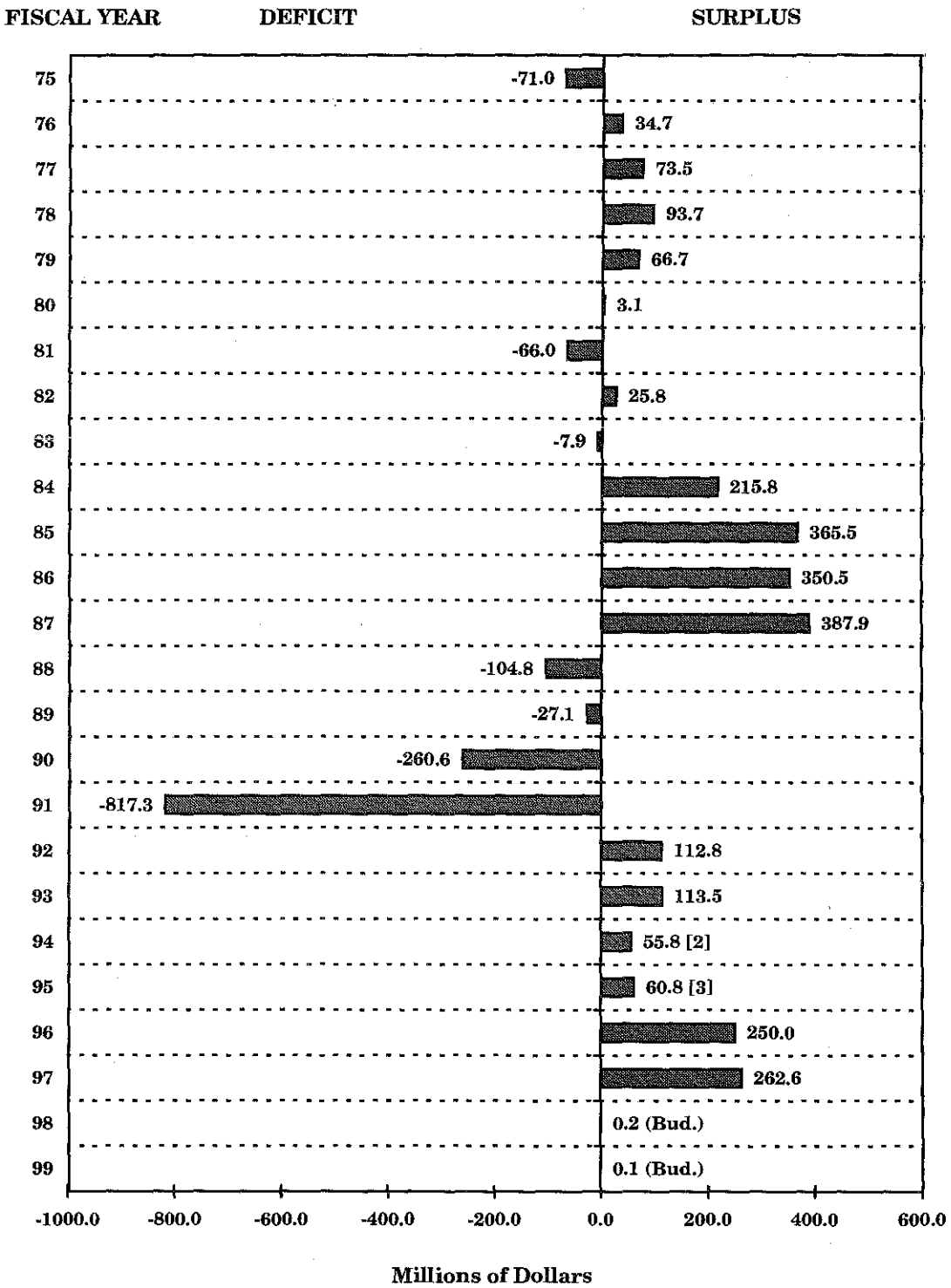
GENERAL BUDGET EXPENDITURES:

For purposes of comparability, the expenditure figures include all expenditures of the General Fund, other appropriated funds, as well as expenditures for highway and transportation related activities, debt service, bond retirement funds and education activities. At various times, these expenditures have been part of the Highway Fund, Transportation Fund (1984-present), Higher Education Tuition Funds (1981-present), the Education Excellence Trust Fund (1987-1989), and the Economic Recovery Fund (ERF) deficit financing (1991-92, to date). Adjustments have been made back through 1991-92 to incorporate the former uncompensated care pool expenditures which are now reflected in the General Fund budget. The expenditures and percentage changes shown from FY 1991 on, have been adjusted for comparability due to structural changes in the budgets for those years. The expenditure data through FY 1996 is based upon Comptroller's reports, and includes expenditures from prior year appropriations carried forward into a subsequent fiscal year.

IMPLICIT PRICE DEFLATOR FOR STATE AND LOCAL GOVERNMENTS:

The data is taken from the 1997 Economic Report of the President. The use of this adjustment factor eliminates growth that results from inflation and facilitates the calculation of the adjusted year to year growth rate. The increases for FY 98 and FY 99 are expected to be 1.3% and 2.5%, respectively.

GENERAL FUND SURPLUS OR DEFICIT FROM OPERATIONS [1]



[1] Excludes fund balance from prior year and may include miscellaneous adjustments.

[2] Excludes $113.5 million of unspent Debt Service funds from prior periods.

[3] Excludes $19.7 million of FY 1993-94 surplus deemed appropriated for Debt Service for FY 1994-95 and not expended.

SUMMARY OF THE 1997-99 BIENNIAL STATE BUDGET

INTRODUCTION

Background

In order to put the 1997-99 biennial budget in perspective, a recap of recent fiscal history may be helpful. The State's General Fund ended the 1995-96 fiscal year with a surplus of $250 million of which $89.5 million was earmarked (per Sec. 44 of SA 96-8) for the 1996-97 payment of the Economic Recovery Fund (ERF) notes. The balance of the surplus, $160.5 million, was placed in the Budget Reserve Fund ("Rainy Day" Fund) per Sec. 4-30a, CGS. This brought the total in that fund to $241 million.

For 1996-97, the General Fund ended the fiscal year with a surplus of $262.6 million. This is the net amount that remains after appropriations of $120.8 million made in PA 97-11 of the June 18, 1997 Special Session, for a variety of purposes, have been deducted. While these appropriations are from FY 97, they will be expended in FY 98 and FY 99. See section on 1996-97 Deficiency and Other Appropriations on page 46.) The Appropriations Act for the 1997-99 biennium (SA 97-21) contains a provision (Sec. 59) that earmarks $166.7 million of surplus for the payment of ERF notes (the last two payments) in FY 98 and FY 99. (See section on Funding the 1991 Deficit on page 51.) The balance of the surplus, $95.9 million, will be deposited in the Budget Reserve Fund ("Rainy Day Fund"), bringing the balance in that Fund to $336.9 million or 3.6% of the FY 98 net General Fund appropriations. Current law allows the fund to equal 5% of net General Fund appropriations; currently, that would mean $467.1 million, or $130.2 million more than the current Fund balance.

Formulation of Governor's Budget for the 1997-99 Biennium and Budget Gap Estimates

In preparing budget requests for the 1997-99 biennium, agencies were instructed to not request new positions or programs unless required by legislation, court order or consent decree. They were told to further streamline government through agency reorganizations, consolidations and potential privatization. Reduction options totaling 10% of their 1996-97 appropriations were also required. Since Governor Rowland was in the middle of his term, no tentative budget was required on November 15th. In early January 1997, OFA released its projections for the 1997-99 biennium which showed potential shortfalls of $224.8 million in FY 98 and $476.6 million in FY 99 using statutory spending cap expenditure levels.

When the Governor presented his budget in February, the recommended General Fund budget was balanced with cuts of $534.0 million from current services in 1997-98 and an additional $216.6 million in 1998-99. By proposing this extent of spending reductions, plus revenue changes noted in the next paragraph, there was created the potential for Personal Income Tax cuts, which were proposed at $219.5 million for FY 98 and $374.0 million for FY 99. The Governor's plan included increasing the levels of taxable income subject to 3% and eliminating Social Security benefits from taxation. By the 1998 income year, taxable income subject to the 3% rate would increase from current levels to the following levels for each filing class: Joint, $9,000 to $48,000; Head of Household, $7,000 to $38,000; Single, $4,500 to $24,000.

The Governor's budget included projected General Fund lapse/savings of $183.7 million in FY 98 and $231.0 million in FY 99. In each year of the biennium, this was composed of $64.3 million for Unallocated Lapses, $15.0 million for General Personal Services Reductions, $12.0 million for General Other Expenses Reductions as well as $92.5 million in FY 98 and $89.7 million in FY 99 for Early Retirement Incentive Program (ERIP) savings and $50.0 million in FY 99 for savings associated with Statewide Agency Functional Consolidations. The Governor's budget also included revenue enhancements totaling $61.8 million in FY 98 and $164.3 million in FY 99. Major proposals included the following: repealing the personal income tax credit for property taxes paid on motor vehicles ($39 million in FY 98, $85 million in FY 99); escheat to the State unclaimed bottle deposit money ($10 million in each year); deposit proceeds of bonds sold by the Connecticut Housing Finance Authority (CHFA) into the General Fund ($50 million in FY 99).

The Governor made various recommendations to reduce costs (from projected current services levels) by making several caseload, service cost and policy adjustments regarding Medicaid Managed Care ($64.5 million in FY 98 and $110.1 million in FY 99), recognizing the impact of welfare reform on Temporary Family Assistance (TFA) clients ($29.2 million in FY 98 and $126.0 million in FY 99), reducing Retirement Contributions for state employees to reflect the State Employee Bargaining Agent (SEBAC V) Agreement ($24.6 million in FY 98 and $94.2 million in FY 99 for both the General Fund and Transportation Fund), and proposing repeal of the implementation of Generally Accepted Accounting Principles (GAAP) resulting in a net cost avoidance of $37.0 million in FY 98 and $79.7 million in FY 99.

The Governor's budget presented a combined total of General, Transportation and Special Fund spending of $10,164.3 million for FY 98 and $10,311.5 million for FY 99. The Governor indicated that his All Appropriated Funds budget represented a decrease of $40.0 million for 1997-98 from estimated expenditures for 1996-97, a decrease of 0.4%. This decrease in part occurred because the Governor had increased the 1996-97 base by proposing to spend all but $1.6 million of his projected $116.2 million General Fund surplus. However, based on updated estimated expenditures (All Funds) including any adjustments for comparability, the percentage becomes an increase of 0.2%. The Governor indicated a $147.2 million or 1.4% increase associated with his 1998-1999 All Funds recommendations; the OFA calculation shows a 1.2% increase.

Looking at the budget by fund, the Governor recommended total net General Fund appropriations of $9,181.5 in FY 98 and $9,351.2 million in FY 99. The Governor provided $34.5 million in FY 98 and $56.9 million in FY 99 for new and expanded programs. The largest items were in the Department of Education including $6.0 million in FY 98 and $10.0 million in FY 99 for an Early Childhood Program and $5.3 million in each of FY 98 and FY 99 for expansion of Project Concern.

The Governor's budget recommended net expenditures of $827.5 million in FY 98 and $803.7 million in FY 99 for the Transportation Fund. The Governor proposed: transferring the Highway Patrol Motor operating expenses ($47.4 million) to the General Fund in FY 99 and its associated fringe benefits ($15.0 million) in FY 2000; eliminating the $20.0 million Transportation Fund appropriation in FY 99 for Town Aid Road Grants by converting the program to bonding; increasing funding by $9.0 million in FY 98 and $11.0 million in FY 99 for Highway and Bridge Renewal with a corresponding reduction in the capital (bonding) program; replacing the Shoreline East (SLE) Commuter Rail Line and the Waterbury and Danbury branch lines of the Metro North with bus services resulting in a savings of $7.6 million in FY 98 and $9.0 million in FY 99; reducing the bus fares subsidy by $2.6 million in FY 98 and $4.3 million in FY 99 as a result of increasing the fares by $.15 from $1.00 to $1.15 on July 1, 1997 and by an additional $.10 to $1.25 on July 1, 1998; reducing the Metro North rail operations subsidy by $1.3 million in FY 98 and $4.4 million in FY 99 as a result of increasing passenger fares by 4.5% on January 1, 1998 and by another 4.5% on January 1, 1999; and removing $1.0 million in each of FY 98 and FY 99 based on his recommendation to privatize commuter bus operations in the Hartford area.

The Governor proposed reducing the motor fuels tax from $.39 to $.34 per gallon effective July 1, 1997 resulting in an annual revenue loss to the Transportation Fund of approximately $65.0 million. In addition, the Governor recommended increasing the diesel fuel tax from $.18 to $.21 also effective July 1, 1997 for an average annual revenue gain over the next five-year period of $7.6 million, and a transfer of the $2.00 per Tire Tax from the General Fund to the Transportation Fund for an approximate annual revenue gain of $4.0 million.

Operating under the existing statutory provisions (and making certain assumptions as necessary), the budget presented by the Governor was below the spending cap's limitation by $384.1 million in FY 98 and $307.6 million in FY 99.

The Governor recommended a net decrease in Authorized (All Funds) positions of 2,062 for the biennium (1,857 in FY 98 and 205 in FY 99). This excludes any position reductions that would occur due to the Early Retirement Incentive Program (ERIP) or as the result of general Personal Services reductions. A portion of this decrease is attributable to the Governor's proposal to reduce the current services appropriations for the constituent units of higher education by 10% which resulted in 623

position reductions among these agencies in FY 98. The Governor provided $73.1 million in FY 98 and $125.8 million in FY 99 in the Reserve for Salary Adjustments account (General Fund and Transportation Fund) for state employee salary increases associated with unsettled contracts. This funding level was based upon the Administrative Clerical (NP-3) collective bargaining unit pattern which provides for the phase-in of a 40-hour workweek, Annual Increments (AI's) delayed 5 months and a 2% General Wage Increase (GWI) delayed 6 months in each fiscal year of the biennium. An additional $39.4 million in FY 98 and $97.7 million in FY 99 was included within agency budgets for previously settled agreements as well as salary increases for non-union employees.

For FY 97-98, the Governor's capital budget recommended: (1) $571.4 million in new General Obligation bond authorizations, (2) reductions, cancellations and additions to prior bond authorizations of $67.8 million, and (3) $151.3 in Revenue bonds for the Clean Water Program. PA 95-230 also authorized $93.1 million for the UConn 2000 infrastructure renewal program.

For FY 98-99, the Governor's capital budget recommended $522.5 million in new General Obligation bond authorizations and $83.3 in Revenue bonds for the Clean Water Program. PA 95-230 also authorized $64.3 million for the UConn 2000 program.

The Governor's package recommended Special Tax Obligation (STO) bond authorizations of $135.8 million in FY 97-98 and $175.5 million in FY 98-99. The Governor's package incorporated reductions in STO bonding of $9 million in FY 97-98 and $11 million in FY 98-99, matched by corresponding increases in the pay-as-you-go (appropriated) portion of the Department of Transportation's Highway and Bridge Renewal Program. FY 97-98 STO bonding was further reduced by approximately $40 million, through the use of previously authorized and allocated General Obligation bonds designated for transportation purposes.

Legislative Budget Enactment

The biennial budget for the 1997-98 and 1998-99 fiscal years was favorably reported by the Appropriations Committee on April 10, 1997, adopted by the House on June 2nd, by the Senate on June 3rd, and signed by the Governor on June 6th; (sHB 6702, as amended, is now SA 97-21). The tax package (sHB 6955, PA 97-309) was also enacted prior to adjournment on June 4th. The Governor also signed this bill on June 6th. An emergency certified bill which amended the budget and tax package was passed in the waning hours of the final day of the regular session. The budget implementing bills and the bond bills were not acted upon during the Regular Session. These bills were taken up during two Special Sessions which followed.

The combined General Fund, Transportation Fund, Mashantucket Pequot / Mohegan Fund and Other Appropriated Fund budgets of $10,377.7 million for FY 98 and $10,531.9 million for FY 99 enacted by the General Assembly result in a spending plan that is $213.4 million higher in FY 98 and $220.4 million higher in FY 99 than that proposed by the Governor (excluding deficit financing). Three items represent the major portion of the increase: $50.0 million in each of FY 98 and FY 99 for additional Grants to Towns from the Mashantucket Pequot / Mohegan Fund; $37.5 million in FY 98 and $46.5 million in FY 99 for the Department of Mental Health and Addiction Services (DMHAS) and the Department of Social Services (DSS) to provide benefits for transitional clients who were formerly classified as employable; and $32.2 million in FY 98 and $32.7 million in FY 99 to restore most of the Governor's recommended "current services" cuts in higher education, plus $6.0 million in each year of the biennium for increased funding for student financial aid. When adjustments are made to reflect comparable bases between years, the legislative budget represents a 2.1% increase for FY 98 and a 1.4% increase for FY 99 (including deficit financing). (See page 54 for a schedule of budget growth rates.) The legislative budget is $213.1 million under the Spending Cap in FY 98 and $325.1 million under in FY 99. (See page 52 for a further discussion of the Spending Cap and a schedule showing the calculation for the biennium.)

A number of other expenditure revisions were made by the Legislature to the Governor's recommendations. The Legislature provided $9.0 million to the Office of Policy and Management (OPM) for distribution to the Departments of Mental Health and Addiction Services, Mental Retardation, Social Services, and Children and Families for supplemental payments to private

providers for unreimbursed costs associated with settled and unsettled collective bargaining agreements with unionized employees and increased personnel costs for non-unionized employees. The Legislature provided the Department of Mental Retardation (DMR) with $6.9 million in FY 98 and $8.5 million in FY 99 to reduce the residential and day services waiting list by providing additional placements in the Community Residential Services program and additional resources for family supported programs. Additional funding in the amount of $5.2 million in FY 98 and $3.4 million in FY 99 was provided above the amount recommended by the Governor for the certification of beds as intermediate care facilities for the mentally retarded (ICF/MR) at Southbury Training School, resulting in an estimated annualized $28.7 million in additional federal reimbursement under the Medicaid program.

The Legislature provided $5 million in each year of the biennium to expand the population of children who are eligible for Medicaid benefits. Currently, children are eligible for Medicaid if they are born after September 30, 1983 and are below 185% of the Federal poverty line. These funds allow children aged 14 to 16 who are under 185% of poverty to become eligible for Medicaid in FY 98 and further extends these benefits to 17 year olds in FY 99.

The Legislature also reduced the statutory rate increase allowed to nursing homes funded by the Medicaid program. The Governor had included funding for a 3.5% increase in FY 98 and a 4.0% increase in FY 99. The legislature allowed a maximum increase of 2.0% in each year, with a minimum increase of 1.0% for all nursing homes in FY 99.

The legislature also created a Safety Net Program to augment the new Temporary Family Assistance (TFA) program. This safety net program includes intensive case management, continuous monitoring for child abuse and neglect, vouchers for community based services, and an individual performance contract. These services are designed to provide a stable environment for children and to assist adult in maintaining the family. The Legislature provided $2.5 million in FY 98 and $5 million in FY 99. In addition to these services, the Legislature provided $2.2 million each year for three transportation pilot programs. These pilots are to provide transportation to work for welfare recipients and other low income residents when existing transportation options are not sufficient to meet employment needs. An additional $5 million was also provided in each year of the biennium for a time limited rental assistance program targeting those families transitioning off of welfare.

The Legislature also enhanced services for Early Care and School Readiness programs for three and four year olds in priority school districts. The Department of Social Services (DSS) will provide Early Care in conjunction with an Early Education component administered by the Department of Education. The Legislature directed $8,780,000 in FY 98 and $17,560,000 in FY 99 to DSS for these services. Additionally, the Legislature provided $6,063,879 in FY 98 and $7,571,795 in FY 99 to provide grants and loans to promote child care facility development, promote provider accreditation, and enhance provider training and education.

Funding was increased for various programs associated with the Sheff v. O'Neill decision by $1.8 million in FY 98 and $8.6 million in FY 99 over the amounts recommended by the Governor. The Legislature rejected the Governor's proposal to consolidate the Education Cost Sharing (ECS) grant with the Transportation of School Children grant and added $16.5 million in FY 98 and $31.4 million in FY 99 to increase the ECS stop-gain from 1% to 2% and decrease the stop-loss from 13% to 9%. The Legislature also restored $6.1 million in FY 98 and $8.0 million in FY 99 to continue special education funding under current law rather than eliminating reimbursement for the education of special education students at the local level.

The Legislature used $40.0 million from FY 97 surplus to lower the appropriation for Debt Service by $20.0 million in each of FY 98 and FY 99. Amounts were increased by $13.8 million in FY 98 and $16.6 million in FY 99 to raise the level provided by the Governor to reimburse municipalities for Loss of Taxes on Private Tax-Exempt Property from approximately 55% to 66% in FY 98.

As a result of the Early Retirement Incentive Program (ERIP) assumptions utilized by the Legislature, $11.2 million in additional savings is reflected in the budget for FY 98 and $8.6 million

in less savings for FY 99. The Legislature transferred $3.5 million of the legislative unallocated lapse to the estimated (statewide) unallocated lapse in each of FY 98 and FY 99 and further increased the statewide lapse by $10.0 million in FY 99. The General Personal Services (PS) Reduction lapse was reduced by $4.0 million in each of FY 98 and FY 99 to reflect the exemption of the constituent units of higher education from this savings.

The Legislature rejected the Governor's proposal to eliminate budgeting on a Generally Accepted Accounting Principles (GAAP) basis and instead delayed implementation of this requirement until July 1, 1999.

The largest number of positions added to the Governor's budget was in the area of higher education where 531 positions were provided to the constituent units in order to reverse most of the current services funding reductions proposed by the Governor. The Legislature funded an additional 178 positions in the Department of Mental Retardation of which 155 represent a higher staffing level for beds at Southbury Training School. Funding in the amount of $8.3 million in FY 98 and $8.7 million in FY 99 was provided to support social security and health insurance costs associated with the positions added by the Legislature.

The Legislature rejected the Governor's proposal to transfer Town Aid Road into the capital (bonding) program beginning in FY 99 and restored $20.0 million to the Transportation Fund to support this grant. The Governor's proposal to provide additional support for the Highway and Bridge Renewal program in the amounts of $9.0 million in FY 98 and $11.0 million in FY 99 was also rejected. The Legislature provided a net $7.0 million in FY 98 and $8.3 million in FY 99 for the Waterbury, Danbury and Shoreline East rail services which the Governor had proposed discontinuing. The Legislature also: restored $2.6 million in FY 98 and $4.3 million in FY 99 to maintain bus fares at $1.00 in lieu of increasing them by $.15 in FY 98 and by an additional $.10 in FY 99 as proposed by the Governor; restored $1.3 million in FY 98 and $4.4 million in FY 99 to the Metro North rail operations subsidy, however, the 4.5% increase recommended by the Governor will take effect on January 1, 1998 and again on January 1, 1999; and added $1.0 million in each fiscal year to reflect rejection of the Governor's recommendation to privatize commuter bus operations in the Hartford area.

To accommodate increases in General Fund spending in each year of the biennium above the Governor's Recommended Budget and to increase the income tax credit for property taxes paid on a taxpayer's primary residence or motor vehicles, the Legislature reduced the Governor's proposed expansion of taxable income subject to the 3% rate and modified his proposal to eliminate Social Security benefits from the Income Tax.

The Legislature also modified the Governor's proposed motor fuels reduction by increasing the reduction from 5 cents per gallon to 6 cents per gallon, but spread the reduction over two years. To partially offset the revenue loss from the motor fuels tax reduction, the Legislature transferred some revenue currently deposited into the General Fund to the Special Transportation Fund.

The legislative package contained new General Obligation bonding of $625.1 million in FY 97-98, which was $53.7 million above the Governor's proposed capital budget. The main areas of increase were: $18.8 million for the Judicial Department, $13.5 million for the Department of Environmental Protection, $8 million for Connecticut Innovations, Inc., and $6 million for the Department of Mental Health and Addiction Services. In addition, PA 97-259 established the Child Care Facilities Direct Revolving Loan Fund, which was financed with $0.75 million in General Obligation bonds. Reductions, cancellations or additions to prior authorizations for the FY 97-98 legislative package totaled $66 million, which was $1.8 million below the Governor's recommendation. The FY 98-99 legislative total for new General Obligation bonds was $520.5 million, a decrease of $2 million below the Governor's proposed plan. In both years, the legislative authorizations for: (1) Clean Water Fund Revenue bonds were the same as the Governor's package, and (2) UConn 2000 General Obligation bonds were the same as PA 95-230.

The Legislature's authorization for Special Tax Obligation (STO) bonds in FY 97-98 was $144.8 million, which was $9 million over the Governor's recommendation. The FY 98-99 legislative authorization was $186.5 million, which was $11 million over the recommended plan. The difference in both years was due to the Legislature's decision to finance the Highway and Bridge Renewal Program through bonding rather than appropriating additional money to the Department of Transportation's operating budget. As recommended in the Governor's capital budget, the Legislature reduced the amount of FY 97-98 STO bonding by expanding the use of approximately $40 million of previously authorized and allocated General Obligation bonds designated for transportation purposes, to include infrastructure renewal.

APPROPRIATIONS

SA 97-21, the budget act (plus two other Acts), provides for total net General Fund appropriations of $9,342.2 million for the 1997-98 fiscal year and $9,495.9 million for the 1998-99 fiscal year. This represents a 1.6% and a 1.0% increase for each fiscal year, based on adjustments for comparability with 1996-97, and includes funds for financing the FY '90 and FY '91 deficits via the Economic Recovery Fund (ERF). When the other appropriated funds are included, the rates of growth are 2.1% and 1.4% for each year of the biennium, respectively. The FY '98 increase of 2.1% is lower than the 3.8% growth in FY '97 (based on estimated FY '97 expenditures over actual FY '96 expenditures) and considerably lower than the average annual increase in expenditures of 8.5% over the past ten years. (See page 54 for a schedule of budget growth rates.)

The $9,342.2 million and $9,495.9 million General Fund figures represent total "net" appropriations (projected expenditures). These result from the fact that $191.9 million and $231.1 million in estimated lapsing appropriations for FY '98 and FY '99 respectively, is deducted from the total appropriations based on anticipated unspent funds at the end of the fiscal year throughout the various agency budgets. The 1996-97 budget incorporated a lapse/savings adjustment amount of $110.7 million. The actual lapse amount on June 30, 1997 was $118.3 million.

Separate sections of the budget act provide for net Transportation Fund appropriations of $829.7 million in 1997-98 and $828.8 million in 1998-99. This represents a 1.5% and a 5.6% increase for each fiscal year, based on adjustments for comparability. The major adjustment is seen in FY '99, since the operating costs of the highway patrol unit (excluding fringe benefit costs) will be transferred back to the General Fund in that fiscal year. An estimated lapse of $20.4 million and $18.3 million in each fiscal year has been deducted from the gross appropriation total. The Transportation Fund is supported by revenues from the gasoline tax, motor vehicle license and registration fees, plus miscellaneous fees and fines. The appropriations provide for the operating costs of most of the Bureau of Patrol within the Department of Public Safety (transferred to this fund in 1993-94), the Department of Motor Vehicles, and the Department of Transportation, transportation related debt service costs and fringe benefits costs related to DPS, DMV and DOT employees.

In the 1997-99 biennial budget a few new programs have been instituted and some current programs have been expanded. The following listing includes the most significant of these items.

Major New and Expanded Services

Agency/Service	Approp. FY 1997-98	Approp. FY 1998-99
Department of Information Technology		
Establish the Department of Information Technology by Consolidating the Office of Information and Technology (OPM) and the Bureau of Technical Services (DAS)	$509,606	$1,509,606
Department of Public Works		
Minor Capital Improvements	2,000,000	2,000,000
Department of Mental Retardation		
Reduce Waiting List for Various Services	6,900,000	8,461,752
Department of Mental Health and Addiction Services		
Establish Pre-Trial Drug Education Program	1,200,000	2,500,000
Department of Social Services		
Establish Pilot Program for Transportation Services for Employed Clients	2,200,000	2,205,000
Speed-up of Population Served by Medicaid	5,000,000	5,000,000
Safety Net Services Program	2,500,000	5,000,000
Expand Child Care Certificates to the Working Poor	0	10,000,000
Provide Early Care and School Readiness Services in Priority School Districts	8,780,000	17,560,000
TANF - Child Support Enforcement	2,154,490	1,085,370
Expand Healthy Start	1,250,000	1,250,000
Establish Time Limited Rental Assistance Program for Low-Income Individuals	5,000,000	5,000,000
Department of Education		
Create Additional Head Start Programs	1,000,000	1,000,000
~~Expand Project Concern~~	~~0~~	~~1,600,000~~
Increase Priority School District Grants	2,500,000	3,000,000
Expand Charter Schools	3,000,000	3,000,000
Increase Funding for Family Resource Centers	2,000,000	4,000,000
Expand Interdistrict Programs	5,500,000	6,000,000
Create Early Childhood Program	10,000,000	19,780,000
Require That No Priority School District Receive Less Than 70% of its ECS Aid, or Less Than the Previous Year, Whichever is Greater	2,700,000	3,000,000
Department of Children and Families		
Implement Recomm. of Healthy Families Task Force	1,040,000	1,040,000
Judicial Department		
~~Support Enforcement Funding to Comply with Federal Law~~	~~734,085~~	~~1,162,410~~
Fund Additional Alternative Incarceration Programs	4,404,161	4,992,339
Miscellaneous Appropriations Administered By The Comptroller		
Reimbursements to Towns for Loss of Taxes on Private Tax-Exempt Property - Increase Reimbursement Level from 60% to 65% of Estimated Tax Loss	7,107,134	6,386,537
Mashantucket Pequot/Mohegan Fund - Grants to Towns Expand Funding Level from $85 million to $135 million	50,000,000	50,000,000
Grand Total	**$129,013,476**	**$168,067,014**

Note: The amounts shown are legislative increases from current services.

While the above list details new and expanded programs, there are also provisions for significant increases in the funding level of several existing items for the two major appropriated funds which result from the need to meet expenditures mandated by law, contract, federal mandates, court orders, caseload increases, etc. and other factors not readily controllable. It should be noted that these increases are the amount included in the final budget and may, in some instances, reflect a lower level of funding than that projected to maintain the current services level.

Significant Increases
(Primarily over 1996-97 Estimated Expenditures)

	1997-98 Amount	1998-99 Amount
Department of Revenue Services		
Implement Non-Resident and Nexus Investigation Project	$1,254,000	$1,254,000
Office of Policy and Management		
Additional Funding to Meet Grant Requirements		
Property Tax Relief - Elderly Circuit Breaker	2,648,419	4,898,419
Property Tax Relief - Veterans	717,236	1,767,236
PILOT - New Manufacturing Machinery & Equipment	17,202,300	22,152,300
Provide Funding for Private Providers	9,000,000	9,000,000
Department of Public Works		
Add Funding for Operation and Management of New Facilities	1,877,700	2,254,900
Reallocate Bond Fund Positions to the General Fund for Facilities Design Expenses	1,655,000	1,655,000
Attorney General		
Provide Funds for Police Wiretap Case	1,100,000	1,100,000
Division of Criminal Justice		
Transfer Various Staff to the General Fund from Bond Forfeiture Funds	1,450,000	1,450,000
Department of Public Safety		
Provide Funding for Trooper Trainee Classes	2,251,860	1,876,550
Department of Labor		
Funding for the Employment Services/JOBS Program	22,500,000	22,500,000
Department of Mental Retardation		
Increase Funding for Age-Outs	2,403,223	4,806,446
Increase the Certification of ICF/MR beds at Southbury Training School	4,198,304	7,089,915
Incorporate Assorted Annualizations	2,148,149	2,148,149
Department of Mental Health and Addiction Services		
Incorporate Assorted Annualizations	13,099,013	12,475,441
Restructure Eligibility for General Assistance Behavioral Health Services	4,950,000	4,950,000
Department of Transportation		
Rail Operations - Higher Costs for State's Share of Subsidy	2,733,404	4,851,949
Bus Operations - Higher Costs for State's Subsidy	1,625,844	840,371

	1997-98 Amount	1998-99 Amount
Department of Social Services		
Medicaid - Health Services Cost and Utilization Update	26,900,000	60,300,000
Connecticut Home Care Program	12,362,708	14,792,564
Medicaid - Nursing Home Care	29,989,177	60,204,975
Caseload, Utilization, and Funding Adjustments for Intermediate Care Facilities for the Mentally Retarded (ICF/MR) and Chronic Disease Hospitals	3,054,844	11,215,744
Medicare Premiums Rate Increase	0	2,816,252
Supplemental Rates for Enhancement of Services Provided by Federally Qualified Community Health Centers	4,000,000	4,000,000
Increase Income Eligibility Limits/ConnPACE	400,000	1,600,000
Adjust General Assistance Medical Costs to Reflect State Takeover	5,913,770	700,439
Caseload and Cost Annualization for General Assistance	1,223,563	3,180,892
Adjust Audits to Reflect Changes in the General Assistance Program	-1,000,000	3,100,000
Speed-Up Managed Care Implementation for Recipients of General Assistance	4,878,582	-795,416
Aged, Blind, and Disabled Caseload and Cost Per Case Change - Supplemental Assistance	5,875,207	11,083,678
Maintain Current Services Funding Level for Energy Assistance	4,500,000	4,500,000
Child Care Subsidies Caseload and Expenditure Update	23,361,056	28,177,056
Child Care Surplus Funding	0	20,000,000
Adjustments from the Child Care Development Block Grant to the Child Care Subsidies	7,227,988	8,479,465
Adjustments to Other Child Day Care Accounts	944,386	1,259,181
Increase Rates for State Funded Centers	1,181,042	1,181,042
Increase in Contracted Slots at State Funded Centers	1,500,000	5,000,000
Establish Child Care Facilities Fund Corporation	1,000,000	2,500,000
Provide Supplemental Grants for Child Care	2,000,000	2,000,000
Enhance Child Care Provider Training and Child Care Resource and Referral	2,000,000	2,000,000
Incorporate Assorted Annualizations	13,848,548	19,745,336
Enhancement of Earned Income Tax Credit (EITC)	2,355,656	1,615,219
Privatize Certain Backlogged Child Support Cases	2,395,000	2,084,000
Department of Education		
Increase Funding for Development of Mastery Exams Grades 4, 6, and 8	514,089	1,034,123
Increase Funding for Existing Charter Schools	3,000,000	6,069,000
Increase Funding for Transportation of School Children	3,038,851	5,538,851
Increase Funding for Adult Education	1,717,147	3,934,514
Increase Funding for Education Equalization Grants	14,444,666	28,454,666
Increase Funding for Excess Cost - Student Based	3,273,776	6,273,776
Department of Higher Education		
Provide Additional Funds for Student Financial Aid	6,000,000	6,000,000
Teachers' Retirement Board		
Increase State Contribution to Cover System Costs (Net of 85% Funding Level Reduction)	31,598,300	40,892,300

	1997-98 Amount	1998-99 Amount
Department of Children and Families		
Expand Subsidized Adoption Placements/Consent Decree	1,189,800	1,434,600
Consolidate and Annualize Day Treatment Program Funding	1,110,000	1,110,000
Revise Long Lane School's Staff Level	1,908,762	975,298
Expenditure Update/No-Nexus Special Education	1,300,000	1,300,000
Increase Foster Care Rates/Consent Decree	2,300,223	2,300,233
Expand Foster Care Placements/Consent Decree	2,915,679	3,215,559
Expenditure Update/Board and Care for Children	1,475,832	1,475,832
Expenditure Update/Single Cost Accounting Rate Increases	1,000,000	2,000,000
County Sheriffs		
Provide Pay Increase for Special Deputy Sheriffs	800,000	1,600,000
Judicial Department		
Provide Increases for Various Trainees	1,435,840	1,435,840
Provide Funds for Increased Leases	3,563,095	2,601,414
Provide Funds for New Courthouses	0	1,210,005
Public Defender Services Commission		
Fund Bond Forfeiture Proposal from General Fund	1,350,000	1,350,000
Debt Service - State Treasurer		
Debt Service - G. F.	37,125,301	110,244,612
UConn 2000 - Debt Service	10,955,406	23,669,971
Total - General Fund	48,080,707	133,914,583
Debt Service - T. F.	31,753,070	57,946,469
GO Debt Service Increase	618,688	2,196,341
Total - Transportation Fund	32,371,758	60,142,810
Provide Funding for Collective Bargaining Contracts/ Arbitration Awards		
General Fund	98,150,542	199,537,834
Transportation Fund	13,463,422	23,540,359
Reimbursements to Towns for Loss of Taxes on State Property		
Increased Costs Due to Higher Property Values and Mill Rate Adjustments	2,980,724	4,647,717
Reimbursements to Towns for Loss of Taxes on Private Tax-Exempt Property		
Increased Costs Due to Higher Property Values and Mill Rate Adjustments	6,692,866	10,213,463
Unemployment Compensation		
Adjust Funding to Reflect Position Changes	1,700,000	160,000
State Employees Retirement Contributions		
Increase State Contribution to Cover System Costs (Net SEBAC Agreement Reductions)	8,537,148	28,089,306
Judges and Compensation Commissioners Retirement		
Funding Adjustment Based on Actuarial Estimates	-32,790	1,856,376

	1997-98 Amount	1998-99 Amount
Employers Social Security Tax		
Adjustment to Reflect Higher Payroll Costs	6,777,729	11,443,529
State Employees Health Service Cost		
Adjust Funding to Reflect Position Changes and Higher Costs	4,709,700	5,416,700
Increase Funding as a Result of SEBAC V.	6,537,000	6,537,000
Adjust Funding to Reflect Position Changes	2,979,800	2,806,800
Retired State Employees Health Service Cost		
Increase Funds as a Result of SEBAC V	4,300,000	4,300,000
Total Significant Increases	**$569,910,645**	**$990,623,591**

In addition to revisions to new and expanded programs and present level increases, there were also a number of significant reduction revisions resulting from cost cutting measures, adjustments to caseloads, use of funds carried forward into FY '98 and FY '99 from '97, or other circumstances.

Significant Reductions
(Primarily from 1997-99 Current Services Level)

	1997-98 Amount	1998-99 Amount
Department of Revenue Services		
Reorganize and Revise Work Procedures	-$2,235,900	-$2,285,900
Reduce Funding Due to State Data Center Rate Reductions	-1,104,666	-1,104,666
Office of Policy and Management		
Reduce Funding to Streamline Agency	-1,050,000	-1,050,000
Reduce Funding for Elderly Renters Tax Relief Program	-1,764,000	-1,764,000
Adjust Funding for Property Tax Relief for Elderly Homeowners-Circuit Breaker	-3,750,000	-6,000,000
Adjust Funding for Veterans Property Tax Relief Grant	0	-1,300,000
Department of Veterans Affairs		
Reduce Funding from the Personal Services Account	-1,099,989	-1,099,989
Department of Administrative Services		
Eliminate Inflation and Effect Operational Economies	-1,049,915	-1,185,381
Department of Public Works		
Eliminate Positions and Reduce Funding for Facilities-Norwich Hospital Campus Management	-1,500,000	-1,500,000
Department of Public Safety		
Retain Public Building Review Threshold	-3,912,054	-3,341,054
Fund Equipment Items from the CEPF	-1,958,088	-1,166,700
Motor Vehicle Department		
Postpone the Vision Screening Requirement (Transportation Fund)	-1,107,130	-1,130,858

	1997-98 Amount	1998-99 Amount
Department of Labor		
Reduce Funding for the Purpose of Streamlining the Community Employment Incentive Program	-1,303,713	-1,284,863
Remove Funding for Stipend Program	-1,190,000	-1,190,000
Workers' Compensation Commission		
Implement More Cost Effective Rehabilitation Services (Workers' Comp. Fund)	-1,024,000	-1,047,552
Department of Economic and Community Development		
Reduce Funds for Connecticut Housing Partnership Program	-1,234,802	-1,234,802
Department of Public Health		
Obtain Equipment Funding from the Capital Equipment Purchase Fund (CEPF)	-1,429,377	-1,533,190
Expenditure Update/Reductions in Inflationary Increases	-1,103,252	-2,200,685
Department of Mental Retardation		
Expenditure Update/Reductions in Inflationary Increases	-6,792,079	-13,486,779
Reduce Workers' Compensation Due to Recalculation	-1,500,000	-1,500,000
Expenditure Update/Other Expenses	-4,700,000	-4,700,000
Department of Mental Health and Addiction Services		
Reductions in Inflationary Increases	-4,397,864	-5,768,859
Reduce Addiction Services Funding to the Department of Correction	-1,002,336	-1,002,336
Eliminate Operational Funds for Norwich Hospital	-2,000,000	-2,000,000
Reduce Funding for Workers' Compensation Claims	-1,436,816	-1,436,816
Department of Transportation		
Eliminate Inflation in Other Expenses	-617,040	-1,219,158
Highway & Bridge Renewal Equipment - Reduction to Reflect the transfer of $5.6 million from Rail Operations in FY 97	-5,600,000	-5,600,000
Increase Connecticut Rail Fares on the New Haven Commuter Rail Line	-1,283,000	-4,447,000
Department of Social Services		
Transfer Funding of Certain Programs to the Social Services Block Grant	-23,663,857	-23,663,857
Contract Purchasing of Pharmacy Services for Medicaid	-20,600,000	-22,600,000
Contract for the Purchase of Non-Emergency Medical Transportation	-3,000,000	-3,000,000
Savings from Implementation of Medicaid Managed Care	-64,471,592	-110,068,698
Development and Implementation of the 1115 Research and Demonstration Waiver for the Dually Eligible Medicaid Population	0	-55,000,000
Hospital Retroactive Payment Adjustment	-19,345,781	-29,845,781
Delay in Payment for Prepaid Capitation	0	-28,000,000
Reduce Disproportionate Share Payments	0	-34,000,000
ConnPACE Program Cost Changes	-4,605,526	-4,085,593
Adjust GA Medical Costs Due to Time Limits	-9,537,212	-9,537,212
Eliminate Standards Increase for General Assistance	-890,000	-1,860,000
Eliminate General Assistance Benefits to Employables	-6,730,000	-7,480,000

	1997-98 Amount	1998-99 Amount
Implement Managed Care for Recipients of General Assistance	-4,710,000	-680,000
Eliminate Standards Increase for Supplemental Assistance	-1,314,935	-2,606,627
Adjust Supplemental Assistance for the Social Security Offset	-1,328,510	-2,640,095
Caseload Reduction Update - TFA	-29,164,231	-125,984,120
Elimination of Standards Increase for TFA Clients	-7,525,740	-12,494,685
Compliance with Federal Welfare Law Provisions	-2,027,466	-2,856,062
Enhancement of Earned Income Tax Credit (EITC)	-4,825,207	-3,363,128
Implementation of Changes to Temporary Assistance to Needy Families (TANF) Program	-840,118	-6,664,239
Privatize Certain Backlogged Child Support Cases	-3,919,500	-4,329,000
Implementation of Family Cap	-1,210,903	-3,188,309
Establish Separate Rates for Licensed and Unlicensed Child Care	-3,955,234	1,250,391
Obtain Equipment Funding from the Capital Equipment Purchase Fund	-1,548,500	-1,698,500
Reduce Funding Due to State Data Center Rate Reduction	-4,186,235	-4,186,235
Department of Education		
Remove Inflation and Effect Administrative Savings	-1,695,179	-2,594,560
University of Connecticut		
Reflect Payment of UConn 2000 Match with FY 97 funds in FY 98 and limit payment to $5 million in FY 99	-8,446,000	-3,446,000
Reduce Block Grant	-1,364,368	-1,401,534
Regional Community - Technical Colleges		
Reduce Faculty and Staff	-1,176,716	-1,119,442
Eliminate Wilcox Nursing Program	-1,103,868	-1,248,898
Connecticut State University		
Reduce Faculty and Staff	-1,150,233	-1,283,056
Department of Correction		
Expand Cook/Chill Method	-1,606,200	-2,571,427
Reduce Health Services Funding	-3,000,000	-3,000,000
Consolidate Support Units	-1,250,000	-1,250,000
Reduce Inflation Increases and Personal Services	-9,060,391	-12,404,953
Transfer to Capital Equipment Purchase Fund	-2,979,209	-2,306,181
Close Northeast Prison	-1,000,000	-1,694,000
Department of Children and Families		
Expenditure Update/Board and Care for Children	-1,587,618	-1,587,618
Expenditure Update/Reduction in Inflationary Increases	-10,956,204	-15,446,883
Enter into Administrative Services Only Contract	-6,000,000	-6,000,000
Expenditure Update/LINK Computer System	-2,878,944	-5,192,822
Judicial Department		
Reduce Personal Services	-3,983,584	-3,298,584
Eliminate Inflation Increases	-1,449,526	-2,946,961
Fund Equipment Items from the CEPF	-3,016,492	-2,458,864
Reduce Other Expenses	-730,000	-1,415,000

	1997-98 Amount	1998-99 Amount
Debt Service - State Treasurer		
Revise General Fund Debt Service	-4,920,700	-9,893,416
Revise UConn 2000 Debt Service	-585,000	-1,074,375
Carry-Forward of Appropriations from FY 97 for General Fund Debt Service	-20,000,000	-20,000,000
Revise Transportation Debt Service	-1,197,666	-1,917,700
STO Debt Service Reduction Transportation Fund	-3,594,823	-4,668,400
Reserve for Salary Adjustments		
Limit Workweek Increase		
General Fund	-251,000	-2,216,000
Transportation Fund	-21,000	-215,000
(The workweek increase was subsequently approved by the Governor.)		
Tuition Reimbursement - Training and Travel		
Reduce funds due to collective bargaining changes	-1,622,000	-1,622,000
Delay Conversion to Generally Accepted Accounting Principles (GAAP)		
Estimated Implementation Costs Avoided	-60,000,000	-60,000,000
Amortization Costs Avoided	0	-42,700,000
(Additional Revenue Accruals of $23 million in each fiscal year will also be postponed)		
Total Significant Reductions	**-$433,173,289**	**-$788,136,012**

The agency budget summaries in Section II of this book provide additional information on these and other changes made in agency budgets for the 1997-99 biennium.

The total amounts authorized under SA 97-21, plus other acts, are summarized as follows:

FISCAL YEAR 1997-98

Fund	Gross Appropriation	Less Est. Lapse/Adj.	Net Appropriation
General	$9,534,161,779	$-191,912,000	$9,342,249,779
Transportation	850,170,869	-20,417,500	829,753,369
Mashantucket Pequot and Mohegan	135,000,000	0	135,000,000
Soldiers, Sailors and Marines'	3,414,553	0	3,414,553
Regional Market Operation	829,330	0	829,330
Banking	15,593,797	0	15,593,797
Insurance	13,188,927		13,188,927
Consumer Counsel and Public Utility Control	14,241,054	0	14,241,054
Workers' Compensation	21,559,329	0	21,559,329
Criminal Injuries Compensation	1,900,000	0	1,900,000
GRAND TOTAL	**$10,590,059,638**	**$-212,329,500**	**$10,377,730,138**

FISCAL YEAR 1998-99

Fund	Gross Appropriation	Less Est. Lapse/Adj.	Net Appropriation
General	$9,727,049,724	$-231,158,000	$9,495,891,724
Transportation	847,163,766	-18,315,000	828,848,766
Mashantucket Pequot and Mohegan	135,000,000	0	135,000,000
Soldiers, Sailors and Marines'	3,467,848	0	3,467,848
Regional Market Operation	833,403	0	833,403
Banking	15,396,083	0	15,396,083
Insurance	13,890,610	0	13,890,610
Consumer Counsel and Public Utility Control	14,838,904	0	14,838,904
Workers' Compensation	21,826,664	0	21,826,664
Criminal Injuries Compensation	1,900,000	0	1,900,000
GRAND TOTAL	**$10,781,367,002**	**$-249,473,000**	**$10,531,894,002**

Estimated Lapses and Other Built-in Savings

The budget anticipates the following sums to remain unexpended, either through normal spending patterns (most agencies do not spend their full appropriation), or through "mandated" savings. The Office of Policy and Management has prorated the Personal Services and Other Expenses reductions among most agencies (the constituent units of higher education are exempt from these reductions as well as those associated with the Early Retirement Incentive Program) and has shown these amounts as targets to be achieved by the agencies as they plan their quarterly spending for 1997-98.

Lapse/Savings	1997-98	1998-99
General Fund:		
Legislative Unallocated Lapse	$ .7	$ .7
Estimated Unallocated (Normal) Lapse	63.5	73.5
General Personal Services Reduction	11.0	11.0
General Other Expenses Reduction	12.0	12.0
Early Retirement Incentive Program	104.7	83.9
Statewide Agency Functional Consolidation	0.0	50.0
Total - General Fund	$ 191.9	$ 231.1
Transportation Fund:		
Estimated Unallocated (Normal) Lapse	$ 10.0	$ 10.0
Early Retirement Incentive Program	10.4	8.3
Total - Transportation Fund	$ 20.4	$ 18.3
Total - General Fund and Transportation Fund	$ 212.3	$ 249.4

Personal Services Reductions

Our analysis of the Governor's recommended budget (dated March 14, 1997) indicated that the various Personal Services (PS) reductions related to permanent full-time positions included within the Governor's budget would amount to $252.6 million in FY 1997-98 which represents a decrease in "current services" of approximately 13.3%. The $252.6 million equates to an estimated position reduction of 5,425 or an 11.9% decrease from the FY 1996-97 Authorized position level of 45,426. As of January 31, 1997, there were 43,156 filled General Fund and Transportation Fund positions. Thus

the Governor's recommendations would have reduced the Authorized position level by 5,425, to 40,001, including 2,270 unfilled positions and 3,155 filled positions. Subsequent legislative action increased funding for approximately 959 positions leaving 2,196 existing filled positions that would need to be eliminated either through additional attrition or direct layoffs.

The various reductions from permanent full-time current services as originally recommended by the Governor and subsequently adjusted as aforementioned by the legislature include:

1. agency PS cuts with specific position reductions identified;
2. agency PS cuts with no specific position reductions identified;
3. agency turnover deductions;
4. general PS lapse deduction shown at the end of the budget; and
5. Early Retirement Incentive Program savings reflected at the end of the budget.

(For # 2 - 5, OFA used average salary data to equate the savings to a number of positions.)

It is also possible that additional PS savings could result from the estimated unallocated (normal) lapse indicated at the end of the budget. However, we have not attributed a specific PS savings amount to this lapse under the assumption that the Governor recognized that he would achieve savings instead through the reductions outlined above by decreasing the unallocated lapse amount in each year of the biennium.

The budget anticipates General Fund and Transportation Fund savings of $115.1 million in FY 1997-98 and $92.2 million in FY 1998-99 from implementation of the Early Retirement Incentive Program (ERIP). These savings assume that 3,621 or 43.77% of the 8,274 eligible employees will retire, 1,894 of the retirees will be replaced and the remaining 1,727 positions will be eliminated.

The $50.0 million savings associated with Statewide Agency Functional Consolidation recommended by the Governor and included within the legislative budget in FY 1998-99 (at the end of the document) could also result in PS savings depending upon the way in which it is implemented. OPM generally indicated that the $50.0 million savings would result from: 1) agency restructuring ($10.0 million to $15.0 million), of which at least $10.0 million is the result of a 25% reduction in the state's Information Technology (IT) workforce, or 250 positions; 2) the sale of assets ($10.0 million in "one-time" revenue which would be used to offset General Fund appropriations); and 3) streamlining and efficiencies (at most $30.0 million) in the state's delivery and utilization of IT. Ultimate savings will depend upon the manner in which these consolidations are implemented through the contractual arrangement between the state and the IT providers which has not yet been determined.

Achieving the savings and associated position reductions will require close monitoring by the Office of Policy and Management (OPM). The savings that will be realized from the initiatives related to Personal Services reductions including early retirement and information technology will ultimately be determined by executive branch execution. The Governor's budget documents do not indicate what the full impact of these reductions will be on existing programs and services. Reductions in permanent full-time positions could result in higher expenditures for overtime, PS contracts, or outside consultants, as well as the filling of temporary and part-time positions. The degree to which state employee layoffs may be required is unknown as is the level of any potential deficiency appropriations that may result from not achieving the recommended savings.

In order to help resolve these uncertainties, Sections 56 and 57 of SA 97-21 (the Appropriations Act) require: 1) OPM to submit quarterly reports to the Appropriations Committee concerning the actual and projected costs and savings associated with the Early Retirement Incentive Program (Section 56); and 2) the Governor's FY 1998-99 recommended budget revisions to include a report which details the implementation plan for achieving the savings associated with Statewide Agency Functional Consolidation (Section 57).

Carry-Forward Provisions

In addition to the appropriations contained in SA 97-21, numerous provisions are made in other sections of this act as well as PA 97-11 (June 18, 1997 Special Session) to permit some $216.0 million of appropriations to be carried forward from 1996-97 into 1997-98. This is composed of the following

amounts, by fund: $194.8 million General Fund, $19.3 million Transportation Fund, $1.0 million Banking Fund and $.9 million Workers' Compensation Fund. The General Fund and Transportation amounts include the following appropriations from 1996-97 surplus which will continue to be available for expenditure in 1997-98 or 1998-99 as indicated below:

Carry-Forwards of Appropriations from FY 97 Surplus
(Figures in Millions)

Item	Amount	For Use in:
Accrued time pay-out from Salary Reserve to Early Retirement participants	$51.4 General Fund	FY 98
	5.2 Transportation Fund	FY 98
Debt Service	40.0 General Fund	FY 98 ($20.0 million) FY 99 ($20.0 million)
UConn Endowment Matching Grant	9.1 General Fund	FY 98
MD Health Plan	9.0 General Fund	FY 98 & FY 99
General Assistance Managed Care	5.2 General Fund	FY 98
Advances for payment of principal and interest on nursing home bonds issued by the CT Health and Educational Facilities Authority (CHEFA) and secured by a Special Capital Reserve Fund (SCRF)	4.0 General Fund	FY 98 & FY 99
	$123.9 Total	

In addition to the above carry-forwards of appropriations from FY 97 surplus, other significant carry-forwards include: $24.1 million ($16.3 million General Fund and $7.8 million Transportation Fund) for the Reserve for Salary Adjustments account and $22.0 million for various accounts within the Department of Social Services, the largest of which is $14.5 million for privatizing data processing services.

REVENUE AND TAXATION

General Fund

The General Assembly adopted General Fund revenue estimates totaling $9.342 billion for FY 97-98 which is a net revenue estimate after transferring $180 million to the Mashantucket and Mohegan Fund. This represents an decrease of $144.1 million under FY 96-97 estimated revenue.

For the second year of the biennium the General Assembly adopted General Fund revenue estimates totaling $9.496 billion for FY 98-99, which is a net revenue estimate after transferring $90 million to the Mashantucket and Mohegan Fund. This represents an increase of $153.6 million over the FY 97-98 estimate.

The revenue changes may be broken down into major components - revenues resulting from economic growth which affect the revenue base, revenue resulting from federal reimbursements, and revenue increases and decreases resulting from the direct action of the legislature. Legislative acts which affect tax bases or change tax/fee rates are referred to as structural changes. Other actions of the legislature, particularly those which affect the timing of revenue collections, are referred to as non-recurring revenue sources because they have an effect in one year which will not be repeated in

the following year. The following table shows the effect on General Fund revenues from economic growth and legislative changes.

Revenue Changes
1997-98

	(000)	Percent Change
FY 1996-97 Revenue Estimate	$9,486,500	
Plus Transfers to:		
Mashantucket Pequot & Mohegan Fund	85,000	
FY 1996-97 Base Revenue (adjusted amount)	9,571,500	
FY 1997-98 Revenue from:		
Structural changes (decreases net of increases)	(281,200)	(2.9%)
Non-recurring	8,600	0.1%
Federal Grants	102,500	1.1%
Economic Growth	121,000	1.3%
Total FY 1997-98 Revenue from Changes & Growth	(49,100)	(0.5%)
Total FY 1997-98 Revenue	$9,522,400	
Transfer to Mashantucket Pequot & Mohegan Fund	$(180,000)	
Net FY 1997-98 General Fund Revenue	**$9,342,400**	

Legislative Changes Enacted During 1997 Session
Affecting FY 97-98 Revenues:

	FY 97-98 ($000)	
	Structural	Non-Recurring
Taxes:		
Income Tax	$(105,400)	
Sales and Use Tax	(25,200)	(400)
Corporation Tax	(52,100)	
Inheritance and Estate Tax	(43,100)	
Public Service Companies Tax	(13,500)	
Hospital Gross Receipts Tax	(24,900)	
Insurance Premiums Tax	(2,000)	
Oil Companies Tax	(200)	
Miscellaneous Taxes	(4,000)	
Admissions, Dues, Cabaret Tax	(900)	
Other Revenue		
Special Revenue	(1,600)	
License, Permits, and Fees	(8,300)	
Miscellaneous Other Revenue		9,000
Total Changes Affecting FY 97-98 Revenue	**$(281,200)**	**$8,600**

Revenue Changes
1998-99

	(000)	Percent Change
FY 97-98 Revenue	$9,342,400	
Add: Non-recurring	8,600	
Plus: Transfer to Mashantucket Pequot & Mohegan Fund	180,000	
FY 98-99 Base Revenue (adjusted amount)	$9,531,000	
FY 98-99 Revenue from:		
Structural Changes (decreases net increases)	(220,800)	(2.3%)
Non-Recurring	80,000	0.8%
Federal Grants	(40,500)	(0.4%)
Economic Growth	236,300	2.5%
Total FY 98-99 Revenue from Changes & Growth	$55,000	0.6%
Total FY 98-99 Revenue	$9,586,000	
Transfer to Mashantucket Pequot & Mohegan Fund	(90,000)	
Net FY 98-99 General Fund Revenue	**$9,496,000**	

Legislative Changes Enacted During 1997 Session
Affecting FY 98-99 Revenues:

	FY 98-99 ($000) Structural	Non-Recurring
Taxes:		
Income Tax	$(90,000)	
Sales and Use Tax	(14,100)	
Corporation Tax	(59,400)	
Inheritance and Estate Tax	(20,600)	
Public Service Companies Tax	(200)	
Oil companies Tax	(20,200)	
Hospital Gross Receipts Tax	(16,100)	
Other Revenue		
License, Permits, and Fees	(200)	
Miscellaneous Other Revenue		80,000
Total Changes Affecting FY 97-98 Revenue	**$(220,800)**	**$80,000**

PRELIMINARY GENERAL FUND REVENUE AND EXPENDITURE PROJECTIONS FOR FISCAL YEARS 2000-2002

Our preliminary analysis of estimated FY 1998-99 General Fund revenues and expenditures associated with the legislatively approved budget for the 1997-99 biennium projected out through FY 2001-02 (see Table 1) indicates potential shortfalls of $259.6 million in FY 1999-00, $326.8 million in 2000-01, and $368.1 million using spending cap expenditure levels. The Governor's figures had indicated General Fund shortfalls based on current services expenditures (which on an all funds basis were estimated to be less in each year than the amounts allowable under the cap) of $275.6 million in FY 1999-00, $172.3 million in FY 2000-01 and $139.0 million in FY 2001-02. Our shortfall projections associated with the legislative budget which passed are estimated to be $339.9 million for FY 1999-00, $356.0 million for FY 2000-01 and $365.8 million for FY 2001-02 based on current services expenditures. OFA's projected out-year shortfalls are greater than those of the Governor largely because projected revenues are anticipated to keep pace with expenditure requirements to a lesser extent than the level recommended by the Governor. Legislatively approved General Fund appropriations for FY 1998-99 are $144.7 million higher than the Governor's recommendations.

Our projections of the legislatively approved budget assume that the current statutory spending cap remains in place, its provisions unchanged, and thus, reductions from projected current services expenditures will be made to remain within the limitations imposed by the cap. Reductions to current services of $80.3 million in FY 1999-00 and $29.2 million in FY 2000-01 will need to be made in order to remain within the limit allowed by the cap. Decreases of this magnitude in the state budget will require reductions in state services and programs as it is unlikely that these amounts can be saved through increased efficiencies alone, given the cuts that have been made in state agency budgets over the last five years.

The expenditure projections for FY 1999-00 through FY 2001-02 have been developed based on our preliminary examination of significant accounts and by applying a 3.15% increase to the remaining accounts for each of the out-years. Our estimates assume successful implementation of budgeted lapse savings by the executive branch. These out-year budgets may be impacted by future federal budget action. It should be noted that projections of this nature are difficult to make with a high degree of accuracy. Economic changes, demands and mandates on state government and the future action of the Governor(s) and the Legislature are difficult to predict two or three years into the future.

Revenue projections are shown to indicate General Fund revenue before tax changes, the amount of net revenue change due to tax changes, the amount of revenue after tax changes which is called current services revenue. The detailed General Fund revenue changes for FY 1997-98 through FY 2001-02 appear in Table 2. The largest factor affecting the projections is tax cuts, primarily in the Corporation, Personal Income, Inheritance, and Hospital Gross Receipts Taxes. The estimates in the table show the cumulative effect of the tax changes over and above the base year of FY 1996-97. The increase in revenue loss from $272.6 million in FY 1997-98 to $623.2 million in FY 2001-02 reflects the fact that a number of the changes are phased in over several years. Growth rates for the various taxes during this period, adjusted for base and rate changes, reflect long term trend rates and assume modest economic growth with no recession.

TABLE 1

PRELIMINARY OUT-YEAR GENERAL FUND REVENUE AND EXPENDITURE PROJECTIONS FY 2000-02 (Figures in $ Millions)

	Final Legislative Budget [1]		Current Services [2]		
	1997-98	1998-99	1999-00	2000-01	2001-02
Revenue Projections Unadjusted for Tax Changes	9,615.0	9,918.4	10,356.1	10,712.3	11,128.8
Revenue Changes	(272.6)	(422.4)	(627.3)	(624.6)	(623.2)
Current Services Revenues	9,342.4	9,496.0	9,728.8	10,087.7	10,505.6
Current Services Expenditures	9,342.2	9,495.9	10,068.7	10,443.7	10,871.4
Spending Cap Expenditures	9,550.1	9,771.0	9,988.4	10,414.5	10,873.7
Spending Reductions Necessary/ (Not Necessary) for Cap Compliance	(207.9)	(275.1)	80.3	29.2	(2.3)
Current Services Revenues Less Spending Cap Expenditures - Potential Gap	-	-	(259.6)	(326.8)	(368.1)
Current Services Revenues Less Current Services Expenditures - Potential Gap	0.2	0.1	(339.9)	(356.0)	(365.8)

[1] Revenues and expenditures reflect figures contained within SA 97-21 (sHB 6702, the Appropriations Act), PA 97-322 (Emergency Certified HB 7069) and PA 97-11 from the June 18, 1997 Special Session (Emergency Certified SB 3004). The revenue figures were approved by the Finance Committee.

[2] Expenditure figures reflect net costs associated with implementation of Generally Accepted Accounting Principles (GAAP) effective 7/1/99. The revenue figures assume that the Mashantucket Pequot and Mohegan Fund will continue to intercept $135.0 million in General Fund revenue from FY 1999-00 through FY 2001-02.

TABLE 2
GENERAL FUND REVENUE CHANGES FROM FY 1997-98 TO FY 2001-02

Described below are public acts that will affect revenue collections between FY 1997-98 and FY 2000-02. Each entry includes the public act number(s) that enacted the change, a brief description, and the estimated revenue change. The amount shown for each item is the amount expected to occur using FY 96-97 as the base. Tax changes with revenue impacts of less than $0.1 million are not included in the list.

Public Act #	Description					FY 98	FY 99	FY 00	FY 01	FY 02
						($'s millions)				
Personal Income										
95-160,	Reduce rate from 4.5% to 3%:									
97-309 & 97-322	Filer	95-160	1/1/97	1/1/98	1/1/99	(53.0)	(129.6)	(146.6)	(146.6)	(146.6)
	Joint	9,000	12,500	15,000	20,000					
	H. of H.	7,000	10,000	12,000	16,000					
	Single	4,500	6,250	7,500	10,000					

Public Act #	Description	FY 98	FY 99	FY 00	FY 01	FY 02
		($'s millions)				
95-160, 97-309 & 97-322	Property Tax Credit on taxes paid on residence or car: Effective / Max Credit 1/1/96 $100 1/1/97 $215 1/1/98 $285	(96.0)	(152.0)	(163.0)	(163.0)	(163.0)
97-309	Increase Social Security Exemption effective 1/1/98.	(1.0)	(10.0)	(10.0)	(10.0)	(10.0)
97-81	Raise underpayment limit from $200 to $500 for amount of estimated income before interest charges.	(0.2)	(0.3)	(0.3)	(0.3)	(0.3)
97-309	Non-resident Nexus Investigation Program	6.0	12.0	12.0	12.0	12.0
97-309	Repeal credit for property taxes paid on motor vehicles.	38.8	84.5	134.1	185.0	241.0
	Subtotal Personal Income	**(105.4)**	**(195.4)**	**(173.8)**	**(122.9)**	**(66.9)**
Sales & Use						
94-4 & 95-160	Phase out tax on computer & data processing services, beginning 7/1/97.	(12.3)	(25.7)	(40.2)	(56.0)	(73.1)
94-4 & 95-160	Various exemptions postponed from 7/1/96 to 7/1/97.	(7.4)	(8.3)	(8.3)	(8.3)	(8.3)
95-160	Various exemptions, effective7/97.	(4.5)	(4.5)	(4.5)	(4.5)	(4.5)
96-232	Vessel repair & maintenance phase out.	(1.1)	(2.3)	(3.5)	(3.5)	(3.5)
97-316	Various exemptions, effective 7/97.	(1.0)	(0.9)	(0.9)	(0.9)	(0.9)
97-4 (JSS)	Exempt services to low-moderate income housing developments.	(0.1)	-	-	-	-
97-315	Allocation of Sales and Use Tax increase over FY 97 to CT Film, Video and Media Office.	(0.2)	-	-	-	-
97-309	Non-resident Nexus Investigation Program	1.0	2.0	2.0	2.0	2.0
	Subtotal Sales & Use	**(25.6)**	**(39.7)**	**(55.4)**	**(71.2)**	**(88.3)**
Corporation						
93-74 & 95-160	Net income base rate reductions	(26.2)	(78.7)	(129.4)	(129.4)	(129.4)
93-267	New limited liability corporate status	(5.0)	(5.0)	(5.0)	(5.0)	(5.0)
93-74 & 94-4	Reduce interest rate on underpayments	(2.2)	(3.4)	(4.6)	(4.6)	(4.6)

Public Act #	Description	FY 98	FY 99	FY 00	FY 01	FY 02
		($'s millions)				
96-175	S-corporation net income phase out	(3.0)	(7.0)	(11.0)	(16.0)	(22.0)
97-283	Permit S-Corporations to take tax credits in conjunction with the phase-out of the tax.	(1.5)	(1.3)	(0.9)	(0.5)	
92-193 & 93-74	Job training credit phase-in	(0.8)	(1.6)	(2.4)	(2.4)	(2.4)
93-382, 94-4, 95-160 & 96-144	Capital goods credit for small and medium-sized firms, effective for 1997 income year.	(0.4)	(2.6)	(3.3)	(3.3)	(3.3)
94-4 & 95-160	Clean Air Act credit, effective for 1997 income year.	-	(0.5)	(1.5)	(1.5)	(1.5)
94-4	Credit for Property Tax paid on data processing equipment beginning with 10/94 grand list.	(6.5)	(6.5)	(6.5)	(6.5)	(6.5)
96-265	Change in apportionment formula for motor carriers, effective for 1996 income year.	0.5	0.5	0.5	0.5	0.5
97-295	Fixed Capital Investment Credit. Phase in - 3% for 1998, 4% for 1999, and 5% for 2000 and after.	-	(1.3)	(6.8)	(8.9)	(10.6)
97-295	Human Capital Investment Credit. Phase in - 3% for 1998, 4% for 1999, and 5% for 2000 and after.	-	(0.3)	(1.7)	(2.3)	(2.7)
97-295	Construction Trades Apprenticeship Credit.	-	(0.1)	(0.4)	(0.5)	(0.5)
97-295	Extends sunset on Alternative Fuels Credit.	-	(1.0)	(1.0)	-	-
97-295	Repeal of Home Grown Cattle deduction and the following credits: Air Pollution, Industrial Waste, Work Education, Employee Training, and New Facilities.	-	0.3	4.7	4.8	4.8
97-251	Increase limit on Neighborhood Assistance credit from $3 to $4 million.	(1.0)	(1.0)	(1.0)	(1.0)	(1.0)
97-4 (JSS)	Single factor apportionment formula for credit card income.	(4.0)	(3.0)	(3.0)	(3.0)	(3.0)
97-309	Non-resident Nexus Investigation Program	3.0	6.0	6.0	6.0	6.0
	Subtotal Corporation	**(52.1)**	**(111.5)**	**(172.3)**	**(178.6)**	**(186.2)**
Estate & Inheritance						
95-298	Phase out tax beginning 1/1/97	(43.6)	(65.7)	(103.6)	(124.3)	(154.0)
97-165	Expands Estate Tax to include non-resident estates.	0.1	0.2	0.2	0.2	0.2

Public Act #	Description	FY 98	FY 99	FY 00	FY 01	FY 02
				($'s millions)		
97-165	Establishes a Generation Skipping Transfer Tax	0.4	1.8	1.8	1.8	1.8
	Subtotal Estate & Inheritance	**(43.1)**	**(63.7)**	**(101.6)**	**(122.3)**	**(152.0)**
Public Service Co.						
93-74 & 93-322	Phase out tax on manufacturing utilities	(5.4)	(5.4)	(5.4)	(5.4)	(5.4)
94-4 & 95-160	Exempt private water companies, effective 7/1/97.	(8.0)	(8.0)	(8.0)	(8.0)	(8.0)
96-205	Phase out tax on steam	(0.1)	(0.3)	(0.4)	(0.6)	(0.8)
	Subtotal Public Service Companies	**(13.5)**	**(13.7)**	**(13.8)**	**(14.0)**	**(14.2)**
Insurance Premiums						
97-11 (JSS)	Exempt policies written for the State Employee Health Plan.	(2.0)	(2.0)	(2.0)	(2.0)	(2.0)
	Subtotal Insurance Premiums	**(2.0)**	**(2.0)**	**(2.0)**	**(2.0)**	**(2.0)**
Oil Companies						
97-281	Phase out tax on fuel used in interstate vessels and #6 fuel oil used by industrial firms.	(0.2)	(0.4)	(0.6)	(0.8)	(0.8)
97-309	Transfer revenues generated from tax on petroleum products to Transportation Fund.	-	(20.0)	(36.0)	(36.0)	(36.0)
	Subtotal Oil Companies	**(0.2)**	**(20.4)**	**(36.6)**	**(36.8)**	**(36.8)**
Admissions						
97-315	Exempt live performances at Gateway's Candlewood Playhouse.	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)
97-315	Increase maximum exemption for motion picture tickets from $2 to $4.50.	(0.8)	(0.8)	(0.8)	(0.8)	(0.8)
	Subtotal Admissions	**(0.9)**	**(0.9)**	**(0.9)**	**(0.9)**	**(0.9)**
Miscellaneous						
94-4 & 95-160	Repeal tax on motor vehicle tires, effective 7/1/97.	**(4.0)**	**(4.0)**	**(4.0)**	**(4.0)**	**(4.0)**
Hospital Gross Receipts						
96-144	Decrease 11% rate to 9.25% 10/96, 8.25% 10/97, 7.25% 10/98, 6.25% 10/99	**(24.9)**	**(41.0)**	**(56.8)**	**(61.8)**	**(61.8)**
Trans. to Special Rev						
97-277	Increase municipal share of OTB revenue from 1% to 1.6%.	(1.7)	(1.7)	(1.7)	(1.7)	(1.7)
97-277	Expands simulcasting to four additional off-track betting outlets.	0.1	0.1	0.1	0.1	0.1
	Subtotal Transfers to Special Revenue	**(1.6)**	**(1.6)**	**(1.6)**	**(1.6)**	**(1.6)**

Public Act #	Description	FY 98	FY 99	FY 00	FY 01	FY 02
		($'s millions)				
License, Permits, Fees						
97-309	Transfer fees collected by Motor Vehicles and Consumer Protection to Transportation Fund.	(13.1)	(13.1)	(13.1)	(13.1)	(13.1)
97-186	Licensing of Alcohol and Drug Counselors	0.3	0.3	0.3	0.3	0.3
97-311	Licensing of Professional Counselors	0.5	0.3	0.3	0.3	0.3
97-311	Licensing of Paramedics	0.1	0.1	0.1	0.1	0.1
97-309	Increase in jury, civil, and alcohol pretrial fees.	3.2	3.2	3.2	3.2	3.2
97-11 (JSS)	Elimination of earmarking of Bond Forfeiture Funds.	0.7	0.7	0.7	0.7	0.7
	Subtotal License, Permits, Fees	**(8.3)**	**(8.5)**	**(8.5)**	**(8.5)**	**(8.5)**
Miscellaneous Revenue						
97-20	Transfer of state property without sale	**(1.0)**	-	-	-	-
97-21	Sales of state property	10.0	30.0	-	-	-
97-309	Proceeds from CHFA bond issuance	-	50.0	-	-	-
	Total General Fund Revenue Change	**(272.6)**	**(422.4)**	**(627.3)**	**(624.6)**	**(623.2)**

PRELIMINARY TRANSPORTATION FUND REVENUE AND EXPENDITURE PROJECTIONS THROUGH FISCAL YEAR 2002

Based on recent legislative action, the Special Transportation Fund (STF) will experience annual operating surpluses through FY 2001. Moreover, the cumulative Fund balance is expected to remain in the black through FY 2002.

Highlights of significant changes (which are mostly contained in PA 97-309) are as follows:

- A gas tax reduction of 6 cents per gallon in two phases: 3 cents (down to 36 cents per gallon) on 7/1/97 and an additional 3 cents (down to 33 cents per gallon) on 7/1/98.

- To compensate for the revenue loss and to ensure the long-term viability of the Fund, a portion of the revenue generated from the gross earnings tax levied on oil companies for the sale of petroleum products ($20.0 million in FY 1999 and $36.0 million, thereafter) and revenues from certain Department of Motor Vehicles fees ($13.1 million annually) will be transferred from the General Fund to the Transportation Fund. Ten dollars of the $20.00 emissions inspection late fee ($2.5 million) will be transferred from the Emissions Enterprise Fund to the Transportation Fund.

- The Highway Motor Patrol's program expenditures will be returned to the General Fund commencing in FY 1999 and its associated fringe benefits will be shifted in FY 2000.

- Any cumulative Transportation Fund surplus in excess of $20.0 million will be earmarked to reduce future Special Tax Obligation (STO) Debt Service costs through one or more of the following methods: 1) redeeming bonds prior to their maturity; 2) purchasing bonds in the

open market; 3) defeasing bonds by transferring funds into a special escrow account to meet specified future scheduled debt service payments; and 4) making debt service payments in the next fiscal year beginning with FY 1999; and 5) a combination of these methods. It is assumed that from the FY 1997 STF cumulative balance of $109.3 million $80.0. million will be used to defease bonds by transferring the funds into a special escrow account to meet debt service payments from FY 1998 through FY 2003, and $12.1 million will be used to pay debt service in FY 1999.

Programmatic and policy reductions are assumed to remain in place through FY 2002. A modest inflationary increase of approximately 3% has been applied to expenditures and a 0.5% growth rate to gasoline tax revenues in the out years.

The following table reflects the above-mentioned changes to the Transportation Fund adopted by the General Assembly for the 1997-99 biennial budget.

	SFY 96	SFY 97	SFY 98	SFY 99	SFY 00	SFY 01	SFY 02
PROJECTED REVENUES							
Motor Fuels Tax, MV Receipts, and Licenses, Permits, and Fees	764.0	812.8	783.5	747.5	750.4	753.5	756.4
Fed. Transp. Adm. (FTA)	4.0	3.5	3.0	2.8	2.5	2.5	2.5
Interest Income	40.7	44.0	31.0	32.0	33.0	34.0	35.0
Petroleum Cos. Gross Earnings Transfer (PA 97-309)	0.0	0.0	0.0	20.0	36.0	36.0	36.0
Gasoline, Retailer, DMV Fees (PA 97-309)	0.0	0.0	15.6	15.6	15.6	15.6	15.6
Transfer to Conservation Fund (PA 95-160)	-0.3	-0.3	-0.3	-0.3	-0.3	-0.3	-0.3
TOTAL REVENUES	**808.4**	**860.1**	**832.8**	**817.6**	**837.3**	**841.3**	**845.2**
Less: Refunds of Taxes	-6.4	-5.8	-5.9	-5.6	-5.6	-5.6	-5.6
TOTAL NET REVENUES	**802.2**	**854.3**	**826.9**	**812.0**	**831.7**	**835.7**	**839.6**
PROJECTED EXPENSES							
STF Operating Costs:							
DOT Budgeted Expenses	274.0	271.1	282.8	287.0	296.3	304.4	312.8
Non-Bonded Hwy & Bridge Projects	17.7	18.8	13.7	14.2	15.8	15.9	16.0
Airp't Paym't in Lieu of Taxes, (PA 93-433)	2.7	2.0	1.5	0.9	0.3	0.0	0.0
Subtotal - DOT Operating Costs	294.4	291.9	298.0	302.1	312.4	320.3	328.8
Debt Service:							
STO Debt Service	290.7	315.3	342.0	369.1	386.7	404.7	420.9
Less: Savings from Escrow Payments	0.0	0.0	-5.4	-11.7	-21.6	-21.4	-21.3
Less: Excess Balance to Pay Debt Service	0.0	0.0	0.0	-12.1	-6.9	-19.3	-14.6
GO Debt Service	54.8	46.0	46.8	45.8	31.6	30.2	20.8
Subtotal - Debt Service	345.5	361.3	383.4	391.1	389.8	394.2	405.8
DMV Budgeted Expenses	43.8	43.2	44.7	44.9	46.8	48.1	49.4
Hwy Motor Patrol Budgted Expenses	41.0	42.8	45.0	0.0	0.0	0.0	0.0
STF Fringe Benefits	62.9	64.5	59.0	65.4	53.6	56.3	59.3
Reserve for Salary Adjustment	0.0	10.6	10.4	15.8	21.7	22.3	22.9
Other Budgeted Expenses	3.5	4.0	3.9	4.0	4.1	4.2	4.4
TOTAL STF OPERATING COSTS	**791.1**	**818.4**	**844.4**	**823.3**	**828.4**	**845.4**	**870.6**
Plus: 27th Payroll					6.3		
Less: Estimated Lapse	0.0	-7.0	-10.0	-10.0	-14.0	-16.0	-18.0
Less: Early Retirement Incentive Program	0.0	5.2	-10.4	-8.3	-8.3	-8.3	-8.3
NET STF OPERATING COSTS	**791.1**	**816.6**	**824.1**	**805.0**	**812.4**	**821.1**	**844.3**

	SFY 96	SFY 97	SFY 98	SFY 99	SFY 00	SFY 01	SFY 02
ACTUAL/PROJECTED SURPLUS (DEFICIT)	11.1	37.7	2.8	7.0	19.2	14.6	-4.7
CUMULATIVE ACTUAL/PROJECTED SURPLUS (DEFICIT)	71.7	109.3	22.8	26.9	39.3	34.6	15.2
TRANSFER TO DEBT SERVICE ESCROW ACCOUNT	0.0	-80.0	0.0	0.0	0.0	0.0	0.0
FUTURE DEBT SERVICE PAYM'T BEG. IN FY 1999	0.0	-9.3	-2.8	-6.9	-19.3	-14.6	0.0
CUMULATIVE BALANCE NET OF TRANSFER	71.7	20.0	20.0	20.0	20.0	20.0	20.0

BOND AUTHORIZATIONS

The 1997 General Assembly authorized a total of $1.045 billion in bonding authority for FY 97-98. These authorizations include: $748.6 million in General Obligation bonds, of which $93.1 million which was authorized in 1995 and left unchanged by the 1997 General Assembly will be issued by the University of Connecticut to finance projects to improve the school's infrastructure; $144.8 million in Special Tax Obligation (STO) bonds for transportation capital projects; and $151.3 million in Revenue bonds for the Clean Water Program. Prior years' authorizations were reduced by $96.2 million. Therefore, total authorizations net of reductions for FY 97-98 are $948.4 million.

A total of $854.7 million in bonding was authorized by the 1997 General Assembly for FY 98-99. These authorizations include: $584.9 million in General Obligation bonds, of which $64.3 million will be issued by the University of Connecticut to finance projects to improve the school's infrastructure; $186.5 million in Special Tax Obligation (STO) bonds for transportation capital projects; and $83.3 million in revenue bonds for the Clean Water Program.

The new authorizations are contained in the seven acts described below. An eighth act permits previously authorized and allocated General Obligation bonds designated for transportation purposes, to be used for the Infrastructure Program.

SA 97 - 1 June 5 Special Session — **"An Act Concerning the Authorization of Bonds of the State for Capital Improvements and Other Purposes"** provides $304.1 million in General Obligation authorizations for a variety of projects and programs in FY 97-98. The Act also provides $215.5 million in General Obligation bond authorizations in FY 98-99. In addition, the Act also reduces prior authorizations by $95.1 million and makes numerous language and technical modifications to previously authorized projects.

SA 97 - 1 June 5 Special Session — **"An Act Increasing Certain Bond Authorizations for Capital Improvements"** provides $164.4 million in FY 97-98 and $115.2 million in FY 98-99 in General Session Obligation bond authorizations for local capital improvement grants, economic development programs and other ongoing capital projects and programs. The Act also contains $151.3 million in FY 97-98 and $83.3 million in FY 98-99 in Revenue bond authorizations for loans to municipalities pursuant to the Clean Water Program. In addition, the Act reduces prior authorizations to the Department of Education, the Department of Social Services and Connecticut Innovations, Inc. by a total of $1.1 million.

SA 97 - 2 June 5 Special Session — **"An Act Concerning the Authorization of Special Tax Obligation Bonds of the State for Certain Transportation Purposes"** provides $144.8 million in FY 97-98 and $186.5 million in FY 98-99 in Special Tax Obligation bonds for various transportation capital projects.

PA 97-5 — **"An Act Concerning the Authorization of Bonds of the State for the University of Connecticut"** provides $9.4 million in General Obligation bonds in FY 97-98 for renovations and improvements to the Babbidge Library and adjoining plaza deck.

PA 97-259 **"An Act Concerning School Readiness and Child Day Care"** authorizes the issuance of $750,000 in General Obligation bonds for the Child Care Facilities Direct Revolving Loan Fund. The program will be administered by the Department of Social Services.

PA 97-265 **"An Act Concerning Authorization of State Grant Commitments for School Building Projects"** authorizes the State Board of Education to enter into school construction grant commitments for 128 projects. The Act also provides for the separation of interest and principal payments by the State. The total amount of bonding (principal and interest) authorized is $176.8 million in FY 97-98 and $189.8 million in FY 98-99.

PA 95-230 **"An Act to Enhance the Infrastructure of the University of Connecticut"** authorizes the UConn board of trustees to issue up to $980 million in state bonds over a ten year period, to finance specific renovation and construction projects. The Act lists sixty-two projects to be completed in two phases. The first phase, which will be undertaken between FY 95-96 and FY 98-99, is estimated to cost $382 million. The second phase, which will be undertaken between FY 99-00 and FY 04-05 is estimated to cost $868 million. The Act imposes a limit on the amount of bonds that the University can issue each year. The Act permits the University to issue up to $93.1 million in FY 97-98 and $64.3 million in FY 98-99.

PA 97-9 **"An Act Concerning the Authorization of Bonds for Transportation Purposes"** does not authorize the issuance of new bonds. The Act permits the Department of Transportation to use approximately $11.3 million in previously authorized and allocated General Obligation bonds issued for transportation purposes, for the Infrastructure Program.

1996-97 DEFICIENCIES

The General Assembly approved one deficiency bill during the 1997 session, Special Act 97-8 which provided appropriations totaling $137,122,000. Of this amount, $137,097,000 was appropriated from the General Fund and $25,000 from the Soldiers, Sailors and Marines Fund. Additional federal reimbursements of $30.9 million were anticipated to result from the Medicaid deficiency. It should be noted that each deficiency item has been reflected in the appropriate agency budget sheet in Section II.

The following is an explanation of each deficiency by agency:

General Fund

Freedom of Information Commission **$21,500**

The agency required $21,500 in Personal Services to cover the costs of a temporary hearing officer. During 1996, the agency experienced a significant increase in the number of complaints that were filed. Typically, the agency averages about 450 cases per year, however, during 1996, over 600 complaints were filed.

The commission is comprised of five members who serve on a part-time basis and receive a per diem of $50. Their primary responsibility is to act as hearing officers on individual cases. Given the limited time available by part-time commissioners and current staffing levels, it became clear that a large number of people would lose their cases because of the commission's inability to handle its increased caseload. If the commission fails to decide a complaint within one year of filing, the citizen who brings the complaint loses the right to a remedy under the FOI Act.

It should be noted that all the reasons for the increased caseload are not known at this time. However, new issues involving access to government computer information appears to be on the rise. Continued growth in the caseload is anticipated for the future. Therefore, the budget for the 1997-99 biennium includes funding ($54,790) for a permanent hearing officer.

Insurance Purchasing Board **$960,000**

A deficiency of $960,000 (or 13.5%) was required for the agency's Other Expenses account, which was budgeted $7.1 million for FY 97. The expenses within this account are approximately 50% for insurance premiums and 50% for payment of deductible obligations and claims handling fees.

The fleet liability policy for all state vehicles (approximately 10,000) is subject to a $500,000 per occurrence deductible provision and is managed and serviced by a third party administrator. During 1996-97, the frequency and severity of fleet liability claims was more than budgeted. There have been a larger number of significant fleet claim settlements so far this fiscal year than had been anticipated in the budget (5 vs. 2 or 3). Of the $3,440,500 deductible/claim payments made as of March, $2,280,000 has been for five (5) large losses. The timing and settlement amounts of these claims has thus negatively impacted the agency's budget.

Department of Veterans' Affairs **$445,500**

This agency needed additional funding in its Other Expenses account. The major items reflecting an overage include the following:

Professional Fees $72,000

(Use of pool nurses)

The Department filled many part-time nursing positions to reduce overtime and the utilization of nursing pool providers.

Fees-Medical Services $210,000

The Department worked with VA Connecticut to place more patients into their outpatient services system, at no cost to the State. VA Connecticut is the federal Veterans' Administration.

Natural Gas Usage $85,000

Unforeseen price increases have accounted for the deficiency in this area.

Food $73,000

The major part of the cost overage comes from bills that were carried over from FY 96 into FY 97 Also, additional portions have been provided, which has led to more food being cooked.

Claims Commissioner **$3,000**

The Claims Commissioner required $3,000 for a part time hearings officer. The agency had $8,000 budgeted in Other Expenses for this purpose for FY 97 and by the beginning of March had expended this amount. There was a backlog of approximately 2,700 cases. The Appropriations Act for 1997-99 contains approximately $20,000 in Other Expenses for both years of the biennium for outside professional services.

Commission on Fire Prevention and Control **$80,000**

The commission requested an $80,000 deficiency appropriation for the Payments to Volunteer Fire Companies account. This was necessary to pay for a continuing increase in claims submitted by municipal volunteer fire companies. The FY 97 original appropriation was $160,000. The FY 98 and FY 99 recommended level is $220,000. No Personal Services moneys were held back for FY 97, but $24,051 was held back in Other Expenses. These funds will either lapse or be used in case the $80,000 is not sufficient to cover all FY 97 costs in the Payment to Volunteer Fire Companies account.

Office of the Medical Examiner **$58,000**

The main causes of the $58,000 deficiency in Personal Services was a less than expected turnover level and an increase in overtime to cover positions where the incumbent was absent due to a workers' compensation related injury and the mandatory agency release of employees for collective bargaining negotiations.

Department of Social Services **$75,193,000**

A net deficiency of $44,793,000 was projected when deficiencies were combined with potential surpluses for FY 97. Adding the policy option for the Medicaid managed care capitation payment resulted in a total deficiency of $75,193,000. The deficiency was offset by an expected revenue gain of $30,946,500 due to federal Medicaid reimbursement for increased expenditures in FY 97.

Deficiencies

Program	FY 97 Estimate	FY 97 Revenue
Medicaid	$(31,493,000)	$15,746,500
AFDC	(1,200,000)	
Day Care	(4,000,000)	
AFDC-UP	(100,000)	
GA	(8,000,000)	
Sub-Total	**$(44,793,000)**	**$15,746,500**
Total Deficiency	**$(75,193,000)**	**$30,946,500**

The Medicaid account faced a potential shortage of $31.5 million. The shortfall was due primarily to less than expected savings from two initiatives provided for in the FY 97 budget: savings attributable to pharmacy and transportation services. In addition, higher than expected speed-up costs due to the implementation of Medicaid managed care were contributing to the deficiency.

The other accounts have generally experienced shortfalls due to slightly higher caseloads than budgeted. The General Assistance account had experienced a higher than expected cost of medical bills.

A policy option that substantially increased the department's deficiency related to making the June 1997 Medicaid managed care capitation payment in FY 97 rather than FY 98 as required by Public Act 95-160, Section 26, "An Act Concerning Human Services Budget Implementation and Modifying Certain Taxes Affecting Businesses and Individuals".

Department of Education **$5,825,000**

The Department of Education required a deficiency appropriation of $5.8 million. This was the net result of the following:

Deficiencies

Excess cost - Student Based	$4,750,000
Medicaid-Special Education	3,330,000
School Transportation	465,000
Total Deficiencies	**$8,545,000**

Projected Lapses - to be transferred with FAC approval

Education Cost Sharing	$1,500,000
Adult Education	200,000
OPM Holdbacks (P.S. & O.E.)	1,020,000
Total Lapses/Transfers	**$2,720,000**
Net Deficiency	**$5,825,000**

The deficiency in the Excess Cost- Student Based grant was due to rapid growth in the program over the past couple of years. From 1994-95 to 1996-97, an increase of 400 students has occurred; this is a 32 percent increase. The appropriation for the 1995-97 biennium was based on a more stable growth rate of approximately 13 percent which had been the case from the previous biennium. There was a deficiency in this account last year of $3.0 million, but no adjustment was made in the account for 1996-97.

The deficiency of $3.33 million in the Medicaid - Special Education grant was due to better than expected reimbursements by the federal government in this relatively new account. When this account was originally budgeted there was no track record by which to anticipate appropriation needs. It should be noted that additional payments under this program reflect a net revenue gain to the State, because they were based on higher anticipated federal reimbursements to the State for Medicaid eligible special education expenditures. School districts are paid sixty cents of every dollar received, while the State retains forty cents. The $3.3 million in additional payments to participating districts was more than offset by about $5.5 million of additional federal reimbursements to the State.

The deficiency of $465,000 in the school transportation grant was due to higher than anticipated expenditures at the local level which were then eligible for state reimbursement. The lapse of $1,500,000 in the Education Cost Sharing formula was due to post appropriation adjustments in various data elements (usually due to audits of student numbers).

The lapse of $200,000 in the Adult Education grant was due to lower than anticipated expenditures at the local level. The Adult Education grant is a current year reimbursement formula, thus making projections for appropriation needs more difficult. The $1.0 million shown as OPM holdbacks relate to the prorata share of Personal Services and Other Expenses savings required by the budget act. For each of these two accounts, OPM was required to achieve a $12.0 million savings, statewide.

April FAC action transferred the excess funding in the ECS grant and Adult Education grant ($1,500,000 and $200,000 respectively) plus $2,095,000 in Special Education-Excess Costs funds to meet the deficiency payments of the Medicaid Coordination and School Transportation grants which were paid in April. This action left the Special Education-Excess Cost grant $6,845,000 in deficiency which was partially offset by the $1.02 million OPM holdback. The end result was the need for a deficiency appropriation of $5,825,000 for the Special Education-Excess Cost-Student Based grant.

Board of Education and Services for the Blind **$1,245,000**

The deficiency essentially consisted of two parts: 1) administrative restructuring ($245,000); and 2) grant payments to towns for services for blind and visually impaired children ($1,000,000). The agency was reorganized following a $3.6 million deficiency in FY 96 and extremely critical financial and performance audits of the agency conducted by the State Auditors. The administrative changes involved the reclassification and filling of positions, data processing upgrades including rewiring and various miscellaneous funding adjustments in order to provide for better control over agency operations and grant funding. The children's services portion of the deficiency involved unpaid bills from towns that were carried over from the prior fiscal year. These bills related to educational expenses as determined by the local boards of education for about 1,000 blind children, visually impaired children and multiple-handicapped blind children.

Department of Children and Families **$35,526,000**

A deficiency of $35,526,000 was required for the Department of Children and Families. This shortfall was primarily attributable to the following:

1. Approximately $1.4 million in additional funding needed under the agency's Personal Services account to reflect costs in excess of budget for the operation of Long Lane School. A staffing reduction of 150 was originally assumed within the 1995-97 Biennial Appropriations Act. This downsizing was contingent upon the construction of a new secure facility at the School. Delays in this project have resulted in the opening date being postponed until 1999. As a result, only 86 positions will have been eliminated at Long Lane as of June 30, 1997.

2. Approximately $23.7 million in higher than budgeted costs of supporting children in foster care, subsidized adoption and other residential placements due to increased numbers of children in DCF placement. The estimated shortfall associated with these out of home placements was based upon monthly expenditures to date. The number of children in out-of-home care has escalated since the Winter of 1995, primarily in response to increased reports of abuse and neglect and changes in the DCF's handling of reported cases. There were 3,910 children in foster care as of June, 1996. This represents a 45.8 percent increase over the February, 1995 number of

2,682. Placements in private residential facilities increased by 9.84 percent during the same time period (from 986 in February, 1995 to 1,083 in June, 1996).

3. Approximately $1.4 million in additional funding, for a total of $3.4 million, was required to meet anticipated costs associated with special education services provided to children in private residential facilities for whom no local education authority (LEA) can be found responsible. The sum of $2,016,121 was originally appropriated within SA 96-8 for this purpose. As of March, 1997, payments for these services totaled $2,104,735.

4. Approximately $4.3 million in various accounts was required due to an order of the court to implement additional provisions of a Resource Development Plan (RDP) which was submitted to and accepted by the DCF Court Monitor during the Fall of 1995. The sum of $5,745,041 was included within the Revised FY 97 Appropriations Act to support the costs of five service enhancements to be initiated during FY 97. The additional funding allowed for implementation of another eight initiatives. These funds support community based services for children involved with the agency.

5. Approximately $725,000 in additional funding was needed under the Board and Care for Children-Residential account to offset a reduction in federal Social Services Block Grant (SSBG) funding. It should be noted that Congress recently eliminated the Aid to Families with Dependent Children (AFDC) program, establishing in its place the Temporary Assistance for Needy Families (TANF) block grant. Under this new block grant, states are allowed to transfer up to 10% to the SSBG for services to families with incomes less than or equal to 200% of the federal poverty level. Connecticut expected to receive $266,788,000 under the TANF block grant in FFY 97. The new federal legislation requires the TANF funds to be appropriated by the General Assembly.

6. Approximately $6,100,000 was required for Other Expenses for regional office relocations and completion of the agency's new computer system.

The shortfalls described above were offset by transfers of surpluses totaling approximately $2,100,000 under various other accounts. These funds were primarily attributable to contracted service reductions initiated by the agency in FY 97.

County Sheriffs **$180,000**

The agency was reorganized and required deficiency funding in Other Expenses ($110,000) and Special Deputy Sheriffs ($70,000). The shortfall in Other Expenses was due to higher than anticipated spending levels that started in FY 96 through an FAC transfer, but was not adjusted into FY 97. Some important areas of increased expenditures include the repair of older radios, the rollover of costs in agency-operated lock-ups from FY 96 to FY 97, increased motor vehicle repairs and fuel costs, and miscellaneous supplies. In addition, funds were needed in the Special Deputy Sheriffs account due to a final payment of $505,395 for an audit related to the Fair Labor Standards Act that could not be completely absorbed in FY 97.

Judicial Department **$3,110,000**

The agency required deficiency funding of $220,000 in Personal Services and $2,890,000 in Other Expenses. The need in Personal Services was related to the staffing of the temporary juvenile detention facility in Enfield and the costs associated with the rehiring of 61 laid off positions. The shortfall in Other Expenses was primarily due to the increase in contracted attorney costs in juvenile court matters related to increased Department of Children and Families court actions ($2.3 million). In addition, a portion was related to an increase in utility costs ($180,000). A portion was also related to increased and unanticipated medical costs associated with the Emily J. consent decree.

Although the consent agreement contains a listing of agreed upon items that have already been budgeted for, additional medical services costs of $225,000 have resulted, although technically not required by the agreement. These costs relate to an expanded level of medical services for juveniles in detention.

Public Defender Services Commission **$565,000**

The agency required deficiency funding in three areas: 1) Other Expenses ($50,000), 2) Non-Contractual Special Public Defenders ($345,000) and 3) Expert Witnesses ($170,000). The deficiency in Other Expenses was due to increased costs for the Judicial Criminal/Motor Vehicle System, the Automated Public Defender Information System and other automation costs. The other shortfalls were primarily due to an increase in death penalty cases which have increased from 14 trials and 5 appeals in FY 95 to 18 trials and 3 appeals in FY 96 to 29 trials and 10 appeals in FY 97.

Miscellaneous Appropriations Administered by the Comptroller

Retired State Employees Health Service Cost **$5,000,000**

A premium rate calculation error made by the Office of the State Comptroller resulted in an under payment to Blue Cross/Blue Shield (BC/BS) of $7.5 million for FY 96 and for FY 97. The total amount required to be paid to BC/BS as a result of the error was $15 million. A $10 million lump sum payment to BC/BS was made from the surplus in this account. The surplus occurred in this account because it was budgeted with an increase of 12.2% in both FY 96 and FY 97 and the actual rates resulted in a 7.8% decrease in FY 96 and a 3.1% increase in FY 97. The $5 million provided in the deficiency bill was used to pay the balance owed to BC/BS.

State Employees Health Service Cost **$8,885,000**

Funding in the amount of $8.9 million was provided as partial payment to M.D. Health Plan, Inc., for state employee health insurance claims incurred but not paid on 6/30/95. This debt occurred when the state coverage with M.D. Health Plan, Inc. switched from a self-insured to an insured basis beginning in FY 96. The total amount owed is subject to an audit of the plan. The unaudited liability is estimated to be $22.3 million.

Soldiers, Sailors and Marines Fund

Military Department-Firing Squad **$25,000**

The agency required a $25,000 additional appropriation to the Firing Squads account which was appropriated at $175,000. This funding was necessary due to an increase in the number of squads provided and a small increase in the cost per squad. The FY 97 $175,000 original appropriation was based on 1,600 squads at a cost of $109.38 each. However, in FY 96 there were 1,688 squads at a cost of $114.03 each, for a total cost of $192,483. The estimate for FY 97 was based on 1,719 squads at a cost of $114.50 each for a total of $196,819 (data through 1/97).

FUNDING THE DEFICIT

Public Act 91-3 of the June Special Session established the Economic Recovery Note Debt Retirement Fund for the purpose of financing the cumulative FY 1990-91 General Fund deficit. The sum of $965.71 million was to be financed over 5 years through the issuance of General Obligation notes.

In the Fall of 1991, the Treasurer issued $640.71 million in fixed rate notes and $325 million in variable rate notes with the following maturity schedule:

	Fixed Rate	Variable Rate	Total Principal Payment
FY 1991-92	$50,000,000	$0	$50,000,000
FY 1992-93	125,000,000	75,000,000	200,000,000
FY 1993-94	150,000,000	100,000,000	250,000,000
FY 1994-95	150,000,000	0	150,000,000
FY 1995-96	165,710,000	150,000,000	315,710,000
Total	**$640,710,000**	**$325,000,000**	**$965,710,000**

Because the General Fund ended the 1992 fiscal year with an unappropriated surplus of $110.1 million, $10.1 million of the $75 million variable rate notes due to mature 6/1/93 were retired and $100 million fixed rate notes were retired, of which $50 million was due to mature 12/1/93 and $50

million 6/1/94. This left $89.9 million to be refinanced instead of the $100 million authorized in the FY 93 Appropriations Act. (SA 92-13, the Appropriations Act, authorized the State Treasurer to refinance $100 million of Economic Recovery notes due in FY 1992-93.) The Treasurer refinanced the $25 million in fixed rate notes due 6/15/93 with $25 million in variable rate notes due 12/1/94, and $64.9 million in variable rate notes due 6/1/93 with $64.9 million due 6/1/95.

It should be noted although Section 127(f) of PA 91-3, JSS requires that any General Fund surplus up to $205 million be used to accelerate retirement of the Economic Recovery Fund notes, the 1993-95 Budget Act (PA 93-80) altered this provision for any FY 92-93 General Fund unappropriated surplus, directing instead that any surplus be dedicated for debt service payments in FY 93-94. Section 43(a) of PA 94-1 MSS, appropriated the estimated FY 93-94 General Fund surplus of $149.6 million for payment of the Economic Recovery Fund notes due in FY 94-95. The remaining monies to pay the $263.5 million in Economic Recovery Fund notes due in FY 94-95 came from a revenue intercept of $106.6 million, and the use of $7.3 million in old bond fund balances. Section 43(b) of PA 94-1 MSS, directed that any surplus for FY 93-94 (beyond the $149.6 million appropriated) be dedicated for debt service payments in FY 94-95.

Section 36 and 37 of PA 95-160 authorizes the reissuance of $240.71 million of the $315.71 million of deficit notes due in FY 95-96. The notes are to be issued with not less than $79 million maturing in FY 97 and FY 98 and $78.1 million maturing in FY 99.

Section 59 of SA 97-21 earmarks $166.7 million of FY 96-97 surplus funds for the payment of principal and interest on the Economic Recovery Notes maturing in FY 97-98 and FY 98-99.

Following is the revised schedule of principal payments and interest costs after use of surplus and after refinancing authorized by SA 92-13 and PA 95-160.

	Principal ($000,000)	**Interest ($000,000)**	**Total Debt Service ($000,000)**
FY 91-92	$50.0	$36.1	$86.1
92-93	100.0	36.7	136.7
plus	110.1		110.1
	use of surplus		
93-94	150.0	36.0	186.0
94-95	239.9	27.1	267.0
95-96	79.6	14.3	93.9
96-97	79.0	10.5	89.5
97-98	79.0	6.8	85.8
98-99	78.1	2.8	80.9
Total	**$965.7**	**$170.3**	**$1,136.0**

THE SPENDING LIMIT (CAP)

For each year of the 1997-99 biennium, the calculation of the spending cap shows the budget to be under the cap, by $213.1 million for FY 98 and $325.1 million for FY 99. The Governor's recommendations were under the cap by $384.1 million for FY 98, and by $307.6 million for FY 99. See schedule on the following page for details.

The calculations for the cap are done based on Sec. 2-33a of the CGS, enacted in August, 1991. With the approval of the constitutional amendment in November, 1992, it was anticipated that new statutory language would be adopted by the required three-fifths majority; however, such has not been the case. Pursuant to an opinion of the Attorney General, issued on April 14, 1993, the current statutory cap remains in place until the General Assembly enacts the definitions required by the constitution, by a three-fifths majority.

During the 1997 Session, no action was taken by the Senate or the House on this issue.

STATUTORY SPENDING CAP CALCULATIONS
1997-1999
(in millions)

		Legislative 1997-98		Legislative 1998-99
Total All Appropriated Funds - Prior Year		$ 10,283.0		$ 10,377.7
Less "Non-Capped" Expenditures:				
Debt Service	$ 1,171.0		$ 1,166.6	
Expenditures under Section 4-30a	-		-	
Statutory Grants to Distressed Municipalities	1,041.2		1,022.1	
Total "Non-Capped" Expenditures - Prior Year		2,212.2		2,188.7
Total "Capped" Expenditures		8,070.8		8,189.0
Times Five Year Average Growth in Personal Income	3.97%		4.41%	
Allowable "Capped" Growth		320.4		361.1
"Capped" Expenditures		8,391.2		8,550.1
Plus "Non-Capped" Expenditures:				
Debt Service	1,166.6		1,272.8	
Expenditures under Section 4-30a	-		-	
Federal Mandates and Court Orders (new $)	10.9		2.2	
Statutory Grants to Distressed Municipalities	1,022.1		1,031.8	
Total "Non-Capped" Expenditures		2,199.6		2,306.8
Total All Expenditures Allowed		10,590.8		10,856.9
Total Appropriations		10,377.7		10,531.8
Amount Total Appropriations are Over (Under) the Cap		$ (213.1)		$ (325.1)

(Note: for FY 98, total projected revenues, per estimates adopted by the Finance, Revenue and Bonding Committee on 6/2/97 for all appropriated funds, are: $10,417.6; for FY 99, $10,505.6. These estimates will be revised based upon subsequent legislative action on 6/4/97, plus any changes that may result from the June, 1997 special sessions.)

BUDGET GROWTH RATES
1997-98 and 1998-99
(Based on OFA Adjustments)

	1996-97 Est. Expend. (OFA) [1]	1997-98 Appropriated	Amount of Change	Percent Change (Adjusted)	1997-98 Appropriated	1998-99 Appropriated	Amount of Change	Percent Change (Adjusted)
General Fund								
Base	$ 9,195.4	$ 9,342.2			$ 9,342.2	$ 9,495.9		
Adjustments [2]	94.0	93.4			93.4	37.0		
Total	**$ 9,289.4**	**$ 9,435.6**	**$ 146.2**	**1.6%**	**$ 9,435.6**	**$ 9,532.9**	**$ 97.3**	**1.0%**
Transportation Fund								
Base	$ 817.8	$ 829.7			$ 829.7	$ 828.8		
Adjustments [3]	-	-			-	47.3		
Total	**$ 817.8**	**$ 829.7**	**$ 11.9**	**1.5%**	**$ 829.7**	**$ 876.1**	**$ 46.4**	**5.6%**
Other Special Funds [5]								
Base	$ 148.1	$ 205.7			$ 205.7	$ 207.1		
Adjustments [4]	-	0.3			0.3	0.3		
Total	**$ 148.1**	**$ 206.0**	**$ 57.9**	**39.1%**	**$ 206.0**	**$ 207.4**	**$ 1.4**	**0.7%**
Combined Totals	**$ 10,255.3**	**$ 10,471.3**	**$ 216.0**	**2.1%**	**$ 10,471.3**	**$ 10,616.4**	**$ 145.1**	**1.4%**

[1] Based on estimates of 3/7/97. Includes Governor's proposed policy changes estimated at $79.6 million.

[2] The following are adjustments to the General Fund for purposes of comparability with prior years:

	Estimated 1996-97	App. Comm. 1997-98	App. Comm. 1998-99
(a) ERF Payment (the 1996-97 payment is from an earmarking of FY96 surplus; the 1997-98 and 1998-99 payments are from FY97 surplus).	$ 89.5	$ 85.8	$ 80.9
(b) Transfers of General Fund Costs to Other Funds or Off-Budget for:			
Equipment purchases to Capital Equipment Purchase Fund (Bond Funds) - for FY98 and FY99, the increase is over 1996-97 level of $9.8 million	4.5	9.1	5.3
Department of Public Safety - transfer three positions to the E-911 Fund	-	0.2	0.2
Misc. Comptroller - Emergency Communications to the E-911 Fund	-	1.0	0.9
(c) Transfers to the General Fund of costs previously paid by other funds:			
Department of Public Works - 39 Bond Fund positions and related costs	-	-1.7	-1.7
Department of Public Safety - 726 Highway Patrol positions and related costs from the Transportation Fund	-	-	-47.3
Military Department - firing squad funds from Soldiers', Sailors' and Marines' Fund	-	-0.2	-0.2
Regional Market (DOA) - transfer 2 positions	-	-0.1	-0.1
Department of Education - Lease Costs from Bond Funds	-	-0.7	-1.0
Total - General Fund	**$ 94.0**	**$ 93.4**	**$ 37.0**

[3] Transfer of Transportation Fund Costs to Other Funds or Off-Budget for:

(a) Department of Public Safety - 726 Highway Patrol positions and related costs to the General Fund	$ -	$ -	$ 47.3
[4] Transfer from Soldiers', Sailors' and Marines' Fund to the General Fund: Military Department - firing squad funds Transfer from Regional Market (DOA) 2 positions to the General Fund	$ - -	$ 0.2 0.1	$ 0.2 0.1

[5] Includes the following: Banking, Insurance, DPUC/Consumer Counsel, Workers' Compensation, Regional Market, Soldiers', Sailors' and Marines', Criminal Injuries Compensation and Mashantucket Pequot/Mohegan Funds.

STATE BUDGET BY FUND
1997-99

	FY 1997-98	FY 1998-99
GENERAL FUND		
Estimated Revenue	$ 9,342,400,000	$ 9,496,000,000
Estimated Expenditures		
Appropriations (Gross)	9,534,161,779	9,727,049,724
Less: Estimated Lapse	-191,912,000	-231,158,000
Total Estimated Expenditures	9,342,249,779	9,495,891,724
Estimated Balance	$ 150,221	$ 108,276
TRANSPORTATION FUND		
Estimated Fund Balance	$ 116,568,000	$ 113,714,631
Estimated Revenue	826,900,000	812,000,000
Estimated Expenditures		
Appropriations (Gross)	850,170,869	847,163,766
Less: Estimated Lapsev	-20,417,500	-18,315,000
Total Estimated Expenditures	829,753,369	828,848,766
Estimated Balance	$ 113,714,631	$ 96,865,865
MASHANTUCKET PEQUOT AND MOHEGAN FUND		
Estimated Fund Balance	$ 8,076	$ 45,008,076
Estimated Revenue	180,000,000	90,000,000
Estimated Expenditures	135,000,000	135,000,000
Estimated Balance	$ 45,008,076	$ 8,076
SOLDIERS, SAILORS AND MARINES' FUND		
Estimated Fund Balance	$ 54,770,000	$ 54,855,447
Estimated Revenue	3,500,000	3,500,000
Estimated Expenditures	3,414,553	3,467,848
Estimated Balance	$ 54,855,447	$ 54,887,599
REGIONAL MARKET OPERATION FUND		
Estimated Fund Balance	$ 176,774	$ 197,444
Estimated Revenue	850,000	850,000
Estimated Expenditures	829,330	833,403
Estimated Balance	$ 197,444	$ 214,041
BANKING FUND		
Estimated Fund Balance	$ 10,879,246	$ 10,885,449
Estimated Revenue	15,600,000	15,600,000
Estimated Expenditures	15,593,797	15,396,083
Estimated Balance	$ 10,885,449	$ 11,089,366
INSURANCE FUND		
Estimated Fund Balance	$ 4,259,334	$ 5,070,407
Estimated Revenue	14,000,000	14,000,000
Estimated Expenditures	13,188,927	13,890,610
Estimated Balance	$ 5,070,407	$ 5,179,797

	FY 1997-98	FY 1998-99
CONSUMER COUNSEL AND PUBLIC UTILITY CONTROL FUND		
Estimated Fund Balance	$ 2,573,975	$ 3,332,921
Estimated Revenue	15,000,000	15,000,000
Estimated Expenditures	14,241,054	14,838,904
Estimated Balance	$ 3,332,921	$ 3,494,017
WORKERS' COMPENSATION FUND		
Estimated Fund Balance	$ 11,193,111	$ 11,633,782
Estimated Revenue	22,000,000	22,000,000
Estimated Expenditures	21,559,329	21,826,664
Estimated Balance	$ 11,633,782	$ 11,807,118
CRIMINAL INJURIES COMPENSATION FUND		
Estimated Fund Balance	$ 1,600,000	$ 1,600,000
Estimated Revenue	1,900,000	1,900,000
Estimated Expenditures	1,900,000	1,900,000
Estimated Balance	$ 1,600,000	$ 1,600,000

SUMMARY OF APPROPRIATIONS
BY MAJOR OBJECT TOTALS AND BY FUND

	Estimated 1996-97	Percent of Total	Appropriation 1997-98	Percent of Total	Appropriation 1998-99	Percent of Total
GENERAL FUND						
Personal Services	$1,333,328,734	14.52	$1,390,174,729	14.58	$1,483,480,979	15.25
Other Expenses	948,986,373	10.33	992,337,107	10.41	1,017,004,408	10.46
Equipment	5,559,678	0.06	5,391,273	0.06	6,128,406	0.06
Other Current Expenses [1]	691,590,574	7.53	810,185,583	8.50	887,079,036	9.12
Debt Service [2]	733,206,860	7.98	777,505,775	8.15	857,774,803	8.82
Other Than Payments to Local Governments	3,775,276,730	41.10	3,865,404,813	40.54	3,754,563,768	38.60
Payments to Local Governments	1,697,102,144	18.48	1,693,162,499	17.76	1,721,018,324	17.69
General Fund Total - Gross	**$9,185,051,093**	**100.00**	**$9,534,161,779**	**100.00**	**$9,727,049,724**	**100.00**
Less: Estimated Lapse	N/A		-191,912,000		-231,158,000	
General Fund Total - Net	**$9,185,051,093**		**$9,342,249,779**		**$9,495,891,724**	
TRANSPORTATION FUND						
Personal Services	$160,950,588	19.87	$168,516,403	19.82	$134,975,793	15.93
Other Expenses	115,754,981	14.29	112,522,974	13.24	118,048,658	13.93
Equipment	11,783,342	1.45	6,481,083	0.76	6,200,000	0.73
Other Current Expenses [3]	138,316,716	17.07	152,297,140	17.91	152,189,605	17.96
Debt Service [2]	361,276,977	44.60	388,899,269	45.74	414,876,710	48.97
Payments to Local Governments	22,036,000	2.72	21,454,000	2.52	20,873,000	2.46
Transportation Fund Total - Gross	**$810,118,604**	**100.00**	**$850,170,869**	**100.00**	**$847,163,766**	**100.00**
Less: Estimated Lapse	N/A		-20,417,500		-18,315,000	
Transportation Fund Total - Net	**$810,118,604**		**$829,753,369**		**$828,848,766**	
MASHANTUCKET PEQUOT & MOHEGAN FUND						
Payments to Local Governments	**$85,000,000**	**100.00**	**$135,000,000**	**100.00**	**$135,000,000**	**100.00**
SOLDIERS, SAILORS AND MARINES' FUND						
Personal Services	$650,000	17.13	$731,342	21.42	$774,619	22.34
Other Expenses	385,005	10.15	383,211	11.22	403,229	11.63
Equipment	6,730	0.18	30,000	0.88	0	0.00
Other Current Expenses	2,500,000	65.88	2,000,000	58.57	2,000,000	57.67
Other Than Payments to Local Governments	253,000	6.67	270,000	7.91	290,000	8.36
Total Soldiers, Sailors And Marines' Fund	**$3,794,735**	**100.00**	**$3,414,553**	**100.00**	**$3,467,848**	**100.00**
REGIONAL MARKET OPERATION FUND						
Personal Services	$473,695	47.85	$368,480	44.43	$377,698	45.32
Other Expenses	306,553	30.97	255,850	30.85	261,705	31.40
Equipment	2,500	0.25	2,000	0.24	0	0.00
Debt Service [2]	207,189	20.93	203,000	24.48	194,000	23.28
Total Regional Market Operation Fund	**$989,937**	**100.00**	**$829,330**	**100.00**	**$833,403**	**100.00**
BANKING FUND						
Personal Services	$6,661,246	50.48	$8,300,944	53.23	$8,753,489	56.86
Other Expenses	3,463,595	26.25	3,355,485	21.52	2,200,726	14.29
Equipment	200,000	1.52	343,170	2.20	675,670	4.39
Other Current Expenses	2,870,361	21.75	3,594,198	23.05	3,766,198	24.46
Total Banking Fund	**$13,195,202**	**100.00**	**$15,593,797**	**100.00**	**$15,396,083**	**100.00**

	Estimated 1996-97	Percent of Total	Appropriation 1997-98	Percent of Total	Appropriation 1998-99	Percent of Total
INSURANCE FUND						
Personal Services	$7,547,045	64.87	$8,229,744	62.40	$8,747,118	62.97
Other Expenses	1,255,813	10.79	1,312,880	9.95	1,330,587	9.58
Equipment	215,237	1.85	192,000	1.46	162,000	1.17
Other Current Expenses	2,615,637	22.48	3,454,303	26.19	3,650,905	26.28
Total Insurance Fund	**$11,633,732**	**100.00**	**$13,188,927**	**100.00**	**$13,890,610**	**100.00**
CONSUMER COUNSEL & PUBLIC UTILITY CONTROL FUND						
Personal Services	$7,718,307	59.09	$8,144,820	57.19	$8,527,189	57.47
Other Expenses	2,103,989	16.11	2,250,555	15.80	2,298,268	15.49
Equipment	214,603	1.64	219,953	1.54	220,293	1.48
Other Current Expenses	3,024,175	23.15	3,625,726	25.46	3,793,154	25.56
Total Consumer Counsel & Public Utility Control Fund	**$13,061,074**	**100.00**	**$14,241,054**	**100.00**	**$14,838,904**	**100.00**
WORKERS' COMPENSATION FUND						
Personal Services	$7,229,823	36.31	$7,539,453	34.97	$7,933,642	36.35
Other Expenses	2,342,996	11.77	3,833,570	17.78	3,507,555	16.07
Equipment	216,562	1.09	490,124	2.27	406,500	1.86
Other Current Expenses	10,119,969	50.83	9,696,182	44.97	9,978,967	45.72
Total Workers' Compensation Fund	**$19,909,350**	**100.00**	**$21,559,329**	**100.00**	**$21,826,664**	**100.00**
CRIMINAL INJURIES COMPENSATION FUND						
Other Current Expenses	$1,500,000	100.00	$1,900,000	100.00	$1,900,000	100.00
TOTAL ALL FUNDS - GROSS	**$10,144,253,727**		**$10,590,059,638**		**$10,781,367,002**	
Plus: Adjustments	17,000,000		---		---	
Less: Estimated Lapse	N/A		-212,329,500		-249,473,000	
TOTAL ALL FUNDS - NET	**$10,161,253,727**		**$10,377,730,138**		**$10,531,894,002**	

[1] Other Current Expenses are appropriations for specific programs or projects which the General Assembly wishes to highlight. The appropriations may be used for personal services, other expenses, equipment and even grants. Included under this major object area for FY 1997-99 are such items as the following:

Agency/Item	Amount (in Millions) FY 98	FY 99
Department of Environmental Protection		
Emergency Spill Response	$6.1	$6.2
Department of Mental Retardation		
Early Intervention	11.5	11.5
Workers' Compensation Claims	9.7	9.7
Department of Mental Health and Addiction Services		
Managed Service System	6.5	6.5
Connecticut Mental Health Center	7.2	7.2
Professional Services	5.4	5.4
General Assistance Managed Care	35.7	35.0
Workers' Compensation Claims	5.4	5.4
Department of Social Services		
Privatize Data Processing Services	28.7	28.6

Agency/Item	Amount (in Millions) FY 98	FY 99
Department of Education		
Early Childhood Program	10.0	19.8
Department of Correction		
Workers' Compensation Claims	10.6	10.6
County Sheriffs		
Special Deputy Sheriffs	19.0	19.8
Judicial Department		
Alternative Incarceration Program	19.8	19.8
Juvenile Alternative Incarceration	10.4	11.0
Workers' Compensation Claims - Department of Administrative Services	11.9	11.9

Also included in this area are the full appropriations for several higher education agencies (shown for FY 1997-98 and FY 1998-99 respectively): University of Connecticut; $147.1 and $153.9 million, University of Connecticut Health Center; $62.4 and $64.7 million, Regional Community-Technical Colleges; $93.0 and $94.0 million, and Connecticut State University; $106.1 and $107.7 million.

[2] It should be noted that while debt service is considered as "Other Than Payments to Local Governments," it has been shown separately due to its magnitude.

[3] The FY 1997-99 Appropriations include such items as Handicapped Access Program; $6.7 and $7.4 million, Rail Operations; $56.2 and $55.1 million, Bus Operations; $60.1 and $59.3 million and Highway and Bridge Renewal; $9.7 and $10.2 million for FY 98 and FY 99 respectively.

SUMMARY OF APPROPRIATIONS
BY FUNCTION OF GOVERNMENT
(All Appropriated Funds)

Function of Government	Estimated Expenditure 1996-97	Appropriation 1997-98	% Chg. 98 over 97	Percent of Total	Appropriation 1998-99	% Chg. 99 over 98	Percent of Total
Legislative	$ 41,606,323	$ 44,381,637	6.67	0.42	$ 47,437,039	6.88	0.44
General Government	370,562,149	411,790,358	11.13	3.89	425,688,365	3.38	3.95
Regulation an Protection of Persons and Property	234,540,260	268,808,269	14.61	2.54	275,069,038	2.33	2.55
Conservation and Development of Natural Resources and Recreation	63,754,552	65,190,989	2.25	0.62	66,703,282	2.32	0.62
Health and Hospitals	778,412,184	828,287,635	6.41	7.82	841,183,867	1.56	7.80
Transportation	293,538,684	298,065,068	1.54	2.81	302,118,676	1.36	2.80
Human Services	3,283,366,979	3,220,558,318	-1.91	30.41	3,082,378,582	-4.29	28.59
Education, Museums, Libraries	2,112,282,263	2,214,790,223	4.85	20.91	2,282,552,505	3.06	21.17
Corrections	753,955,514	762,173,468	1.09	7.20	770,564,390	1.10	7.15
Judicial	219,911,343	240,712,833	9.46	2.27	253,315,256	5.24	2.35
Non-Functional	1,992,323,476	2,235,300,840	12.20	21.11	2,434,356,002	8.91	22.58
Grand Total - Gross	**$10,144,253,727**	**$10,590,059,638**		**100.0**	**$10,781,367,002**		**100.0**
Plus: Adjustments	17,000,000	---			---		
Less: Estimated Lapse	N/A	-212,329,500			-249,473,000		
Grand Total - Net	**$10,161,253,727**	**$10,377,730,138**	**2.13**		**$10,531,894,002**	**1.39**	

[1] The percentage increase has been calculated based on adjustments to FY 1997-98 and FY 1998-99 for purposes of comparability with prior fiscal years.

SUMMARY OF AUTHORIZED POSITIONS
ALL APPROPRIATED FUNDS

	Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Appropriation 1997-98	Difference App98-Est97	Appropriation 1998-99	Difference App99-App98
LEGISLATIVE						
Legislative Management	318	318	334	16	334	0
Auditors of Public Accounts	99	99	99	0	99	0
Commission on the Status of Women	6	6	7	1	7	0
Commission on Children	5	5	5	0	5	0
Commission on Latino and Puerto Rican Affairs	3	3	3	0	3	0
African-American Affairs Commission	0	0	3	3	3	0
TOTAL LEGISLATIVE	**431**	**431**	**451**	**20**	**451**	**0**
GENERAL GOVERNMENT						
Governor's Office	38	38	37	-1	37	0
Secretary of the State	46	49	49	0	49	0
Lieutenant Governor's Office	4	4	4	0	4	0
Elections Enforcement Commission	9	9	10	1	10	0
Ethics Commission	9	9	10	1	10	0
Freedom of Information Commission	10	11	13	2	13	0
Judicial Selection Commission	1	1	1	0	1	0
State Properties Review Board	5	5	5	0	5	0
State Treasurer	56	57	56	-1	56	0
State Comptroller	287	287	287	0	287	0
Department of Revenue Services	895	899	871	-28	871	0
Division of Special Revenue	194	198	193	-5	193	0
State Insurance Purchasing Board	2	2	2	0	2	0
Office of Policy and Management	215	213	180	-33	180	0
Department of Veterans Affairs	433	423	423	0	423	0
Department of Administrative Services	392	392	391	-1	391	0
Department of Information Technology	0	0	25	25	25	0
Department of Public Works	164	175	206	31	206	0
Attorney General	308	308	320	12	320	0
Office of the Claims Commissioner	3	3	4	1	4	0
Division of Criminal Justice	452	452	475	23	475	0
TOTAL GENERAL GOVERNMENT	**3,523**	**3,535**	**3,562**	**27**	**3,562**	**0**
REGULATION AND PROTECTION						
Department of Public Safety	1,476	1,550	1,456	-94	1,456	0
Police Officer Standards and Training Council	27	27	27	0	27	0
Board of Firearms Permit Examiners	2	2	2	0	2	0
Motor Vehicle Department	753	732	702	-30	658	-44
Military Department	63	63	63	0	63	0
Commission on Fire Prevention and Control	23	23	23	0	23	0
Department of Banking	164	164	160	-4	160	0
Department of Insurance	163	163	163	0	163	0
Office of Consumer Counsel	17	17	15	-2	15	0
Department of Public Utility Control	129	129	127	-2	127	0
Department of Consumer Protection	193	180	171	-9	171	0
Department of Labor	155	150	150	0	150	0
Commission on Human Rights and Opportunities	105	105	94	-11	94	0
Office of Protection and Advocacy for Persons with Disabilities	38	38	36	-2	36	0
Office of the Child Advocate	0	2	2	0	2	0
Workers' Compensation Commission	160	160	159	-1	159	0
TOTAL REGULATION AND PROTECTION	**3,468**	**3,505**	**3,350**	**-155**	**3,306**	**-44**
CONSERVATION AND DEVELOPMENT						
Department of Agriculture	70	70	70	0	70	0
Department of Environmental Protection	519	523	513	-10	513	0
Council on Environmental Quality	2	2	2	0	2	0
Connecticut Historical Commission	15	15	14	-1	14	0
Department of Economic and Community Development	92	94	90	-4	90	0
Agricultural Experiment Station	70	70	71	1	71	0
TOTAL CONSERVATION AND DEVELOPMENT	**768**	**774**	**760**	**-14**	**760**	**0**

	Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Appropriation 1997-98	Difference App98-Est97	Appropriation 1998-99	Difference App99-App98
HEALTH AND HOSPITALS						
Department of Public Health	574	593	590	-3	590	0
Office of Health Care Access	38	38	37	-1	37	0
Office of the Medical Examiner	48	49	52	3	52	0
Department of Mental Retardation	4,603	4,603	4,782	179	4,727	-55
Department of Mental Health and Addiction Services	2,684	3,635	3,614	-21	3,614	0
Psychiatric Security Review Board	4	4	4	0	4	0
TOTAL HEALTH AND HOSPITALS	7,951	8,922	9,079	157	9,024	-55
TRANSPORTATION						
Department of Transportation	3,998	3,998	3,824	-174	3,719	-105
HUMAN **SERVICES**						
Department of Social Services	2,122	2,122	2,134	12	2,134	0
Soldiers', Sailors', and Marines' Fund	19	19	19	0	19	0
TOTAL HUMAN SERVICES	2,141	2,141	2,153	12	2,153	0
EDUCATION MUSEUMS LIBRARIES						
Department of Education	1,600	1,632	1,634	2	1,634	0
Board of Education and Services for the Blind	63	63	70	7	70	0
Commission on the Deaf and Hearing Impaired	11	12	12	0	12	0
State Library	97	94	95	1	95	0
Department of Higher Education	30	29	29	0	29	0
University of Connecticut	2,589	2,559	2,553	-6	2,553	0
University of Connecticut Health Center	838	838	781	-57	814	33
Charter Oak College	16	16	16	0	16	0
Teachers' Retirement Board	30	30	30	0	30	0
Regional Community - Technical Colleges	1,765	1,765	1,740	-25	1,740	0
Connecticut State University	2,018	2,018	1,930	-88	1,930	0
TOTAL EDUCATION MUSEUMS LIBRARIES	9,057	9,056	8,890	-166	8,923	33
CORRECTIONS						
Department of Correction	7,708	7,410	7,269	-141	7,269	0
Board of Parole	74	74	74	0	74	0
Department of Children and Families	2,902	2,916	2,952	36	2,924	-28
County Sheriffs	35	34	39	5	39	0
TOTAL CORRECTIONS	10,719	10,434	10,334	-100	10,306	-28
JUDICIAL						
Judicial Department	2,794	2,794	2,828	34	2,842	14
Public Defender Services Commission	280	280	300	20	300	0
TOTAL JUDICIAL	3,074	3,074	3,128	54	3,142	14
NON-FUNCTIONAL						
Judicial Review Council	1	1	1	0	1	0
GRAND **TOTAL - General Fund**	38,971	39,732	39,608	-124	40,298	690
GRAND **TOTAL - Special Transportation Fund**	5,487	5,466	5,262	-204	4,387	-875
GRAND TOTAL - Soldiers, Sailors, and Marines' Fund	**19**	**19**	**19**	**0**	**19**	**0**
GRAND TOTAL - Regional Market Operation Fund	11	11	9	-2	9	0
GRAND TOTAL - Banking Fund	**164**	**164**	**160**	**-4**	**160**	**0**
GRAND TOTAL - Insurance Fund	**163**	**163**	**163**	**0**	**163**	**0**
GRAND TOTAL - Consumer Counsel and Public Utility Control Fund	**151**	**151**	**147**	**-4**	**147**	**0**
GRAND TOTAL - Worker's Compensation Fund	**165**	**165**	**164**	**-1**	**164**	**0**
GRAND TOTAL - ALL FUNDS	**45,131**	**45,871**	**45,532**	**-339**	**45,347**	**-2,307**

SUMMARY OF FEDERAL FUNDS [1]
1997-99

FEDERAL FUNDS INCLUDED IN THE AGENCY OPERATING BUDGETS

Function	Estimated 1996-97	Projected 1997-98	Difference 1998-1997	% Change 1998/1997	Projected 1998-99	Difference 1999-1998	% Change 1999/1998
General Government	$ 21,714,685	$ 13,403,602	$ -8,311,083	-38.27	$ 11,088,581	$ -2,315,021	-17.27
Regulation and Protection	121,317,986	119,265,666	-2,052,320	-1.69	120,402,607	1,136,941	0.95
Conservation and Development	70,075,596	62,280,647	-7,794,949	-11.12	58,571,211	-3,709,436	-5.96
Health and Hospitals	116,469,583	114,949,445	-1,520,138	-1.31	112,543,379	-2,406,066	-2.09
Transportation	29,461,419	26,959,341	-2,502,078	-8.49	26,959,341	0	0.00
Human Services	150,334,622	142,777,299	-7,557,323	-5.03	142,279,673	-497,626	-0.35
Education, Museums, Libraries	254,780,603	282,681,361	27,900,758	10.95	272,968,952	-9,712,409	-3.44
Corrections	17,350,774	15,507,382	-1,843,392	-10.62	13,363,898	-2,143,484	-13.82
Judicial	7,533,313	7,155,657	-377,656	-5.01	6,638,454	-517,203	-7.23
Total - All Functions	$ 789,038,581	$ 784,980,400	$ -4,058,181	-0.51	$ 764,816,096	$ -20,164,304	-2.57

FEDERAL FUNDS INCLUDED AS REVENUE

Federal Grants (General Fund) [2]	$ 1,795,500,000	$ 1,883,500,000	$ 88,000,000	4.90	$ 1,843,000,000	$ 40,500,000	-2.15
Recoveries of Indirect Overhead for Federal Projects (General Fund)	9,300,000	9,000,000	-300,000	-3.23	9,600,000	600,000	6.67
Miscellaneous Revenue (Federal Transportation Fund)	3,600,000	3,000,000	-600,000	-16.67	2,800,000	-200,000	-6.67
Total - Revenue	$ 1,808,400,000	$ 1,895,500,000	$ 87,100,000	4.82	$ 1,855,400,000	$ -40,100,000	-2.12
Grand Total	**$ 2,597,438,581**	**$ 2,680,480,400**	**$ 83,041,819**	**3.20**	**$ 2,620,216,096**	**$ -60,264,304**	**-2.25**

[1] This schedule includes only those funds relating to the operating budget. An effort has been made to reflect funds in the function where they are expended rather than received; however due to the uncertainties of accounting for federal funds as provided in the individual agency budgets, this may not always be the case. There are also federal funds which may be received for various capital construction projects that are not included in this schedule. It should be noted that, while the figures shown for the functions of government in this schedule are the best estimates currently available, they are subject to revision pending congressional approval of the 1997-98 federal budget. It is estimated that federal funds represent 24.01% and 23.19%, respectively, of the total state spending for the FY 1997-98 and FY 1998-99 operating budgets.

[2] These funds are primarily reimbursements and block grants from various welfare programs administered by the Department of Social Services.

SUMMARY OF STATE AGENCY BUDGETS
1997-99

	Governor's Recommended 1997-98	Appropriated 1997-98	Other Funds Available 1997-98	Governor's Recommended 1998-99	Appropriated 1998-99	Other Funds Available 1998-99
GENERAL FUND						
LEGISLATIVE						
Legislative Management	35,987,240	36,274,688	0	38,552,527	38,953,882	0
Auditors of Public Accounts	6,926,644	6,996,644	0	7,274,226	7,274,226	0
Commission on the Status of Women	429,700	399,700	0	472,710	442,710	0
Commission on Children	345,793	319,873	0	406,640	354,115	0
Commission on Latino and Puerto Rican Affairs	196,910	196,910	0	215,605	215,605	0
African-American Affairs Commission	0	193,822	0	0	196,501	0
GENERAL GOVERNMENT						
Governor's Office	2,239,155	2,239,155	122,500	2,299,627	2,299,627	122,500
Secretary of the State	2,892,654	3,152,654	5,190,105	3,002,897	3,262,897	5,513,647
Lieutenant Governor's Office	236,134	236,134	0	237,764	237,764	0
Elections Enforcement Commission	582,989	612,989	0	603,307	648,714	0
Ethics Commission	619,107	624,107	0	640,686	645,686	0
Freedom of Information Commission	796,665	886,665	0	832,881	922,881	0
Judicial Selection Commission	71,946	71,946	0	77,193	75,193	0
State Properties Review Board	384,897	384,897	0	403,407	403,407	0
State Treasurer	3,139,411	3,239,411	28,269,562	3,230,030	3,230,030	28,732,125
State Comptroller	15,552,081	15,822,081	700,000	16,174,556	16,444,556	700,000
Department of Revenue Services	49,388,438	50,642,438	0	51,586,626	52,840,626	0
Division of Special Revenue	9,969,604	10,222,096	5,908,152	10,392,822	10,645,314	5,993,280
State Insurance Purchasing Board	7,384,974	7,384,974	0	7,395,662	7,395,662	0
Gaming Policy Board	4,000	4,000	0	4,000	4,000	0
Office of Policy and Management	164,001,426	169,450,245	38,830,354	174,846,641	176,088,433	36,449,955
Department of Veterans Affairs	26,579,260	26,579,260	2,083,941	26,978,359	26,978,359	2,188,054
Department of Administrative Services	28,233,231	29,693,974	18,867,345	29,452,818	30,961,026	18,396,672
Department of Information Technology	2,539,170	2,539,170	50,758,770	3,646,728	3,646,728	51,371,058
Department of Public Works	34,866,055	34,896,055	5,782,000	34,660,830	34,690,830	5,782,000
Attorney General	21,282,638	20,877,778	3,997,200	22,450,179	22,045,319	4,222,700
Office of the Claims Commissioner	311,756	311,756	0	311,714	311,714	0
Division of Criminal Justice	27,235,651	29,469,878	4,342,400	27,179,572	29,414,387	4,342,400
Criminal Justice Commission	1,195	1,195	0	1,195	1,195	0
REGULATION AND PROTECTION						
Department of Public Safety	50,355,025	49,740,769	10,859,840	97,455,234	97,966,908	10,813,790
Police Officer Standards and Training Council	2,150,748	2,247,748	144,000	2,234,110	2,321,110	36,000
Board of Firearms Permit Examiners	93,526	93,526	0	98,552	98,552	0
Military Department	4,706,407	4,751,807	5,007,000	4,764,586	4,769,986	4,471,000
Commission on Fire Prevention and Control	1,759,662	1,759,662	371,113	1,772,367	1,772,367	371,113
Department of Consumer Protection	9,529,988	9,557,738	2,131,555	9,986,746	10,023,746	2,214,937
Department of Labor	39,825,254	38,775,254	112,023,149	40,330,548	39,280,548	113,737,353
Commission on Human Rights and Opportunities	5,159,135	5,159,135	21,000	5,464,858	5,464,858	21,500
Office of Protection and Advocacy for Persons with Disabilities	2,249,485	2,249,485	926,875	2,373,754	2,373,754	927,297
Office of the Child Advocate	142,006	167,006	0	142,585	167,585	0
CONSERVATION AND DEVELOPMENT						
Department of Agriculture	3,537,574	3,637,574	764,256	3,675,847	3,875,847	764,256
Department of Environmental Protection	36,448,034	36,713,134	53,164,500	37,331,690	37,596,790	53,065,900
Council on Environmental Quality	103,246	103,246	0	106,988	106,988	0
Connecticut Historical Commission	862,209	902,209	727,150	900,610	940,610	608,150
Department of Economic and Community Development	19,317,204	18,569,173	56,505,713	19,503,692	18,817,425	52,954,057
Agricultural Experiment Station	4,395,323	4,639,323	1,511,488	4,524,534	4,726,219	1,511,488
HEALTH AND HOSPITALS						
Department of Public Health	56,146,955	59,998,455	81,769,135	56,452,961	60,175,461	79,370,266
Office of Health Care Access	2,611,845	2,177,045	355,000	2,701,029	2,616,229	0
Office of the Medical Examiner	4,049,690	4,279,705	0	4,088,879	4,318,894	0
Department of Mental Retardation	532,373,692	532,782,758	9,630,417	538,859,245	541,797,767	9,531,734
Department of Mental Health and Addiction Services	192,794,531	228,808,793	34,278,287	196,176,285	232,027,467	32,416,502
Psychiatric Security Review Board	240,879	240,879	0	248,049	248,049	0
HUMAN SERVICES						
Department of Social Services	3,175,424,672	3,217,413,765	149,551,519	3,093,829,425	3,079,200,734	143,884,654

	Governor's Recommended 1997-98	Appropriated 1997-98	Other Funds Available 1997-98	Governor's Recommended 1998-99	Appropriated 1998-99	Other Funds Available 1998-99
EDUCATION MUSEUMS LIBRARIES						
Department of Education	1,533,807,176	1,562,707,454	213,401,227	1,555,805,076	1,608,772,979	204,426,160
Board of Education and Services for the Blind	14,100,081	13,798,081	7,546,364	14,314,182	14,012,182	6,104,306
Commission on the Deaf and Hearing Impaired	1,091,374	1,091,374	223,452	874,207	874,207	223,462
State Library	11,030,920	12,132,212	3,295,424	11,316,192	12,267,484	2,999,510
Department of Higher Education	23,763,453	30,799,726	5,587,731	23,899,859	31,447,673	5,607,231
University of Connecticut	135,044,906	147,137,354	264,496,023	136,797,143	153,852,425	261,678,241
University of Connecticut Health Center	56,185,992	62,445,188	300,999,287	57,730,649	64,731,067	311,784,899
Charter Oak College	0	888,227	720,200	0	965,643	720,200
Teachers' Retirement Board	184,603,451	184,603,451	0	193,988,461	193,988,461	0
Regional Community - Technical Colleges	87,161,949	92,984,494	79,658,291	88,219,412	93,954,201	81,837,192
Connecticut State University	98,610,934	106,202,662	149,144,229	100,334,254	107,686,183	154,024,297
CORRECTIONS						
Department of Correction	391,260,914	387,222,422	2,310,302	393,022,503	388,808,462	1,734,909
Board of Pardons	34,141	34,141	0	34,141	34,141	0
Board of Parole	6,251,950	6,251,950	0	6,353,631	6,353,631	0
Department of Children and Families	345,793,328	347,327,469	13,347,880	351,644,368	353,178,509	11,791,789
County Sheriffs	20,667,921	21,337,486	0	22,908,495	22,189,647	0
JUDICIAL						
Judicial Department	212,897,575	216,836,153	8,882,511	224,693,015	228,847,870	8,268,558
Public Defender Services Commission	20,626,680	21,976,680	1,440,375	21,217,386	22,567,386	1,440,375
NON-FUNCTIONAL						
Miscellaneous Appropriations to the Governor	18,000	18,000	0	18,000	18,000	0
Debt Service - State Treasurer	799,405,775	777,505,775	120,308,179	880,974,803	857,774,803	116,187,638
Reserve for Salary Adjustments	62,622,200	62,622,200	0	109,930,100	109,930,100	0
Workers' Compensation Claims - Department of Administrative Services	11,862,111	11,862,111	0	11,862,111	11,862,111	0
Judicial Review Council	199,043	199,043	0	202,719	202,719	0
Refunds of Payments	450,000	450,000	0	450,000	450,000	0
Fire Training Schools	309,300	334,300	0	309,300	334,300	0
Maintenance of County Base Fire Radio Network	21,000	21,000	0	21,000	21,000	0
Maintenance of Statewide Fire Radio Network	14,000	14,000	0	14,000	14,000	0
Equal Grants to Thirty-Four Non Profit General Hospitals	34	34	0	34	34	0
Connecticut State Police Association	63,000	63,000	0	63,000	63,000	0
Connecticut State Firemen's Association	72,040	100,000	0	72,040	100,000	0
Emergency Communications	977,920	0	0	907,920	0	0
Interstate Sanitation Commission	3,333	3,333	0	3,333	3,333	0
Reimbursements to Towns for Loss of Taxes on State Property	30,360,283	33,341,007	0	30,360,283	35,008,000	0
Reimbursements to Towns for Loss of Taxes on Private Tax-Exempt Property	68,520,537	82,320,537	0	68,520,537	85,120,537	0
Unemployment Compensation	8,409,000	8,409,000	0	7,113,000	7,113,000	0
State Employees Retirement Contributions	236,609,630	236,609,630	0	256,161,788	256,161,788	0
Higher Education Alternative Retirement System	11,100,000	11,100,000	0	11,415,000	11,415,000	0
Pensions and Retirements-Other Statutory	1,450,000	1,450,000	0	1,500,000	1,500,000	0
Judges and Compensation Commissioners Retirement	10,199,708	9,308,947	0	11,198,113	11,198,113	0
Insurance - Group Life	2,500,000	2,500,000	0	2,575,000	2,575,000	0
Tuition Reimbursement - Training and Travel	925,625	925,625	0	925,625	925,625	0
Employers Social Security Tax	123,515,000	126,677,729	0	127,891,800	131,343,529	0
State Employees Health Service Cost	175,362,500	180,507,300	9,000,000	175,973,500	181,214,300	0
Retired State Employees Health Service Cost	90,850,000	93,550,000	0	94,150,000	96,850,000	0
TOTAL - GENERAL FUND - GROSS	**9,365,228,598**	**9,534,161,779**	**1,864,955,801**	**9,582,119,756**	**9,727,049,724**	**1,839,343,155**
Less: Estimated Lapse	-183,712,000	-191,912,000	0	-230,958,000	-231,158,000	0
TOTAL - GENERAL FUND - NET	**9,181,516,598**	**9,342,249,779**	**1,864,955,801**	**9,351,161,756**	**9,495,891,724**	**1,839,343,155**
TRANSPORTATION FUND						
State Insurance Purchasing Board	2,177,500	2,177,500	0	2,204,017	2,204,017	0
Department of Public Safety	45,042,848	45,042,848	0	0	0	0
Motor Vehicle Department	46,223,589	44,680,184	26,784,810	47,459,068	44,877,363	26,721,429
Department of Transportation	295,938,355	298,065,068	57,622,832	278,914,504	302,118,676	26,959,341
Debt Service - State Treasurer	388,194,092	388,899,269	0	413,145,110	414,876,710	0
Reserve for Salary Adjustments	10,442,000	10,442,000	0	15,846,000	15,846,000	0
Refunds of Payments	1,810,000	1,810,000	0	1,810,000	1,810,000	0

	Governor's Recommended 1997-98	Appropriated 1997-98	Other Funds Available 1997-98	Governor's Recommended 1998-99	Appropriated 1998-99	Other Funds Available 1998-99
Unemployment Compensation	460,000	460,000	0	475,000	475,000	0
State Employees Retirement Contributions	25,740,000	25,740,000	0	31,700,000	31,700,000	0
Insurance - Group Life	131,000	131,000	0	133,000	133,000	0
Employers Social Security Tax	13,100,000	13,100,000	0	13,400,000	13,400,000	0
State Employees Health Service Cost	19,623,000	19,623,000	0	19,723,000	19,723,000	0
TOTAL TRANSPORTATION FUND - GROSS	**848,882,384**	**850,170,869**	**84,407,642**	**824,809,699**	**847,163,766**	**53,680,770**
Less: Estimated Lapse	-21,417,500	-20,417,500	0	-21,115,100	-18,315,000	
TOTAL TRANSPORTATION FUND - NET	**827,464,884**	**829,753,369**	**84,407,642**	**803,694,599**	**828,848,766**	**53,680,770**
MASHANTUCKET PEQUOT & MOHEGAN FUND						
Grants to Towns	85,000,000	135,000,000	0	85,000,000	135,000,000	0
SOLDIERS, SAILORS AND MARINES FUND						
Department of Veterans Affairs	270,000	270,000	0	290,000	290,000	0
Soldiers', Sailors', and Marines' Fund	3,144,553	3,144,553	0	3,177,848	3,177,848	0
TOTAL - SOLDIERS, SAILORS AND MARINES FUND	**3,414,553**	**3,414,553**	**0**	**3,467,848**	**3,467,848**	**0**
REGIONAL MARKET OPERATION FUND						
Department of Agriculture	626,330	626,330	0	639,403	639,403	0
Debt Service - State Treasurer	203,000	203,000	0	194,000	194,000	0
TOTAL - REGIONAL MARKET OPERATION FUND	**829,330**	**829,330**	**0**	**833,403**	**833,403**	**0**
BANKING FUND						
Department of Banking	15,593,797	15,593,797	0	15,396,083	15,396,083	0
INSURANCE FUND						
Department of Insurance	13,188,927	13,188,927	0	13,890,610	13,890,610	0
CONSUMER COUNSEL & PUBLIC UTILITY CONTROL FUND						
Office of Consumer Counsel	1,643,152	1,643,152	0	1,721,471	1,721,471	0
Department of Public Utility Control	12,582,902	12,597,902	898,163	13,102,433	13,117,433	903,934
TOTAL - CONSUMER COUNSEL & PUBLIC UTILITY CONTROL FUND	**14,226,054**	**14,241,054**	**898,163**	**14,823,904**	**14,838,904**	**903,934**
WORKERS' COMPENSATION FUND						
Department of Labor	623,510	623,510	0	637,850	637,850	0
Workers' Compensation Commission	20,911,723	20,935,819	93,527	21,132,094	21,188,814	95,678
TOTAL - WORKERS' COMPENSATION FUND	**21,535,233**	**21,559,329**	**93,527**	**21,769,944**	**21,826,664**	**95,678**
CRIMINAL INJURIES COMPENSATION FUND						
Judicial Department	1,500,000	1,900,000	0	1,500,000	1,900,000	0
GRAND TOTAL - ALL FUNDS - GROSS	**10,369,398,876**	**10,590,059,638**	**1,950,355,133**	**10,563,611,247**	**10,781,367,002**	**1,894,023,537**
Less: Estimated Lapse	**-205,129,500**	**-212,329,500**	**0**	**-252,073,100**	**-249,473,000**	**0**
GRAND TOTAL - ALL FUNDS - NET	**10,164,269,376**	**10,377,730,138**	**1,950,355,133**	**10,311,538,147**	**10,531,894,002**	**1,894,023,537**

STATE GRANTS TO TOWNS

Appropriated Grants

Administering Agency & Grant	1996-97 Estimated Expenditure	1997-98 Appropriation	Amount of Change	1998-99 Appropriation	Amount of Change
Office of Policy and Management					
Reimbursement Property Tax - Disability Exemption	393,057	409,600	16,543	419,021	9,421
Distressed Municipalities	2,340,721	3,200,000	859,279	3,850,000	650,000
Property Tax Relief Elderly Circuit Breaker	22,601,581	25,250,000	2,648,419	27,500,000	2,250,000
Property Tax Relief Elderly Freeze Program	7,164,074	7,200,000	35,926	6,000,000	-1,200,000
Property Tax Relief for Veterans	8,382,764	9,100,000	717,236	10,100,000	1,000,000
Justice Assistance Grants	302,150	0	-302,150	0	0
Drug Enforcement Program	15,016,190	14,328,337	-687,853	13,548,337	-780,000
P.I.L.O.T. - New Manufacturing Machinery and Equipment	52,786,525	69,000,000	16,213,475	73,950,000	4,950,000
Youth Centers	1,767,404	0	-1,767,404	0	0
Total Agency	**110,754,466**	**128,487,937**	**17,733,471**	**135,367,358**	**6,879,421**
Department of Economic and Community Development					
Tax Abatement	2,588,120	2,243,276	-344,844	2,243,276	0
Payment in Lieu of Taxes	2,644,904	2,900,000	255,096	2,900,000	0
Connecticut Housing Partnership	1,185,182	0	-1,185,182	0	0
Total-Agency	**6,418,206**	**5,143,276**	**-1,274,930**	**5,143,276**	**0**
Department of Public Health					
Local and District Departments of Health	2,634,921	2,823,774	188,853	2,956,077	132,303
Venereal Disease Control	222,275	222,275	0	222,275	0
School Based Health Clinics	3,662,129	3,837,129	175,000	3,662,129	-175,000
Total-Agency	**6,519,325**	**6,883,178**	**363,853**	**6,840,481**	**-42,697**
Department of Transportation					
Aircraft Registration	2,036,000	1,454,000	-582,000	873,000	-581,000
Town Aid Road Grants	20,000,000	20,000,000	0	20,000,000	0
Total-Agency	**22,036,000**	**21,454,000**	**-582,000**	**20,873,000**	**-581,000**
Department of Social Services					
Emergency Assistance to Families	2,250,000	0	-2,250,000	0	0
General Assistance [1]	76,017,701	10,140,000	-65,877,701	0	-10,140,000
Child Day Care	5,252,824	5,710,200	457,376	5,862,659	152,459
Human Resource Development	509,330	239,385	-269,945	239,385	0
Human Resource Development-Hispanic Programs	38,928	11,678	-27,250	11,678	0
Teenage Pregnancy Prevention Block Grant	950,000	620,000	-330,000	1,000,000	380,000
Vocational Rehabilitation Transition Plan	39,000	39,000	0	39,000	0
Traumatic Brain Injury	109,000	109,000	0	109,000	0
Total-Agency	**85,166,783**	**16,869,263**	**-68,297,520**	**7,261,722**	**-9,607,541**

Administering Agency & Grant	1996-97 Estimated Expenditure	1997-98 Appropriation	Amount of Change	1998-99 Appropriation	Amount of Change
Department of Education					
School Building Grants and Interest Subsidy	75,000	42,500	-32,500	25,500	-17,000
Vocational Agriculture	2,515,000	2,567,800	52,800	2,621,700	53,900
Transportation of School Children	36,697,667	39,500,000	2,802,333	42,000,000	2,500,000
Adult Education	12,246,000	13,821,660	1,575,660	16,039,027	2,217,367
Health and Welfare Services Pupils Private Schools	3,064,000	3,200,000	136,000	3,400,000	200,000
Education Equalization Grants	1,245,828,175	1,262,860,000	17,031,825	1,277,170,000	14,310,000
Bilingual Education	2,200,000	2,226,000	26,000	2,252,000	26,000
Priority School Districts	16,125,000	18,500,000	2,375,000	19,000,000	500,000
Young Parents Program	233,000	238,592	5,592	244,080	5,488
Interdistrict Cooperation	15,386,000	21,219,600	5,833,600	22,082,301	862,701
School Breakfast Program	1,489,000	1,524,736	35,736	1,559,805	35,069
Excess Cost - Student Based	22,759,000	25,000,000	2,241,000	28,000,000	3,000,000
Excess Cost - Equity	11,500,000	11,500,000	0	11,500,000	0
Medicaid Coordination	6,820,000	6,000,000	-820,000	6,500,000	500,000
Student Achievement Grant	1,000,000	500,000	-500,000	500,000	0
Non-Public School Transportation	4,034,069	4,235,000	200,931	4,450,000	215,000
Celebration of Excellence	25,000	25,000	0	25,000	0
School to Work Opportunities	250,000	250,000	0	250,000	0
Extended School Hours and Support Programs	1,270,000	1,310,720	40,720	1,340,867	30,147
Youth Service Bureaus	2,748,398	2,748,398	0	2,748,398	0
Project Concern - Receiving District	264,888	271,524	6,636	277,769	6,245
OPEN Choice Program [2]	0	0	0	1,600,000	1,600,000
Lighthouse Schools [3]	0	100,000	100,000	400,000	300,000
Special Education Overburden	0	425,000	425,000	225,000	-200,000
Total-Agency	**1,386,530,197**	**1,418,066,530**	**31,536,333**	**1,444,211,447**	**26,144,917**
Board of Education and Services for the Blind					
Services for Persons with Impaired Vision	490,326	238,760	-251,566	242,672	3,912
Tuition and Services-Public School Children	1,008,342	660,400	-347,942	671,220	10,820
Total-Agency	**1,498,668**	**899,160**	**-599,508**	**913,892**	**14,732**
State Library					
Grants to Public Libraries	453,776	453,776	0	453,776	0
Connecticard Payments	697,835	697,835	0	697,835	0
Total-Agency	**1,151,611**	**1,151,611**	**0**	**1,151,611**	**0**
Miscellaneous Appropriations					
Reimbursements to Towns for Loss of Taxes on State Property	30,372,807	33,341,007	2,968,200	35,008,000	1,666,993
Mashantucket Pequot and Mohegan Fund [4]	85,000,000	135,000,000	50,000,000	135,000,000	0
Reimbursements to Towns for Loss of Taxes on Private Tax-Exempt Property [5]	68,690,081	82,320,537	13,630,456	85,120,537	2,800,000
Total-Agency	**184,062,888**	**250,661,544**	**66,598,656**	**255,128,537**	**4,466,993**
Total Appropriated-Grants to Towns	**1,804,138,144**	**1,849,616,499**	**45,478,355**	**1,876,891,324**	**27,274,825**

Non Appropriated Grants

Administering Agency & Grant	1996-97 Estimated Payment	1997-98 Estimated Payment	Amount of Change	1998-99 Estimated Payment	Amount of Change
Office of Policy and Management					
Payment - in - Lieu of Boating Taxes	2,390,500	2,390,500	0	2,390,500	0
Division of Special Revenue					
Gambling Revenue Payment [6]	3,300,000	4,700,000	1,400,000	5,000,000	300,000
Department of Environmental Protection					
Municipal Solid Waste	150,000	100,000	-50,000	100,000	0
Total Non - Appropriated Grants	**5,840,500**	**7,190,500**	**1,350,000**	**7,490,500**	**300,000**

Bonded Grants to Towns

	1998 Authorization	1999 Authorization	Unallocated Balance
Department of Economic and Community Development [7]			
Various Housing/Economic Development Projects			
Department of Education			
Grants-in-aid to municipalities and regional school districts, and regional education service centers for technology wiring of schools	10,000,000	10,000,000	0
School Construction principal and interest	176,750,000	189,810,000	0
Department of Environmental Protection			
Grants-in-aid to state agencies, regional planning agencies and municipalities for water pollution control projects	3,000,000	4,000,000	2,228,456
Grants-in-aid to municipalities for the purpose of providing potable water	1,000,000	2,000,000	4,307,532
Containment, removal or mitigation of identified hazardous waste disposal sites	5,000,000	5,000,000	14,730,000
Grants-in-aid to municipalities for acquisition and development of open space for conservation or recreation purposes	-	500,000	0
Grants-in-aid to municipalities for acquisition of land, for public parks, recreation and water quality improvements, water mains and water pollution control facilities, including sewer projects	8,000,000	8,000,000	155,000
Identification, investigation, containment, removal or mitigation of contaminated industrial sites in urban areas	500,000	-	8,035,000
Grants-in-aid to municipalities for landfill closure and related expenses	6,000,000	-	6,000,000
Grants-in-aid under the Clean Water Fund (General Fund GO Bonds)	45,000,000	14,000,000	125,404,652
Department of Transportation			
Development and improvements of general aviation airport facilities including grants-in-aid to municipal airports (excluding Bradley International Airport)	5,000,000	2,000,000	0
Office of Policy and Management			
Grants-in-aid to municipalities for development of a computer assisted mass appraisal system	313,000	-	12,500

	1998 Authorization	1999 Authorization	Unallocated Balance
Grants-in-aid to municipalities, municipal entities or non-profit organizations for urban development projects including housing, economic development, public safety, environmental protection, transportation and social service projects and programs	50,000,000	50,000,000	106,536,878
Grants-in-aid for local capital improvement projects (LOCIP)	30,000,000	30,000,000	100,000,000
State Library			
Grants-in-aid for public libraries for construction, renovations, expansions, energy conservation and handicapped accessibility	2,500,000	2,500,000	0
Total-Bonded Grants	**343,063,000**	**317,810,000**	**367,410,018**

[1] In accordance with PA 95-194, "An Act Concerning Welfare Reform", the state assumed responsibility for the administration of the General Assistance (GA) caseloads in eleven of the largest municipalities on April 1,1997. These eleven towns represent approximately 80% of the statewide GA caseload. The state will assume control for the remaining municipalities on July 1, 1998.

[2] This program established under PA 97-290, An Act Enhancing Educational Choices and Opportunities", provides up to $2,000 per student to each receiving school district which enrolls an out-of-district student. The program is to be operation statewide by the 1999-2000 school year.

[3] This program established under PA 97-290, "An Act Enhancing Educational Choices and Opportunities", provides aid to Hartford to develop specialized curriculum and train staff in order to promote inter- and interdistrict school choice. The program is to expand to New Haven and Bridgeport in SFY 1998-99.

[4] The increase in the amount appropriated for the 1997-99 biennium reflects the increases revenue which resulted from the opening of the Mohegan Sun Casino.

[5] The increase resources in this grant will be prorated between all the municipalities which receive the P.I.L.O.T. payments.

[6] Public Act 97-277, "An Act Concerning the Testing of Racing Dogs, the Licensing of Lottery Corporation Employees and Off-Track Betting", increased the percentage of the off-track betting tax distributed to municipalities with outlets from 1% to 1.6%, thereby increasing municipal revenues derived from gaming sources.

[7] The Department of Economic and Community Development received a lump sum bond authorization of $18 million for FY 1997-98 and $15 million for FY 1998-99. See "Department of Economic and Community Development" for additional details.

Section I

REVENUE

Schedule of General Fund Revenue, 1997-99 73

Schedule of Revenue for Other Appropriated Funds, 1997-99 74

Tax Changes and Other Revenue Changes Made by 1997 Legislation

General Fund 78

Other Revenue Changes Made by 1997 Legislation

General Fund 81

Descriptions of General and Transportation Fund Taxes 84

SCHEDULE OF GENERAL FUND REVENUE
(THOUSANDS)

	FY 96-97 Estimate	ADJUSTED Growth Rate [1]	FY 97-98 [2] Estimate	ADJUSTED Growth Rate [1]	FY 98-99 [2] Estimate
TAXES					
Personal Income	$3,086,000	1.8	3,135,100	4.1	$3,142,700
Sales and Use	2,590,000	5.5	2,694,500	5.5	2,825,000
Corporations	696,000	1.9	626,100	1.9	576,200
Inheritance and Estate	231,000	3.5	193,500	4.0	181,100
Hospital Gross Receipts	178,000	0.6	151,800	(2.0)	131,800
Public Service Corporations	185,000	2.5	175,000	2.3	178,800
Insurance Companies	184,000	2.0	179,600	3.0	185,000
Cigarettes	125,000	(2.5)	121,900	(2.5)	118,900
Oil Companies	78,000	3.3	75,200	3.0	58,100
Real Estate Conveyance	72,100	0.0	72,100	0.0	72,100
Alcoholic Beverages	39,400	0.0	39,400	0.0	39,400
Miscellaneous	28,200	3.5	25,200	3.5	26,100
Admissions, Dues and Cabaret	24,800	2.0	24,400	2.0	24,900
TOTAL TAXES	**$7,517,500**		**$7,513,800**		**$7,560,100**
Refunds of Taxes	($522,800)		(698,000)		(747,000)
NET GENERAL FUND TAXES	**$6,994,700**		**$6,815,800**		**$6,813,100**
OTHER REVENUE					
Transfer Special Revenue	$248,600		$264,400		$273,400
Indian Gaming Payments	202,000		230,000		245,000
Licenses, Permits and Fees	126,400		110,700		116,200
Sales of Commodities and Services	39,000		40,000		40,000
Rentals, Fines and Escheats	32,000		32,000		33,300
Investment Income	39,700		37,000		34,000
Miscellaneous	105,000		109,000		188,000
TOTAL OTHER REVENUE	**$792,700**		**$823,100**		**$929,900**
OTHER SOURCES					
Federal Grants	$1,781,000		$1,883,500		$1,843,000
Transfers - Other Funds					
To Other Funds [3]	(85,000)		(180,000)		(90,000)
TOTAL - OTHER SOURCES	**$1,696,000**		**$1,703,500**		**$1,753,000**
TOTAL REVENUE	**$9,483,400**		**$9,342,400**		**$9,496,000**

[1] The percentage change represents growth after adjustments for any base and/or rate changes.

[2] The projected revenues are the estimates of the Finance Committee adopted on June 25, 1997, in accordance with Section 2-35 of the CGS.

[3] The FY 97-98 transfer of $180 million to the Mashantucket & Mohegan Fund includes $45 million to pay grants in FY 98-99.

SCHEDULE OF REVENUE FOR OTHER APPROPRIATED FUNDS

Special Transportation Fund

	FY 97-98 Estimate (000)	FY 98-99 Estimate (000)
Motor Fuels Tax	$512,000	$563,500
Motor Vehicle Receipts	176,000	176,500
Licenses, Permits, Fees	101,850	101,850
Interest Income	31,000	32,000
Petroleum Companies Gross Earnings Transfer	0	20,000
Federal Grants	3,000	2,800
Transfer-Conservation Fund	**(250)**	**(250)**
Total Revenue-Special Transportation Fund	**$832,800**	**$817,600**
Refunds of Taxes	(5,900)	(5,600)
Total Transportation Fund	**$826,900**	**$812,000**

The Special Transportation Fund was established by PA 83-30 of the 1983 June Special Session and expanded by PA 84-254. Revenue is derived from the motor fuels tax, motor vehicle licenses and registrations, federal grants (reimbursements for mass transit operating expenses), motor vehicle related licenses, permits and fees and investment income. Beginning with FY 1998-99, PA 97-309 deposits a portion of the revenue generated from the Gross Earnings Tax levied on the sale of petroleum products attributable to motor fuel sales into the Special Transportation Fund.

Soldiers, Sailors and Marines' Fund

	FY 97-98 Estimate (000)	FY 98-99 Estimate (000)
Interest & Dividends	$3,500	$3,500
Total-Soldiers, Sailors and Marines' Fund	**$3,500**	**$3,500**

The Soldiers, Sailors and Marines' Fund is a trust fund authorized in 1919 to provide temporary financial assistance to needy veterans. Revenue is derived from investment income on the $54,770,000 fund balance (as of May 31, 1997) and is used to fund operating expenses, award payments to veterans, and payments to the Veterans' Home and Hospital for burial expenses and headstones for indigent veterans. The fund is administered by the American Legion with the State Treasurer acting as trustee.

Regional Market Operation Fund

	FY 97-98 Estimate (000)	FY 98-99 Estimate (000)
Rentals	$850	$850
Total Revenue	**$850**	**$850**

The Regional Market Operating Fund was established by statute in 1939 and is used to account for the operations of the Connecticut Regional Market. Revenue to the fund is derived from the rental of merchandising space to distributors and producers of fruit, vegetables and other products at the regional market in Hartford. The revenue is used generally for operating expenses and maintenance of facilities.

Mashantucket Pequot and Mohegan Fund

	FY 97-98 Estimate (000)	FY 98-99 Estimate (000)
Transfers from General Fund	$180,000	$90,000
Total Revenue	**$180,000**	**$90,000**

The Mashantucket Pequot Fund was established to make grant payments pursuant to PA 93-388. Funds are transferred into the Fund from payments received by the tribes pursuant to agreements with the state.

Banking Fund

	FY 97-98 Estimate (000)	FY 98-99 Estimate (000)
Fees and Assessments	$15,600	$15,600
Total Revenue	**$15,600**	**$15,600**

The Banking Fund was established as a non-appropriated fund by PA 75-447, PA 76-231 provided for the expenses of the Department of Banking to be paid from assessments from state chartered banks and from fees charged to banks for its services. PA 91-3 of the June Special Session changed the fund to an Appropriated Fund.

Insurance Fund

	FY 97-98 Estimate (000)	FY 98-99 Estimate (000)
Assessments	$14,000	$14,000
Total Revenue	**$14,000**	**$14,000**

PA 91-3 of the June Special Session established the Insurance Fund as an Appropriated Fund with the Insurance Department funded through assessments on insurance companies conducting business in the state. In prior years the assessments were a reimbursement of General Fund expenses credited to General Fund Revenue.

Consumer Counsel and Public Utility Control Fund

	FY 97-98 Estimate (000)	FY 98-99 Estimate (000)
Fees and Assessments	$15,000	$15,000
Total Revenue	**$15,000**	**$15,000**

PA 91-3 of the June Special Session established the Consumer Counsel and Public Utility Control Fund as an Appropriated Fund with the Division of Consumer Counsel and the Department of Public Utility Control funded through assessments on utility companies operating in the state. In prior years the assessments were a reimbursement of General Fund expenses credited to General Fund revenue.

Workers' Compensation Fund

	FY 97-98 Estimate (000)	FY 98-99 Estimate (000)
Fees and Assessments	$22,000	$22,000
Total Revenue	**$22,000**	**$22,000**

PA 91-3 of the June Special Session established the Workers' Compensation Fund as an Appropriated with the Workers' Compensation Commission funded through assessments on self-insured employers and companies licensed to write compensation insurance. In prior years the assessments were a reimbursement of General Fund expenses credited to General Fund revenue. Included in the assessments estimate are assessments for the Workers' Rehabilitation Division and the Education Division which were combined pursuant to PA 91-339 and previously were deposited in Special Non-Appropriated Funds.

Criminal Injuries Compensation Fund

	FY 97-98 Estimate (000)	FY 98-99 Estimate (000)
Fines	$1,900	$1,900
Total Revenue	**$1,900**	**$1,900**

The Criminal Injuries Compensation Fund was established by PA 92-153 as an appropriated fund to fund compensation and restitution services to victims of crime. Revenue is derived from costs imposed in criminal proceedings or prosecutions. In prior years the payments were held in a separate non-lapsing account in the General Fund.

TAX AND OTHER REVENUE CHANGES MADE BY 1997 LEGISLATION

Described below are tax and other revenue changes made during the 1997 Regular and Special Sessions. Tax changes affecting General Fund are shown first, followed by non-tax revenue changes to the Fund. This is followed by revenue changes to funds other than General Fund, including the Special Transportation Fund. Not included are changes made in miscellaneous penalties or fines unless the revenue effect is anticipated to be significant. Each entry contains the public act number, a brief description, and the revenue impact. Items estimated to have minimal or no revenue impact are indicated by a dash (-) in the 'Revenue Changes' column. Unless otherwise noted, the effective date for each entry is July 1, 1997.

THE GENERAL FUND

	Revenue Changes Fiscal Year	Amount (Millions)
Admissions Tax		
PA 97-315		
Exempt live performances at the Gateway's Candlewood Playhouse from the Admissions Tax	FY 98 FY 99	(0.1) (0.1)
Exempt live performances at Ocean Beach Park from the Admissions Tax	Precludes a minimal revenue gain	
Maximum motion picture charge exempt from the Admissions Tax is increased from $2.00 to $4.50	FY 98 FY 99	(0.8) (0.8)
Corporate Business Tax		
PA 97-295		
Repeals the Home Grown Cattle deduction and the following tax credits: Air Pollution, Industrial Waste, Work Education, Employee Training, and New Facilities.	FY 99 FY 00 FY 01 FY 02	0.3 4.7 4.8 4.8
Provides a credit for the amount spent on fixed capital investment. The credit is phased-in as follows: 3% for the 1998 income year, 4% for 1999, and 5% for the 2000 income year and after.	FY 99 FY 00 FY 01 FY 02	(1.3) (6.8) (8.9) (10.6)
Provides a credit for the amount spent on human capital investment. The credit is phased in as follows: 3% for the 1998 income year, 4% for 1999, and 5% for the 2000 income year and after.	FY 99 FY 00 FY 01 FY 02	(0.3) (1.7) (2.3) (2.7)
Provides a credit for up to $1,000 per income year for construction trades apprenticeships.	FY 99 FY 00 FY 01 FY 02	(0.1) (0.4) (0.5) (0.5)

	Revenue Changes Fiscal Year	Amount (Millions)
Extend the sunset on the Corporation Tax credits for vehicles powered by alternative fuels and related expenditures and for construction of or improvements to filling stations to provide compressed natural gas, liquefied petroleum or natural gas by two years	FY 99 FY 00	(1.0) (1.0)
PA 97-283 Allows S-corporations to take credits on the same phase-out schedule of the Corporate Business Tax on S-corporation net income. PA 96-175 prohibited S-corporations from using Corporate Tax credits to offset their liability during the period of the phase-out. The change is effective with the 1997 income year.	FY 98 FY 99 FY 00 FY 01	(1.5) (1.3) (0.9) (0.5)
PA 97-251 Increase the limit from $3 million to $4 million for credits available under the Neighborhood Assistance Act. The change is effective with the 1998 income year.	FY 98 FY 99	(1.0) (1.0)
PA 97-309 Nexus Investigation Project (DRS Program)	FY 98 FY 99	3.0 6.0
PA 97-4 (June 18, 1997 Special Session) Provide a single factor apportionment formula for credit card income for certain eligible institutions.	FY 98 FY 99	(4.0) (3.0)
Estate Tax		
PA 97-165 Expands the Estate Tax to include non-resident estates.	FY 98 FY 99	0.1 0.2
Establish a State generation-skipping transfer tax. The amount of tax is the same as the credit allowed against the federal generation-skipping transfer tax.	FY 98 FY 99	0.4 1.8
Insurance Premiums Tax		
PA 97-11 (June 18, 1997 Special Session) Exempt insurance health policies written for State Employee Health Plans.	FY 98	(2.0)

	Revenue Changes Fiscal Year	Amount (Millions)
Oil Companies Tax		
PA 97-281		
Phase out the 5% tax on gross earnings by 1% per year over five years on fuel used in vessels primarily engaged in interstate commerce and #6 fuel oil used by industrial consumers (SIC 2000-3999). The change is effective July 1, 1998.	FY 99 FY 00 FY 01 FY 02 FY 03	(0.2) (0.4) (0.6) (0.8) (1.0)
PA 97-309		
Transfer revenues generated from tax on petroleum products to Transportation Fund	FY 99 FY 00	(20.0) (36.0)
Personal Income Tax		
PA 97-309 and PA 97-322		
Increase the levels of CT taxable income levels subject to the 3% as follows:	FY 98 FY 99 FY 00	(39.0) (114.0) (131.0)

Filing Status	Prior Law PA 95-160	Effective 1/1/97	Effective 1/1/98	Effective 1/1/99
Joint	$9,000	$12,500	$15,000	$20,000
H House	7,000	10,000	12,000	16,000
Singles	4,500	6,250	7,500	10,000

Repeal the credit for property taxes paid on privately-owned motor vehicles (PA 94-4 May Special Session).	FY 98 FY 99 FY 00 FY 01 FY 02	39.0 85.0 134.0 185.0 241.0
Increase the Property Tax Credit from the maximum $100 to a maximum of $215 effective for income year 1997 and to a maximum of $285 for income year 1998 and thereafter below certain income levels.	FY 98 FY 99 FY 00	(85.0) (141.0) (152.0)
Exempt ½ of the 50% of Social Security benefits subject to the CT Personal Income Tax effective with the 1998 income year.	FY 98 FY 99	(1.0) (10.0)
Non-Resident and Nexus Investigation Project (DRS Program)	FY 98 FY 99	6.0 12.0
PA 97-81		
Raise underpayment limit from $200 to $500 for the amount of estimated income tax payment before charging interest. The change is effective with the 1997 income year.	FY 98 FY 99	(0.2) (0.3)

	Revenue Changes Fiscal Year	Amount (Millions)
PA 97-286		
Changes the requirement for farmers and fishermen to make estimated income tax payment from two payments to one payment due January 15th. The change is effective with the 1997 income year.	FY 98	(1.5) One-Time
Sales and Use Tax		
PA 97-315		
Allocation of Sales and Use Tax increase over FY 97 to the CT Film, Video and Media Office	FY 98	(0.2) One-Time
PA 97-316		
Implement various new exemptions	FY 98	(1.0)
	FY 99	(0.9)
PA 97-309		
Nexus Investigation Project (DRS Program)	FY 98	1.0
	FY 99	2.0
PA 97-4 (June 18, 1997 Special Session)		
Sales tax exemption for sales of certain services in construction, renovation, but not operation, of low- and moderate-income housing facilities retroactively to January 1, 1997.	Precludes One-Time Revenue Gain	

OTHER GENERAL FUND REVENUE CHANGES

Transfers to Special Revenue		
PA 97-277		
Off-Track Betting-Increase municipal share from 1% 1.6%. The change is effective October 1, 1997.	FY 98	(1.7)
	FY 99	(1.7)
Permit simulcasting at four additional off-track betting outlets. The change is effective October 1, 1997.	FY 98	0.1
	FY 99	0.1
Miscellaneous Other Revenue		
SA 97-20		
Sale of state property	FY 98	(1.0) One-Time
PA 97-309		
Proceeds from CHFA Bond issue	FY 99	50.0 One-Time

	Revenue Changes Fiscal Year	Amount (Millions)
License, Permits & Fees		
PA 97-186		
Fees for licensure of alcohol and drug counselors.	FY 98	0.3
The change is effective October 1, 1997.	FY 99	0.3
PA 97-311		
Fees for licensure of professional counselors	FY 98	0.5
The change is effective October 1, 1997.	FY 99	0.3
Fees for licensure of paramedics . The	FY 98	0.1
change is effective October 1, 1997.	FY 99	0.1
PA 97-1 (July 21, 1997 Special Session)		
Elimination of earmarking of Bond	FY 98	0.7
Forfeiture Fund	FY 99	0.7
PA 97-309		
Increase in jury fees, civil court fees and	FY 98	3.2
alcohol pretrial fees	FY 99	3.2
Transfer fees collected by Motor Vehicles Dept. and	FY 98	(13.1)
Consumer Protection to Transportation Fund	FY 99	(13.1)
THE SPECIAL TRANSPORTATION FUND		
Oil Companies Tax		
PA 97-309		
Transfer revenues generated from tax on petroleum	FY 99	20.0
products to Transportation Fund	FY 00	36.0
Motor Fuels Tax		
PA 97-232		
Exempt fuel used in vehicles to exclusively deliver meals to	FY 98	-
senior citizens associated with federally funded programs.		
PA 97-309		
Reduce Gasoline Tax from $0.39 per gallon to $0.36	FY 98	(38.8)
effective 7/1/97 and from $0.36 to $0.33 effective	FY 99	(78.1)
7/1/98	FY 00	(78.5)
	FY 01	(78.9)
	FY 02	(79.4)

	Revenue Changes Fiscal Year	Amount (Millions)
License, Permits & Fees		
PA 97-309 and PA 97-226		
Waive $5 safety fee and $11 transfer fee for stolen or mutilated motor vehicle marker plates	FY 98	(0.8)
	FY 99	(0.8)
Transfer from Emission Enterprise Fund	FY 98	2.5
	FY 99	2.5
Transfer fees collected by Motor Vehicles Dept. and Consumer Protection to Transportation Fund	FY 98	13.1
	FY 99	13.1
PA 97-1 (July 21, 1997 Special Session)		
Elimination of earmarking of Bond Forfeiture Fund	FY 98	1.0
	FY 99	1.0
PA 97-236		
Transfer to Automobile Insurance Enforcement Fund	FY 98	(0.1)
	FY 99	(0.1)

DESCRIPTIONS OF GENERAL & TRANSPORTATION FUND TAXES

Described below are the General & Transportation Fund taxes in effect as of 7/1/97. The items are arranged alphabetically and include the rate, basis, exemptions, payment dates and statutory citations for the 1997 edition of the Connecticut General Statutes (CGS). Changes to the statutes are indicated by the public act number which contained the change.

ADMISSIONS, DUES, CABARET TAXES

Rate & Basis:

1. The admission charge to any place of amusement, entertainment or recreation is taxed at 10% (CGS Sec. 12-541).
2. The amount charged for admission, refreshment service or merchandise at any cabaret or similar place furnishing music, dancing privileges, or other entertainment for profit, during the time that the entertainment is furnished, is taxed at 5% (CGS Sec. 12-542).
3. The amount paid as membership dues or initiation fees to any social, athletic or sporting club is taxed at 10% (CGS Sec. 12-543).

Exemptions - (CGS Sec. 12-541):

- Admissions to nonprofit organizations including the Hartford Civic Center and the New Haven Coliseum
- Admissions under $1
- Live performances at nonprofit theaters or playhouses
- Daily admissions to sporting or athletic activities in which patrons participate
- Admissions to Beehive Stadium and The William O'Neill Center, the New Britain Veterans
- Memorial Stadium, and the Tennis Foundation of Connecticut
- Carnival and amusement rides
- Cabaret charges during music performed by a single performer
- Dues under $100 annually
- Dues of a charitable, religious, governmental or nonprofit educational institution
- Dues of a society, order or association operating under the lodge system or a local fraternal organization for college or university students
- Public Act 97-315 makes the following changes to the Admission Tax: Increases the maximum exemption for motion picture tickets from $2 to $4.50; Exempts live performances at Gateway's Candlewood Playhouse and Ocean Beach Park.

Payment - The tax is payable on or before the last day of each month for business conducted during the preceding calendar month.

ALCOHOLIC BEVERAGE TAX

Rate & Basis - The tax rates for distributors of beer, liquor, and wine sold within the state are as follows (CGS Sec. 12-435):

Alcoholic Beverage:	**Tax**
Beer, per barrel (not less than 28 nor more than 31 gallons)	$6.00
Liquor, per wine gallon (128 fluid ounces)	.60
Still wine, 21% absolute alcohol, or less, per wine gallon	.60
Still wine from small wineries (up to 55,000 gallons annually), per wine gallon	.15
Still wine, over 21% of absolute alcohol, per wine gallon	1.50
Sparkling wine, per wine gallon	1.50
Alcohol in excess of 100 proof per gallon (one wine gallon at 100 proof)	4.50
Liquor-based cooler, per wine gallon	2.05

Exemptions - Alcoholic beverage sales to licensed distributors; alcoholic beverage sales for transport out-of-state; malt beverage sales for on-premises consumption when covered by a manufacturer's permit.

Payment - The tax is payable on or before the last day of each month for sales in the preceding calendar month (CGS Sec. 12-437)

CIGARETTE AND TOBACCO PRODUCTS TAXES

Rate & Basis - The Cigarette Tax is levied on all cigarettes held by distributors in the state at a rate of 25 mills per cigarette, or 50 cents per pack of 20, effective 7/1/94 (CGS Sec. 12-296). The Tobacco Products Tax is levied on items like cigars, cheroots, stogies, perique, snuff and chewing tobacco, at the rate of 20% of wholesale value (CGS Sec 12-330c(a)).

Exemptions -

- Cigarettes sold to state institutions for distribution to and consumption by patients (CGS Sec. 12-297); Sales to inmates at correctional institutions are taxable.
- Cigarettes sold for use on military bases (1937 Attorney General's Office opinion)
- Cigarettes imported into the state in an amount of no more than two hundred (Sec. 12-320)
- Tobacco products that are exported from the state or are not subject to taxation under federal law (CGS Sec. 12-330c(c))

Payment - Cigarette distributors must acquire stamps from the Department of Revenue Services, which are affixed to each package of cigarettes. The stamps may be paid for immediately or within 30 days from the date of purchase (CGS Sec. 12-298). The Tobacco Products Tax is payable on or before the tenth day of each month, for sales in the preceding calendar month (CGS Sec. 12-330d).

CORPORATION BUSINESS TAX

Rate & Basis - Corporations are subject to tax on business activities conducted in the state. Tax liability is calculated as the greatest of:

1. **Net Income Base**: 10.5% of net income apportioned to Connecticut for income years beginning on 1/1/97. The rates for subsequent income years are as follows (CGS 12-214):

Effective for income years beginning on or after:	Tax Rate
January 1, 1998	9.50%
January 1, 1999	8.50%
January 1, 2000	7.50%

Business income when derived from the manufacture, sale, or use of tangible personal property is apportioned by a three factor formula which compares gross receipts, compensation and tangible property in Connecticut to those factors from business carried on everywhere else. (Note: Corporations can no longer deduct income taxes paid to other states). The sales factor is given a double weight. Motor carriers which transport property for hire apportion net income based on the relative number of miles driven in state. Business income derived from services is apportioned based on a single gross receipts factor which compares gross receipts from businesses carried on within Connecticut to the nation. Companies providing management, distribution, or administrative services to or on behalf of a regulated investment company (mutual fund) or a securities brokerage firm have the option to base their tax liability on the proportion of shares in the fund owned by shareholders domiciled in Connecticut, and in the case of securities brokerage service companies to base their tax liability on the proportion of commissions and margin interest paid to accounts owned by clients domiciled in Connecticut. (CGS 12-218) If the corporation is a bank which issues credit cards and regularly engages in credit card activities, net income derived from credit card activities may base its tax liability on the proportion of receipts derived from credit card holders located within Connecticut. (PA 97-4 (JSS)) If the corporation is a domestic insurance company, net income is apportioned on the basis of gross direct premiums from Connecticut to total gross direct premiums. Further adjustments are made if more than 50% of gross premiums are reinsurance premiums. The unpaid loss reserve adjustment required by the federal code for non-life insurance companies is not deducted from gross income, effective with the 1993 income year. (CGS 12-218a) Thirty percent of dividends received from companies in which ownership is less than 20% must be included in income.

The tax on subchapter S corporation net income is phased out by reducing the percentage which is taxed: 90% is taxed for the 1997 income year; 75% is taxed for 1998; 55% is taxed for 1999; 30% is taxed for 2000. S corporation net income is exempt beginning with the 2001 income year. (CGS 12-217) Public Act 97-283 allows subchapter S corporations to take business tax credits on the same phase-out schedule of the tax. Initially, such business were prohibited from using corporate tax credit to reduce their liability during the period of the phase-out of the tax.

2. **Capital Base**: 0.31% of the average value of capital stock and surplus reserves; or if a bank or other financial institution, 4% of interest and dividends credited to accounts (CGS Sec. 12-219). Corporations doing business in more than one state must use a two factor formula which measures the average monthly value of intangible and tangible assets located in Connecticut as compared to the nation to apportion their asset base (CGS 12-219a&b). The maximum tax liability under this base is $1 million for any corporations.

3. **Minimum Tax**: Two hundred fifty dollars. (CGS 12-219, 12-223c)

Combined Returns: Corporations filing combined returns are not be entitled to the first $25,000 of tax savings over what they would have paid if they filed separately. (CGS 12-223f)

Limited liability companies: PA 93-267 created a new form of business which combines the limited liability characteristics of corporations with the tax status of partnerships. The Internal Revenue Service (IRS) indicated that limited liability companies (LLC) which possess certain characteristics would be treated as partnerships for federal tax purposes. The test of whether a business organization is an LLC or a corporation is the same test the IRS uses to distinguish partnerships from corporations. The IRS will not treat a business organization that has associates and a profit motive as a corporation if it has at least three of the following corporate characteristics: limited liability, continuity of life, free transferability of interests, and centralization of management.

Exemptions - The following are exempt:
- Railroads and certain other companies that pay other taxes in lieu of the corporation tax. (CGS Sec. 12-214).
- Companies exempt by the federal corporation net income tax law (CGS Sec. 12-214).
- Cooperative housing corporations (CGS Sec. 12-214).
- Electric cooperatives (CGS Sec. 33-240).
- Political parties
- International banking facilities as defined in federal regulations
- Mutual funds and real estate investment trusts (REITs), effective for the 1993 income year
- Cooperative housing corporations, effective for the 1990 income year

Credits - The following credits are available to taxpayers:
- Air Pollution Abatement Facilities - 5% of expenditures for construction, rebuilding, acquisition or expansion of air pollution abatement facilities (CGS Sec. 12-217c) (Repealed effective 1/1/98 PA 97-295)
- Industrial Waste Treatment Facilities - 5% of expenditures for construction, rebuilding, acquisition or expansion of facilities for industrial waste treatment (CGS Sec. 12-217d) (Repealed, effective 1/1/98 PA 97-295)
- Enterprise Zones - 50% credit for firms located in and Enterprise Zone when certain employment criteria are met (CGS Sec. 32-70)
- New Manufacturing Facilities located in Enterprise Zones - 25% credit for firms located in Enterprise Zones (CGS Sec. 217e)
- Work Education Programs - 10% of wages up to $300 per student, for employing public high school students enrolled in a cooperative work education-diversified occupations program (CGS Sec. 12-217f) (Repealed, effective 1/1/98 PA 97-295)
- Apprenticeship Training in Manufacturing and Plastics Trades - 50% of wages up to $4,800 per

apprentice and construction trades 50% of wages up to $1,000 per employee (CGS Sec. 12-217g, PA 97-295)

- Low and Moderate Income Housing Program/Rental Housing Assistance Trust Fund - Credit for contributions to nonprofit organizations that develop, sponsor or manage housing programs for low and moderate income families. Maximum credit per firm is $50,000 annually and total for all firms is capped at $1 million annually. (CGS Sec. 8-395)
- Neighborhood Assistance Program - 50% or 60% credit for contributions to organizations providing job training or education, community services, crime prevention, energy conservation, construction or rehabilitation of dwelling units for low and moderate income families, and community-based alcoholism prevention or treatment programs, not to exceed $75,000 per taxpayer annually and 40% of expenditures for site planning and preparation, construction, renovation or acquisition of day care facilities primarily to be used by children of taxpayer's employees, not to exceed $20,000 per taxpayer. The total for all firms is capped at $4 million annually (CGS Chapter 228a and CGS Sec. 12-632, PA 97-251 increases the cap from $3 million to $4 million)
- Vehicles Powered by Clean Alternative Fuels or Electricity - 10% of expenses for the incremental cost of purchasing a vehicle which is exclusively powered by a clean alternative fuel. 50% credit for cost of (1) construction of new or improvements to existing stations providing compressed natural gas, liquefied petroleum gas or liquefied natural gas, and (2)purchase equipment for conversion of motor vehicles to use such fuels or electricity. The credit is available for 1991 to 1999 income years. (CGS Sec. 12-217i, PA 97-295)
- Firms Occupying New Facilities and Creating New Jobs - Credit is based on a facility's tax liability, which is calculated by a statutory formula. Amount of credit depends on number of new jobs created and size of the facility, based on four classes of eligibility certificates.(CGS Sec. 12- 217m) (Repealed, effective 1/1/98 PA 97-295)
- Research and Experimentation Expenditures - Credit for increasing expenditures on research and experimentation conducted in state. 10% credit for 1993 income year, 20% for 1994 income year and after. (CGS Sec. 12-217j)
- Research and Development Expenses at Aerospace Companies - Credit depends on level of R&D expenditures and includes provisions regarding the work force. (CGS Sec. 12-217n)
- Technology-Related Research and Development Grants - 25% credit for grants to in-state institutions of higher learning for research and development related to technological advancements. (CGS Sec. 12-217l)
- Job Training Credit - Credit for incremental expenses related to job training for in-state employees: 5% for 1995 income year, 10% for 1996, 15% for 1997, 20% for 1998 and 25% for 1999. (CGS Sec. 12-217k) (Repealed, effective 1/1/98 PA 97-295)
- Employer-Assisted Housing Credit - Up to $100,000 for contributions to a revolving loan fund to provide housing for low and moderate income employees. Fund is administered by CHFA and credit for all firms is capped at $1 million annually. (CGS Sec. 12-217p)
- Small and Medium Sized Companies Capital Goods Expenditures - Credit for incremental increase in capital goods expenditures. Firms with less than 250 employees receive 10% credit, firms with 250 to 800 employees receive 5% credit. (CGS Sec. 12-217o)
- Credit for expenses related to Traffic Management Programs - 50% credit for expenses due to traffic management programs initiated in response to the federal Clean Air Act. Up to $250 per participating employee may be claimed. The credit for all firms is capped at $1.5 million annually. (CGS Sec. 12-217s)
- Credit for Property Tax paid on Data Processing Equipment - 100% credit for Property Tax paid on data processing equipment, effective with the 10/1/94 grand list. Credit is only for tax payments and excludes penalties and interest. (CGS Sec. 12-217t)
- Credit for Financial Institutions Constructing New Facilities and Creating New Jobs - Credit is available to banking institutions that meet certain criteria for building new facilities and creating new jobs. (CGS Sec. 12-217u)
- Fixed Capital Credit - Tangible personal property having a class life according to IRS of more than 4 years, is not acquired to be leased and will be held and used in this state for not less than 5 full years following its acquisition. The credit is equal to 3% of the amount paid for the 1998 income year, 4% for 1999, and 5% thereafter. (PA 97-295)
- Human Capital Credit - 1) Job training; 2) work education; 3) donations or contributions to higher

education institutions for the advancement of technology, including physical plant improvements; 4) day care facilities; 5) subsidies to employees for child care. The credit is equal to 3% of the amount paid for the 1998 income year, 4% for 1999, and 5% thereafter. (PA 97-295)

Payment - If the tax liability is expected to be more than $1,000, or the tax required to be paid in the preceding year exceeded $1,000, then the taxpayer is obligated to declare and pay estimated payments. Prior to the 1996 income year, estimated payments are based on current year estimated annual tax liability using the following percentages: 30% in the third month, 70% in the sixth month, 80% in the ninth month, and 100% by the twelfth month of the income year. Effective with the 1997 income year, PA 97-163 gives corporations the option of making estimated payments based on either (1) current year estimated annual tax liability, or (2) as a percentage of the prior year's estimated tax payment. The percentages for the second option are as follows:

Income Year	Percentage of Prior Year Estimated Payment
1997	150%
1998 and thereafter	100%

The final payment of the tax is due by the first day of the fourth-month following the end of the income year. The minimum estimated payment is $100 (CGS Sec. 12-242c).

The interest rate on overdue or underpayment of estimated tax is 1 2/3% per month or 20% per year for income years beginning prior to 1/1/94. The rate is 1 1/4% per month or 15% per year for taxes due between 1/1/94 and 6/30/95. The rate is 1% per month or 12% annually for taxes due on or after 7/1/95. Prior to the 1996 income year, interest is due if the first payment does not equal the lesser of 27% of the actual tax for the current income year or 60% of the total tax due for the previous year; if the second payment is less than 63% of the actual tax for the current year; if the third payment is less than 72% of the actual tax; or if the fourth payment is less than 90% of the actual tax (CGS Sec. 12-242d). For income years beginning on or after 1/1/96, corporations may avoid interest by making estimated payments based on a percentage of the prior year's estimated payment (see above).

UNRELATED BUSINESS INCOME TAX (Nonprofit Corporations)

Rate & Basis - Nonprofit corporations with income from businesses unrelated to their nonprofit status are subject to tax on income derived from in-state sources. Tax liability is 11.5% of net income apportioned to Connecticut. All business income is apportioned by a three factor formula which compares sales, compensation and tangible property in Connecticut to sales, compensation, and tangible property both inside and outside of Connecticut.

Payment - same as for the Corporation Business Tax (see above).

GAMBLING TAXES (Division of Special Revenue)

The state taxes greyhound racing, horse racing (not operational), jai alai and bingo. These taxes are discussed under the gaming category in the non-tax revenue items section which follows.

HOSPITAL GROSS RECEIPTS TAX

Rate & Basis - The tax is levied on the gross earnings of each hospital in the state at a rate of 11% (PA 94-9). The rate is reduced to 9.25% effective 10/1/96, 8.25% effective 10/1/97, 7.25% effective 10/1/98, and 6.25% effective 10/1/99 (PA 94-144). Gross earnings are defined as the hospital's net revenue minus (A) the amount that is projected to be received from the federal government for Medicare patients and (B) the amount that is projected to be received from the Department of Social Services.

Payment - The tax is payable on or before the last day of January, April, July and October for the calendar quarter ending the last day of the preceding calendar month. Prior to 7/1/95, interest on unpaid taxes is 1.67% per month or 20% per year, from the due date of the tax until payment. After 7/1/95, the interest rate is 1% per month or 12% annually (PA 95-359) . Nonpayment penalty is 10% of the unpaid tax or $50, whichever is greater.

INHERITANCE AND ESTATE TAXES

Connecticut has an Inheritance or Succession Tax, which is levied on the separate shares of an estate passed to beneficiaries, and an Estate Tax, which is levied on the total value of an estate.

INHERITANCE TAX

Rate & Basis - The tax is levied at rates up to 20% (including a 30% surtax on the basic tax and a 10% additional surtax on estates established after July 1, 1983) on the fair market value of property transferred at death or during lifetime in contemplation of death, on residents and nonresidents (CGS Sec. 12-340 to 390). For residents, the tax base consists of all real and tangible personal property inherited within the state, and all intangible personal property inherited wherever it is situated. For nonresidents, the tax base consists of all inherited real property within the state and all inherited tangible personal property having an actual situs in the state. Intangible property inherited by nonresidents is not taxable. The amount of Gift Tax paid to the state is credited against the amount of tax due for gifts made on or after 7/1/93. Gifts not taxable under the Gift Tax are not taxable under the Inheritance Tax.

The Inheritance Tax is scheduled to be phased out by increasing the exemption amount for each class of inheritor over 5 years as follows (CGS 12-344):

Exemption amount for property inherited by Class A:	Effective date of exemption increase:
Property under $50,000	Prior to 1/1/97
Property under $250,000	1/1/97
Property under $500,000	1/1/98
Property under $800,000	1/1/99
Property under $2,000,000	1/1/00
Tax on Class A is eliminated	1/1/01

Exemption amount for property inherited by Class B:	Effective date of exemption increase:
Property under $6,000	Current law
Property under $200,000	1/1/99
Property under $400,000	1/1/00
Property under $600,000	1/1/01
Property under $1,500,000	1/1/02
Tax on Class B is eliminated	1/1/03

Exemption amount for property inherited by Class C:	Effective date of exemption increase:
Property under $1,000	Current law
Property under $200,000	1/1/01
Property under $400,000	1/1/02
Property under $600,000	1/1/03
Property under $1,500,000	1/1/04
Tax on Class C is eliminated	1/1/05

The classification of inheritors, tax rates and exemptions are as follows:

Classification of Inheritors

Class A Parents, grandparents, adoptive parents, and any natural or adoptive descendants

Class B Husband or wife or widower or widow who has not remarried of any natural or adopted child, stepchild, brother or sister of the full or half-blood or adopted brother or sister or any natural or adopted descendant of such brother or sister.

Class C All others

Tax Rates

Value of Inherited Property ($000) Col 1			Class A Tax on Col 1 ($)	Class A Rate on Excess Col 1 (%)	Class B Tax on Col 1 ($)	Class B Rate on Excess Col 1 (%)	Class C Tax on Col 1 ($)	Class C Rate on Excess Col 1 (%)
0	to	1	0	0.0	0	0.0	0	0.0
1	to	6	0	0.0	0	0.0	0	11.4
6	to	20	0	0.0	0	5.7	572	11.4
20	to	25	0	0.0	801	5.7	2,174	11.4
25	to	50	0	0.0	1,087	7.2	2,746	12.9
50	to	100	0	4.3	2,874	7.2	5,963	12.9
100	to	150	2,145	4.3	6,449	7.2	12,398	12.9
150	to	250	4,290	5.7	10,024	8.6	18,833	14.3
250	to	300	10,010	7.2	18,604	10.0	33,133	15.7
300	to	400	13,585	7.2	23,609	10.0	40,998	15.7
400	to	600	20,735	8.6	33,619	11.4	56,728	17.2
600	to	1,000	37,895	10.0	56,499	12.9	91,048	18.6
1,000	and above		77,935	11.4	107,979	14.3	165,408	20.0

Other Provisions - There are a number of provisions concerning taxability, computation, and deductions (CGS Sec. 12-340 to 390).

Payment - Inheritance tax is due at the death of the decedent and payable within six months thereafter (CGS Sec. 12-375). If the value of an interest in a closely held business exceeds 35% of the value of the gross estate, the tax may be paid in ten equal annual installments.

ESTATE TAX

The Estate Tax is intended to preserve revenue for the state that would otherwise go to the federal government and is considered a "sponge tax". The tax acts as a revenue safety net, used only when the total state death tax liability falls below the maximum federal credit. This occurs when an estate is comprised of assets that are not taxed by the state but are taxed by the federal estate tax, such as life insurance. In such cases, the state is able to retain funds that would have gone to the federal government up to the maximum allowable credit. Thus, the Estate Tax allows the state to pick up revenue with no increase in tax liability to the decedent's estate. (CGS Sec. 12-391) The Estate Tax is extended to real and personal property in Connecticut owed by nonresidents. (PA 97-165)

GENERATION-SKIPPING TRANSFER TAX

A tax is imposed on certain transfers of property under trusts whose beneficiaries are two or more generations below the transferor. Specifically, it applies to taxable terminations under federal Generation Skipping Tax law that occur on or after July 1, 1997.

A taxable termination occurs upon the termination of a trust interest (a current right to receive trust income or principal) if, after the termination, all trust interests are held by (1) beneficiaries who are two or more generations below that of the transferor or (2) trust for the benefit of such people. A taxable distribution is any distribution from a trust or principal to such people or trusts. A direct skip is a outright transfer of a property interest to such people or trusts. Every personal is allowed a $1 million Generation Skipping Tax exemption.

Payment - The tax is due if there is a taxable distribution or termination under the federal law. The person responsible for paying the federal Generation Skipping Tax must pay the state tax by the

initial deadline for filing the federal return, regardless of whether that deadline was extended. (Under federal law the trustee pays the tax on a taxable termination. The transferee pays it on a taxable distribution.) In the case of a direct skip, payment is due on the date in which an estate or gift tax payment is required. In all of the cases, the due date is on or before the 15th day of the 4th month after the close of the tax year of the person required to file the return. (PA 97-165)

INSURANCE COMPANIES TAX

Domestic companies are incorporated under Connecticut state law and licensed by the insurance commissioner to issue policies.

Foreign or nonresident companies are incorporated under the laws of another state or foreign government and licensed by the insurance commissioner to issue policies.

Unlicensed companies must register with the insurance commissioner and may only offer lines generally unavailable from licensed insurers.

Tax Base: All net direct premiums from policies written in Connecticut
Health maintenance organization (HMO) premiums, effective 1/1/95
Workers' compensation self-insurance group premiums, effective 10/1/96 (CGS 38a-1011)

Exemptions: Municipal risk pools
Special taxing district policies, effective 7/1/96 (CGS 38a-743)

INSURANCE PREMIUMS TAX

Rate & Basis - The tax is levied as follows (CGS Sec. 12-202):
1. **Domestic companies**: 1.75% on all net direct insurance premiums from policies written in state. (The tax on interest and dividends was terminated 12/31/73). Domestic insurance companies not exceeding $95 million in assets or holding companies not exceeding $250 million in assets may credit 80% of their Corporation Tax payment against their net Premiums Tax.

2. **Foreign companies**: 1.75% on all taxable net direct premiums from policies written in state.

Credits - The following credits are available to taxpayers:
- Corporation Tax payments - 80% percent of Corporation Tax paid by domestic insurance companies (CGS Sec. 12-202)
- Data Processing Equipment - 100% of the Property Tax paid on such equipment, effective with the 10/1/94 grand list (CGS 12-217(t)).

Exemptions - Policies written for the State Employee Health Plan (PA 97-11 (JSS))

Payment - Prior to the 1996 income year, both domestic and foreign insurance companies are required to pay 30% of their estimated tax by March 15, 60% by June 15, 80% by September 15 and 100% by December 15. Any remaining tax is due on or before March 1 (CGS Sec. 12-204b, 205). Effective with the 1996 income year, companies have the option of making estimated payments based on (1) current year estimated tax liability or (2) the prior year's estimated tax payment (CGS 12-204c).

Prior to 7/1/95, domestic and foreign insurance companies must pay 1 2/3% interest per month or 20% per year on late payments and underpayment of estimated taxes and audit assessments. After 7/1/95, the interest rate is 1% per month or 12% annually (PA 95-26). Prior to the 1996 income year, interest is due if the first payment is less than 27%, the second payment less than 63%, the third payment less than 72% or the fourth payment less than 90% of the actual tax due for the income year (CGS Sec. 12-204b, 204c, 206, 212c). For income years beginning on or after 1/1/96, corporations may avoid interest by making estimated payments based on the prior year's estimated payment (CGS 12-204c).

MEDICAL SERVICE CORPORATION TAX
Rate & Basis - The tax is imposed upon all medical service companies at a rate of 2% on the total net direct subscriber charges received by such corporations during the preceding year (CGS 12-212a)

Payment - same as for the Insurance Premiums Tax (see above)

UNAUTHORIZED (UNLICENSED) INSURANCE COMPANIES TAX
Rate & Basis - A 4% tax is levied on unlicensed companies on gross premiums derived from policies written in the state (CGS Sec. 38-81).

Payment - The tax is due on the first of May, August, November, and February for the premiums paid in the preceding quarter.

MISCELLANEOUS TAXES

BOXING AND WRESTLING MATCHES TAX
Rate & Basis - The tax is imposed at the rate of 5% on the total receipts after the deduction of federal taxes from the paid admissions.

Payment - The tax is payable within 24 hours after the end of a boxing exhibition or wrestling match and must be accompanied by a report stating ticket sales and gross receipts (CGS Sec. 21a-199).

GIFT TAX
Rate & Basis - After 8/31/91, gifts which are taxable for federal purposes (certain gifts over $10,000) are subject to tax as follows:

Amount of taxable gift	Tax rate
Above $10,000 but below $25,000	1% of amount
Above $25,000 but below $50,000	$250 + 2% of amount over $25,000
Above $50,000 but below $75,000	$750 + 3% of amount over $50,000
Above $75,000 but below $100,000	$1,500 + 4% of amount over $75,000
Above $100,000 but below $200,000	$2,500 + 5% of amount over $100,000
Over $200,000	$7,500 + 6% of amount over $200,000

Exemptions - Transfers of tangible personal property and real property located outside of the state are exempt.

Payment - The donor must file a return and pay the tax by April 15 for taxable gifts made in the previous calendar year. If the donor does not pay the tax, the recipient is liable for it. Effective for the 1994 income year, the due date of a return for the year in which the donor dies is 9 months after death.

MARIJUANA TAX
Rate & Basis - An excise tax is imposed on marijuana and other controlled substances illegally purchased, acquired, transported or imported into the state. Taxes are assessed at the rate of $3.50 per gram of marijuana, $200 per gram of controlled substance and $2,000 per 50-dosage unit of controlled substance not sold by weight.

Payment - Payment of the tax is indicated by stamps, which are issued in multiples of $10. Prior to 7/1/95, interest on unpaid taxes is 1.25% per month from the due date of the tax until payment. After 7/1/95, the interest rate is 1% per month or 12% annually (PA 95-26). Nonpayment penalty is 10% of the unpaid tax or $50, whichever is greater. If tax evasion was due to fraudulent intent, the penalty is 25% of the unpaid tax. Additional penalties include a fine of up to $10,000, imprisonment for up to 6 years and a penalty of 100% of the tax in addition to the tax.

MOTOR VEHICLE TIRE TAX
Repealed, effective July 1, 1997 (CGS 22a-256j).

NONPROFIT PREPAID LEGAL SERVICES CORPORATION TAX
Rate & Basis - A tax is levied on all nonprofit legal service corporations at the rate of 2% of the total net direct subscriber charges (CGS Sec. 38-413); (net direct subscriber charges are gross direct subscriber charges less returned subscriber charges including cancellations).

Payment - The tax is payable on or before March 1, annually.

OCCUPATIONAL TAX
Rate & Basis - A tax of $450 a year is levied on any person who has been admitted as an attorney by the judges of the superior court and who is engaged in the practice of law including the performance of judicial duties (CGS Sec. 51-81b).

Exemptions - Judges or attorneys not engaged in the practice of law or not receiving more than $150 in legal fees during the year. Attorneys whose only practice of law is as a state employee (CGS Sec. 51-81g).

Payment - The tax is payable on or before January 15 for the preceding year (CGS Sec. 51-81b).

OYSTER GROUNDS TAX
Rate & Basis - The tax is imposed upon the owner of any oyster franchise or grounds within the exclusive jurisdiction of the state at the rate of 2% of the valuation of that franchise as determined by the Commissioner of Agriculture (CGS Sec. 26-207, 208).

Payment - The tax is payable on or before the first Monday in March, annually, based on the assessment performed in the preceding January (CGS Sec. 26-210).

MOTOR FUELS TAXES

GASOLINE TAX
Rate & Basis - The tax is levied on distributors of motor fuel sold or used within the state. The rate on gasoline is 39 cents per gallon and gasohol is taxed at 1 cent less than the tax on gasoline (CGS Sec. 12-458 and 462). The tax rate is scheduled to decrease from 39 to 36 cents per gallon on 7/1/97, and from 36 to 33 cents per gallon on 7/1/98 (PA 97-309). Diesel fuel, propane gas (PA 93-93) and natural gas (PA 95-159) used as motor vehicle fuel are taxed at 18 cents per gallon.

Exemptions - (CGS Sec. 12-455a, 458, 459, 460, 462):
- Fuels sold to the US government, a municipality, a transit district or the State of Connecticut at other than a retail outlet for governmental purposes and used in vehicles owned and operated or leased by the municipality, transit district or state.
- Fuel sold from one licensed distributor to another or fuel transferred to another state
- Aviation fuel when used exclusively for aviation purposes
- Fuel sold to a municipality for use by a contractor performing a service for the municipality as part of a contract (CGS Sec. 12-458).
- Compressed natural gas, liquefied petroleum gas or liquefied natural gas sold between 7/1/94 and 6/30/01 (exemption expanded from covered fleets to all sales by CGS 12-217i(b); sunset extended from 1999 to 2001 by PA 96-222)
- Railroads are permitted to take their exemption at the pump rather than apply for a refund
- Fuel used in vehicles use to exclusively transport meals to senior citizens associated with federally funded nutrition programs (PA 97-232)

Refund - Tax payments are refunded when the total amount used exceeds 100 gallons in one year and the fuel has been sold for use by any one of the following (CGS Sec. 12-459, 460):
- Persons using the fuel in other than vehicles licensed for highway use

- Ambulances owned by hospitals
- Ambulances owned by any nonprofit civic organization approved by the Commissioner of Revenue Services
- Motor vehicles registered exclusively for farming purposes
- Vehicles designed and constructed primarily for purposes other than highway use and which do not have the essential characteristics of a motor vehicle as determined and approved by the Commissioner of Revenue Services
- Motor vehicles owned and operated or leased and operated by a transit district for the purposes of such transit district
- Connecticut bus companies (100%) and taxi companies (50% refund)
  Livery services and motor buses used to transport persons to and from airport facilities (50% refund)
- High-occupancy commuter vehicles (vans) with a seating capacity of at least 10, but no more than 15, when used to transport a minimum daily average of nine employees to and from work.

Payment - The tax is payable on or before the twenty-fifth day of each month based upon the preceding month's sales (CGS Sec. 12-458).

MOTOR CARRIER ROAD TAX

Rate & Basis - The tax is imposed on motor carriers (any road tractor, tractor truck, passenger vehicle with a seating capacity of more than nine passengers, trucks with a gross weight over 18,000 pounds or light weight motor vehicle over 7,500 pounds and bus companies) using Connecticut highways. It is based on the amount of motor fuel used by these carriers within the state. In the case of a motor carrier operating both within and without Connecticut, the amount of motor fuel used is determined by proportionate mileage. This tax is imposed at a rate equal to the current rate of tax per gallon on diesel fuel ($.18). A credit is allowed for the amount of taxes paid on motor fuels purchased by the motor carrier within Connecticut (CGS Sec. 12-478 to 480)

Exemptions - The following are exempt (CGS Sec. 12-478, 493):

- Connecticut motor bus companies
- Government vehicles
- School buses
- Motor buses the operation of which are exclusively for purpose of charter or special operations pursuant to authorization by the Interstate Commerce Commission, or the charter and special operation services provided by the motor carriers who primarily offer regular scheduled passenger services

Refund - The payment of the tax shall be subject to refund when the credit for Connecticut motor fuel taxes paid exceeds the motor carrier road tax (CGS Sec. 12-480).

Payment - The tax is payable quarterly and due on January 31, April 30, July 31 and October 31 (CGS Sec. 12-483).

PERSONAL INCOME TAX

Rate & Basis - The tax is imposed on income of individuals, trusts and estates derived from sources within the state, at the rate of 1.5% for 1991 income years and 4.5% for 1992 and subsequent income years. Beginning with the 1996 income year the tax rate for the levels of taxable income noted below is reduced from 4.5% to 3%. (CGS 12-700). Public Acts 97-309 and 97-322 increases the levels of taxable income subject to 3% tax rate.

Amount of Taxable Income taxed at 3%

	Income Year			
Type of Filer	1996	1997	1998	1999
Joint	$9,000	$12,500	$15,000	$20,000
Head of Household	7,000	10,000	12,000	16,000
Single	4,500	6,250	7,500	10,000

Taxpayers are required to pay the higher of their liability under the state Personal Income Tax or the Connecticut Minimum Tax, effective 1/1/93. The Connecticut Minimum Tax is the lesser of 19% of Adjusted Federal Tentative Minimum Tax or 5% of adjusted federal alternative minimum taxable income.

The tax is levied on Connecticut adjusted gross income (AGI) which is defined as adjusted gross income for federal income tax purposes, subject to certain additions and deletions. Additions include such things as interest and dividends from obligations (such as bonds) from other states, or subdivisions of other states, unless federal law requires them to be exempt from state income taxes. The deletions include such things as (1) any income included in adjusted gross income that federal law exempts from state taxation, (2) the amount of refunds or credits for overpayments of income tax, (3) exempt dividends paid by a regulated investment company, and (4) tier one railroad retirement benefits. The deletion of moving expenses is eliminated, effective with the 1994 income year.

The percentage of social security benefits which is included in state adjusted gross income is limited to one-half of the 50% of the benefits subject to the Connecticut Personal Income Tax effective 1/1/98. (PA 97-309)

Special rules are established for determining whether the income of a nonresident or a part-year resident individual, a partner's distributive share of partnership income, a shareholder's pro rata share of S corporation or limited liability company income and a beneficiary's share of trust or estate income is derived from sources within the state and how income gains, loss, and deductions are allocated.

The tax imposed on income earned by resident and nonresident trusts and estates is similar to the one applied to individuals except that the trusts and estates do not receive the exemptions and credits that individuals receive. The tax must be paid by the fiduciary. Special rules are established for determining what income is derived from sources within the state for nonresident and part-year resident estates, trusts and beneficiaries and how income, gains, loss and deductions are allocated.

Exemptions - An unmarried person or a married personal filing separately is entitled to a personal exemption of $12,000. Beginning at an AGI of $24,000, the exemption decreases by $1,000 for each $1,000 increases in AGI. There is no exemption for taxpayers earning more than $35,000.The low income credit for the 1995 income year and after is as follows (CGS 12-703):

Connecticut AGI				Tax Credit
Below	$12,000			100%
Over	$12,000	but below	$15,000	75%
Over	$15,000	but below	$15,500	70%
Over	$15,500	but below	$16,000	65%
Over	$16,000	but below	$16,500	60%
Over	$16,500	but below	$17,000	55%
Over	$17,000	but below	$17,500	50%
Over	$17,500	but below	$18,000	45%
Over	$18,000	but below	$18,500	40%
Over	$18,500	but below	$20,000	35%
Over	$20,000	but below	$20,500	30%
Over	$20,500	but below	$21,000	25%
Over	$21,000	but below	$21,500	20%
Over	$21,500	but below	$25,000	15%
Over	$25,000	but below	$25,500	14%
Over	$25,500	but below	$26,000	13%
Over	$26,000	but below	$26,500	12%
Over	$26,500	but below	$27,000	11%
Over	$27,000	but below	$48,000	10%

Connecticut AGI				Tax Credit
Over	$48,000	but below	$48,500	9%
Over	$48,500	but below	$49,000	8%
Over	$49,000	but below	$49,500	7%
Over	$49,500	but below	$50,000	6%
Over	$50,000	but below	$50,500	5%
Over	$50,500	but below	$51,000	4%
Over	$51,000	but below	$51,500	3%
Over	$51,500	but below	$52,000	2%
Over	$52,000	but below	$52,500	1%

The exemption for head of household is $19,000. Beginning at an AGI of $38,000, the exemption decreases by $1000 for each $1000 increase in AGI. There is no exemption for taxpayers earning more than $56,000. The low income credit for the 1995 income year and after is as follows (CGS 12-703)

Connecticut AGI				Tax Credit
Below	$19,000			100%
Over	$19,000	but below	$24,000	75%
Over	$24,000	but below	$24,500	70%
Over	$24,500	but below	$25,000	65%
Over	$25,000	but below	$25,500	60%
Over	$25,500	but below	$26,000	55%
Over	$26,000	but below	$26,500	50%
Over	$26,500	but below	$27,000	45%
Over	$27,000	but below	$27,500	40%
Over	$27,500	but below	$34,000	35%
Over	$34,000	but below	$34,500	30%
Over	$34,500	but below	$35,000	25%
Over	$35,000	but below	$35,500	20%
Over	$35,500	but below	$44,000	15%
Over	$44,000	but below	$44,500	14%
Over	$44,500	but below	$45,000	13%
Over	$45,000	but below	$45,500	12%
Over	$45,500	but below	$46,000	11%
Over	$46,000	but below	$74,000	10%
Over	$74,000	but below	$74,500	9%
Over	$74,500	but below	$75,000	8%
Over	$75,000	but below	$75,500	7%
Over	$75,500	but below	$76,000	6%
Over	$76,000	but below	$76,500	5%
Over	$76,500	but below	$77,000	4%
Over	$77,000	but below	$77,500	3%
Over	$77,500	but below	$78,000	2%
Over	$78,000	but below	$78,500	1%

The exemption for married couples filing jointly is $24,000. Beginning at an AGI of $48,000, the exemption decreases by $1000 for each $1000 increase in AGI. There is no exemption for couples earning more than $71,000. The low income credit for the 1995 income year and after is as follows (CGS 12-703):

Connecticut AGI				Tax Credit
Below	$24,000			100%
Over	$24,000	but below	$30,000	75%
Over	$30,000	but below	$30,500	70%
Over	$30,500	but below	$31,000	65%

Connecticut AGI				Tax Credit
Over	$31,000	but below	$31,500	60%
Over	$31,500	but below	$32,000	55%
Over	$32,000	but below	$32,500	50%
Over	$32,500	but below	$33,000	45%
Over	$33,000	but below	$33,500	40%
Over	$33,500	but below	$40,000	35%
Over	$40,000	but below	$40,500	30%
Over	$40,500	but below	$41,000	25%
Over	$41,000	but below	$41,500	20%
Over	$41,500	but below	$50,000	15%
Over	$50,000	but below	$50,500	14%
Over	$50,500	but below	$51,000	13%
Over	$51,000	but below	$51,500	12%
Over	$51,500	but below	$52,000	11%
Over	$52,000	but below	$96,000	10%
Over	$96,000	but below	$96,500	9%
Over	$96,500	but below	$97,000	8%
Over	$97,000	but below	$97,500	7%
Over	$97,500	but below	$98,000	6%
Over	$98,000	but below	$98,500	5%
Over	$98,500	but below	$99,000	4%
Over	$99,000	but below	$99,500	3%
Over	$99,500	but below	$100,00	2%
Over	$100,000	but below	$100,500	1%

Taxpayers receive a tax credit for income taxes paid in another state or Canada.

Entities subject to the state Corporation Tax are exempt from the state Personal Income Tax. Entities which are exempt from federal income tax are exempt from the state Income Tax except with respect to unrelated business income.

Credits - Taxpayers are permitted to take the following two credits against their Personal Income Tax liability:

Credit for Property Tax paid on motor vehicles: PA 97-309 repeals the scheduled phase-in the of the credit for property taxes paid on motor vehicles.

Credit for Property Tax paid on a residence or motor vehicle: PA 97-309 and PA 97-322 repeals the existing credit of up to $100 for Personal or Real Property Tax paid on a taxpayer's primary residence in-state or a motor vehicle and replaces it with a new property tax credit. The new credit provides a credit of up to $215 for the 1997 income year and $285 for the 1998 income year and subsequent income years for property taxes paid on a taxpayer's primary residence in-state or motor vehicles. The amount of credit claimed cannot exceed tax liability and may not be taken into account when calculating the amount of withholding or estimated payments due. In addition, the maximum credit a taxpayer is permitted to take is dependent on their Connecticut Adjusted Gross Income (AGI). The credit that can be taken on property taxes paid on motor vehicles is limited to 2 motor vehicles for Joint taxpayers and to 1 motor vehicle for all other taxpayer classifications. The table below presents the schedule for the maximum credit amount by taxpayer status and AGI level.

Single Filers

CT AGI		Income Year	
From	To	1997	1998
$12,000	$52,500	$215	$285
52,500	62,500	204	267
62,500	72,500	192	248

Single Filers

CT AGI		Income Year	
From	To	1997	1998
72,500	82,500	181	230
82,500	92,500	169	211
92,500	102,500	158	193
102,500	112,500	146	174
112,500	122,500	135	156
122,500	132,500	123	137
132,500	142,500	112	119
142,500	and over	100	100

Head of Household

CT AGI		Income Year	
From	To	1997	1998
$19,000	$78,500	$215	$285
78,500	88,500	204	267
88,500	98,500	192	248
98,500	108,500	181	230
108,500	118,500	169	211
118,500	128,500	158	193
128,500	138,500	146	174
138,500	148,500	135	156
148,500	158,500	123	137
158,500	168,500	112	119
168,500	and over	100	100

Married Filing Separate

CT AGI		Income Year	
From	To	1997	1998
$12,000	$50,250	$215	$285
50,250	55,250	204	267
55,250	60,250	192	248
60,250	65,250	181	230
65,250	70,250	169	211
70,250	75,250	158	193
75,250	80,250	146	174
80,250	85,250	135	156
85,250	90,250	123	137
90,250	95,250	112	119
95,250	and over	100	100

Couples Filing Jointly

CT AGI		Income Year	
From	To	1997	1998
$24,000	$100,500	$215	$285
100,500	110,500	204	267
110,500	120,500	192	248
120,500	130,500	181	230
130,500	140,500	169	211
140,500	150,500	158	193
150,500	160,500	146	174
160,500	170,500	135	156
170,500	180,500	123	137
180,500	190,500	112	119
190,500	and over	100	100

Payment - Employers are required to withhold taxes from wages based on the employee's estimated tax liability for the income year. Beginning 1/1/96, professional athletic teams are permitted to make estimated payments in lieu of withholding, for members who are not state residents (CGS 12-719).

Taxpayers with taxable income from which no withholding has been made, must make quarterly estimated payments if their liability on the non-withheld income is expected to exceed $500 (PA 97-81). To avoid penalty, the taxpayer must pay as follows:

Personal Income Tax Estimated Payments

	Due	Pay the lesser of:
1st	4/15	22.5% of current year or 25% of last year's liability
2nd	6/15	45% of current year or 50% of last year's liability
3rd	9/15	67.5% of current year or 75% of last year's liability
4th	1/15	90% of current year or 100% of last year's liability

An income tax return must be filed by the 15th day of the fourth month following the close of the taxpayer's taxable year. The tax due must be paid by that date whether or not a filing extension has been granted. S corporations and partnerships must file composite returns and make composite tax payments on behalf of some or all of their nonresident shareholders and partners. Professional athletic teams are permitted to file composite returns for team members who are not state residents, effective 1/1/96 (CGS 12-719).

Beginning with the 1997 income year, farmers and fishermen are permitted to make one estimated payments per income year in lieu of two estimated payments. The payment is due on or before January 15th of the following income year, and is equal to the lessor of: 1) two-thirds of the total estimated tax due, or 2) 100% of the income tax paid for the previous income year. (CGS 12-722) (PA 97-286).

Prior to 7/1/95, the interest rate for taxpayers is 1.25% per month or 15% annually, on the unpaid tax. After 7/1/95, the interest rate is 1% per month or 12% annually (CGS 12-667(d)). Penalty is 10% of the required payment. There are also penalties for failing to collect the tax and understating wages, and criminal penalties for failure to keep records or keeping fraudulent records. Taxpayers are not subject to penalties or interest resulting from underpayment of estimated taxes for the 1992 income year.

PETROLEUM COMPANIES GROSS EARNINGS TAX

Rate & Basis - A sales tax is imposed on companies distributing petroleum products to wholesale and retail dealers for marketing and distribution in the state. The rate is 5% (effective 10/1/91) on gross earnings from sales of petroleum products in this state and gross earnings from sales of petroleum products in this state by any corporation in which the taxpayer owns twenty-five percent or more of the stock of such corporation. Petroleum products include gasoline, aviation fuel, kerosene, diesel fuel, benzol, distillate fuels, residual fuels, crude oil and derivatives of petroleum such as paint, detergents, antiseptics, fertilizers, nylon, asphalt, plastics and other similar products (CGS Sec. 12-587).

A use tax of 5% is imposed on companies that import petroleum products into the state for their own consumption if the value of these products in any quarter is more than $100,000.

Exemptions - Gross earnings from the following are exempt:

- #2 heating oil used for heating purposes (CGS Sec. 12-587(a)(4)A)
- #2 heating oil used in commercial fishing vessels (CGS Sec. 12-587(a)(4)A)
- Propane used for residential heating purposes (CGS Sec. 12-587(a)(4)(C))
- Bunker fuel oil, intermediate fuel, marine diesel oil and marine gas oil used in vessels displacing more than 4,000 weight tons (CGS Sec. 12-587(a)(4)(D))
- Kerosene used for home heating (CGS Sec. 12-587(a)(4)(B))
- Propane gas used as a motor fuel, effective 7/1/95 (CGS 12-587(a)(3))
- Fuel used in vessels primarily engaged in interstate commerce. The tax is phased out 1% per year beginning with the 1998 income year. (PA 97-281)

- #6 fuel oil used by industrial consumers in Standard Industrial Classification Codes 2000-3999. The tax is phased out 1% per year beginning with the 1998 income year. (PA 97-281)

Payment - The tax is payable on or before the last day of the month following each quarterly period of the year based on the preceding quarter's gross earnings from the in-state sale of petroleum products.

PROPERTY TAX

TELECOMMUNICATION COMPANY PERSONAL PROPERTY TAX

Rate and basis - Telecommunications companies will pay an annual tax of 47 mills on the reported value of their personal property.

Payment - An annual payment is made to the town in which the personal property is located.

PUBLIC SERVICE CORPORATION TAX

Rate & Basis - The basis for the tax is gross earnings from which the following deductions are made: (1) all sales for resale of water, steam, gas and electricity to public service corporations and municipal utilities and (2) any federal BTU energy tax. The following companies are taxed at the rates listed (CGS Sec. 12-249, 251, 255, 256, 264, 265):

Type of Public Service	Tax Rate
Railroad	2% - 3.5% [1]
Railroad Express	2%
Telegraph, cable	4.5%
Water, gas, electric, and power	5%
Gas and electric sales to manufacturers in SIC 2000-3999	5% 4% (effective 1/1/94) 3% (effective 1/1/95) 2% (effective 1/1/96) Eliminated, effective 1/1/97
Steam (CGS 12-265(d))	5% 4% (effective 7/1/96) 3% (effective 7/1/97) 2% (effective 7/1/98) 1% (effective 7/1/99) Eliminated, effective 7/1/00
Gas or electric sales to residential customers	4%
Community antenna TV system services (CATV)	5%

[1] The rate of tax on gross earnings of railroads varies with the amount by which net railway operating income exceeds gross earnings (i.e., when net railway operating income does not exceed 8%, the rate of tax is two percent of gross earnings and the rate increases by one quarter of one percent for each additional 2% that net operating income exceeds gross earnings. When the net income exceeds eighteen per cent of gross earnings, the tax rate is three one-half percent.)

Exemptions - Railroad companies are exempt if their net railway operating income does not exceed 12% of their gross income and if the Public Utilities Control Authority has filed with the governor an annual certificate of eligibility for exemption for them. However, these exempted railroads must pay a $20 fee (CGS Sec. 12-251). Water companies supplying water to less than 50 consumers are also exempt.

Private water companies are exempt from the tax, effective 7/1/97 (PA 95-160).

Natural gas sold as motor vehicle fuel is exempted from the tax, effective 7/1/94. (CGS12-217(i))

Credits - The following credits are available to taxpayers:

- Air Pollution Abatement Facilities and Industrial Waste Treatment Facilities - 5% of expenditures for construction, rebuilding, acquisition, expansion or planning such facilities. (Railroad companies: CGS Sec. 12-252a and 252b; Express, Telegraph, Cable and Community Antenna Companies: CGS Sec. 12-258b and 258c; Utility Companies: CGS Sec. 12-265b and 265c)
- Data Processing Equipment - 100% of the Property Tax paid on such equipment, effective with the 10/1/94 grand list (CGS 12-217(t))

Payment - Railroad express companies must pay the annual tax by July 1. Community Antenna Television companies are required to pay their entire tax on or before April 1 (CGS Sec. 12-256). Water, gas, electric steam and power companies are required to pay their taxes in quarterly payments, due on the last days of January, April, July and October (CGS Sec. 12-264). Railroad companies are required to pay one-half of the preceding calendar year tax liability on June 15, and the remaining half on November 15, (CGS Sec. 12-253).

REAL ESTATE TRANSFER TAXES

REAL ESTATE CONVEYANCE TAX

Rate & Basis - (CGS Sec. 12-494) A tax is imposed on the conveyance of each deed, instrument or writing whereby any lands, tenements or other realty is granted, assigned, transferred or otherwise conveyed to a purchaser. The tax rate is 1% on all property, except the first $800,000 of residential property conveyed is taxed at 0.5%. The tax rate on transfers to regulated lending institutions and their subsidiaries, of any property worth more than $800,000 and with mortgage payments delinquent at least 6 months, is 0.5%, effective July 1, 1991.

Exemptions - (CGS Sec. 12-498 unless otherwise noted)

- Deeds recorded prior to July 1, 1983
- Deeds which secure a debt or other obligation
- Transfers by one or to any municipality, by or to the State of Connecticut or the United States of America or any of their instrumentalities, agencies or political subdivisions, transfers by gift, by dedication, by deed in lieu of condemnation or by deed of confirmation following condemnation, to the State of Connecticut or the United States of America, or any of their instrumentalities, agencies or political subdivisions.
- Tax Deeds
- Deeds of release of property used as security for debts or other obligations
- Deeds of partition
- Deeds made pursuant to mergers of corporations
- Deeds made by a subsidiary corporation to its parent corporation for no consideration other than the cancellation or surrender of the subsidiary stock
- Deeds for all property located in an area of any municipality designated as an enterprise zone in accordance with CGS Sec. 32-70 approved for elderly property tax relief
- Transfers which occur as a result of a superior court decree
- Transfers in which no consideration is offered
- Conveyances valued at less than $2,000
- Transfers between affiliated, federally tax exempt corporations in which one corporation owns or controls 100% of the other, or a third corporation owns or controls 100% of both
- Transfers between certain tax-exempt corporations, effective 7/1/91
- Real property transfers to nonprofit organizations which hold land in trust for conservation and recreational purposes, effective 7/1/91
- Transfers of burial rights for a lot in a cemetery (CGS 12-497a)

Payment -The tax is paid to the town clerk upon the recording of the conveyance and remitted to the State within ten days.

CONTROLLING INTEREST TRANSFER TAX

Rate & Basis - A tax of 1.11% is imposed upon the value of any real property held by any corporation, partnership, association, trust or other entity when the interest in the real property is transferred. An additional tax of 1.0% to 10.0% is added if the property was classified as farm land, open space or forest. The additional rate decreases, the longer the land has been held. A transfer is deemed to occur if more than 50% but less than 100% of the total combined voting power of all classes of stock is sold or transferred.

Exemptions

- All transfers of real property valued at less than $2,000
- Property located within an enterprise zone

Payment - The payment is due on or before the last day of the month following the month in which transfer of controlling interest occurs.

SALES AND USE TAXES

Rate & Basis - The Sales Tax is levied (with the exemptions noted below) on the gross receipts of retailers from (1) the sale of tangible personal property at retail, (2) the rental or leasing of tangible personal property (CGS Sec. 12-408), and (3) on gross receipts from the rendering of certain services. The tax rate is 6%. Exceptions to this are (1) repair or replacement parts, which are taxed at 5.5%, (2) hotel room occupancy, which is taxed at 12% and (3) boats, which are taxed at the lesser of this state's or the destination state's rate. The tax on the following services is phased out: (1) computer and data processing services, beginning 7/1/97 and (2) repair and maintenance services to vessels, beginning 7/1/97 (see below for dates and rates).

The Use Tax is a separate tax which is levied at the applicable Sales Tax rate on the storage, acceptance, consumption or any other use of any tangible personal property or of any service described in this section, within the state (CGS Sec. 12-411).

The following services to businesses are taxable:

- Computer and data processing services, except when rendered by (A) a retailer who acquired a data processing facility on or after 7/1/91 from the customer receiving the services, or a retailer who acquired a data processing facility from the retailer on or after 7/1/93, and (B) a retailer who, on or after 7/1/95, acquired the data processing operations from a customer who formerly conducted these operations for its own use (effective 7/1/95). Sales and use of computer and data processing equipment are also exempt when used by such a retailer to provide these services (effective 7/1/95). The tax on all computer and data processing services is phased out as follows: 5% effective 7/1/97, 4% effective 7/1/98, 3% effective 7/1/99, 2% effective 7/1/00, 1% effective 7/1/01, and the tax is eliminated effective 7/1/02.
- Credit information and reporting services
- Employment and personnel agency services, excluding separately stated compensation, workers' compensation, fringe benefits, payroll taxes or assessments paid on behalf of long-term leased employees
- Private investigation, protection, patrol, watchman or armored car services.
- Painting and lettering services
- Telephone answering services
- Stenographic services
- Services to industrial, commercial or income-producing real property, including, but not limited to management, maintenance, painting, janitorial, electrical, plumbing, and carpentry and excluding the evaluation, prevention, treatment, containment or removal of hazardous waste or other contaminants of air, water or soil; services provided to the following types of property are exempt from taxation (1) an exclusively owner-occupied residence, (2) property with no more than three dwelling units, and (3) a housing facility for low and moderate income families, owned by an organization which has as one of its purposes the ownership of housing for low and moderate income families and which has been granted exemption from federal income taxation
- Property management services, excluding separately stated compensation, workers' compensation, fringe benefits, payroll taxes or assessments paid on behalf of employees for sales made on or after 1/1/86.

- Business analysis, management consulting and public relations services, excluding environmental consulting services
- Business analysis, management or managing consulting services rendered by a general partner, or affiliate thereof, to a limited partnership, provided the partner is compensated for the rendition of such services through a distributive share of partnership profits or an annual percentage of partnership capital or assets established in the offering statement. An affiliate is defined as being directly or indirectly owned 50% or more in common with the general partner.
- Piped-in music provided to business or professional establishments
- Natural gas, electricity and heating oil

The following services are taxable:

- Consulting, lobbying and public relations
- Parking services, except (1) seasonal lots, (2) lots owned or leased by employers for sole use by their employees, and (3) airport valet parking service. Parking services at municipally-owned railroad facilities in Clean Air Act non-attainment areas are exempt effective 7/1/97 (PA 95-160).
- Radio and television repair as well as repairs to electrical or electronic devices, including refrigeration and air conditioning
- Locksmith
- Advertising not related to development of media advertising
- Landscaping & horticultural services, except when provided to disabled persons
- Window cleaning, maintenance, janitorial and exterminating services, except when provided to disabled persons
- Swimming pool maintenance and cleaning
- Furniture reupholstering and repair
- Fees for agents selling tangible personal property except works of art or clothing, or auction services for wholesale auto auctions. All auctioneer services are excluded from the tax, effective 7/1/97.
- Repairs to tangible personal property. The tax on vessel repair and maintenance services is reduced to 4% on 7/1/97, 2% on 7/1/98 and is eliminated on 7/1/99
- Telecommunication and CATV services (effective January 1,1990)
- Noncommercial boat slip rentals except winter boat storage from 11/1 to 4/30
- Extended warranties
- International phone calls
- 900 Phone calls
- House painting and wall papering
- Miscellaneous personal services, except those rendered by licensed massage therapists. Hypertrichologist services are excluded effective 7/1/97
- Motor vehicle repair
- Paving services to consumers
- Roofing, siding and sheet metal working services
- Tax preparation services to businesses are taxable if they are rendered before 1/1/95
- Used vehicles are taxed based on a book of motor vehicle valuations published by a nationally recognized organization
- Ambulances & ambulance-type vehicles
- Livestock, horses, rabbits, poultry, feed, fertilizer, plants, seedlings and seed except when bought with an agricultural exemption certificate
- Newspapers sold over the counter
- Patient care services provided by a hospital, including therapeutic and diagnostic medical services furnished to inpatients and outpatients and any tangible personal property provided incidental to such services, effective 4/1/94

Exemptions:

- Sales to the federal government, the State or its political subdivisions and their respective agencies, sales in interstate or foreign commerce insofar as taxation is prohibited under the federal constitution

- Sales or service of gas, water, electricity sold to residential customers (includes bottled gas for heating purposes); sales of heating oil to nonresidential customers in which manufacturing or agricultural production accounts for at least 75% of consumption; the first $150 of monthly electrical consumption is exempt.
- Telegraph
- Prescription medicines, needles and syringes
- Sales to and by nonprofit charitable hospitals; effective 1/1/94, sales to and by nonprofit nursing homes, rest homes and homes for the aged are exempted from the tax
- Magazines and newspapers by subscription; puzzle magazines
- Sales to charitable and religious organizations; effective 1/1/94, sales to nonprofit organizations which are partially funded by the state or a municipality are also exempt from the tax
- Educational institution, hospital and nursing institution meals
- Items of clothing and footwear which cost less than $50
- Professional, insurance or other personal services except as noted above
- Food products for at-home consumption
- Containers, including returnable dairy product containers
- Motor vehicle fuel used for heating purposes
- Materials used in actual production of a finished product to be sold
- Oxygen, blood, blood plasma, physical aids including walkers and certain vital life support equipment, braces, artificial limbs or eyes, hearing aids; effective 1/1/94, wigs or hairpieces for permanent hair loss due to disease, repairs to hearing aids and apnea monitors are also exempt from the tax. Oxygen, blood or blood plasma, when used for medical purposes in animals, is exempt effective 7/1/95. Repair services to devices listed in this section are exempt effective 7/1/95.
- Aircraft sold by state manufacturers to interstate or foreign carriers, foreign governments or nonresidents for use outside the state
- Industrial waste treatment facilities for the reduction, control or elimination of pollution of water Air pollution control facilities
- United States and Connecticut state flags
- Certain municipal and public library sales.
- Book sales by library support groups are exempt effective 7/1/97.
- Motor vehicles for use outside Connecticut
- Items sold for $20 or less by certain nonprofit organizations or schools
- Sales from one-cent vending machines. Food products sold in vending machines are exempt effective 7/1/97.
- Sale of tangible personal property acquired for construction of low and moderate income housing
- Commodities sold on an organized market that are not converted for use
- Renewable energy source systems together with the component parts
- Printed material sent out of state
- Steam, coolants, and atomic power
- Machinery used directly in manufacturing or agricultural processes, including contract farmers and fin fish farmers
- Newspapers circulated among the public without charge
- Tangible personal property or services sold to centers for the elderly
- Special telephone equipment used by those who are deaf or blind
- Trade-ins of motor vehicles, snowmobiles, vessels, farm tractors, construction equipment or machinery whose power source is an integral part of the construction equipment or machinery (CGS Sec. 12-430); Aircraft trade-ins are exempt effective 7/1/97 (PA 95-160). Sales of motor vehicles which were declared a total loss by the insurer and are subsequently rebuilt by the owner, who was subject to the tax on the vehicle's last taxable sale are exempt effective 7/1/95.
- Replacement of parts for firms located within Enterprise Zones
- Aircraft held for resale or used for air taxi service or flight lessons
- Boats and ancillary equipment used exclusively for commercial fishing including vessels with a coastwise fishing certificate
- Sales of services used to determine the probable health consequences of the consumption of a product

- Taped material sold to radio and television stations for use in public broadcasts or for medical/surgical training; equipment used to transmit films or tapes of accredited medical/surgical training. Motion picture or video production equipment or sound recording equipment used for production activities which become an ingredient or component part of master tapes, records, video tapes or film produced for commercial entertainment, commercial advertising or commercial educational purposes is exempt effective 7/1/97.
- Gold or silver bullion and legal tender of any nation, not exceeding $1,000 in value. Rare or antique coins are exempt effective 7/1/96.
- Home-delivered meals to elderly, disabled and homebound individuals
- Boats brought in state between 10/1 and 4/30 exclusively for storage, maintenance or repair
- Services for voluntary cleanup of hazardous waste site
- Certain nonprescription drugs: Vitamins, laxatives, aspirin & other internal analgesics, antacids, eye medications, cough or cold remedies
- The itemized portion of a lease payment for a motor vehicle which is directed for payment of local property taxes
- Motion pictures leased or rented for display at a movie theater
- Sales of cloth used to make clothing
- Sales of adult diapers
- Sales of diabetic testing equipment
- Funeral expenses up to $2,500
- Sales under $100 by nonprofit nursing or convalescent homes; Sales under $100 made by rest homes and homes for the aged
- Commercial and industrial testing and research service
- Sales of business services provided to a company that is 100% owned or between companies that are both owned 100% by another company
- Equipment used to maintain environmental conditions necessary for computer disk production
- Mold, dies, patterns and sand handling equipment used in metal casting purchased on or after April 1, 1985
- Architectural, building engineering, planning or design services including landscape architecture
- ~~Land surveyor services~~
- Commercial trucks with a gross vehicle weight rating over 26,000 lbs. or used exclusively for transport of interstate freight
- Commercial motor vehicles or buses which derive 75% of their revenue from out-of-state trips
- Interior decorating and design services
- Direct mail advertising
- Component parts used in a manufacturing process
- Materials & equipment used in a printing process
- Equipment associated with operating natural gas-powered vehicles, if purchased between 10/1/91 and 1/1/93
- Aviation fuel (formerly taxed at 2.5%)
- Sale of and the storage, use, or other consumption of: 1) aviation repair parts; or 2) aircraft ~~having a maximum certified takeoff weight of 6,000 lbs. (PA 97-316)~~
- Bunker fuel oil, intermediate fuel, marine diesel oil and marine gas oil used in vessels displacing more than 4,000 weight tons. Fuel for vessels primarily engaged in interstate commerce is exempt effective 7/1/97.
- Special equipment installed in autos for handicapped persons
- Sales to UConn Educational Properties, Inc. for use at the technology park
- Landscaping and horticultural services, window cleaning and maintenance services provided to disabled persons
- Equipment, tools and materials used exclusively in the manufacture of optical lenses
- Sample drugs available by prescription, given to physicians for no consideration
- Sales to private water companies with 50 or more customers, effective 7/1/97.
- Safety apparel, effective 7/1/97.
- Business services provided between affiliates participating in the implementation of the community economic development program established by PA 93-404
- Sales to the Connecticut Resource Recovery Authority, effective 7/1/97.

- The trade-in allowance on remanufactured core components for trucks with a gross vehicle weight rating more than 26,000 pounds, effective 7/1/95.
- The trade-in allowance for remanufactured parts for motor buses used in mass transit, effective 7/1/96.
- Sales to biotechnology firms, effective 7/1/96.
- Transportation services, effective 7/1/96.
- Machinery, equipment, tools and materials used in commercial processing of photographic film and paper.
- Sales, storage, use, rental, or lease of broadcast equipment used directly in the transmission or broadcast of programs by a television or radio station.(PA 97-316)
- Sales of tangible personal property or services to any tourism district. (PA 97-316)
- Sales by non-profit organizations at bazaars, fairs, picnics, tag sales to the extent that five such events of a day's duration held during any calendar year. (PA 97-316)
- Services and tangible personal property sold to solid waste-to-energy facilities. (PA 97-316)
- Vegetable seeds suitable for planting to produce food for human consumption. (PA 97-316)
- Yarn for non-commercial use. (PA 97-316)
- Tangible personal property by historical societies. (PA 97-316)
- Tangible personal property and services by the Connecticut Development Authority. (PA 97-316)
- Services rendered in connection with the creation, development, and maintenance of the World Wide Web. (PA 97-316)
- Services of off-duty police officers and firefighters. (PA 97-316)
- Separately stated compensation, fringe benefits, workers' compensation and payroll taxes or assessments paid to or on the behalf of leased employees. (PA 97-316)

Exempt from Use Tax:
- Property subject to Sales Tax
- Property purchased from the federal government and its agencies
- Individual purchases brought into the state not exceeding $25

Occupancies in the following are exempt from Sales Tax:
- Privately owned and operated convalescent homes
- Homes for the aged, infirm, indigent, or chronically ill
- Religious or charitable homes for the aged, infirm, indigent or chronically ill
- Privately owned and operated summer camps for children
- Children's summer camps run by religious or charitable organizations
- Lodging accommodations at educational institutions
- Lodging at facilities operated by nonprofit charitable organizations
- Private and public campground rentals

Payment - Taxes are due and payable on or before the last day of the month for sales during the previous month except in the case where the taxpayer qualifies for quarterly payments. Quarterly payments are due on or before the last day of the month following the quarterly period. To qualify for quarterly payments, a taxpayer's total tax liability for the 12-month period ended on the preceding September 30 must be less than $4,000 (CGS Sec. 12-414). The Commissioner of Revenue Services, in cases of seasonal or occasional sellers whose gross receipts do not exceed $500, may permit or require returns for other than monthly or quarterly periods (i.e. annual returns to be filed one month after the end of the calendar year covered by such return).

The interest rate on overdue or underpayment of the Sales Tax is 1% per month or 12% per year (CGS 12-419).

GENERAL FUND NON-TAX REVENUE ITEMS

The following is a description of the eight categories of General Fund non-tax revenue, which lists the types of revenue items that fall within each category. Included as part of this listing are the 1997-98 and 1998-99 budgeted revenue for the major category and the percentage of revenue generated by certain items or programs relative to the total revenue produced by the major category. For example, under federal grants, Medicaid reimbursements provide 63 percent of the revenue from federal grants. These percentages are intended to provide a perspective of the revenue producers within each major category.

1. Federal Grants

	FY 97-98		FY 98-99	
	% Total	Revenue (000)	% Total	Revenue (000)
Medicaid	63%	$ 1,195,000	65%	$ 1,196,000
Temporary Assistance to Needy Families	16%	307,000	15%	280,000
Administrative costs of Welfare & Social Services Programs	4%	66,000	4%	66,000
Disproportionate Share Payments	11%	204,000	11%	204,000
Foster Care	4%	70,000	4%	70,000
Other federal grants	2%	41,500	1%	27,000
Total		**$ 1,883,500**		**$ 1,843,000**

2. Fines & Escheats & Rents

Escheats	92%	29,000	92%	30,000
Fines imposed by State Agencies	5%	1,900	5%	2,200
Rents	3%	1,100	3%	1,100
Total		**$ 32,000**		**$ 33,300**

3. Gambling (Division of Special Revenue)

The state's gaming activities are organized into four general areas: racing, off-track betting, lottery and charitable gaming. Although administered by the Division, the revenue from charitable gaming activities is included as part of the "Miscellaneous fees" category within "Licenses, Permits and Fees". A summary of each activity, with the basis and percentage of revenue from each, appears below.

Racing - This area includes horse racing, dog racing and exhibition of jai-alai. Currently, dog racing and jai alai are operational in the state. The state imposes a tax (modified by PA 93-332) on the gross amount wagered at each facility based on a sliding scale of 2%-4%, except for Bridgeport Dog Track which will be a flat 2% once the conversion from a Jai Alai facility is completed. Additionally, a tax equal to one half the breakage to the dime is imposed on each facility. Breakage results from the rounding of payoffs to the lower dime. The state, from the tax revenue it collects, makes payments to municipalities where each facility is located. Municipalities with populations in excess of 50,000 receive 1% of the gross amount wagered at each facility, and towns with populations under 50,000 receive .5%. However, pursuant to SA 91-40, starting in FY 1992-93, the town of Plainfield began to receive .8% of the total amount wagered. The remaining tax revenue is transferred to the General Fund (C.G.S. Sec. 12-573a).

Off-track Betting - Pursuant to Public Act 93-332 the state sold the rights to operate the Off-track betting (OTB) system. As of July 1, 1993 the state imposes a tax of 3.5% on the OTB licensee on the

total gross amount wagered. Effective July 1, 1997, Public Act 97-277, increases the percentage of the tax distributed to municipalities with OTB facilities from 1% to 1.6%, thereby reducing the percentage that the state retains from 2.5% to 1.9%. Additionally, a tax equal to one-half of the breakage to the dime is imposed on the OTB licensee. Breakage results from the rounding of payoffs to the lower dime.

Public Act 97-277 also increases from 4 to 8 the total number of the 18 currently authorized OTB facilities that may simulcast (provide live television coverage of) pari-mutuel events. Prior to the change in law the four facilities permitted to simulcast were: Windsor Locks Teletheater, New Haven Teletheater, Plainfield Greyhound Park, and Bridgeport Jai Alai.

Lottery - Six different lottery games are conducted in the state: Instant, Daily Numbers, Play 4, Cash 5, Lotto and Powerball. Drawings for Daily Numbers, Play 4 and Cash 5 are held seven days a week, and Lotto drawings are held on Tuesdays and Fridays. Powerball's game structure is similar to the Lotto game: five numbers plus a supplemental number are selected from a field of forty-five numbers. Powerball drawings are held on Wednesdays and Saturdays, with a guaranteed minimum jackpot of $5 million.

PA 96-212 created the Connecticut Lottery Corporation (CLC), a quasi-public corporation which operates the State's lottery games. The public act provides for transfers of lottery proceeds to the General Fund, net of the CLC's cost of operating the games. In FY 1996-97, approximately 58.5% of gross sales were paid as prize money to winning ticket holders, 32.6% was transferred to the General Fund, and the remaining 8.9% was used for CLC expenses, including administrative costs, reimbursement of the Division of Special Revenue for the cost of regulatory oversight, and contributions to the Chronic Gamblers Fund, which is administered by the Department of Mental Health.

	FY 97-98		FY 98-99	
Gambling	**% Total**	**Revenue (000)**	**% Total**	**Revenue (000)**
Lotto Lottery	11%	$ 30,000	11%	$ 30,600
Daily Lottery	25%	65,700	25%	68,000
Instant Lottery	49%	128,700	49%	133,600
Cash 5	6%	16,800	6%	17,400
Powerball	6%	16,800	6%	17,400
Off-Track Betting	2%	5,600	2%	5,600
Jai-Alai	.5%	500	.5%	500
Dog Racing	.5%	300	.5%	300
Total		**$ 264,400**		**$ 273,400**

4. Indian Gaming Payments

On January 13, 1993 (later amended on April 30, 1993) a Memorandum of Understanding was signed between the State of Connecticut and the Mashantucket Pequot Tribe. The Memorandum gave the Tribe the exclusive right to operate slot machines within Connecticut, and in exchange the Tribe agreed to make an annual contribution to the State.

On April 25, 1994 the State, the Mohegan Tribe, and Mashantucket Pequot Tribe agreed to allow the Mohegan Tribe to operate slot machines. The agreement between the three parties calls for each Tribe make an annual contribution to the State of 25% of the gross operating revenue from their slot operations or $80 million, whichever is greater, and in return the State guarantees the Tribes the exclusive right to conduct casino gaming in Connecticut.

	FY 97-98 % Total	FY 97-98 Revenue (000)	FY 98-99 % Total	FY 98-99 Revenue (000)
Indian Gaming Payments				
Mashantucket Pequot Tribe	62%	$ 143,000	60%	$ 148,000
Mohegan Tribe	38%	87,000	40%	97,000
Total		**$ 230,000**		**$ 245,000**
5. Investment Income				
Bond Fund Investment Income	19%	$ 7,000	21%	$ 7,000
General Fund Investment Income	81%	30,000	79%	27,000
Total		**$ 37,000**		**$ 34,000**
6. Licenses Permits & Fees				
Fees for legal services (primarily fines of courts)	33%	$ 39,000	33%	$ 42,000
Licenses to render professional services or engage in skilled trades	31%	35,000	31%	37,500
Corporate filing fees	9%	11,000	10%	11,000
Fees for application, examination and qualification	4%	3,200	4%	3,200
Licenses to engage in business of producing, manufacturing, or trading in commodities	4%	4,000	4%	4,000
Technical and inspectional services fees	6%	7,000	6%	7,000
Permits issued under liquor control legislation	5%	5,500	4%	5,500
Licenses to owners or harborers of animals and to hunt, fish, or trap	2%	2,000	2%	2,000
Other permits	3%	2,000	3%	2,000
Miscellaneous fees	3%	2,000	3%	2,000
Total		**$ 110,700**		**$ 116,200**
7. Miscellaneous Revenue				
Recoveries of public assistance under Title 4D	61%	$ 66,000	36%	$ 68,000
Other recoveries of expenditures not credited to appropriations	11%	12,000	10%	18,000
Federal Project Indirect Overhead Recoveries	8%	9,000	5%	9,600
ConnPACE	7%	7,600	4%	8,000
Receipts from towns in cooperative state and town activities	4%	4,400	2%	4,400
Sale of Properties	9%	10,000	16%	30,000
Proceeds from CHFA Bond Sale		0	27%	50,000
Total		**$ 109,000**		**$ 188,000**

	FY 97-98 % Total	FY 97-98 Revenue (000)	FY 98-99 % Total	FY 98-99 Revenue (000)
8. Sales of Commodities & Services				
Board and care at medical institutions (primarily insurance recoveries)	71%	$ 28,500	71%	$ 28,500
Sales of government publications and commodities	25%	10,000	25%	10,000
Camping and parking at state recreational facilities	4%	1,500	4%	1,500
Total		**$ 40,000**		**$ 40,000**

Section II

AGENCY BUDGETS
APPROPRIATIONS AND BOND AUTHORIZATIONS

The 1997-99 agency funding authorizations provide the basis for the agency budget summaries in this section of the book. Historical information on agency operating budgets for two prior years as well as the Governor's recommended budgets for 1997-99 are provided to place the 1997-99 budget authorizations in perspective. The column which shows estimated expenditures for 1996-97 contains estimates made by this office in February, 1997 based on data supplied by the agencies. A footnote has been included to detail deficiency appropriations for any agencies so affected. Also, the column headings "Appropriated 1997-98" and "Appropriated 1998-99" refer only to state appropriated funds which are shown under the various sections of the summaries. Numbers of positions, programmatic budget breakdowns and federal, private and special non-appropriated state funds also appear in this column since they relate to the appropriated funds; however, they are not specifically authorized by the legislature. All specific legislative appropriation accounts are preceded by an accounting code in the left hand margin.

The order in which agencies appear in this section of the book is based on the order of the appropriations act, which is arranged according to the major functions of government. Agencies which operate under separate funds, retain their traditional place in the function of government order. The functions are listed below with the page numbers on which they begin. An alphabetical index of all agencies may be found at the end of the book.

Function of Government	Page
Legislative	115
General Government	128
Regulation and Protection of Persons and Property	199
Conservation and Development of Natural Resources and Recreation	265
Health and Hospitals	305
Transportation	397
Human Services	423
Education, Libraries and Museums	483
Corrections	547
Judicial	593
Non-Functional	607

The explanatory paragraphs which follow are provided to aid the user in understanding the format and terminology used throughout this section of the book. Explanatory sections follow the format of each agency budget summary.

Position Summary - The position summary indicates maximum staffing levels for each agency beginning with 1995-96. The position count shown under the columns "Appropriated 1997-98 and 1998-99" represents the number of positions an agency is authorized to establish through June 30, 1998 and 1999, although full year funding may not be provided due to a deduction for turnover based on anticipated vacancies or because the position is to be established for less than twelve months. The position count is not reduced when a deduction is made for turnover since the turnover savings is based on an experience factor of agency vacancies and is anticipated to occur under normal circumstances when employees leave and jobs remain unfilled for a period of time while the agency is recruiting for a replacement. Also, the replacement will normally be brought on at a lower pay rate. Under Section 23 of the Appropriations Act, SA 97-21, the number of positions which may be filled by any agency cannot exceed the number of positions shown under this column, except upon the recommendation of the Governor and the approval of the Finance Advisory Committee. Personnel entries shown for "Other Funds" include positions funded from federal, private, or special accounts. The notation "Others Equated to Full-time" shows an agency's part-time and temporary positions as an equivalent number of full-time positions.

Operating Budget - This section provides a brief summary of each agency's operating budget. The major object of expenditure totals are shown - personal services, other expenses, other current expenses, equipment and grant payments - along with other funding acts and additional funds available (e.g., federal and private contributions). The personal services, other expenses and equipment categories reflect actual appropriation accounts. The other current expenses and grant categories are summations of individually appropriated accounts which are listed separately in subsequent sections.

Funds for salary increases, increases in the workweek, and for other costs, provided by previously approved collective bargaining contracts, have been included within an agency's budget. Funding for contracts approved during the 1997 session, and for those still in negotiations, are built into the Reserve for Salary Adjustments account.

The "Additional Funds Available" category includes non-appropriated funds available to an agency to augment its state appropriations. Federal, private and special funds or separate accounts within the General Fund, shown under the columns "Appropriated 1997-98 and 1998-99" are actually estimated expenditures for the respective years since many federal and private grants, although received in one year, may be available for expenditure over several years. Federal fund data are the best estimates currently available; however, federal aid is subject to change based on new federal legislation and administrative regulations. All funds (except federal contributions) listed as "Additional Funds Available" to agencies are footnoted. The footnotes, located at the end of each agency summary, indicate the source of these funds and the purposes for which they are used.

It should be noted that federal funds may be handled in a variety of ways in an agency's budget. The most common method shows a line termed "Federal Contributions" under the "Additional Funds Available" category which is used to account for estimated expenditures from grants received from the federal government. These funds are in addition to the agency's General Fund budget and may be used to fund positions which are then shown under "Other Funds". For federal funds which become an integral part of the agency's operation, two methods may be used which result in gross or net funding of the agency's General Fund budget. Where gross funding is used, no "additional funds available" would be shown, since the federal funds are deposited as revenue upon receipt and the agency does not have them available for its use. Instead, the agency's General Fund appropriation is increased by the amount of anticipated federal funds. In a net funding situation, the anticipated federal moneys are deducted from the agency's General Fund budget as "reimbursements", resulting in a net General Fund appropriation requirement. When the federal funds are received, the agency deposits them as a credit (addition) to their General Fund appropriations, and thus the funds can be made available for expenditure by the agency. Positions funded from these reimbursements are shown as General Fund employees rather than under "Other Funds". While these federal reimbursements may be considered to be "additional funds available" they are often not shown as such, due to inconsistencies in agency budget methodologies. Where the amount of such funding is deemed significant, we have shown it as Federal Contributions, with appropriate footnotes.

Budget By Program - A breakdown of the agencies' operating expenses is provided on a programmatic basis. Information on the number of permanent full-time positions in each program is provided on the Permanent Full-Time Positions line immediately following the program title. The number of positions are shown in the columns. If there are positions for more than one fund they are shown in the columns separated by slashes. A fund indicator, a two letter notation indicating the Appropriated Fund ("GF", "TF" etc.), and/or Additional Funds Available ("OF") is shown in the text of the line to reflect the order of funding of the positions.

The positions are followed by the personal services and other expenses budget for each program broken out by Appropriated Fund. Additional funds available to the agency (beyond the Appropriated Funds), if any, are also shown. Federal contributions are detailed by federal grant title and amount in each agency program.

We have also included grant accounts and equipment, where appropriate, to give a more comprehensive view of a program's overall funding. The agency's grant and equipment accounts are recapped in separate sections below, as has been the practice in the past.

A separate line is shown for many agencies at the end of the programmatic breakdown to account for turnover deductions (a separate line is shown for each relevant Appropriated Fund) made in arriving at the final personal services appropriation. Since turnover is taken for the agency as a whole no breakdown by program can be shown. The amount of turnover is calculated primarily on an anticipated average of vacant positions based on historical experience. Some allowance is also made for the fact that the person replacing an employee who left is usually hired at a lower salary level.

Grant Payments-Other Than Towns and Grant Payments to Towns - These sections provide a detailed breakdown of the two different types of grant payments by appropriation account.

Equipment - This section shows the General Fund (or Special Fund) appropriation account. Many agencies will be obtaining needed items through the Capital Equipment Purchase Fund (CEPF) which is financed with bond funds which do not appear with the appropriated portions of an agency's budget.

Governor's and Legislative Comparisons - A narrative commentary on each item which had either a Governor's or a Legislative action (or both), follows the grand total line of the Budget by Program section. This section includes items that provide for new or expanded programs, reduce the scope of existing programs or eliminate them, transfer programs to other state agencies, or change the method of funding a state operation. Also, additional funding due to workload or caseload increases is included, as well as funding to cover inflationary or other built-in increases. In those cases where the Governor proposed a significant reduction in the scope of a program or elimination of a program, a savings factor based upon the amount of funding which would have been required to continue the program at its present level, is used.

The narrative consists of a base (B) write-up and either a Governor's "(G)" write-up, a Legislative "(L)" write-up or both. The base write-up explains the nature of an item that action is being taken on by either the Governor or Legislature. It will include quantitative information on the scope of a need or a problem. The "(G)" and "(L)" write-ups explain what action the Governor and the Legislature took on each item or what resources are being provided for that item. Quantitative information can be used here to describe how many of a particular type of position and for how long a time period funds are being recommended.

There will always be an "(L)" write-up when a "(G)" write-up is shown even if the "(L)" write-up indicates that it is the "Same as Governor". The positions and dollar amounts for each year of the biennium (shown in the first two columns) indicate what resources are provided by the Legislature. The comparison or the "Difference" between the Governor's and the Legislative amounts appears in the last two columns for each year of the biennium in order to reflect how the Legislative budget differs from the Governor's Recommendation.

There may be an "(L)" write-up with no "(G)" write-up. These are Legislative initiatives which occurred after the Governor made his budget recommendations. These write-ups may be interpreted in the broad sense to indicate that the Governor did not take any action on that particular item.

This section may also include certain items which indicate where reports are required by the Appropriations Committee, or where some policy guidance is provided to the agency.

Other Significant 1997 Legislation Affecting the Agency's Budget - This section includes a summary of any significant legislation which has a fiscal impact on the agency, new programs where no funding is provided, and acts passed which have future impact.

Program Measures - These are listed for each agency program and are an attempt to show the success of an agency in meeting its goals and objectives. While these have been available in the Governor's budget document for several years, it was decided to include them here, with updates for legislative action where appropriate. Historical data is shown for FY 1995-96 actual and for FY 1996-97 estimated along with the Agency request, the Governor's recommended and final Legislative action for the 1997-99 biennium.

1997 Bond Authorizations - Each agency receiving 1997 bond authorizations has a section identifying them. The entry specifies the purposes for which such bond proceeds are to be used (exactly as worded in the act), the act and section authorizing the bonding, the amount of new bonding authorized, and previous bonding authorized for the same purpose. (See "Bond Authorizations Available for Allocation" in the Appendix. This schedule show balances from prior authorizations as well as the new authorizations, and also indicates estimated project cost, where available.) Where federal or other funding is available to augment state bond funds for a project, a footnote indicates such additional funding. Authorizations shown under the heading "Continuing Statutory Programs" are primarily for continuing capital grant programs financed from bonds. No total project cost can be identified for these programs as they periodically receive additional authorizations as needed; shown instead is the total authorized bonding to date for each program. Also, for some agencies, reductions of authorizations, where significant, are shown if prior authorizations have been decreased or canceled due to excess funding or a determination has been made that such a project is no longer a priority need. There are also a few cases where the language of a prior authorization is amended, but the authorization amount is not changed. These are shown in those instances where it has been determined that the change will have an impact on the scope of the project, or where the nature of the project has been changed.

Institutional Data - For some agencies that operate several institutions (i.e., the Departments of Mental Health and Addiction Services, Mental Retardation and Children and Families) additional information is provided which shows population figures, staffing levels and the General Fund operating budget for each of the constituent units.

Legislative Management
1001

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	318	318	318	318	334	334
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	19,563,322	21,765,954	22,271,272	23,971,173	22,751,272	24,798,459
002	Other Expenses	9,797,239	10,813,772	11,316,482	12,442,139	9,946,414	10,793,093
005	Equipment	698,825	557,040	664,480	652,115	1,882,246	1,985,480
02X	Other Current Expenses	1,323,444	1,207,000	1,525,000	1,265,000	1,470,000	1,140,000
6XX	Grant Payments - Other Than Towns	189,314	201,321	210,006	222,100	224,756	236,850
	Agency Total - General Fund[1]	**31,572,144**	**34,545,087**	**35,987,240**	**38,552,527**	**36,274,688**	**38,953,882**
	Agency Total - Appropriated Funds	**31,572,144**	**34,545,087**	**35,987,240**	**38,552,527**	**36,274,688**	**38,953,882**
	Agency Grand Total	**31,572,144**	**34,545,087**	**35,987,240**	**38,552,527**	**36,274,688**	**38,953,882**
	BUDGET BY PROGRAM						
	Management Services						
	Permanent Full-Time Positions GF	60	60	63	63	79	79
	General Fund						
	Personal Services	2,551,612	2,622,404	2,997,519	3,153,396	3,477,519	3,980,682
	Other Expenses	7,980,240	1,340,908	9,161,710	9,407,490	7,791,642	7,758,444
	Equipment	698,825	557,040	664,480	652,115	1,882,246	1,985,480
	Grant Payments - Other Than Towns						
	Yankee Trader Institute	0	0	0	0	14,750	14,750
	Total - General Fund	**11,230,677**	**4,520,352**	**12,823,709**	**13,213,001**	**13,166,157**	**13,739,356**
	Operational Services						
	Permanent Full-Time Positions GF	151	151	148	148	148	148
	General Fund						
	Personal Services	11,139,799	12,762,367	12,786,135	13,914,771	12,786,135	13,914,771
	Other Expenses	1,704,257	6,315,243	2,066,772	2,333,349	2,066,772	2,333,349
016	Fiscal Reporting	0	107,000	0	0	0	0
017	Chamber Printers	0	0	160,000	0	160,000	0
032	Interim Committee Staffing	433,444	365,000	485,000	410,000	485,000	410,000
033	Interim Salary/Caucus Offices	385,000	305,000	425,000	350,000	425,000	350,000
038	Industrial Renewal Plan	180,000	180,000	180,000	180,000	180,000	180,000
040	Institute for Municipal Studies	300,000	250,000	250,000	250,000	195,000	125,000
041	Uncompensated Care	25,000	0	0	0	0	0
042	Redistricting	0	0	25,000	75,000	25,000	75,000
	Grant Payments - Other Than Towns						
	Council of State Governments	80,500	82,900	85,400	90,000	85,400	90,000
	National Conference of State Legislatures	92,614	96,321	100,056	105,100	100,056	105,100
	National Conference of Commissioners on Uniform State Laws	16,200	17,100	18,050	19,000	18,050	19,000
	Caucus of the New England State Legislatures	0	5,000	4,000	5,000	4,000	5,000
	National Conference of Insurance Legislators	0	0	2,500	3,000	2,500	3,000
	Total - General Fund	**14,356,814**	**20,485,931**	**16,587,913**	**17,735,220**	**16,532,913**	**17,610,220**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Support Services						
	Permanent Full-Time Positions GF	107	107	107	107	107	107
	General Fund						
	Personal Services	5,871,911	6,381,183	6,837,618	7,253,006	6,837,618	7,253,006
	Other Expenses	112,742	3,157,621	88,000	701,300	88,000	701,300
	Total - General Fund	**5,984,653**	**9,538,804**	**6,925,618**	**7,954,306**	**6,925,618**	**7,954,306**
	Less: Turnover - Personal Services - GF	0	0	-350,000	-350,000	-350,000	-350,000
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
601	Council of State Governments	80,500	82,900	85,400	90,000	85,400	90,000
602	National Conference of State Legislatures	92,614	96,321	100,056	105,100	100,056	105,100
603	National Conference of Commissioners on Uniform State Laws	16,200	17,100	18,050	19,000	18,050	19,000
604	Caucus of the New England State Legislatures	0	5,000	4,000	5,000	4,000	5,000
605	National Conference of Insurance Legislators	0	0	2,500	3,000	2,500	3,000
606	Yankee Trader Institute	0	0	0	0	14,750	14,750
	EQUIPMENT						
005	Equipment	698,825	557,040	664,480	652,115	1,882,246	1,985,480
	Agency Grand Total	**31,572,144**	**34,545,087**	**35,987,240**	**38,552,527**	**36,274,688**	**38,953,882**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**318**	**34,685,282**	**318**	**34,685,282**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	341,354	0	2,041,255	0	0	0	0
Other Expenses	0	502,710	0	1,628,367	0	0	0	0
Equipment	0	33,980	0	21,615	0	0	0	0
Other Current Expenses	0	425,000	0	165,000	0	0	0	0
Grant Payments - Other Than Towns	0	-1,086	0	11,008	0	0	0	0
Total - General Fund	**0**	**1,301,958**	**0**	**3,867,245**	**0**	**0**	**0**	**0**

Add Funding for Restructure and Implementation of Information Technology System - (B)
Legislative Management has proposed a restructure of the Information and Technology System at the Legislative Office Building and Capitol including hardware and software changes with increased informational access and data transmission capability with a reduced reliance on outside professional services.
-(L) Amounts of $392,301 and $499,647 are added to the Personal Services account for FY 1997-98 and FY 1998-99. Amounts of $1,306,619 and $1,492,262 for Other Expenses are reduced for each fiscal year of the biennium. Amounts of $1,217,766 and $1,333,365 are added for Equipment for the Information Technology project.

Personal Services	16	480,000	16	827,286	16	480,000	16	827,286
Other Expenses	0	-1,306,619	0	-1,308,296	0	-1,306,619	0	-1,308,296
Equipment	0	1,217,766	0	1,333,365	0	1,217,766	0	1,333,365
Total - General Fund	**16**	**391,147**	**16**	**852,355**	**16**	**391,147**	**16**	**852,355**

Add Funding for a Regional Institute to Enhance State Exportation Programs - (B)
The Yankee Trader Institute is a regional institute proposed to enhance state support promotion programs and services in the Northeast and strengthen the regional export economy.
-(L) An amount of $14,750 is added to the Legislative Management budget for FY 1997-98 and FY 1998-99.

Yankee Trader Institute	0	14,750	0	14,750	0	14,750	0	14,750
Total - General Fund	**0**	**14,750**	**0**	**14,750**	**0**	**14,750**	**0**	**14,750**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Reduce Other Expenses Funding - (B)								
-(L) Amounts of $63,449 in FY 1997-98 and $340,750 in FY 1998-99 are reduced from Other Expenses to effect economy.								
Other Expenses	0	-63,449	0	-340,750	0	-63,449	0	-340,750
Total - General Fund	**0**	**-63,449**	**0**	**-340,750**	**0**	**-63,449**	**0**	**-340,750**
Reduce Funding For Institute of Municipal Studies - (B)								
-(L) An amount of $125,000 is reduced from the Institute of Municipal Studies for the purpose of appropriating $125,000 for each fiscal year.								
Institute for Municipal Studies	0	-125,000	0	-125,000	0	-125,000	0	-125,000
Total - General Fund	**0**	**-125,000**	**0**	**-125,000**	**0**	**-125,000**	**0**	**-125,000**
Increase Funding for the Institute of Municipal Studies - (B)								
-(L) An amount of $70,000 will be transferred from the Office of Policy and Management to the Institute of Municipal Studies for the fiscal year 1997-98.								
This transfer is authorized by Section 12 of SB 3004, "An Act Concerning Computerized Information Sharing, the Mashantucket Pequot and Mohegan Fund, Early Retirement, School Construction, State Buildings, Nursing Home Loans, Executive and Legislative Councils and Commissions, and Appropriations for the fiscal years ending June 30, 1997, 1998 and 1999.								
Institute for Municipal Studies	0	70,000	0	0	0	70,000	0	0
Total - General Fund	**0**	**70,000**	**0**	**0**	**0**	**70,000**	**0**	**0**
Budget Totals - GF	**334**	**36,274,688**	**334**	**38,953,882**	**16**	**287,448**	**16**	**401,355**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Management staff % of total staffing (%)	19	19	20	20	20	20	20	20
Minority staff % of total staffing (%)	13	13	13	13	13	13	13	13
Management expenditures % of total expenditures (%)	36	35	36	34	36	34	36	34
Bills and resolutions filed	1,765	3,518	1,653	3,518	1,653	3,518	1,653	3,518
Public and Special Acts	289	325	300	350	300	350	300	350
Proposed bills and resolutions	413	2,500	500	2,500	500	2,500	500	2,500
Fully drafted bills, resolutions and substitutes	2,454	3,700	1,800	3,700	1,800	3,700	1,800	3,700
Files prepared	775	1,200	750	1,200	750	1,200	750	1,200
Amendments drafted	3,100	2,750	3,100	2,750	3,100	2,750	3,100	2,750
Research requests	1,343	1,400	1,410	1,470	1,410	1,470	1,410	1,470
Bill analyses	767	1,000	700	1,100	700	1,100	700	1,100
Information Requests of Library	7,800	8,000	8,500	8,200	8,500	8,200	8,500	8,200
Fiscal notes	3,452	4,000	3,600	4,100	3,600	4,100	3,600	4,100
Performance audit	7	7	7	7	7	7	7	7
Revision topics studied	37	35	35	35	35	35	35	35

1997 BOND AUTHORIZATIONS

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	216	-	Add PA 96-181 2(f): State Capitol and Legislative Office Building and related structures, site and facility improvements. (Sec. 48 reduces a 1987 authorization of $1,945,190 by $185,200 for this purpose.)	1,759,990	185,200	

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	48		State capitol and legislative office building and related structures, site and facility improvements (SA 87-77 2(a)(1))	1,945,190	(185,200)	

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $1,381,513 in Personal Services from the early retirement incentive program, and $227,513 in Personal Services and $472,455 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is not anticipated to receive any funds for FY 98.

Auditors of Public Accounts
1005

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	99	99	99	99	99	99
	Others Equated to Full-Time	2	0	4	4	4	4
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	5,018,017	5,752,497	6,418,224	6,754,876	6,418,224	6,754,876
002	Other Expenses	327,795	397,188	416,961	426,550	486,961	426,550
005	Equipment	48,648	59,720	91,459	92,800	91,459	92,800
	Agency Total - General Fund	**5,394,460**	**6,209,405**	**6,926,644**	**7,274,226**	**6,996,644**	**7,274,226**
	Agency Total - Appropriated Funds	**5,394,460**	**6,209,405**	**6,926,644**	**7,274,226**	**6,996,644**	**7,274,226**
	Additional Funds Available						
	Special Funds, Non-Appropriated	495	0	0	0	0	0
	Agency Grand Total	**5,394,955**	**6,209,405**	**6,926,644**	**7,274,226**	**6,996,644**	**7,274,226**
	BUDGET BY PROGRAM						
	Auditors of Public Accounts						
	Permanent Full-Time Positions GF	99	99	99	99	99	99
	General Fund						
	Personal Services	5,018,017	5,752,497	6,518,224	6,854,876	6,518,224	6,854,876
	Other Expenses	327,795	397,188	416,961	426,550	486,961	426,550
	Equipment	48,648	59,720	91,459	92,800	91,459	92,800
	Total - General Fund	**5,394,460**	**6,209,405**	**7,026,644**	**7,374,226**	**7,096,644**	**7,374,226**
	Less: Turnover - Personal Services - GF	0	0	-100,000	-100,000	-100,000	-100,000
	EQUIPMENT						
005	Equipment	48,648	59,720	91,459	92,800	91,459	92,800
	Agency Grand Total	**5,394,955**	**6,209,405**	**6,926,644**	**7,274,226**	**6,996,644**	**7,274,226**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**99**	**6,419,405**	**99**	**6,419,405**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	465,727	0	802,379	0	0	0	0
Other Expenses	0	9,773	0	19,362	0	0	0	0
Equipment	0	31,739	0	33,080	0	0	0	0
Total - General Fund	**0**	**507,239**	**0**	**854,821**	**0**	**0**	**0**	**0**

Add Funds to Other Expenses for Contract Review - (B)
-(L) The Auditors of Public Accounts are required to review all contracts for information system or telecommunications system facilities, equipment or services which, in the aggregate, have a value of 10 million dollars or more.

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
This is stipulated by section 83 of sHB 6702, as amended by House "A." An amount of $70,000 is added to Other Expenses for expenditure on consultant services.								
Other Expenses	0	70,000	0	0	0	70,000	0	0
Total - General Fund	**0**	**70,000**	**0**	**0**	**0**	**70,000**	**0**	**0**
Budget Totals - GF	**99**	**6,996,644**	**99**	**7,274,226**	**0**	**70,000**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Audit/Exams completed	55	65	58	66	58	66	58	66
Formal Reports Issued	61	65	58	66	58	66	58	66
Total Hours Audit	106,000	119,000	120,000	120,000	120,000	120,000	120,000	120,000
Average per Audit	1,738	1,830	2,069	1,818	2,069	1,818	2,069	1,818

OTHER SIGNIFICANT 1997 LEGISLATION AFFECTING THE AGENCY'S BUDGET

Section 83 of Special Act 97-21, specifies that from July 1, 1997, to June 30, 1999, no contracts or amendments to contracts for information system or telecommunications system facilities, equipment or services, which, in the aggregate, have a value in excess of ten million dollars should be submitted to the Auditors of Public Accounts. The auditors are required to conduct an independent evaluation of the contract or amendment to determine whether the selection of the contractor and the provisions of the contract or amendment serve the best interests of the state. An amount of $70,000 was added to the budget for this responsibility.

Commission on the Status of Women
1012

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	6	6	8	8	7	7
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	261,562	278,798	331,700	369,910	301,700	348,660
002	Other Expenses	66,768	84,573	93,000	97,550	93,000	92,300
005	Equipment	7,187	1,500	5,000	5,250	5,000	1,750
	Agency Total - General Fund[1]	**335,517**	**364,871**	**429,700**	**472,710**	**399,700**	**442,710**
	Agency Total - Appropriated Funds	**335,517**	**364,871**	**429,700**	**472,710**	**399,700**	**442,710**
	Agency Grand Total	**335,517**	**364,871**	**429,700**	**472,710**	**399,700**	**442,710**
	BUDGET BY PROGRAM						
	Permanent Commission Status of Women						
	Permanent Full-Time Positions GF	6	6	8	8	7	7
	General Fund						
	Personal Services	261,562	278,798	331,700	369,910	301,700	348,660
	Other Expenses	66,768	84,573	93,000	97,550	93,000	92,300
	Equipment	7,187	1,500	5,000	5,250	5,000	1,750
	Total - General Fund	**335,517**	**364,871**	**429,700**	**472,710**	**399,700**	**442,710**
	EQUIPMENT						
005	Equipment	7,187	1,500	5,000	5,250	5,000	1,750
	Agency Grand Total	**335,517**	**364,871**	**429,700**	**472,710**	**399,700**	**442,710**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**6**	**371,248**	**6**	**371,248**	**0**	**0**	**0**	**0**
Inflation and Non-Program Changes - (B)								
Personal Services	0	15,216	0	38,210	0	0	0	0
Other Expenses	0	5,250	0	250	0	0	0	0
Equipment	0	3,500	0	4,550	0	0	0	0
Total - General Fund	**0**	**23,966**	**0**	**43,010**	**0**	**0**	**0**	**0**

Add 2 Positions to Commissions Staff - (B)
(Prevention Program)
-(G) The Governor recommends the addition of 2 positions which include a Legislative Analyst and the conversion of a 3/5 time Senior Secretary to full-time.

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L) The Legislative Analyst position is eliminated. The 3/5 secretary position is converted to a permanent full-time position.								
Personal Services	1	4,486	1	28,452	-1	-30,000	-1	-21,250
Other Expenses	0	0	0	0	0	0	0	-5,250
Equipment	0	0	0	0	0	0	0	-3,500
Total - General Fund	**1**	**4,486**	**1**	**28,452**	**-1**	**-30,000**	**-1**	**-30,000**
Budget Totals - GF	**7**	**399,700**	**7**	**442,710**	**-1**	**-30,000**	**-1**	**-30,000**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Legislation developed or amended from research	17	20	20	20	20	20	20	20
Legislation or regulation enacted	8	10	10	10	10	10	10	10
Request for assistance/CHRO referrals	1464/37	1500/40	1500/40	1500/40	1500/40	1500/40	1500/40	1500/40
Informational literature distributed	32,186	40,000	40,000	40,000	40,000	40,000	40,000	40,000
Activities to increase women's job opportunities	30	50	50	50	50	50	50	50
Research documents generated	12	15	15	15	15	15	15	15
Research and support to committees addressing gender equality	17	18	18	18	18	18	18	18
Public education programs provided	127	150	150	150	150	150	150	150
Government employees trained in sexual harassment prevention/non-discrimination	900	1,000	1,000	1,000	1,000	1,000	1,000	1,000
Candidates for appointment in Talent Bank	230	250	250	250	250	250	250	250

Commission on Children
1013

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	5	5	6	7	5	5
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	228,379	240,395	288,168	346,590	262,248	294,065
002	Other Expenses	32,710	41,450	50,125	52,300	50,125	52,300
005	Equipment	2,000	2,000	7,500	7,750	7,500	7,750
	Agency Total - General Fund	**263,089**	**283,845**	**345,793**	**406,640**	**319,873**	**354,115**
	Agency Total - Appropriated Funds	**263,089**	**283,845**	**345,793**	**406,640**	**319,873**	**354,115**
	Agency Grand Total	**263,089**	**283,845**	**345,793**	**406,640**	**319,873**	**354,115**
	BUDGET BY PROGRAM						
	Commission on Children						
	Permanent Full-Time Positions GF	5	5	6	7	5	5
	General Fund						
	Personal Services	228,379	240,395	288,168	346,590	262,248	294,065
	Other Expenses	32,710	41,450	50,125	52,300	50,125	52,300
	Equipment	2,000	2,000	7,500	7,750	7,500	7,750
	Total - General Fund	**263,089**	**283,845**	**345,793**	**406,640**	**319,873**	**354,115**
	EQUIPMENT						
005	Equipment	2,000	2,000	7,500	7,750	7,500	7,750
	Agency Grand Total	**263,089**	**283,845**	**345,793**	**406,640**	**319,873**	**354,115**

BUDGET CHANGES

	Legislative 98		Legislative 99		Diff. from Gov. 97-98		Diff. from Gov. 98-99	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
FY97 Governor's Estimated Expenditure - GF	**5**	**288,768**	**5**	**288,768**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	16,930	0	48,747	0	0	0	0
Other Expenses	0	8,675	0	10,850	0	0	0	0
Equipment	0	5,500	0	5,750	0	0	0	0
Total - General Fund	**0**	**31,105**	**0**	**65,347**	**0**	**0**	**0**	**0**

Add Two Positions to the Commission's Staff - (B)
(Prevention Program)
-(G) The Governor recommends adding 2 positions to the Commission's staff which include a Planning Analyst position and an Education Services Specialist. The Planning Analyst position would be added in FY 1997-98 with the Education Services Specialist position added in FY 1998-99.

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L) A Planning Analyst position, in the amount of $25,920 is eliminated in FY 1997-98, and the Educational Services Specialist and funding of $26,605 is eliminated in FY 1998-99.								
Personal Services	0	0	0	0	-1	-25,920	-2	-52,525
Total - General Fund	**0**	**0**	**0**	**0**	**-1**	**-25,920**	**-2**	**-52,525**
Budget Totals - GF	**5**	**319,873**	**5**	**354,115**	**-1**	**-25,920**	**-2**	**-52,525**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Research documents generated	52	55	57	59	57	59	57	59
Legislation developed from research	26	26	27	28	27	28	27	28
Legislation or regulation enacted	16	14	15	16	15	16	15	16
Requests for information or assistance	6,250	6,300	6,500	6,700	6,500	6,700	6,500	6,700
Meetings with providers, parents, support groups	600	650	675	700	675	700	675	700
Provision of forums for assessing and developing coordinative needs	156	160	165	170	165	170	165	170
Pieces of information and material distributed	850,000	850,000	850,000	850,000	850,000	850,000	850,000	850,000

Commission on Latino and Puerto Rican Affairs
1014

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	3	3	3	3	3	3
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	70,842	134,371	145,910	162,055	145,910	162,055
002	Other Expenses	48,962	65,744	46,000	48,300	46,000	48,300
005	Equipment	4,800	3,000	5,000	5,250	5,000	5,250
	Agency Total - General Fund	**124,604**	**203,115**	**196,910**	**215,605**	**196,910**	**215,605**
	Agency Total - Appropriated Funds	**124,604**	**203,115**	**196,910**	**215,605**	**196,910**	**215,605**
	Agency Grand Total	**124,604**	**203,115**	**196,910**	**215,605**	**196,910**	**215,605**
	BUDGET BY PROGRAM						
	Commission on Latino and Puerto Rican Affairs						
	Permanent Full-Time Positions GF	3	3	3	3	3	3
	General Fund						
	Personal Services	70,842	134,371	145,910	162,055	145,910	162,055
	Other Expenses	48,962	65,744	46,000	48,300	46,000	48,300
	Equipment	4,800	3,000	5,000	5,250	5,000	5,250
	Total - General Fund	**124,604**	**203,115**	**196,910**	**215,605**	**196,910**	**215,605**
	EQUIPMENT						
005	Equipment	4,800	3,000	5,000	5,250	5,000	5,250
	Agency Grand Total	**124,604**	**203,115**	**196,910**	**215,605**	**196,910**	**215,605**

BUDGET CHANGES

	Legislative 98		Legislative 99		Diff. from Gov. 97-98		Diff. from Gov. 98-99	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
FY97 Governor's Estimated Expenditure - GF	**3**	**206,686**	**3**	**206,686**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	8,139	0	24,284	0	0	0	0
Other Expenses	0	-19,915	0	-17,615	0	0	0	0
Equipment	0	2,000	0	2,250	0	0	0	0
Total - General Fund	**0**	**-9,776**	**0**	**8,919**	**0**	**0**	**0**	**0**
Remove Funding from Personal Services - (B) -(L) An amount of $15,000 is added back to the Personal Services account.								
Budget Totals - GF	**3**	**196,910**	**3**	**215,605**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Research documents generated	20	35	37	40	37	40	37	40
Legislation developed from research	5	17	19	21	19	21	19	21
Legislation or regulation enacted	3	10	12	15	12	15	12	15
Requests for information or assistance	200	1,000	2,000	3,000	2,000	3,000	2,000	3,000
Institutional policies/practices changed or assistance rendered	25	50	60	70	60	70	60	70
Pieces of information and materials distributed	6,500	50,000	75,000	100,000	75,000	100,000	75,000	100,000
Appointments referred and made	3	20	30	40	30	40	30	40

African-American Affairs Commission
1018

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	0	0	0	0	3	3
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	0	0	0	0	137,322	146,676
002	Other Expenses	0	0	0	0	16,500	42,325
005	Equipment	0	0	0	0	40,000	7,500
	Agency Total - General Fund	**0**	**0**	**0**	**0**	**193,822**	**196,501**
	Agency Total - Appropriated Funds	**0**	**0**	**0**	**0**	**193,822**	**196,501**
	Agency Grand Total	**0**	**0**	**0**	**0**	**193,822**	**196,501**
	BUDGET BY PROGRAM						
	Personal Services						
	Permanent Full-Time Positions GF	0	0	0	0	3	3
	General Fund						
	Personal Services	0	0	0	0	137,322	146,676
	Other Expenses	0	0	0	0	16,500	42,325
	Equipment	0	0	0	0	40,000	7,500
	Total - General Fund	**0**	**0**	**0**	**0**	**193,822**	**196,501**
	EQUIPMENT						
005	Equipment	0	0	0	0	40,000	7,500
	Agency Grand Total	**0**	**0**	**0**	**0**	**193,822**	**196,501**

BUDGET CHANGES

	Legislative 98		Legislative 99		Diff. from Gov. 97-98		Diff. from Gov. 98-99	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Add Initial Funds for Commission - (B)								
(L) Funds are provided to establish an African-American Affairs Commission.								
Personal Services	3	137,322	3	146,676	3	137,322	3	146,676
Other Expenses	0	16,500	0	42,325	0	16,500	0	42,325
Equipment	0	40,000	0	7,500	0	40,000	0	7,500
Total - General Fund	**3**	**193,822**	**3**	**196,501**	**3**	**193,822**	**3**	**196,501**
Budget Totals - GF	**3**	**193,822**	**3**	**196,501**	**3**	**193,822**	**3**	**196,501**

OTHER SIGNIFICANT 1997 LEGISLATION AFFECTING THE AGENCY'S BUDGET

The African-American Affairs Commission is created by Section 24 of Special Act 97-21. The 13-member commission is charged with reviewing and commenting on proposed legislation and regulation affecting the African-American population, encouraging their representation in state boards and commissions, securing the appropriate recognition of their accomplishment and contributions, giving advice to the Governor on policies and issues affecting them and preparing an annual report to the Governor regarding the commission's activities.

Governor's Office
1101

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	38	38	37	37	37	37
	Others Equated to Full-Time	3	5	5	5	5	5
	Additional Funds Available						
	Permanent Full-Time	0	0	1	1	1	1
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	1,607,307	1,702,808	1,807,792	1,864,312	1,807,792	1,864,312
002	Other Expenses	250,894	228,574	230,571	232,023	230,571	232,023
005	Equipment	7,000	10,200	1,500	2,000	1,500	2,000
6XX	Grant Payments - Other Than Towns	171,513	177,292	199,292	201,292	199,292	201,292
	Agency Total - General Fund[1]	**2,036,714**	**2,118,874**	**2,239,155**	**2,299,627**	**2,239,155**	**2,299,627**
	Agency Total - Appropriated Funds	**2,036,714**	**2,118,874**	**2,239,155**	**2,299,627**	**2,239,155**	**2,299,627**
	Additional Funds Available						
	Private Contributions	2,311	0	0	0	0	0
	Federal Contributions	22,900	22,900	122,500	122,500	122,500	122,500
	Agency Grand Total	**2,061,925**	**2,141,774**	**2,361,655**	**2,422,127**	**2,361,655**	**2,422,127**
	BUDGET BY PROGRAM						
	Direction & Supervision of the State						
	Permanent Full-Time Positions GF/OF	38/0	38/0	37/1	37/1	37/1	37/1
	General Fund						
	Personal Services	1,607,307	1,702,808	1,880,661	1,937,181	1,880,661	1,937,181
	Other Expenses	250,894	228,574	230,571	232,023	230,571	232,023
	Equipment	7,000	10,200	1,500	2,000	1,500	2,000
	Grant Payments - Other Than Towns						
	New England Governor's Conference	88,000	88,000	110,000	112,000	110,000	112,000
	National Governor's Association	83,513	89,292	89,292	89,292	89,292	89,292
	Total - General Fund	**2,036,714**	**2,118,874**	**2,312,024**	**2,372,496**	**2,312,024**	**2,372,496**
	Federal Contributions						
	Admin for Children/Youth/Fam HS	400	400	100,000	100,000	100,000	100,000
	Development Disabil-Support/Advo	22,500	22,500	22,500	22,500	22,500	22,500
	Total - Federal Contributions	**22,900**	**22,900**	**122,500**	**122,500**	**122,500**	**122,500**
	Additional Funds Available						
	Private Contributions	2,311	0	0	0	0	0
	Total - All Funds	**2,061,925**	**2,141,774**	**2,434,524**	**2,494,996**	**2,434,524**	**2,494,996**
	Personal Services Savings						
	General Fund						
	Personal Services	0	0	-72,869	-72,869	-72,869	-72,869
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
603	New England Governor's Conference	88,000	88,000	110,000	112,000	110,000	112,000
604	National Governor's Association	83,513	89,292	89,292	89,292	89,292	89,292
	EQUIPMENT						
005	Equipment	7,000	10,200	1,500	2,000	1,500	2,000
	Agency Grand Total	**2,061,925**	**2,141,774**	**2,361,655**	**2,422,127**	**2,361,655**	**2,422,127**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**38**	**2,241,942**	**38**	**2,241,942**	**0**	**0**	**0**	**0**
Inflation and Non-Program Changes - (B)								
Personal Services	0	77,063	0	133,583	0	0	0	0
Other Expenses	0	25,731	0	31,338	0	0	0	0
Equipment	0	100	0	3,400	0	0	0	0
Total - General Fund	**0**	**102,894**	**0**	**168,321**	**0**	**0**	**0**	**0**
Increase Membership Dues - (B)								
The New England Governor's Conference's primary function is to research regional problems; investigate alternative solutions; and coordinate information exchange among the six New England states. The member states are assessed for a pro-rated share of the Conference's expenses - $40,000 base plus a 24.8% proportion based on population.								
-(G) The Governor recommends increasing the funds available for membership in the New England's Governor's Conference by $22,000 in 1997-98 in accordance with the rate increase. In 1998-99, an additional $2,000 is recommended because of an increase in the membership dues. The dues increased in 1989, however, Connecticut has not paid the higher rate since rejoining the Conference in FY 95-96.								
-(L)Same as Governor								
New England Governor's Conference	0	22,000	0	24,000	0	0	0	0
Total - General Fund	**0**	**22,000**	**0**	**24,000**	**0**	**0**	**0**	**0**
Agency Wide Reduction - (B)								
-(G) The Governor recommends reducing the total agency funding by $118,881 in FY 1997-98 and eliminating one position to effect economy. In FY 1998-99 an additional reduction of $4,155 is recommended to effect economy.								
-(L)Same as Governor								
Personal Services	-1	-95,147	-1	-95,147	0	0	0	0
Other Expenses	0	-23,734	0	-27,889	0	0	0	0
Total - General Fund	**-1**	**-118,881**	**-1**	**-123,036**	**0**	**0**	**0**	**0**
Fund Equipment Items From the CEPF - (B)								
The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least 3 years. It is financed through the sale of bonds and is administered by the Office of Policy and Management.								
-(G) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds).								
-(L)Same as Governor								
Equipment	0	-8,800	0	-11,600	0	0	0	0
Total - General Fund	**0**	**-8,800**	**0**	**-11,600**	**0**	**0**	**0**	**0**
Budget Totals - GF	**37**	**2,239,155**	**37**	**2,299,627**	**0**	**0**	**0**	**0**

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $46,167 in Personal Services from the early retirement incentive program, and $18,078 in Personal Services and $10,952 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

Secretary of the State
1102

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	46	49	49	49	49	49
	Others Equated to Full-Time	4	5	2	2	2	2
	Additional Funds Available						
	Permanent Full-Time	53	54	58	58	58	58
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services[1]	1,679,309	1,739,944	1,813,260	1,923,503	1,992,958	2,103,201
002	Other Expenses[1]	720,668	1,167,278	1,079,394	1,079,394	1,159,696	1,159,696
	Agency Total - General Fund[2][3]	**2,399,977**	**2,907,222**	**2,892,654**	**3,002,897**	**3,152,654**	**3,262,897**
	Agency Total - Appropriated Funds	**2,399,977**	**2,907,222**	**2,892,654**	**3,002,897**	**3,152,654**	**3,262,897**
	Additional Funds Available						
	Private Contributions	2,936,102	5,316,120	5,190,105	5,513,647	5,190,105	5,513,647
	Agency Grand Total	**5,336,079**	**8,223,342**	**8,082,759**	**8,516,544**	**8,342,759**	**8,776,544**
	BUDGET BY PROGRAM						
	Management Services						
	Permanent Full-Time Positions GF/OF	22/3	22/3	22/3	22/3	22/3	22/3
	General Fund						
	Personal Services	742,248	715,700	787,215	833,595	966,913	1,013,293
	Other Expenses	301,543	510,330	444,547	444,547	524,849	524,849
	Total - General Fund	**1,043,791**	**1,226,030**	**1,231,762**	**1,278,142**	**1,491,762**	**1,538,142**
	Additional Funds Available						
	Private Contributions	638,703	504,120	518,647	539,019	518,647	539,019
	Total - All Funds	**1,682,494**	**1,730,150**	**1,750,409**	**1,817,161**	**2,010,409**	**2,077,161**
	Corporation/Commercial Code/ Trademarks						
	Permanent Full-Time Positions /OF	/48	/49	/53	/53	/53	/53
	Additional Funds Available						
	Private Contributions	2,233,642	4,752,444	4,610,247	4,911,045	4,610,247	4,911,045
	Total - All Funds	**2,233,642**	**4,752,444**	**4,610,247**	**4,911,045**	**4,610,247**	**4,911,045**
	Elections/Campaign Financing						
	Permanent Full-Time Positions GF	12	15	15	15	15	15
	General Fund						
	Personal Services	516,996	566,772	626,733	662,527	626,733	662,527
	Other Expenses	262,795	447,970	430,049	430,049	430,049	430,049
	Total - General Fund	**779,791**	**1,014,742**	**1,056,782**	**1,092,576**	**1,056,782**	**1,092,576**
	General Administrative Services						
	Permanent Full-Time Positions GF/OF	8/2	8/2	8/2	8/2	8/2	8/2
	General Fund						
	Personal Services	281,747	288,786	298,139	314,899	298,139	314,899
	Other Expenses	124,882	167,928	164,569	164,569	164,569	164,569
	Total - General Fund	**406,629**	**456,714**	**462,708**	**479,468**	**462,708**	**479,468**
	Additional Funds Available						
	Private Contributions	63,757	59,556	61,211	63,583	61,211	63,583
	Total - All Funds	**470,386**	**516,270**	**523,919**	**543,051**	**523,919**	**543,051**

	Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
Regulation of Licensed Accountants						
Permanent Full-Time Positions GF	4	4	4	4	4	4
General Fund						
Personal Services	138,318	168,686	201,173	212,482	201,173	212,482
Other Expenses	31,448	41,050	40,229	40,229	40,229	40,229
Total - General Fund	**169,766**	**209,736**	**241,402**	**252,711**	**241,402**	**252,711**
Less: Turnover - Personal Services - GF	0	0	-100,000	-100,000	-100,000	-100,000
Agency Grand Total	**5,336,079**	**8,223,342**	**8,082,759**	**8,516,544**	**8,342,759**	**8,776,544**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**49**	**2,907,222**	**49**	**2,907,222**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	153,014	0	263,257	0	0	0	0
Other Expenses	0	41,536	0	69,343	0	0	0	0
Total - General Fund	**0**	**194,550**	**0**	**332,600**	**0**	**0**	**0**	**0**
Agency Wide Expenditure Reduction - (B)								
-(G) The Governor recommends reducing agency expenditures by $166,077 in FY 1997-98 to effect economy. In FY 1998-99 an additional reduction of $70,848 is recommended to effect economy.								
-(L) Funds, in the amount of $260,000, are provided in both years of the biennium. The increase reflects a reassessment of the agency's anticipated expenditure level in relation to its 1996-97 current service level. The 1997-98 increase in the level of funding is $245,432 above the Governor's 1996-97 estimated expenditures.								
Personal Services	0	100,000	0	100,000	0	179,698	0	179,698
Other Expenses	0	-6,077	0	-76,925	0	80,302	0	80,302
Total - General Fund	**0**	**93,923**	**0**	**23,075**	**0**	**260,000**	**0**	**260,000**
Reduce Funding Due to State Data Center Rate Reductions - (B)								
Many state agencies obtain computer services to support their business functions from the State Data Center, operated by the Department of Administrative Services through the Technical Services Revolving Fund.								
-(G) Due to a reorganization of the operation of the State Data Center, there is a significant reduction in the rates for services charged to state agencies (CATER and BOSS).								
-(L)Same as Governor								
Other Expenses	0	-43,041	0	0	0	0	0	0
Total - General Fund	**0**	**-43,041**	**0**	**0**	**0**	**0**	**0**	**0**
Budget Totals - GF	**49**	**3,152,654**	**49**	**3,262,897**	**0**	**260,000**	**0**	**260,000**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Days to process vendor payment	4	4	4	4	4	4	4	4
General Statutes distributed/sold	379	5,000	400	5,000	400	5,000	400	5,000
Public & Special Acts distributed/sold	4,000	4,000	4,000	4,000	4,000	4,000	4,000	4,000
Revenue deposited/yr ($M)*	15.7	16.8	16.8	18	16.8	18	16.8	18
Advisory legal opinions issued/yr	2,500	2,500	2,500	2,500	2,500	2,500	2,500	2,500
Informational Mailings distributed/yr	250,000	250,000	250,000	250,000	250,000	250,000	250,000	250,000
Exams administered/yr	1,000	1,200	1,200	1,000	1,200	1,000	1,200	1,000
Manuals/Handbooks distributed/yr	20,000	20,000	20,000	20,000	20,000	20,000	20,000	20,000
Primaries, elections/referenda supervised/yr	900	900	900	900	900	900	900	900
Absentee ballots sets distributed/yr	450,000	500,000	500,000	250,000	500,000	250,000	500,000	250,000
Mail-in registration distributed/yr	500,000	1,000,000	500,000	250,000	500,000	250,000	500,000	250,000

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Request for information by telephone or written communication	50,000	50,000	50,000	50,000	50,000	50,000	50,000	50,000
Campaign Finance reports filed	8,000	8,000	8,000	5,000	8,000	5,000	8,000	5,000
Attendees at conferences conducted by this office and exams administered handbooks	4,000	4,000	4,000	4,000	4,000	4,000	4,000	4,000
5-yr Notaries commissioned/maintained/yr	49,936	50,000	50,000	50,000	50,000	50,000	50,000	50,000
General Assembly actions recorded/yr	2,874	4,500	2,750	4,500	2,750	4,500	2,750	4,500
Documents received and processed/yr	16,323	14,000	15,000	18,000	15,000	18,000	15,000	18,000
Register & Manuals distributed-sold/yr	12,000	12,000	12,000	12,000	12,000	12,000	12,000	12,000
Notary Appointments	3,144	3,500	3,500	3,500	3,500	3,500	3,500	3,500
Notary Renewals	5,996	9,221	9,779	10,213	9,779	10,213	9,779	10,213
Document Images microfilmed	342,600	340,000	345,000	345,000	345,000	345,000	345,000	345,000
Licenses and Permits Issued Initial								
CPA Certificates	457	500	500	500	500	500	500	500
CPA licenses	291	300	325	350	325	350	325	350
CPA Certificates Registered	309	400	400	400	400	400	400	400
Firm Permits	149	150	150	150	150	150	150	150
Renewal								
CPA Certificates Registered	618	900	1,000	1,000	1,000	1,000	1,000	1,000
CPA licenses/PA	3179/67	3125/65	3200/60	3250/50	3200/60	3250/50	3200/60	3250/50
Firm Permits	1,543	1,500	1,575	1,575	1,575	1,575	1,575	1,575
Exam Applicants	1,400	1,400	1,400	1,500	1,400	1,500	1,400	1,500
Written Complaints								
Received/Resolved	50/35	250/200	250/200	250/200	250/200	250/200	250/200	250/200
Pending	42	40	40	40	40	40	40	40
Disciplinary Hearings Held	0	5	5	5	5	5	5	5

1997 BOND AUTHORIZATIONS

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	2(a)	21(a)	Development and implementation of statewide automated voter registration system	2,954,000	900,000	750,000

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $99,204 in Personal Services from the early retirement incentive program, and $19,930 in Personal Services and $55,086 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $15,844 for FY '98.

[2] In 1997-98, $11.4 million (net) is expected to be collected, and deposited in the General Fund as revenue. The net amount reflects the transfer of $5.1 to the Commercial Recording Account. The funds are used to cover the cost of the administrative expenses of the Corporations Division in accordance with PA 96-200.

In 1998-99, $12.5 million (net) is expected to be collected and deposited in the General Fund as revenue. The net amount of revenue reflects the transfer of $5.5 million to the Commercial Recording account. The funds will be used to cover the cost of administrative expenses for the Corporations Division in accordance with PA 96-200.

The major source of revenue in both years of the biennium is from corporation and reporting fees.

[3] SA 97-21, "An Act Concerning the State Budget for the Biennium ending June 30, 1999, and Making Appropriations Therefor", Section 66, requires that up to $100,000 of the amount appropriated to the agency in FY '97 carry forward to FY '98. The funds will be used by the agency to cover the remaining cost of the office remodeling that was started in 1996.

Lieutenant Governor's Office
1103

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	4	4	4	4	4	4
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	155,932	165,638	208,726	213,356	208,726	213,356
002	Other Expenses	21,806	25,400	24,408	24,408	24,408	24,408
005	Equipment	0	0	3,000	0	3,000	0
	Agency Total - General Fund	**177,738**	**191,038**	**236,134**	**237,764**	**236,134**	**237,764**
	Agency Total - Appropriated Funds	**177,738**	**191,038**	**236,134**	**237,764**	**236,134**	**237,764**
	Agency Grand Total	**177,738**	**191,038**	**236,134**	**237,764**	**236,134**	**237,764**
	BUDGET BY PROGRAM						
	Office of Lieutenant Governor						
	Permanent Full-Time Positions GF	4	4	4	4	4	4
	General Fund						
	Personal Services	155,932	165,638	208,726	213,356	208,726	213,356
	Other Expenses	21,806	25,400	24,408	24,408	24,408	24,408
	Equipment	0	0	3,000	0	3,000	0
	Total - General Fund	**177,738**	**191,038**	**236,134**	**237,764**	**236,134**	**237,764**
	EQUIPMENT						
005	Equipment	0	0	3,000	0	3,000	0
	Agency Grand Total	**177,738**	**191,038**	**236,134**	**237,764**	**236,134**	**237,764**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**4**	**203,463**	**4**	**203,463**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	30,663	0	35,293	0	0	0	0
Other Expenses	0	607	0	1,204	0	0	0	0
Equipment	0	3,000	0	0	0	0	0	0
Total - General Fund	**0**	**34,270**	**0**	**36,497**	**0**	**0**	**0**	**0**
Reduce Other Expenses - (B)								
-(G) The Governor recommends reducing Other Expenses by $1,599 in FY 1997-98 to effect economy. In FY 1998-99, the Governor recommends an additional reduction of $597 to effect economy.								
-(L)Same as Governor								
Other Expenses	0	-1,599	0	-2,196	0	0	0	0
Total - General Fund	**0**	**-1,599**	**0**	**-2,196**	**0**	**0**	**0**	**0**
Budget Totals - GF	**4**	**236,134**	**4**	**237,764**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Cases investigated, resolved	129	145	145	155	145	155	145	155
Committee's audited	110	125	175	200	175	200	175	200
Formal/informal opinions issued	94	100	110	120	110	120	110	120
Legal revisions recommended	4	6	6	6	6	6	6	6

Elections Enforcement Commission
1104

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	9	9	9	9	10	10
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services[1]	417,957	486,666	523,028	549,456	553,028	591,863
002	Other Expenses	31,248	49,953	59,961	53,851	59,961	56,851
	Agency Total - General Fund[2]	**449,205**	**536,619**	**582,989**	**603,307**	**612,989**	**648,714**
	Agency Total - Appropriated Funds	**449,205**	**536,619**	**582,989**	**603,307**	**612,989**	**648,714**
	Agency Grand Total	**449,205**	**536,619**	**582,989**	**603,307**	**612,989**	**648,714**
	BUDGET BY PROGRAM						
	Election Law Enforcement & Control						
	Permanent Full-Time Positions GF	9	9	9	9	10	10
	General Fund						
	Personal Services	417,957	486,666	523,028	549,456	553,028	591,863
	Other Expenses	31,248	49,953	59,961	53,851	59,961	56,851
	Total - General Fund	**449,205**	**536,619**	**582,989**	**603,307**	**612,989**	**648,714**
	Agency Grand Total	**449,205**	**536,619**	**582,989**	**603,307**	**612,989**	**648,714**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**9**	**547,511**	**9**	**547,511**	**0**	**0**	**0**	**0**
Inflation and Non-Program Changes - (B)								
Personal Services	0	18,362	0	44,790	0	0	0	0
Other Expenses	0	17,116	0	11,006	0	0	0	0
Total - General Fund	**0**	**35,478**	**0**	**55,796**	**0**	**0**	**0**	**0**

Enhance Investigatory Capabilities - (B)
The Elections Enforcement Commission investigates complaints of possible violations of state laws concerning elections, primaries and referenda. Section 9-7a(g) of the Connecticut General Statutes requires the Commission to issue a decision or render a determination of probable cause within sixty days of receiving a complaint.
-(L) In order to enhance the Commission's ability to meet its statutory deadline, an additional investigator position is authorized. Funds, in the amount of $30,000 are provided in FY 1997-98 and $42,407 in FY 1998-99.

Due to anticipated hiring delays, three-quarter year funding ($30,000) is provided in the first year of the biennium. It should be noted that the number of cases that the Commission is expected to investigate is not expected to increase, however, the agency's reliance upon

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
investigatory personnel from other agencies (i.e., Chief State Attorney's Office) is expected to decrease.								
Personal Services	1	30,000	1	42,407	1	30,000	1	42,407
Total - General Fund	**1**	**30,000**	**1**	**42,407**	**1**	**30,000**	**1**	**42,407**
Increase Other Expenses - (B) -(L) Additional funds, in the amount of $3,000, are provided in the second year of the biennium in anticipation of the agency's 1998-99 current service needs.								
Other Expenses	0	0	0	3,000	0	0	0	3,000
Total - General Fund	**0**	**0**	**0**	**3,000**	**0**	**0**	**0**	**3,000**
Budget Totals - GF	**10**	**612,989**	**10**	**648,714**	**1**	**30,000**	**1**	**45,407**

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $93,340 in Personal Services from the early retirement incentive program.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $12,340 for FY '98.

[2] The Commission annually collects and deposits revenue in the General Fund. The primary source of the revenue is from fines imposed by the Commission and fees for photostatic copies of documents.

Ethics Commission
1105

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	9	9	10	10	10	10
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	432,700	469,916	534,695	555,871	539,695	560,871
002	Other Expenses	55,648	63,574	84,412	84,815	84,412	84,815
005	Equipment	344	0	0	0	0	0
	Agency Total - General Fund[1]	**488,692**	**533,490**	**619,107**	**640,686**	**624,107**	**645,686**
	Agency Total - Appropriated Funds[2]	**488,692**	**533,490**	**619,107**	**640,686**	**624,107**	**645,686**
	Agency Grand Total	**488,692**	**533,490**	**619,107**	**640,686**	**624,107**	**645,686**
	BUDGET BY PROGRAM						
	Code of Ethics Public Employees & Lobbyists						
	Permanent Full-Time Positions GF	9	9	10	10	10	10
	General Fund						
	Personal Services	432,700	469,916	534,695	555,871	539,695	560,871
	Other Expenses	55,648	63,574	84,412	84,815	84,412	84,815
	Equipment	344	0	0	0	0	0
	Total - General Fund	**488,692**	**533,490**	**619,107**	**640,686**	**624,107**	**645,686**
	EQUIPMENT						
005	Equipment	344	0	0	0	0	0
	Agency Grand Total	**488,692**	**533,490**	**619,107**	**640,686**	**624,107**	**645,686**

BUDGET CHANGES

	Legislative 98		Legislative 99		Diff. from Gov. 97-98		Diff. from Gov. 98-99	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
FY97 Governor's Estimated Expenditure - GF	**9**	**539,459**	**9**	**539,459**	**0**	**0**	**0**	**0**
Inflation and Non-Program Changes - (B)								
Personal Services	0	22,333	0	43,509	0	0	0	0
Other Expenses	0	17,315	0	17,718	0	0	0	0
Total - General Fund	**0**	**39,648**	**0**	**61,227**	**0**	**0**	**0**	**0**

Reallocate Personal Services Resources - (B)
Currently, the State Elections Enforcement Commission, State Ethics Commission and Freedom of Information Commission (FOI) share Business Office Services. The associated positions are included within the FOI Commission's budget.
-(G) The Governor recommends transferring one of the positions to the Ethics Commission.
-(L) The Legislature was informed by the Governor's Office that the transfer of this position was an error. A new business position was supposed to be added to the Ethics Commission, because of a conflict in function with the Freedom of

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Information Commission. In order to rectify the error, additional funds ($40,000) were included within the FOI Commission's budget. The amount of funding for the position is increased by $5,000 to enable the commission to hire a person that can also provide computer support.								
Personal Services	1	45,000	1	45,000	0	5,000	0	5,000
Total - General Fund	**1**	**45,000**	**1**	**45,000**	**0**	**5,000**	**0**	**5,000**
Budget Totals - GF	**10**	**624,107**	**10**	**645,686**	**0**	**5,000**	**0**	**5,000**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Statements of financial interests due	1,500	1,500	1,500	1,500	1,500	1,500	1,500	1,500
Lobbyists registered	2,600	2,650	2,700	2,750	2,700	2,750	2,700	2,750
Lobbyists financial reports due	6,500	6,750	7,000	7,250	7,000	7,250	7,000	7,250
Public official notifications	2,350	2,300	2,350	2,400	2,350	2,400	2,350	2,400
Written requests for advice	200	225	225	225	225	225	225	225
Enforcement proceedings completed	65	70	75	75	75	75	75	75
Publications distributed	20,000	20,000	20,000	20,000	20,000	20,000	20,000	20,000
Conduct random audits-lobbyist records	25	25	25	25	25	25	25	25

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $4,010 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $8,314 for FY '98.

[2] PA 96-11 required lobbyists to register with the Ethics Commission by January 15 in odd-numbered years, instead of annually. However, a person who begins lobbying in an even-numbered year must register by January 15 of that year and pay one-half of the biennial registration fee. It is anticipated that $390,000 will be collected and deposited in the General Fund as revenue over the 1997-99 biennium. The majority (90%) of the revenue is expected in 1998-99. The primary source of revenue is from fees charged for the registration of lobbyists.

Freedom of Information Commission
1106

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	10	11	10	10	13	13
	Others Equated to Full-Time	2	1	1	1	1	1
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	588,944	709,335	695,656	731,872	785,656	821,872
002	Other Expenses	90,316	91,434	101,009	101,009	101,009	101,009
	Agency Total - General Fund[1]	**679,260**	**800,769**	**796,665**	**832,881**	**886,665**	**922,881**
	Agency Total - Appropriated Funds[2]	**679,260**	**800,769**	**796,665**	**832,881**	**886,665**	**922,881**
	Agency Grand Total	**679,260**	**800,769**	**796,665**	**832,881**	**886,665**	**922,881**
	BUDGET BY PROGRAM						
	Administration & Enforce Freedom of Information Act						
	Permanent Full-Time Positions GF	10	11	10	10	13	13
	General Fund						
	Personal Services	588,944	709,335	695,656	731,872	785,656	821,872
	Other Expenses	90,316	91,434	101,009	101,009	101,009	101,009
	Total - General Fund	**679,260**	**800,769**	**796,665**	**832,881**	**886,665**	**922,881**
	Agency Grand Total	**679,260**	**800,769**	**796,665**	**832,881**	**886,665**	**922,881**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**11**	**805,835**	**11**	**805,835**	**0**	**0**	**0**	**0**
Inflation and Non-Program Changes - (B)								
Personal Services	0	21,531	0	57,747	0	0	0	0
Other Expenses	0	4,509	0	4,509	0	0	0	0
Total - General Fund	**0**	**26,040**	**0**	**62,256**	**0**	**0**	**0**	**0**

Reallocate Personal Services Resources - (B)
Currently, the State Elections Enforcement Commission, State Ethics Commission and Freedom of Information Commission (FOI) share Business Office Services. The associated positions are included within the FOI Commission's budget.
-(G) The Governor recommended transferring one of the business positions to the Ethics Commission.
-(L) The Legislature was informed by the Governor's office that the transfer of this position was an error. In order to rectify the error, funds, in the amount of $40,000, are provided to enable the FOI Commission to retain the current service level (two positions) within the business office. Since the number of agencies sharing business support services has been reduced, the legislature proposes assigning the Office of Child Advocate to the FOI

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Commission for administrative purposes only. The legislation to implement this change was not enacted by the General Assembly.								
Personal Services	0	0	0	0	1	40,000	1	40,000
Total - General Fund	**0**	**0**	**0**	**0**	**1**	**40,000**	**1**	**40,000**
Eliminate F.O.I. Education Program - (B) In FY 1996-97, funds were provided to establish a Public Education program within the FOI Commission. -(G) The Governor recommends eliminating the Public Education program to affect economy. -(L) Funds, in the amount of $50,000 and one position, are restored to enable this agency to retain the education program.								
Personal Services	0	0	0	0	1	50,000	1	50,000
Total - General Fund	**0**	**0**	**0**	**0**	**1**	**50,000**	**1**	**50,000**
Enhance Agency Hearing Capacity - (B) Traditionally, the five member board of Freedom of Information commissioners serve as hearing officers. During the 1996 calendar year, the Commission received over 600 complaints alleging violation of the Freedom of Information Act (FOI). At the end of 1996, the board was able to resolve one half of the complaints that it received. In order to protect the complainant's right to a remedy under the FOI Act, the commissioners authorized non-commissioners to act as hearing officers in those cases which the commissioners could not handle themselves. -(G) The Governor recommends establishing a hearing officer position in order to alleviate a backlog of cases/appeals. It should be noted that during FY 1996-97, the Governor approved the agency's request to hire an experienced hearing officer, thus, the agency will have a total of two positions for this purpose. -(L)Same as Governor								
Personal Services	1	54,790	1	54,790	0	0	0	0
Total - General Fund	**1**	**54,790**	**1**	**54,790**	**0**	**0**	**0**	**0**
Reclassify Agency Positions - (B) -(L) The agency is authorized to reclassify a part-time administrative position to full-time. In addition, to the extent that additional positions become vacant within the agency due to the Early Retirement Incentive program, the agency is authorized to reclassify the vacant position to a hearing officer position if the caseload dictates it. It is anticipated that the positions will only be reclassified if sufficient resources are available within the agency's current services budget, thus, the cost associated with the reclassifications in the future will be minimal.								
Personal Services	1	0	1	0	1	0	1	0
Total - General Fund	**1**	**0**	**1**	**0**	**1**	**0**	**1**	**0**
Budget Totals - GF	**13**	**886,665**	**13**	**922,881**	**3**	**90,000**	**3**	**90,000**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Contested cases	350	600	400	400	500	500	500	500
Cases settled by ombudsman	75	150	150	150	150	150	150	150
Court appeals and other litigation	35	30	30	30	30	30	30	30
Advisory opinions	1	5	5	5	5	5	5	5
Educational pgms & speaking engagements	30	50	50	50	30	30	30	30
Commission meetings - regular	23	26	26	26	26	26	26	26
Commission meetings - special	2	10	10	10	10	10	10	10

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $29,302 in Personal Services from the early retirement incentive program and $4,798 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $8,013 for FY '98.

[2] The "Estimated 1996-97" column includes an additional (deficiency) appropriation as contained in SA 97-8, "An Act Making Deficiency Appropriations for the Fiscal Year Ending June 30, 1997", which provided $21,500 in Personal Services moneys.

Judicial Selection Commission
1107

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	1	1	1	1	1	1
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	44,542	46,873	51,826	55,073	51,826	55,073
002	Other Expenses	18,608	20,120	20,120	20,120	20,120	20,120
005	Equipment	6,139	0	0	2,000	0	0
	Agency Total - General Fund	**69,289**	**66,993**	**71,946**	**77,193**	**71,946**	**75,193**
	Agency Total - Appropriated Funds	**69,289**	**66,993**	**71,946**	**77,193**	**71,946**	**75,193**
	Agency Grand Total	**69,289**	**66,993**	**71,946**	**77,193**	**71,946**	**75,193**
	BUDGET BY PROGRAM						
	Judicial Selection Commission						
	Permanent Full-Time Positions GF	1	1	1	1	1	1
	General Fund						
	Personal Services	44,542	46,873	51,826	55,073	51,826	55,073
	Other Expenses	18,608	20,120	20,120	20,120	20,120	20,120
	Equipment	6,139	0	0	2,000	0	0
	Total - General Fund	**69,289**	**66,993**	**71,946**	**77,193**	**71,946**	**75,193**
	EQUIPMENT						
005	Equipment	6,139	0	0	2,000	0	0
	Agency Grand Total	**69,289**	**66,993**	**71,946**	**77,193**	**71,946**	**75,193**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**1**	**87,429**	**1**	**87,429**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	4,517	0	7,764	0	0	0	0
Other Expenses	0	484	0	959	0	0	0	0
Total - General Fund	**0**	**5,001**	**0**	**8,723**	**0**	**0**	**0**	**0**
Eliminate Funding for Part-Time Clerical Support - (B)								
The responsibility for handling the agency's budget and financial duties has been transferred to the Department of Administrative Services.								
-(G) The elimination of funds for part-time clerical support is recommended.								
-(L)Same as Governor								
Personal Services	0	-20,000	0	-20,000	0	0	0	0
Total - General Fund	**0**	**-20,000**	**0**	**-20,000**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Provide New Fax Machine - (B) -(G) Funding is recommended for a replacement fax machine in the second year of the biennium.. -(L) Funding for a replacement fax machine is to be provided through the Capital Equipment Purchase Fund in the first year of the biennium.								
Equipment	0	0	0	0	0	0	0	-2,000
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**-2,000**
Eliminate Inflation Increases - (B) -(G) The elimination of inflation increases is recommended. -(L)Same as Governor								
Other Expenses	0	-484	0	-959	0	0	0	0
Total - General Fund	**0**	**-484**	**0**	**-959**	**0**	**0**	**0**	**0**
Budget Totals - GF	**1**	**71,946**	**1**	**75,193**	**0**	**0**	**0**	**-2,000**

State Properties Review Board
1162

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	5	5	5	5	5	5
	Others Equated to Full-Time	1	1	1	1	1	1
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	217,375	236,262	250,101	267,311	250,101	267,311
002	Other Expenses[1]	123,802	134,796	134,796	134,796	134,796	134,796
005	Equipment	1,819	0	0	1,300	0	1,300
	Agency Total - General Fund	**342,996**	**371,058**	**384,897**	**403,407**	**384,897**	**403,407**
	Agency Total - Appropriated Funds	**342,996**	**371,058**	**384,897**	**403,407**	**384,897**	**403,407**
	Agency Grand Total	**342,996**	**371,058**	**384,897**	**403,407**	**384,897**	**403,407**
	BUDGET BY PROGRAM						
	Review of Proposed Transactions						
	Permanent Full-Time Positions GF	5	5	5	5	5	5
	General Fund						
	Personal Services	217,375	236,262	250,101	267,311	250,101	267,311
	Other Expenses	123,802	134,796	134,796	134,796	134,796	134,796
	Equipment	1,819	0	0	1,300	0	1,300
	Total - General Fund	**342,996**	**371,058**	**384,897**	**403,407**	**384,897**	**403,407**
	EQUIPMENT						
005	Equipment	1,819	0	0	1,300	0	1,300
	Agency Grand Total	**342,996**	**371,058**	**384,897**	**403,407**	**384,897**	**403,407**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**5**	**371,058**	**5**	**371,058**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	13,839	0	31,049	0	0	0	0
Equipment	0	0	0	10,300	0	0	0	0
Total - General Fund	**0**	**13,839**	**0**	**41,349**	**0**	**0**	**0**	**0**
Fund Equipment Items from the CEPF - (B)								
The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least 3 years. It is financed through the sale of bonds and is administered by the Office of Policy and Management. -(G) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds). -(L)Same as Governor								
Equipment	0	0	0	-9,000	0	0	0	0
Total - General Fund	**0**	**0**	**0**	**-9,000**	**0**	**0**	**0**	**0**
Budget Totals - GF	**5**	**384,897**	**5**	**403,407**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Items Reviewed	389	500	500	500	500	500	500	500
Savings Realized as a Result of the Board's Actions ($)	1,412,446	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $6,403 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $1,331 for FY '98.

State Treasurer
1201

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	55	56	55	55	55	55
	Special Transportation Fund						
	Permanent Full-Time - TF	1	1	1	1	1	1
	Additional Funds Available						
	Permanent Full-Time	132	131	111	101	111	101
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services[1]	2,456,413	2,543,106	2,659,569	2,796,488	2,659,569	2,796,488
002	Other Expenses[1]	412,918	407,705	478,842	428,842	578,842	428,842
005	Equipment	9,572	10,000	1,000	4,700	1,000	4,700
	Agency Total - General Fund	**2,878,903**	**2,960,811**	**3,139,411**	**3,230,030**	**3,239,411**	**3,230,030**
	Agency Total - Appropriated Funds	**2,878,903**	**2,960,811**	**3,139,411**	**3,230,030**	**3,239,411**	**3,230,030**
	Additional Funds Available						
	Second Injury Fund	7,445,292	7,117,784	6,550,000	6,230,000	6,550,000	6,230,000
	Unclaimed Property Fund	1,196,614	1,353,564	1,324,562	1,327,125	1,324,562	1,327,125
	Investment Trust Funds	18,150,377	23,284,120	19,845,000	20,615,000	19,845,000	20,615,000
	Special Funds	536,761	1,596,181	550,000	560,000	550,000	560,000
	Agency Grand Total	**30,207,947**	**36,312,460**	**31,408,973**	**31,962,155**	**31,508,973**	**31,962,155**
	BUDGET BY PROGRAM						
	Administration						
	Permanent Full-Time Positions GF/OF	14/1	14/1	14/1	14/1	14/1	14/1
	General Fund						
	Personal Services	704,647	739,928	797,919	835,293	797,919	835,293
	Other Expenses	125,393	130,198	131,100	131,100	231,100	131,100
	Equipment	1,054	1,000	111	529	111	529
	Total - General Fund	**831,094**	**871,126**	**929,130**	**966,922**	**1,029,130**	**966,922**
	Debt Management						
	Permanent Full-Time Positions GF/TF/OF	5/1/5	5/1/5	5/1/5	5/1/5	5/1/5	5/1/5
	General Fund						
	Personal Services	294,360	320,293	317,480	343,251	317,480	343,251
	Other Expenses	54,192	85,291	57,295	57,295	57,295	57,295
	Equipment	3,763	3,000	298	1,357	298	1,357
	Total - General Fund	**352,315**	**408,584**	**375,073**	**401,903**	**375,073**	**401,903**
	Additional Funds Available						
	Special Funds	536,761	1,552,656	550,000	560,000	550,000	560,000
	Total - All Funds	**889,076**	**1,961,240**	**925,073**	**961,903**	**925,073**	**961,903**
	Investment Services						
	Permanent Full-Time Positions /OF	/14	/17	/17	/17	/17	/17
	Additional Funds Available						
	Personal Services-Investment Fund	1,998,057	1,206,472	2,020,156	2,035,836	2,020,156	2,035,836
	Other Expenses-Investment Fund	15,232,453	21,036,726	16,893,676	17,627,940	16,893,676	17,627,940
	Equipment-Investment Fund	87,415	59,220	86,168	86,224	86,168	86,224
	Total - Additional Funds Available	**17,317,925**	**22,302,418**	**19,000,000**	**19,750,000**	**19,000,000**	**19,750,000**
	Total - All Funds	**17,317,925**	**22,302,418**	**19,000,000**	**19,750,000**	**19,000,000**	**19,750,000**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Cash Management						
	Permanent Full-Time Positions GF/OF	23/7	23/7	23/6	23/6	23/6	23/6
	General Fund						
	Personal Services	1,032,527	962,720	1,076,066	1,115,301	1,076,066	1,115,301
	Other Expenses	77,867	98,138	132,288	82,288	132,288	82,288
	Equipment	987	1,895	290	1,490	290	1,490
	Total - General Fund	**1,111,381**	**1,062,753**	**1,208,644**	**1,199,079**	**1,208,644**	**1,199,079**
	Additional Funds Available						
	Investment Trust Funds	616,008	705,452	615,000	630,000	615,000	630,000
	Total - All Funds	**1,727,389**	**1,768,205**	**1,823,644**	**1,829,079**	**1,823,644**	**1,829,079**
	Second Injury Fund						
	Permanent Full-Time Positions /OF	/85	/80	/60	/50	/60	/50
	Additional Funds Available						
	Personal Services-Second Injury Fund	6,222,983	4,813,865	5,350,000	5,175,000	5,350,000	5,175,000
	Other Expenses-Second Injury Fund	964,987	2,142,122	1,000,000	900,000	1,000,000	900,000
	Equipment-Second Injury Fund	226,929	109,349	150,000	100,000	150,000	100,000
	Total - Additional Funds Available	**7,414,899**	**7,065,336**	**6,500,000**	**6,175,000**	**6,500,000**	**6,175,000**
	Total - All Funds	**7,414,899**	**7,065,336**	**6,500,000**	**6,175,000**	**6,500,000**	**6,175,000**
	Unclaimed Property and Escheats						
	Permanent Full-Time Positions /OF	/14	/15	/16	/16	/16	/16
	Additional Funds Available						
	Personal Services	827,225	830,656	877,193	877,475	877,193	877,475
	Other Expenses	321,240	374,311	403,509	405,995	403,509	405,995
	Equipment	48,149	16,055	43,860	43,655	43,860	43,655
	Total - Additional Funds Available	**1,196,614**	**1,221,022**	**1,324,562**	**1,327,125**	**1,324,562**	**1,327,125**
	Total - All Funds	**1,196,614**	**1,221,022**	**1,324,562**	**1,327,125**	**1,324,562**	**1,327,125**
	Management Services						
	Permanent Full-Time Positions GF/OF	13/6	14/6	13/6	13/6	13/6	13/6
	General Fund						
	Personal Services	424,879	520,165	618,104	652,643	618,104	652,643
	Other Expenses	155,466	94,078	158,159	158,159	158,159	158,159
	Equipment	3,768	4,105	301	1,324	301	1,324
	Total - General Fund	**584,113**	**618,348**	**776,564**	**812,126**	**776,564**	**812,126**
	Additional Funds Available						
	Second Injury Fund	30,393	52,448	50,000	55,000	50,000	55,000
	Unclaimed Property Fund	0	132,542	0	0	0	0
	Investment Trust Funds	216,444	276,250	230,000	235,000	230,000	235,000
	Special Funds	0	43,525	0	0	0	0
	Total - Additional Funds Available	**246,837**	**504,765**	**280,000**	**290,000**	**280,000**	**290,000**
	Total - All Funds	**830,950**	**1,123,113**	**1,056,564**	**1,102,126**	**1,056,564**	**1,102,126**
	Less: Turnover - Personal Services - GF	0	0	-150,000	-150,000	-150,000	-150,000
	EQUIPMENT						
005	Equipment	9,572	10,000	1,000	4,700	1,000	4,700
	Agency Grand Total	**30,207,947**	**36,312,460**	**31,408,973**	**31,962,155**	**31,508,973**	**31,962,155**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**55**	**3,087,933**	**55**	**3,087,933**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	160,516	0	297,435	0	0	0	0
Other Expenses	0	50,000	0	0	0	0	0	0
Equipment	0	3,500	0	4,200	0	0	0	0
Total - General Fund	**0**	**214,016**	**0**	**301,635**	**0**	**0**	**0**	**0**
Transfer the Divisions Equipment Purchases to the Capital Equipment Purchase Fund (CEPF) - (B)								
The CEPF is authorized by CGS 4a-9 and is financed through the sale of bonds.								
-(G) The Division's equipment needs are to be funded through the CEPF in both FY 98 and FY 99.								
-(L)Same as Governor								
Equipment	0	-12,000	0	-9,000	0	0	0	0
Total - General Fund	**0**	**-12,000**	**0**	**-9,000**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
5% Budget Reduction - (B)								
-(G) Expense Reduction.								
-(L) Same as Governor								
Personal Services	0	-121,008	0	-121,008	0	0	0	0
Other Expenses	0	-22,893	0	-22,893	0	0	0	0
Equipment	0	-500	0	-500	0	0	0	0
Total - General Fund	**0**	**-144,401**	**0**	**-144,401**	**0**	**0**	**0**	**0**
Reduce Funding Due to State Data Center Rate Reductions - (B)								
Many state agencies obtain computer services to support their business functions from the State Data Center, operated by the Department of Administrative Services through the Technical Services Revolving Fund.								
-(G) Due to a reorganization of the operation of the State Data Center, there is a significant reduction in the rates for services charged to state agencies (CATER and BOSS).								
-(L)Same as Governor								
Other Expenses	0	-6,137	0	-6,137	0	0	0	0
Total - General Fund	**0**	**-6,137**	**0**	**-6,137**	**0**	**0**	**0**	**0**
Legal Fees for Deregulation Issues - (B)								
-(L) Pay legal fees for examining financing options for stranded costs associated with electric industry deregulation.								
Other Expenses	0	100,000	0	0	0	100,000	0	0
Total - General Fund	**0**	**100,000**	**0**	**0**	**0**	**100,000**	**0**	**0**
Budget Totals - GF	**55**	**3,239,411**	**55**	**3,230,030**	**0**	**100,000**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Amount of Bonds Sold ($M)	1,217	835	1,028	989	1,028	989	1,028	989
Amount of Notes Sold ($M)	0	0	0	0	0	0	0	0
Assets under management/year-end ($M)	13,690	15,190	16,180	17,260	16,180	17,260	16,180	17,260
Cash flow for investment (M)	-230	-240	-250	-270	-250	-270	-250	-270
Income earned on investments	570	600	630	660	630	660	630	660
Appreciation/depreciation of assets ($M)	-1,060	-560	-590	-620	-590	-620	-590	-620
Total Return on Investments ($M)	1,730	1,230	1,330	1,420	1,330	1,420	1,330	1,420
Percent of assets (%)	14.2	8.5	8.5	8.5	8.5	8.5	8.5	8.5
Change in Consumer Price Index (%)	2.82	3	3	3	3	3	3	3
Treasury Bank Accounts Reconciled	22	22	22	22	22	22	22	22
Reconciled Receipts & Disbursements ($M)	31,200	32,800	34,400	36,100	34,400	36,100	34,400	36,100
Value-unclaimed property collected ($M)	26.5	27	28	29	28	29	28	29
Unclaimed property claimants paid	3,477	4,500	5,000	5,500	5,000	5,500	5,000	5,500
Unclaimed property holders reporting	3,000	4,000	5,000	5,500	5,000	5,500	5,000	5,500
Second Injury Fund Assessments ($M)	129	111.5	100	85	100	85	100	85
Clients served biweekly	1,910	1,355	350	250	350	250	350	250
Claims yearly	10,000	7,397	2,517	1,000	2,517	1,000	2,517	1,000
Recoveries ($M)	2.3	1.9	3.5	2	3.5	2	3.5	2
Expenditures ($M)	115	135	75	50	75	50	75	50

OTHER SIGNIFICANT 1997 LEGISLATION AFFECTING THE AGENCY'S BUDGET

PA 97-212, "An Act Concerning the Payment of Manager Fees for the Connecticut Retirement and Trust Funds" - The public act gives authority for paying pension fund investment management fees directly to the assistant treasurer for investment. It also (1) creates a mid-term investment fund, and (2) changes requirements for making the list of abandoned property available to the public.

PA 97-224, "An Act Concerning a College Savings Program" - The public act establishes the Connecticut Higher Education trust, which is administered by the Office of the State Treasurer. The Trust helps state residents save for college by postponing taxation of investments in the Trust. The earnings are taxable at the beneficiary's tax rate when the money is withdrawn. (The principal was taxed before it was invested.)

PA 97-11 (June 18 Special Session), "An Act Concerning Computerized Information Sharing, the Mashantucket Pequot and Mohegan Fund, Early Retirement, School Construction, State Buildings, Nursing Homes, Executive and Legislative Councils, Commissions and Task Forces, Appropriations for the Fiscal Years Ending June 30, 1997, 1998 and 1999, Spring and Well Water Collection, Project Concern, Notice Requirements for Psychiatric Admissions, the Tax on Net Direct Subscriber Charges of Health Care Centers, Elimination of Certain Wage Inequities, Sheriffs Fees and Expenses of the Connecticut Siting Council". Sections 39 through 42 of the public act establish the Nursing Home Loan Program in the Office of the State Treasurer, to administer the funds appropriated for payment of principal and interest on nursing home bonds issued by the Connecticut Health and Educational Facilities Authority (CHEFA) and secured by a special capital reserve fund. Section 7 appropriates $4 million to the Office of the State Treasurer, from the FY '97 General Fund surplus, for this program in FY '98 and FY '99.

---

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $120,127 in Personal Services from the early retirement incentive program, and $26,596 in Personal Services and $27,495 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $31,550 for FY '98.

State Comptroller
1202

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	287	287	287	287	287	287
	Others Equated to Full-Time	7	8	6	6	6	6
	Additional Funds Available						
	Permanent Full-Time	9	9	9	9	9	9
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	10,830,166	12,716,488	12,591,019	13,213,494	12,861,019	13,483,494
002	Other Expenses	2,344,240	2,705,586	2,271,492	2,271,492	2,271,492	2,271,492
005	Equipment	30,000	0	5,000	5,000	5,000	5,000
02X	Other Current Expenses	1,354,121	700,000	665,000	665,000	665,000	665,000
6XX	Grant Payments - Other Than Towns	18,590	19,570	19,570	19,570	19,570	19,570
	Agency Total - General Fund[1][2]	**14,577,117**	**16,141,644**	**15,552,081**	**16,174,556**	**15,822,081**	**16,444,556**
	Agency Total - Appropriated Funds	**14,577,117**	**16,141,644**	**15,552,081**	**16,174,556**	**15,822,081**	**16,444,556**
	Additional Funds Available						
	Special Funds, Non-Appropriated	632,769	700,000	700,000	700,000	700,000	700,000
	Agency Grand Total	**15,209,886**	**16,841,644**	**16,252,081**	**16,874,556**	**16,522,081**	**17,144,556**
	BUDGET BY PROGRAM						
	Management Services Division						
	Permanent Full-Time Positions GF	49	49	49	49	49	49
	General Fund						
	Personal Services	2,435,495	2,276,501	2,380,879	2,478,379	2,650,879	2,748,379
	Other Expenses	183,880	212,190	188,687	188,687	188,687	188,687
	Equipment	85	0	0	5,000	0	5,000
023	State Employees Retirement Data Base	978,388	0	617,500	617,500	617,500	617,500
029	Financial Management Information Systems	375,733	650,000	0	0	0	0
	Total - General Fund	**3,973,581**	**3,138,691**	**3,187,066**	**3,289,566**	**3,457,066**	**3,559,566**
	Budget and Financial Analysis Division						
	Permanent Full-Time Positions GF	32	32	32	32	32	32
	General Fund						
	Personal Services	1,423,932	1,768,605	1,769,033	1,865,746	1,769,033	1,865,746
	Other Expenses	80,172	85,575	85,575	85,575	85,575	85,575
	Grant Payments - Other Than Towns						
	Governmental Accounting Standards Board	18,590	19,570	19,570	19,570	19,570	19,570
	Total - General Fund	**1,522,694**	**1,873,750**	**1,874,178**	**1,970,891**	**1,874,178**	**1,970,891**
	Computer Services Division						
	Permanent Full-Time Positions GF	40	40	40	40	40	40
	General Fund						
	Personal Services	1,097,768	1,899,748	2,048,354	2,116,369	2,048,354	2,116,369
	Other Expenses	1,122,138	1,361,200	990,609	990,609	990,609	990,609
	Equipment	26,416	0	0	0	0	0
	Total - General Fund	**2,246,322**	**3,260,948**	**3,038,963**	**3,106,978**	**3,038,963**	**3,106,978**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Employee Benefit Group						
	Permanent Full-Time Positions GF	16	16	16	16	16	16
	General Fund						
	Personal Services	766,097	743,542	798,060	835,409	798,060	835,409
	Other Expenses	36,247	45,546	45,546	45,546	45,546	45,546
	Equipment	3,499	0	0	0	0	0
	Total - General Fund	**805,843**	**789,088**	**843,606**	**880,955**	**843,606**	**880,955**
	Additional Funds Available						
	Special Funds, Non-Appropriated	632,769	700,000	700,000	700,000	700,000	700,000
	Total - All Funds	**1,438,612**	**1,489,088**	**1,543,606**	**1,580,955**	**1,543,606**	**1,580,955**
	Payroll Services Division						
	Permanent Full-Time Positions GF	26	26	26	26	26	26
	General Fund						
	Personal Services	933,473	1,122,715	1,130,585	1,183,496	1,130,585	1,183,496
	Other Expenses	156,026	198,550	168,550	168,550	168,550	168,550
	Total - General Fund	**1,089,499**	**1,321,265**	**1,299,135**	**1,352,046**	**1,299,135**	**1,352,046**
	Retirement and Benefit Services Division						
	Permanent Full-Time Positions GF/OF	94/9	94/9	94/9	94/9	94/9	94/9
	General Fund						
	Personal Services	3,207,596	3,775,429	4,003,602	4,218,814	4,003,602	4,218,814
	Other Expenses	332,856	352,850	352,850	352,850	352,850	352,850
015	Wellness Program	0	50,000	47,500	47,500	47,500	47,500
	Total - General Fund	**3,540,452**	**4,178,279**	**4,403,952**	**4,619,164**	**4,403,952**	**4,619,164**
	Accounts Payable Division						
	Permanent Full-Time Positions GF	30	30	30	30	30	30
	General Fund						
	Personal Services	965,805	1,129,948	1,170,489	1,225,264	1,170,489	1,225,264
	Other Expenses	432,921	449,675	439,675	439,675	439,675	439,675
	Equipment	0	0	5,000	0	5,000	0
	Total - General Fund	**1,398,726**	**1,579,623**	**1,615,164**	**1,664,939**	**1,615,164**	**1,664,939**
	Less: Turnover - Personal Services - GF	0	0	-709,983	-709,983	-709,983	-709,983
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
602	Governmental Accounting Standards Board	18,590	19,570	19,570	19,570	19,570	19,570
	EQUIPMENT						
005	Equipment	30,000	0	5,000	5,000	5,000	5,000
	Agency Grand Total	**15,209,886**	**16,841,644**	**16,252,081**	**16,874,556**	**16,522,081**	**17,144,556**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**287**	**16,146,644**	**287**	**16,146,644**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	459,514	0	1,081,989	0	0	0	0
Other Expenses	0	76,913	0	134,433	0	0	0	0
Equipment	0	65,000	0	0	0	0	0	0
Total - General Fund	**0**	**601,427**	**0**	**1,216,422**	**0**	**0**	**0**	**0**
Fund Equipment Items from the CEPF - (B)								
The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least 3 years. It is financed through the sale of bonds and is administered by the Office of Policy and Management.								
-(G) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds).								
-(L)Same as Governor								
Equipment	0	-65,000	0	0	0	0	0	0
Total - General Fund	**0**	**-65,000**	**0**	**0**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Remove Funding For Inflation - (B)								
-(G) Funding for inflation is removed to effect economies.								
-(L)Same as Governor								
Other Expenses	0	-76,913	0	-134,433	0	0	0	0
Total - General Fund	**0**	**-76,913**	**0**	**-134,433**	**0**	**0**	**0**	**0**
Remove Funding For Overall Budget Reduction - (B)								
-(G) Funding is removed to reflect an overall budget reduction.								
-(L) Funding is removed to reflect an overall budget reduction. In the Personal Services account $270,000 less was removed than in the Governor's Recommended Budget.								
Personal Services	0	-314,983	0	-314,983	0	270,000	0	270,000
Other Expenses	0	-136,508	0	-136,508	0	0	0	0
Wellness Program	0	-2,500	0	-2,500	0	0	0	0
State Employees Retirement Data Base	0	-32,500	0	-32,500	0	0	0	0
Total - General Fund	**0**	**-486,491**	**0**	**-486,491**	**0**	**270,000**	**0**	**270,000**
Reduce Funding Due to State Data Center Rate Reductions - (B)								
Many state agencies obtain computer services to support their business functions from the State Data Center, operated by the Department of Administrative Services through the Technical Services Revolving Fund.								
-(G) Due to a reorganization of the operation of the State Data Center, there is a significant reduction in the rates for services charged to state agencies (CATER and BOSS).								
-(L)Same as Governor								
Other Expenses	0	-297,586	0	-297,586	0	0	0	0
Total - General Fund	**0**	**-297,586**	**0**	**-297,586**	**0**	**0**	**0**	**0**
Budget Totals - GF	**287**	**15,822,081**	**287**	**16,444,556**	**0**	**270,000**	**0**	**270,000**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Value of reimbursements ($M)	410	325	380	385	380	385	380	385
Appropriation/Project accounts maintained	8,100	7,800	7,800	7,800	7,800	7,800	7,800	7,800
Funds	201	205	205	205	205	205	205	205
Mainframe computer availability (% hours)	99.9	99.8	99.8	99.8	99.8	99.8	99.8	99.8
Local Area Network availability (% hours)	98.5	98	98	98	98	98	98	98
Jobs processed daily	932	675	695	720	695	720	695	720
Installed personal computers	240	265	270	275	270	275	270	275
Auditors Reports analyzed/reviewed	66/48	70/50	70/50	70/50	70/50	70/50	70/50	70/50
Value of Reimbursements ($M)	253	295	304	314	304	314	304	314
Employee records processed	18,000	18,000	18,000	17,500	18,000	17,500	18,000	17,500
Payroll and deduction checks written (M)	1.4	1.4	1.4	1.4	1.4	1.4	1.4	1.4
Active/inactive persons in system (000)	56	56	56	56	56	56	56	56
Retirees receiving benefits (000)	28	28	28.5	29	28.5	29	28.5	29
Counseled employees as % of retirees (%)	90	90	90	90	90	90	90	90
Individual plan changes or purchases processed (000)	2.3	2.3	2.3	2.3	2.3	2.3	2.3	2.3
Claims Processed (M)	0.648	0.598	0.566	0.572	0.566	0.572	0.566	0.572
Vendor Payments Made ($M)	6,475	6,216	6,340	6,530	6,340	6,530	6,340	6,530

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $1,396,355 in Personal Services from the early retirement incentive program, and $128,610 in Personal Services and $107,896 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $127,208 for FY '98.

[2] Section 30 of SA 97-21, the State Budget for Biennium ending 6/30/99, permits the State Comptroller to carry forward $900,000 for the state employees retirement database and the payroll, time and attendance system.

Department of Revenue Services
1203

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	895	899	865	865	871	871
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services[1]	37,063,222	38,888,560	40,197,548	42,445,736	40,822,548	43,070,736
002	Other Expenses[1]	10,365,835	10,611,675	9,002,290	8,952,290	9,631,290	9,581,290
005	Equipment	0	0	1,100	1,100	1,100	1,100
02X	Other Current Expenses	1,107,873	187,500	187,500	187,500	187,500	187,500
	Agency Total - General Fund	**48,536,930**	**49,687,735**	**49,388,438**	**51,586,626**	**50,642,438**	**52,840,626**
	Agency Total - Appropriated Funds	**48,536,930**	**49,687,735**	**49,388,438**	**51,586,626**	**50,642,438**	**52,840,626**
	Additional Funds Available						
	Special Funds, Non-Appropriated	201,195	0	0	0	0	0
	Federal Contributions	135,305	142,810	90,180	0	90,180	0
	Agency Grand Total	**48,873,430**	**49,830,545**	**49,478,618**	**51,586,626**	**50,732,618**	**52,840,626**
	BUDGET BY PROGRAM						
	Management Services						
	Permanent Full-Time Positions GF	116	119	104	104	104	104
	General Fund						
	Personal Services	5,368,741	5,559,433	5,492,850	5,798,855	5,492,850	5,798,855
	Other Expenses	2,847,477	2,914,840	2,949,116	2,949,116	2,949,116	2,949,116
	Equipment	0	0	1,100	0	1,100	0
013	Tax Amnesty Program	892,177	0	0	0	0	0
	Total - General Fund	**9,108,395**	**8,474,273**	**8,443,066**	**8,747,971**	**8,443,066**	**8,747,971**
	Federal Contributions						
	Istea Sec. 4008 Uniformity Grant	2,741	2,362	2,022	0	2,022	0
	Additional Funds Available						
	Special Funds, Non-Appropriated	198,686	0	0	0	0	0
	Total - All Funds	**9,309,822**	**8,476,635**	**8,445,088**	**8,747,971**	**8,445,088**	**8,747,971**
	Operations						
	Permanent Full-Time Positions GF	310	317	299	299	299	299
	General Fund						
	Personal Services	11,635,062	12,285,586	12,104,224	12,774,224	12,475,224	13,145,224
	Other Expenses	5,663,020	5,819,639	4,278,411	4,228,411	4,907,411	4,857,411
013	Tax Amnesty Program	86,519	0	0	0	0	0
	Total - General Fund	**17,384,601**	**18,105,225**	**16,382,635**	**17,002,635**	**17,382,635**	**18,002,635**
	Federal Contributions						
	Istea Sec. 4008 Uniformity Grant	24,342	20,053	17,163	0	17,163	0
	Additional Funds Available						
	Special Funds, Non-Appropriated	2,509	0	0	0	0	0
	Total - All Funds	**17,411,452**	**18,125,278**	**16,399,798**	**17,002,635**	**17,399,798**	**18,002,635**
	Audit						
	Permanent Full-Time Positions GF	330	324	327	327	330	330
	General Fund						
	Personal Services	14,459,582	15,000,536	17,026,870	17,876,295	17,138,870	17,988,295
	Other Expenses	1,366,265	1,389,283	1,297,487	1,297,487	1,297,487	1,297,487
	Equipment	0	0	0	1,100	0	1,100
013	Tax Amnesty Program	16,945	0	0	0	0	0
	Total - General Fund	**15,842,792**	**16,389,819**	**18,324,357**	**19,174,882**	**18,436,357**	**19,286,882**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Federal Contributions						
	Highway Use Tax Evasion Grant	63,994	62,285	23,044	0	23,044	0
	Istea Sec. 4008 Uniformity Grant	43,369	48,450	41,466	0	41,466	0
	Total - Federal Contributions	**107,363**	**110,735**	**64,510**	**0**	**64,510**	**0**
	Total - All Funds	**15,950,155**	**16,500,554**	**18,388,867**	**19,174,882**	**18,500,867**	**19,286,882**
	Collection and Enforcement						
	Permanent Full-Time Positions GF	106	111	106	106	109	109
	General Fund						
	Personal Services	4,620,554	4,707,276	5,188,013	5,485,700	5,330,013	5,627,700
	Other Expenses	419,285	419,382	402,716	402,716	402,716	402,716
012	Collection and Litigation Contingency Fund	107,873	187,500	187,500	187,500	187,500	187,500
013	Tax Amnesty Program	4,359	0	0	0	0	0
	Total - General Fund	**5,152,071**	**5,314,158**	**5,778,229**	**6,075,916**	**5,920,229**	**6,217,916**
	Federal Contributions						
	Highway Use Tax Evasion Grant	859	3,670	1,358	0	1,358	0
	Istea Sec. 4008 Uniformity Grant	0	5,990	5,127	0	5,127	0
	Total - Federal Contributions	**859**	**9,660**	**6,485**	**0**	**6,485**	**0**
	Total - All Funds	**5,152,930**	**5,323,818**	**5,784,714**	**6,075,916**	**5,926,714**	**6,217,916**
	Inheritance						
	Permanent Full-Time Positions GF	33	28	29	29	29	29
	General Fund						
	Personal Services	979,283	1,335,729	1,313,593	1,438,664	1,313,593	1,438,664
	Other Expenses	69,788	68,531	74,560	74,560	74,560	74,560
	Total - General Fund	**1,049,071**	**1,404,260**	**1,388,153**	**1,513,224**	**1,388,153**	**1,513,224**
	Personal Services Savings						
	General Fund						
	Personal Services	0	0	-78,002	-78,002	-78,002	-78,002
	Less: Turnover - Personal Services - GF	0	0	-850,000	-850,000	-850,000	-850,000
	EQUIPMENT						
005	Equipment	0	0	1,100	1,100	1,100	1,100
	Agency Grand Total	**48,873,430**	**49,830,545**	**49,478,618**	**51,586,626**	**50,732,618**	**52,840,626**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**899**	**51,532,709**	**899**	**51,532,709**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	2,127,968	0	4,376,156	0	0	0	0
Equipment	0	263,445	0	262,600	0	0	0	0
Total - General Fund	**0**	**2,391,413**	**0**	**4,638,756**	**0**	**0**	**0**	**0**
Reorganize and Revise Work Procedures - (B)								
-(G) Eliminate vacancies not critical to programs and revise agency work procedures.								
-(L)Same as Governor								
Personal Services	-34	-1,412,658	-34	-1,412,658	0	0	0	0
Other Expenses	0	-823,242	0	-873,242	0	0	0	0
Total - General Fund	**-34**	**-2,235,900**	**-34**	**-2,285,900**	**0**	**0**	**0**	**0**
Reduce Agency Personal Services - (B)								
-(G) Additional reductions.								
-(L)Same as Governor								
Personal Services	0	-78,002	0	-78,002	0	0	0	0
Total - General Fund	**0**	**-78,002**	**0**	**-78,002**	**0**	**0**	**0**	**0**

Transfer the Divisions Equipment Purchases to the Capital Equipment Purchase Fund (CEPF) - (B)
The CEPF is authorized by CGS 4a-9 and is financed through the sale of bonds.
-(G) The Division's equipment needs are to be funded through the CEPF in both FY 98 and FY 99.

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L)Same as Governor								
Equipment	0	-262,345	0	-261,500	0	0	0	0
Total - General Fund	**0**	**-262,345**	**0**	**-261,500**	**0**	**0**	**0**	**0**
Reduce Funding Due to State Data Center Rate Reductions - (B)								
Many state agencies obtain computer services to support their business functions from the State Data Center, operated by the Department of Administrative Services through the Technical Services Revolving Fund.								
-(G) Due to a reorganization of the operation of the State Data Center, there is a significant reduction in the rates for services charged to state agencies (CATER and BOSS).								
-(L)Same as Governor								
Other Expenses	0	-1,104,666	0	-1,104,666	0	0	0	0
Total - General Fund	**0**	**-1,104,666**	**0**	**-1,104,666**	**0**	**0**	**0**	**0**
Automate Operations Program Functions - (B)								
-(G) Automate functions to eliminate temporary positions, overtime and outside vendors for data entry.								
-(L)Same as Governor								
Personal Services	0	-625,000	0	-625,000	0	0	0	0
Other Expenses	0	-375,000	0	-375,000	0	0	0	0
Total - General Fund	**0**	**-1,000,000**	**0**	**-1,000,000**	**0**	**0**	**0**	**0**
Implement Non-Resident and Nexus Investigation Project - (B)								
-(L) Project will concentrate on collecting state taxes due from non-residents and out-of-state businesses. It is anticipated that the project will generate $10 - $20 million in revenue.								
Personal Services	6	625,000	6	625,000	6	625,000	6	625,000
Other Expenses	0	629,000	0	629,000	0	629,000	0	629,000
Total - General Fund	**6**	**1,254,000**	**6**	**1,254,000**	**6**	**1,254,000**	**6**	**1,254,000**
Transfer from Special Revenue - (B)								
-(G) Transfer of funds from Special Revenue.								
-(L)Same as Governor								
Personal Services	0	145,229	0	145,229	0	0	0	0
Total - General Fund	**0**	**145,229**	**0**	**145,229**	**0**	**0**	**0**	**0**
Budget Totals - GF	**871**	**50,642,438**	**871**	**52,840,626**	**6**	**1,254,000**	**6**	**1,254,000**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Taxpayer Inquiries	329,000	329,000	329,000	329,000	329,000	329,000	329,000	329,000
Training programs/Individuals trained	82/4,109	71/2,300	75/2,530	78/2,780	75/2,530	78/2,780	75/2,530	78/2,780
Total Training Hours	28,672	20,700	22,770	25,020	22,770	25,020	22,770	25,020
Appeals Hearings	576	575	575	575	575	575	575	575
Taxpayer Appeals - Received/Resolved	812/928	900/925	925/925	925/925	925/925	925/925	925/925	925/925
Dollar resolved/yr ($000)	86,000	100,000	100,000	100,000	100,000	100,000	100,000	100,000
Percentage of cased resolved that do not require litigation (%)	96	94	95	95	95	95	95	95
Taxpayer rulings issued within 120 days of receipt of a sufficiently completed ruling request (%)	40	60	80	80	80	80	80	80
Rate of telephone inquiries (assistance only) to known taxpayer population (%)	16	16	16	16	16	16	16	16
Taxpayer letters response within 30 days (%)	89	89	85	85	85	85	85	85
Returns filed	19,745	20,000	20,000	20,000	20,000	20,000	20,000	20,000
Returns closed	17,770	15,993	14,394	10,700	14,394	10,700	14,394	10,700
Returns taxable	8,656	7,791	7,011	5,259	7,011	5,259	7,011	5,259
Returns determined non-taxable	11,089	12,198	13,417	16,771	13,417	16,771	13,417	16,771
Total refunds (#)	3,984	3,586	3,228	2,421	3,228	2,421	3,228	2,421
Total refunds ($000)	18,000	16,500	14,800	11,000	14,800	11,000	14,800	11,000

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Assessments issued on simple estates within six weeks of receipt of the succession tax return (%)	90	90	90	90	90	90	90	90
No Tax Letters "issued within one month of" the receipt of tax returns which do not generate a tax (%)	98	98	98	98	98	98	98	98
Consents to transfer "issued to tax-" payers w/n three weeks of the receipt of their request (%)	95	95	95	95	95	95	95	95
Revenue collected ($000)	136,134	110,000	112,000	114,000	112,000	114,000	112,000	114,000
Tax debtor contacts	204,304	190,000	200,000	205,000	200,000	205,000	200,000	205,000
Field inspections	48,268	25,000	30,000	30,000	30,000	30,000	30,000	30,000
New Registrants	1,624	1,200	1,300	1,400	1,300	1,400	1,300	1,400
Criminal investigations opened	538	600	650	700	650	700	650	700
Arrests	103	90	100	110	100	100	100	100
Tax Warrants	7,662	6,000	6,500	7,000	6,500	6,500	6,500	6,500
Permit Suspension Hearings	7,266	1,300	1,500	1,700	1,500	1,700	1,500	1,700
Percentage of overdue taxpayers to known taxpayer base (%)	3.85	3.5	3	2.8	3	2.8	3	2.8
Tax Returns processed/year (000)	5,300	5,500	5,600	5,700	5,600	5,700	5,600	5,700
Permits/decals issued (000)*	133	85	90	90	90	90	90	90
Refunds processed	805,000	815,000	820,000	820,000	820,000	820,000	820,000	820,000
Payments on billings	267,000	270,000	275,000	275,000	275,000	275,000	275,000	275,000
Amount of payments on billings ($000)	280,000	285,000	285,000	290,000	285,000	290,000	285,000	290,000
Documents Keyed and Verified (000)	1,424	1,435	1,440	1,445	1,440	1,445	1,440	1,445
Tax return errors resolved within quarterly filing cycle (%)	90	90	90	90	90	90	90	90
Tax refunds issued without interest expense (%)	99	99.1	99.2	99.3	99.2	99.3	99.2	99.3
New systems designed/implemented	5	5	6	6	6	6	6	6
Data Processing requests completed	1,153	1,290	1,444	1,601	1,444	1,601	1,444	1,601
Statistical reports produced	3,860	4,246	4,671	5,138	4,671	5,138	4,671	5,138
Compliance programs designed/implemented	5	5	5	5	5	5	5	5
Documents processed/yr (000)	4,350	4,350	4,350	4,350	4,350	4,350	4,350	4,350
Documents microfilmed/yr (000)	2,001	2,001	2,001	2,001	2,001	2,001	2,001	2,001
Total Audit Assessments ($000)	174,000	157,000	160,000	160,000	160,000	160,000	160,000	160,000
Field Audit Assessments ($000)	113,000	101,000	103,000	103,000	103,000	103,000	103,000	103,000
Office Audit Assessments ($000)	61,000	56,000	57,000	57,000	57,000	57,000	57,000	57,000
Field Audits Conducted (#)	5,006	4,035	4,200	4,200	4,200	4,200	4,200	4,200
Office Audit Cases Conducted (#)	88,000	81,400	82,000	82,000	82,000	82,000	82,000	82,000
Assessments per Field Audit ($)	22,570	25,030	24,520	24,520	24,520	24,520	24,520	24,520
Assessments per Office Audit ($)	693	687	695	695	695	695	695	695
Percent of Assessments Upheld (%)	N/A	70	70	70	70	70	70	70

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $2,433,920 in Personal Services from the early retirement incentive program, and $408,225 in Personal Services and $457,486 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $823,404 for FY '98.

Division of Special Revenue
1204

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	303	198	193	193	193	193
	Others Equated to Full-Time	16	16	16	16	16	16
	Additional Funds Available						
	Permanent Full-Time	34	34	34	34	34	34
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services[1]	10,067,464	7,167,135	8,012,534	8,435,752	8,012,534	8,435,752
002	Other Expenses[1]	23,375,188	3,635,161	1,954,470	1,954,470	2,206,962	2,206,962
005	Equipment	0	0	2,600	2,600	2,600	2,600
	Agency Total - General Fund	**33,442,652**	**10,802,296**	**9,969,604**	**10,392,822**	**10,222,096**	**10,645,314**
	Agency Total - Appropriated Funds	**33,442,652**	**10,802,296**	**9,969,604**	**10,392,822**	**10,222,096**	**10,645,314**
	Additional Funds Available						
	Special Funds, Non-Appropriated	107,949,536	4,046,500	4,037,591	4,042,358	4,037,591	4,042,358
	Private Contributions	1,030,394	1,751,461	1,870,561	1,950,922	1,870,561	1,950,922
	Agency Grand Total	**142,422,582**	**16,600,257**	**15,877,756**	**16,386,102**	**16,130,248**	**16,638,594**
	BUDGET BY PROGRAM						
	Lottery						
	Permanent Full-Time Positions GF	57	5	8	8	8	8
	General Fund						
	Personal Services	1,898,263	20,711	33,363	34,828	33,363	34,828
	Other Expenses	20,409,417	577,229	34,500	34,500	34,500	34,500
	Total - General Fund	**22,307,680**	**597,940**	**67,863**	**69,328**	**67,863**	**69,328**
	Additional Funds Available						
	Special Funds, Non-Appropriated	104,304,327	360,000	363,393	383,111	363,393	383,111
	Total - All Funds	**126,612,007**	**957,940**	**431,256**	**452,439**	**431,256**	**452,439**
	Off-Track Betting						
	Permanent Full-Time Positions GF	17	20	17	17	17	17
	General Fund						
	Personal Services	758,144	800,645	838,334	872,996	838,334	872,996
	Other Expenses	44,244	36,600	46,838	46,838	46,838	46,838
	Total - General Fund	**802,388**	**837,245**	**885,172**	**919,834**	**885,172**	**919,834**
	Additional Funds Available						
	Special Funds, Non-Appropriated	2,440,071	2,450,000	2,440,000	2,440,000	2,440,000	2,440,000
	Total - All Funds	**3,242,459**	**3,287,245**	**3,325,172**	**3,359,834**	**3,325,172**	**3,359,834**
	Gambling Regulation						
	Permanent Full-Time Positions GF/OF	42/19	45/19	44/19	44/19	44/19	44/19
	General Fund						
	Personal Services	1,467,323	1,707,880	1,861,270	1,970,979	1,861,270	1,970,979
	Other Expenses	752,882	805,727	139,114	139,114	491,606	491,606
	Total - General Fund	**2,220,205**	**2,513,607**	**2,000,384**	**2,110,093**	**2,352,876**	**2,462,585**
	Additional Funds Available						
	Special Funds, Non-Appropriated	1,089,532	950,000	920,000	900,000	920,000	900,000
	Private Contributions	569,760	957,358	993,389	1,036,228	993,389	1,036,228
	Total - Additional Funds Available	**1,659,292**	**1,907,358**	**1,913,389**	**1,936,228**	**1,913,389**	**1,936,228**
	Total - All Funds	**3,879,497**	**4,420,965**	**3,913,773**	**4,046,321**	**4,266,265**	**4,398,813**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Charitable Games						
	Permanent Full-Time Positions GF	19	20	19	19	19	19
	General Fund						
	Personal Services	586,530	640,674	687,764	724,791	687,764	724,791
	Other Expenses	304,500	412,655	368,238	368,238	368,238	368,238
	Total - General Fund	**891,030**	**1,053,329**	**1,056,002**	**1,093,029**	**1,056,002**	**1,093,029**
	Additional Funds Available						
	Special Funds, Non-Appropriated	22,508	24,000	24,000	24,000	24,000	24,000
	Total - All Funds	**913,538**	**1,077,329**	**1,080,002**	**1,117,029**	**1,080,002**	**1,117,029**
	Management Services						
	Permanent Full-Time Positions GF/OF	168/15	108/15	105/15	105/15	105/15	105/15
	General Fund						
	Personal Services	5,357,204	3,997,225	4,980,552	5,227,466	4,980,552	5,227,466
	Other Expenses	1,864,145	1,802,950	1,365,780	1,365,780	1,265,780	1,265,780
	Equipment	0	0	2,600	2,600	2,600	2,600
	Total - General Fund	**7,221,349**	**5,800,175**	**6,348,932**	**6,595,846**	**6,248,932**	**6,495,846**
	Additional Funds Available						
	Special Funds, Non-Appropriated	93,098	262,500	290,198	295,247	290,198	295,247
	Private Contributions	460,634	794,103	877,172	914,694	877,172	914,694
	Total - Additional Funds Available	**553,732**	**1,056,603**	**1,167,370**	**1,209,941**	**1,167,370**	**1,209,941**
	Total - All Funds	**7,775,081**	**6,856,778**	**7,516,302**	**7,805,787**	**7,416,302**	**7,705,787**
	Personal Services Savings						
	General Fund						
	Personal Services	0	0	-263,384	-263,384	-263,384	-263,384
	Less: Turnover - Personal Services - GF	0	0	-125,365	-131,924	-125,365	-131,924
	EQUIPMENT						
005	Equipment	0	0	2,600	2,600	2,600	2,600
	Agency Grand Total	**142,422,582**	**16,600,257**	**15,877,756**	**16,386,102**	**16,130,248**	**16,638,594**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**198**	**12,177,209**	**198**	**12,177,209**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	172,852	0	601,355	0	0	0	0
Other Expenses	0	21,975	0	53,459	0	0	0	0
Equipment	0	52,800	0	52,800	0	0	0	0
Total - General Fund	**0**	**247,627**	**0**	**707,614**	**0**	**0**	**0**	**0**
Reduce Automobile Fleet for Off-Track Betting - (B)								
Cars are used for transportation to OTB sites.								
-(G) Reduce fleet size through use of personal cars.								
-(L)Same as Governor								
Other Expenses	0	-6,168	0	-6,306	0	0	0	0
Total - General Fund	**0**	**-6,168**	**0**	**-6,306**	**0**	**0**	**0**	**0**
Reduce Management Services Program Staffing and Overhead - (B)								
-(G) Eliminate 5 funded vacancies and reduce other costs in Management Services.								
-(L)Same as Governor								
Personal Services	-5	-158,328	-5	-163,613	0	0	0	0
Other Expenses	0	-290,584	0	-295,632	0	0	0	0
Total - General Fund	**-5**	**-448,912**	**-5**	**-459,245**	**0**	**0**	**0**	**0**
Reduce Cost of Plainfield Dog Track Urine Testing - (B)								
The samples are collected by the Division and analyzed by the Microchemistry Laboratory at the University of Connecticut.								
-(G) Transfer cost of Microchemistry Lab to Greyhound facilities.								
-(L) PA 97-277, "An Act Concerning Off-Track Betting", continues to fund testing through the Division and reduces the cost by limiting the number of samples that must be tested by the Microchemistry Laboratory to 16,000 per fiscal year.								
Other Expenses	0	-354,626	0	-380,924	0	352,492	0	352,492
Total - General Fund	**0**	**-354,626**	**0**	**-380,924**	**0**	**352,492**	**0**	**352,492**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Transfer the Divisions Equipment Purchase to the Capitol Equipment Purchase Fund (CEPF) - (B)								
-(G) The Divisions equipment needs are to be funded through the CEPF in both FY 98 and FY 99.								
-(L)Same as Governor								
Equipment	0	-50,200	0	-50,200	0	0	0	0
Total - General Fund	**0**	**-50,200**	**0**	**-50,200**	**0**	**0**	**0**	**0**
Reduce Funding Due to State Data Center Rate Reductions - (B)								
Many state agencies obtain computer services to support their business functions from the State Data Center, operated by the Department of Administrative Services through the Technical Services Revolving Fund.								
-(G) Due to a reorganization of the operation of the State Data Center, there is a significant reduction in the rates for services charged to state agencies (CATER and BOSS).								
-(L)Same as Governor								
Other Expenses	0	-27,949	0	-27,949	0	0	0	0
Total - General Fund	**0**	**-27,949**	**0**	**-27,949**	**0**	**0**	**0**	**0**
Reduce Agency Personal Services - (B)								
-(G) Additional reductions								
-(L) Additional reductions.								
Other Expenses	0	-363,384	0	-363,384	0	-100,000	0	-100,000
Total - General Fund	**0**	**-363,384**	**0**	**-363,384**	**0**	**-100,000**	**0**	**-100,000**
Remove FY 1996-97 One-Time Costs - (B)								
One-time costs are due to (1) Lottery Program costs from FY 1995-96 which were paid in FY 1996-97, (2) payment for five-year gaming study, and (3) reduction in other expenses for Management Services due to transfer of Lottery Program personnel to Connecticut Lottery Corporation.								
-(G) Remove costs.								
-(L)Same as Governor								
Other Expenses	0	-951,501	0	-951,501	0	0	0	0
Total - General Fund	**0**	**-951,501**	**0**	**-951,501**	**0**	**0**	**0**	**0**
Budget Totals - GF	**193**	**10,222,096**	**193**	**10,645,314**	**0**	**252,492**	**0**	**252,492**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Management Services General Fund Expense as a Ratio of Sales	.000811:1	.000626:1	.000518:1	.000485:1	.000518:1	.000485:1	.000518:1	.000485:1
Management Services G.F. Expense as a Percent of Total G.F. Expenditures (%)	21.6	59.6	60.1	59.5	60.1	59.5	60.1	59.5
Lottery Sales ($M)	706.9	777	923	1,045	880	958	880	958
Instant	296.1	0	0	0				
Lotto	139.5	0	0	0				
Cash Lotto	48.5	0	0	0				
(NEW) Powerball	41.5	0	0	0				
Daily/Play 4	181.3	0	0	0				
Lottery Revenue ($M)	262.1	243.9	290.8	324.7	281.1	309.2	281.1	309.2
Instant	86.8	0	0	0				
Lotto	59	0	0	0				
Cash Lotto	17.3	0	0	0				
(NEW) Powerball	17.4	0	0	0				
Daily/Play 4	81.6	0	0	0				
General Fund Revenue ($M)	6.6	6.6	6.6	6.6	6.6	6.6	6.6	6.6
State Share of Pari-mutuel Revenue ($M)	1.7	0.9	1	1	1	1	1	1
Total Revenue ($000)	1.7	1.8	1.8	1.8	1.8	1.8	1.8	1.8

OTHER SIGNIFICANT 1997 LEGISLATION AFFECTING THE AGENCY'S BUDGET

PA 97-277, "An Act Concerning Off-Track Betting" - The public act requires the Division to issue occupational licenses to people before they are employed by the Connecticut Lottery Corporation (CLC), and sets requirements for licensure. Persons or businesses awarded a primary contract by the CLC to provide facilities, components, or services necessary for CLC operations are required to have (1) an occupational license, or (2) a successfully completed state police background check.

PA 96-212, "An Act Establishing the Connecticut Lottery Corporation", allows the Division to be reimbursed for expenses incurred in the process of regulating the CLC. The public act also provides for a transfer of revenue from the CLC to the General Fund, net of expenses required to run the CLC. PA 97-277 will result in an administrative cost to the Division for the CLC licensure procedures, which will reduce the amount of revenue available for transfer to the General Fund.

PA 97-277, "An Act Concerning the Transfer of Ownership of Annuities for Payment of Lottery Prizes by the Division of Special Revenue to the Connecticut Lottery Corporation" - The public act assigns annuities purchased by the Division to pay lottery prizes, to the Connecticut Lottery Corporation (CLC). It makes the CLC responsible for paying lottery winners for prizes won before the CLC was created by PA 96-212, "An Act Establishing the Connecticut Lottery Corporation." The public act will increase administrative costs to the CLC, which will reduce the amount of revenue available for transfer to the General Fund.

---

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $532,976 in Personal Services from the early retirement incentive program, and $79,125 in Personal Services and $47,500 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $117,197 for FY '98.

State Insurance Purchasing Board
1220

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	2	2	2	2	2	2
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	87,806	90,842	96,081	101,189	96,081	101,189
002	Other Expenses[1]	6,750,533	7,366,173	7,140,173	7,140,173	7,140,173	7,140,173
005	Equipment	0	0	1,500	1,500	1,500	1,500
02X	Other Current Expenses	112,015	123,675	147,220	152,800	147,220	152,800
	Agency Total - General Fund[2][3]	**6,950,354**	**7,580,690**	**7,384,974**	**7,395,662**	**7,384,974**	**7,395,662**
	Special Transportation Fund						
002	Other Expenses	2,050,449	2,560,017	2,177,500	2,204,017	2,177,500	2,204,017
	Agency Total - Special Transportation Fund[4]	**2,050,449**	**2,560,017**	**2,177,500**	**2,204,017**	**2,177,500**	**2,204,017**
	Agency Total - Appropriated Funds	**9,000,803**	**10,140,707**	**9,562,474**	**9,599,679**	**9,562,474**	**9,599,679**
	Agency Grand Total	**9,000,803**	**10,140,707**	**9,562,474**	**9,599,679**	**9,562,474**	**9,599,679**
	BUDGET BY PROGRAM						
	Insurance on Properties & Surety Bonds						
	Permanent Full-Time Positions GF	2	2	2	2	2	2
	General Fund						
	Personal Services	87,806	90,842	96,081	101,189	96,081	101,189
	Other Expenses	6,750,533	7,366,173	7,140,173	7,140,173	7,140,173	7,140,173
	Equipment	0	0	1,500	1,500	1,500	1,500
021	Surety Bonds for State Officials and Employees	112,015	123,675	147,220	152,800	147,220	152,800
	Total - General Fund	**6,950,354**	**7,580,690**	**7,384,974**	**7,395,662**	**7,384,974**	**7,395,662**
	Special Transportation Fund						
	Other Expenses	2,050,449	2,560,017	2,177,500	2,204,017	2,177,500	2,204,017
	EQUIPMENT						
005	Equipment	0	0	1,500	1,500	1,500	1,500
	Agency Grand Total	**9,000,803**	**10,140,707**	**9,562,474**	**9,599,679**	**9,562,474**	**9,599,679**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**2**	**7,580,690**	**2**	**7,580,690**	**0**	**0**	**0**	**0**
FY97 Governor's Estimated Expenditure - TF	**0**	**2,560,017**	**0**	**2,560,017**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	5,239	0	10,347	0	0	0	0
Other Expenses	0	217,265	0	492,716	0	0	0	0
Equipment	0	1,500	0	1,500	0	0	0	0
Surety Bonds for State Officials and Employees	0	23,545	0	29,125	0	0	0	0
Total - General Fund	**0**	**247,549**	**0**	**533,688**	**0**	**0**	**0**	**0**
Other Expenses	0	-382,517	0	-356,000	0	0	0	0
Total - Special Transportation Fund	**0**	**-382,517**	**0**	**-356,000**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Reduce Other Expenses - (B)								
-(G) Funds, in the amount of $443,265, are recommended to be removed in FY 1997-98 to effect economy. In FY 1998-99, funds, in the amount of $275,451, are recommended to be removed to effect economy.								
-(L)Same as Governor								
Other Expenses	0	-443,265	0	-718,716	0	0	0	0
Total - General Fund	**0**	**-443,265**	**0**	**-718,716**	**0**	**0**	**0**	**0**
Budget Totals - GF	**2**	**7,384,974**	**2**	**7,395,662**	**0**	**0**	**0**	**0**
Budget Totals - TF	**0**	**2,177,500**	**0**	**2,204,017**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Motor Vehicle liability (000)	5,322	5,650	5,636	5,825	5,042	5,071	5,042	5,071
Fire, Extended Coverage (000)	1,077	1,200	1,250	1,325	1,200	1,200	1,200	1,200
Liability (000)	3,843	4,040	4,125	4,275	4,040	4,100	4,040	4,100
All Other (000)	1,014	2,055	1,207	1,269	1,207	1,269	1,207	1,269

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $339,158 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $1,316 for FY '98.

[2] The "Estimated 1996-97" column does not include the full additional (deficiency) appropriation as contained in SA 97-8, "An Act Making Deficiency Appropriations for the Fiscal Year Ending June 30, 1997", which included $960,000 in Other Expenses moneys. The 1996-97 estimated expenditure amount for Other Expenses should include an additional $734,000 for a total of $960,000.

[3] Under the provisions of PA 77-614, the State Insurance Purchasing Board has been assigned to the Department of Administrative Services for administrative purposes only, effective October 1, 1977.

[4] Under the provisions of PA 88-115, the Special Transportation Fund pays for the insurance costs attributable to its operations, effective July 1, 1988.

Gaming Policy Board
1290

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
002	Other Expenses	1,977	4,000	4,000	4,000	4,000	4,000
	Agency Total - General Fund	**1,977**	**4,000**	**4,000**	**4,000**	**4,000**	**4,000**
	Agency Total - Appropriated Funds	**1,977**	**4,000**	**4,000**	**4,000**	**4,000**	**4,000**
	Agency Grand Total	**1,977**	**4,000**	**4,000**	**4,000**	**4,000**	**4,000**
	BUDGET BY PROGRAM						
	Gaming Policy Board						
	General Fund						
	Other Expenses	1,977	4,000	4,000	4,000	4,000	4,000
	Agency Grand Total	**1,977**	**4,000**	**4,000**	**4,000**	**4,000**	**4,000**

BUDGET CHANGES

	Legislative 98		Legislative 99		Diff. from Gov. 97-98		Diff. from Gov. 98-99	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**4,000**	**0**	**4,000**	**0**	**0**	**0**	**0**
Budget Totals - GF	**0**	**4,000**	**0**	**4,000**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Revenues ($M)	420.8	456.1	533.4	588	515.5	562.8	8.0	8.0

Office of Policy and Management
1310

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	215	213	187	187	180	180
	Others Equated to Full-Time	8	7	7	7	7	7
	Additional Funds Available						
	Permanent Full-Time	12	27	12	12	12	12
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	11,139,241	12,163,329	11,682,737	12,353,067	11,033,039	11,655,904
002	Other Expenses	999,603	1,439,820	1,876,291	1,876,675	1,965,246	1,865,630
005	Equipment	0	608,928	15,000	8,000	15,000	8,000
02X	Other Current Expenses	5,353,468	2,169,285	6,612,712	6,114,792	16,872,274	16,114,792
6XX	Grant Payments - Other Than Towns	12,778,966	14,360,934	11,576,749	11,576,749	11,076,749	11,076,749
7XX	Grant Payments - To Towns	106,739,378	107,853,993	132,237,937	142,917,358	128,487,937	135,367,358
	Agency Total - General Fund[1][2]	**137,010,656**	**138,596,289**	**164,001,426**	**174,846,641**	**169,450,245**	**176,088,433**
	Agency Total - Appropriated Funds	**137,010,656**	**138,596,289**	**164,001,426**	**174,846,641**	**169,450,245**	**176,088,433**
	Additional Funds Available						
	Special Funds, Non-Appropriated	46,923	1,801,000	0	0	0	0
	Bond Funds	31,470,437	54,684,726	26,526,108	26,575,000	26,526,108	26,575,000
	Private Contributions	535,847	736,230	305,270	194,100	305,270	194,100
	Federal Contributions	16,941,331	20,472,293	11,998,976	9,680,855	11,998,976	9,680,855
	Agency Grand Total	**186,005,194**	**216,290,538**	**202,831,780**	**211,296,596**	**208,280,599**	**212,538,388**
	BUDGET BY PROGRAM						
	Office of Secretary & Management Support						
	Permanent Full-Time Positions GF	49	48	61	61	54	54
	General Fund						
	Personal Services	1,853,033	2,308,329	4,015,377	4,226,753	3,365,679	3,529,590
	Other Expenses	436,393	608,928	1,154,327	1,154,327	1,243,282	1,143,282
	Equipment	0	0	15,000	8,000	15,000	8,000
045	Private Providers	0	0	0	0	9,000,000	9,000,000
046	Contingency Reserve	0	0	0	0	259,562	0
	Total - General Fund	**2,289,426**	**2,917,257**	**5,184,704**	**5,389,080**	**13,883,523**	**13,680,872**
	Policy & Development, Coordination & Implementation						
	Permanent Full-Time Positions GF/OF	102/12	101/27	93/12	93/12	93/12	93/12
	General Fund						
	Personal Services	5,879,408	6,270,000	6,606,944	6,988,387	6,606,944	6,988,387
	Other Expenses	349,412	475,906	484,608	484,992	484,608	484,992
	Equipment	0	608,928	0	0	0	0
015	Automated Personnel System	52,674	0	0	0	0	0
016	Social Service Initiatives	854,196	0	0	0	0	0
023	Energy Conservation Program	43,773	2,836	0	0	0	0
024	Automated Budget System and Data Base Link	72,192	404,649	513,478	513,478	513,478	513,478
033	Leadership, Education, Athletics in Partnership (LEAP)	1,000,000	1,000,000	0	0	1,000,000	1,000,000
035	Juvenile Justice Centers	913,000	0	0	0	0	0
036	Children and Youth Program Development	2,014,852	744,000	750,000	750,000	750,000	750,000

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
037	Dynamic Tax Analysis Model	71,000	17,800	0	0	0	0
038	Cash Management Improvement Act	0	0	100	100	100	100
041	State Lottery Study	191,156	0	0	0	0	0
042	Safe Schools Coalition	75,000	0	0	0	0	0
043	Justice Assistance Grants	0	0	3,575,054	3,077,134	3,575,054	3,077,134
044	Neighborhood Youth Centers	0	0	1,774,080	1,774,080	1,774,080	1,774,080
	Grant Payments - Other Than Towns						
	Justice Assistance Grants	1,237,560	2,588,934	0	0	0	0
	Drug Enforcement Program	923,459	990,000	976,749	976,749	976,749	976,749
	Grant Payments - To Towns						
	Justice Assistance Grants	133,327	58,592	0	0	0	0
	Drug Enforcement Program	11,354,627	13,348,100	14,328,337	13,548,337	14,328,337	13,548,337
	Youth Centers	1,774,080	1,767,404	0	0	0	0
	Total - General Fund	**26,939,716**	**28,277,149**	**29,009,350**	**28,113,257**	**30,009,350**	**29,113,257**
	Federal Contributions						
	LEA- Dangerous Drugs - Lab Analy	124,579	124,579	124,579	124,580	124,579	124,580
	Juvenile Justice & Delinq Prevent	697,724	887,000	808,000	640,000	808,000	640,000
	Part E-State Challenge Activities	34,000	117,750	270,250	300,000	270,250	300,000
	Criminal Justice Statistics Dev.	69,687	44,732	50,000	0	50,000	0
	Natl Crime History Improvement	0	550,000	615,000	0	615,000	0
	Drug Control & System Imrpr Gt	3,594,129	13,070,644	5,819,958	5,434,276	5,819,958	5,434,276
	Violence Against Women Formula	514,353	732,511	410,250	410,250	410,250	410,250
	Justice Assistance Grants	75,848	75,845	75,848	75,849	75,848	75,849
	Highway Use Tax Evasion Tax	8,000	32,744	0	0	0	0
	Autos/Adaptive Equip-Disability	1,122,000	1,122,000	1,122,000	1,122,000	1,122,000	1,122,000
	State Energy Conservation	310,690	554,884	492,400	492,400	492,400	492,400
	Energy Conserv Institution Bldgs	53,979	10,521	0	0	0	0
	Fossil Energy Research & Devel	16,076	13,932	11,500	11,500	11,500	11,500
	Disaster Assistance	0	500,000	138,191	0	138,191	0
	Drug Free Schools	218,977	735,414	793,000	70,000	793,000	70,000
	Other Federal Assistance	111	0	0	0	0	0
	Oil Company Overcharge Recovery	1,400,508	1,209,000	1,268,000	1,000,000	1,268,000	1,000,000
	Total - Federal Contributions	**8,240,661**	**19,781,556**	**11,998,976**	**9,680,855**	**11,998,976**	**9,680,855**
	Additional Funds Available						
	Special Funds, Non-Appropriated	42,756	900,000	0	0	0	0
	Bond Funds	709,103	551,695	121,108	75,000	121,108	75,000
	Private Contributions	473,164	368,115	305,270	194,100	305,270	194,100
	Total - Additional Funds Available	**1,225,023**	**1,819,810**	**426,378**	**269,100**	**426,378**	**269,100**
	Total - All Funds	**36,405,400**	**49,878,515**	**41,434,704**	**38,063,212**	**42,434,704**	**39,063,212**
	Intergovernmental Relations						
	Permanent Full-Time Positions GF	38	38	33	33	33	33
	General Fund						
	Personal Services	1,763,202	1,835,000	1,712,473	1,815,185	1,712,473	1,815,185
	Other Expenses	155,562	238,842	237,356	237,356	237,356	237,356
014	Disaster Assistance 12/92 Storm	65,625	0	0	0	0	0
	Grant Payments - Other Than Towns						
	Regional Planning Agencies	600,000	600,000	600,000	600,000	600,000	600,000
	Tax Relief for Elderly Renters	10,017,947	10,182,000	10,000,000	10,000,000	9,500,000	9,500,000
	Grant Payments - To Towns						
	Reimbursement Property Tax - Disability Exemption	390,529	393,057	409,600	419,021	409,600	419,021
	Distressed Municipalities	2,506,909	2,340,721	3,200,000	3,850,000	3,200,000	3,850,000
	Property Tax Relief Elderly Circuit Breaker	22,666,312	22,601,581	29,000,000	33,500,000	25,250,000	27,500,000
	Property Tax Relief Elderly Freeze Program	8,489,667	7,164,074	7,200,000	6,000,000	7,200,000	6,000,000
	Property Tax Relief for Veterans	8,266,523	8,382,764	9,100,000	11,400,000	9,100,000	10,100,000
	P.I.L.O.T. - New Manufacturing Machinery and Equipment	50,357,425	51,797,700	69,000,000	74,200,000	69,000,000	73,950,000
	Special Olympics Security	300,000	0	0	0	0	0
	Special Olympics Town Aid	499,979	0	0	0	0	0
	Total - General Fund	**106,079,680**	**105,535,739**	**130,459,429**	**142,021,562**	**126,209,429**	**133,971,562**
	Federal Contributions						
	Disaster Assistance	8,700,670	690,737	0	0	0	0
	Additional Funds Available						
	Special Funds, Non-Appropriated	4,167	500	0	0	0	0
	Bond Funds	30,761,334	26,790,668	26,405,000	26,500,000	26,405,000	26,500,000
	Total - Additional Funds Available	**30,765,501**	**26,791,168**	**26,405,000**	**26,500,000**	**26,405,000**	**26,500,000**
	Total - All Funds	**145,545,851**	**133,017,644**	**156,864,429**	**168,521,562**	**152,614,429**	**160,471,562**
	Office of Information and Technology						
	Permanent Full-Time Positions GF	26	26	0	0	0	0
	General Fund						
	Personal Services	1,643,598	1,750,000	0	0	0	0
	Other Expenses	58,236	116,144	0	0	0	0
	Total - General Fund	**1,701,834**	**1,866,144**	**0**	**0**	**0**	**0**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Additional Funds Available						
	Private Contributions	62,683	0	0	0	0	0
	Total - All Funds	**1,764,517**	**1,866,144**	**0**	**0**	**0**	**0**
	Less: Turnover - Personal Services - GF	0	0	-652,057	-677,258	-652,057	-677,258
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
601	Regional Planning Agencies	600,000	600,000	600,000	600,000	600,000	600,000
602	Tax Relief for Elderly Renters	10,017,947	10,182,000	10,000,000	10,000,000	9,500,000	9,500,000
603	Justice Assistance Grants	1,237,560	2,588,934	0	0	0	0
606	Drug Enforcement Program	923,459	990,000	976,749	976,749	976,749	976,749
	GRANT PAYMENTS - TO TOWNS (Recap)						
703	Reimbursement Property Tax - Disability Exemption	390,529	393,057	409,600	419,021	409,600	419,021
704	Distressed Municipalities	2,506,909	2,340,721	3,200,000	3,850,000	3,200,000	3,850,000
705	Property Tax Relief Elderly Circuit Breaker	22,666,312	22,601,581	29,000,000	33,500,000	25,250,000	27,500,000
706	Property Tax Relief Elderly Freeze Program	8,489,667	7,164,074	7,200,000	6,000,000	7,200,000	6,000,000
707	Property Tax Relief for Veterans	8,266,523	8,382,764	9,100,000	11,400,000	9,100,000	10,100,000
708	Justice Assistance Grants	133,327	58,592	0	0	0	0
710	Drug Enforcement Program	11,354,627	13,348,100	14,328,337	13,548,337	14,328,337	13,548,337
711	P.I.L.O.T. - New Manufacturing Machinery and Equipment	50,357,425	51,797,700	69,000,000	74,200,000	69,000,000	73,950,000
712	Youth Centers	1,774,080	1,767,404	0	0	0	0
713	Special Olympics Security	300,000	0	0	0	0	0
714	Special Olympics Town Aid	499,979	0	0	0	0	0
	EQUIPMENT						
005	Equipment	0	608,928	15,000	8,000	15,000	8,000
	Agency Grand Total	**186,005,194**	**216,290,538**	**202,831,780**	**211,296,596**	**208,280,599**	**212,538,388**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**213**	**150,246,213**	**213**	**150,246,213**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	1,649,391	0	2,371,401	0	0	0	0
Other Expenses	0	34,532	0	68,432	0	0	0	0
Equipment	0	100,000	0	50,000	0	0	0	0
Grant Payments - Other Than Towns	0	1,144,871	0	806,651	0	0	0	0
Grant Payments To Towns	0	15,039,450	0	25,559,171	0	0	0	0
Total - General Fund	**0**	**17,968,244**	**0**	**28,855,655**	**0**	**0**	**0**	**0**
Reduce Funding to Streamline Agency - (B)								
-(G) Funding is reduced and eleven positions eliminated to effect economies.								
-(L) Funding for Personal Services and nine positions are restored.								
Personal Services	-11	-1,050,000	-11	-1,050,000	9	450,000	9	450,000
Total - General Fund	**-11**	**-1,050,000**	**-11**	**-1,050,000**	**9**	**450,000**	**9**	**450,000**
Reduce Funding for the Automated Budget System - (B)								
The Automated Budget System (ABS) was developed as an on-line program budget system for use by state agencies in budget preparation and management.								
-(G) Funding is reduced to reflect the savings produced by using a Windows based platform.								
-(L)Same as Governor								
Automated Budget System and Data Base Link	0	-89,133	0	-89,133	0	0	0	0
Total - General Fund	**0**	**-89,133**	**0**	**-89,133**	**0**	**0**	**0**	**0**
Provide Funds For Old State House - (B)								
-(G) Funding is provided in OPM's Other Expenses account for Hartford's Old State House. These funds are to be used for administrative costs.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L)Same as Governor's								
Other Expenses	0	200,000	0	200,000	0	0	0	0
Total - General Fund	**0**	**200,000**	**0**	**200,000**	**0**	**0**	**0**	**0**
Consider Transfer of Office of Labor Relations to OPM - (B)								
The Office of Labor Relations (OLR) is in the Department of Administrative Services (DAS) and is responsible for negotiating 12 labor-management contracts covering approximately 70% of the state work force.								
-(G) The funding and positions associated with the Office of Labor Relations are transferred to OPM to consolidate the collective bargaining area.								
-(L) The legislation necessary to transfer OLR was not submitted and Section 49 of PA 97-11, June 18, Special Session amended SA 97-21, the Appropriations Act, by transferring the funds and positions back to DAS. Therefore, the Office of Labor Relations is to remain in the Department of Administrative Services.								
Personal Services	0	0	0	0	-16	-1,099,698	-16	-1,147,163
Other Expenses	0	0	0	0	0	-361,045	0	-361,045
Total - General Fund	**0**	**0**	**0**	**0**	**-16**	**-1,460,743**	**-16**	**-1,508,208**
Eliminate Leadership Education Athletics in Partnership Program (LEAP) - (B)								
The LEAP program uses college students as teachers and counselors for the educational and recreational enrichment of underprivileged children. (Prevention Program)								
-(G) The funding for the LEAP program is eliminated.								
-(L) The funding for the LEAP program is restored.								
Leadership, Education, Athletics in Partnership (LEAP)	0	0	0	0	0	1,000,000	0	1,000,000
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**1,000,000**	**0**	**1,000,000**
Reduce Funding for Elderly Renters Tax Relief Program - (B)								
The Elderly Renters Program provides a subsidy based on eligible applicants' income and expenses in accordance with C.G.S. Section 12-170d.								
-(G) Funds are reduced to reflect the elimination of individuals living in subsidized housing from the program. This is anticipated to impact 5,400 participants. Legislation is necessary to implement the change in eligibility.								
-(L) Funding is reduced to more accurately reflect program requirements. However, the legislation necessary to implement the elimination of renters in subsidized units was not adopted. Funding for these applicants was not restored and a deficit in this account is anticipated.								
Tax Relief for Elderly Renters	0	-1,764,000	0	-1,764,000	0	-500,000	0	-500,000
Total - General Fund	**0**	**-1,764,000**	**0**	**-1,764,000**	**0**	**-500,000**	**0**	**-500,000**
Reduce Funding Due to State Data Center Rate Reductions - (B)								
Many state agencies obtain computer services to support their business functions from the State Data Center, operated by the Department of Administrative Services through the Technical Services Revolving Fund.								
-(G) Due to a reorganization of the operation of the State Data Center, there is a significant reduction in the rates for services charged to state agencies (CATER and BOSS).								
-(L)Same as Governor								
Other Expenses	0	-21,308	0	-21,308	0	0	0	0
Total - General Fund	**0**	**-21,308**	**0**	**-21,308**	**0**	**0**	**0**	**0**
Transfer Long-Term Care Database from Health Department - (B)								
The Long-Term Care Database contains records since 1977 for each admission, discharge and annual census for residents of all nursing homes in Connecticut. This database is used by the Connecticut Partnership for Long-Term Care (CPLTC), a public/private initiative which develops long-term care insurance policies for determining length of stay and assisting insurers in setting premiums. The Long-Term Care								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
staff also provides research and policy analysis support to the CPLTC and are responsible for comparing the nursing home use of those individuals with and without long-term care insurance. -(G) Funding and the two positions associated with the Long-Term Care Database are transferred to OPM. Equipment is to be provided through the Capital Equipment Purchase Fund. -(L)Same as Governor								
Personal Services	2	82,552	2	85,902	0	0	0	0
Other Expenses	0	12,806	0	13,190	0	0	0	0
Equipment	0	-85,000	0	-42,000	0	0	0	0
Total - General Fund	**2**	**10,358**	**2**	**57,092**	**0**	**0**	**0**	**0**
Transfer the Office of Information and Technology (OIT) to the newly created Department of Information Technology - (B) A study of the administration of the state's use of computers and information technology conducted by KMPG Peat Marwick recommends that the state consolidate and privatize much of its computer operations and administration. -(G) Funding and 24 positions associated with OIT are transferred to establish the separate state agency of the Department of Information Technology. In the second year of the biennium, a newly-established Small Agency IT Initiative program is transferred from OPM to this new agency. It should be noted that the OPM program was funded at $2,785,500 for FY '99, but only $1,000,000 was provided. PA 97-9, JSS, "An Act Concerning the Management of State Agency Information and Telecommunication Systems" establishes this new agency. -(L)Same as Governor							
Personal Services	-24	-1,812,233	-24	-1,914,728	0	0	0	0
Other Expenses	0	-116,072	0	-116,072	0	0	0	0
Total - General Fund	**-24**	**-1,928,305**	**-24**	**-2,030,800**	**0**	**0**	**0**	**0**
Reallocate Justice Assistance Grants - (B) The Justice Assistance Grants are used to leverage approximately by $3 in federal funds for every $1 in state funds. -(G) Funding for Justice Assistance matching funds is being reallocated from two grant accounts to an Other Current Expense account to increase administrative efficiencies. -(L)Same as Governor								
Justice Assistance Grants	0	3,575,054	0	3,077,134	0	0	0	0
Justice Assistance Grants	0	-2,653,054	0	-2,314,834	0	0	0	0
Justice Assistance Grants	0	-922,000	0	-762,300	0	0	0	0
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**
Reallocate Youth Centers - (B) (Prevention Program) -(G) Funding for Youth Centers is reallocated from a grant account to an Other Current Expenses account to increase administrative efficiencies. -(L)Same as Governor								
Neighborhood Youth Centers	0	1,774,080	0	1,774,080	0	0	0	0
Youth Centers	0	-1,774,080	0	-1,774,080	0	0	0	0
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**
Eliminate Energy Conservation Loan Program Account - (B) This account is used to provide state matching and administration funds for a federal program. -(G) Funds are eliminated for the Energy Conservation Loan Program due to the discontinuation of the federal energy conservation program. -(L)Same as Governor								
Energy Conservation Program	0	-46,854	0	-46,854	0	0	0	0
Total - General Fund	**0**	**-46,854**	**0**	**-46,854**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Eliminate Funding for Inflation - (B) -(G) Funding for inflation is eliminated to effect economies. -(L)Same as Governor								
Other Expenses	0	-34,532	0	-68,432	0	0	0	0
Total - General Fund	**0**	**-34,532**	**0**	**-68,432**	**0**	**0**	**0**	**0**
Adjust Funding for Property Tax Relief for Elderly Homeowners-Circuit Breaker - (B) This grant program provides property tax relief to income eligible elderly homeowners who are 65 or older. An elderly homeowner will receive a tax credit against their real property tax in accordance with F.G.S. 12-170aa. The amount of the credit varies inversely with income. -(L) This account is reduced to more accurately reflect the level necessary to fully fund the benefits to eligible homeowners.								
Property Tax Relief Elderly Circuit Breaker	0	-3,750,000	0	-6,000,000	0	-3,750,000	0	-6,000,000
Total - General Fund	**0**	**-3,750,000**	**0**	**-6,000,000**	**0**	**-3,750,000**	**0**	**-6,000,000**
Adjust Funding for Additional Veterans Property Tax Relief Grant - (B) The State's Additional Veterans' Property Tax Exemption program (PA 85-573) provides an exemption equal to twice the current local exemption ($1,000) for veterans below certain income limits and veterans above that income limit receive an additional benefit equal to half the basic exemption. -(L) This account is reduced to more accurately reflect the level necessary to fully fund the benefits to veterans.								
Property Tax Relief for Veterans	0	0	0	-1,300,000	0	0	0	-1,300,000
Total - General Fund	**0**	**0**	**0**	**-1,300,000**	**0**	**0**	**0**	**-1,300,000**
Adjust Fund For PILOT - New Manufacturing Machinery and Equipment - (B) Payment is made pursuant to CGS Sections 12-81(72), 12-94b and 12-94c. Municipalities are fully reimbursed for their tax loss in the current fiscal year, due to the 100% property tax exemption for eligible manufacturing machinery and equipment. For example, FY 1996-97 PILOTs are based on the tax loss sustained in the 1996-97 fiscal year due to the exempt status of machinery and equipment on the 1995 grand list. In FY 1997-98 and 1998-99, PILOTs will be based upon property tax exemptions granted on the October 1, 1996 and October 1, 1997 grand lists, respectively. As payments are subject to state audit of exempt assessments, PILOTs remitted in any fiscal year may reflect adjustments to the previous year. -(L) Funding is decreased in FY '99 to more accurately reflect the program requirements.								
P.I.L.O.T. - New Manufacturing Machinery and Equipment	0	0	0	-250,000	0	0	0	-250,000
Total - General Fund	**0**	**0**	**0**	**-250,000**	**0**	**0**	**0**	**-250,000**
Provide Funding For Private Providers - (B) A private provider account is established within OPM to distribute funds to the Department of Mental Health and Addiction Services (DMHAS), Department of Mental Retardation (DMR), Department of Social Services (DSS), and the Department of Children and Families (DCF). -(L) A total of $9 million is provided in FY '98 and FY '99 for the purpose of enhancing wages for private providers who contract with the following agencies: the Department of Mental Retardation; the Department of Health and Addiction Services; and the Department of Children and Families. In addition, funds are appropriated for private providers for services at Intermediate Care Facilities for the Mentally Retarded which are funded through Medicaid in the Department of Social Services. These appropriated funds are to be distributed by the Office of Policy and Management, in consultation with the Office of Fiscal								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Analysis, to those agencies for supplemental payments to reimburse the costs of collective bargaining agreements entered into by private agencies and proportional wage increases for non-unionized private providers.								
Private Providers	0	9,000,000	0	9,000,000	0	9,000,000	0	9,000,000
Total - General Fund	**0**	**9,000,000**	**0**	**9,000,000**	**0**	**9,000,000**	**0**	**9,000,000**
Provide Funding for Asylum Hill Organizing Project - (B) -(L) Funding is provided for the Youth Development Project and Employment Network.								
Other Expenses	0	100,000	0	50,000	0	100,000	0	50,000
Total - General Fund	**0**	**100,000**	**0**	**50,000**	**0**	**100,000**	**0**	**50,000**
Provide Additional Funding for Other Expenses - (B) -(L) Additional funds are provided for Other Expenses which shall be used for projects at the discretion of the Secretary of OPM.								
Other Expenses	0	350,000	0	300,000	0	350,000	0	300,000
Total - General Fund	**0**	**350,000**	**0**	**300,000**	**0**	**350,000**	**0**	**300,000**
Provide Funding for Contingency Reserve Account - (B) -(L) Funds are provided for a contingency reserve account to meet unanticipated program needs. The original appropriation of $579,562 has been reduced by the following distributions: $200,000 to Department of Education; $70,000 to Legislative Management; $50,000 to State Library.								
Contingency Reserve	0	259,562	0	0	0	259,562	0	0
Total - General Fund	**0**	**259,562**	**0**	**0**	**0**	**259,562**	**0**	**0**
Budget Totals - GF	**180**	**169,450,245**	**180**	**176,088,433**	**-7**	**5,448,819**	**-7**	**1,241,792**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Agencies successfully implementing the Automated Budget System (ABS)	80	80	80	80	80	80	80	80
Tax Relief-Elderly and Disabled Renters	24,639	25,000	25,250	25,500	19,850	20,100	19,850	20,100
Average Payment ($)	407	440	446	452	504	498	504	498
Tax Relief-Elderly & Disabled Homeowners	48,100	51,000	55,000	60,000	55,000	60,000	55,000	60,000
Average credit ($)	471	510	527	558	527	558	527	558
Elderly Homeowners-Freeze Program	5,685	4,604	4,144	3,315	4,144	3,315	4,144	3,315
Average credit ($)	1,493	1,585	1,738	1,810	1,738	1,810	1,738	1,810
Real Estate Sales analyzed each year	59,711	60,000	60,000	60,000	60,000	60,000	60,000	60,000

OTHER SIGNIFICANT 1997 LEGISLATION AFFECTING THE AGENCY'S BUDGET

PA 97-11, June 18, Special Session, "An Act Concerning Computerized Information Sharing, the Mashantucket and Mohegan Fund, Early Retirement, School Construction, State Buildings, Nursing Home Loans, Executive and Legislative Councils and Commissions, and Appropriations for the Fiscal Years Ending June 30, 1997, 1998 and 1999, Spring and Well Water Collection, Project Concern, Notice Requirements for Psychiatric Admissions, the Tax on Net Direct Subscriber Charges of Health Care Centers, Elimination of Certain Wage Inequities, Sheriffs' Fees and Expenses of the Connecticut Siting Council" - This act transfers, in Sec. 12, $70,000 appropriated to OPM in SA 97-21, the Appropriations Act, for Contingency Reserve, to Legislative Management, for the Institute of Municipal Studies. It also transfers $50,000 from the same Contingency Reserve, to the State Library, for a Basic Cultural Resources Grant in Sec. 13. Additionally, Sec. 49 of this act transfers 24 positions and associated funding appropriated to OPM in SA 97-21 to the Department of Administrative Services (DAS). For fiscal year 1997-98, the following sums shall be transferred to DAS: Personal Services $1,099,698; for Other Expenses, $361,045. For fiscal year 1998-99, the transfers shall be: Personal Services, $1,147,163 and Other Expenses, $361,045.

PA 97-318, "An Act Concerning Education Grants" - This act transfers $200,000 appropriated for FY 1997-98 to OPM in SA 97-21, the Appropriations Act, for Contingency Reserve, to the Department of Education for the special education over-burden account.

1997 BOND AUTHORIZATIONS

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	2(b)(1)	-	Long-range capital planning and space utilization studies	-	2,000,000	-
SA 97-1 (J5 SS)	2(b)(2)	-	Purchase and/or upgrade of equipment for the century date change and related costs	-	15,000,000	-
SA 97-1 (J5 SS)	2(b)(3)	21(b)	Development of an offender based tracking system for use by state and local criminal justice agencies	11,150,000	3,825,000	3,000,000
SA 97-1 (J5 SS)	13(a)	-	Grants-in-aid to municipalities for development of a computer assisted mass appraisal system	2,545,000	313,000	-
PA 97-1 (J5 SS)	2(b)(6)(B)	2(b)(6)(B)	Grants-in-aid to municipalities, municipal entities or non-profit organizations for urban development projects including housing, economic development, transportation, environmental protection, public safety and social service projects and programs	170,300,000	50,000,000	50,000,000
PA 97-1 (J5 SS)	3(a)	3(a)	Capital Equipment Purchase Fund	114,500,000	16,200,000	10,800,000
PA 97-1 (J5 SS)	8	8	Grants-in-aid for local capital improvement projects (LOCIP)	290,000,000	30,000,000	30,000,000
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	179	-	Purchase of emission reduction credits (PA 94-2 MSS 17(a)(1))	1,000,000	(500,000)	-
SA 97-1 (J5 SS)	183	-	Assets management and related costs (SA 95-20 2(a)(1))	250,000	(250,000)	-
SA 97-1 (J5 SS)	199	-	Assets management and related costs (SA 95-20 22(a)(1))	250,000	(250,000)	-

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $1,304,308 in Personal Services from the early retirement incentive program, and $121,327 in Personal Services and $110,499 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $87,342 for FY '98.

[2] SA 97-21, the State Budget 1997-99, allows OPM to carry forward funds to FY 1998 in the following accounts: Social Services Initiatives, $37,000; Drug Enforcement Grant Program, $1,000,000; Justice Assistance Grants, $900,000; Automated Personnel System, $129,839; Children and Youth Development, $300,000.

Department of Veterans' Affairs
1312

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	433	423	423	423	423	423
	Others Equated to Full-Time	74	0	49	49	49	49
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	19,211,235	19,585,740	20,899,783	21,402,995	20,899,783	21,402,995
002	Other Expenses	5,616,993	5,175,549	5,677,477	5,573,364	5,677,477	5,573,364
005	Equipment	0	0	2,000	2,000	2,000	2,000
	Agency Total - General Fund[1]	**24,828,228**	**24,761,289**	**26,579,260**	**26,978,359**	**26,579,260**	**26,978,359**
	Soldiers, Sailors and Marines' Fund						
6XX	Grant Payments - Other Than Towns	229,869	253,000	270,000	290,000	270,000	290,000
	Agency Total - Soldiers, Sailors and Marines' Fund	**229,869**	**253,000**	**270,000**	**290,000**	**270,000**	**290,000**
	Agency Total - Appropriated Funds	**25,058,097**	**25,014,289**	**26,849,260**	**27,268,359**	**26,849,260**	**27,268,359**
	Additional Funds Available						
	Special Funds, Non-Appropriated	1,795	0	1,800	1,800	1,800	1,800
	Private Contributions	2,130,780	0	2,082,141	2,186,254	2,082,141	2,186,254
	Agency Grand Total	**27,190,672**	**25,014,289**	**28,933,201**	**29,456,413**	**28,933,201**	**29,456,413**
	BUDGET BY PROGRAM						
	Office of the Commissioner						
	Permanent Full-Time Positions GF	153	152	152	152	152	152
	General Fund						
	Personal Services	5,904,889	6,064,216	6,722,818	6,894,377	6,722,818	6,894,377
	Other Expenses	3,305,908	3,043,223	2,555,132	2,438,594	2,555,132	2,438,594
	Equipment	0	0	2,000	2,000	2,000	2,000
	Total - General Fund	**9,210,797**	**9,107,439**	**9,279,950**	**9,334,971**	**9,279,950**	**9,334,971**
	Soldiers, Sailors and Marines' Fund						
	Grant Payments - Other Than Towns						
	Burial Expenses	9,900	18,000	15,000	15,000	15,000	15,000
	Headstones	219,969	235,000	255,000	275,000	255,000	275,000
	Total - Soldiers, Sailors and Marines' Fund	**229,869**	**253,000**	**270,000**	**290,000**	**270,000**	**290,000**
	Additional Funds Available						
	Special Funds, Non-Appropriated	1,795	0	1,800	1,800	1,800	1,800
	Private Contributions	1,048,600	0	917,152	963,009	917,152	963,009
	Total - Additional Funds Available	**1,050,395**	**0**	**918,952**	**964,809**	**918,952**	**964,809**
	Total - All Funds	**10,491,061**	**9,360,439**	**10,468,902**	**10,589,780**	**10,468,902**	**10,589,780**
	Office of Advocacy and Assistance						
	Permanent Full-Time Positions GF	15	15	15	15	15	15
	General Fund						
	Personal Services	587,295	587,295	685,989	731,833	685,989	731,833
	Other Expenses	6,993	10,351	4,787	4,596	4,787	4,596
	Total - General Fund	**594,288**	**597,646**	**690,776**	**736,429**	**690,776**	**736,429**
	Additional Funds Available						
	Private Contributions	39,607	0	53,155	55,813	53,155	55,813
	Total - All Funds	**633,895**	**597,646**	**743,931**	**792,242**	**743,931**	**792,242**
	Veterans' Home and Hospital						
	Permanent Full-Time Positions GF	252	243	243	243	243	243
	General Fund						
	Personal Services	12,110,045	12,324,052	14,481,868	14,741,341	14,481,868	14,741,341
	Other Expenses	2,146,560	1,977,060	3,116,372	3,129,035	3,116,372	3,129,035
	Total - General Fund	**14,256,605**	**14,301,112**	**17,598,240**	**17,870,376**	**17,598,240**	**17,870,376**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Additional Funds Available						
	Private Contributions	853,738	0	966,566	1,014,901	966,566	1,014,901
	Total - All Funds	**15,110,343**	**14,301,112**	**18,564,806**	**18,885,277**	**18,564,806**	**18,885,277**
	Residential and Rehabilitative Srvs.						
	Permanent Full-Time Positions GF	13	13	13	13	13	13
	General Fund						
	Personal Services	609,006	610,177	784,190	810,526	784,190	810,526
	Other Expenses	157,532	144,915	1,186	1,139	1,186	1,139
	Total - General Fund	**766,538**	**755,092**	**785,376**	**811,665**	**785,376**	**811,665**
	Additional Funds Available						
	Private Contributions	188,835	0	145,268	152,531	145,268	152,531
	Total - All Funds	**955,373**	**755,092**	**930,644**	**964,196**	**930,644**	**964,196**
	Personal Services Savings						
	General Fund						
	Personal Services	0	0	-1,099,989	-1,099,989	-1,099,989	-1,099,989
	Less: Turnover - Personal Services - GF	0	0	-675,093	-675,093	-675,093	-675,093
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
604	Burial Expenses	9,900	18,000	15,000	15,000	15,000	15,000
605	Headstones	219,969	235,000	255,000	275,000	255,000	275,000
	EQUIPMENT						
005	Equipment	0	0	2,000	2,000	2,000	2,000
	Agency Grand Total	**27,190,672**	**25,014,289**	**28,933,201**	**29,456,413**	**28,933,201**	**29,456,413**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**423**	**25,409,511**	**423**	**25,409,511**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	1,660,540	0	2,163,752	0	0	0	0
Other Expenses	0	851,396	0	985,588	0	0	0	0
Equipment	0	756,275	0	633,118	0	0	0	0
Total - General Fund	**0**	**3,268,211**	**0**	**3,782,458**	**0**	**0**	**0**	**0**
Reduce Funding from the Personal Services Account - (B)								
-(G) An amount of $1,099,989 is recommended to be reduced from Personal Services to effect economy.								
-(L)Same as Governor								
Personal Services	0	-1,099,989	0	-1,099,989	0	0	0	0
Total - General Fund	**0**	**-1,099,989**	**0**	**-1,099,989**	**0**	**0**	**0**	**0**
Reduce Funding from Other Expenses - (B)								
-(G) An amount of $231,085 representing an inflationary increase is recommended to be reduced from Other Expenses in fiscal year 1997-98 and $469,390 in fiscal year 1998-99. There is also reduction of $13,113 in fiscal year 1997-98 for a reduction in technical services revolving fund rates.								
-(L)Same as Governor								
Other Expenses	0	-244,198	0	-482,503	0	0	0	0
Total - General Fund	**0**	**-244,198**	**0**	**-482,503**	**0**	**0**	**0**	**0**
Reduce Funding from Equipment - (B)								
-(G) An amount of $754,275 is recommended to be reduced from Equipment for the purpose of purchasing Equipment through the Capital Equipment Purchase Fund. An amount of $631,118 is recommended to be reduced in fiscal year 1998-99.								
-(L)Same as Governor								
Equipment	0	-754,275	0	-631,118	0	0	0	0
Total - General Fund	**0**	**-754,275**	**0**	**-631,118**	**0**	**0**	**0**	**0**
Budget Totals - GF	**423**	**26,579,260**	**423**	**26,978,359**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Veterans interviewed at health care facilities	1,340	1,500	1,500	1,500	1,500	1,500	1,500	1,500
Veterans' claims-Federal Benefits SSI, Veterans State Housing, Education	503	600	600	600	600	600	600	600
Percent of new claims for Aid and Attendance (Pension) awards (%)	5	5	5	5	5	5	5	5
Percent of new claims for House Bound (Pension) awards (%)	1	1	1	1	1	1	1	1
Percent of new claims for Nursing Home Applications	62.4	63	63	63	63	63	63	63
Patient Days in Hospital	81,188	78,840	78,840	78,840	78,840	78,840	78,840	78,840
Total Clinic Visits	9,222	9,300	9,300	9,300	9,300	9,300	9,300	9,300
Dental Treatments	4,901	5,200	5,200	5,200	5,200	5,200	5,200	5,200
Total X-Rays (Films)	5,644	5,800	5,800	5,800	5,800	5,800	5,800	5,800
Total Laboratory Analyses	121,039	132,000	132,000	132,000	132,000	132,000	132,000	132,000
(NEW) Cardiopulmonary Test & Treatments	33,937	33,500	33,500	33,500	33,500	33,500	33,500	33,500
(NEW) Social Work Units of Service	17,820	19,500	19,500	19,500	19,500	19,500	19,500	19,500
(NEW) Pharmacy Transactions - Total Medication Orders Processed	71,566	72,000	72,000	72,000	72,000	72,000	72,000	72,000
(NEW) Pharmacy Transactions - Total Prescriptions Dispensed	53,536	54,000	54,000	54,000	54,000	54,000	54,000	54,000
Domicile Occupancy Rate - Overall (%)	71	75	75	75	75	75	75	75
Veterans' Recovery Unit - Occupancy Rate (%)	87	90	90	90	90	90	90	90
Transitional Living Unit - Occupancy Rate (%)	55	60	70	70	70	70	70	70
Alternative Living Unit - Occupancy Rate (%)	98	98	98	98	98	98	98	98
Home Residence Participating in the VIP Program (If Eligible)(%)	90	90	90	90	90	90	90	90

1997 BOND AUTHORIZATIONS

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	2(c)	21(c)	Repairs and improvements to buildings and grounds	-	1,000,000	500,000
			1997 Language Changes			
SA 97-1 (J5 SS)	59	-	Long-range capital planning and space utilization studies AND FOR VARIOUS IMPROVEMENTS AND RENOVATIONS TO BUILDINGS AND GROUNDS (SA 88-77 2(d))	100,000	-	-
SA 97-1 (J5 SS)	100	-	[Long-range capital planning] RENOVATIONS AND IMPROVEMENTS TO BUILDINGS AND GROUNDS (SA 90-34 2(b))	25,000	-	-

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $1,787,142 in Personal Services from the early retirement incentive program, and $208,998 in Personal Services and $269,680 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $585,035 for FY '98.

Department of Administrative Services
1320

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	392	392	376	376	391	391
	Others Equated to Full-Time	48	45	44	44	44	44
	Additional Funds Available						
	Permanent Full-Time	300	303	121	121	121	121
	Others Equated to Full-Time	9	8	8	8	8	8
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	17,061,817	18,238,910	17,141,345	18,059,946	18,241,043	19,207,109
002	Other Expenses	4,096,768	3,417,256	3,095,455	3,096,441	3,456,500	3,457,486
005	Equipment	0	0	10,000	10,000	10,000	10,000
02X	Other Current Expenses	8,035,109	9,682,307	7,986,431	8,286,431	7,986,431	8,286,431
	Agency Total - General Fund[1][2][3]	**29,193,694**	**31,338,473**	**28,233,231**	**29,452,818**	**29,693,974**	**30,961,026**
	Agency Total - Appropriated Funds	**29,193,694**	**31,338,473**	**28,233,231**	**29,452,818**	**29,693,974**	**30,961,026**
	Additional Funds Available						
	Special Funds, Non-Appropriated[4]	94,331,689	81,427,105	18,598,369	18,127,696	18,598,369	18,127,696
	Private Contributions	162,939	162,939	162,939	162,939	162,939	162,939
	Federal Contributions	263,315	106,037	106,037	106,037	106,037	106,037
	Agency Grand Total	**123,951,637**	**113,034,554**	**47,100,576**	**47,849,490**	**48,561,319**	**49,357,698**
	BUDGET BY PROGRAM						
	Office of the Commissioner						
	Permanent Full-Time Positions GF	65	87	87	87	87	87
	General Fund						
	Personal Services	3,209,861	3,813,511	3,630,437	3,784,054	3,630,437	3,784,054
	Other Expenses	2,691,032	2,059,756	1,883,830	1,883,830	1,883,830	1,883,830
	Equipment	0	0	10,000	10,000	10,000	10,000
	Total - General Fund	**5,900,893**	**5,873,267**	**5,524,267**	**5,677,884**	**5,524,267**	**5,677,884**
	Additional Funds Available						
	Private Contributions	1,201	1,201	1,201	1,201	1,201	1,201
	Total - All Funds	**5,902,094**	**5,874,468**	**5,525,468**	**5,679,085**	**5,525,468**	**5,679,085**
	Human Resources						
	Permanent Full-Time Positions GF	111	93	78	78	93	93
	General Fund						
	Personal Services	5,946,142	5,537,579	5,595,808	5,871,025	6,695,506	7,018,188
	Other Expenses	522,880	409,500	331,835	331,835	692,880	692,880
017	Loss Control Risk Management	642,582	705,000	705,000	705,000	705,000	705,000
018	Employees' Review Board	8,420	59,000	14,000	14,000	14,000	14,000
022	Quality of Work-Life Fund	143,271	913,197	0	0	0	0
035	W. C. Administrator	5,188,109	5,097,000	5,219,328	5,419,328	5,219,328	5,419,328
037	Automated Personnel System	1,594,090	2,493,446	1,803,103	1,803,103	1,803,103	1,803,103
	Total - General Fund	**14,045,494**	**15,214,722**	**13,669,074**	**14,144,291**	**15,129,817**	**15,652,499**
	Federal Contributions						
	SSA Voc Rehab Program	48,500	47,085	47,085	47,085	47,085	47,085
	Additional Funds Available						
	Private Contributions	161,738	161,738	161,738	161,738	161,738	161,738
	Total - All Funds	**14,255,732**	**15,423,545**	**13,877,897**	**14,353,114**	**15,338,640**	**15,861,322**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Collection Services						
	Permanent Full-Time Positions GF	169	171	171	171	171	171
	General Fund						
	Personal Services	5,410,236	6,283,724	6,851,367	7,214,701	6,851,367	7,214,701
	Other Expenses	558,786	600,000	558,786	558,786	558,786	558,786
025	Refunds of Collections	38,950	45,000	45,000	45,000	45,000	45,000
036	Hospital Billing System	319,803	169,664	0	0	0	0
038	New Collections Projects	99,884	200,000	200,000	300,000	200,000	300,000
	Total - General Fund	**6,427,659**	**7,298,388**	**7,655,153**	**8,118,487**	**7,655,153**	**8,118,487**
	Federal Contributions						
	Infants/Families W/Disability	125,726	0	0	0	0	0
	Total - All Funds	**6,553,385**	**7,298,388**	**7,655,153**	**8,118,487**	**7,655,153**	**8,118,487**
	Business Services						
	Permanent Full-Time Positions GF/OF	46/118	40/121	40/121	40/121	40/121	40/121
	General Fund						
	Personal Services	2,405,063	2,507,659	2,621,727	2,748,160	2,621,727	2,748,160
	Other Expenses	324,070	348,000	321,004	321,990	321,004	321,990
	Total - General Fund	**2,729,133**	**2,855,659**	**2,942,731**	**3,070,150**	**2,942,731**	**3,070,150**
	Federal Contributions						
	USDA Nutrition	89,089	58,952	58,952	58,952	58,952	58,952
	Additional Funds Available						
	Special Funds, Non-Appropriated	35,726,362	25,761,942	18,598,369	18,127,696	18,598,369	18,127,696
	Total - All Funds	**38,544,584**	**28,676,553**	**21,600,052**	**21,256,798**	**21,600,052**	**21,256,798**
	Technical Services						
	Permanent Full-Time Positions GF/OF	1/182	1/182	0/0	0/0	0/0	0/0
	General Fund						
	Personal Services	90,515	96,437	0	0	0	0
	Additional Funds Available						
	Special Funds, Non-Appropriated	58,605,327	55,665,163	0	0	0	0
	Total - All Funds	**58,695,842**	**55,761,600**	**0**	**0**	**0**	**0**
	Personal Services Savings						
	General Fund						
	Personal Services	0	0	-965,384	-965,384	-965,384	-965,384
	Less: Turnover - Personal Services - GF	0	0	-592,610	-592,610	-592,610	-592,610
	EQUIPMENT						
005	Equipment	0	0	10,000	10,000	10,000	10,000
	Agency Grand Total	**123,951,637**	**113,034,554**	**47,100,576**	**47,849,490**	**48,561,319**	**49,357,698**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**392**	**30,881,219**	**392**	**30,881,219**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	937,516	0	1,961,173	0	0	0	0
Other Expenses	0	81,465	0	165,389	0	0	0	0
Equipment	0	150,000	0	150,000	0	0	0	0
W. C. Administrator	0	122,328	0	322,328	0	0	0	0
Automated Personnel System	0	6,554	0	6,554	0	0	0	0
Total - General Fund	**0**	**1,297,863**	**0**	**2,605,444**	**0**	**0**	**0**	**0**

Consider Transfer of Labor Relations Function to OPM - (B)
The Office of Labor Relations is responsible for negotiating 12 labor management contracts covering about 70% of the state's work force. It is responsible for administering agreements through the grievance and arbitration procedure and also develops and recommends adjustments to the executive and managerial pay plans.
-(G) Funding and 16 positions (15 full time) in the Labor Relations Unit are transferred to the Office of Policy and Management (OPM). Legislation is necessary to accomplish this transfer.
-(L) Since legislation to transfer the Labor Relations Unit was not submitted, the Unit remains within the Human Resources program of the department. Section 49 of PA 97-11, JSS, "An Act Concerning Computerized Information Sharing, the Mashantucket Pequot and Mohegan Fund, Early Retirement, School Construction, State Buildings, Nursing Homes,

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Executive and Legislative Councils, Commissions and Task Forces, Appropriations for the Fiscal Years Ending June 30, 1997, 1998 and 1999, Spring and Well Water Collection, Project Concern, Notice Requirements for Psychiatric Admissions, the Tax on Net Direct Subscriber Charges of Health Care Centers, Elimination of Certain Wage Inequities, Sheriffs' Fees and Expenses of the Connecticut Siting Council", amended SA 97-21, "An Act Concerning the State Budget for the Biennium Ending June 30, 1999, and Making Appropriations Therefor", by transferring the money and positions from OPM back to DAS.								
Personal Services	0	0	0	0	15	1,099,698	15	1,147,163
Other Expenses	0	0	0	0	0	361,045	0	361,045
Total - General Fund	**0**	**0**	**0**	**0**	**15**	**1,460,743**	**15**	**1,508,208**
Defer Development of Automated Personnel System - (B) The Automated Personnel System (APS) provides centralized State-wide personnel data. The system has been under development by the Office of Policy and Management (OPM) for several years. Project management and operational responsibilities were transferred to this agency in February, 1995. It should be noted that about $2,000,000 in funding for APS is carried forward into FY '98 for OPM by Section 35 of SA 97-21, "An Act Concerning the State Budget for the Biennium Ending June 30, 1999, and Making Appropriations Therefor". -(G) Funding is reduced in order to effect economies in the development and operation of the APS. All state agencies except 4 are anticipated to be on the APS by July 1, 1997. The 4 agencies are Legislative Management, the State Auditors, the Public Defender Services Commission and the Division of Criminal Justice. -(L)Same as Governor								
Automated Personnel System	0	-300,000	0	-300,000	0	0	0	0
Total - General Fund	**0**	**-300,000**	**0**	**-300,000**	**0**	**0**	**0**	**0**
Reduce Funding Due to State Data Center Rate Reductions - (B) Many state agencies obtain computer services to support their business functions from the State Data Center, operated by the Department of Administrative Services through the Technical Services Revolving Fund. -(G) Due to a reorganization of the operation of the State Data Center, there is a significant reduction in the rates for services charged to state agencies (CATER and BOSS). -(L)Same as Governor								
Other Expenses	0	-147,037	0	-147,037	0	0	0	0
Automated Personnel System	0	-396,897	0	-396,897	0	0	0	0
Total - General Fund	**0**	**-543,934**	**0**	**-543,934**	**0**	**0**	**0**	**0**
Transfer Technology Functions to Department of Information Technology - (B) The Department of Administrative Services operates the State Data Center through the Technical Services Revolving Fund and also supports the information technology needs of many state agency functions. -(G) Funding for one General Fund position and 182 Technical Services Revolving Fund positions are transferred to the new Department of Information Technology. This would consolidate information technology planning and purchasing functions. PA 97-9, JSS, "An Act Concerning the Management of State Agency Information and Telecommunication Systems" implements this transfer. -(L)Same as Governor								
Personal Services	-1	-101,259	-1	-106,322	0	0	0	0
Total - General Fund	**-1**	**-101,259**	**-1**	**-106,322**	**0**	**0**	**0**	**0**
Special Funds, Non-Appropriated	-182	-50,758,770	-182	-51,371,058	0	0	0	0
Total - Special Funds, Non-Appropriated	**-182**	**-50,758,770**	**-182**	**-51,371,058**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Eliminate Inflation and Effect Operational Economies - (B)								
The Governor's Budget Recommendation includes General Agency Personal Services reductions of over $29.3 million in each fiscal year.								
-(G) Funding is eliminated for inflationary increases in Other Expenses and to effect economies in Personal Services. This removes funding for approximately 22 positions.								
-(L)Same as Governor								
Personal Services	0	-965,384	0	-1,017,912	0	0	0	0
Other Expenses	0	-84,531	0	-167,469	0	0	0	0
Total - General Fund	**0**	**-1,049,915**	**0**	**-1,185,381**	**0**	**0**	**0**	**0**
Fund Equipment Items from the CEPF - (B)								
The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least 3 years. It is financed through the sale of bonds and is administered by the Office of Policy and Management.								
-(G) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds).								
-(L)Same as Governor								
Equipment	0	-140,000	0	-140,000	0	0	0	0
Total - General Fund	**0**	**-140,000**	**0**	**-140,000**	**0**	**0**	**0**	**0**
Transfer Appropriation for QWL Funds - (B)								
The Quality of Work-Life (QWL) Fund was established as part of collective bargaining agreements with two State employee unions (both represented by the Health Care Employees Union, District 1199). The purpose of the Fund is to enhance the working environment for state health care employees, thereby improving productivity and reducing absenteeism. Projects initiated include stress workshops, sick time studies, on-site child care centers, nursing education, employee wellness, fitness centers and safety projects. (Prevention Program)								
-(G) Funding for the Quality of Work-Life program is transferred to the Reserve for Salary Adjustment Account.								
Funds are appropriated in the Reserve account and will be transferred to the Department of Administrative Services for the QWL program. An estimated $200,000 will be provided in FY '98. The FY '99 amount is not known at this time.								
-(L)Same as Governor								
Quality of Work-Life Fund	0	-350,000	0	-350,000	0	0	0	0
Total - General Fund	**0**	**-350,000**	**0**	**-350,000**	**0**	**0**	**0**	**0**
Provide Additional Funding for New Collections Projects - (B)								
The New Collections Projects account was established in FY '95 to enable the Department of Administrative Services' Collection Services Unit to establish pilot projects to increase the collection of moneys owed to the state.								
-(G) Additional funding is provided in FY '99 to expand the New Collections Projects program. The amount of revenue increase is unknown at this time.								
-(L)Same as Governor								
New Collections Projects	0	0	0	100,000	0	0	0	0
Total - General Fund	**0**	**0**	**0**	**100,000**	**0**	**0**	**0**	**0**
Require DAS to Develop and Report on Repetitive Stress Injury Program - (B)								
Repetitive stress injuries are becoming more frequent among state employees due to the increasing use of computers in the automated workplace.								
-(L) The Commissioner of the Department of Administrative Services (DAS) shall evaluate available options, both public and private, to design the most effective and cost efficient program to reduce repetitive stress injuries and implement wellness initiatives for state employees. This evaluation shall be funded through the DAS Loss Control account. The agency shall provide a report to the Appropriations Committee on or before February 1, 1998								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
which shall include a description of the proposed program, a cost/benefit analysis, and an assessment of the feasibility of a pilot program versus full implementation for FY '99.								
Budget Totals - GF	**391**	**29,693,974**	**391**	**30,961,026**	**15**	**1,460,743**	**15**	**1,508,208**
Budget Totals - OF	**-182**	**-50,758,770**	**-182**	**-51,371,058**	**0**	**0**	**0**	**0**

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $2,173,889 in Personal Services from the early retirement incentive program, and $171,413 in Personal Services and $147,034 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $192,369 for FY '98.

[2] Section 36 of SA 97-21, "An Act Concerning the State Budget for the Biennium Ending June 30, 1999, and Making Appropriations Therefor", carries forward unexpended funds for the development of a Hospital Billing System. Approximately $4,832,700 will be carried forward. These funds will not lapse on June 30, 1997 and will continue to be available for expenditure during the biennium ending June 30, 1999.

[3] It is estimated that the agency will collect and deposit General Fund revenues of $477,363,049 in FY '98 and $503,163,049 in FY '99. The revenue is derived from the following sources; Title XIX Medical Assistance Payments, $365,000,000 in FY '98 and $385,000,000 in FY '99; DCF emergency aid for families, $33,000,000 in FY '98 and $36,000,000 in FY '99; collection of money due the state in public assistance, $30,000,000 in FY '98 and $32,000,000 in FY '99; collections for the State Department of Education, $22,000,000 in FY '98 and $23,000,000 in FY '99; collection of charges for care and treatment rendered by hospitals, institutions and facilities operated by the state, $16,000,000 in FY '98 and $15,000,000 in FY '99; collection for DCF IV-E and non-IV-E programs, $3,300,000 in FY '98 and $3,400,000 in FY '99; Title IV-D, $2,500,000 in FY '98 and $2,600,000 in FY '99; collection for out-patient and day care clinics, $1,900,000 in FY '98 and $2,000,000 in FY '99; refunds of prior year expenditures, $1,420,911 in each fiscal year; and miscellaneous recoveries, $2,242,138 in FY '98 and $2,742,138 in FY '99. In addition, it is estimated that the agency will collect and deposit Special Transportation Fund revenues of $124,251 in each fiscal year from refunds of prior year expenditures.

[4] These funds are the General Services Revolving Fund and the Technical Services Revolving Fund which are non-appropriated Internal Service Funds which receive their revenues from charges to state agencies that use DAS's services. The General Services Revolving Fund provides services that include the central motor pool, duplicating services, mail and courier services and the state and federal surplus food and property programs. The Technical Services Revolving Fund is transferred to the Department of Information Technology per the provisions of PA 97-9, JSS, "An Act Concerning the Management of State Agency Information and Telecommunication Systems".

Department of Information Technology
1324

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	0	0	25	25	25	25
	Others Equated to Full-Time	0	0	4	4	4	4
	Additional Funds Available						
	Permanent Full-Time	0	0	182	182	182	182
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	0	0	1,913,492	2,021,050	1,913,492	2,021,050
002	Other Expenses	0	0	625,678	625,678	625,678	625,678
02X	Other Current Expenses	0	0	0	1,000,000	0	1,000,000
	Agency Total - General Fund[1]	**0**	**0**	**2,539,170**	**3,646,728**	**2,539,170**	**3,646,728**
	Agency Total - Appropriated Funds	**0**	**0**	**2,539,170**	**3,646,728**	**2,539,170**	**3,646,728**
	Additional Funds Available						
	Special Funds, Non-Appropriated[2]	0	0	50,758,770	51,371,058	50,758,770	51,371,058
	Agency Grand Total	**0**	**0**	**53,297,940**	**55,017,786**	**53,297,940**	**55,017,786**
	BUDGET BY PROGRAM						
	Information Technology						
	Permanent Full-Time Positions GF/OF	0/0	0/0	25/182	25/182	25/182	25/182
	General Fund						
	Personal Services	0	0	2,017,542	2,128,807	2,017,542	2,128,807
	Other Expenses	0	0	625,678	625,678	625,678	625,678
011	Small Agency IT Initiatives	0	0	0	1,000,000	0	1,000,000
	Total - General Fund	**0**	**0**	**2,643,220**	**3,754,485**	**2,643,220**	**3,754,485**
	Additional Funds Available						
	Special Funds, Non-Appropriated	0	0	50,758,770	51,371,058	50,758,770	51,371,058
	Total - All Funds	**0**	**0**	**53,401,990**	**55,125,543**	**53,401,990**	**55,125,543**
	Less: Turnover - Personal Services - GF	0	0	-104,050	-107,757	-104,050	-107,757
	Agency Grand Total	**0**	**0**	**53,297,940**	**55,017,786**	**53,297,940**	**55,017,786**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Establish Department of Information Technology - (B)								
A study of the administration of the state's use of computers and information technology conducted by KPMG Peat Marwick recommends that the state consolidate and privatize much of its computer operations and administration. -(G) Funding and 25 General Fund positions are provided to establish the separate state agency of the Department of Information Technology. Twenty-four of the positions are from the Office of Information and Technology in the Office of Policy and Management (OPM) and one is from the Department of Administrative Services. In addition to the amounts transferred from these two agencies, $509,606 is provided in Other Expenses. In the second year of the								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
biennium, a Small Agency IT Initiative program is established in this new agency. In addition, 182 positions funded through the Technical Services Revolving Fund are transferred from the Department of Administrative Services. PA 97-9, JSS, "An Act Concerning the Management of State Agency Information and Telecommunication Systems" establishes this new agency. -(L)Same as Governor								
Personal Services	25	2,017,542	25	2,128,807	0	0	0	0
Other Expenses	0	625,678	0	625,678	0	0	0	0
Small Agency IT Initiatives	0	0	0	1,000,000	0	0	0	0
Less: Turnover - Personal Services	0	-104,050	0	-107,757	0	0	0	0
Total - General Fund	**25**	**2,539,170**	**25**	**3,646,728**	**0**	**0**	**0**	**0**
Special Funds, Non-Appropriated	182	50,758,770	182	51,371,058	0	0	0	0
Total - Special Funds, Non-Appropriated	**182**	**50,758,770**	**182**	**51,371,058**	**0**	**0**	**0**	**0**
Budget Totals - GF	**25**	**2,539,170**	**25**	**3,646,728**	**0**	**0**	**0**	**0**
Budget Totals - OF	**182**	**50,758,770**	**182**	**51,371,058**	**0**	**0**	**0**	**0**

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $272,000 in Personal Services from the early retirement incentive program, and $19,135 in Personal Services and $29,720 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is not anticipated to receive any funds for FY '98.

[2] These funds are the Technical Services Revolving Fund which is a non-appropriated Internal Service Fund which receives its revenue from charges to state agencies that use the Department's information and data processing services. The funds are used to support the operations of the State Data Center, to provide computing and consulting services to agencies, and to obtain information and technology services from private vendors through master contracts.

Department of Public Works
1326

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	164	175	206	206	206	206
	Others Equated to Full-Time	5	5	5	5	5	5
	Additional Funds Available						
	Permanent Full-Time	161	160	81	81	81	81
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	5,410,770	5,025,024	5,170,192	5,370,267	5,170,192	5,370,267
002	Other Expenses	16,003,549	14,209,888	16,080,613	15,685,313	16,110,613	15,715,313
005	Equipment	7,643	10,000	10,000	0	10,000	0
02X	Other Current Expenses	10,010,813	10,489,873	13,605,250	13,605,250	13,605,250	13,605,250
	Agency Total - General Fund[1][2][3]	**31,432,775**	**29,734,785**	**34,866,055**	**34,660,830**	**34,896,055**	**34,690,830**
	Agency Total - Appropriated Funds	**31,432,775**	**29,734,785**	**34,866,055**	**34,660,830**	**34,896,055**	**34,690,830**
	Additional Funds Available						
	Bond Funds[4]	10,268,235	9,691,145	5,782,000	5,782,000	5,782,000	5,782,000
	Agency Grand Total	**41,701,010**	**39,425,930**	**40,648,055**	**40,442,830**	**40,678,055**	**40,472,830**
	BUDGET BY PROGRAM						
	Management and Planning						
	Permanent Full-Time Positions GF	44	49	44	44	44	44
	General Fund						
	Personal Services	1,984,431	2,111,938	2,240,243	2,363,157	2,240,243	2,363,157
	Other Expenses	564,208	360,624	284,742	284,742	284,742	284,742
	Equipment	7,643	10,000	0	0	0	0
	Total - General Fund	**2,556,282**	**2,482,562**	**2,524,985**	**2,647,899**	**2,524,985**	**2,647,899**
	Facilities Management						
	Permanent Full-Time Positions GF	111	117	114	114	114	114
	General Fund						
	Personal Services	3,061,634	2,542,661	2,824,216	2,887,572	2,824,216	2,887,572
	Other Expenses	15,373,925	13,783,462	15,716,059	15,320,759	15,746,059	15,350,759
	Equipment	0	0	10,000	0	10,000	0
011	Thames River Campus Management	0	851,500	1,556,000	1,556,000	1,556,000	1,556,000
012	Fairfield Hills Campus Management	0	2,500,000	1,500,000	1,500,000	1,500,000	1,500,000
013	Norwich Hospital Campus Management	0	0	500,000	500,000	500,000	500,000
014	Minor Capital Improvements	0	0	2,000,000	2,000,000	2,000,000	2,000,000
	Total - General Fund	**18,435,559**	**19,677,623**	**24,106,275**	**23,764,331**	**24,136,275**	**23,794,331**
	Leasing						
	Permanent Full-Time Positions GF	9	9	9	9	9	9
	General Fund						
	Personal Services	364,681	370,425	405,733	419,538	405,733	419,538
	Other Expenses	59,174	65,802	79,812	79,812	79,812	79,812
026	Rents and Moving	9,387,747	6,744,123	6,000,000	6,000,000	6,000,000	6,000,000
027	Capitol Day Care Center	109,127	109,250	109,250	109,250	109,250	109,250
	Total - General Fund	**9,920,729**	**7,289,600**	**6,594,795**	**6,608,600**	**6,594,795**	**6,608,600**
	Facilities Design and Construction						
	Permanent Full-Time Positions GF/OF	0/161	0/160	39/81	39/81	39/81	39/81
	General Fund						
	Personal Services	24	0	0	0	0	0
	Other Expenses	6,242	0	0	0	0	0
028	Facilities Design Expenses	513,939	285,000	1,940,000	1,940,000	1,940,000	1,940,000
	Total - General Fund	**520,205**	**285,000**	**1,940,000**	**1,940,000**	**1,940,000**	**1,940,000**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Additional Funds Available						
	Bond Funds	10,268,235	9,691,145	5,782,000	5,782,000	5,782,000	5,782,000
	Total - All Funds	**10,788,440**	**9,976,145**	**7,722,000**	**7,722,000**	**7,722,000**	**7,722,000**
	Less: Turnover - Personal Services - GF	0	0	-300,000	-300,000	-300,000	-300,000
	EQUIPMENT						
005	Equipment	7,643	10,000	10,000	0	10,000	0
	Agency Grand Total	**41,701,010**	**39,425,930**	**40,648,055**	**40,442,830**	**40,678,055**	**40,472,830**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**175**	**30,476,621**	**175**	**30,476,621**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	385,255	0	585,330	0	0	0	0
Other Expenses	0	251,677	0	496,390	0	0	0	0
Equipment	0	40,000	0	-10,000	0	0	0	0
Fairfield Hills Campus Management	0	60,000	0	118,880	0	0	0	0
Capitol Day Care Center	0	2,622	0	5,195	0	0	0	0
Total - General Fund	**0**	**739,554**	**0**	**1,195,795**	**0**	**0**	**0**	**0**
Transfer Management of Norwich Hospital Campus from DMHAS - (B)								
-(G) Funding and 13 positions are transferred from the Department of Mental Health and Addiction Services (DMHAS) to the Department of Public Works for the maintenance and management of the Norwich Hospital Campus.								
-(L)Same as Governor								
Norwich Hospital Campus Management	13	2,000,000	13	2,000,000	0	0	0	0
Total - General Fund	**13**	**2,000,000**	**13**	**2,000,000**	**0**	**0**	**0**	**0**
Eliminate Positions and Reduce Funding for Facilities - (B)								
-(G) Funding is reduced by $1,500,000 and 13 positions are eliminated for the maintenance and management of various facilities under the care and control of the Department of Public Works.								
-(L)Same as Governor								
Norwich Hospital Campus Management	-13	-1,500,000	-13	-1,500,000	0	0	0	0
Total - General Fund	**-13**	**-1,500,000**	**-13**	**-1,500,000**	**0**	**0**	**0**	**0**
Add Funding for Operation and Management of New Facilities - (B)								
-(G) Funding is provided to operate and maintain new facilities to be under the care and control of the Department of Public Works.								
-(L)Same as Governor								
Other Expenses	0	1,877,700	0	2,254,900	0	0	0	0
Total - General Fund	**0**	**1,877,700**	**0**	**2,254,900**	**0**	**0**	**0**	**0**
Establish Minor Capital Improvements Account - (B)								
An account which funded minor capital projects and deferred maintenance was financed by the General Fund and administered by the Office of Policy and Management through FY '92. (9301- Capital Projects). (Prevention Program)								
-(G) Funding is provided to establish a Minor Capital Improvements account in the Department of Public Works. The Commissioner of Public Works will establish guidelines for projects which are eligible for such funding. Funds earmarked for particular projects will carry forward for up to five years per CGS Section 4-89 subsection (b).								
-(L)Same as Governor								
Minor Capital Improvements	0	2,000,000	0	2,000,000	0	0	0	0
Total - General Fund	**0**	**2,000,000**	**0**	**2,000,000**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Provide Additional Funding for Thames River Campus Management - (B)								
Care and control of the Thames River Campus (Uncas-on-Thames) was transferred from the University of Connecticut Health Center to the Department of Public Works in FY '97.								
-(G) Additional funding is provided to operate and maintain the Thames River Campus due to additional occupancy of 108,542 square feet.								
-(L)Same as Governor								
Thames River Campus Management	0	704,500	0	704,500	0	0	0	0
Total - General Fund	**0**	**704,500**	**0**	**704,500**	**0**	**0**	**0**	**0**
Annualize Costs of Capitol District Heating and Cooling - (B)								
-(G) Funding is provided to annualize the costs for Capitol District heating and cooling.								
-(L)Same as Governor								
Other Expenses	0	852,804	0	852,804	0	0	0	0
Total - General Fund	**0**	**852,804**	**0**	**852,804**	**0**	**0**	**0**	**0**
Negotiate Reductions in Capitol District Heating and Cooling Rates - (B)								
-(G) The Department of Public Works is directed to negotiate reductions in the rates for Capitol District heating and cooling.								
-(L)Same as Governor								
Other Expenses	0	-500,000	0	-500,000	0	0	0	0
Total - General Fund	**0**	**-500,000**	**0**	**-500,000**	**0**	**0**	**0**	**0**
Reallocate Bond Fund Positions to the General Fund - (B)								
The Department of Public Works funds positions through bond funds for services provided in the design and management of bond-funded construction projects.								
-(G) Funding and 39 positions are reallocated from bond funds to the General Fund. This implements a recommendation of the State Auditors that administrative and clerical positions which support the capital construction program be charged to the General Fund rather than project bond funds.								
-(L)Same as Governor								
Facilities Design Expenses	39	1,655,000	39	1,655,000	0	0	0	0
Total - General Fund	**39**	**1,655,000**	**39**	**1,655,000**	**0**	**0**	**0**	**0**
Bond Funds	-39	-1,655,000	-39	-1,655,000	0	0	0	0
Total - Bond Funds	**-39**	**-1,655,000**	**-39**	**-1,655,000**	**0**	**0**	**0**	**0**
Close Power Plant at Fairfield Hills Hospital Campus - (B)								
Care and control of the Fairfield Hills Hospital campus was transferred from the Department of Mental Health and Addiction Services to the Department of Public Works in FY '96.								
-(G) The Department of Public Works is directed to close down or idle the power plant at the Fairfield Hills Hospital Campus.								
-(L)Same as Governor								
Fairfield Hills Campus Management	0	-1,000,000	0	-1,000,000	0	0	0	0
Total - General Fund	**0**	**-1,000,000**	**0**	**-1,000,000**	**0**	**0**	**0**	**0**
Continue Rental Aversion Program - (B)								
The Department of Public Works is responsible for the care and control of executive branch leased property in the City of Hartford, per CGS Sections 4b-11 and 4b-12. Over the last few years the Department has moved state agencies from leased space to state-owned space reducing lease costs from a high of over $14 million in FY '92 to an estimated $6.8 million in FY '97. (Prevention Program)								
-(G) Funding is reduced due to the continuation of the rental aversion program.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L)Same as Governor								
Other Expenses	0	-100,000	0	-100,000	0	0	0	0
Rents and Moving	0	-800,000	0	-800,000	0	0	0	0
Total - General Fund	**0**	**-900,000**	**0**	**-900,000**	**0**	**0**	**0**	**0**
Sell Seaside Regional Center - (B)								
-(G) Funding to maintain the Seaside Regional Center is eliminated due to its anticipated sale. It is intended that this facility be sold by January 1, 1998.								
-(L)Same as Governor								
Other Expenses	0	-400,000	0	-800,000	0	0	0	0
Total - General Fund	**0**	**-400,000**	**0**	**-800,000**	**0**	**0**	**0**	**0**
Sell State Property and Mothball 60 Washington Street - (B)								
-(G) Funding to maintain various state-owned properties is eliminated due to the sale of state properties and mothballing 60 Washington Street, Hartford.								
-(L)Same as Governor								
Other Expenses	0	-331,825	0	-331,825	0	0	0	0
Total - General Fund	**0**	**-331,825**	**0**	**-331,825**	**0**	**0**	**0**	**0**
Eliminate Inflationary Increases - (B)								
-(G) Inflationary increases for Other Expenses and Other Current Expenses accounts are eliminated to effect economy.								
-(L)Same as Governor								
Other Expenses	0	-251,677	0	-496,390	0	0	0	0
Fairfield Hills Campus Management	0	-60,000	0	-118,880	0	0	0	0
Capitol Day Care Center	0	-2,622	0	-5,195	0	0	0	0
Total - General Fund	**0**	**-314,299**	**0**	**-620,465**	**0**	**0**	**0**	**0**
Reduce Staff Due to Efficiencies and Process Improvement - (B)								
The Governor's Budget Recommendation includes General Agency Personal Services reductions of over $29.3 million in each fiscal year.								
-(G) Funding and 8 positions are eliminated due to anticipated efficiencies and process improvement.								
-(L)Same as Governor								
Personal Services	-8	-410,000	-8	-410,000	0	0	0	0
Total - General Fund	**-8**	**-410,000**	**-8**	**-410,000**	**0**	**0**	**0**	**0**
Reduce Funding Due to State Data Center Rate Reductions - (B)								
Many state agencies obtain computer services to support their business functions from the State Data Center, operated by the Department of Administrative Services through the Technical Services Revolving Fund.								
-(G) Due to a reorganization of the operation of the State Data Center, there is a significant reduction in the rates for services charged to state agencies (CATER and BOSS).								
-(L)Same as Governor								
Other Expenses	0	-44,000	0	-44,000	0	0	0	0
Total - General Fund	**0**	**-44,000**	**0**	**-44,000**	**0**	**0**	**0**	**0**
Fund Equipment Items from the CEPF - (B)								
The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least 3 years. It is financed through the sale of bonds and is administered by the Office of Policy and Management.								
-(G) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds).								
-(L)Same as Governor								
Equipment	0	-40,000	0	0	0	0	0	0
Total - General Fund	**0**	**-40,000**	**0**	**0**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Reduce Operating Costs for the Waterbury District Office - (B)								
The Department of Public Works is responsible for the care and control of multi-state agency district offices throughout the state.								
-(G) Operating costs for the state district office in Waterbury are reduced beginning in FY '99.								
-(L)Same as Governor								
Other Expenses	0	0	0	-372,500	0	0	0	0
Total - General Fund	**0**	**0**	**0**	**-372,500**	**0**	**0**	**0**	**0**
Transfer Maintenance of Eastern States Building - (B)								
The management and control of the operation and affairs of the Connecticut building at the Eastern States Exposition at West Springfield, Massachusetts is in the charge of the Department of Economic and Community Development (DECD).								
-(L) Maintenance of the land and building is transferred to the Department of Public Works per Section 32 of PA 97-11, JSS, "An Act Concerning Computerized Information Sharing, the Mashantucket Pequot and Mohegan Fund, Early Retirement, School Construction, State Buildings, Nursing Homes, Executive and Legislative Councils, Commissions and Task Forces, Appropriations for the Fiscal Years Ending June 30, 1997, 1998 and 1999, Spring and Well Water Collection, Project Concern, Notice Requirements for Psychiatric Admissions, the Tax on Net Direct Subscriber Charges of Health Care Centers, Elimination of Certain Wage Inequities, Sheriffs' Fees and Expenses of the Connecticut Siting Council." Funding, in the amount of $30,000, is transferred from DECD.								
Other Expenses	0	30,000	0	30,000	0	30,000	0	30,000
Total - General Fund	**0**	**30,000**	**0**	**30,000**	**0**	**30,000**	**0**	**30,000**
Budget Totals - GF	**206**	**34,896,055**	**206**	**34,690,830**	**0**	**30,000**	**0**	**30,000**
Budget Totals - OF	**-39**	**-1,655,000**	**-39**	**-1,655,000**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Operating and Maintenance costs per sq. ft. Hartford area ($)	5.79	6.08	6.3	6.5	6.3	6.5	6.3	6.5
Average cost per sq. ft. of space leased by the state ($)	11.16	13.23	13.55	13.55	13.55	13.55	13.55	13.55
Cost of sq. ft. Hartford ($)	11.51	12.66	12.66	12.66	12.66	12.66	12.66	12.66
Construction projects in process at start of FY	145	139	134	119	134	119	134	119
Construction projects started in FY	59	60	50	50	50	50	50	50
Construction projects completed in FY	65	65	65	65	65	65	65	65
Value of projects completed in FY ($M)	85.4	171	110	110	110	110	110	110

1997 BOND AUTHORIZATIONS

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	2(d)(1)	21(d)(1)	Removal or encapsulation of asbestos in state-owned buildings	88,000,000	5,000,000	5,000,000
SA 97-1 (J5 SS)	2(d)(2)	21(d)(2)	Infrastructure repairs and improvements, including fire, safety and compliance with Americans with Disabilities Act improvements to state-owned buildings and grounds including energy conservation and preservation of unoccupied buildings	92,825,000	10,000,000	10,000,000

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
SA 97-1 (J5 SS)	2(d)(3)	21(d)(3)	Removal or replacement of underground storage tanks	35,500,000	12,000,000	4,000,000
SA 97-1 (J5 SS)	2(d)(4)	21(d)(4)	Site remediation, asbestos removal or abatement and other costs associated with the reuse or closure of Norwich Hospital	-	2,000,000	2,000,000
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	101	-	At the University of Connecticut, Storrs: Construction of an access road and utility improvements in the area of The University of Connecticut Educational Properties, Inc. development (SA 90-34 2(c)(6))	4,240,000	(3,150,000)	-
SA 97-1 (J5 SS)	120	-	Development of state-owned office facilities through land acquisition of land and/or buildings (SA 91-7 JSS 2(b)(4))	20,000,000	(291,823)	-
SA 97-1 (J5 SS)	123	-	Planning for alterations and improvements to the White Building including new equipment (SA 91-7 JSS 2(h)(2)(A))	370,000	(156,261)	-
SA 97-1 (J5 SS)	130	-	Development of state-owned office facilities, including acquisition of land or buildings or both (SA 92-3 MSS 2(c)(1))	45,200,000	(50,774)	-
SA 97-1 (J5 SS)	131	-	Development of a governmental office center, New Britain (SA 92-3 MSS 2(c)(4))	1,000,000	(1,000,000)	-
SA 97-1 (J5 SS)	142	-	Access road and related improvements to proposed Connecticut	360,000	(360,000)	-
			1997 Language Changes			
SA 97-1 (J5 SS)	141	-	Development of state-owned office facilities [through] INCLUDING land and/or building acquisition (SA 93-2 JSS 2(b)(1))	30,100,000	-	-
SA 97-1 (J5 SS)	153	-	Development of state-owned office facilities [through] INCLUDING land and/or building acquisition, including at least $2,200,000 for the development of a new facility for Charter Oak State College (SA 93-2 JSS 30(a)(1))	68,100,000	-	-

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $962,435 in Personal Services from the early retirement incentive program, and $51,702 in Personal Services and $765,254 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $410,774 for FY '98.

[2] Section 31 of SA 97-21, "An Act Concerning the State Budget for the Biennium Ending June 30, 1999, and Making Appropriations Therefor" carries forward up to ~~$599,000 of Other Expenses funds for the purpose of property disposition costs. An estimated $443,500 will be carried forward. These funds will not lapse on June 30,~~ 1997 and will continue to be available for expenditure during the fiscal year ending June 30, 1998.

[3] It is estimated that the agency will generate General Fund revenues of $1,055,800 in FY '98 and $1,056,800 in FY '99. The primary source of these revenues is from the rental of state buildings. About $2,500 per year is from parking violations. In addition, the agency anticipates reimbursements of $3,130,000 in FY '98 and $3,080,000 in FY '99.

[4] These represents Bond Fund contributions for Personal Services and Other Expenses expenditures incurred by the Department for services provided in the design, construction and supervision of bonded capital construction projects for other state agencies.

Attorney General
1501

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	294	294	314	314	306	306
	Others Equated to Full-Time	17	17	17	17	17	17
	Consumer Counsel & Public Util Control Fund						
	Permanent Full-Time	5	5	5	5	5	5
	Special Transportation Fund						
	Permanent Full-Time	9	9	9	9	9	9
	Additional Funds Available						
	Permanent Full-Time	43	43	43	43	43	43
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	15,632,421	17,246,924	19,072,747	20,240,288	18,686,747	19,854,288
002	Other Expenses	879,592	968,729	954,891	954,891	986,031	986,031
005	Equipment	0	85,000	5,000	5,000	5,000	5,000
02X	Other Current Expenses	2,898	25,000	1,250,000	1,250,000	1,200,000	1,200,000
	Agency Total - General Fund[1]	**16,514,911**	**18,325,653**	**21,282,638**	**22,450,179**	**20,877,778**	**22,045,319**
	Agency Total - Appropriated Funds	**16,514,911**	**18,325,653**	**21,282,638**	**22,450,179**	**20,877,778**	**22,045,319**
	Additional Funds Available						
	Special Funds	1,929,674	1,758,400	1,838,600	1,924,000	1,838,600	1,924,000
	Second Injury Fund	2,416,844	1,978,000	2,106,000	2,243,000	2,106,000	2,243,000
	Federal Contributions	46,411	49,400	52,600	55,700	52,600	55,700
	Agency Grand Total	**20,907,840**	**22,111,453**	**25,279,838**	**26,672,879**	**24,874,978**	**26,268,019**
	BUDGET BY PROGRAM						
	Office of the Attorney General						
	Permanent Full-Time Positions GF/CF/OF	294/14/43	294/14/43	314/14/43	314/14/43	306/14/43	306/14/43
	General Fund						
	Personal Services	15,632,421	17,246,924	19,327,747	20,495,288	18,941,747	20,109,288
	Other Expenses	879,592	968,729	954,891	954,891	986,031	986,031
	Equipment	0	85,000	5,000	5,000	5,000	5,000
012	Sheff vs. O'Neill	2,898	25,000	150,000	150,000	100,000	100,000
013	Police Wiretap Case	0	0	1,100,000	1,100,000	1,100,000	1,100,000
	Total - General Fund	**16,514,911**	**18,325,653**	**21,537,638**	**22,705,179**	**21,132,778**	**22,300,319**
	Federal Contributions						
	ST. Survey & Cert. of Health Care Providers	46,411	0	52,600	55,700	52,600	55,700
	Federal Contributions	0	49,400	0	0	0	0
	Total - Federal Contributions	**46,411**	**49,400**	**52,600**	**55,700**	**52,600**	**55,700**
	Additional Funds Available						
	Special Funds	1,929,674	1,758,400	1,838,600	1,924,000	1,838,600	1,924,000
	Second Injury Fund	2,416,844	1,978,000	2,106,000	2,243,000	2,106,000	2,243,000
	Total - Additional Funds Available	**4,346,518**	**3,736,400**	**3,944,600**	**4,167,000**	**3,944,600**	**4,167,000**
	Total - All Funds	**20,907,840**	**22,111,453**	**25,534,838**	**26,927,879**	**25,129,978**	**26,523,019**
	Less: Turnover - Personal Services - GF	0	0	-255,000	-255,000	-255,000	-255,000
	EQUIPMENT						
005	Equipment	0	85,000	5,000	5,000	5,000	5,000
	Agency Grand Total	**20,907,840**	**22,111,453**	**25,279,838**	**26,672,879**	**24,874,978**	**26,268,019**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**294**	**18,410,653**	**294**	**18,410,653**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	1,236,002	0	2,403,543	0	0	0	0
Other Expenses	0	9,783	0	32,287	0	0	0	0
Equipment	0	70,000	0	70,000	0	0	0	0
Total - General Fund	**0**	**1,315,785**	**0**	**2,505,830**	**0**	**0**	**0**	**0**

Provide New Positions for Child Protection Department - (B)
The Office of the Attorney General represents the Department of Children and Families (DCF) in the courts. Between FY 1994 and FY 1996 there has been a 44% increase in the Attorney General's DCF caseload.
-(G) Funding for 20 positions is provided in response to the dramatic increase in DCF caseload. Six of these positions (5 Attorneys and 1 Secretary) are to restore resources that were diverted from other Departments. An additional six positions (2 Attorneys, 2 Paralegals, 1 Case Manager and 1 Secretary) are required to enable swift movement of DCF cases, specifically orders for temporary custody. The eight remaining positions (6 Attorneys and 2 Secretaries) are needed in response to three new juvenile court judges.
-(L) Funding for 12 positions is provided in response to the dramatic increase in DCF caseload. Six of these positions (5 Attorneys and 1 Secretary) are to restore resources that were diverted from other Departments. The remaining six positions (2 Attorneys, 2 Paralegals, 1 Case Manager and 1 Secretary) are required to enable swift movement of DCF cases, specifically orders for temporary custody.

Personal Services	12	536,000	12	536,000	-8	-386,000	-8	-386,000
Other Expenses	0	27,140	0	27,140	0	-18,860	0	-18,860
Total - General Fund	**12**	**563,140**	**12**	**563,140**	**-8**	**-404,860**	**-8**	**-404,860**

Fund Equipment Items from the CEPF - (B)
The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least 3 years. It is financed through the sale of bonds and is administered by the Office of Policy and Management.
-(G) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds).
-(L)Same as Governor

Equipment	0	-70,000	0	-70,000	0	0	0	0
Total - General Fund	**0**	**-70,000**	**0**	**-70,000**	**0**	**0**	**0**	**0**

Remove Funds for Inflation - (B)
-(G) Funding for inflation is removed to effect economies.
-(L)Same as Governor

Other Expenses	0	-21,783	0	-44,287	0	0	0	0
Total - General Fund	**0**	**-21,783**	**0**	**-44,287**	**0**	**0**	**0**	**0**

Remove Funds to Achieve Budget Reduction - (B)
-(G) Funding is removed to achieve a budget reduction to effect economies.
-(L)Same as Governor

Personal Services	0	-332,179	0	-332,179	0	0	0	0
Other Expenses	0	-47,838	0	-47,838	0	0	0	0
Sheff vs. O'Neill	0	-40,000	0	-40,000	0	0	0	0
Total - General Fund	**0**	**-420,017**	**0**	**-420,017**	**0**	**0**	**0**	**0**

Provide Funds for Police Wiretap Case - (B)
The Office of the Attorney General is representing the Department of Public Safety in a police wire tap case. Expenses associated with the case previously had been charged to the Department of Public Safety.
-(G) Funding is provided for expenses associated with a police wiretap case.

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L)Same as Governor								
Police Wiretap Case	0	1,100,000	0	1,100,000	0	0	0	0
Total - General Fund	**0**	**1,100,000**	**0**	**1,100,000**	**0**	**0**	**0**	**0**
Provide Funding For Other Expenses - (B) The Other Expenses account is used for office supplies, postage, mileage reimbursement, and photocopies. -(L) Funding for Other Expenses in the amount of $50,000 is transferred from the Sheff vs. O'Neill account. The Sheff vs. O'Neill account is a contingency account for expenses associated with that case. Additional Other Expenses funding is necessary because of the increase in employees over the past five years and the agency's heavy usage of mileage reimbursement for personnel traveling to courts throughout the state.								
Other Expenses	0	50,000	0	50,000	0	50,000	0	50,000
Sheff vs. O'Neill	0	-50,000	0	-50,000	0	-50,000	0	-50,000
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**
Budget Totals - GF	**306**	**20,877,778**	**306**	**22,045,319**	**-8**	**-404,860**	**-8**	**-404,860**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Formal written options and informal written advices rendered	1,200	1,200	1,200	1,200	1,200	1,200	1,200	1,200
Cases Completed	35,054	37,000	37,000	37,000	37,000	37,000	37,000	37,000
Legal documents examined and approved	8,559	8,600	8,600	8,600	8,600	8,600	8,600	8,600
General Fund dollars collected (000)	73,194	74,000	74,000	74,000	74,000	74,000	74,000	74,000

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $1,566,019 in Personal Services from the early retirement incentive program, and $186,867 in Personal Services and $46,836 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $44,260 for FY '98.

Office of the Claims Commissioner
1502

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	3	3	4	4	4	4
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	136,622	140,406	178,853	183,811	178,853	183,811
002	Other Expenses	11,268	18,903	32,903	32,903	32,903	32,903
005	Equipment	0	0	5,000	0	5,000	0
02X	Other Current Expenses	113,931	98,800	95,000	95,000	95,000	95,000
	Agency Total - General Fund[1]	**261,821**	**258,109**	**311,756**	**311,714**	**311,756**	**311,714**
	Agency Total - Appropriated Funds	**261,821**	**258,109**	**311,756**	**311,714**	**311,756**	**311,714**
	Agency Grand Total	**261,821**	**258,109**	**311,756**	**311,714**	**311,756**	**311,714**
	BUDGET BY PROGRAM						
	Adjudication & Administration						
	Permanent Full-Time Positions GF	3	3	4	4	4	4
	General Fund						
	Personal Services	136,622	140,406	178,853	183,811	178,853	183,811
	Other Expenses	11,268	18,903	32,903	32,903	32,903	32,903
	Equipment	0	0	5,000	0	5,000	0
021	Adjudicated Claims	113,931	98,800	95,000	95,000	95,000	95,000
	Total - General Fund	**261,821**	**258,109**	**311,756**	**311,714**	**311,756**	**311,714**
	EQUIPMENT						
005	Equipment	0	0	5,000	0	5,000	0
	Agency Grand Total	**261,821**	**258,109**	**311,756**	**311,714**	**311,756**	**311,714**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**3**	**258,109**	**3**	**258,109**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	3,447	0	7,405	0	0	0	0
Other Expenses	0	1,992	0	3,251	0	0	0	0
Equipment	0	5,000	0	0	0	0	0	0
Adjudicated Claims	0	6,200	0	6,200	0	0	0	0
Total - General Fund	**0**	**16,639**	**0**	**16,856**	**0**	**0**	**0**	**0**
Remove Fund for Inflation - (B)								
-(G) Funding for inflation is removed to effect economies.								
-(L)Same as Governor								
Other Expenses	0	-1,992	0	-3,251	0	0	0	0
Total - General Fund	**0**	**-1,992**	**0**	**-3,251**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Provide Funds for Additional Staffing Requirements - (B)								
-(G) Funding is provided for one Paralegal Specialist position and funds are reallocated to provide additional hours for a Hearing Officer.								
-(L)Same as Governor								
Personal Services	1	35,000	1	36,000	0	0	0	0
Other Expenses	0	14,000	0	14,000	0	0	0	0
Adjudicated Claims	0	-10,000	0	-10,000	0	0	0	0
Total - General Fund	**1**	**39,000**	**1**	**40,000**	**0**	**0**	**0**	**0**
Budget Totals - GF	**4**	**311,756**	**4**	**311,714**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Cases pending	2,862	2,800	2,700	2,600	2,700	2,600	2,700	2,600
New claims filed	786	825	850	900	850	900	850	900
Claims adjudicated/hearings held	1,010	1,050	1,075	1,100	1,075	1,100	1,075	1,100
Claims submitted to legislature	23	25	30	40	30	40	30	40

[1] Funding in the amount of $3,000 for Other Expenses to contract for a part-time hearing officer was provided in SA 97-8, the Deficiency Bill, for FY 1996-97. This deficiency appropriation was excluded from the "Estimated Expenditure 1996-97" column.

Division of Criminal Justice
1504

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	447	447	445	445	470	470
	Workers' Compensation Fund						
	Permanent Full-Time	5	5	5	5	5	5
	Additional Funds Available						
	Permanent Full-Time	40	40	40	40	40	40
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	22,468,806	24,559,823	24,771,590	24,715,511	26,520,217	26,464,138
002	Other Expenses	2,108,127	1,908,187	2,062,261	2,062,261	2,537,261	2,537,261
005	Equipment	0	58,775	0	0	0	0
02X	Other Current Expenses	355,635	385,000	401,800	401,800	412,400	412,988
	Agency Total - General Fund[1][2]	**24,932,568**	**26,911,785**	**27,235,651**	**27,179,572**	**29,469,878**	**29,414,387**
	Agency Total - Appropriated Funds	**24,932,568**	**26,911,785**	**27,235,651**	**27,179,572**	**29,469,878**	**29,414,387**
	Additional Funds Available						
	Special Funds, Non-Appropriated	1,239,652	1,952,581	1,940,000	1,940,000	1,940,000	1,940,000
	Witness Protection	24,936	30,000	100,000	100,000	100,000	100,000
	Workers' Compensation Fund[3]	323,833	405,000	350,000	350,000	350,000	350,000
	Private Contributions	544,146	622,675	828,911	828,911	828,911	828,911
	Federal Contributions	467,044	1,064,055	1,123,489	1,123,489	1,123,489	1,123,489
	Agency Grand Total	**27,532,179**	**30,986,096**	**31,578,051**	**31,521,972**	**33,812,278**	**33,756,787**
	BUDGET BY PROGRAM						
	Prosecution and Investigation-Post Arrest						
	Permanent Full-Time Positions GF/OF	343/20	343/20	341/20	341/20	366/20	366/20
	General Fund						
	Personal Services	17,434,162	19,117,424	20,083,779	20,147,663	21,132,406	21,196,290
	Other Expenses	0	820,521	0	0	425,000	425,000
	Equipment	0	58,775	0	0	0	0
013	Witness Protection	0	0	30,000	30,000	30,000	30,000
015	Training and Education	0	60,000	0	0	0	0
016	Expert Witnesses	0	175,000	175,600	176,188	175,600	176,188
	Total - General Fund	**17,434,162**	**20,231,720**	**20,289,379**	**20,353,851**	**21,763,006**	**21,827,478**
	Federal Contributions						
	Statewide Drug & Crime Control	0	13,693	0	0	0	0
	Drug Control & System Imrpr Gt	220,534	461,778	640,332	640,332	640,332	640,332
	Victims and Women's Act	39,853	447,904	298,857	298,857	298,857	298,857
	Total - Federal Contributions	**260,387**	**923,375**	**939,189**	**939,189**	**939,189**	**939,189**
	Additional Funds Available						
	Special Funds, Non-Appropriated	5,134	100,000	140,000	140,000	140,000	140,000
	Private Contributions	487,224	622,675	769,840	769,840	769,840	769,840
	Total - Additional Funds Available	**492,358**	**722,675**	**909,840**	**909,840**	**909,840**	**909,840**
	Total - All Funds	**18,186,907**	**21,877,770**	**22,138,408**	**22,202,880**	**23,612,035**	**23,676,507**
	Prosecution and Investigation-Pre-Arrest						
	Permanent Full-Time Positions GF/WF/OF	49/5/18	54/5/18	49/5/18	49/5/18	49/5/18	49/5/18
	General Fund						
	Personal Services	2,283,379	2,501,031	2,774,126	2,769,933	2,774,126	2,769,933
	Other Expenses	0	262,185	0	0	0	0
	Total - General Fund	**2,283,379**	**2,763,216**	**2,774,126**	**2,769,933**	**2,774,126**	**2,769,933**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Federal Contributions						
	Drug Control & System Imrpr Gt	146,877	2,426	0	0	0	0
	State and Community Highway Safety	0	5,345	0	0	0	0
	Total - Federal Contributions	**146,877**	**7,771**	**0**	**0**	**0**	**0**
	Additional Funds Available						
	Special Funds, Non-Appropriated	1,228,038	1,852,581	1,800,000	1,800,000	1,800,000	1,800,000
	Witness Protection	24,936	30,000	100,000	100,000	100,000	100,000
	Workers' Compensation Fund	323,833	405,000	350,000	350,000	350,000	350,000
	Total - Additional Funds Available	**1,576,807**	**2,287,581**	**2,250,000**	**2,250,000**	**2,250,000**	**2,250,000**
	Total - All Funds	**4,007,063**	**5,058,568**	**5,024,126**	**5,019,933**	**5,024,126**	**5,019,933**
	Appellate and Research						
	Permanent Full-Time Positions GF/OF	31/2	28/2	31/2	31/2	31/2	31/2
	General Fund						
	Personal Services	1,536,061	1,617,664	1,829,784	1,807,126	1,829,784	1,807,126
	Other Expenses	0	269,054	0	0	0	0
011	Forensic Sex Evidence Exams	0	150,000	0	0	0	0
	Total - General Fund	**1,536,061**	**2,036,718**	**1,829,784**	**1,807,126**	**1,829,784**	**1,807,126**
	Federal Contributions						
	Drug Control & System Imrpr Gt	45,000	132,909	184,300	184,300	184,300	184,300
	Additional Funds Available						
	Private Contributions	15,000	0	59,071	59,071	59,071	59,071
	Total - All Funds	**1,596,061**	**2,169,627**	**2,073,155**	**2,050,497**	**2,073,155**	**2,050,497**
	Management and Support Services						
	Permanent Full-Time Positions GF	24	22	24	24	24	24
	General Fund						
	Personal Services	1,215,204	1,323,704	1,534,805	1,441,693	1,534,805	1,441,693
	Other Expenses	2,108,127	556,427	2,062,261	2,062,261	2,112,261	2,112,261
	Equipment	0	0	0	0	0	0
011	Forensic Sex Evidence Exams	150,000	0	149,400	148,812	160,000	160,000
015	Training and Education	30,575	0	46,800	46,800	46,800	46,800
016	Expert Witnesses	175,060	0	0	0	0	0
	Total - General Fund	**3,678,966**	**1,880,131**	**3,793,266**	**3,699,566**	**3,853,866**	**3,760,754**
	Federal Contributions						
	Drug Control & System Imrpr Gt	14,780	0	0	0	0	0
	Additional Funds Available						
	Special Funds, Non-Appropriated	6,480	0	0	0	0	0
	Private Contributions	41,922	0	0	0	0	0
	Total - Additional Funds Available	**48,402**	**0**	**0**	**0**	**0**	**0**
	Total - All Funds	**3,742,148**	**1,880,131**	**3,793,266**	**3,699,566**	**3,853,866**	**3,760,754**
	Personal Services Savings						
	General Fund						
	Personal Services	0	0	-1,240,904	-1,240,904	-540,904	-540,904
	Less: Turnover - Personal Services - GF	0	0	-210,000	-210,000	-210,000	-210,000
	EQUIPMENT						
005	Equipment	0	58,775	0	0	0	0
	Agency Grand Total	**27,532,179**	**30,986,096**	**31,578,051**	**31,521,972**	**33,812,278**	**33,756,787**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**447**	**27,514,507**	**447**	**27,514,507**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	614,692	0	558,613	0	0	0	0
Other Expenses	0	240,160	0	287,426	0	0	0	0
Equipment	0	254,224	0	223,225	0	0	0	0
Other Current Expenses	0	34,283	0	43,164	0	0	0	0
Total - General Fund	**0**	**1,143,359**	**0**	**1,112,428**	**0**	**0**	**0**	**0**

Annualize Juvenile Prosecution Positions - (B)
The FY 1996-97 budget contains half-year funding of $304,515 for 14 positions to augment the existing juvenile prosecution staff.
-(G) Funding is recommended for annualization of new juvenile prosecution positions. This funding includes additional funds for per diem prosecutors but does not include funds for expenses or training.

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L) This funding is provided. However, an adjustment is included to reflect an updated estimate of the cost of these positions.								
Personal Services	0	261,842	0	261,842	0	-71,217	0	-71,217
Equipment	0	58,775	0	0	0	0	0	0
Total - General Fund	**0**	**320,617**	**0**	**261,842**	**0**	**-71,217**	**0**	**-71,217**
Reduce Personal Services - (B) -(G) It is recommended that Personal Services be reduced by $1,240,904 in both years of the biennium. -(L) A reduction, in the amount of $540,904, is provided in Personal Services in both years of the biennium. It is also provided that the agency establish per diem prosecutors in the motor vehicle court to more efficiently process these cases. PA 97-294, "An Act Concerning the Number of Judges of the Superior Court, the Use of Bond Forfeiture Funds, the Appointment of Per Diem Prosecutors and the compensation of Judges, Prosecutors, Public Defenders, State Referees, Family Support Magistrates, Deputy and Special Deputy Sheriffs and Certain Personnel of the Division of Criminal Justice," contained the authorization for these per diem prosecutors, but was vetoed by the Governor on July 2, 1997.								
Personal Services	0	-540,904	0	-540,904	0	700,000	0	700,000
Total - General Fund	**0**	**-540,904**	**0**	**-540,904**	**0**	**700,000**	**0**	**700,000**
Remove Vacant Assistant Accountant Positions - (B) -(G) The elimination of two vacant Assistant Accountant positions and associated funding is recommended. -(L)Same as Governor								
Personal Services	-2	-62,864	-2	-62,864	0	0	0	0
Total - General Fund	**-2**	**-62,864**	**-2**	**-62,864**	**0**	**0**	**0**	**0**
Eliminate Inflation Increases - (B) -(G) The elimination of inflation increases in various accounts is recommended. -(L)Same as Governor								
Other Expenses	0	-42,224	0	-89,490	0	0	0	0
Forensic Sex Evidence Exams	0	-3,600	0	-7,132	0	0	0	0
Witness Protection	0	-720	0	-1,427	0	0	0	0
Training and Education	0	-1,363	0	-2,471	0	0	0	0
Expert Witnesses	0	-3,600	0	-7,134	0	0	0	0
Total - General Fund	**0**	**-51,507**	**0**	**-107,654**	**0**	**0**	**0**	**0**
Reduce Training and Education - (B) -(G) A reduction in funding of $17,000 is recommended in Training and Education. -(L)Same as Governor								
Training and Education	0	-17,000	0	-17,000	0	0	0	0
Total - General Fund	**0**	**-17,000**	**0**	**-17,000**	**0**	**0**	**0**	**0**
Adjust Witness Protection Funds - (B) -(G) An adjustment in funding in Witness Protection is recommended to reflect anticipated expenditures in this area. PA 97-294, "An Act Concerning the Number of Judges of the Superior Court, the Use of Bond Forfeiture Funds, the Appointment of Per Diem Prosecutors and the Compensation of Judges, Prosecutors, Public Defenders, State Referees, Family Support Magistrates, Deputy and Special Deputy Sheriffs and Certain Personnel of the Division of Criminal Justice" eliminates the agency's authorization to utilize bond forfeiture funds for this purpose. This legislation was vetoed by the Governor on July 2, 1997. However, PA 97-1 (July Special Session), "An Act Concerning the Justice System," provided this change and was signed by the Governor on July 23, 1997. -(L)Same as Governor								
Witness Protection	0	-70,000	0	-70,000	0	0	0	0
Total - General Fund	**0**	**-70,000**	**0**	**-70,000**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Increase Funds for Forensic Sex Evidence Exams - (B)								
-(L) An increase in funding for Forensic Sex Evidence Exams is provided.								
Forensic Sex Evidence Exams	0	10,600	0	11,188	0	10,600	0	11,188
Total - General Fund	**0**	**10,600**	**0**	**11,188**	**0**	**10,600**	**0**	**11,188**
Fund Equipment Items from the CEPF - (B)								
The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least 3 years. It is financed through the sale of bonds and is administered by the Office of Policy and Management.								
-(G) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds).								
-(L)Same as Governor								
Equipment	0	-371,774	0	-282,000	0	0	0	0
Total - General Fund	**0**	**-371,774**	**0**	**-282,000**	**0**	**0**	**0**	**0**
Fund Pilot Program for Nurse Examiner - (B)								
-(L) Funding is provided to establish a nurse examiner pilot program. These funds are to be awarded through an RFP (Request for Proposal) process.								
Other Expenses	0	50,000	0	50,000	0	50,000	0	50,000
Total - General Fund	**0**	**50,000**	**0**	**50,000**	**0**	**50,000**	**0**	**50,000**
Transfer Various Staff to the General Fund - (B)								
-(L) It is provided that the current activities (bond forfeiture unit, fugitive squad and provider fraud) that are funded through bond forfeiture funds be transferred to the General Fund. PA 97-294, "An Act Concerning the Number of Judges of the Superior Court, the Use of Bond Forfeiture Funds, the Appointment of Per Diem Prosecutors and the Compensation of Judges, Prosecutors, Public Defenders, State Referees, Family Support Magistrates, Deputy and Special Deputy Sheriffs and Certain Personnel of the Division of Criminal Justice" implements this provision. The Governor vetoed this legislation on July 2, 1997. However, PA 97-1 (July Special Session), "An Act Concerning the Justice System," provided these changes and was signed by the Governor on July 23, 1997.								
Personal Services	25	1,025,000	25	1,025,000	25	1,025,000	25	1,025,000
Other Expenses	0	425,000	0	425,000	0	425,000	0	425,000
Total - General Fund	**25**	**1,450,000**	**25**	**1,450,000**	**25**	**1,450,000**	**25**	**1,450,000**
Make Juvenile Prosecution Staff Salaries Commensurate with Adult Prosecution Staff - (B)								
-(L) Net funding in the amount of $94,844 is provided to make the salaries of juvenile prosecution staff commensurate with adult prosecution staff. These staff were transferred from the Judicial Department on 7/1/97. The total cost of this option is estimated at $174,933. Funds in the amount of $80,089 have already been included in the agency's FY 1996-97 budget for this purpose, but have not yet been expended.								
PA 97-294, "An Act Concerning the Number of Judges of the Superior Court, the Use of Bond Forfeiture Funds, the Appointment of Per Diem Prosecutors and the Compensation of Judges, Prosecutors, Public Defenders, State Referees, Family Support Magistrates, Deputy and Special Deputy Sheriffs and Certain Personnel of the Division of Criminal Justice" assists in implementing this provision. The Governor, however, vetoed this legislation on July 2, 1997.								
Personal Services	0	94,844	0	94,844	0	94,844	0	94,844
Total - General Fund	**0**	**94,844**	**0**	**94,844**	**0**	**94,844**	**0**	**94,844**
Budget Totals - GF	**470**	**29,469,878**	**470**	**29,414,387**	**25**	**2,234,227**	**25**	**2,234,815**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Payroll changes	6,500	5,000	5,000	5,000	5,000	5,000	5,000	5,000
Record audits	1	3	3	3	3	3	3	3
Purchase orders/Payment lists	95/484	100/500	100/500	100/500	100/500	100/500	100/500	100/500
Service transfers	111	115	117	120	117	120	117	120
Training Sessions/Individuals	35/1200	35/1200	35/1200	35/1200	35/1200	35/1200	35/1200	35/1200
State Supreme Court Appeals	61	61	63	65	63	65	63	65
State & Federal Appellate Court Appeals	207	209	211	213	211	213	211	213
Habeas Corpus, State and Federal Court	32	33	34	35	34	35	34	35
Civil Rights Suits	0	2	2	2	2	2	2	2
Investigations Opened	576	580	585	590	585	590	585	590
Investigations Closed	553	560	565	570	565	570	565	570
Fines ($)	5,495	5,500	5,600	5,700	5,600	5,700	5,600	5,700
Restitution ($)	2,552,594	500,000	500,000	500,000	500,000	500,000	500,000	500,000
ADULT PROSECUTION:								
Active pending cases-JD (July 1)	2,164	2,200	2,250	2,300	2,250	2,300	2,250	2,300
Active pending cases-GA (July 1)	47,481	48,000	48,500	48,700	48,500	48,700	48,500	48,700
Cases added-JD	3,611	3,650	3,750	3,850	3,750	3,850	3,750	3,850
Cases added-GA	340,757	343,000	344,000	345,000	344,000	345,000	344,000	345,000
Cases per FT prosecutor	261	265	267	269	267	269	267	269
Trials-JD	171	200	210	220	210	220	210	220
Trials-GA	87	90	95	100	95	100	95	100
Dispositions-JD	3,628	3,700	3,750	3,770	3,750	3,770	3,750	3,770
Dispositions-GA	355,250	356,000	357,000	358,000	357,000	358,000	357,000	358,000
JUVENILE PROSECUTION:								
Delinquency Cases		15,995	16,000	16,100	16,000	16,100	16,000	16,100
Delinquency Judicial Disposals		8,498	3,500	3,550	3,500	3,550	3,500	3,550
Delinquency - Non-Judicial Disposals		7,317	7,320	7,325	7,320	7,325	7,320	7,325
Family With Service Needs Cases		3,210	3,215	3,220	3,215	3,220	3,215	3,220
Family With Service Needs Disposals		3,236	3,240	3,245	3,240	3,245	3,240	3,245
DRUG ASSET FORFEITURE:								
Cases Added	1,200	1,200	1,200	1,200	1,200	1,200	1,200	1,200
Dispositions (Over $5,000 only)	96	100	100	100	100	100	100	100
Asset Forfeiture Collections	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000
BOND FORFEITURE - Collections	2,458,045	2,500,000	2,500,000	2,500,000	2,500,000	2,500,000	2,500,000	2,500,000

OTHER SIGNIFICANT 1997 LEGISLATION POTENTIALLY AFFECTING THE AGENCY'S BUDGET

PA 97-199, " An Act Concerning Community Service and the Community Court Pilot Program" - Requires the Chief Court Administrator to establish a community court pilot program in one court location on 7/1/97. The community court must have a docket separate from other criminal matters to hear (1) misdemeanors, (2) misdemeanor cases transferred by the housing court, and (3) violations of municipal ordinances referred by municipalities. The act requires the Judicial Department to report to the Judiciary Committee (1) by 1/1/98 concerning recommendations for possible expansion to two additional community court pilot sites, and (2) by 2/2/98 concerning various statistics on the number of individuals who perform community service through the court. It should be noted that PA 97-11, JSS "An Act Concerning Computerized Information Sharing...", changed the effective date of PA 97-199 from 10/1/97 to 7/1/97.

PA 97-248, "An Act Concerning Substance Abuse Education and Treatment Programs and Establishing a Connecticut Alcohol and Drug Policy Council" - Allows the establishment of a drug court in any Geographical Area or juvenile matters court location; establishes a pre-trial drug education program; requires that drug education be a component of the community service labor program and makes other changes.

PA 97-253, "An Act Concerning Truancy" - Requires the Chief Court Administrator to establish a truancy court in one juvenile matters location.

PA 97-257, "An Act Concerning Crime Victims" - Requires that a transcript of any sentencing hearing that results in a definite sentence of more than two years be delivered to the Board of Parole and that copies of such transcripts be provided to the crime victims involved upon request. According to the act, the Division of Criminal Justice is to bear the cost of these transcripts and deliveries. It also requires the agency to establish a formal training program for new prosecutors and on-going training for existing prosecutors.

---

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $1,723,231 in Personal Services from the early retirement incentive program, and $265,202 in Personal Services and $120,520 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $204,700 for FY '98.

[2] Section 69 of SA 97-21, "An Act Concerning the State Budget for the Biennium Ending June 30, 1999, and Making Appropriations Therefor," prohibits the move to new facilities by the agency unless such facilities are owned by the State.

[3] The funds shown in the Workers' Compensation Fund line reflect transferred appropriated funds from the Workers' Compensation Commission under the Criminal Justice Fraud Unit account and correspond to the five positions reflected here.

Criminal Justice Commission
1505

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
002	Other Expenses	746	1,328	1,195	1,195	1,195	1,195
	Agency Total - General Fund	**746**	**1,328**	**1,195**	**1,195**	**1,195**	**1,195**
	Agency Total - Appropriated Funds	**746**	**1,328**	**1,195**	**1,195**	**1,195**	**1,195**
	Agency Grand Total	**746**	**1,328**	**1,195**	**1,195**	**1,195**	**1,195**
	BUDGET BY PROGRAM						
	Enhance the Operation of the Division of Criminal Justice						
	General Fund						
	Other Expenses	746	1,328	1,195	1,195	1,195	1,195
	Agency Grand Total	**746**	**1,328**	**1,195**	**1,195**	**1,195**	**1,195**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**1,328**	**0**	**1,328**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Other Expenses	0	32	0	63	0	0	0	0
Total - General Fund	**0**	**32**	**0**	**63**	**0**	**0**	**0**	**0**
Eliminate Inflation Increase and Reduce Other Expenses - (B)								
-(G) The elimination of inflation and a 10% reduction in Other Expenses is recommended.								
-(L)Same as Governor								
Other Expenses	0	-165	0	-196	0	0	0	0
Total - General Fund	**0**	**-165**	**0**	**-196**	**0**	**0**	**0**	**0**
Budget Totals - GF	**0**	**1,195**	**0**	**1,195**	**0**	**0**	**0**	**0**

Department of Public Safety
2000

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	750	824	718	1,444	730	1,456
	Others Equated to Full-Time	25	16	13	14	13	14
	Special Transportation Fund						
	Permanent Full-Time	726	726	726	0	726	0
	Others Equated to Full-Time	1	1	1	0	1	0
	Additional Funds Available						
	Permanent Full-Time	114	114	117	117	117	117
	Others Equated to Full-Time	2	0	0	0	0	0
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	32,853,521	36,058,637	34,897,362	74,623,491	35,376,453	75,067,582
002	Other Expenses	7,046,075	8,398,609	9,364,219	12,235,634	9,121,492	11,977,907
005	Equipment	102,219	170,026	20,000	50,000	20,000	50,000
02X	Other Current Expenses	3,436,823	3,760,535	6,034,752	10,507,417	5,184,132	10,832,727
6XX	Grant Payments - Other Than Towns	37,384	38,692	38,692	38,692	38,692	38,692
	Agency Total - General Fund[1][2]	**43,476,022**	**48,426,499**	**50,355,025**	**97,455,234**	**49,740,769**	**97,966,908**
	Special Transportation Fund						
001	Personal Services	32,803,968	35,324,363	37,034,452	0	37,034,452	0
002	Other Expenses	3,245,406	3,086,221	3,062,597	0	3,062,597	0
005	Equipment	324,640	233,342	281,083	0	281,083	0
02X	Other Current Expenses	4,628,360	4,330,847	4,664,716	0	4,664,716	0
	Agency Total - Special Transportation Fund[1][2]	**41,002,374**	**42,974,773**	**45,042,848**	**0**	**45,042,848**	**0**
	Agency Total - Appropriated Funds	**84,478,396**	**91,401,272**	**95,397,873**	**97,455,234**	**94,783,617**	**97,966,908**
	Additional Funds Available						
	Special Funds, Non-Appropriated	151,900	715	0	0	0	0
	Bond Funds	5,767,888	150,000	0	0	0	0
	Private Contributions	9,549,413	7,701,695	9,626,105	9,575,505	9,626,105	9,575,505
	Federal Contributions	4,491,352	2,726,952	1,233,735	1,238,285	1,233,735	1,238,285
	Agency Grand Total	**104,438,949**	**101,980,634**	**106,257,713**	**108,269,024**	**105,643,457**	**108,780,698**
	BUDGET BY PROGRAM						
	Police Services						
	Permanent Full-Time Positions GF/TF/OF	438/726/99	438/726/99	416/726/99	1,142/0/99	428/726/99	1,154/0/99
	General Fund						
	Personal Services	19,759,214	21,854,365	21,250,623	60,473,857	21,729,714	60,917,948
	Other Expenses	2,947,400	3,945,325	4,019,160	6,880,669	3,776,433	6,622,942
	Equipment	42,098	102,473	20,000	50,000	20,000	50,000
011	Stress Reduction	49,089	53,354	53,354	53,354	53,354	53,354
013	Trooper Training Class	0	925,512	3,102,480	1,551,240	2,251,860	1,876,550
014	Fleet Purchase	2,395,059	1,808,669	1,879,722	6,225,042	1,879,722	6,225,042
	Total - General Fund	**25,192,860**	**28,689,698**	**30,325,339**	**75,234,162**	**29,711,083**	**75,745,836**
	Special Transportation Fund						
	Personal Services	32,702,149	35,217,736	37,280,889	0	37,280,889	0
	Other Expenses	3,234,649	3,076,315	3,052,691	0	3,052,691	0
	Equipment	162,755	168,209	281,083	0	281,083	0

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
013	Trooper Training Class	23,959	0	0	0	0	0
014	Fleet Purchase	2,995,367	2,966,573	3,000,442	0	3,000,442	0
	Total - Special Transportation Fund	**39,118,879**	**41,428,833**	**43,615,105**	**0**	**43,615,105**	**0**
	Federal Contributions						
	Criminal Justice Statistics Dev.	151,391	168,222	95,000	95,000	95,000	95,000
	Drug Control & System Imrpr Gt	1,026,854	132,591	20,000	20,000	20,000	20,000
	State and Community Highway Safety	885,681	0	0	0	0	0
	Other Federal Assistance	597,734	270,764	293,000	293,000	293,000	293,000
	Total - Federal Contributions	**2,661,660**	**571,577**	**408,000**	**408,000**	**408,000**	**408,000**
	Additional Funds Available						
	Special Funds, Non-Appropriated	22,900	0	0	0	0	0
	Bond Funds	1,693,340	0	0	0	0	0
	Private Contributions	7,878,442	6,501,590	8,597,334	8,546,734	8,597,334	8,546,734
	Total - Additional Funds Available	**9,594,682**	**6,501,590**	**8,597,334**	**8,546,734**	**8,597,334**	**8,546,734**
	Total - All Funds	**76,568,081**	**77,191,698**	**82,945,778**	**84,188,896**	**82,331,522**	**84,700,570**
	Division of Fire, Emergency and Building Services						
	Permanent Full-Time Positions GF/OF	138/13	218/13	133/16	133/16	133/16	133/16
	General Fund						
	Personal Services	5,739,971	5,715,513	6,423,193	6,945,836	6,423,193	6,945,836
	Other Expenses	106,372	61,947	749,030	749,030	749,030	749,030
	Equipment	6,835	8,405	0	0	0	0
014	Fleet Purchase	98,240	70,505	95,579	95,287	95,579	95,287
	Grant Payments - Other Than Towns						
	Civil Air Patrol	37,384	38,692	38,692	38,692	38,692	38,692
	Total - General Fund	**5,988,802**	**5,895,062**	**7,306,494**	**7,828,845**	**7,306,494**	**7,828,845**
	Special Transportation Fund						
	Personal Services	81,393	85,237	110,203	0	110,203	0
	Equipment	6,775	2,726	0	0	0	0
	Total - Special Transportation Fund	**88,168**	**87,963**	**110,203**	**0**	**110,203**	**0**
	Federal Contributions						
	Hazardous Material Assistance	2,838	0	0	0	0	0
	Emergency Management Assistance	829,467	1,272,593	0	0	0	0
	St Disaster Preparedness Grants	50,089	73,811	55,100	55,100	55,100	55,100
	Hurricane Program	37,592	40,000	40,000	40,000	40,000	40,000
	Earthquake Hazards Reduction	52,278	86,286	50,000	50,000	50,000	50,000
	Emergency Mgmt Inst Field Trng	94,647	90,500	95,000	99,550	95,000	99,550
	St/Local Emerg Mgmt Assist-Other	502,633	523,895	523,895	523,895	523,895	523,895
	Facilities And Equipment	185	0	0	0	0	0
	Other Federal Assistance	46,694	47,740	47,740	47,740	47,740	47,740
	Total - Federal Contributions	**1,616,423**	**2,134,825**	**811,735**	**816,285**	**811,735**	**816,285**
	Additional Funds Available						
	Bond Funds	70,852	150,000	0	0	0	0
	Private Contributions	1,579,191	1,116,864	950,000	950,000	950,000	950,000
	Total - Additional Funds Available	**1,650,043**	**1,266,864**	**950,000**	**950,000**	**950,000**	**950,000**
	Total - All Funds	**9,343,436**	**9,384,714**	**9,178,432**	**9,595,130**	**9,178,432**	**9,595,130**
	Forensic Science Laboratory						
	Permanent Full-Time Positions GF	32	36	32	32	32	32
	General Fund						
	Personal Services	1,759,661	1,897,791	2,024,233	2,109,772	2,024,233	2,109,772
	Other Expenses	243,959	364,659	394,262	394,262	394,262	394,262
	Equipment	10,840	17,153	0	0	0	0
	Total - General Fund	**2,014,460**	**2,279,603**	**2,418,495**	**2,504,034**	**2,418,495**	**2,504,034**
	Additional Funds Available						
	Bond Funds	213,808	0	0	0	0	0
	Private Contributions	2,103	0	0	0	0	0
	Total - Additional Funds Available	**215,911**	**0**	**0**	**0**	**0**	**0**
	Total - All Funds	**2,230,371**	**2,279,603**	**2,418,495**	**2,504,034**	**2,418,495**	**2,504,034**
	Division of Administrative Services						
	Permanent Full-Time Positions GF/OF	142/2	132/2	137/2	137/2	137/2	137/2
	General Fund						
	Personal Services	5,594,675	6,590,968	6,136,813	6,463,367	6,136,813	6,463,367
	Other Expenses	3,748,344	4,026,678	4,201,767	4,211,673	4,201,767	4,211,673
	Equipment	42,446	41,995	0	0	0	0
014	Fleet Purchase	65,867	47,272	48,394	62,997	48,394	62,997
039	Workers' Compensation Claims	828,568	855,223	855,223	2,519,497	855,223	2,519,497
	Total - General Fund	**10,279,900**	**11,562,136**	**11,242,197**	**13,257,534**	**11,242,197**	**13,257,534**
	Special Transportation Fund						
	Personal Services	20,426	21,390	23,435	0	23,435	0
	Other Expenses	10,757	9,906	9,906	0	9,906	0
	Equipment	155,110	62,407	0	0	0	0
039	Workers' Compensation Claims	1,609,034	1,364,274	1,664,274	0	1,664,274	0
	Total - Special Transportation Fund	**1,795,327**	**1,457,977**	**1,697,615**	**0**	**1,697,615**	**0**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Federal Contributions						
	Criminal Justice Statistics Dev.	32,244	8,854	5,000	5,000	5,000	5,000
	Drug Control & System Imrpr Gt	52,652	0	0	0	0	0
	Other Federal Assistance	128,373	11,696	9,000	9,000	9,000	9,000
	Total - Federal Contributions	**213,269**	**20,550**	**14,000**	**14,000**	**14,000**	**14,000**
	Additional Funds Available						
	Special Funds, Non-Appropriated	129,000	715	0	0	0	0
	Bond Funds	3,789,888	0	0	0	0	0
	Private Contributions	89,677	83,241	78,771	78,771	78,771	78,771
	Total - Additional Funds Available	**4,008,565**	**83,956**	**78,771**	**78,771**	**78,771**	**78,771**
	Total - All Funds	**16,297,061**	**13,124,619**	**13,032,583**	**13,350,305**	**13,032,583**	**13,350,305**
	Less: Turnover - Personal Services - GF	0	0	-937,500	-1,369,341	-937,500	-1,369,341
	Less: Turnover - Personal Services - TF	0	0	-380,075	0	-380,075	0
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
601	Civil Air Patrol	37,384	38,692	38,692	38,692	38,692	38,692
	EQUIPMENT						
005	Equipment	102,219	170,026	20,000	50,000	20,000	50,000
005	Equipment	324,640	233,342	281,083	0	281,083	0
	Agency Grand Total	**104,438,949**	**101,980,634**	**106,257,713**	**108,269,024**	**105,643,457**	**108,780,698**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**830**	**49,860,070**	**830**	**49,860,070**	**0**	**0**	**0**	**0**
FY97 Governor's Estimated Expenditure - TF	**726**	**42,728,186**	**726**	**42,728,186**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	1,307,328	0	2,701,994	0	0	0	0
Other Expenses	0	1,058,160	0	1,046,657	0	0	0	0
Equipment	0	2,446,615	0	827,627	0	0	0	0
Stress Reduction	0	1,280	0	2,537	0	0	0	0
Trooper Training Class	0	-925,512	0	-925,512	0	0	0	0
Fleet Purchase	0	405,426	0	804,021	0	0	0	0
Workers' Compensation Claims	0	24,357	0	47,859	0	0	0	0
Civil Air Patrol	0	929	0	1,840	0	0	0	0
Total - General Fund	**0**	**4,318,583**	**0**	**4,507,023**	**0**	**0**	**0**	**0**
Personal Services	0	2,491,211	0	3,788,222	0	0	0	0
Other Expenses	0	53,190	0	122,159	0	0	0	0
Equipment	0	150,467	0	155,984	0	0	0	0
Fleet Purchase	0	-316,131	0	644,463	0	0	0	0
Workers' Compensation Claims	0	47,399	0	93,133	0	0	0	0
Total - Special Transportation Fund	**0**	**2,426,136**	**0**	**4,803,961**	**0**	**0**	**0**	**0**
Eliminate Inflation From Various Accounts - (B)								
-(G) The inflationary increase is eliminated for Other Expenses and various other agency accounts to effect economy.								
-(L)Same as Governor								
Other Expenses	0	-181,455	0	-367,203	0	0	0	0
Stress Reduction	0	-1,280	0	-2,537	0	0	0	0
Workers' Compensation Claims	0	-24,357	0	-47,859	0	0	0	0
Civil Air Patrol	0	-929	0	-1,840	0	0	0	0
Total - General Fund	**0**	**-208,021**	**0**	**-419,439**	**0**	**0**	**0**	**0**
Other Expenses	0	-64,075	0	-126,975	0	0	0	0
Workers' Compensation Claims	0	-47,399	0	-93,133	0	0	0	0
Total - Special Transportation Fund	**0**	**-111,474**	**0**	**-220,108**	**0**	**0**	**0**	**0**

Provide Funding for Trooper Trainee Classes - (B)
There are currently 979 state police officers of which 914 are paid from appropriated funds. There were 1,146 officers in 1989. As of 7/1/97 about 160 officers will be eligible to retire. An additional 60 could retire if the early retirement plan is implemented.
-(G) Funding is provided for two 80-person Trooper Training classes in FY '98 and one 80-person class in FY '99. It is anticipated that this will result in about 225 new

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
troopers which would replace those retiring and leaving state service. The budget is sufficient to cover the current number of personnel. -(L) Funding is provided for two 80-person Trooper Training classes to begin in FY '98 and one 60-person class in FY '99. It is anticipated that this will result in about 210 new troopers which would replace those retiring and leaving state service. Funding for each class is reduced by about $625 per Trainee due to revised equipment needs. Funding for the second class beginning in FY '98 is split between FY '98 and FY '99 due to a delay in starting the classes. The second class will start around April 1, 1998.								
Trooper Training Class	0	2,251,860	0	1,876,550	0	-850,620	0	325,310
Total - General Fund	**0**	**2,251,860**	**0**	**1,876,550**	**0**	**-850,620**	**0**	**325,310**
Retain Public Building Review Threshold - (B) The budget contains 82 positions and funding for the Department to expand its public building construction project review and inspection responsibilities in accordance with CGS Section 29-252a. (Prevention Program) -(G) Funding and 82 positions are eliminated by retaining the threshold below which public building projects do not require review by the Department of Public Safety. Section 29 of PA 97-11, JSS, "An Act Concerning Computerized Information Sharing, the Mashantucket Pequot and Mohegan Fund, Early Retirement, School Construction, State Buildings, Nursing Homes, Executive and Legislative Councils, Commissions and Task Forces, Appropriations for the Fiscal Years Ending June 30, 1997, 1998 and 1999, Spring and Well Water Collection, Project Concern, Notice Requirements for Psychiatric Admissions, the Tax on Net Direct Subscriber Charges of Health Care Centers, Elimination of Certain Wage Inequities, Sheriffs' Fees and Expenses of the Connecticut Siting Council" implements this provision by delaying the elimination of the threshold until July 1, 1999. -(L)Same as Governor								
Personal Services	-82	-2,971,954	-82	-2,971,954	0	0	0	0
Other Expenses	0	-150,700	0	-150,700	0	0	0	0
Equipment	0	-571,000	0	0	0	0	0	0
Fleet Purchase	0	-218,400	0	-218,400	0	0	0	0
Total - General Fund	**-82**	**-3,912,054**	**-82**	**-3,341,054**	**0**	**0**	**0**	**0**
Privatize Radio and Radar Maintenance - (B) -(G) Funding is reduced by one-third and twenty-two positions are eliminated by privatizing the Department's maintenance of radios and radar units. This will result in layoffs. -(L) Funding is reduced and 10 positions are eliminated by partially privatizing the Department's maintenance of radios and radar unit. This will not result in layoffs.								
Personal Services	-10	-340,909	-10	-340,909	12	409,091	12	409,091
Other Expenses	0	227,273	0	227,273	0	-272,727	0	-272,727
Total - General Fund	**-10**	**-113,636**	**-10**	**-113,636**	**12**	**136,364**	**12**	**136,364**
Privatize the Provision of Dietary Services - (B) The Department of Public Safety has care and control of the Connecticut Police Academy in Meriden. -(G) Funding and five positions are eliminated by privatizing the provision of dietary services at the Connecticut Police Academy. Actual savings will not be known until the contract is awarded in response to the agency's Request for Proposals (RFP). This will result in layoffs. -(L) Funding and five positions are eliminated by privatizing the provision of dietary services at the Connecticut Police Academy. Actual savings will not be known until the contract is awarded in response to the agency's Request for Proposals (RFP). This will result in layoffs.								
The municipal police recruits trained at the Academy are currently charged $370 per trainee for meals for a 17-week period (through the budget of the Police Officer Standards and Training Council - POST). This does not cover current								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
costs with the Department of Public Safety subsidizing these costs. It should be noted that the per meal charges to individual diners also do not cover current costs. Preliminary information indicates that the decision to privatize will increase costs. Regardless of the cost impact, the Department is directed to continue the current charge of $370 per municipal police recruit to POST. The Department of Public Safety budget will realize the additional costs or savings from the privatization of dietary services.								
Personal Services	-5	-157,757	-5	-157,757	0	0	0	0
Other Expenses	0	-2,000	0	-2,000	0	0	0	0
Total - General Fund	**-5**	**-159,757**	**-5**	**-159,757**	**0**	**0**	**0**	**0**
Fund Three Positions Through the E 9-1-1 Program - (B) PA 96-150, "An Act Implementing the Recommendations of the Task Force to Study Enhanced 9-1-1 Telecommunications Services Established by Public Act 95-318" authorized a revised system of funding for support of the E 9-1-1 system through assessments of telephone customers (up to 50 cents per line per month). The Department of Public Safety has three positions that administer the E 9-1-1 program in the Office of Statewide Emergency Telecommunications. -(G) The support for the three positions that administer the E 9-1-1 program is transferred from the General Fund to the E 9-1-1 Telecommunications Fund. These positions are in the Office of Statewide Emergency Telecommunications in the Division of Fire, Emergency and Building Services. -(L)Same as Governor								
Personal Services	-3	-177,232	-3	-177,232	0	0	0	0
Total - General Fund	**-3**	**-177,232**	**-3**	**-177,232**	**0**	**0**	**0**	**0**
Private Contributions	3	177,232	3	177,232	0	0	0	0
Total - Private Contributions	**3**	**177,232**	**3**	**177,232**	**0**	**0**	**0**	**0**
Reduce Funding Due to State Data Center Rate Reductions - (B) Many state agencies obtain computer services to support their business functions from the State Data Center, operated by the Department of Administrative Services through the Technical Services Revolving Fund. -(G) Due to a reorganization of the operation of the State Data Center, there is a significant reduction in the rates for services charged to state agencies (CATER and BOSS). -(L)Same as Governor								
Other Expenses	0	-260,956	0	-260,956	0	0	0	0
Total - General Fund	**0**	**-260,956**	**0**	**-260,956**	**0**	**0**	**0**	**0**
Fund Equipment Items from the CEPF - (B) The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least 3 years. It is financed through the sale of bonds and is administered by the Office of Policy and Management. -(G) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds). -(L)Same as Governor								
Equipment	0	-1,958,088	0	-1,166,700	0	0	0	0
Total - General Fund	**0**	**-1,958,088**	**0**	**-1,166,700**	**0**	**0**	**0**	**0**
Provide Funding for Additional Canines - (B) The Department of Public Safety currently has 48 dogs utilized in patrol and special investigation activities. This is a reduction from 72 canines two years ago. -(L) Funding is provided to train and maintain 10 additional canines. They provide an essential public safety service. In addition, it is proposed that the Fairfield Hills Hospital Campus be utilized for the construction of a								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
permanent canine training facility. A temporary facility is being built in State Police facilities in Meriden at a cost of about $350,000 (Bond Funds).								
Personal Services	0	70,000	0	35,000	0	70,000	0	35,000
Other Expenses	0	30,000	0	15,000	0	30,000	0	15,000
Total - General Fund	**0**	**100,000**	**0**	**50,000**	**0**	**100,000**	**0**	**50,000**
Transfer Highway Patrol to General Fund - (B) Funding for the Highway Patrol function of the Department of Public Safety was transferred from the General Fund to the Special Transportation Fund in FY '94. -(G) Funding for the Highway Patrol is transferred back to the General Fund beginning in FY '99. Fringe benefits for personnel will not be transferred until the next fiscal year. -(L)Same as Governor								
Personal Services	0	0	726	38,331,463	0	0	0	0
Other Expenses	0	0	0	3,068,666	0	0	0	0
Equipment	0	0	0	286,600	0	0	0	0
Fleet Purchase	0	0	0	3,961,036	0	0	0	0
Workers' Compensation Claims	0	0	0	1,664,274	0	0	0	0
Total - General Fund	**0**	**0**	**726**	**47,312,039**	**0**	**0**	**0**	**0**
Personal Services	0	0	-726	-38,331,463	0	0	0	0
Other Expenses	0	0	0	-3,068,666	0	0	0	0
Equipment	0	0	0	-286,600	0	0	0	0
Fleet Purchase	0	0	0	-3,961,036	0	0	0	0
Workers' Compensation Claims	0	0	0	-1,664,274	0	0	0	0
Total - Special Transportation Fund	**0**	**0**	**-726**	**-47,312,039**	**0**	**0**	**0**	**0**
Budget Totals - GF	**730**	**49,740,769**	**1,456**	**97,966,908**	**12**	**-614,256**	**12**	**511,674**
Budget Totals - TF	**726**	**45,042,848**	**0**	**0**	**0**	**0**	**0**	**0**
Budget Totals - OF	**3**	**177,232**	**3**	**177,232**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Labor Grievances handled	61	70	70	70	70	70	70	70
Employee Assistance Program cases	325	350	350	350	350	350	350	350
Percent Minority Representation (%)	33.3	33.5	33.5	33.5	33.5	33.5	33.5	33.5
Inventory Control ($M)	87	87	87	87	87	87	87	87
Federal Grants ($)	2,048,321	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000
State Grants ($)	4,051,361	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000
Workers' Compensation Claims	326	350	350	350	350	350	350	350
Payouts on Claims ($000)	6,500	4,400	6,000	6,000	6,000	6,000	6,000	6,000
Cases examined annually	13,035	13,374	15,701	16,100	15,701	16,100	15,701	16,100
Criminalistics/Serology/DNA	2,175	2,250	3,150	3,280	3,150	3,280	3,150	3,280
Questioned Documents	165	162	171	180	171	180	171	180
Imprints	135	140	140	140	140	140	140	140
Latent prints	2,140	2,260	2,480	2,600	2,480	2,600	2,480	2,600
Firearms	790	825	980	1,060	980	1,060	980	1,060
Photography	7,290	7,390	8,400	8,460	8,400	8,460	8,400	8,460
Reconstruction	340	352	380	380	380	380	380	380
Police Traffic Services								
Deterrent patrol (%)	18.9	30	30	30	30	30	30	30
Accident ratio	1.55:208	1.55:200	1.55:200	1.55:200	1.55:200	1.55:200	1.55:200	1.55:200
Enforcement index (%)	25.6	20	20	20	20	20	20	20
Percent exceeding 55mph (%)	72	20	20	20	20	20	20	20
Investigative Services								
Crimes against persons (% cleared)	76.4	52	52	52	52	52	52	52
Crimes against property (% cleared)	17	20	20	20	20	20	20	20
Support Services								
Assigned Fleet <70,000 mi (%)	n/a	98	98	98	98	98	98	98
License/permits Issued w/n 10 days (%)	n/a	90	90	90	90	90	90	90
Available ESU personnel	61	61	61	61	61	61	61	61
In-service training (avg. hrs/trooper)	70	70	70	70	70	70	70	70

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Office of State Fire Marshal								
Percent-Annual Inspections Completed								
Elevators (%)	95	95	95	95	95	95	95	95
Boilers (%)	90	90	90	90	90	90	90	90
State Buildings (%)	1.7	9.5	9.5	9.5	9.5	9.5	9.5	9.5
Theaters (%)	40	40	95	95	95	95	95	95
Amusement Rides (%)	100	100	100	100	100	100	100	100
Tents (%)	100	100	100	100	100	100	100	100
Percent of training requests of local governments (%)	100	100	100	100	100	100	100	100
Percent of Building plans & specifications reviewed within 30 days (%)	23	23	95	95	95	95	95	95
Percent of fire code modification requests reviewed within 45 days (%)	23	23	95	95	95	95	95	95
Office of State Building Inspector								
Percent of permitted "buildings" inspected (%)	90	100	100	100	100	100	100	100
Percent of building inspected (%)	80	85	85	88	85	88	85	88
Percent of building plans & specifications reviewed within 30 days (%)	85	87	87	90	87	90	87	90
Office of Emergency Management								
Percent of local radiological plans updated (%)	95	95	95	95	95	95	95	95
Percent of state radiological emergency plans updated (%)	100	100	100	100	100	100	100	100
Percent of required training programs provided (%)	80	80	80	80	80	80	80	80
Percent of required exercises conducted (%)	100	100	100	100	100	100	100	100
Percent of state and local emergency plans updated (%)	75	75	75	75	75	75	75	75

1997 BOND AUTHORIZATIONS

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	-	21(e)(1)	Alterations and improvements to buildings and grounds, including utilities, mechanical systems, energy conservation and removal and replacement of fuel storage tanks	9,000,000	-	500,000
SA 97-1 (J5 SS)	2(e)	21(e)(2)	Statewide telecommunications system including two-way radio system, land acquisition, site improvement, construction and equipment, including communications command center	68,192,000	8,500,000	5,900,000
SA 97-1 (J5 SS)	200	-	Increase in SA 95-20 22(d)(1): Statewide telecommunications system including two-way radio system, land acquisition, site improvement, construction and equipment, including communications command center. (Sec. 82 reduces a 1989 authorization of $19,100,000 by $2,029,680 for this purpose.)	same as above	2,029,680	-
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	82	-	Statewide telecommunications system including two-way radio system, land acquisition, site improvement, construction and equipment, including communications command center (SA 89-52 2(c)(1))	19,100,000	(2,029,680)	-
SA 97-1 (J5 SS)	185	-	Renovations and improvements to telephone systems, including new equipment (SA 95-20 2(d)(4))	875,000	(155,710)	-
SA 97-1 (J5 SS)	186	-	Alterations and improvements to facilities for the removal and disposal lead (SA 95-20 2(d)(7))	50,000	(50,000)	-
SA 97-1 (J5 SS)	187	-	Improvements to E-911 systems at various barracks facilities (SA 95-20 2(d)(8))	605,000	(205,000)	-

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Language Changes			
SA 97-1 (J5 SS)	154	-	Alterations, renovations and improvements to State Police Training Academy Buildings at [the Mulcahy Complex] PRESTON AVENUE, Meriden (SA 93-2 JSS 30(b)(3))	800,000	-	-
SA 97-1 (J5 SS)	184	-	Planning for renovations and improvements for an Eastern District Headquarters [at Mansfield Training School] for an Eastern District Headquarters facility SA 95-20 2(d)(3)	180,000	-	-
SA 97-1 (J5 SS)	201	-	Eastern District Headquarters Facility: Renovations and improvements to a building [at Mansfield Training School] SA 95-20 22(d)(3)	1,630,000	-	-

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $5,428,109 in Personal Services from the early retirement incentive program, and $353,765 in Personal Services and $433,271 in Other Expenses as part of the general reductions in FY '98. In addition, OPM has programmed allotment reductions to save $1,079,709 in Special Transportation Fund Personal Services from the early retirement incentive program. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $329,889 in the General Fund and $47,144 in the Special Transportation Fund for FY '98.

[2] Section 54 of SA 97-21, "An Act Concerning the State Budget for the Biennium Ending June 30, 1999, and Making Appropriations Therefor" carries forward $25,000 in funds for the Stress Reduction program. Section 71 of SA 97-21 carries forward the unexpended funds for Fleet Acquisition. Approximately $45,900 will be carried forward in the General Fund and about $481,600 in the Special Transportation Fund. These funds will not lapse on June 30, 1997 and will continue to be available for expenditure during the fiscal year ending June 30, 1998.

Police Officer Standards and Training Council[1]
2003

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	27	27	27	27	27	27
	Others Equated to Full-Time	3	3	3	3	3	3
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	1,157,455	1,234,063	1,363,592	1,446,954	1,378,592	1,461,954
002	Other Expenses	608,797	538,110	777,156	777,156	809,156	809,156
005	Equipment	0	151,982	10,000	10,000	10,000	10,000
6XX	Grant Payments - Other Than Towns	0	0	0	0	50,000	40,000
	Agency Total - General Fund[2][3]	**1,766,252**	**1,924,155**	**2,150,748**	**2,234,110**	**2,247,748**	**2,321,110**
	Agency Total - Appropriated Funds	**1,766,252**	**1,924,155**	**2,150,748**	**2,234,110**	**2,247,748**	**2,321,110**
	Additional Funds Available						
	Private Contributions	24,486	13,875	36,000	36,000	36,000	36,000
	Federal Contributions	73,456	93,375	108,000	0	108,000	0
	Agency Grand Total	**1,864,194**	**2,031,405**	**2,294,748**	**2,270,110**	**2,391,748**	**2,357,110**
	BUDGET BY PROGRAM						
	Instruction						
	Permanent Full-Time Positions GF	19	19	19	19	19	19
	General Fund						
	Personal Services	857,609	913,509	1,041,812	1,106,932	1,056,812	1,121,932
	Other Expenses	490,195	458,654	639,376	639,376	671,376	671,376
	Equipment	0	149,773	7,000	7,000	7,000	7,000
	Grant Payments - Other Than Towns						
	Alzheimer's Association	0	0	0	0	50,000	40,000
	Total - General Fund	**1,347,804**	**1,521,936**	**1,688,188**	**1,753,308**	**1,785,188**	**1,840,308**
	Federal Contributions						
	Drug Control & System Imrpr Gt	73,456	93,375	108,000	0	108,000	0
	Additional Funds Available						
	Private Contributions	24,486	13,875	36,000	36,000	36,000	36,000
	Total - All Funds	**1,445,746**	**1,629,186**	**1,832,188**	**1,789,308**	**1,929,188**	**1,876,308**
	Management Services						
	Permanent Full-Time Positions GF	8	8	8	8	8	8
	General Fund						
	Personal Services	299,846	320,554	385,385	407,189	385,385	407,189
	Other Expenses	118,602	79,456	137,780	137,780	137,780	137,780
	Equipment	0	2,209	3,000	3,000	3,000	3,000
	Total - General Fund	**418,448**	**402,219**	**526,165**	**547,969**	**526,165**	**547,969**
	Personal Services Savings						
	General Fund						
	Personal Services	0	0	-56,768	-56,768	-56,768	-56,768
	Less: Turnover - Personal Services - GF	0	0	-6,837	-10,399	-6,837	-10,399
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
601	Alzheimer's Association	0	0	0	0	50,000	40,000
	EQUIPMENT						
005	Equipment	0	151,982	10,000	10,000	10,000	10,000
	Agency Grand Total	**1,864,194**	**2,031,405**	**2,294,748**	**2,270,110**	**2,391,748**	**2,357,110**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**27**	**1,904,173**	**27**	**1,904,173**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	136,187	0	219,549	0	0	0	0
Other Expenses	0	45,663	0	64,635	0	0	0	0
Equipment	0	61,600	0	61,600	0	0	0	0
Total - General Fund	**0**	**243,450**	**0**	**345,784**	**0**	**0**	**0**	**0**
Consider Reduction of Basic Training Classes - (B)								
-(G) Funding is reduced by the reduction in the number of basic training classes for municipal police officers from 7 to 6 per year.								
-(L) Funding for 7 basic training classes is maintained through the FY 1997-99 biennium due to the continuing need for new municipal police officers.								
Other Expenses	0	0	0	0	0	22,000	0	22,000
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**22,000**	**0**	**22,000**
Eliminate Inflationary Increases - (B)								
-(G) Funding for inflationary increases in Other Expenses is eliminated to effect economy.								
-(L)Same as Governor								
Other Expenses	0	-16,382	0	-35,354	0	0	0	0
Total - General Fund	**0**	**-16,382**	**0**	**-35,354**	**0**	**0**	**0**	**0**
Annualize Costs of Part-Time Officer Training Program - (B)								
-(G) Funding is provided to annualize the costs of training part-time municipal police officers.								
-(L)Same as Governor								
Personal Services	0	49,078	0	49,078	0	0	0	0
Total - General Fund	**0**	**49,078**	**0**	**49,078**	**0**	**0**	**0**	**0**
Increase Funding for Vacant Positions - (B)								
-(G) Funding is provided to fill necessary positions that are currently vacant.								
-(L)Same as Governor								
Personal Services	0	53,162	0	53,162	0	0	0	0
Total - General Fund	**0**	**53,162**	**0**	**53,162**	**0**	**0**	**0**	**0**
Effect Economies in Agency Operations - (B)								
The Governor's Budget Recommendation includes General Agency Personal Services reductions of over $29.3 million in each fiscal year.								
-(G) Funding is reduced in Personal Services due to efficiencies in the operation of the agency.								
-(L)Same as Governor								
Personal Services	0	-56,768	0	-56,768	0	0	0	0
Total - General Fund	**0**	**-56,768**	**0**	**-56,768**	**0**	**0**	**0**	**0**
Increase Use of Consultants for Program Development - (B)								
-(G) Funding is increased for the use of consultant services to review and upgrade the training programs provided by the agency.								
-(L)Same as Governor								
Other Expenses	0	87,635	0	87,635	0	0	0	0
Total - General Fund	**0**	**87,635**	**0**	**87,635**	**0**	**0**	**0**	**0**
Reduce Agency Use of Contracted Services - (B)								
-(G) Funding is reduced and the agency is directed to reduce its use of contracted services.								
-(L)Same as Governor								
Other Expenses	0	-25,000	0	-25,000	0	0	0	0
Total - General Fund	**0**	**-25,000**	**0**	**-25,000**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Consider Elimination of Satellite Training - (B) The agency currently provides specific training courses to the municipal police training recruits at the satellite training academies operated by five municipalities (Hartford, Bridgeport, Milford, New Haven and Stamford). -(G) Funding for the provision of driver training and water safety at the satellite training sites is eliminated to effect economy. This is a cost shift to municipalities. -(L) .Funding for these training programs is maintained.								
Personal Services	0	0	0	0	0	15,000	0	15,000
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**15,000**	**0**	**15,000**
Fund Equipment Items from the CEPF - (B) The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least 3 years. It is financed through the sale of bonds and is administered by the Office of Policy and Management. -(G) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds). -(L)Same as Governor								
Equipment	0	-51,600	0	-51,600	0	0	0	0
Total - General Fund	**0**	**-51,600**	**0**	**-51,600**	**0**	**0**	**0**	**0**
Provide Funding for Alzheimer's Awareness Training - (B) The Alzheimer's Safe Return Program is a two part effort to register Alzheimer's patients in a national database and to train public safety personnel in reuniting them with family members if they are lost. -(L) Funding is provided to include Safe Return Program training for municipal police officers. Funds are for written materials and a video training police officers on how to identify and deal with victims of Alzheimer's disease. Funds are also provided for a grant to the Alzheimer's Disease and Related Disorders Association, Inc. to fund a full-time state coordinator and for a portion of clerical support.							
Other Expenses	0	10,000	0	10,000	0	10,000	0	10,000
Alzheimer's Association	0	50,000	0	40,000	0	50,000	0	40,000
Total - General Fund	**0**	**60,000**	**0**	**50,000**	**0**	**60,000**	**0**	**50,000**
Budget Totals - GF	**27**	**2,247,748**	**27**	**2,321,110**	**0**	**97,000**	**0**	**87,000**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Films, books and audio visual packages loaned	768	900	850	800	850	800	850	800
General notices-Administration	5	5	5	5	5	5	5	5
News letters published	6	5	5	5	5	5	5	5
Operational rules & regulation changes	2	10	6	6	6	6	6	6
Licenses and accreditation grants	5,500	4,000	4,000	4,000	4,000	4,000	4,000	4,000
Licenses and accreditation revoked	40	40	40	40	40	40	40	40
Occupational licenses issued	5,500	4,000	4,000	4,000	4,000	4,000	4,000	4,000
Municipal Police recruits trained/550 hours each	303	280	280	280	240	240	280	280
In-Service Training for Police Officers Course offered	114	115	115	115	0	0	0	0
Participants	3,944	4,000	4,000	4,000	4,000	4,000	4,000	4,000
Law enforcement driving instruction Officers trained	500	500	500	500	280	280	280	280
Law Enforcement Training Bulletin	6	5	5	5	5	5	5	5
Part-Time Officers Trained/Coordinated	50	50-60	50-60	50-60	50-60	50-60	50-60	50-60

[1] Per the provisions of CGS Section 7-294b(a), the Police Officer Standards and Training Council is assigned to the Division of State Police of the Department of Public Safety for administrative purposes only.

[2] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $124,799 in Personal Services from the early retirement incentive program, and $13,786 in Personal Services and $38,435 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $2,593 for FY '98.

[3] Section 37 of SA 97-21, "An Act Concerning the State Budget for the Biennium Ending June 30, 1999, and Making Appropriations Therefor" carries forward up to $142,000 of Other Expenses funds for the completion of the agency's office automation project. These funds will not lapse on June 30, 1997 and will continue to be available for expenditure during the fiscal year ending June 30, 1998.

Board of Firearms Permit Examiners[1]
2004

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	2	2	2	2	2	2
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	60,906	66,690	74,519	79,545	74,519	79,545
002	Other Expenses	16,657	16,707	19,007	19,007	19,007	19,007
	Agency Total - General Fund	**77,563**	**83,397**	**93,526**	**98,552**	**93,526**	**98,552**
	Agency Total - Appropriated Funds	**77,563**	**83,397**	**93,526**	**98,552**	**93,526**	**98,552**
	Agency Grand Total	**77,563**	**83,397**	**93,526**	**98,552**	**93,526**	**98,552**
	BUDGET BY PROGRAM						
	Board of Firearms Permit Examiners						
	Permanent Full-Time Positions GF	2	2	2	2	2	2
	General Fund						
	Personal Services	60,906	66,690	74,519	79,545	74,519	79,545
	Other Expenses	16,657	16,707	19,007	19,007	19,007	19,007
	Total - General Fund	**77,563**	**83,397**	**93,526**	**98,552**	**93,526**	**98,552**
	Agency Grand Total	**77,563**	**83,397**	**93,526**	**98,552**	**93,526**	**98,552**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**2**	**85,463**	**2**	**85,463**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	5,763	0	10,789	0	0	0	0
Other Expenses	0	2,300	0	2,300	0	0	0	0
Equipment	0	15,000	0	0	0	0	0	0
Total - General Fund	**0**	**23,063**	**0**	**13,089**	**0**	**0**	**0**	**0**
Fund Equipment Items from the CEPF - (B)								
The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least 3 years. It is financed through the sale of bonds and is administered by the Office of Policy and Management.								
-(G) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds).								
-(L)Same as Governor								
Equipment	0	-15,000	0	0	0	0	0	0
Total - General Fund	**0**	**-15,000**	**0**	**0**	**0**	**0**	**0**	**0**
Budget Totals - GF	**2**	**93,526**	**2**	**98,552**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Appeals received	472	500	500	500	500	500	500	500
Meetings conducted	23	23	23	23	23	23	23	23
Hearings	196	200	200	200	200	200	200	200
Booklets distributed	12,500	12,500	12,500	12,500	12,500	12,500	12,500	12,500
Informal consultations	7,500	7,500	7,500	7,500	7,500	7,500	7,500	7,500

[1] Per the provisions of CGS Section 29-32b, the Board of Firearms Permit Examiners is assigned to the Department of Public Safety for administrative purposes only.

Motor Vehicle Department[1]
2101

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	Special Transportation Fund						
	Permanent Full-Time	753	732	691	652	702	658
	Additional Funds Available						
	Permanent Full-Time	124	135	135	135	135	135
	OPERATING BUDGET						
	Appropriated Funds						
	Special Transportation Fund						
001	Personal Services	29,747,366	29,812,184	31,226,777	31,143,956	31,226,777	31,143,956
002	Other Expenses	12,674,383	12,735,569	12,553,407	12,833,407	12,553,407	12,833,407
005	Equipment	1,255,392	450,000	700,000	700,000	700,000	700,000
02X	Other Current Expenses	133,127	250,000	1,743,405	2,781,705	200,000	200,000
	Agency Total - Special Transportation Fund[2]	**43,810,268**	**43,247,753**	**46,223,589**	**47,459,068**	**44,680,184**	**44,877,363**
	Agency Total - Appropriated Funds[2]	**43,810,268**	**43,247,753**	**46,223,589**	**47,459,068**	**44,680,184**	**44,877,363**
	Additional Funds Available						
	Emissions Enterprise Fund[3]	23,386,965	22,013,467	24,999,481	24,862,787	24,999,481	24,862,787
	Special Funds, Non-Appropriated[4][5]	926,637	1,153,163	1,168,329	1,241,642	1,168,329	1,241,642
	Private Contributions[6]	12,789	0	0	0	0	0
	Federal Contributions[7]	640,811	617,000	617,000	617,000	617,000	617,000
	Agency Grand Total	**68,777,470**	**67,031,383**	**73,008,399**	**74,180,497**	**71,464,994**	**71,598,792**
	BUDGET BY PROGRAM						
	Customer Services						
	Permanent Full-Time Positions TF/OF	383/6	373/6	356/6	330/6	360/6	352/6
	Special Transportation Fund						
	Personal Services	14,768,647	14,838,726	16,095,756	16,735,668	16,095,756	16,735,668
	Other Expenses	3,789,445	4,528,918	4,902,140	4,902,130	4,902,140	4,902,130
	Equipment	686,620	125,000	335,000	325,000	335,000	325,000
012	Child Restraint Safety Program	0	50,000	50,000	50,000	0	0
014	Contracted Safety Inspection	0	0	1,493,405	2,531,705	0	0
	Total - Special Transportation Fund	**19,244,712**	**19,542,644**	**22,876,301**	**24,544,503**	**21,332,896**	**21,962,798**
	Additional Funds Available						
	Special Funds, Non-Appropriated (Boating Fund)[4]	578,899	563,231	626,811	654,558	626,811	654,558
	Total - All Funds	**19,823,611**	**20,105,875**	**23,503,112**	**25,199,061**	**21,959,707**	**22,617,356**
	Regulation						
	Permanent Full-Time Positions TF/OF	166/18	162/18	159/18	159/18	162/18	159/18
	Special Transportation Fund						
	Personal Services	6,242,578	6,328,913	6,861,749	7,190,992	6,861,749	7,190,992
	Other Expenses	825,219	666,481	449,068	599,068	449,068	599,068
	Equipment	5,018	20,000	200,000	65,000	200,000	65,000
011	Graduated Licenses	133,127	200,000	200,000	200,000	200,000	200,000
	Total - Special Transportation Fund	**7,205,942**	**7,215,394**	**7,710,817**	**8,055,060**	**7,710,817**	**8,055,060**
	Federal Contributions						
	State & Community Hwy Safety/ MCSAP[7]	607,644	590,000	590,000	590,000	590,000	590,000
	Additional Funds Available						
	Special Funds, Non-Appropriated (Insurance Enforcement Fund)[5]	336,110	558,859	541,518	587,084	541,518	587,084
	Total - All Funds	**8,149,696**	**8,364,253**	**8,842,335**	**9,232,144**	**8,842,335**	**9,232,144**

	Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
Management Services						
Permanent Full-Time Positions TF/OF	48/0	47/1	26/1	23/1	40/1	27/1
Special Transportation Fund						
Personal Services	2,260,920	2,313,951	2,110,510	1,462,187	2,110,510	1,462,187
Other Expenses	272,539	210,567	210,990	211,000	210,990	211,000
Equipment	1,356	5,000	0	110,000	0	110,000
Total - Special Transportation Fund	**2,534,815**	**2,529,518**	**2,321,500**	**1,783,187**	**2,321,500**	**1,783,187**
Federal Contributions						
State & Community Hwy Safety/ MCSAP[7]	6,627	0	0	0	0	0
Additional Funds Available						
Special Funds, Non-Appropriated (Insurance Enforcement Fund)[5]	11,628	31,073	0	0	0	0
Private Contributions[6]	11,547	0	0	0	0	0
Total - Additional Funds Available	**23,175**	**31,073**	**0**	**0**	**0**	**0**
Total - All Funds	**2,564,617**	**2,560,591**	**2,321,500**	**1,783,187**	**2,321,500**	**1,783,187**
Support Services						
Permanent Full-Time Positions TF/OF	156/1	150/1	150/1	140/1	140/1	120/1
Special Transportation Fund						
Personal Services	6,475,221	6,330,594	6,317,730	5,916,684	6,317,730	5,916,684
Other Expenses	7,787,180	7,329,603	6,991,209	7,121,209	6,991,209	7,121,209
Equipment	562,398	300,000	165,000	200,000	165,000	200,000
Total - Special Transportation Fund	**14,824,799**	**13,960,197**	**13,473,939**	**13,237,893**	**13,473,939**	**13,237,893**
Federal Contributions						
State & Community Hwy Safety/ MCSAP[7]	26,540	27,000	27,000	27,000	27,000	27,000
Additional Funds Available						
Private Contributions[6]	1,242	0	0	0	0	0
Total - All Funds	**14,852,581**	**13,987,197**	**13,500,939**	**13,264,893**	**13,500,939**	**13,264,893**
Emissions Inspection						
Permanent Full-Time Positions /OF	/99	/109	/109	/109	/109	/109
Additional Funds Available						
Personal Services	3,082,700	3,969,056	4,758,990	4,981,977	4,758,990	4,981,977
Other Expenses	20,304,265	18,019,832	20,160,491	19,828,110	20,160,491	19,828,110
Equipment - EEF	0	24,579	80,000	52,700	80,000	52,700
Total - Additional Funds Available	**23,386,965**	**22,013,467**	**24,999,481**	**24,862,787**	**24,999,481**	**24,862,787**
Total - All Funds	**23,386,965**	**22,013,467**	**24,999,481**	**24,862,787**	**24,999,481**	**24,862,787**
Less: Turnover - Personal Services - TF	0	0	-158,968	-161,575	-158,968	-161,575
EQUIPMENT						
005 Equipment	1,255,392	450,000	700,000	700,000	700,000	700,000
Agency Grand Total	**68,777,470**	**67,031,383**	**73,008,399**	**74,180,497**	**71,464,994**	**71,598,792**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - TF	**732**	**43,247,753**	**732**	**43,247,753**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	2,805,301	0	4,694,804	0	0	0	0
Other Expenses	0	386,441	0	386,441	0	0	0	0
Equipment	0	300,000	0	300,000	0	0	0	0
Contracted Safety Inspection	0	317,405	0	317,405	0	0	0	0
Vision Screening	0	1,107,130	0	1,130,858	0	0	0	0
Total - Special Transportation Fund	**0**	**4,916,277**	**0**	**6,829,508**	**0**	**0**	**0**	**0**
Adjustment in Permanent Full-Time Positions - (B) -(L) Due to an inadvertent omission, the 1997-99 Governor's Budget shows an incorrect Permanent Full-Time (PFT) position number in each of the fiscal years of the biennium. In FY 1998, the correct number should be 702 (not 691) and in FY 1999, the number should be 658 (not 652) PFT positions.								
Personal Services	11	0	6	0	11	0	6	0
Total - Special Transportation Fund	**11**	**0**	**6**	**0**	**11**	**0**	**6**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Implement Lockbox Operations - (B)								
-(G) As the result of efficiencies created by the implementation of a lockbox system, 4 PFT positions and corresponding funding can be eliminated.								
-(L)Same as Governor								
Personal Services	-4	-91,798	-4	-95,696	0	0	0	0
Total - Special Transportation Fund	**-4**	**-91,798**	**-4**	**-95,696**	**0**	**0**	**0**	**0**
Eliminate Communications Unit - (B)								
The Communications Unit is responsible for all media communications involving the Department of Motor Vehicles (DMV) and for the design and content of all brochures, manuals and posters. The Department would contract out for the design services of the various communications projects. Media communications would be assigned to a Deputy Commissioner.								
-(G) As a result of this recommendation, 4 PFT positions and corresponding funding can be eliminated.								
-(L)Same as Governor								
Personal Services	-4	-202,186	-4	-211,342	0	0	0	0
Total - Special Transportation Fund	**-4**	**-202,186**	**-4**	**-211,342**	**0**	**0**	**0**	**0**
Eliminate Law Enforcement Communications - (B)								
This unit operates 24 hours a day, 365 days a year. It provides information on driver's licenses and passenger registrations to state and municipal law enforcement agencies, sheriffs, judicial investigators and non-profit organizations. Law enforcement agencies have on-line access to driver and registration information through the Collect System.								
-(G) As the result of this recommendation, 8 PFT positions and corresponding funding can be eliminated. Information would be provided during the Department of Motor Vehicles' (DMV) operating hours by the Copy Records Unit and the DMV help desk. Non-profit organizations would have to contact their local police departments if they have parking problems at their facilities. Approximately 4,545 calls are received from state and local police annually.								
-(L)Same as Governor								
Personal Services	-8	-234,564	-8	-245,029	0	0	0	0
Total - Special Transportation Fund	**-8**	**-234,564**	**-8**	**-245,029**	**0**	**0**	**0**	**0**
Eliminate Staff Development Section - (B)								
This unit is responsible for providing training and staff development opportunities to increase job effectiveness and career development. The unit offers in-service training courses, new employee orientation, and schedules courses provided by the Department of Administrative Services (DAS) and private providers. The unit also maintains records on courses taken by each employee.								
-(G) As the result of this recommendation, managers will assume responsibility for training and staff development.								
-(L)Same as Governor								
Personal Services	-2	-115,889	-2	-120,926	0	0	0	0
Total - Special Transportation Fund	**-2**	**-115,889**	**-2**	**-120,926**	**0**	**0**	**0**	**0**
Reduce Management Service Staff - (B)								
The Management Services Unit is responsible for the design of all departmental forms, for working with operating units on process improvements and quality initiatives and for assisting units in the implementation of legislative mandates.								
-(G) As the result of this recommendation, 3 PFT positions and corresponding funding will be eliminated.								
-(L)Same as Governor								
Personal Services	-3	-168,976	-3	-173,762	0	0	0	0
Total - Special Transportation Fund	**-3**	**-168,976**	**-3**	**-173,762**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Allow Driving Schools to Administer Motor Vehicle Driver Exams - (B)								
-(G) Currently, the Department has a unit dedicated to administering driver exams for students attending driving schools. By allowing licensed driving schools to administer driver exams for class one licenses, 9 PT positions can be eliminated. The driving schools would sign a certificate for each applicant that completes the driver's education course and passes the exam prior to the issuance of the driver's license.								
-(L)Same as Governor								
Personal Services	0	-308,268	-9	-342,148	0	0	0	0
Other Expenses	-9	-15,420	0	-15,420	0	0	0	0
Total - Special Transportation Fund	**-9**	**-323,688**	**-9**	**-357,568**	**0**	**0**	**0**	**0**
Transfer Mainframe Computer Operation to CATER - (B)								
The Department currently maintains a mainframe computer system operation and utilizes the Connecticut Administrative Technology Center's (CATER) computer system to house various files and operating systems. The transfer of the mainframe computer operation to CATER will eliminate the future need to upgrade the Department's current computer system. Efficiencies will be realized by eliminating redundant files that are maintained in both systems. The Department of Motor Vehicles is working with the Department of Administrative Services (DAS) on a plan, time line and costs to accomplish this transaction. It is estimated that an additional $230,000 will be required in Other Expenses to support CATER's operations.								
-(G) As the result of this recommendation, 10 PFT positions and corresponding funding can be eliminated.								
-(L)Same as Governor								
Personal Services	0	0	-10	-442,235	0	0	0	0
Other Expenses	0	0	0	230,000	0	0	0	0
Total - Special Transportation Fund	**0**	**0**	**-10**	**-212,235**	**0**	**0**	**0**	**0**
Eliminate Inspections Standards Unit - (B)								
The Inspection Standards Unit is responsible for setting standards and proposing regulations for safety inspections which includes ten-year-old vehicles when ownership is transferred, and out-of-state and commercial vehicles, i.e. trucks, taxicabs, construction vehicles and buses. This unit also inspects handicapped vehicles, approves replacement of school buses and inspects reported safety violations at auto raceways. The Department would contract out on an as needed basis.								
-(G) As the result of this recommendation, funding for one (1) durational position can be eliminated.								
-(L)Same as Governor								
Personal Services	0	-94,238	0	-94,238	0	0	0	0
Total - Special Transportation Fund	**0**	**-94,238**	**0**	**-94,238**	**0**	**0**	**0**	**0**
Reduce Administrative Services Staff—Printshop, Warehouse, Maintenance - (B)								
-(G) The Governor recommends that most forms and printed materials be procured from private contractors and shipped directly to users, i.e., branch offices, dealers, etc. The Governor is also proposing that task labor contracts for electrical and other maintenance services be utilized at the Wethersfield facility. However, any collective bargaining issues concerning contracting out for services must be cleared. As a result, 5 positions associated with the printshop/warehouse can be eliminated. In addition, one electrician, one security guard and one maintenance supervisor can also be eliminated. The cost for privatizing these activities would be $50,000.								
-(L)Same as Governor								
Personal Services	0	0	-8	-250,115	0	0	0	0
Other Expenses	0	0	0	50,000	0	0	0	0
Total - Special Transportation Fund	**0**	**0**	**-8**	**-200,115**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Reduction in Technical Services Rates - (B)								
The Connecticut Administrative Technology Center (CATER) provides internal computer services to state agencies. It provides continuous service 24 hours a day, 7 days a week. It recovers its costs through the Technical Services Revolving Fund concept.								
-(G) The Governor recommends a reduction in Other Expenses to reflect reductions in CATER/BOSS rates.								
-(L)Same as Governor								
Personal Services	0	-335,251	0	-335,251	0	0	0	0
Total - Special Transportation Fund	**0**	**-335,251**	**0**	**-335,251**	**0**	**0**	**0**	**0**
Reduce Departmental Fleet - (B)								
-(G) Based on a review conducted throughout the Department to identify vehicles that are no longer necessary from the Department of Administrative Services' (DAS) fleet program operation, the Governor recommends the reduction of the agency's fleet from 131 to 111 vehicles.								
-(L)Same as Governor								
Other Expenses	0	-67,932	0	-67,932	0	0	0	0
Total - Special Transportation Fund	**0**	**-67,932**	**0**	**-67,932**	**0**	**0**	**0**	**0**
Reduce Other Expenses - (B)								
-(G) The Governor recommends an across-the-board reduction in the Other Expenses account to effect economies.								
-(L)Same as Governor								
Other Expenses	0	-150,000	0	-150,000	0	0	0	0
Total - Special Transportation Fund	**0**	**-150,000**	**0**	**-150,000**	**0**	**0**	**0**	**0**
Defer the Acquisition of Replacement Equipment - (B)								
-(G) The Governor recommends deferring the replacement of equipment. Some of the equipment that would not be replaced would be desks and file cabinets, fax machines, etc. The FY 1997 estimated expenditure is $450,000. The recommended appropriation for FY 1997-99 is $700,000.								
-(L)Same as Governor								
Equipment	0	-50,000	0	-50,000	0	0	0	0
Total - Special Transportation Fund	**0**	**-50,000**	**0**	**-50,000**	**0**	**0**	**0**	**0**
Reduce Non-Direct Customer Service and Support Staff - (B)								
-(G) The increase in staff hours from 35 to 40 hours per week will yield an equivalent increase in person hours of work available to the agency. In non-direct customer service and support areas, the increase in hours could be utilized to reduce full-time employees. In direct customer services, the increase in hours was reflected in a reduction in straight-time overtime hours in the current services budget. This reduction would affect the following units: Customer Services, 5 positions; Regulation, 3 positions; Management Services, 3 positions; and Support Services, 2 positions.								
-(L)Same as Governor								
Personal Services	0	0	-13	-371,784	0	0	0	0
Total - Special Transportation Fund	**0**	**0**	**-13**	**-371,784**	**0**	**0**	**0**	**0**
Eliminate Program Coordinator Positions-Branch Operations - (B)								
Program coordinators in the Branch Operations Division oversee various programs (i.e., AAA photo licensing, updating drivers manuals, etc.) and coordinate the implementation of new initiatives such as the issuance of titles at branch offices, restorations of driver licenses at branch offices, implementation of new legislative mandates (i.e., 16- and 17-year-old driver permits, vision screening) and the privatization of safety inspections.								
-(G) The Department proposes the elimination of three positions to effect economies. Responsibility for the various functions and initiatives would be assigned to management personnel.								
-(L)Same as Governor								
Personal Services	0	0	-3	-167,010	0	0	0	0
Total - Special Transportation Fund	**0**	**0**	**-3**	**-167,010**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Eliminate the Internal Investigations Unit - (B)								
-(G) Currently, the Department conducts investigations of suspected criminal activity within the Department. As the result of this recommendation, all cases will be referred to the State Police or the State's Attorney's office for investigation.								
-(L)Same as Governor								
Personal Services	0	-91,757	0	-95,716	0	0	0	0
Total - Special Transportation Fund	**0**	**-91,757**	**0**	**-95,716**	**0**	**0**	**0**	**0**
Re-Align Operating Units - (B)								
-(G) The Governor recommends the restructure of the Department's operating units by consolidating similar functions. The restructuring will result in larger operating units which will require fewer managers/supervisors. As the result of this recommendation, 8 positions can be eliminated.								
-(L)Same as Governor								
Personal Services	0	0	-8	-666,806	0	0	0	0
Total - Special Transportation Fund	**0**	**0**	**-8**	**-666,806**	**0**	**0**	**0**	**0**
Reduce Legislative and Regulations Staff - (B)								
-(G) The Governor recommends the reduction of positions assigned to the Legislative and Regulation Unit from 5 to 3. This unit works with other operating units to prepare legislation and regulations, processes claims against the Department and responds to inquiries from the legislature.								
-(L)Same as Governor								
Personal Services	0	-83,032	-2	-86,225	0	0	0	0
Total - Special Transportation Fund	**0**	**-83,032**	**-2**	**-86,225**	**0**	**0**	**0**	**0**
Privatize Safety Inspections - (B)								
-(G) The Department of Motor Vehicles (DMV) has entered into an agreement with Envirotest (the emissions contractor) to provide safety inspections for ten-year old vehicles when title is transferred and for out-of-state vehicles at 11 locations throughout the state. This recommendation will enable the Department to offer one-stop shopping for emissions and safety inspections to out-of-state residents who are relocating to Connecticut.								
The $12.7 million contract obligation covers a term of 5 1/2 years which is co-terminus with the emissions inspection contract subject to appropriation of funds. Based on an annual volume of 165,000 safety inspections, the contractor would be paid at the initial rate of $12.95 per completed inspection. The rate increases each year of the term to a maximum of $15.40. (The statutory safety inspection fee of $25 is deposited in the Transportation Fund.) To meet contract requirements, the Governor has recommended $1,493,405 in FY 1998 and $2,531,700 in FY 1999. No savings have been identified in the budget to counteract privatization costs.								
-(L) Funding for the privatization of the safety emissions program is not recommended for the following reasons:								
(1) The Department of Motor Vehicles (DMV) has been conducting the safety inspection program since its inception with DMV Inspectors; (2) no savings resulting from the privatization have been identified; (3) the DMV will continue to conduct safety inspections on salvaged vehicles, trailers, motorcycles, vehicles weighing over 10,000 lbs., taxis, etc., and, (4) since the DMV has closed all outdoor facilities, all DMV inspections will be conducted at indoor facilities, thereby ensuring uniform and consistent safety inspection standards.								
Contracted Safety Inspection	0	-317,405	0	-317,405	0	-1,493,405	0	-2,531,705
Total - Special Transportation Fund	**0**	**-317,405**	**0**	**-317,405**	**0**	**-1,493,405**	**0**	**-2,531,705**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Eliminate Funding for Child Restraint System Program - (B) PA 96-257 enacted the child restraint law which requires that anyone convicted for a first or second violation of the child restraint law to attend a car seat safety program offered or approved by the Department of Motor Vehicles. The effective date of the program was 10/1/96, but it became operational at the beginning of calendar 1997. The General Assembly appropriated $50,000 to the Department for the publication of a pamphlet warning of the dangers of driving a motor vehicle with unrestrained children and the penalties for violating the laws. The pamphlet is to be distributed to all licensed drivers and to certain health care facilities. -(L) The Governor recommended $50,000 in each fiscal year of the biennium. However, since the legislative intent of the appropriation was for the fiscal year ending June 30, 1997, the additional appropriation in the FY 1997-99 biennium is being eliminated.								
Child Restraint Safety Program	0	-50,000	0	-50,000	0	-50,000	0	-50,000
Total - Special Transportation Fund	**0**	**-50,000**	**0**	**-50,000**	**0**	**-50,000**	**0**	**-50,000**
Eliminate/Postpone the Vision Screening Requirement - (B) The Vision Screening Program is to commence on 7/1/97 in accordance with a schedule adopted by the Commissioner. It applies to every other renewal following the initial screening test. The Commissioner may accept results of a vision screening test performed by a health care professional during the 3 months preceding the renewal. No motor vehicle operator's license may be renewed unless the operator passes the vision screening. The requirement for the implementation of a Vision Screening Program was made under PA 92-9, MSS. Section 49 of PA 93-80 delayed the program to 7/1/95; PA 95-223 postponed it to 7/1/97. -(G) The Governor has recommended the removal of $1.1 million from the current services level in each of the fiscal years of the 1997-99 biennium. The Graduated License Program, which was implemented on an informal basis beginning in December, 1992, has made the vision screening requirement unnecessary. The licenses are made available to: 1) any motorist referred to the Department by an eye care professional, or 2) on a voluntary basis. The type of license issued is based on the advice of the driver's medical professional who provides the Department of Motor Vehicles (DMV) with diagnostic information. DMV personnel, in conjunction with the Medical Advisory Board, review the medical reports. The license is issued at no additional charge if the driver has a current license. The Department issues 6 different types of graduated licenses. Two hundred thousand dollars, ($200,000) has been provided in each of the fiscal years of the 1997-99 biennium for the continuation of this program. -(L) PA 97-284 postpones the vision screening requirement to 7/1/99.								
Vision Screening	0	-1,107,130	0	-1,130,858	0	0	0	0
Total - Special Transportation Fund	**0**	**-1,107,130**	**0**	**-1,130,858**	**0**	**0**	**0**	**0**
Budget Totals - TF	**713**	**44,680,184**	**664**	**44,877,363**	**11**	**-1,543,405**	**6**	**-2,581,705**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Hearings/Part-Time Adjudicators	5700/15	5700/15	5700/15	5700/15	5700/15	5700/15	5700/15	5700/15
Agency Revenue/Budget Allotment ($M)	228.5/64	299.6/72	299.6/72	299.6/72	299.6/72	299.6/72	299.6/72	299.6/72
Revenue Collections by branches for DMV and other agencies ($000)	258,592	258,592	258,592	258,592	258,592	258,592	258,592	258,592
Lease/Rental cost ($000)	575	580	1,379	1,410	1,379	1,410	1,379	1,410
Transactions Processed by branches for DMV and other agencies (000)	6,236	6,236	6,236	6,236	6,236	6,236	6,236	6,236

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Telephone Inquiries answered Phone Center per month (000)	128	128	128	128	128	128	128	128
Drivers tested for Connecticut licenses (000)	223	223	223	223	223	223	223	223
Motor vehicle inspections (000)	195	195	195	195	195	195	195	195
Mail Registration Renewals returned by customers by end of month (%)	110	110	110	110	110	110	110	110
Mail transactions processed (000)	1,109	1,109	1,109	1,109	1,109	1,109	1,109	1,109
Average time to process 2nd yr passenger registration refunds (days)	5	5	5	5	5	5	5	5
Average # of registration transaction errors pending correction	500	500	500	500	500	500	500	500
Dealer license fees collected ($000)	1,434	1,434	1,434	1,434	1,434	1,434	1,434	1,434
Dealer license inspections	666	666	666	666	666	666	666	666
Consumer complaints received	5,000	5,000	5,000	5,000	5,000	5,000	5,000	5,000
Avg. days to resolve 95% of complaints	148	148	148	148	148	148	148	148
Title issued (000)	741	741	741	741	741	741	741	741
Stolen vehicles detected through titling process	800	800	800	800	800	800	800	800
Vehicles Inspected (M)	1.36	1.36	1.36	1.36	1.36	1.36	1.36	1.36
Vehicles failed initial inspection (%)	7.5	7.5	7.5	7.5	7.5	7.5	7.5	7.5
Vehicles failed reinspection (%)	25	25	25	25	25	25	25	25
Annual pollutant reduction since 1/1/83 (tons) (000)	150	150	150	150	150	150	150	150
Fees collected ($M)	24.7	24.7	24.7	24.7	24.7	24.7	24.7	24.7
Fleet licenses issued	78	78	78	78	78	78	78	78
Restoration fees collected (000)	2,762	2,762	2,762	2,762	2,762	2,762	2,762	2,762
Telephone & In-Person client inquiries about suspensions & accidents (000)	135	135	135	135	135	135	135	135
Suspended operators convicted for driving while suspended (% operators)	7.1	7.1	7.1	7.1	7.1	7.1	7.1	7.1
Copy records requested	39,611	39,611	39,611	39,611	39,611	39,611	39,611	39,611
Copy records revenue (000)	7,867	7,867	7,867	7,867	7,867	7,867	7,867	7,867
Hours auditing revenue, assets, money flow	6,735	6,735	6,735	6,735	6,735	6,735	6,735	6,735
Electronically stored records maintained (M)	31	31	31	31	31	31	31	31
Electronically based transactions processed (M)	8.75	8.75	8.75	8.75	8.75	8.75	8.75	8.75
Average response time to written requests for information from Registry Records Unit/days	21	21	21	21	21	21	21	21

OTHER SIGNIFICANT 1997 LEGISLATION AFFECTING THE AGENCY'S BUDGET

PA 97-1 - "An Act Concerning the Issuance of Motor Vehicle Learner's Permits" - On January 1, 1997, current law (PA 96-248) began specifically requiring a 16- or 17-year old to obtain a learner's permit from the Department of Motor Vehicles (DMV) as the first step in getting a driver's license. A permit allows the minor to receive on-the-road training from a qualified adult. The Attorney General informally interpreted these new requirements to apply to all previously unlicensed applicants regardless of age.

This Act changes the new requirements by:

* clearly limiting their application to 16- and 17-year olds
* requiring a parent's or other appropriate adult's consent to get a permit
* giving the DMV Commissioner authority to revoke a learner's permit used in violation of its limitations
* reducing the minimum amount of time a minor must hold his permit from 180 to 120 days if the minor successfully completes formal driver training and instruction given by a licensed commercial driver training school or school-based driver education program
* allowing the DMV to determine the appropriate permit term for applicants receiving training from DMV's handicapped driver training unit in accordance with state law
* reducing the permit restriction for operating on a limited access highway from the first 90 days of the permit period to the first 60 days
* allowing the DMV Commissioner to delegate his authority to issue learners' permits to any licensed commercial driver training school or school-based drivers' education program subject to standards and requirements he specifies in regulations
* requiring a minor to pass an initial written test covering knowledge of motor vehicle laws and rules of the road when getting a permit and a second "comprehensive" test on these subjects prior to getting a driver's license, instead of only a single written test administered before getting the permit, and explicitly requiring administration of the vision test at the permit application phase

* making permits valid until the minor receives his driver's license or until age 18, whichever occurs first

* restores a requirement of prior law that the $36 license examination fee be paid when the license is issued instead of requiring payment when the permit is issued.

Effective Date: Upon Passage

PA 97-226 - "An Act Concerning the Fees for Confiscation of Motor Vehicle Plates, Enabling Enforcement of Insurance Requirements Against Owners of Motor Vehicles Required to be Registered in this State and Concerning Stolen or Mutilated Motor Vehicle Number Plates" - This Act extends the mandatory motor vehicle insurance and financial responsibility requirements to certain vehicles that should be registered in Connecticut, even if they are not and to motor vehicles with combination passenger and commercial registrations. It also requires the $50 fee payable for license plates confiscated by police under the insurance enforcement program to be deposited in the asset forfeiture fund or, if there is no such fund, the general fund of the entity employing the police officer.

The Act also allows the DMV Commissioner to waiver fees for the replacement of license plates that have been stolen or mutilated, prohibits possessing a plate or sticker without the registrant's permission, and changes the penalty for stealing or illegally possessing plates or stickers.

An indeterminate revenue loss to the General Fund from the waiver of the $5 safety fee and to the Transportation Fund from the $11 transfer fee is anticipated. An accurate revenue loss will be available after the first year's experience.

Effective Dates: October 1, 1997, except that the replacement plates provisions are effective July 1, 1997.

PA 97-236 - "An Act Revising Certain Motor Vehicles Laws" - This Act consists of 26 sections affecting motor vehicles laws and to a lesser extent transportation laws. It is anticipated that most of these sections will not have significant fiscal impacts. Below is a brief summary of significant sections:

* requires the DMV Commissioner to publish uniform maximum rates for nonconsensual towing and storage of motor vehicles and makes wrecker registrations good for two years instead of one, at twice the current $46 fee

* allows a 16- or 17-year old to receive instruction on a limited access highway 30 instead of 60 days after being issued his learner's permit if the instruction is provided by a licensed commercial driving instructor

* changes school bus driver licensing requirements, exempts school buses from motor vehicle emissions inspections, and makes other related changes

* requires certain fees paid for restoring driver's licenses suspended for operating without insurance or with inadequate insurance to be deposited into the Automobile Insurance Enforcement Fund instead of the Special Transportation Fund

* allows either the seller of the buyer, rather than only the buyer, of a vehicle that is 10 or more years old to take it for the safety inspection required by law.

* creates a special greenways commemorative license plate; additional fees must go into a separate account from which grants may be made to municipalities and other organizations under the greenways capital grant program

* creates a special registration classification and license plate for passenger vehicles owned or leased for one year or more by an honorably discharged veteran or the veteran's surviving spouse

* requires that former prisoners of war (POW) and Congressional Medal of Honor (CMOH) recipients be able to get special license plates and free registrations for vehicles they lease as well as ones they own

* requires DMV regulations establishing requirements and qualifications for special license plates for organizations to include labor unions

* allows a surviving spouse of a veteran issued special disabled veteran license plates to retain them without charge for the remainder of his/her life or until remarriage

* allows the DMV commissioner to accept payment of license, registration, and other DMV-related fees, but not fees for emissions inspections, by credit card and charge a service fee for it

* restricts the auction sale of "totaled" and "salvage only" surplus state-owned vehicles to DMV-licensed motor vehicle dealers, repairers, and recyclers

* prohibits members of the Motor Vehicle Operator's License Medical Advisory Board from being compelled to testify about the medical condition of someone they review in any proceeding except by order of the court

* redefines a "service bus" as a vehicle both designed and regularly used, instead of just designed, to carry eight or more people for private transportation service without individual charges

Effective Date: July 1, 1997, except for veterans' plate, school bus license restriction and fee waiver, Route 7 land, damaged state vehicles, and window etching provisions, which are effective on October 1, 1997, and the labor union plate, POW and CMOH plate, school bus usage, school bus emissions inspection exemption, disabled veteran plate, credit card payment, service bus definition, learner's permit, medical advisory board and child warning sign regulation provisions, which are effective upon passage.

PA 97-266 - "An Act Concerning Compliance with the Federal Driver's Privacy Protection Act" - This Act expands personal information files subject to disclosure restrictions and the types of motor vehicle records from which information must be disclosed. The Acts adopt some of the provisions of a federal law on disclosure of personal information with which states must comply by September 13, 1997.

The Act gives individuals an opportunity to opt not to have their personal information disclosed. However, this does not apply to 1) requests by government agencies or 2) requesters who provide certification satisfactory to the Commissioner that the information is for one of several purpose the Act permits.

Prior law restricted access to information from registration, registration application, driver's license, and driver entry files. This included a registrants name, address, and registration number. To obtain information from driver license or driver history files, a non-governmental requester already had to know the licensee's name and address. The Act restricts access to personal information in all Department of Motor Vehicles (DMV) records pertaining to a driver's license, learner's permit, non driver identify card, registration, title certification or any other DMV- issued document.

Effective Date: July 1, 1997.

PA 97-309 - "An Act Providing for Reductions in the Motor Vehicles Fuels Tax and the Personal Income Tax, a Credit Against the Personal Income Tax for Certain Property Taxes and the Collection of Various Municipal and State Taxes" - Section 2 (13) of this Act requires that ten dollars ($10) of the twenty-dollar ($20) late emissions fee be deposited in the Transportation Fund instead of the General Fund. Currently, emissions inspections that are thirty (30) days passed due are assessed a late fee of $20. This action will create a revenue loss to the General Fund and a Revenue gain to the Transportation Fund of $2.0 million, effective 7/1/97.

Section 19 of the Act requires the Department of Motor Vehicles (DMV) to capture the social security number (SSN) or the Federal Employee Identification Number (FEIN) in its motor vehicles registration fees.

Starting on February 1, 2001, and annually thereafter the DMV must provide the Department of Revenue Services (DRS) a list of the names and addresses of everyone whose vehicle was registered with the DMV in the previous calendar year and their SSA or FEINs. In information is unavailable for a person, the DMV must state why. The DMV must provide the information on a computer tape or some other form that is acceptable to DRS.

Projected costs to the DMV, excluding fringe benefits, are as follows:

FY 2000	FY 2001	FY 2002	FY 2003
293,947	459,699	238,374	62,159

Effective Date: October 1, 1999 (as per PA 97-322).

PA 97-321 - "An Act Providing Dependent Disabled Support Payments and Permits for Bicycles With Helper Motors" - Section 2(c) of the Act allows the DMV Commissioner to issue a special permit to a qualified person allowing the person to operate a bicycle with a helper motor without having to obtain the required motor vehicle operator's license. To qualify for the special permit the person must present a certificate from a licensed physician stating that s/he is physically disabled, but not blind, and that s/he is capable of riding a bicycle with a 'helper motor'. S/he must also demonstrate that she/he can ride a bicycle both (1) without a helper motor on level terrain, and (2) with a helper motor. The Commissioner can impose limitations on the permit designed to protect the holder's safety including the maximum speed of the motor. The person must carry the permit when operating the bicycle, follow any limitations on it and renew it yearly. Effective Date: 10/1/97.

1997 BOND AUTHORIZATIONS

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	202	-	Increase in SA 95-20 22(e): Renovations and improvements to the headquarters building, Wethersfield	3,000,000	3,100,000	-
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	60	-	Planning, design, land and/or building acquisition, construction or improvements to motor vehicle facilities (SA 88-77 2(f))	11,000,000	(1,346,825)	-

[1] **General Fund** and **Transportation Fund** FY 1996 actual revenues, FY 1997 estimated revenues and revenues expected to be collected by this agency during the 1997-99 biennium are broken down as follows:

General Fund	Actual FY 1996	Estimated FY 1997	Projected FY 1998	Projected FY 1999
Motor Vehicles Safety marker Fee	1,901,930	1,901,930	1,901,930	1,901,930
Emissions Inspection Late Fee	2,446,950	2,000,000	2,000,000	2,000,000
Miscellaneous Fees for Legal or Clinical Services	11,349	11,349	11,349	11,349
Issuing Majority Cards-Liquor	496,190	496,190	496,190	496,190
Fines and Costs - Departments	216	216	216	216
Commercial Information - Motor Vehicle	7,511,913	7,511,913	7,511,913	7,511,913
Miscellaneous Recoveries - (All Agencies)	565	565	565	565
Miscellaneous	4,740	4,740	4,740	4,740
Total - General Fund	**12,373,853**	**11,926,903**	**11,926,903**	**11,926,903**

Transportation Fund	Actual FY 1996	Estimate FY 1997	Projected FY 1998	Projected FY 1999
Dealers and Repairer Fees	1,449,167	1,449,167	1,449,167	1,449,167
Auction Permits	3,781	3,781	3,781	3,781
Auto Racing Permits	15,134	15,134	15,134	15,134
Motor Vehicle Registration	140,382,065	140,382,065	140,382,065	140,382,065
Motor Vehicle Registration Safety Marker Fee	137,115	137,115	137,115	137,115
Other Motor Vehicle Transactions	8,198,823	8,198,823	8,198,823	8,198,823
Motor Vehicle Registration Late Fee	989,620	989,620	989,620	989,620
Motor Vehicle Operators Licenses	23,123,652	23,123,652	23,123,652	23,123,652
Other Registration Transactions	160,514	160,514	160,514	160,514
SSRS Registrations	1,850,590	4,000,000	4,000,000	4,000,000
Miscellaneous	172,186	172,186	172,186	172,186
Inspection of Motor Vehicles	5,181,920	5,181,920	5,181,920	5,181,920
Miscellaneous Legal Fees	14,498	14,498	14,498	14,498
Title Certificate	17,390,019	17,390,019	17,390,019	17,390,019

Transportation Fund	Actual FY 1996	Estimate FY 1997	Projected FY 1998	Projected FY 1999
Certified Copies of Motor Vehicle Forms	375,227	375,227	375,227	375,227
Examination of Motor Vehicle Operators	3,930,564	3,930,654	3,930,564	3,930,564
Fines and Costs Departments	3,073,821	3,073,821	3,073,821	3,073,821
Farms, Land and Buildings	1,500	1,200	1,200	1,200
Recoveries - Motor Vehicle Dept. - Inspector	99,100	99,100	99,100	99,100
Total - STF Revenues	**206,549,296**	**208,698,406**	**208,698,406**	**208,698,406**

[2] In order the achieve the budget reductions required by the budget from the early retirement incentive program, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $2,605,059 in Personal Services from the early retirement incentive program. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY 1999 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirements to fund recently approved contracts. This agency is anticipated to receive $234,323 in FY '1998.

[3] **The Emissions Enterprise Fund** under the State Central Accounting System is 6031. FY 1996 Actual revenues were $25.0 million. It is anticipated that the agency will receive $24.2 million in fiscal years 1997, 1998 and 1999 in receipts associated with the Auto Emissions Program. Below is a breakdown of the Emissions Program revenues and expenditures:

A. Revenues	FY 1996 Actual	FY 1997 Estimated	FY 1998 Projected	FY 1999 Projected
Auto Emissions Test Stickers	24,831,607	22,250,000	22,250,000	22,250,000
30-day Dealer Emissions Stickers				
10-day Temps	146,900	146,900	146,900	146,900
Fleet Stickers	69,066	69,066	69,066	69,066
Emissions Inspection Late Fee	-0-	1,750,000	1,750,000	1,750,000
Totals	**25,047,573**	**24,215,966**	**24,215,966**	**24,215,966**

B. Expenditures	FY 1996 Actual	FY 1997 Estimated	FY 1998 Appropriated	FY 1999 Appropriated
Personal Full-Time Positions	97	109	109	109
Other Positions Equated to Full-Time	2	3	3	3
Personal Services	3,082,700	3,969,056	4,909,664	5,134,907
Other Expenses	20,304,265	18,019,832	20,160,441	19,828,110
Equipment	-0-	24,579	80,000	52,700
Total -Emissions Fund	**23,386,965**	**22,013,467**	**25,150,155**	**25,015,717**

[4] **The Boating Fund** number under the State's Central Accounting System ins 1181, SID 142. The Special Non-Appropriated Funds under the Customer Service program represents the reimbursement the Department of Motor Vehicles receives from the Department of Environmental Protection's Boating Fund, as per Section 15-155(b)(a) CGS to defray administrative costs associated with the collection of boating registration fees.

The breakdown of DMV's Boating Fund program is as follows:

	Actual FY 1996	Estimated FY 1997	Appropriated FY 1998	Appropriated FY 1999
Personal Full Time Positions	6	6	2	2
Other Positions Equated to Full-Time	3	3	3	3
Personal Services	301,155	304,595	163,081	163,081
Other Expenses	157,252	158,759	58,465	58,465
Fringe Benefits	120,492	99,877	0	0
Total - Boating Fund Reimbursement	**578,899**	**563,231**	**221,546**	**221,546**

[5] PA 93-298 established a separate, non-lapsing account within the General Fund known as the **Insurance Enforcement Program**. It requires the enforcement of mandatory insurance for registered passenger motor vehicles. PA 95-260 changed the account into a Fund. The Special Non-Appropriated Funds under the Regulation and Management Services programs represent the total programs expenditures. The breakdown of the expenditures follow:

	Actual FY 1996	Estimated FY 1997	Appropriated FY 1998	Appropriated FY 1999
Personal Full-Time Positions	2	2	2	2
Other Positions Equated to Full-Time	1	1	1	1
Personal Services	173,091	140,956	146,268	157,184
Other Expenses	163,019	417,900	427,542	429,900
Total - Insurance Enforcement Fund	**336,110**	**558,859**	**573,810**	**587,084**

[6] **Private Contributions** in Management Services ($11,547) and Support Services Program ($1,242) represent grants from the Department of Administrative Services (DAS) for a pilot program for physical examinations for new hires and for the acquisition of ergonomic equipment, respectively.

[7] **Federal Contributions** under the Regulation of Motor Vehicles and their Use and Support Services programs for State and Community Highway programs are dedicated to the Motor Carrier Safety Assistance Program (MCSAP).

Military Department[1]
2201

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	63	63	63	63	63	63
	Additional Funds Available						
	Permanent Full-Time	51	49	49	49	49	49
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	2,291,067	2,305,085	2,381,837	2,426,532	2,387,237	2,431,932
002	Other Expenses	2,009,098	2,033,334	2,099,570	2,113,054	2,139,570	2,113,054
005	Equipment	26,000	13,000	30,000	30,000	30,000	30,000
02X	Other Current Expenses	192,483	0	195,000	195,000	195,000	195,000
	Agency Total - General Fund[2][3]	**4,518,648**	**4,351,419**	**4,706,407**	**4,764,586**	**4,751,807**	**4,769,986**
	Soldiers, Sailors and Marines' Fund						
02X	Other Current Expenses	0	200,000	0	0	0	0
	Agency Total - Soldiers, Sailors and Marines' Fund[4]	**0**	**200,000**	**0**	**0**	**0**	**0**
	Agency Total - Appropriated Funds	**4,518,648**	**4,551,419**	**4,706,407**	**4,764,586**	**4,751,807**	**4,769,986**
	Additional Funds Available						
	Special Funds, Non-Appropriated	1,473,070	0	0	0	0	0
	Bond Funds	8,301,214	0	0	0	0	0
	Private Contributions	35,852	130,000	155,000	115,000	155,000	115,000
	Federal Contributions	5,711,012	6,118,000	4,852,000	4,356,000	4,852,000	4,356,000
	Agency Grand Total	**20,039,796**	**10,799,419**	**9,713,407**	**9,235,586**	**9,758,807**	**9,240,986**
	BUDGET BY PROGRAM						
	Facilities Management						
	Permanent Full-Time Positions GF/OF	40/47	40/45	40/45	40/45	40/45	40/45
	General Fund						
	Personal Services	1,265,176	1,270,456	1,336,821	1,309,919	1,342,221	1,315,319
	Other Expenses	1,677,032	1,671,432	1,739,598	1,753,082	1,739,598	1,753,082
	Equipment	13,892	10,000	20,000	20,000	20,000	20,000
	Total - General Fund	**2,956,100**	**2,951,888**	**3,096,419**	**3,083,001**	**3,101,819**	**3,088,401**
	Federal Contributions						
	Army National Guard	5,445,215	5,810,000	4,151,000	4,155,000	4,151,000	4,155,000
	Additional Funds Available						
	Special Funds, Non-Appropriated	1,473,070	0	0	0	0	0
	Bond Funds	8,301,214	0	0	0	0	0
	Private Contributions	35,852	30,000	55,000	15,000	55,000	15,000
	Total - Additional Funds Available	**9,810,136**	**30,000**	**55,000**	**15,000**	**55,000**	**15,000**
	Total - All Funds	**18,211,451**	**8,791,888**	**7,302,419**	**7,253,001**	**7,307,819**	**7,258,401**
	Operation of Military Units						
	General Fund						
	Personal Services	112,893	109,820	122,500	122,500	122,500	122,500
	Other Expenses	155,322	185,812	178,162	178,162	178,162	178,162
021	Firing Squads	192,483	0	195,000	195,000	195,000	195,000
	Total - General Fund	**460,698**	**295,632**	**495,662**	**495,662**	**495,662**	**495,662**
	Soldiers, Sailors and Marines' Fund						
021	Firing Squads	0	200,000	0	0	0	0
	Federal Contributions						
	Highway Use Tax Evasion Tax	0	100,000	500,000	0	500,000	0
	Army National Guard	115,136	6,000	6,000	6,000	6,000	6,000
	Total - Federal Contributions	**115,136**	**106,000**	**506,000**	**6,000**	**506,000**	**6,000**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Additional Funds Available						
	Private Contributions	0	100,000	100,000	100,000	100,000	100,000
	Total - All Funds	**575,834**	**701,632**	**1,101,662**	**601,662**	**1,101,662**	**601,662**
	Management Services						
	Permanent Full-Time Positions GF/OF	23/4	23/4	23/4	23/4	23/4	23/4
	General Fund						
	Personal Services	912,998	924,809	1,060,346	1,131,943	1,060,346	1,131,943
	Other Expenses	176,744	176,090	181,810	181,810	221,810	181,810
	Equipment	12,108	3,000	10,000	10,000	10,000	10,000
	Total - General Fund	**1,101,850**	**1,103,899**	**1,252,156**	**1,323,753**	**1,292,156**	**1,323,753**
	Federal Contributions						
	Army National Guard	150,661	202,000	195,000	195,000	195,000	195,000
	Total - All Funds	**1,252,511**	**1,305,899**	**1,447,156**	**1,518,753**	**1,487,156**	**1,518,753**
	Personal Services Savings						
	General Fund						
	Personal Services	0	0	-125,360	-125,360	-125,360	-125,360
	Less: Turnover - Personal Services - GF	0	0	-12,470	-12,470	-12,470	-12,470
	EQUIPMENT						
005	Equipment	26,000	13,000	30,000	30,000	30,000	30,000
	Agency Grand Total	**20,039,796**	**10,799,419**	**9,713,407**	**9,235,586**	**9,758,807**	**9,240,986**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**63**	**4,429,806**	**63**	**4,429,806**	**0**	**0**	**0**	**0**
FY97 Governor's Estimated Expenditure - SF	**0**	**195,000**	**0**	**195,000**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	184,675	0	229,370	0	0	0	0
Other Expenses	0	53,894	0	91,794	0	0	0	0
Equipment	0	267,476	0	134,364	0	0	0	0
Total - General Fund	**0**	**506,045**	**0**	**455,528**	**0**	**0**	**0**	**0**

Transfer Funding for Firing Squads Back to General Fund - (B)
Funding for the Volunteer Firing Squads at Veterans' Funerals account was transferred from the General Fund to the Soldiers, Sailors and Marines' Fund in FY '97 per SA 96-8, the Appropriations Act. Firing Squads are authorized by CGS Section 27-76.
-(G) Funding for the Firing Squads account is returned to the General Fund.
-(L)Same as Governor

Firing Squads	0	195,000	0	195,000	0	0	0	0
Total - General Fund	**0**	**195,000**	**0**	**195,000**	**0**	**0**	**0**	**0**
Firing Squads	0	-195,000	0	-195,000	0	0	0	0
Total - Soldiers, Sailors and Marines' Fund	**0**	**-195,000**	**0**	**-195,000**	**0**	**0**	**0**	**0**

Eliminate Inflationary Increase in Other Expenses - (B)
-(G) The inflationary increase for Other Expenses is eliminated to effect economy.
-(L)Same as Governor

Other Expenses	0	-24,851	0	-49,267	0	0	0	0
Total - General Fund	**0**	**-24,851**	**0**	**-49,267**	**0**	**0**	**0**	**0**

Effect Economies in Agency Operations - (B)
The Governor's Budget Recommendation includes General Agency Personal Services reductions of over $29.3 million in each fiscal year.
-(G) Funding for Personal Services is reduced due to anticipated economies in agency operations. The $125,360 reduction would require about 4 positions to remain vacant.
-(L) Funding for Personal Services is reduced due to anticipated economies in agency operations. The $5,400 is restored to the agency's Personal Services account to continue the

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
youth recreation programs provided at various State Armories across Connecticut. This funding is used for overtime to pay maintenance personnel.								
Personal Services	0	-119,960	0	-119,960	0	5,400	0	5,400
Total - General Fund	**0**	**-119,960**	**0**	**-119,960**	**0**	**5,400**	**0**	**5,400**
Reduce Funding Due to State Data Center Rate Reductions - (B) Many state agencies obtain computer services to support their business functions from the State Data Center, operated by the Department of Administrative Services through the Technical Services Revolving Fund. -(G) Due to a reorganization of the operation of the State Data Center, there is a significant reduction in the rates for services charged to state agencies (CATER and BOSS). -(L)Same as Governor								
Other Expenses	0	-23,757	0	-23,757	0	0	0	0
Total - General Fund	**0**	**-23,757**	**0**	**-23,757**	**0**	**0**	**0**	**0**
Fund Equipment Items from the CEPF - (B) The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least 3 years. It is financed through the sale of bonds and is administered by the Office of Policy and Management. -(G) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds). -(L)Same as Governor								
Equipment	0	-250,476	0	-117,364	0	0	0	0
Total - General Fund	**0**	**-250,476**	**0**	**-117,364**	**0**	**0**	**0**	**0**
Provide Funding for Demolition of Vacant Buildings - (B) The Military Department and the National Guard are available to provide manpower and equipment to raze vacant buildings that are used for drug use. -(L) Funding is provided to finance the demolition of vacant buildings.								
Other Expenses	0	40,000	0	0	0	40,000	0	0
Total - General Fund	**0**	**40,000**	**0**	**0**	**0**	**40,000**	**0**	**0**
Budget Totals - GF	**63**	**4,751,807**	**63**	**4,769,986**	**0**	**45,400**	**0**	**5,400**
Budget Totals - SF	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Military orders issued	12,258	12,800	12,800	12,800	12,800	12,800	12,800	12,800
Federal funds received ($000)	4,888	5,000	5,000	5,000	5,000	5,000	5,000	5,000
Rental events	150	75	100	115	100	115	100	115
Rental revenue ($000)	35	65	70	75	70	75	70	75
Gallons of fuel oil per degree day	27.3	28	28	28	28	28	28	28
Warehouse requisitions completed	767	800	800	800	800	800	800	800
Repair projects completed								
General Fund	38	50	45	45	45	45	45	45
Federal Funds	47	50	30	30	30	30	30	30
Bond Funds	37	37	40	40	40	40	40	40
Firing squads	1,688	1,521	1,696	1,700	1,696	1,700	1,696	1,700
Average cost per squad ($)	114.03	115	115	115	115	115	115	115
Celebrations attended by militia units	108	110	110	110	110	110	110	110
Training days completed	1,095	570	570	570	570	570	570	570

1997 BOND AUTHORIZATIONS

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	2(f)(1)	21(f)(1)	State matching funds for anticipated federal reimbursable projects	3,837,674	300,000	300,000
SA 97-1 (J5 SS)	2(f)(2)	21(f)(2)	Improvements, alterations and renovations to buildings, including site improvements and exterior building repairs	3,350,000	500,000	500,000
SA 97-1 (J5 SS)	2(f)(3)	-	Alterations, renovations and improvements to buildings and grounds at Camp Rowland, including new construction	-	6,500,000	-
SA 97-1 (J5 SS)	2(f)(4)	21(f)(3)	Improvements to and replacement of roofs at various National Guard armories throughout the state	-	250,000	250,000
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	155	-	Renovate aviation buildings for military use at Bradley International Airport (SA 93-2 JSS 30(c)(3))	150,000	(150,000)	-
SA 97-1 (J5 SS)	213	-	Planning for various alterations, improvements and expansion at Camp Hartell, Windsor Locks (PA 96-181 2(a))	1,400,000	(1,000,000)	-
			1997 Language Changes			
SA 97-1 (J5 SS)	121	-	Camp [Weicker] ROWLAND: Two consolidated barracks facilities AND/OR AIR NATIONAL GUARD FACILITY, GROTON (SA 91-7 JSS 2(c)(1))	2,242,150	-	-
SA 97-1 (J5 SS)	122	-	Camp [Weicker] ROWLAND: General purpose buildings and maintenance supply building AND/OR AIR NATIONAL GUARD FACILITY, GROTON (SA 91-7 JSS 2(c)(2))	379,000	-	-

[1] Per the provisions of CGS Section 27-19a, the Military Department is assigned to the Department of Public Safety for administrative purposes only.

[2] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $275,734 in Personal Services from the early retirement incentive program, and $23,872 in Personal Services and $101,630 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $185,162 for FY '98.

[3] It is estimated that the agency will generate about $92,750 in General Fund revenues in both FY '98 and FY '99. The sources of such revenues are: rental of State Armories, $50,000; refund of prior year expenditures, $35,000; rental of cottages or residences, $7,300; forfeitures, $300; and commission from vending machines, $150.

[4] The "Estimated Expenditure 1996-97" column includes an additional (deficiency) appropriation as contained in SA 97-8, "An Act Making Deficiency Appropriations For the Fiscal Year Ending June 30, 1997", which was provided in the following amount: Soldiers, Sailors and Marines' Fund, Firing Squads, $25,000.

Commission on Fire Prevention and Control[1]
2304

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	23	23	23	23	23	23
	Others Equated to Full-Time	3	3	3	3	3	3
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	862,879	927,011	1,044,051	1,064,033	1,044,051	1,064,033
002	Other Expenses	425,386	434,059	475,611	468,334	475,611	468,334
005	Equipment	4,990	0	20,000	20,000	20,000	20,000
6XX	Grant Payments - Other Than Towns	230,000	160,000	220,000	220,000	220,000	220,000
	Agency Total - General Fund[2][3]	**1,523,255**	**1,521,070**	**1,759,662**	**1,772,367**	**1,759,662**	**1,772,367**
	Agency Total - Appropriated Funds	**1,523,255**	**1,521,070**	**1,759,662**	**1,772,367**	**1,759,662**	**1,772,367**
	Additional Funds Available						
	Private Contributions[4]	742,226	371,113	371,113	371,113	371,113	371,113
	Federal Contributions	8,651	9,349	0	0	0	0
	Agency Grand Total	**2,274,132**	**1,901,532**	**2,130,775**	**2,143,480**	**2,130,775**	**2,143,480**
	BUDGET BY PROGRAM						
	State Fire Administration						
	Permanent Full-Time Positions GF	23	23	23	23	23	23
	General Fund						
	Personal Services	862,879	927,011	1,109,001	1,128,983	1,109,001	1,128,983
	Other Expenses	425,386	434,059	475,611	468,334	475,611	468,334
	Equipment	4,990	0	20,000	20,000	20,000	20,000
	Grant Payments - Other Than Towns						
	Payments to Volunteer Fire Companies	230,000	160,000	220,000	220,000	220,000	220,000
	Total - General Fund	**1,523,255**	**1,521,070**	**1,824,612**	**1,837,317**	**1,824,612**	**1,837,317**
	Federal Contributions						
	Army National Guard	8,651	9,349	0	0	0	0
	Additional Funds Available						
	Private Contributions	742,226	371,113	371,113	371,113	371,113	371,113
	Total - All Funds	**2,274,132**	**1,901,532**	**2,195,725**	**2,208,430**	**2,195,725**	**2,208,430**
	Personal Services Savings						
	General Fund						
	Personal Services	0	0	-54,950	-54,950	-54,950	-54,950
	Less: Turnover - Personal Services - GF	0	0	-10,000	-10,000	-10,000	-10,000
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
610	Payments to Volunteer Fire Companies	230,000	160,000	220,000	220,000	220,000	220,000
	EQUIPMENT						
005	Equipment	4,990	0	20,000	20,000	20,000	20,000
	Agency Grand Total	**2,274,132**	**1,901,532**	**2,130,775**	**2,143,480**	**2,130,775**	**2,143,480**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**23**	**1,545,121**	**23**	**1,545,121**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	171,990	0	191,972	0	0	0	0
Other Expenses	0	25,110	0	25,600	0	0	0	0
Equipment	0	145,125	0	119,325	0	0	0	0
Payments to Volunteer Fire Companies	0	60,000	0	60,000	0	0	0	0
Total - General Fund	**0**	**402,225**	**0**	**396,897**	**0**	**0**	**0**	**0**
Effect Economies in Agency Operations - (B)								
The Governor's Budget Recommendation includes General Agency Personal Services reductions of over $29.3 million in each fiscal year.								
-(G) Funding is removed for Personal Services in anticipation of effecting economies in agency operations. The $54,950 reduction requires the agency to keep about 2 positions vacant.								
-(L)Same as Governor								
Personal Services	0	-54,950	0	-54,950	0	0	0	0
Total - General Fund	**0**	**-54,950**	**0**	**-54,950**	**0**	**0**	**0**	**0**
Remove Inflationary Increase for Other Expenses - (B)								
-(G) Funding for the inflationary increase in the Other Expenses account is eliminated to effect economy.								
-(L)Same as Governor								
Other Expenses	0	-7,609	0	-15,376	0	0	0	0
Total - General Fund	**0**	**-7,609**	**0**	**-15,376**	**0**	**0**	**0**	**0**
Fund Equipment Items from the CEPF - (B)								
The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least 3 years. It is financed through the sale of bonds and is administered by the Office of Policy and Management.								
-(G) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds).								
-(L)Same as Governor								
Equipment	0	-125,125	0	-99,325	0	0	0	0
Total - General Fund	**0**	**-125,125**	**0**	**-99,325**	**0**	**0**	**0**	**0**
Budget Totals - GF	**23**	**1,759,662**	**23**	**1,772,367**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Firefighters tested	3,094	3,341	3,608	3,896	3,608	3,896	3,608	3,896
Firefighters certified	2,511	2,706	2,922	3,155	2,922	3,155	2,922	3,155
Participating Fire Depts. (340 total)	310	315	320	320	320	320	320	320
Courses developed, taught	487	500	500	500	500	500	500	500
Persons trained	12,860	13,100	13,100	13,100	13,100	13,100	13,100	13,100
Film Movements (000)	1,000	1,100	1,150	1,200	1,150	1,200	1,150	1,200
Volunteer Fire Company Highway Claims	2,300	1,600	2,200	2,200	2,200	2,200	2,200	2,200
Fire Safety Education Programs								
Persons impacted by services	6,675	15,000	16,000	17,000	16,000	17,000	16,000	17,000
Education material distribution	6,000	6,500	7,000	7,500	7,000	7,500	7,000	7,500
Student contact hours	131,423	135,000	135,000	135,000	135,000	135,000	135,000	135,000

[1] Per the provisions of CGS Section 7-323k(e), the Commission on Fire Prevention and Control is assigned to the Department of Public Safety for administrative purposes only.

[2] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $103,260 in Personal Services from the early retirement incentive program, and $10,441 in Personal Services and $22,592 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $22,988 for FY '98.

[3] In addition to the funds shown in the "Estimated Expenditure 1996-97" column, a deficiency appropriation as contained in SA 97-8, "An Act Making Deficiency Appropriations For the Fiscal Year Ending June 30, 1997" was provided in the following amount: Payments to Volunteer Fire Companies, $80,000.

[4] These accounts are the State Fire School Training and Education Extension account and the State Fire School Auxiliary Services account authorized per CGS Sections 7-323p(b) and (c), and are separate, non-appropriated accounts in the General Fund. The Training and Education Extension account is used for the operation of such training and education programs as the Office of the State Fire Administrator may establish, and is funded through charges made for these training programs. The Auxiliary Services account is used for the operation, maintenance and repair of facilities at State fire schools.

Department of Banking
2402

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	Banking Fund						
	Permanent Full-Time	164	164	160	160	160	160
	Others Equated to Full-Time	5	0	5	5	5	5
	OPERATING BUDGET						
	Appropriated Funds						
	Banking Fund						
001	Personal Services	6,795,662	6,661,246	8,300,944	8,753,489	8,300,944	8,753,489
002	Other Expenses	2,247,410	3,463,595	3,355,485	2,200,726	3,355,485	2,200,726
005	Equipment	130,003	200,000	343,170	675,670	343,170	675,670
02X	Other Current Expenses	3,113,089	2,870,361	3,594,198	3,766,198	3,594,198	3,766,198
	Agency Total - Banking Fund[1][2]	**12,286,164**	**13,195,202**	**15,593,797**	**15,396,083**	**15,593,797**	**15,396,083**
	Agency Total - Appropriated Funds	**12,286,164**	**13,195,202**	**15,593,797**	**15,396,083**	**15,593,797**	**15,396,083**
	Agency Grand Total	**12,286,164**	**13,195,202**	**15,593,797**	**15,396,083**	**15,593,797**	**15,396,083**
	BUDGET BY PROGRAM						
	Bank & Credit Union Regulation						
	Permanent Full-Time Positions BF	69	69	69	69	69	69
	Banking Fund						
	Personal Services	3,072,050	3,035,658	3,793,348	4,100,658	3,793,348	4,100,658
	Other Expenses	785,274	1,198,569	1,217,280	798,897	1,217,280	798,897
	Equipment	39,805	0	244,575	175,575	244,575	175,575
040	Fringe Benefits	1,223,596	0	0	0	0	0
045	Indirect Overhead	182,659	0	0	0	0	0
	Total - Banking Fund	**5,303,384**	**4,234,227**	**5,255,203**	**5,075,130**	**5,255,203**	**5,075,130**
	Supervision of Securities & Business Investment						
	Permanent Full-Time Positions BF	37	37	36	36	36	36
	Banking Fund						
	Personal Services	1,473,763	1,554,033	1,816,802	1,912,091	1,816,802	1,912,091
	Other Expenses	295,171	642,132	723,053	432,815	723,053	432,815
	Equipment	24,259	0	39,610	168,110	39,610	168,110
040	Fringe Benefits	588,906	0	0	0	0	0
045	Indirect Overhead	72,261	0	0	0	0	0
	Total - Banking Fund	**2,454,360**	**2,196,165**	**2,579,465**	**2,513,016**	**2,579,465**	**2,513,016**
	Consumer Credit						
	Permanent Full-Time Positions BF	15	15	14	14	14	14
	Banking Fund						
	Personal Services	603,007	278,693	764,361	746,102	764,361	746,102
	Other Expenses	95,663	635,120	293,466	181,129	293,466	181,129
	Equipment	8,293	0	15,380	70,880	15,380	70,880
040	Fringe Benefits	240,965	0	0	0	0	0
045	Indirect Overhead	30,109	0	0	0	0	0
	Total - Banking Fund	**978,037**	**913,813**	**1,073,207**	**998,111**	**1,073,207**	**998,111**
	Management Services						
	Permanent Full-Time Positions BF	43	43	41	41	41	41
	Banking Fund						
	Personal Services	1,646,842	1,792,862	2,111,433	2,194,638	2,111,433	2,194,638
	Other Expenses	1,071,302	987,774	1,121,686	787,885	1,121,686	787,885
	Equipment	57,646	200,000	43,605	261,105	43,605	261,105
040	Fringe Benefits	658,173	2,439,026	3,154,198	3,326,198	3,154,198	3,326,198
045	Indirect Overhead	116,420	431,335	440,000	440,000	440,000	440,000
	Total - Banking Fund	**3,550,383**	**5,850,997**	**6,870,922**	**7,009,826**	**6,870,922**	**7,009,826**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Less: Turnover - Personal Services - BF	0	0	-185,000	-200,000	-185,000	-200,000
	EQUIPMENT						
005	Equipment	130,003	200,000	343,170	675,670	343,170	675,670
	Agency Grand Total	**12,256,164**	**13,195,202**	**15,593,797**	**15,396,083**	**15,593,797**	**15,396,083**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - BF	**164**	**15,117,508**	**164**	**15,117,508**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	516,642	0	969,187	0	0	0	0
Other Expenses	0	365,899	0	-788,860	0	0	0	0
Equipment	0	143,170	0	475,670	0	0	0	0
Other Current Expenses	0	-273,606	0	-101,606	0	0	0	0
Total - Banking Fund	**0**	**752,105**	**0**	**554,391**	**0**	**0**	**0**	**0**
Reduce Funding and Eliminate Positions - (B)								
The Department of Banking is a Special Fund agency that regulates state-chartered bank and trust companies, savings banks and savings and loan associations. The Department is self-sustaining and operates under the State Banking Fund. The agency has been carrying around 25 vacancies. (Prevention Program)								
-(G) An amount of $193,059 in Personal Services funding and 4 positions are recommended to be reduced along with $73,802 in Fringe Benefit funds to effect economy.								
-(L)Same as Governor								
Personal Services	-4	-193,059	-4	-193,059	0	0	0	0
Fringe Benefits	0	-73,802	0	-73,802	0	0	0	0
Total - Banking Fund	**-4**	**-266,861**	**-4**	**-266,861**	**0**	**0**	**0**	**0**
Reduce Funds From Other Expenses - (B)								
-(G) An amount of $8,955 is recommended to be reduced for technical services revolving rate changes.								
-(L)Same as Governor								
Other Expenses	0	-8,955	0	-8,955	0	0	0	0
Total - Banking Fund	**0**	**-8,955**	**0**	**-8,955**	**0**	**0**	**0**	**0**
Budget Totals - BF	**160**	**15,593,797**	**160**	**15,396,083**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Percent of Institutions Examined (%)	72	75	75	75	75	75	75	75
Percent of complaints that are resolved within 90 days (%)	90	90	90	90	90	90	90	90
Percent of CRA reviews where compliance is confirmed (%)	95	95	95	95	95	95	95	95
Percent of investigations that are closed w/n one yr. of being opened (%)	78	82	83	83	83	83	83	83
Percent of written investor complaints resolved within 120 days (%)	61	63	63	63	63	63	63	63
Percent of Examinations/Inspections that result in compliance (%)	97	98	98	98	98	98	98	98
Percent of written complaints concluded within 90 days (%)	78	80	80	80	80	80	80	80

[1] An amount of $1,000,000 is carried forward into Fiscal Year 1997-98 for the continued restructure of the information technology of the Department. Replacement of Wang equipment will be a large use of this fund.

[2] For the fiscal year 1997-98, revenues received will amount to approximately $11,787,410. Receipts into the Banking Fund are derived from fees and assessments from various financial institutions. This would include the assessment of banks, $1,500,000; the assessment of credit unions $195,690; examination, investigation and filing fees $275,000; licensing and fees $9,816,720.

Department of Insurance
2403

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	Insurance Fund						
	Permanent Full-Time	163	163	163	163	163	163
	Others Equated to Full-Time	3	4	6	6	6	6
	OPERATING BUDGET						
	Appropriated Funds						
	Insurance Fund						
001	Personal Services	6,824,903	7,547,045	8,229,744	8,747,118	8,229,744	8,747,118
002	Other Expenses	1,195,645	1,255,813	1,312,880	1,330,587	1,312,880	1,330,587
005	Equipment	196,067	215,237	192,000	162,000	192,000	162,000
02X	Other Current Expenses	2,895,068	2,615,637	3,454,303	3,650,905	3,454,303	3,650,905
	Agency Total - Insurance Fund[1]	**11,111,683**	**11,633,732**	**13,188,927**	**13,890,610**	**13,188,927**	**13,890,610**
	Agency Total - Appropriated Funds	**11,111,683**	**11,633,732**	**13,188,927**	**13,890,610**	**13,188,927**	**13,890,610**
	Agency Grand Total	**11,111,683**	**11,633,732**	**13,188,927**	**13,890,610**	**13,188,927**	**13,890,610**
	BUDGET BY PROGRAM						
	Examination						
	Permanent Full-Time Positions IF	68	66	68	68	68	68
	Insurance Fund						
	Personal Services	3,038,512	3,280,672	3,783,340	4,064,987	3,783,340	4,064,987
	Other Expenses	213,998	151,000	152,115	156,315	152,115	156,315
040	Fringe Benefits	1,218,824	0	0	0	0	0
	Total - Insurance Fund	**4,471,334**	**3,431,672**	**3,935,455**	**4,221,302**	**3,935,455**	**4,221,302**
	Licensing & Investigation						
	Permanent Full-Time Positions IF	17	18	17	17	17	17
	Insurance Fund						
	Personal Services	490,840	590,005	645,255	691,466	645,255	691,466
	Other Expenses	193,546	90,060	94,793	96,957	94,793	96,957
040	Fringe Benefits	193,614	0	0	0	0	0
	Total - Insurance Fund	**878,000**	**680,065**	**740,048**	**788,423**	**740,048**	**788,423**
	Consumer Affairs Division						
	Permanent Full-Time Positions IF	17	16	17	17	17	17
	Insurance Fund						
	Personal Services	677,839	753,961	820,981	849,879	820,981	849,879
	Other Expenses	66,455	71,050	68,260	69,830	68,260	69,830
040	Fringe Benefits	270,878	0	0	0	0	0
	Total - Insurance Fund	**1,015,172**	**825,011**	**889,241**	**919,709**	**889,241**	**919,709**
	Life and Health						
	Permanent Full-Time Positions IF	12	13	12	12	12	12
	Insurance Fund						
	Personal Services	510,262	559,729	655,565	692,298	655,565	692,298
	Other Expenses	41,067	39,600	40,930	41,865	40,930	41,865
040	Fringe Benefits	205,077	0	0	0	0	0
	Total - Insurance Fund	**756,406**	**599,329**	**696,495**	**734,163**	**696,495**	**734,163**
	Property and Casualty Division						
	Permanent Full-Time Positions IF	11	11	11	11	11	11
	Insurance Fund						
	Personal Services	509,499	512,008	615,343	652,565	615,343	652,565
	Other Expenses	36,964	42,903	38,125	39,005	38,125	39,005
040	Fringe Benefits	203,876	0	0	0	0	0
	Total - Insurance Fund	**750,339**	**554,911**	**653,468**	**691,570**	**653,468**	**691,570**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Market Conduct						
	Permanent Full-Time Positions IF	13	13	13	13	13	13
	Insurance Fund						
	Personal Services	615,829	706,438	727,293	766,881	727,293	766,881
	Other Expenses	32,714	35,600	29,635	30,320	29,635	30,320
040	Fringe Benefits	248,766	0	0	0	0	0
	Total - Insurance Fund	**897,309**	**742,038**	**756,928**	**797,201**	**756,928**	**797,201**
	Management Services						
	Permanent Full-Time Positions IF	25	26	25	25	25	25
	Insurance Fund						
	Personal Services	982,122	1,144,232	1,281,967	1,329,042	1,281,967	1,329,042
	Other Expenses	610,901	825,600	889,022	896,295	889,022	896,295
	Equipment	196,067	215,237	192,000	162,000	192,000	162,000
040	Fringe Benefits	393,357	2,445,637	3,127,303	3,323,905	3,127,303	3,323,905
045	Indirect Overhead	160,676	170,000	327,000	327,000	327,000	327,000
	Total - Insurance Fund	**2,343,123**	**4,800,706**	**5,817,292**	**6,038,242**	**5,817,292**	**6,038,242**
	Less: Turnover - Personal Services - IF	0	0	-300,000	-300,000	-300,000	-300,000
	EQUIPMENT						
005	Equipment	196,067	215,237	192,000	162,000	192,000	162,000
	Agency Grand Total	**11,111,683**	**11,633,732**	**13,188,927**	**13,890,610**	**13,188,927**	**13,890,610**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - IF	**163**	**12,412,442**	**163**	**12,412,442**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	658,371	0	1,175,745	0	0	0	0
Other Expenses	0	234,120	0	251,827	0	0	0	0
Equipment	0	-23,237	0	-53,237	0	0	0	0
Other Current Expenses	0	-90,716	0	-105,886	0	0	0	0
Total - Insurance Fund	**0**	**778,538**	**0**	**1,480,221**	**0**	**0**	**0**	**0**
Reduce Other Expense Funding from the Department of Insurance Budget - (B)								
(Prevention Program)								
-(G) An amount of $2,053 is recommended to be reduced due to technical services revolving rate changes. This amount is recommended to be reduced in 1997-98 and 1998-99.								
-(L)Same as Governor								
Other Expenses	0	-2,053	0	-2,053	0	0	0	0
Total - Insurance Fund	**0**	**-2,053**	**0**	**-2,053**	**0**	**0**	**0**	**0**
Budget Totals - IF	**163**	**13,188,927**	**163**	**13,890,610**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Administration expenditures as percent of Dept. expenditures (%)	21	22	23	23	22	22	22	22
Liens and writs processed-foreign insurance companies	2,477	2,550	2,600	2,600	2,600	2,600	2,600	2,600
Regulations promulgated	4	6	6	6	6	6	6	6
Fines imposed and revenue ($)	303,177	1,000,000	300,000	300,000	300,000	300,000	300,000	300,000
Brochures distributed	2,700	3,000	3,300	3,600	3,300	3,600	3,300	3,600
License applications	103	50	45	45	45	45	45	45
Financial statements audited	5,200	5,500	5,800	6,100	5,800	6,100	5,800	6,100
Financial examinations completed	23	60	63	72	63	72	63	72
Conferences with insurers	305	345	365	390	365	390	365	390
License Applications Received	135,477	70,000	76,000	81,500	76,000	81,500	76,000	81,500
Percent Applications Rejected	10	10	10	10	10	10	10	10
New Licenses Issued	87,488	59,000	65,000	75,500	65,000	75,500	65,000	75,500
Cancellation of Licenses	96,485	31,000	71,200	31,000	71,200	31,000	71,200	31,000

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Fees and Income Generated ($000)	5.2	5	5.8	5.2	5.8	5.2	5.8	5.2
Policy filings								
Received	15,000	15,000	15,000	15,000	15,000	15,000	15,000	15,000
Percent processed within 60 days (%)	20	20	20	20	20	20	20	20
Filings processed for forms/rates	3,291	3,400	3,550	3,700	3,550	3,700	3,550	3,700
Percent completed within 60 days (%)	80	85	85	85	85	85	85	85
Percent of examinations that were completed within the year	75	NA	NA	NA	NA	NA	NA	NA
Percent of agent investigations that results in fines and/or suspension	34	NA	NA	NA	NA	NA	NA	NA
Percent of health insurance fraud claims referred to other state agencies for further prosecution.	83	NA	NA	NA	NA	NA	NA	NA
Recovery Generated (to Consumers) ($M)	5.9	5.9	6.3	7	6.3	7	6.3	7
Percent of complaints that result in recovery dollars (%)	11.4	11.4	12	13	12	13	12	13

1997 Other Significant Legislation Affecting the Agency's Budget

PA 1997-99, "An Act Concerning Managed Care" - requires the Department of Insurance to compile information on Health Maintenance Organizations. They must develop a comparative annual report on them and make it available to consumers.

This responsibility will require an Associate Examiner position along with a Temporary Data Entry Operator plus associated Other Expenses and Equipment. An amount of $100,000 is required for the fiscal year 1997-98 and fiscal year 1998-99.

---

[1] Amounts of $13,606,218 and $14,313,964 are anticipated to be gained in revenue for fiscal years 1997-98 and 1998-99. Included in the revenue estimate for fiscal year 1997-98 are $4,100,000 from insurance agents, producer license fees $1,920,000, insurance application fees $1,600,000 foreign insurance company fees $3,349,102 and the filing of annual reports and other papers required by law $947,042, miscellaneous insurance licenses $258,800, utilization review firms $332,500 and miscellaneous items $412,125. An amount of $13,188,927 will also be assessed to the insurance companies and go into the Insurance Fund. For fiscal year 1998-99 revenue in the amount of $7,968,696 is anticipated, representing insurance fees which will go into the General Fund. A total of $13,890,610 ;will also be assessed to the Insurance Companies and will go into the Insurance Fund.

Office of Consumer Counsel
2406

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	Consumer Counsel & Public Util Control Fund						
	Permanent Full-Time	17	17	15	15	15	15
	OPERATING BUDGET						
	Appropriated Funds						
	Consumer Counsel & Public Util Control Fund						
001	Personal Services	655,464	891,408	849,177	899,679	849,177	899,679
002	Other Expenses	252,036	381,983	383,224	391,510	383,224	391,510
005	Equipment	31,805	14,603	14,953	15,293	14,953	15,293
02X	Other Current Expenses	267,730	335,040	395,798	414,989	395,798	414,989
	Agency Total - Consumer Counsel & Public Util Control Fund	**1,207,035**	**1,623,034**	**1,643,152**	**1,721,471**	**1,643,152**	**1,721,471**
	Agency Total - Appropriated Funds	**1,207,035**	**1,623,034**	**1,643,152**	**1,721,471**	**1,643,152**	**1,721,471**
	Agency Grand Total	**1,207,035**	**1,623,034**	**1,643,152**	**1,721,471**	**1,643,152**	**1,721,471**
	BUDGET BY PROGRAM						
	Utility Consumer Advice & Assistance						
	Permanent Full-Time Positions CF	17	17	15	15	15	15
	Consumer Counsel & Public Util Control Fund						
	Personal Services	655,464	891,408	849,177	899,679	849,177	899,679
	Other Expenses	252,036	381,983	383,224	391,510	383,224	391,510
	Equipment	31,805	14,603	14,953	15,293	14,953	15,293
040	Fringe Benefits	260,630	327,940	322,687	341,878	322,687	341,878
045	Indirect Overhead	7,100	7,100	73,111	73,111	73,111	73,111
	Total - Consumer Counsel & Public Util Control Fund	**1,207,035**	**1,623,034**	**1,643,152**	**1,721,471**	**1,643,152**	**1,721,471**
	EQUIPMENT						
005	Equipment	31,805	14,603	14,953	15,293	14,953	15,293
	Agency Grand Total	**1,207,035**	**1,623,034**	**1,643,152**	**1,721,471**	**1,643,152**	**1,721,471**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - CF	**17**	**1,623,034**	**17**	**1,623,034**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	53,769	0	104,271	0	0	0	0
Other Expenses	0	8,441	0	16,727	0	0	0	0
Equipment	0	350	0	690	0	0	0	0
Other Current Expenses	0	97,238	0	116,429	0	0	0	0
Total - Consumer Counsel & Public Util Control Fund	**0**	**159,798**	**0**	**238,117**	**0**	**0**	**0**	**0**

Reduce Personal Services Funding and Two Positions as a Budget Option Reduction - (B)

The Office of Consumer Counsel's mission is to protect the interests of Connecticut's utility consumers and to provide them with the lowest rates possible commensurate with a high level of service, while not unjustly impairing the financial health of public service companies. The

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Office of Consumer Counsel is funded through the Department of Public Utility Control and Consumer Counsel Fund. (Prevention Program) -(G) An amount of $96,000 and 2 vacant positions are recommended to be reduced along with $36,480 in Fringe Benefits. There is also a recommendation reduction in motor pool cars in the amount of $7,200. -(L)Same as Governor								
Personal Services	-2	-96,000	-2	-96,000	0	0	0	0
Other Expenses	0	-7,200	0	-7,200	0	0	0	0
Fringe Benefits	0	-36,480	0	-36,480	0	0	0	0
Total - Consumer Counsel & Public Util Control Fund	**-2**	**-139,680**	**-2**	**-139,680**	**0**	**0**	**0**	**0**
Budget Totals - CF	**15**	**1,643,152**	**15**	**1,721,471**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Interventions	617	650	670	680	670	680	670	680
Cases decided by DPUC	477	540	560	580	560	580	560	580
Consumer complaints	25	75	150	225	150	225	150	225

[1] The Consumer Counsel and Public Utility Control Fund was established by PA 91-93 JSS. The Department of Public Utility Control Fund will assess the utilities and charge fees for services to fund these departments. The assessment and fees will go into this fund and be administered by the Treasurer's Department. The General Assembly will make appropriations out of this fund for the operations of these two departments. Since the Office of Consumer Counsel and Public Utility Fund will be an appropriated Special Fund, an amount for fringe benefits will be included as a separate account in the agency's budget.

[2] Special Fund revenues in the amount of $1,643,152 will be gained through assessments of public service companies for fiscal year 1997-98. This amount includes fringe benefits of $322,687.

For the fiscal year 1998-99 revenues in the amount of $1,721,471 will be gained through assessments with fringe benefits of $341,878.

Department of Public Utility Control
2407

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	Consumer Counsel & Public Util Control Fund						
	Permanent Full-Time	129	129	127	127	127	127
	Others Equated to Full-Time	1	0	1	1	1	1
	Additional Funds Available						
	Permanent Full-Time	10	10	10	10	10	10
	OPERATING BUDGET						
	Appropriated Funds						
	Consumer Counsel & Public Util Control Fund						
001	Personal Services	6,420,031	6,826,899	7,295,643	7,627,510	7,295,643	7,627,510
002	Other Expenses	1,389,769	1,722,006	1,867,331	1,906,758	1,867,331	1,906,758
005	Equipment	148,120	200,000	205,000	205,000	205,000	205,000
02X	Other Current Expenses	2,808,850	2,689,135	3,214,928	3,363,165	3,229,928	3,378,165
	Agency Total - Consumer Counsel & Public Util Control Fund	**10,766,770**	**11,438,040**	**12,582,902**	**13,102,433**	**12,597,902**	**13,117,433**
	Agency Total - Appropriated Funds	**10,766,770**	**11,438,040**	**12,582,902**	**13,102,433**	**12,597,902**	**13,117,433**
	Additional Funds Available						
	Special Funds, Non-Appropriated	759,005	0	851,426	856,262	851,426	856,262
	Federal Contributions	44,922	0	46,737	47,672	46,737	47,672
	Agency Grand Total	**11,570,697**	**11,438,040**	**13,481,065**	**14,006,367**	**13,496,065**	**14,021,367**
	BUDGET BY PROGRAM						
	Public Service & Regulation						
	Permanent Full-Time Positions CF/OF	129/10	129/10	127/10	127/10	127/10	127/10
	Consumer Counsel & Public Util Control Fund						
	Personal Services	6,420,031	6,826,899	7,320,643	7,652,510	7,320,643	7,652,510
	Other Expenses	1,389,769	1,722,006	1,867,331	1,906,758	1,867,331	1,906,758
	Equipment	148,120	200,000	205,000	205,000	205,000	205,000
011	Indirect Overhead	0	421,470	0	0	0	0
023	Siting Council Management Hazardous Wastes	10,683	0	0	0	0	0
040	Fringe Benefits	2,555,928	2,267,665	2,772,384	2,898,494	2,772,384	2,898,494
045	Indirect Overhead	242,239	0	442,544	464,671	442,544	464,671
046	Nuclear Energy Advisory Council	0	0	0	0	15,000	15,000
	Total - Consumer Counsel & Public Util Control Fund	**10,766,770**	**11,438,040**	**12,607,902**	**13,127,433**	**12,622,902**	**13,142,433**
	Federal Contributions						
	Pipeline Safety	44,922	0	46,737	47,672	46,737	47,672
	Additional Funds Available						
	Special Funds, Non-Appropriated	759,005	0	851,426	856,262	851,426	856,262
	Total - All Funds	**11,570,697**	**11,438,040**	**13,506,065**	**14,031,367**	**13,521,065**	**14,046,367**
	Less: Turnover - Personal Services - CF	0	0	-25,000	-25,000	-25,000	-25,000
	EQUIPMENT						
005	Equipment	148,120	200,000	205,000	205,000	205,000	205,000
	Agency Grand Total	**11,570,697**	**11,438,040**	**13,481,065**	**14,006,367**	**13,496,065**	**14,021,367**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - CF	**129**	**12,475,372**	**129**	**12,475,372**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	451,013	0	782,880	0	0	0	0
Other Expenses	0	40,178	0	79,605	0	0	0	0
Other Current Expenses	0	-224,808	0	-76,571	0	0	0	0
Total - Consumer Counsel & Public Util Control Fund	**0**	**266,383**	**0**	**785,914**	**0**	**0**	**0**	**0**
Eliminate Funding and Two Positions from the Department of Public Utility Control - (B)								
(Prevention Program)								
-(G) An amount of $108,000 in Personal Services funding and 2 vacant positions are recommended to be eliminated along with $41,000 in associated Fringe Benefits. An amount of $3,600 in Other Expenses for one motor pool vehicle is recommended to be reduced.								
-(L)Same as Governor								
Personal Services	-2	-108,000	-2	-108,000	0	0	0	0
Other Expenses	0	-3,600	0	-3,600	0	0	0	0
Fringe Benefits	0	-41,000	0	-41,000	0	0	0	0
Nuclear Energy Advisory Council	0	0	0	0	0	0	0	0
Total - Consumer Counsel & Public Util Control Fund	**-2**	**-152,600**	**-2**	**-152,600**	**0**	**0**	**0**	**0**
Reduce Other Expenses Within the Agency - (B)								
-(G) An amount of $6,253 in Other Expenses is recommended to be reduced due to a reduction in technical services revolving fund rates.								
-(L)Same as Governor								
Other Expenses	0	-6,253	0	-6,253	0	0	0	0
Total - Consumer Counsel & Public Util Control Fund	**0**	**-6,253**	**0**	**-6,253**	**0**	**0**	**0**	**0**
Establish A Nuclear Energy Advisory Council - (B)								
-(L) An amount of $15,000 is added for each fiscal year 1997-98 and 1998-99.								
Nuclear Energy Advisory Council	0	15,000	0	15,000	0	15,000	0	15,000
Total - Consumer Counsel & Public Util Control Fund	**0**	**15,000**	**0**	**15,000**	**0**	**15,000**	**0**	**15,000**
Budget Totals - CF	**127**	**12,597,902**	**127**	**13,117,433**	**0**	**15,000**	**0**	**15,000**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Gas Pipeline Safety Inspections	273	255	255	255	255	255	255	255
CBYD Investigations Initiated	131	120	125	125	125	125	125	125
Regulatory Decisions Issued	477	460	468	470	468	470	468	470
Days of Hearing	409	412	420	415	420	415	420	415
Reports and Generic Decisions Issued	20	22	28	27	28	27	28	27

[1] PA 85-552 provides for 100% funding of this department and the Office of Consumer Counsel by the Public Utility companies.

[2] In accordance with Section 16-50j of the Connecticut General Statutes, the Connecticut Siting Council has been placed as a separate program with the Department of Public Utility Control. The council administers the Public Utility Environmental Standards Act and regulates the construction and site location of power facilities and hazardous waste sites.

[3] Since the Connecticut Siting Council has been established as a special fund agency, receipts of $851,426 will be placed in the special fund in FY 1997-98 and $856,262 in 1998-99.

[4] The Consumer Counsel and Public Utility Control Fund was established by PA 91-3 JSS. The Department of Public Utility Control will assess the utilities and charge fees for services to fund these departments. The assessment and fees will go into this fund and be administered by the Treasurer's Department. The General Assembly will make appropriations out of this fund. The department's fund number under the State's Central Accounting System is 1006. Since the Consumer Counsel and Public Utility Control Fund will be an appropriated special fund, an amount for fringe benefits will be included as a separate account in the agency's budget.

[5] Special fund revenues collected in 1997-98 will be $12,654,762. Almost all of this revenue will be from assessments except an amount of $56,860 which will come from miscellaneous revenue. In fiscal year 1998-99, special fund revenues collected will be $13,177,193 which will include $59,760 in miscellaneous revenue.

Department of Consumer Protection
2500

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	193	180	170	170	171	171
	Others Equated to Full-Time	11	11	11	11	11	11
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services[1]	7,758,444	7,920,951	8,469,910	8,926,668	8,496,160	8,961,668
002	Other Expenses[1]	1,082,819	2,235,573	1,060,078	1,060,078	1,061,578	1,062,078
	Agency Total - General Fund[2]	**8,841,263**	**10,156,524**	**9,529,988**	**9,986,746**	**9,557,738**	**10,023,746**
	Agency Total - Appropriated Funds	**8,841,263**	**10,156,524**	**9,529,988**	**9,986,746**	**9,557,738**	**10,023,746**
	Additional Funds Available						
	Special Funds, Non-Appropriated	25	0	0	0	0	0
	Private Contributions	1,414,978	1,855,736	2,116,885	2,214,937	2,116,885	2,214,937
	Federal Contributions	12,196	11,830	14,670	0	14,670	0
	Agency Grand Total	**10,268,462**	**12,024,090**	**11,661,543**	**12,201,683**	**11,689,293**	**12,238,683**
	BUDGET BY PROGRAM						
	Regulation of Food and Food Handling Facilities						
	Permanent Full-Time Positions GF	19	18	18	18	18	18
	General Fund						
	Personal Services	821,370	796,325	938,540	972,839	938,540	972,839
	Other Expenses	104,513	123,299	101,992	104,337	101,992	104,337
	Total - General Fund	**925,883**	**919,624**	**1,040,532**	**1,077,176**	**1,040,532**	**1,077,176**
	Regulation of Drugs, Cosmetics and Devices						
	Permanent Full-Time Positions GF	15	15	15	15	15	15
	General Fund						
	Personal Services	757,473	760,815	860,429	896,865	860,429	896,865
	Other Expenses	78,223	81,724	81,363	83,233	81,363	83,233
	Total - General Fund	**835,696**	**842,539**	**941,792**	**980,098**	**941,792**	**980,098**
	Federal Contributions						
	Law Enforce Assist-Danger.Drugs	9,296	9,530	14,670	0	14,670	0
	Total - All Funds	**844,992**	**852,069**	**956,462**	**980,098**	**956,462**	**980,098**
	Regulation of Weighing and Measuring Devices						
	Permanent Full-Time Positions GF	20	19	18	18	18	18
	General Fund						
	Personal Services	892,322	822,951	944,080	1,013,771	944,080	1,013,771
	Other Expenses	148,628	142,008	149,696	153,188	149,696	153,188
	Total - General Fund	**1,040,950**	**964,959**	**1,093,776**	**1,166,959**	**1,093,776**	**1,166,959**
	Additional Funds Available						
	Private Contributions	1,350	400,000	278,318	295,643	278,318	295,643
	Total - All Funds	**1,042,300**	**1,364,959**	**1,372,094**	**1,462,602**	**1,372,094**	**1,462,602**
	Regulation of Trade Practices						
	Permanent Full-Time Positions GF	28	28	28	28	28	28
	General Fund						
	Personal Services	1,188,384	1,256,470	1,254,779	1,313,967	1,254,779	1,313,967
	Other Expenses	162,642	1,269,335	149,898	153,346	149,898	153,346
	Total - General Fund	**1,351,026**	**2,525,805**	**1,404,677**	**1,467,313**	**1,404,677**	**1,467,313**

	Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
Additional Funds Available						
Private Contributions	196,418	138,826	186,410	199,246	186,410	199,246
Total - All Funds	**1,547,444**	**2,664,631**	**1,591,087**	**1,666,559**	**1,591,087**	**1,666,559**
Regulation of Liquor						
Permanent Full-Time Positions GF	33	33	30	30	30	30
General Fund						
Personal Services	1,610,923	1,341,179	1,305,878	1,376,555	1,305,878	1,376,555
Other Expenses	166,021	166,061	167,288	171,134	167,288	171,134
Total - General Fund	**1,776,944**	**1,507,240**	**1,473,166**	**1,547,689**	**1,473,166**	**1,547,689**
Federal Contributions						
State and Community Highway Safety	600	0	0	0	0	0
Additional Funds Available						
Private Contributions	653,287	1,116,301	1,211,278	1,257,018	1,211,278	1,257,018
Total - All Funds	**2,430,831**	**2,623,541**	**2,684,444**	**2,804,707**	**2,684,444**	**2,804,707**
Regulation of Certain Occupations						
Permanent Full-Time Positions GF	23	18	16	16	17	17
General Fund						
Personal Services	455,476	751,644	966,484	1,023,758	992,734	1,058,758
Other Expenses	180,042	191,038	159,814	163,572	161,314	165,572
Total - General Fund	**635,518**	**942,682**	**1,126,298**	**1,187,330**	**1,154,048**	**1,224,330**
Additional Funds Available						
Special Funds, Non-Appropriated	25	0	0	0	0	0
Private Contributions	558,398	200,609	440,879	463,030	440,879	463,030
Total - Additional Funds Available	**558,423**	**200,609**	**440,879**	**463,030**	**440,879**	**463,030**
Total - All Funds	**1,193,941**	**1,143,291**	**1,567,177**	**1,650,360**	**1,594,927**	**1,687,360**
Management Services						
Permanent Full-Time Positions GF	55	49	45	45	45	45
General Fund						
Personal Services	2,032,496	2,191,567	2,254,090	2,383,656	2,254,090	2,383,656
Other Expenses	242,750	262,108	250,027	231,268	250,027	231,268
Total - General Fund	**2,275,246**	**2,453,675**	**2,504,117**	**2,614,924**	**2,504,117**	**2,614,924**
Federal Contributions						
Other Federal Assistance	2,300	2,300	0	0	0	0
Additional Funds Available						
Private Contributions	5,525	0	0	0	0	0
Total - All Funds	**2,283,071**	**2,455,975**	**2,504,117**	**2,614,924**	**2,504,117**	**2,614,924**
Personal Services Savings						
General Fund						
Personal Services	0	0	-23,297	-23,297	-23,297	-23,297
Less: Turnover - Personal Services - GF	0	0	-31,073	-31,446	-31,073	-31,446
Agency Grand Total	**10,268,462**	**12,024,090**	**11,661,543**	**12,201,683**	**11,689,293**	**12,238,683**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**180**	**9,071,575**	**180**	**9,071,575**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	929,693	0	1,399,702	0	0	0	0
Other Expenses	0	78,040	0	94,985	0	0	0	0
Equipment	0	160,000	0	160,000	0	0	0	0
Total - General Fund	**0**	**1,167,733**	**0**	**1,654,687**	**0**	**0**	**0**	**0**
Reorganize Administrative Services - (B)								
The administrative staff assists the Commissioner in planning, coordinating and monitoring the agency's objective.								
-(G) The Governor recommends eliminating two administrative positions to effect economy. The positions to be eliminated include one Durational Project Manager and one Deputy Commissioner. This proposal would generate savings of $111,464 in FY 1997-98 and $114,678 in FY 1998-99. Since these positions are vacant, the elimination of the positions is not expected to have an impact on the operations of the agency.								
(L)Same as Governor								
Personal Services	-2	-111,464	-2	-114,678	0	0	0	0
Total - General Fund	**-2**	**-111,464**	**-2**	**-114,678**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Eliminate Vacant Positions - (B)								
-(G) The Governor recommends eliminating the vacant positions within the agency. The vacant positions include three in the Liquor Control Division, two in the business office and two within the Occupational Licensing Division. The reduction will result in a savings of $255,079 in FY 1997-98 and $263,447 in FY 1998-99.								
-(L)Same as Governor								
Personal Services	-7	-253,079	-7	-261,447	0	0	0	0
Other Expenses	0	-2,000	0	-2,000	0	0	0	0
Total - General Fund	**-7**	**-255,079**	**-7**	**-263,447**	**0**	**0**	**0**	**0**
Reorganize Weights and Measure Division - (B)								
-(G) The Governor recommends reorganizing the Weights and Measure Division by eliminating the Assistant Director. This recommendation will result in a savings of $57,945 in FY 1997-98 and $59,614 in FY 1998-99. The position is currently filled.								
-(L)Same as Governor								
Personal Services	-1	-57,945	-1	-59,614	0	0	0	0
Total - General Fund	**-1**	**-57,945**	**-1**	**-59,614**	**0**	**0**	**0**	**0**
Fund Equipment Items from the CEPF - (B)								
The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least 3 years. It is financed through the sale of bonds and is administered by the Office of Policy and Management.								
-(G) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds).								
-(L)Same as Governor								
Equipment	0	-160,000	0	-160,000	0	0	0	0
Total - General Fund	**0**	**-160,000**	**0**	**-160,000**	**0**	**0**	**0**	**0**
Reduce Funding Due to State Data Center Rate Reductions - (B)								
Many state agencies obtain computer services to support their business functions from the State Data Center, operated by the Department of Administrative Services through the Technical Services Revolving Fund.								
-(G) Due to a reorganization of the operation of the State Data Center, there is a significant reduction in the rates for services charged to state agencies (CATER and BOSS).								
-(L)Same as Governor								
Personal Services	0	-23,495	0	-23,495	0	0	0	0
Total - General Fund	**0**	**-23,495**	**0**	**-23,495**	**0**	**0**	**0**	**0**
Eliminate Inflationary Increases - (B)								
-(G) The Governor recommends eliminating the inflationary increase in the Other Expense account in order to effect economy. In addition, a general reduction of $23,297 is recommended for Personal Services in both years of the biennium. Eliminating the increase will result in a total savings of $101,337 in FY 1997-98 and $118,282 in FY 1998-99.								
-(L)Same as Governor								
Personal Services	0	-23,297	0	-23,297	0	0	0	0
Other Expenses	0	-78,040	0	-94,985	0	0	0	0
Total - General Fund	**0**	**-101,337**	**0**	**-118,282**	**0**	**0**	**0**	**0**
Establish Licensure Program for Court Reporters - (B)								
PA 97-166, "An Act Concerning the Licensing of Court Reporters", requires the Department of Consumer Protection to establish a licensure program for shorthand reporters, effective October 1, 1997. Under the act, a six-member licensing board would have the authority to issue, suspend or revoke a license if certain violations occur. Applicants must submit a nonrefundable $50 application fee								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
and a $150 license fee. The license is valid for three years. The license renewal fee is $150. -(L) Funding, in the amount of $27,750 in SFY 1997-98 is provided to reflect three-quarter year costs associated with establishing a Licensure Program for court reporters. In SFY 1998-99, the annualized cost of this initiative will be $37,000. Under the act, anyone employed by the state as a court reporter whether paid on a salary or per diem basis, or the U.S. as a court reporter for federal courts located in this state, are exempt from the license requirement. Therefore, it is not clear who would be required to be licensed, thus, the revenue impact is not known.								
Personal Services	1	26,250	1	35,000	1	26,250	1	35,000
Other Expenses	0	1,500	0	2,000	0	1,500	0	2,000
Total - General Fund	**1**	**27,750**	**1**	**37,000**	**1**	**27,750**	**1**	**37,000**
Budget Totals - GF	**171**	**9,557,738**	**171**	**10,023,746**	**1**	**27,750**	**1**	**37,000**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Compliance Meetings	2	3	3	3	3	3	3	3
Declaratory Rulings Issued	359	360	360	360	360	360	360	360
Press Releases	119	120	120	120	120	120	120	120
Public Service Announcements	135	135	135	135	135	135	135	135
Speaking Engagements	7	5	5	5	5	5	5	5
(NEW) Licenses, Registrations & Permits	176	186	186	186	186	186	186	186
Emergency Inspections	112	85	85	85	85	85	85	85
Emergency Hours	336	255	255	255	255	255	255	255
Consumer Initiated Incidents/Hours	623/1896	650/1950	650/1950	650/1950	650/1950	650/1950	650/1950	650/1950
Mandatory Inspections/Hours	132/264	135/270	135/270	135/270	135/270	135/270	135/270	135/270
Routine Inspections/Hours	12.1/27.3	12.0/27.0	12.0/27.0	12.0/27.0	12.0/27.0	12.0/27.0	12.0/27.0	12.0/27.0
Agent Hours Involved - Scheduled Inspections	2,821	2,709	3,000	3,000	3,000	3,000	3,000	3,000
Arrest and Search Warrants Obtained	17	15	15	15	15	15	15	15
Completed Investigations Referred for Administrative Action	59	60	60	60	60	60	60	60
Appearances to Testify at Court Trials and Hearings	35	40	40	40	40	40	40	40
Number of On-Site Advisements	101	120	120	120	120	120	120	120
Educ. Presentation/Audience Reached	59/2293	60/3000	60/3000	60/3000	60/3000	60/3000	60/3000	60/3000
Agent Hrs Involved - Emergency Response	400	400	400	400	400	400	400	400
Complaints (Reg. Of Occupational Licensing)	150	150	150	150	150	150	150	150
Inspections (Reg. Of Occupational Licensing)	393	393	393	393	393	393	393	393
Violations (Reg. Of Occupational Licensing)	232	200	200	200	200	200	200	200
Complaints (Reg. Of Professional Licensing)	98	100	100	100	100	100	100	100
Violations (Reg. Of Professional Licensing)	28	30	30	30	30	30	30	30
Complaints/Investigations/Inspections (Reg. Of Real Estate)	132/37/12	150/50/15	160/55/18	165/60/20	160/55/18	165/60/20	160/55/18	165/60/20
Disciplinary Actions	16	18	19	20	19	20	19	20
School and Course Approvals	167/980	175/1000	180/1050	190/1100	180/1050	190/1100	180/1050	190/1100
Registration Appl./Certificate (Renewals) of Registration	130/98	135/100	140/105	145/110	140/105	145/110	140/105	145/110
Standards Calibrated	4,254	4,200	4,200	4,200	4,200	4,200	4,200	4,200
Weighing and Measuring Devices Tested	45,826	45,700	45,700	45,700	45,700	45,700	45,700	45,700
Weighing and Measuring Devices (Rejected)	3,225	3,200	3,200	3,200	3,200	3,200	3,200	3,200
Inspector Hours (Gas Station Price Sign Enforcement Program)	2,100	2,100	2,100	2,100	2,100	2,100	2,100	2,100
Number of Inspections (Gas Station Price Sign Enforcement Program)	4,268	4,200	4,200	4,200	4,200	4,200	4,200	4,200
Compliance to Inspections (%) (Gas Station Price Sign Enforcement Program)	98	98	98	98	98	98	98	98
Number of Consumer Complaints Investigated/Staff Hours	317/2219	320/2200	320/2200	320/2200	320/2200	320/2200	320/2200	320/2200
Ordered Off-Sale	10,943	11,000	11,000	11,000	11,000	11,000	11,000	11,000
Packages Weighed	332,694	250,000	250,000	250,000	250,000	250,000	250,000	250,000
Motor Fuel Quality Registration	800	750	750	750	750	750	750	750
Retail Gasoline License	1,971	1,950	1,900	1,900	1,900	1,900	1,900	1,900
Retail Gas License Inspection Hours	2,464	2,400	2,400	2,400	2,400	2,400	2,400	2,400
Retail Gas License Administration Hours	2,956	2,956	2,900	2,900	2,900	2,900	2,900	2,900
Registration of Weighing and Measuring Devices	42,143	41,000	41,000	41,000	41,000	41,000	41,000	41,000

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Motor Fuel Samples Tested	624	600	600	600	600	600	600	600
Product Safety Complaints	90	100	100	100	100	100	100	100
Product Units Recalled	11,867	5,000	5,000	5,000	5,000	5,000	5,000	5,000
Bedding/Upholstered Furniture Inspections	487	100	100	100	100	100	100	100
Advertising Investigations	113	100	100	100	100	100	100	100
Trade Practices Investigations	186	300	300	300	300	300	300	300
Merchandising Investigations	297	100	100	100	100	100	100	100
Home Improvement Investigations	588	600	600	600	600	600	600	600
Number of Weeks Before Complaint Advisement	2	2	2	2	2	2	2	2
Toll Free Calls (Includes Direct Line Calls)	108,000	100,000	100,000	100,000	100,000	100,000	100,000	100,000
Arbitration Requests	192	200	200	200	200	200	200	200
Arbitration Requests (Consumer $)	8,800	9,000	9,000	9,000	9,000	9,000	9,000	9,000
Arbitration Requests (Manufacturer $)	35,000	37,000	37,000	37,000	37,000	37,000	37,000	37,000
Arbitration Requests (Cases Delayed Beyond 60 Days)	5	5	5	5	5	5	5	5
Public Charities Registration	5,282	5,650	6,000	6,350	6,000	6,350	6,000	6,350
Charities Inquiries and Complaints	2,370	2,500	2,700	2,800	2,700	2,800	2,700	2,800
Charities Enforcement Activities	121	120	120	120	120	120	120	120
Revenue Received ($M)/Funded Staff	6.7/33	6.7/33	6.7/33	6.7/33	6.7/33	6.7/33	6.7/33	6.7/33
New Permits Issued	729	750	750	800	750	800	750	800
Applications Received	8555	8500	8000	8000	8000	8000	8000	8000
Violations Noted	2032	2032	2032	2032	2032	2032	2032	2032
Total Investigations and Inspections	1154	1175	1200	1200	1200	1200	1200	1200
Charges Dismissed/Hearings Held	154/237	154/237	154/237	154/237	154/237	154/237	154/237	154/237
Active Permits/Funded Agents	8110/15	8110/15	8110/15	8110/15	8110/15	8110/15	8110/15	8110/15
Outside Training Seminar/Staff Hours Spent	5/200	10/400	10/400	10/400	10/400	10/400	10/400	10/400
Regulations Promulgated	2	3	3	3	3	3	3	3
Formal Administrative Complaints	359	360	360	360	360	360	360	360
Investigatory Subpoenas/Demands	119	120	120	120	120	120	120	120
Compliance Meetings	135	135	135	135	135	135	135	135
Declaratory Rulings Issued	7	5	5	5	5	5	5	5
Press Releases	176	186	186	186	186	186	186	186
Public Service Announcements	6	10	10	10	10	10	10	10
Speaking Engagements	40	40	40	40	40	40	40	40
Licenses, Registration and Permits	146,708	148,000	148,000	148,000	148,000	148,000	148,000	148,000

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and the Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $933,166 in Personal Services from the early retirement incentive program, and $84,962 in Personal Services and $50,425 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $98,503 for FY '98.

[2] General Fund revenue, in the amount of $21.4 million, is expected to be collected by the Department in 1997-98 and $22 million in 1998-99. The anticipated revenue breaks down as follows:

Fee	1997-98 Estimated Revenue	1998-99 Estimated Revenue
Real estate broker's, salesman, and community association managers	$4,619,000	$4,820,000
Occupational licensing fees for plumbers, electricians, steamfitters, and TV repairman	2,700,000	2,835,000
Real estate agents, architects and landscape architects examination, and reexamination fees	170,000	189,000
Fees from professional engineers and land surveyors	1,970,000	2,070,000
Licensing fees for home improvement contractors and salesman	1,850,000	1,900,000
Drug licenses	150,000	150,000
Licenses for mobile home parks	114,000	115,000
Taxes on admission to boxing	16,000	16,000
Appraiser license and application fee	700,000	750,000

Fee	1997-98 Estimated Revenue	1998-99 Estimated Revenue
Fees from general contractors	610,000	625,000
Regulation of bedding and upholstered furniture	70,000	70,000
Regulation of Health Clubs	40,000	42,000
Dealers and repairers of weighing devices	32,000	32,000
Lemon law arbitration	45,000	45,000
Licensing gas stations	[3]	[3]
Weighing and measuring devices	[3]	[3]
Motor fuel quality certification	[3]	[3]
Architects	610,000	620,000
Food Licenses	305,000	305,000
Liquor Control Filing Fees	5,600,000	5,600,000
Registration of Brands	405,000	505,000
Miscellaneous	1,456,300	1,371,400

[3] PA 97-309, "An Act Providing for Reductions in the Motor Vehicle Fuels Tax and the Personal Income Tax, a Credit Against the Personal Income Tax for Certain Property Taxes and the Collection of various Municipal and State Taxes" requires that the following fees be deposited in the Transportation Fund:

Fee	1997-98 Estimated Revenue	1998-99 Estimated Revenue
Station Relocation fee	$135,000	135,000
Retail Gasoline Dealers	400,000	400,000
Registration of Weighing/Measuring Devices	900,000	900,000
Motor Fuel Quality Certification	59,000	59,000

In each year of the biennium, a total of $1.5 million will be deposited in the Transportation Fund as revenue.

Department of Labor
2610

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	155	150	150	150	150	150
	Others Equated to Full-Time	2	0	124	124	124	124
	Additional Funds Available						
	Permanent Full-Time	1,073	950	1,053	1,053	1,053	1,053
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	6,620,719	7,246,373	7,529,417	8,015,765	7,529,417	8,015,765
002	Other Expenses	918,245	924,206	984,880	984,976	984,880	984,976
005	Equipment	60,204	11,227	50,000	50,000	50,000	50,000
02X	Other Current Expenses	7,970,087	8,384,670	31,260,957	31,279,807	30,210,957	30,229,807
	Agency Total - General Fund	**15,569,255**	**16,566,476**	**39,825,254**	**40,330,548**	**38,775,254**	**39,280,548**
	Workers' Compensation Fund						
02X	Other Current Expenses	0	0	623,510	637,850	623,510	637,850
	Agency Total - Workers' Compensation Fund	**0**	**0**	**623,510**	**637,850**	**623,510**	**637,850**
	Agency Total - Appropriated Funds	**15,569,255**	**16,566,476**	**40,448,764**	**40,968,398**	**39,398,764**	**39,918,398**
	Additional Funds Available						
	Special Funds, Non-Appropriated	566,186	298,403	250,000	187,500	250,000	187,500
	Employment Security Administration Fund	0	110,798,846	0	0	0	0
	Private Contributions	388,026	0	318,000	345,000	318,000	345,000
	Federal Contributions	115,913,726	0	111,455,149	113,204,853	111,455,149	113,204,853
	Agency Grand Total	**132,437,193**	**127,663,725**	**152,471,913**	**154,705,751**	**151,421,913**	**153,655,751**
	BUDGET BY PROGRAM						
	Job Service						
	Permanent Full-Time Positions GF/OF	2/217	2/213	2/216	2/216	2/216	2/216
	General Fund						
	Personal Services	4,359	0	120,891	129,253	120,891	129,253
	Other Expenses	212	0	0	0	0	0
033	One-Stop Employment Services	0	0	24,000,000	24,000,000	22,500,000	22,500,000
	Total - General Fund	**4,571**	**0**	**24,120,891**	**24,129,253**	**22,620,891**	**22,629,253**
	Federal Contributions						
	Employment Service	17,667,539	0	18,860,875	19,948,244	18,860,875	19,948,244
	Additional Funds Available						
	Special Funds, Non-Appropriated	0	298,403	0	0	0	0
	Employment Security Administration Fund	0	110,798,846	0	0	0	0
	Total - Additional Funds Available	**0**	**111,097,249**	**0**	**0**	**0**	**0**
	Total - All Funds	**17,672,110**	**111,097,249**	**42,981,766**	**44,077,497**	**41,481,766**	**42,577,497**
	ESD - Unemployment Compensation Department						
	Permanent Full-Time Positions /OF	/629	/594	/616	/616	/616	/616
	Federal Contributions						
	Unemployment Insurance	45,692,395	0	46,896,290	49,720,720	46,896,290	49,720,720
	State Job Training Partnership Act Administration						
	Permanent Full-Time Positions /OF	/60	/0	/56	/56	/56	/56
	General Fund						
032	Summer Youth Employment	716,233	800,314	800,314	800,314	800,314	800,314

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Federal Contributions						
	Job Training Partnership	39,421,650	0	26,063,105	23,256,794	26,063,105	23,256,794
	Total - All Funds	**40,137,883**	**800,314**	**26,863,419**	**24,057,108**	**26,863,419**	**24,057,108**
	Office of Job Training & Skill Development						
	Permanent Full-Time Positions GF	27	27	27	27	27	27
	General Fund						
	Personal Services	1,141,095	1,235,408	1,287,518	1,394,173	1,287,518	1,394,173
	Other Expenses	285,586	288,636	291,837	291,837	291,837	291,837
	Equipment	13,537	5,400	16,334	16,334	16,334	16,334
021	Vocational and Manpower Training[1]	1,925,300	1,925,300	1,925,300	1,925,300	1,925,300	1,925,300
027	Displaced Homemakers	393,000	419,365	419,365	419,365	419,365	419,365
035	Non-Traditional Occupational Training	0	0	0	0	325,000	325,000
036	Machine Tool Job Training	0	0	0	0	125,000	125,000
	Total - General Fund	**3,758,518**	**3,874,109**	**3,940,354**	**4,047,009**	**4,390,354**	**4,497,009**
	Connecticut Employment and Training Commission						
	Permanent Full-Time Positions GF	3	3	3	3	3	3
	General Fund						
	Personal Services	119,471	142,647	161,801	172,885	161,801	172,885
	Other Expenses	10,183	12,901	10,938	10,938	10,938	10,938
	Equipment	4,011	0	0	0	0	0
029	Regional Workforce Development Boards	465,000	450,000	450,000	450,000	450,000	450,000
	Total - General Fund	**598,665**	**605,548**	**622,739**	**633,823**	**622,739**	**633,823**
	General Assistance Programs						
	General Fund						
030	Jobs Program (STEP)	726,949	0	0	0	0	0
031	Community Employment Incentive Program	3,743,605	4,789,691	3,665,978	3,684,828	3,665,978	3,684,828
	Total - General Fund	**4,470,554**	**4,789,691**	**3,665,978**	**3,684,828**	**3,665,978**	**3,684,828**
	Regulation of Wages						
	Permanent Full-Time Positions GF/OF	36/6	35/0	35/6	35/6	35/6	35/6
	General Fund						
	Personal Services	1,290,356	1,410,422	1,585,453	1,727,300	1,585,453	1,727,300
	Other Expenses	162,970	177,729	178,252	178,252	178,252	178,252
	Equipment	2,420	0	0	0	0	0
	Total - General Fund	**1,455,746**	**1,588,151**	**1,763,705**	**1,905,552**	**1,763,705**	**1,905,552**
	Additional Funds Available						
	Private Contributions	388,026	0	318,000	345,000	318,000	345,000
	Total - All Funds	**1,843,772**	**1,588,151**	**2,081,705**	**2,250,552**	**2,081,705**	**2,250,552**
	Division of Occupational Safety and Health						
	Permanent Full-Time Positions GF/OF	32/2	30/0	30/2	30/2	30/2	30/2
	General Fund						
	Personal Services	1,177,898	1,252,433	1,497,587	1,578,385	1,497,587	1,578,385
	Other Expenses	182,302	159,351	216,658	216,658	216,658	216,658
	Equipment	4,732	5,227	4,816	4,716	4,816	4,716
	Total - General Fund	**1,364,932**	**1,417,011**	**1,719,061**	**1,799,759**	**1,719,061**	**1,799,759**
	Workers' Compensation Fund						
028	Occupational Health Clinics	0	0	623,510	637,850	623,510	637,850
	Federal Contributions						
	Consultation Agreements	20,411	0	6,933	7,071	6,933	7,071
	Additional Funds Available						
	Special Funds, Non-Appropriated	566,186	0	0	0	0	0
	Total - All Funds	**1,951,529**	**1,417,011**	**2,349,504**	**2,444,680**	**2,349,504**	**2,444,680**
	Regulation of Working Conditions						
	Permanent Full-Time Positions GF	5	5	5	5	5	5
	General Fund						
	Personal Services	212,112	235,112	246,288	259,280	246,288	259,280
	Other Expenses	22,307	23,747	24,349	24,349	24,349	24,349
	Total - General Fund	**234,419**	**258,859**	**270,637**	**283,629**	**270,637**	**283,629**
	Board of Mediation and Arbitration						
	Permanent Full-Time Positions GF	17	16	16	16	16	16
	General Fund						
	Personal Services	1,026,349	1,247,884	1,285,170	1,320,511	1,285,170	1,320,511
	Other Expenses	73,353	61,302	82,886	82,886	82,886	82,886
	Equipment	6,986	0	1,950	1,950	1,950	1,950
	Total - General Fund	**1,106,688**	**1,309,186**	**1,370,006**	**1,405,347**	**1,370,006**	**1,405,347**

	Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
Board of Labor Relations						
Permanent Full-Time Positions GF	13	13	13	13	13	13
General Fund						
Personal Services	734,880	851,808	897,337	941,704	897,337	941,704
Other Expenses	88,066	80,519	88,032	88,032	88,032	88,032
Equipment	2,490	0	5,950	3,950	5,950	3,950
Total - General Fund	**825,436**	**932,327**	**991,319**	**1,033,686**	**991,319**	**1,033,686**
Office of Research and Information						
Permanent Full-Time Positions GF/OF	5/22	5/19	5/21	5/21	5/21	5/21
General Fund						
Personal Services	251,719	204,394	268,714	283,464	268,714	283,464
Other Expenses	16,142	22,463	17,772	17,772	17,772	17,772
Equipment	1,917	600	0	0	0	0
Total - General Fund	**269,778**	**227,457**	**286,486**	**301,236**	**286,486**	**301,236**
Federal Contributions						
Labor Force Statistics	1,589,301	0	1,498,070	1,550,262	1,498,070	1,550,262
Total - All Funds	**1,859,079**	**227,457**	**1,784,556**	**1,851,498**	**1,784,556**	**1,851,498**
Management Services						
Permanent Full-Time Positions GF/OF	15/137	14/124	14/136	14/136	14/136	14/136
General Fund						
Personal Services	662,480	666,265	708,353	743,445	708,353	743,445
Other Expenses	77,124	97,558	74,156	74,252	74,156	74,252
Equipment	24,111	0	20,950	23,050	20,950	23,050
Total - General Fund	**763,715**	**763,823**	**803,459**	**840,747**	**803,459**	**840,747**
Federal Contributions						
Unemployment Insurance	11,522,430	0	18,129,876	18,721,762	18,129,876	18,721,762
Additional Funds Available						
Special Funds, Non-Appropriated	0	0	250,000	187,500	250,000	187,500
Total - All Funds	**12,286,145**	**763,823**	**19,183,335**	**19,750,009**	**19,183,335**	**19,750,009**
Personal Services Savings						
General Fund						
Personal Services	0	0	-396,285	-396,285	-396,285	-396,285
Less: Turnover - Personal Services - GF	0	0	-133,410	-138,350	-133,410	-138,350
EQUIPMENT						
005 Equipment	60,204	11,227	50,000	50,000	50,000	50,000
Agency Grand Total	**132,437,193**	**127,663,725**	**152,471,913**	**154,705,751**	**151,421,913**	**153,655,751**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**150**	**16,890,140**	**150**	**16,890,140**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	591,478	0	1,077,826	0	0	0	0
Other Expenses	0	23,079	0	46,152	0	0	0	0
Equipment	0	131,236	0	129,457	0	0	0	0
Other Current Expenses	0	205,545	0	407,250	0	0	0	0
Grant Payments - Other Than Towns	0	1,190,000	0	1,190,000	0	0	0	0
Total - General Fund	**0**	**2,141,338**	**0**	**2,850,685**	**0**	**0**	**0**	**0**
Eliminate Inflationary Increases for Other Current Expenses - (B)								
-(G) Inflationary increases are eliminated for Other Current Expenses programs for both fiscal years 1997-98 and 1998-99. These programs include Vocational and Manpower Training, Displaced Homemakers, Regional Workforce Development Boards, Community Employment Incentive Program and the Summer Youth Employment Program.								
-(L)Same as Governor								
Vocational and Manpower Training	0	-46,200	0	-91,550	0	0	0	0
Displaced Homemakers	0	-10,065	0	-19,935	0	0	0	0
Regional Workforce Development Boards	0	-10,800	0	-21,400	0	0	0	0
Community Employment Incentive Program	0	-119,274	0	-236,309	0	0	0	0
Summer Youth Employment	0	-19,206	0	-38,056	0	0	0	0
Total - General Fund	**0**	**-205,545**	**0**	**-407,250**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Reduce Funding from Personal Services for the Purpose of Effecting Economy - (B)								
-(G) Funding, in the amount of ($396,285) is recommended to be reduced from Personal Services to effect economy in FY 1997-98.								
-(L)Same as Governor								
Personal Services	0	-396,285	0	-396,285	0	0	0	0
Total - General Fund	**0**	**-396,285**	**0**	**-396,285**	**0**	**0**	**0**	**0**
Reduce Funding from Other Expenses Including Inflationary Increases and Miscellaneous Reductions - (B)								
-(G) Funding, in the amount of $24,168, is recommended to be reduced in FY 1997-98. This includes an inflationary reduction of $23,383 and a reduction of technical services revolving fund rates for FY 1997-98 in the amount of $785. A reduction of $22,977 in inflationary increases is recommended to be reduced in FY 1998-99.								
-(L)Same as Governor								
Other Expenses	0	-24,168	0	-47,145	0	0	0	0
Total - General Fund	**0**	**-24,168**	**0**	**-47,145**	**0**	**0**	**0**	**0**
Transfer Funding from Equipment to the Capital Equipment Purchase Fund - (B)								
-(G) Funding, in the amount of $86,734, is recommended to be transferred to the Capital Equipment Purchase Fund in FY 1997-98 and $84,734 in FY 1998-99.								
-(L)Same as Governor								
Equipment	0	-86,513	0	-84,734	0	0	0	0
Total - General Fund	**0**	**-86,513**	**0**	**-84,734**	**0**	**0**	**0**	**0**
Reduce Funding for the Purpose of Streamlining the Community Employment Incentive Program - (B)								
-(G) Funding, in the amount of $1,422,987, is reduced for the FY 1997-98 for the purpose of effecting economy.								
-(L)Same as Governor								
Community Employment Incentive Program	0	-1,303,713	0	-1,284,863	0	0	0	0
Total - General Fund	**0**	**-1,303,713**	**0**	**-1,284,863**	**0**	**0**	**0**	**0**

Funding for the Employment Services/JOBS Program - (B)

The Employment Services/Job Connection Program provides education, training, and employment services to clients receiving financial assistance from the state. The focus of the program is getting clients jobs and then improving basic skills and training once they are employed. A variety of community-based organizations and private entities are used as contractors to develop job opportunities and training programs. Funds have also been used to assist clients in seeking quality day care as well as enhancing the supply of day care services.

-(G) Funds in the amount of $17,362,550 are eliminated for DSS's JOBS program and added to an amount appropriated to the Department of Labor's One Stop Employment Services program. Appropriated funds include $3,440,000 for personal services and $15,422,550 from the JOBS program. In addition $1.5 million remains in DSS for consultant services.

-(L) Funding for the Employment Services program will be increased to $22,500,000 and provided to the Department of Labor. The sum of $17.5 million will be subsequently transferred to DSS for the operation of the Supportive Employment Services/JOBS Program for FY 97-98. Of the total amount appropriated, $9 million will be transferred effective July 1997 with the remaining funds transferred by December 1, 1997. The responsibility for the program will remain with DSS until July, 1998, when program responsibility will be transferred to DOL. The remaining $5,000,000 in the Department of Labor budget will be used to establish a computerized interagency and case management system within the Department of Labor for the purpose of keeping statistics for the administration of the Employment Services program.

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
The One-Stop Employment Services program will be implemented by the Department of Social Services through the authority granted in Public Act 97-2, "An Act Concerning Welfare Reform and Expenditures of the Department of Social Services". The act also grants authority to the Department of Social Services and Labor to enter into a memorandum of understanding for the coordination of contracts for employment services for individuals on the Temporary Assistance for Needy Families program. The memorandum will be effective no later than September 1, 1997. Effective July 1, 1998 the Department of Labor will become responsible for the negotiation and handling of employment service contracts, possibly through the Connecticut Employment and Training Commission and the state's nine Workforce Development Boards.								
The DOL and DSS shall establish a pilot program in each of two regions commencing July 1, 1997 for purpose of providing jointly administered employment retention services. The departments shall report to the legislature on the success of these efforts and the potential for providing cost-effective services.								
An Advisory Council is also to be established to oversee the Employment Services program and the Department of Labor and Social Services. The council will review the continuation of contracts with the Department of Labor, the implementation of the interagency and case management system, the maximization of educational resources and job search and job readiness skills training.								
One-Stop Employment Services	0	22,500,000	0	22,500,000	0	-1,500,000	0	-1,500,000
Total - General Fund	**0**	**22,500,000**	**0**	**22,500,000**	**0**	**-1,500,000**	**0**	**-1,500,000**
Place Funding for Occupational Health Clinics Within the Department of Labor Budget - (B)								
The occupational health clinics accumulate data and complete research into occupational health factors. -(G) Funding, in the amounts of $623,510 and $637,850, are recommended to be appropriated to the Department of Labor budget for FYs 1997-98 and 1998-99. These funds will still come from the Workers' Compensation budget. -(L)Same as Governor								
Occupational Health Clinics	0	623,510	0	637,850	0	0	0	0
Total - Workers' Compensation Fund	**0**	**623,510**	**0**	**637,850**	**0**	**0**	**0**	**0**
Remove Funding for Stipend Program Within Department of Labor - (B)								
Funding, in the amount of $1,190,000 was annualized to provide a stipend of $100 a month for up to six months. The stipend was provided to individuals who have lost their General Assistance eligibility because they are employable and have exceeded their maximum benefit period. -(G) Funding, in the amount of $1,190,000 for each of the fiscal years 1997-98 and 1998-99 is removed from the Stipend Program. -(L)Same as Governor								
Stipend Program	0	-1,190,000	0	-1,190,000	0	0	0	0
Total - General Fund	**0**	**-1,190,000**	**0**	**-1,190,000**	**0**	**0**	**0**	**0**
Add Funds to the Workforce Development Boards in the State of Connecticut - (B)								
The nine Workforce Development Boards in the State coordinate job training within each region of the State in which they are established. The boards work in conjunction with the Connecticut Employment and Training Commission for the purpose of developing job skills for the work force in the State. -(L) An amount of $50,000 is removed from the Workforce Development Boards.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Provide Funds for a Machine Tool Job Training Program - (B) The Hartford Community College would receive these funds from the Department of Labor for the purpose of offering a Machine Tool Job Training program. -(L) An amount of $125,000 is added to the department budget for each fiscal year 1997-98 and 1998-99 for the purpose of funding a Machine Tool Training program.								
Machine Tool Job Training	0	125,000	0	125,000	0	125,000	0	125,000
Total - General Fund	**0**	**125,000**	**0**	**125,000**	**0**	**125,000**	**0**	**125,000**
Provide Funds for a Non-Traditional Trades Job Training Program - (B) The Non-Traditional Occupational Job Training program would involve men and women who want to pursue non-traditional trades. -(L) An amount of $325,000 is added to the Department's budget for each fiscal year 1997-98 and 1998-99.								
Non-Traditional Occupational Training	0	325,000	0	325,000	0	325,000	0	325,000
Total - General Fund	**0**	**325,000**	**0**	**325,000**	**0**	**325,000**	**0**	**325,000**
Budget Totals - GF	**150**	**38,775,254**	**150**	**39,280,548**	**0**	**-1,050,000**	**0**	**-1,050,000**
Budget Totals - WF	**0**	**623,510**	**0**	**637,850**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Personnel and Staff Development								
Personnel transactions	90	90	90	90	90	90	90	90
Individuals receiving formal in-house training	2,300	3,000	3,000	3,000	3,000	3,000	3,000	3,000
Business Management								
Grants and Contracts	857	900	900	900	900	900	900	900
Cost Centers (Level-Accting Detail)	207	213	213	213	213	213	213	213
Accounting Reports Prepared	2,356	2,356	2,356	2,356	2,356	2,356	2,356	2,356
Print Shop Impressions (M)	11.5	11.5	11.5	11.5	11.5	11.5	11.5	11.5
Information Technologies (ADP)								
Application system changes serviced	265	291	295	295	295	295	295	295
Computer programs maintained	11,759	12,000	13,000	13,000	13,000	13,000	13,000	13,000
Online network availability (%)	99	99	99	99	99	99	99	99
Online transactions processed/day	67,407	80,889	97,067	97,067	97,067	97,067	97,067	97,067
Processor utilization-Standard Unit of Processing (SUPS)(B)	61,790	67,969	74,766	74,766	74,766	74,766	74,766	74,766
Complaints, requests and inquiries	30,000	30,000	30,000	30,000	30,000	30,000	30,000	30,000
Conditions of employment inspections	931	950	960	970	960	970	960	970
Private employment agencies	378	380	380	380	380	380	380	380
Complaint investigations	400	425	435	440	435	440	435	440
Recommendations issued	1,506	1,500	1,525	1,550	1,525	1,550	1,525	1,550
Average amount of wages collected per agent/investigator(s) ($)	160,700	175,000	180,000	190,000	180,000	190,000	180,000	190,000
Employees paid wages	4,700	7,000	7,500	8,000	7,500	8,000	7,500	8,000
Complaints received	5,100	5,400	5,300	5,400	5,300	5,400	5,300	5,400
Minimum Wage/Overtime Section - Time between receipt of complaint and start of investigation (months)	8	7	6	6	6	6	6	6
Employer citations for record keeping violations	713	800	810	850	810	850	810	850
Hearings held on disputed cases	440	450	460	500	460	500	460	500
Employers using apprenticeship	3,266	3,400	3,500	3,600	3,500	3,600	3,500	3,600
Employers using customized job training	478	485	490	500	490	500	490	500
Individuals entering training	10,552	10,812	10,812	11,012	10,812	11,012	10,812	11,012
Individuals completing training	9,080	9,300	9,250	9,400	9,250	9,400	9,250	9,400
Minorities entering training (%)	22	23	24	25	24	25	24	25
Females entering training (%)	37	38	39	40	39	40	39	40
Active apprentice enrollment as of 6/30	10,205	10,500	10,800	11,100	10,800	11,100	10,800	11,100
Cases filed	956	980	990	1,000	990	1,000	990	1,000
Unfair labor and prohibited practice	848	875	885	895	885	895	885	895
Petitions for representations	108	105	105	105	105	105	105	105

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Percent of Cases settled without formal hearings (%)	90	90	90	90	90	90	90	90
Formal hearings	103	100	105	110	105	110	105	110
Decisions issued	57	65	65	65	65	65	65	65
Cases pending end of fiscal year	971	900	875	875	875	875	875	875
Total cases filed	2,789	2,855	2,935	3,000	2,935	3,000	2,935	3,000
Mediation cases								
Grievances	332	355	385	400	385	400	385	400
Contracts	652	665	685	700	685	700	685	700
Grievance Arbitration cases	1,555	1,575	1,590	1,600	1,590	1,600	1,590	1,600
Disposed	1,322	1,350	1,360	1,370	1,360	1,370	1,360	1,370
Backlog	2,780	3,005	3,235	3,465	3,235	3,465	3,235	3,465
Fact Finding cases								
Interest Arbitration cases	250	260	275	300	275	300	275	300
Filing fees collected ($)	69,795	63,000	63,600	64,000	63,600	64,000	63,600	64,000
Filing fees not collected ($)	15,350.50	15,750	15,900	16,000	15,900	16,000	15,900	16,000
Compliance inspections	257	260	260	260	260	260	260	260
Violations (Other than Serious)	923	950	950	950	950	950	950	950
Violations(Other than Serious)	1,142	1,150	1,150	1,150	1,150	1,150	1,150	1,150
Violations (Serious)	322	325	325	325	325	325	325	325
Instances of Violations (Serious)	747	750	750	750	750	750	750	750
On-site consultations	609	620	620	620	620	620	620	620
Training and education programs	62	80	80	80	80	80	80	80
Firms surveyed for injury-illness data	10,787	8,500	8,500	8,500	8,500	8,500	8,500	8,500
Total participants served	11,644	8,249	8,249	8,249	8,249	8,249	8,249	8,249
(New)JTPA program completions	9,518	7,407	7,407	7,407	7,407	7,407	7,407	7,407
Total placed	3,419	3,006	3,006	3,006	3,006	3,006	3,006	3,006
Monitoring frequency of each Service Delivery Area (Time/Yr.)	2	2	2	2	2	2	2	2
Number of SDA's in compliance	9	9	9	9	9	9	9	9
Customer satisfaction	90.4	91	92	92.5	92	92.5	92	92.5
Benefits paid to Claimants ($M)	484	467	520	591	520	591	520	591
Claimants	252,000	244,700	255,300	286,000	255,300	286,000	255,300	286,000
Average weeks collected	16.5	16	15	16	15	16	15	16
Average amount of check ($)	207	215	222	230	222	230	222	230
Taxes paid by employers Including Trust Fund Interest ($M)	550	578	594	629	594	629	594	629
Percent of employers delinquent (%)	5	5	5	5	5	5	5	5
Balance in Unemployment Trust Fund ($M)	232	319	383	410	383	410	383	410
Outstanding Revenue Bond Loans ($M)	796	712	610	486	610	486	610	486
Job openings received	40,000	42,000	43,000	45,000	50,000	50,000	50,000	50,000
Job openings filled	8,000	9,000	10,000	10,500	18,000	16,000	18,000	16,000
All Individuals Securing Employment	20,400	22,000	23,000	25,000	26,500	26,000	26,500	26,000
Individuals placed	8,100	9,000	10,500	11,000	10,500	11,000	10,500	11,000
Obtained employment	12,300	13,000	12,500	14,000	16,500	18,000	16,500	18,000
UC Work Tests	27,000	26,500	26,000	25,000	30,000	29,000	30,000	29,000
Employment and wage reports processed	59,600	59,600	59,600	59,600	59,600	59,600	59,600	59,600
(New) Industry Employment Estimates prepared	21,700	21,700	21,700	21,700	21,700	21,700	21,700	21,700
Labor force estimates prepared	75,464	46,000	46,000	46,000	46,000	46,000	46,000	46,000
(New) Labor market information reports mailed	68,300	87,000	87,000	87,000	87,000	87,000	87,000	87,000
Occupational data schedules collected	3,380	7,100	7,100	7,100	7,100	7,100	7,100	7,100
(New)Industry Verification Reports processed	29,300	30,000	30,000	30,000	30,000	30,000	30,000	30,000
(New)UI covered employment reports processed	125,850	126,000	126,000	126,000	126,000	126,000	126,000	126,000
(New)UI program administrative reports prepared	350	350	350	350	350	350	350	350
(New)New Hire reports processed	164,000	175,000	175,000	175,000	175,000	175,000	175,000	175,000
CEIP projects funded	21	24	22	22	22	22	22	22
Number of towns participating in CEIP	99	127	120	130	120	130	120	130
Number of people served by stipend	0	5,800	2,767	2,767	0	0	0	0
Dollars paid to recipients ($M)	0	2.5	1.2	1.2	0	0	0	0
Average number months paid	0	4.3	4.3	4.3	0	0	0	0
Annual Inventory of Employment and Training (E&T) Programs	1	1	1	1	1	1	1	1
Annual Plan for Coordination of all E & T Programs	1	1	1	1	1	1	1	1
Annual Report to the Governor	1	1	1	1	1	1	1	1
Special Studies of Job Training Issues	2	1	1	1	1	1	1	1
Governor's Two-Year Coordination and Special Services Plan	1	1	1	1	1	1	1	1
Forums for Assessing and Developing State E & T Policies	15	10	10	10	10	10	10	10
(New) Grievances	36	36	36	36				
(New) Settlements	18	18	18	18				
(New)Consultative and Counseling Sessions	200	200	200	200				

OTHER SIGNIFICANT 1997 LEGISLATION AFFECTING THE AGENCY'S BUDGET

PA 97-263, "An Act Implementing The Recommendations Of The Program Review And Investigations Committee Concerning State Supported Job Training Programs And Increasing State Supported Job Training Programs And Increasing Penalties For Violations Of Certain Labor Laws." Requires the Connecticut Employment and Training Commission of the Department of Labor to review and comment upon all employment and training programs **enacted by the General** Assembly and any implementation plans prepared in response to initiatives of the General Assembly. **This Act requires an Analyst position to review these programs at three-quarters year funding which is an amount of $30,000 for FY 1997-98 and $40,000 for FY 1998-99.**

---

[1] Carry forward funds of 1.2 million dollars are to be transferred to the Department of Labor budget for the Vocational and Manpower Training program also known as Customized Job Training. These funds will be transferred from the Department of Social Services. Along with the carry forward of 1.2 million dollars, an appropriation of $1,925,300 brings this total to $3,125,300 for Vocational and Manpower Training for the fiscal year 1997-98.

Commission on Human Rights and Opportunities
2901

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	105	105	94	94	94	94
	Others Equated to Full-Time	9	0	2	2	2	2
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	4,097,865	4,509,169	4,507,158	4,812,881	4,507,158	4,812,881
002	Other Expenses	606,184	615,682	646,747	646,747	646,747	646,747
02X	Other Current Expenses	4,951	5,230	5,230	5,230	5,230	5,230
	Agency Total - General Fund[1][2]	**4,709,000**	**5,130,081**	**5,159,135**	**5,464,858**	**5,159,135**	**5,464,858**
	Agency Total - Appropriated Funds	**4,709,000**	**5,130,081**	**5,159,135**	**5,464,858**	**5,159,135**	**5,464,858**
	Additional Funds Available						
	Private Contributions	10,234	0	9,500	10,000	9,500	10,000
	Federal Contributions	89,157	0	11,500	11,500	11,500	11,500
	Agency Grand Total	**4,808,391**	**5,130,081**	**5,180,135**	**5,486,358**	**5,180,135**	**5,486,358**
	BUDGET BY PROGRAM						
	Discrimination & Equal Opportunity Assurance						
	Permanent Full-Time Positions GF	105	105	94	94	94	94
	General Fund						
	Personal Services	4,097,865	4,509,169	4,587,158	4,894,881	4,587,158	4,894,881
	Other Expenses	606,184	615,682	646,747	646,747	646,747	646,747
011	Martin Luther King, Jr. Commission	4,951	5,230	5,230	5,230	5,230	5,230
	Total - General Fund	**4,709,000**	**5,130,081**	**5,239,135**	**5,546,858**	**5,239,135**	**5,546,858**
	Federal Contributions						
	State/Local Fair Housing Assist	5,779	0	10,000	10,000	10,000	10,000
	Fair Housing Initiatives Program	82,698	0	0	0	0	0
	Employ Discrim-State/Local FEPA	680	0	1,500	1,500	1,500	1,500
	Total - Federal Contributions	**89,157**	**0**	**11,500**	**11,500**	**11,500**	**11,500**
	Additional Funds Available						
	Private Contributions	10,234	0	9,500	10,000	9,500	10,000
	Total - All Funds	**4,808,391**	**5,130,081**	**5,260,135**	**5,568,358**	**5,260,135**	**5,568,358**
	Less: Turnover - Personal Services - GF	0	0	-80,000	-82,000	-80,000	-82,000
	Agency Grand Total	**4,808,391**	**5,130,081**	**5,180,135**	**5,486,358**	**5,180,135**	**5,486,358**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**105**	**5,212,785**	**105**	**5,212,785**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	415,246	0	754,044	0	0	0	0
Other Expenses	0	14,879	0	30,038	0	0	0	0
Equipment	0	75,000	0	62,500	0	0	0	0
Other Current Expenses	0	170	0	320	0	0	0	0
Total - General Fund	**0**	**505,295**	**0**	**846,902**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Reduce Personal Services Account to Effect Economy - (B)								
(Prevention Program)								
-(G) An amount of $465,847 and $498,922 in Personal Services are reduced in fiscal years 1997-98 and 1998-99 to effect economy. Eleven positions are also reduced.								
-(L)Same as Governor								
Personal Services	-11	-465,847	-11	-498,922	0	0	0	0
Total - General Fund	**-11**	**-465,847**	**-11**	**-498,922**	**0**	**0**	**0**	**0**
Remove Funding from Other Expenses - (B)								
-(G) Amounts of $15,391 and $30,550 of inflationary increases for fiscal years 1997-98 and 1998-99 are recommended to be reduced from Other Expenses. An amount of $2,537 in technical services revolving fund rates for FY 1997-98 is also recommended to be reduced.								
-(L)Same as Governor								
Other Expenses	0	-17,928	0	-33,087	0	0	0	0
Total - General Fund	**0**	**-17,928**	**0**	**-33,087**	**0**	**0**	**0**	**0**
Reduce Other Current Expenses - (B)								
-(G) Amounts of $170 and $320 representing inflationary increases for the Martin Luther King Commission are recommended to be reduced for fiscal years 1997-98 and 1998-99.								
-(L)Same as Governor								
Martin Luther King, Jr. Commission	0	-170	0	-320	0	0	0	0
Total - General Fund	**0**	**-170**	**0**	**-320**	**0**	**0**	**0**	**0**
Transfer Funds for Equipment to the Capital Equipment Purchase Fund - (B)								
-(G) Amounts of $75,000 and $62,500 for Equipment are recommended to be transferred to the Capital Equipment Purchase Fund for fiscal years 1997-98 and 1998-99.								
-(L)Same as Governor								
Equipment	0	-75,000	0	-62,500	0	0	0	0
Total - General Fund	**0**	**-75,000**	**0**	**-62,500**	**0**	**0**	**0**	**0**
Budget Totals - GF	**94**	**5,159,135**	**94**	**5,464,858**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Complaints Filed/Closed (000)	2.5/3.3	2.5/1.875	2.3/1.725	2.0/1.5	2.3/1.23	2.0/1.23	2.3/1.23	2.0/1.23
Monetary Awards ($M)	5	3	2.7	2.4	2.7	2.4	2.7	2.4
Agency Affirmative Action Plans	79	100	100	100	100	100	100	100
Contracts monitored*	56	75	100	125	75	75	75	75
Fact-Finding Hearings	4,000	2,000	1,900	1,700	1,900	1,700	1,900	1,700
Legislative Initiatives	0	2	2	2	2	2	2	2
Public Information Activity: Publications, Speaking Engagements, Announcements, etc.	18,000	18,000	18,000	18,000	15,000	15,000	15,000	15,000

[1] It is estimated that the agency will generate approximately $11,200 in revenues for FY 1997-98 and $11,200 in 1998-99. This revenue includes HUD training funds, EEOC training funds and Freedom of Information revenue.

[2] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $330,202 in Personal Services from the early retirement incentive program, and $45,072 in Personal Services and $30,720 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $6,303 for FY '98.

Office of Protection and Advocacy for Persons with Disabilities
2902

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	38	38	36	36	36	36
	Others Equated to Full-Time	3	2	2	2	2	2
	Additional Funds Available						
	Permanent Full-Time	13	13	13	13	13	13
	Others Equated to Full-Time	1	0	1	1	1	1
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	1,455,913	1,696,943	1,817,912	1,945,181	1,817,912	1,945,181
002	Other Expenses	330,698	331,312	428,573	428,573	428,573	428,573
005	Equipment	0	0	3,000	0	3,000	0
	Agency Total - General Fund[1]	**1,786,611**	**2,028,255**	**2,249,485**	**2,373,754**	**2,249,485**	**2,373,754**
	Agency Total - Appropriated Funds	**1,786,611**	**2,028,255**	**2,249,485**	**2,373,754**	**2,249,485**	**2,373,754**
	Additional Funds Available						
	Federal Contributions	855,092	942,634	926,875	927,297	926,875	927,297
	Agency Grand Total	**2,641,703**	**2,970,889**	**3,176,360**	**3,301,051**	**3,176,360**	**3,301,051**
	BUDGET BY PROGRAM						
	Protection & Advocacy for Handicapped						
	Permanent Full-Time Positions GF/OF	38/13	38/13	36/13	36/13	36/13	36/13
	General Fund						
	Personal Services	1,455,913	1,696,943	1,883,639	2,010,908	1,883,639	2,010,908
	Other Expenses	330,698	331,312	428,573	428,573	428,573	428,573
	Equipment	0	0	3,000	0	3,000	0
	Total - General Fund	**1,786,611**	**2,028,255**	**2,315,212**	**2,439,481**	**2,315,212**	**2,439,481**
	Federal Contributions						
	Supported Employment Demonstration	100,046	0	103,452	104,000	103,452	104,000
	Prg-Protect & Advoc-Indiv Rights	155,202	0	142,800	143,000	142,800	143,000
	Development Disabil-Support/Advo	451,948	0	520,326	520,000	520,326	520,000
	Social Services Block Grant	147,896	0	160,297	160,297	160,297	160,297
	Federal Contributions	0	942,634	0	0	0	0
	Total - Federal Contributions	**855,092**	**942,634**	**926,875**	**927,297**	**926,875**	**927,297**
	Total - All Funds	**2,641,703**	**2,970,889**	**3,242,087**	**3,366,778**	**3,242,087**	**3,366,778**
	Personal Services Savings						
	General Fund						
	Personal Services	0	0	-12,727	-12,727	-12,727	-12,727
	Less: Turnover - Personal Services - GF	0	0	-53,000	-53,000	-53,000	-53,000
	EQUIPMENT						
005	Equipment	0	0	3,000	0	3,000	0
	Agency Grand Total	**2,641,703**	**2,970,889**	**3,176,360**	**3,301,051**	**3,176,360**	**3,301,051**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**38**	**2,137,632**	**38**	**2,137,632**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	107,772	0	235,826	0	0	0	0
Equipment	0	15,000	0	12,000	0	0	0	0
Total - General Fund	**0**	**122,772**	**0**	**247,826**	**0**	**0**	**0**	**0**
Reduce Personal Services - (B)								
-(G) The Governor recommends reducing Personal Services by $95,680 to effect economy. This reduction includes the elimination of two vacant positions ($82,953).								
-(L)Same as Governor								
Personal Services	-2	-95,680	-2	-96,465	0	0	0	0
Total - General Fund	**-2**	**-95,680**	**-2**	**-96,465**	**0**	**0**	**0**	**0**
Reduce Funding Due to State Data Center Rate Reductions - (B)								
Many state agencies obtain computer services to support their business functions from the State Data Center, operated by the Department of Administrative Services through the Technical Services Revolving Fund.								
-(G) Due to a reorganization of the operation of the State Data Center, there is a significant reduction in the rates for services charged to state agencies (CATER and BOSS).								
-(L)Same as Governor								
Equipment	0	-3,239	0	-3,239	0	0	0	0
Total - General Fund	**0**	**-3,239**	**0**	**-3,239**	**0**	**0**	**0**	**0**
Fund Equipment Items from the CEPF - (B)								
The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least 3 years. It is financed through the sale of bonds and is administered by the Office of Policy and Management.								
-(G) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds).								
-(L)Same as Governor								
Equipment	0	-12,000	0	-12,000	0	0	0	0
Total - General Fund	**0**	**-12,000**	**0**	**-12,000**	**0**	**0**	**0**	**0**
Transfer Legal Advocacy - (B)								
The Office of Protection and Advocacy contracts with outside professional service providers for legal services for CARC v. Thorne class members.								
-(G) The Governor recommends transferring the legal advocacy contract costs from the Department of Mental Retardation.								
-(L)Same as Governor								
Other Expenses	0	100,000	0	100,000	0	0	0	0
Total - General Fund	**0**	**100,000**	**0**	**100,000**	**0**	**0**	**0**	**0**
Budget Totals - GF	**36**	**2,249,485**	**36**	**2,373,754**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
In Response to Consumer Satisfaction Surveys:								
Individuals who receive information & referral services responding favorably overall (%)	92	95	95	95	95	95	95	95
People with disabilities (or their families) who receive advocacy through organizations that receive training, technical assistance, and/or funding from agency	890	1,100	1,100	1,100	1,100	1,100	1,100	1,100
Abuse/neglect allegations which warrant emergency protective services where such services are provided	*	100	100	100	100	100	100	100

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
in timely manner (%)*								
Abuse/neglect allegations that warrant comprehensive investigations where such services are completed within 180 days of initial report (%)	*	100	100	100	100	100	100	100
Agency sponsored training events and discussion forums primarily designed for human services providers	6	8	10	10	10	10	10	10
Agency sponsored training events and discussion forums designed primarily for human services consumers	11	15	20	25	20	25	20	25

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $49,551 in Personal Services from the early retirement incentive program, and $18,179 in Personal Services and $20,357 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $3,220 for FY '98.

Office of the Child Advocate
2903

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	0	2	2	2	2	2
	Others Equated to Full-Time	0	0	1	1	1	1
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	0	90,000	126,406	126,985	151,406	151,985
002	Other Expenses	0	30,000	15,600	15,600	15,600	15,600
005	Equipment	0	10,500	0	0	0	0
	Agency Total - General Fund	**0**	**130,500**	**142,006**	**142,585**	**167,006**	**167,585**
	Agency Total - Appropriated Funds	**0**	**130,500**	**142,006**	**142,585**	**167,006**	**167,585**
	Agency Grand Total	**0**	**130,500**	**142,006**	**142,585**	**167,006**	**167,585**
	BUDGET BY PROGRAM						
	Advocacy for Children						
	Permanent Full-Time Positions GF	0	2	2	2	2	2
	General Fund						
	Personal Services	0	90,000	126,406	126,985	151,406	151,985
	Other Expenses	0	30,000	15,600	15,600	15,600	15,600
	Equipment	0	10,500	0	0	0	0
	Total - General Fund	**0**	**130,500**	**142,006**	**142,585**	**167,006**	**167,585**
	EQUIPMENT						
005	Equipment	0	10,500	0	0	0	0
	Agency Grand Total	**0**	**130,500**	**142,006**	**142,585**	**167,006**	**167,585**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**2**	**130,500**	**2**	**130,500**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	36,406	0	36,985	0	0	0	0
Other Expenses	0	-14,400	0	-14,400	0	0	0	0
Equipment	0	-10,500	0	-10,500	0	0	0	0
Total - General Fund	**0**	**11,506**	**0**	**12,085**	**0**	**0**	**0**	**0**

Transfer Administrative Support Services - (B)
When the agency was established it was assigned to the Office of Protection and Advocacy for administrative purposes only.
-(L) Since the agency has relocated it is the Legislative intent that the Office of Child Advocate (OCA) be reassigned to the Elections Enforcement Commission and Freedom of Information (FOI) Commission for administrative purposes only. OCA's new location is in closer proximity to the FOI and Elections Enforcement Commissions. The legislation which would authorize this change was not enacted during the 1997 session of the General Assembly.

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Enhance Personal Services - (B)								
-(L) Funds in the amount of $25,000 are provided to enable the agency to obtain administrative support. The agency's budget submittal was completed prior to the appointment of the Child Advocate, therefore the budget request did not accurately reflect the need of the agency. It is anticipated that the agency will be able to obtain secretarial services on a full-time basis with the resources provided within SA 97-21, "An Act Concerning the State Budget for the Biennium Ending June 30, 1999, and Making Appropriations Therefor". However, the agency may exercise its discretion and obtain secretarial services on a part-time basis at a higher level, if this alternative would enhance the agency's ability to function efficiently.								
Personal Services	0	25,000	0	25,000	0	25,000	0	25,000
Total - General Fund	**0**	**25,000**	**0**	**25,000**	**0**	**25,000**	**0**	**25,000**
Budget Totals - GF	**2**	**167,006**	**2**	**167,585**	**0**	**25,000**	**0**	**25,000**

Workers' Compensation Commission[1]
2904

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	Workers' Compensation Fund						
	Permanent Full-Time	160	160	149	149	159	159
	Others Equated to Full-Time	28	28	28	27	28	27
	OPERATING BUDGET						
	Appropriated Funds						
	Workers' Compensation Fund						
001	Personal Services	6,727,912	7,229,823	7,515,357	7,876,922	7,539,453	7,933,642
002	Other Expenses	2,497,641	2,342,996	3,833,570	3,507,555	3,833,570	3,507,555
005	Equipment	211,371	216,562	490,124	406,500	490,124	406,500
02X	Other Current Expenses	8,880,765	10,119,969	9,072,672	9,341,117	9,072,672	9,341,117
	Agency Total - Workers' Compensation Fund[2][3]	**18,317,689**	**19,909,350**	**20,911,723**	**21,132,094**	**20,935,819**	**21,188,814**
	Agency Total - Appropriated Funds	**18,317,689**	**19,909,350**	**20,911,723**	**21,132,094**	**20,935,819**	**21,188,814**
	Additional Funds Available						
	Private Contributions	108,300	91,335	93,527	95,678	93,527	95,678
	Agency Grand Total	**18,425,989**	**20,000,685**	**21,005,250**	**21,227,772**	**21,029,346**	**21,284,492**
	BUDGET BY PROGRAM						
	Workers' Compensation Commission						
	Permanent Full-Time Positions WF	160	160	149	149	159	159
	Workers' Compensation Fund						
	Personal Services	6,727,912	7,229,823	7,610,357	7,976,272	7,634,453	8,032,992
	Other Expenses	2,497,641	2,342,996	3,833,570	3,507,555	3,833,570	3,507,555
	Equipment	211,371	216,562	490,124	406,500	490,124	406,500
011	Criminal Justice Fraud Unit	323,833	342,943	414,720	424,259	414,720	424,259
012	Rehabilitative Services	5,310,144	5,459,534	4,909,075	5,021,984	4,909,075	5,021,984
014	MIS Development	247,248	974,496	0	0	0	0
040	Fringe Benefits	2,367,551	2,161,159	2,539,533	2,656,505	2,539,533	2,656,505
045	Indirect Overhead	631,989	1,181,837	1,209,344	1,238,369	1,209,344	1,238,369
	Total - Workers' Compensation Fund	**18,317,689**	**19,909,350**	**21,006,723**	**21,231,444**	**21,030,819**	**21,288,164**
	Additional Funds Available						
	Private Contributions	108,300	91,335	93,527	95,678	93,527	95,678
	Total - All Funds	**18,425,989**	**20,000,685**	**21,100,250**	**21,327,122**	**21,124,346**	**21,383,842**
	Less: Turnover - Personal Services - WF	0	0	-95,000	-99,350	-95,000	-99,350
	EQUIPMENT						
005	Equipment	211,371	216,562	490,124	406,500	490,124	406,500
	Agency Grand Total	**18,425,989**	**20,000,685**	**21,005,250**	**21,227,772**	**21,029,346**	**21,284,492**

BUDGET CHANGES

	Legislative 98		Legislative 99		Diff. from Gov. 97-98		Diff. from Gov. 98-99	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
FY97 Governor's Estimated Expenditure - WF	**160**	**20,667,348**	**160**	**20,667,348**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	657,271	0	1,033,911	0	0	0	0
Other Expenses	0	130,026	0	175,011	0	0	0	0
Equipment	0	273,562	0	189,938	0	0	0	0
Criminal Justice Fraud Unit	0	9,720	0	19,259	0	0	0	0

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Rehabilitative Services	0	153,670	0	304,471	0	0	0	0
Fringe Benefits	0	258,759	0	380,674	0	0	0	0
Indirect Overhead	0	26,960	0	55,985	0	0	0	0
Total - Workers' Compensation Fund	**0**	**1,509,968**	**0**	**2,159,249**	**0**	**0**	**0**	**0**

Provide Funding for Completion and Operation of Agency Automation - (B)
The Workers' Compensation Commission is completing a multi-year project of automating its operations.
-(G) Additional funding is provided to complete the agency's automation project.
-(L)Same as Governor

Other Expenses	0	901,158	0	530,158	0	0	0	0
Total - Workers' Compensation Fund	**0**	**901,158**	**0**	**530,158**	**0**	**0**	**0**	**0**

Implement More Cost Effective Rehabilitation Services - (B)
The agency administers programs to help rehabilitate injured workers and return them to gainful jobs. (Prevention Program)
-(G) Funding is reduced for rehabilitative services due to savings resulting from the renegotiation of contracts with service providers, selection of providers based on competitive pricing and a greater emphasis on on-the-job training programs.
-(L)Same as Governor

Rehabilitative Services	0	-1,024,000	0	-1,047,552	0	0	0	0
Total - Workers' Compensation Fund	**0**	**-1,024,000**	**0**	**-1,047,552**	**0**	**0**	**0**	**0**

Reduce Funding Due to Agency Automation - (B)
-(G) Funding is reduced and 10 positions are eliminated due to automation of the agency's functions.
-(L) Funding is reduced due to automation of the agency's functions. The permanent full time position count is not reduced because most of the Personal Services savings will be from the reduction of part time employees. The specific reductions in the number of positions will be provided in the adjustments for FY '99.

Personal Services	0	-375,949	0	-391,024	10	0	10	0
Fringe Benefits	0	-123,274	0	-128,217	0	0	0	0
Total - Workers' Compensation Fund	**0**	**-499,223**	**0**	**-519,241**	**10**	**0**	**10**	**0**

Transfer Funding for Occupational Health Clinics to DOL - (B)
Funding for Occupational Health Clinics was transferred to the Workers' Compensation Commission and Fund in FY '96, but the responsibility for administering the program remained with the Department of Labor (DOL). Legislation transferring program responsibility to the Workers' Compensation Commission did not pass. (Prevention Program)
-(G) Funding for Occupational Health Clinics is transferred back to the Department of Labor but the Workers' Compensation Fund remains as the funding source. Legislation is required to include this expenditure when the Workers' Compensation Fund assessment is calculated (SB 1065).
-(L) Funding for Occupational Health Clinics is transferred back to the Department of Labor but the Workers' Compensation Fund remains as the funding source. Legislation required to include this expenditure when the Workers' Compensation Fund assessment is calculated did not pass the General Assembly.

Rehabilitative Services	0	-623,510	0	-637,850	0	0	0	0
Total - Workers' Compensation Fund	**0**	**-623,510**	**0**	**-637,850**	**0**	**0**	**0**	**0**

Reduce Funding Due to State Data Center Rate Reductions - (B)
Many state agencies obtain computer services to support their business functions from the State Data Center, operated by the Department of Administrative Services through the Technical Services Revolving Fund. (Prevention Program)

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(G) Due to a reorganization of the operation of the State Data Center, there is a significant reduction in the rates for services charged to state agencies (CATER and BOSS). -(L)Same as Governor								
Other Expenses	0	-20,018	0	-20,018	0	0	0	0
Total - Workers' Compensation Fund	**0**	**-20,018**	**0**	**-20,018**	**0**	**0**	**0**	**0**
Remove Durational Project Manager From Position Count - (B) -(G) A durational project manager position is eliminated from the agency's position count. -(L)Same as Governor								
Personal Services	-1	0	-1	0	0	0	0	0
Total - Workers' Compensation Fund	**-1**	**0**	**-1**	**0**	**0**	**0**	**0**	**0**
Provide Funds for Salary Increase for Commissioners - (B) CGS Section 31-277 links the salaries of Workers' Compensation Commissioners to the salary of a Superior Court judge. -(L) Additional funding is provided for salary increases for the 16 Workers' Compensation Commissioners based on increases in salary for Superior Court judges (2% on 10/1/97 and 2% on 10/1/98). PA 97-1 (JSS), "An Act Concerning the Justice System" authorized this salary increase.								
Personal Services	0	24,096	0	56,720	0	24,096	0	56,720
Total - Workers' Compensation Fund	**0**	**24,096**	**0**	**56,720**	**0**	**24,096**	**0**	**56,720**
Budget Totals - WF	**159**	**20,935,819**	**159**	**21,188,814**	**10**	**24,096**	**10**	**56,720**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Injuries reported	54,778	56,000	58,000	58,000	58,000	58,000	58,000	58,000
Fatal Injuries	56	48	42	40	42	40	42	40
Informal Hearings	36,671	38,000	39,000	40,000	39,000	40,000	39,000	40,000
Formal Hearings	6,076	4,500	4,300	4,100	4,300	4,100	4,300	4,100
Stipulations Approved	5,046	5,100	5,100	5,100	5,100	5,100	5,100	5,100
Voluntary Agreements	27,149	28,000	29,000	30,000	29,000	30,000	29,000	30,000
Awards & Dismissals	2,051	2,100	2,150	2,200	2,150	2,200	2,150	2,200
Appeals to Compensation Review Div.	247	265	275	290	275	290	275	290
Dispositions by Compensation Review Division	312	320	325	325	325	325	325	325
Education Services Information Requests	108,441	110,000	110,000	110,000	110,000	110,000	110,000	110,000
Workers' Rehabilitation Services Provided	3,942	3,950	4,000	4,000	4,000	4,000	4,000	4,000

[1] Per the provisions of CGS Section 31-276a, the Workers' Compensation Commission is assigned to the Labor Department for administrative purposes only.

[2] The Workers' Compensation Commission is financed by the Workers' Compensation Fund which is appropriated and funded through assessments on self-insured employers and companies licensed to write workers' compensation insurance.

[3] Section 51 of SA 97-21, "An Act Concerning the State Budget for the Biennium Ending June 30, 1999, and Making Appropriations Therefor" carries forward unexpended funds for the development of the Management Information System. Approximately $472,500 will be carried forward. Section 52 of SA 97-21 carries forward up to $452,610 of Other Expenses funds for the agency's office automation costs. These funds will not lapse on June 30, 1997 and will continue to be available for expenditure during the fiscal year ending June 30, 1998.

Department of Agriculture
3002

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	59	59	61	61	61	61
	Others Equated to Full-Time	1	2	2	2	2	2
	Regional Market Operation Fund						
	Permanent Full-Time - RF	11	11	9	9	9	9
	Additional Funds Available						
	Permanent Full-Time	2	2	2	2	2	2
	Others Equated to Full-Time	3	1	1	1	1	1
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	2,460,208	2,605,168	2,822,250	2,960,523	2,822,250	2,960,523
002	Other Expenses	592,383	559,075	572,524	572,524	572,524	572,524
02X	Other Current Expenses	0	0	0	0	100,000	200,000
6XX	Grant Payments - Other Than Towns	127,602	121,535	142,800	142,800	142,800	142,800
	Agency Total - General Fund[1][2]	**3,180,193**	**3,285,778**	**3,537,574**	**3,675,847**	**3,637,574**	**3,875,847**
	Regional Market Operation Fund						
001	Personal Services	382,701	473,695	368,480	377,698	368,480	377,698
002	Other Expenses	250,465	306,553	255,850	261,705	255,850	261,705
005	Equipment	0	2,500	2,000	0	2,000	0
	Agency Total - Regional Market Operation Fund[3]	**633,166**	**782,748**	**626,330**	**639,403**	**626,330**	**639,403**
	Agency Total - Appropriated Funds	**3,813,359**	**4,068,526**	**4,163,904**	**4,315,250**	**4,263,904**	**4,515,250**
	Additional Funds Available						
	Special Funds, Non-Appropriated	13,167	11,000	11,000	11,000	11,000	11,000
	Bond Funds	3,189,633	2,500,000	0	0	0	0
	Private Contributions	571,539	370,000	460,000	460,000	460,000	460,000
	Federal Contributions	309,133	293,256	293,256	293,256	293,256	293,256
	Agency Grand Total	**7,896,831**	**7,242,782**	**4,928,160**	**5,079,506**	**5,028,160**	**5,279,506**
	BUDGET BY PROGRAM						
	Commissioners Office						
	Permanent Full-Time Positions GF	11	11	11	11	11	11
	General Fund						
	Personal Services	490,244	519,865	562,371	598,545	562,371	598,545
	Other Expenses	64,550	55,351	59,556	59,556	59,556	59,556
022	Food Council	0	0	0	0	50,000	50,000
	Total - General Fund	**554,794**	**575,216**	**621,927**	**658,101**	**671,927**	**708,101**
	Regulation and Inspection						
	Permanent Full-Time Positions GF/OF	28/2	29/2	28/2	28/2	28/2	28/2
	General Fund						
	Personal Services	1,128,624	1,229,323	1,299,013	1,395,649	1,299,013	1,395,649
	Other Expenses	374,253	346,739	337,513	337,513	337,513	337,513
	Grant Payments - Other Than Towns						
	Tuberculosis and Brucellosis Indemnity	0	1,000	1,000	1,000	1,000	1,000
	Total - General Fund	**1,502,877**	**1,577,062**	**1,637,526**	**1,734,162**	**1,637,526**	**1,734,162**
	Federal Contributions						
	Inspection Grading & Standardize	9,354	0	0	0	0	0

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Additional Funds Available						
	Private Contributions	499,523	360,000	450,000	450,000	450,000	450,000
	Total - All Funds	**2,011,754**	**1,937,062**	**2,087,526**	**2,184,162**	**2,087,526**	**2,184,162**
	Bureau of Aquaculture						
	Permanent Full-Time Positions GF	13	12	13	13	13	13
	General Fund						
	Personal Services	526,116	552,929	650,992	635,291	650,992	635,291
	Other Expenses	70,524	86,704	93,319	93,319	93,319	93,319
020	Oyster Program	0	0	0	0	0	100,000
021	CT Seafood Advisory Council	0	0	0	0	50,000	50,000
	Total - General Fund	**596,640**	**639,633**	**744,311**	**728,610**	**794,311**	**878,610**
	Additional Funds Available						
	Special Funds, Non-Appropriated	13,167	11,000	11,000	11,000	11,000	11,000
	Bond Funds	500,000	500,000	0	0	0	0
	Private Contributions	30,074	0	0	0	0	0
	Total - Additional Funds Available	**543,241**	**511,000**	**11,000**	**11,000**	**11,000**	**11,000**
	Total - All Funds	**1,139,881**	**1,150,633**	**755,311**	**739,610**	**805,311**	**889,610**
	Bureau of Agricultural Development and Resource Prevention						
	Permanent Full-Time Positions GF	7	7	9	9	9	9
	General Fund						
	Personal Services	315,224	315,213	418,395	439,559	418,395	439,559
	Other Expenses	83,056	70,281	82,136	82,136	82,136	82,136
	Grant Payments - Other Than Towns						
	WIC Program for Fresh Produce for Seniors	41,381	38,735	36,114	36,114	36,114	36,114
	Collection of Agricultural Statistics	1,200	1,200	1,200	1,200	1,200	1,200
	Exhibits and Demonstrations	600	600	600	600	600	600
	Connecticut Grown Product Promotion	15,000	15,000	15,000	15,000	15,000	15,000
	WIC Coupon Program for Fresh Produce	69,421	65,000	88,886	88,886	88,886	88,886
	Total - General Fund	**525,882**	**506,029**	**642,331**	**663,495**	**642,331**	**663,495**
	Federal Contributions						
	Fed-St Marketing Improv Prog	30,789	0	0	0	0	0
	Special Supplement Food Pgm-WIC	268,990	293,256	293,256	293,256	293,256	293,256
	Total - Federal Contributions	**299,779**	**293,256**	**293,256**	**293,256**	**293,256**	**293,256**
	Additional Funds Available						
	Bond Funds	2,689,633	2,000,000	0	0	0	0
	Private Contributions	41,942	10,000	10,000	10,000	10,000	10,000
	Total - Additional Funds Available	**2,731,575**	**2,010,000**	**10,000**	**10,000**	**10,000**	**10,000**
	Total - All Funds	**3,557,236**	**2,809,285**	**945,587**	**966,751**	**945,587**	**966,751**
	Operation of Regional Market [2]						
	Permanent Full-Time Positions /RF	/11	/11	/9	/9	/9	/9
	Regional Market Operation Fund						
	Personal Services	382,701	476,482	371,890	385,790	371,890	385,790
	Other Expenses	250,465	306,553	255,850	261,705	255,850	261,705
	Equipment	0	2,500	2,000	0	2,000	0
	Total - Regional Market Operation Fund	**633,166**	**785,535**	**629,740**	**647,495**	**629,740**	**647,495**
	Personal Services Savings						
	General Fund						
	Personal Services	0	0	-96,421	-96,421	-96,421	-96,421
	Less: Turnover - Personal Services - GF	0	-12,162	-12,100	-12,100	-12,100	-12,100
	Less: Turnover - Personal Services - RF	0	-2,787	-3,410	-8,092	-3,410	-8,092
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
601	WIC Program for Fresh Produce for Seniors	41,381	38,735	36,114	36,114	36,114	36,114
603	Collection of Agricultural Statistics	1,200	1,200	1,200	1,200	1,200	1,200
604	Tuberculosis and Brucellosis Indemnity	0	1,000	1,000	1,000	1,000	1,000
606	Exhibits and Demonstrations	600	600	600	600	600	600
608	Connecticut Grown Product Promotion	15,000	15,000	15,000	15,000	15,000	15,000
609	WIC Coupon Program for Fresh Produce	69,421	65,000	88,886	88,886	88,886	88,886
	EQUIPMENT						
005	Equipment	0	2,500	2,000	0	2,000	0
	Agency Grand Total	**7,896,831**	**7,242,782**	**4,928,160**	**5,079,506**	**5,028,160**	**5,279,506**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**59**	**3,336,032**	**59**	**3,336,032**	**0**	**0**	**0**	**0**
FY97 Governor's Estimated Expenditure - RF	**11**	**782,748**	**11**	**782,748**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	248,783	0	385,031	0	0	0	0
Other Expenses	0	34,115	0	50,630	0	0	0	0
Equipment	0	38,500	0	18,500	0	0	0	0
Grant Payments - Other Than Towns	0	3,427	0	6,792	0	0	0	0
Total - General Fund	**0**	**324,825**	**0**	**460,953**	**0**	**0**	**0**	**0**
Personal Services	0	-12,962	0	-3,744	0	0	0	0
Other Expenses	0	-50,703	0	-44,848	0	0	0	0
Equipment	0	-500	0	-2,500	0	0	0	0
Total - Regional Market Operation Fund	**0**	**-64,165**	**0**	**-51,092**	**0**	**0**	**0**	**0**
Regional Market Transfer - (B)								
The Regional Market located in Hartford provides a central location for farmers and wholesalers to sell and distribute food and other agricultural products. The market is operated by the state as a self-sustaining non-profit venture which is fully funded by fees generated from the operation of the market.								
-(G) Two positions which originally were General Fund positions and were transferred to the Market Fund in FY '93 are transferred back to the General Fund where they more appropriately belong.								
-(L)Same as Governor								
Personal Services	2	92,849	2	92,849	0	0	0	0
Total - General Fund	**2**	**92,849**	**2**	**92,849**	**0**	**0**	**0**	**0**
Personal Services	-2	-92,253	-2	-92,253	0	0	0	0
Total - Regional Market Operation Fund	**-2**	**-92,253**	**-2**	**-92,253**	**0**	**0**	**0**	**0**
Eliminate Inflationary Increases - (B)								
-(G) Funds are removed for inflation increases.								
-(L)Same as Governor								
Other Expenses	0	-14,178	0	-30,693	0	0	0	0
WIC Program for Fresh Produce for Seniors	0	-867	0	-1,717	0	0	0	0
Collection of Agricultural Statistics	0	-29	0	-59	0	0	0	0
Tuberculosis and Brucellosis Indemnity	0	-24	0	-48	0	0	0	0
Exhibits and Demonstrations	0	-14	0	-28	0	0	0	0
Connecticut Grown Product Promotion	0	-360	0	-713	0	0	0	0
WIC Coupon Program for Fresh Produce	0	-2,133	0	-4,227	0	0	0	0
Total - General Fund	**0**	**-17,605**	**0**	**-37,485**	**0**	**0**	**0**	**0**
Fund Equipment from the CEPF - (B)								
The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least 3 years. It is financed through the sale of bonds and is administered by the Office of Policy and Management.								
-(G) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds).								
-(L)Same as Governor								
Equipment	0	-38,500	0	-18,500	0	0	0	0
Total - General Fund	**0**	**-38,500**	**0**	**-18,500**	**0**	**0**	**0**	**0**
Reduce Funding Due to State Data Center Rate Reductions - (B)								
Many state agencies obtain computer services to support their business functions from the State Data Center, operated by the Department of Administrative Services through the Technical Services Revolving Fund.								
-(G) Due to a reorganization of the operation of the State Data Center, there is a significant reduction in the rates for services charged to state agencies (CATER and BOSS).								
-(L)Same as Governor								
Other Expenses	0	-8,488	0	-8,488	0	0	0	0
Total - General Fund	**0**	**-8,488**	**0**	**-8,488**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Reduce Agency Vehicles - (B)								
-(G) Funds are eliminated for one vehicle assigned to the Department for the Marketing program.								
-(L)Same as Governor								
Other Expenses	0	-3,000	0	-3,000	0	0	0	0
Total - General Fund	**0**	**-3,000**	**0**	**-3,000**	**0**	**0**	**0**	**0**
Reallocate Managerial Position and Downgrade Position - (B)								
-(G) One Marketing Manager (2 exist) is downgraded to a Clerical Assistant in the Agri-Tech unit.								
-(L)Same as Governor								
Personal Services	0	-35,000	0	-35,700	0	0	0	0
Total - General Fund	**0**	**-35,000**	**0**	**-35,700**	**0**	**0**	**0**	**0**
Downgrade Position - (B)								
-(G) An Accounts Examiner position is downgraded to a Processing Technician. The position is currently vacant.								
-(L)Same as Governor								
Personal Services	0	-17,118	0	-14,393	0	0	0	0
Total - General Fund	**0**	**-17,118**	**0**	**-14,393**	**0**	**0**	**0**	**0**
General Agency PS Reduction - (B)								
-(G) Funds are reduced for Personal Services.								
-(L)Same as Governor								
Personal Services	0	-96,421	0	-96,421	0	0	0	0
Total - General Fund	**0**	**-96,421**	**0**	**-96,421**	**0**	**0**	**0**	**0**
Enhance Oyster Program - (B)								
Over 40,000 acres of oyster farms are now under cultivation in Connecticut coastal waters.								
-(L) Funds are provided to apply aquaculture management techniques to the State's investment in shell. The funds will be used for rotation of cultch on the Bridgeport Stratford shellfish bed to promote oyster propagation.								
Oyster Program	0	0	0	100,000	0	0	0	100,000
Total - General Fund	**0**	**0**	**0**	**100,000**	**0**	**0**	**0**	**100,000**
Provide Funds For Seafood Council - (B)								
The Connecticut Seafood Advisory Council will assist in the promotion of Connecticut seafood products and examine market opportunities.								
-(L) Funds are provided for the Connecticut Seafood Advisory Council established in PA 97-145, "An Act Concerning the Use of Purse Seines in Long Island Sound and the Connecticut Seafood Council". PA 97-11, JSS, "An Act Concerning Computerized Information Sharing, the Mashantucket Pequot and Mohegan Fund, Early Retirement, School Construction, State Buildings, Nursing Homes, Executive and Legislative Councils, Commissions and Task Forces, Appropriations for the Fiscal Years Ending June 30, 1997, 1998 and 1999, Spring and Well Water Collection, Project Concern, Notice Requirements for Psychiatric Admissions, the Tax on Net Direct Subscriber Charges of Health Care Centers, Elimination of Certain Wage Inequities, Sheriffs' Fees and Expenses of the Connecticut Siting Council", places the Council within the Department.								
CT Seafood Advisory Council	0	50,000	0	50,000	0	50,000	0	50,000
Total - General Fund	**0**	**50,000**	**0**	**50,000**	**0**	**50,000**	**0**	**50,000**
Provide Funds for Food Council - (B)								
The Food Policy Council must develop, coordinate and implement food policies linking locale economic development, environmental protection and preservation and								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
urban issues. The Council must advise the Governor and review and comment on proposed legislation.								
-(L) Funds are provided for the Food Policy Council. PA 97-11, JSS, "An Act Concerning Computerized Information Sharing, the Mashantucket Pequot and Mohegan Fund, Early Retirement, School Construction, State Buildings, Nursing Homes, Executive and Legislative Councils, Commissions and Task Forces, Appropriations for the Fiscal Years Ending June 30, 1997, 1998 and 1999, Spring and Well Water Collection, Project Concern, Notice Requirements for Psychiatric Admissions, the Tax on Net Direct Subscriber Charges of Health Care Centers, Elimination of Certain Wage Inequities, Sheriffs' Fees and Expenses of the Connecticut Siting Council", implements these provisions.								
Food Council	0	50,000	0	50,000	0	50,000	0	50,000
Total - General Fund	**0**	**50,000**	**0**	**50,000**	**0**	**50,000**	**0**	**50,000**
Budget Totals - GF	**61**	**3,637,574**	**61**	**3,875,847**	**0**	**100,000**	**0**	**200,000**
Budget Totals - RF	**9**	**626,330**	**9**	**639,403**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Number of licenses processed	5,225	5,225	5,225	5,225	5,225	5,225	5,225	5,225
Average time (days) to process	3	3	3	3	3	3	3	3
Feed, Seed and Fertilizer Inspections	424	450	475	500	450	450	450	450
Feed Product Registrations	4,001	4,100	4,200	4,300	4,100	4,100	4,100	4,100
Seed company registrations	76	80	80	80	80	80	80	80
Fertilizer element registrations	1,969	2,000	2,000	2,000	2,000	2,000	2,000	2,000
Farms, dairy plants and dairy stores inspected	3,638	3,800	3,900	4,000	3,800	3,800	3,800	3,800
Dairy reinspections and special inspections for enforcement actions	259	300	300	300	300	300	300	300
Livestock tested-infectious diseases	23,234	23,500	25,000	25,000	23,500	23,500	23,500	23,500
Poultry inspected-infectious diseases	94,396	100,000	105,000	110,000	100,000	100,000	100,000	100,000
Testing of milk from cows-detection of Mastitis	48,000	55,000	60,000	60,000	55,000	55,000	55,000	55,000
Summons/Infractions issued	60	70	80	90	70	70	70	70
Inspections of licensed facilities and local dog pounds	1,305	1,350	1,400	1,450	1,350	1,350	1,350	1,350
Complaints investigated	2,407	2,500	2,600	2,700	2,500	2,500	2,500	2,500
Livestock damage claims	7	15	20	20	15	15	15	15
Investigation of rabies incidents*	3,922	4,000	4,000	4,000	4,000	4,000	4,000	4,000
Acres of new leases granted for cultivation of shellfish	1,799	1,100	1,100	1,100	1,100	1,100	1,100	1,100
Licenses issued	259	260	265	270	265	270	265	270
Shellfish Laboratory (samples)	4,447	4,900	5,200	5,500	5,200	5,200	5,200	5,200
Acres of Habitat restored	1,200	1,200	1,200	1,200	1,200	1,200	1,200	1,200
Acres of farmland preserved	25,192	27,300	29,400	31,500	27,300	27,300	27,300	27,300
Clients served under the Farmers' Market Nutrition Program (FMNP)	49,627	53,849	54,000	54,500	54,000	54,000	54,000	54,000
Number of farmers' markets in FMNP	43	45	47	50	47	50	47	50
Farmers participating in FMNP	175	175	180	185	180	185	180	185
Agri-businesses assisted	5,340	6,514	9,456	11,063	6,514	6,514	6,514	6,514
Percentage of space leased at Regional Market (%)	97.72	95.4	100	100	100	100	100	100
Income from leases/rents at Regional Market ($)	829,237	822,004	865,611	889,089	822,004	822,004	822,004	822,004

OTHER SIGNIFICANT 1997 LEGISLATION AFFECTING THE AGENCY'S BUDGET

PA 97-171, "An Act Concerning Farmland Preservation" - This act will enable the Department of Agriculture (DOA) to obtain federal reimbursement (50%) under the farmland preservation program for the purchase of development rights. The legislation allows the Commissioner of Agriculture to agree to certain conditions (including obtaining title insurance) when purchasing the rights. These terms must be adhered to for DOA to receive federal funds. Funds, in the amount of $1 million have been allocated for Connecticut thus far. Any federal reimbursement will be deposited into the Farmland Preservation Account.

PA 97-187, "An Act Concerning Animal Population Control Program" - This act will reduce the current refund of $35 to $20 for sterilizing dogs and cats adopted from public pounds. This change is estimated to increase revenue by $60,000 (4,000 animals) per year based on current program statistics. Establishing a $25 non-refundable deposit per animal adopted by animal adoption organizations is estimated to generate $25,000 per year assuming 1,000 adoptions. In addition, it is estimated that there will be a net increase (except for the funds allocated to the Department of Motor Vehicles) of $100,000 - $200,000 in additional revenue generated from the commemorative license plates established in this act. All of this additional revenue will be deposited in the Animal Population Control Program account. This account is used by the Department of Agriculture to administer the spay/neuter program. The account funds staff and related expenses (capped at $180,000 annually), adoption refunds and sterilization payments to veterinarians. Currently, the program has generated approximately $225,000 a year and the program can be suspended any time the account has a balance of less than $400,000.

PA 97-234, "An Act Concerning Minor and Technical Revisions Related to Programs of the Department of Agriculture" - This act makes various technical and minor changes as well as allows the Commissioner of Agriculture to lease any state recreational shellfish beds to a municipality which is adjacent to a bed, for a $10 fee per acre a year. Amounts received by municipalities from licenses for taking shellfish shall be used for local shellfish programs. Fees received from non-residents shall be deposited by DOA in a revolving fund to carry out state shellfish management enforcement plan. It should be noted that no revolving fund currently exists for this purpose. The act requires that fees be the same for residents and non-residents. In addition, it provides that the use of the local shellfish programs shall be available to any resident of the state and the use of the beds is for recreational purposes.

1997 BOND AUTHORIZATIONS

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	13(b)	32(a)	State matching grants-in-aid to farmers for environmental compliance, including waste management facilities, compost, soil and erosion control, pesticide reduction, storage and disposal	1,000,000	400,000	400,000
PA 97-1 (J5 SS)	14	14	Farm land preservation	78,250,000	1,000,000	1,000,000

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $243,492 in Personal Services from the early retirement incentive program, and $28,223 in Personal Services and $27,195 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should be also noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $38,724 for FY '98.

[2] General Fund revenues, in the amount of $1,391,232 in FY '98 and $1,416,232 in FY '99, are anticipated to be collected for various license, fees and tests.

[3] The Regional Market Fund was created to allow the Connecticut Marketing Authority to be self-sustaining. This Fund derives its revenues from receipts for the rental of space to food wholesalers and miscellaneous fees. An outline of these revenues is shown under the program measures.

Department of Environmental Protection
3100

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	519	523	511	511	513	513
	Others Equated to Full-Time	41	41	40	40	40	40
	Additional Funds Available						
	Permanent Full-Time	585	595	583	583	583	583
	Others Equated to Full-Time	30	30	30	30	30	30
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	24,719,683	25,781,992	25,496,696	26,369,813	25,976,796	26,849,913
002	Other Expenses	2,966,598	2,965,785	2,767,314	2,767,314	2,802,314	2,802,314
005	Equipment	0	0	2,500	6,300	2,500	6,300
02X	Other Current Expenses	7,039,996	7,949,626	7,746,724	7,753,463	7,496,724	7,503,463
6XX	Grant Payments - Other Than Towns	431,371	434,800	434,800	434,800	434,800	434,800
7XX	Grant Payments - To Towns	12,962	0	0	0	0	0
	Agency Total - General Fund[1][2]	**35,170,610**	**37,132,203**	**36,448,034**	**37,331,690**	**36,713,134**	**37,596,790**
	Agency Total - Appropriated Funds	**35,170,610**	**37,132,203**	**36,448,034**	**37,331,690**	**36,713,134**	**37,596,790**
	Additional Funds Available						
	Special Funds, Non-Appropriated[3]	27,395,687	24,195,000	27,605,000	27,565,000	27,605,000	27,565,000
	Bond Funds	2,067,876	2,290,700	2,075,000	2,080,000	2,075,000	2,080,000
	Private Contributions[4]	6,016,326	5,006,600	5,911,500	5,904,500	5,911,500	5,904,500
	Federal Contributions	18,698,629	17,235,900	17,573,000	17,516,400	17,573,000	17,516,400
	Agency Grand Total	**89,349,128**	**85,860,403**	**89,612,534**	**90,397,590**	**89,877,634**	**90,662,690**
	BUDGET BY PROGRAM						
	Office of the Commissioner						
	Permanent Full-Time Positions GF/OF	53/56	53/57	49/55	49/55	50/55	50/55
	General Fund						
	Personal Services	3,237,527	3,302,000	3,020,356	3,113,372	3,072,356	3,165,372
	Other Expenses	206,500	206,000	181,000	181,000	181,000	181,000
021	Laboratory Fees	60,040	60,040	60,040	60,040	60,040	60,040
040	Digital Soil Survey	1,000	1,000	0	0	0	0
041	Long Island Sound Research Fund	1,000	1,000	1,000	1,000	1,000	1,000
042	Greenways	0	0	0	0	100,000	100,000
	Grant Payments - Other Than Towns						
	Agreement USGS-Geological Investigation	47,000	47,000	47,000	47,000	47,000	47,000
	Agreement USGS - Hydrological Study	119,800	119,800	119,800	119,800	119,800	119,800
	Agreement USGS Water Quality Stream Monitoring	166,000	166,000	166,000	166,000	166,000	166,000
	Total - General Fund	**3,838,867**	**3,902,840**	**3,595,196**	**3,688,212**	**3,747,196**	**3,840,212**
	Federal Contributions						
	Coastal Zone	0	979,000	0	0	0	0
	Combined DEP Programs	1,238,933	0	980,000	980,000	980,000	980,000
	Geological Survey-Research/Data	59,824	64,000	35,000	35,000	35,000	35,000
	Highway Use Tax Evasion Tax	110,492	100,000	100,000	100,000	100,000	100,000
	State Indoor Radon Grants	44,213	45,000	45,000	45,000	45,000	45,000
	Water Pollution Control	109,000	110,000	110,000	110,000	110,000	110,000
	Wetlands Protection - State Dev	73,619	40,000	40,000	40,000	40,000	40,000
	Nat'L Pollutant Discharge Elimin	44,000	50,000	50,000	50,000	50,000	50,000
	Total - Federal Contributions	**1,680,081**	**1,388,000**	**1,360,000**	**1,360,000**	**1,360,000**	**1,360,000**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Additional Funds Available						
	Special Funds, Non-Appropriated	2,081,426	0	1,970,000	1,970,000	1,970,000	1,970,000
	Bond Funds	146,180	0	150,000	150,000	150,000	150,000
	Private Contributions	912,161	0	566,000	563,000	566,000	563,000
	Total - Additional Funds Available	**3,139,767**	**0**	**2,686,000**	**2,683,000**	**2,686,000**	**2,683,000**
	Total - All Funds	**8,658,715**	**5,290,840**	**7,641,196**	**7,731,212**	**7,793,196**	**7,883,212**
	Bureau of Administration						
	Permanent Full-Time Positions GF/OF	92/35	92/35	92/35	92/35	91/35	91/35
	General Fund						
	Personal Services	4,552,266	4,909,992	4,975,804	5,162,248	4,953,504	5,140,448
	Other Expenses	1,311,994	1,071,785	1,047,838	1,047,838	1,047,838	1,047,838
	Equipment	0	0	2,500	6,300	2,500	6,300
029	Dam Maintenance	97,635	98,126	106,882	111,038	106,882	111,038
	Total - General Fund	**5,961,895**	**6,079,903**	**6,132,524**	**6,327,424**	**6,110,724**	**6,305,624**
	Federal Contributions						
	Air Pollution Cntl Pgm Support	138,693	170,000	170,000	170,000	170,000	170,000
	Disaster Assistance	165,863	100,000	100,000	100,000	100,000	100,000
	Total - Federal Contributions	**304,556**	**270,000**	**270,000**	**270,000**	**270,000**	**270,000**
	Additional Funds Available						
	Special Funds, Non-Appropriated	1,435,864	0	1,430,000	1,440,000	1,430,000	1,440,000
	Private Contributions	350,000	0	350,000	350,000	350,000	350,000
	Total - Additional Funds Available	**1,785,864**	**0**	**1,780,000**	**1,790,000**	**1,780,000**	**1,790,000**
	Total - All Funds	**8,052,315**	**6,349,903**	**8,182,524**	**8,387,424**	**8,160,724**	**8,365,624**
	Bureau of Natural Resources						
	Permanent Full-Time Positions GF/OF	46/93	50/85	50/83	50/83	50/83	50/83
	General Fund						
	Personal Services	4,844,225	2,990,000	2,940,646	3,005,077	2,974,046	3,038,477
	Other Expenses	649,175	515,500	465,500	475,500	500,500	510,500
012	Mosquito Control	0	0	650,000	650,000	300,000	300,000
	Grant Payments - Other Than Towns						
	Northeast Interstate Forest Fire Compact	2,000	2,000	2,000	2,000	2,000	2,000
	Total - General Fund	**5,495,400**	**3,507,500**	**4,058,146**	**4,132,577**	**3,776,546**	**3,850,977**
	Federal Contributions						
	Cooperative Forestry Assistance	188,821	225,000	225,000	225,000	225,000	225,000
	Anadromous Fish Conservation Program	26,591	60,600	60,600	60,600	60,600	60,600
	Interjurisdict Fisheries Act '86	35,484	13,000	13,000	13,000	13,000	13,000
	Interstate Marine Fsh	91,628	108,600	108,600	108,600	108,600	108,600
	Sport Fish Restoration	1,963,210	1,913,500	2,059,300	2,059,300	2,059,300	2,059,300
	Wildlife Restoration	1,400,873	1,558,900	1,714,900	1,714,900	1,714,900	1,714,900
	Endangered Species Conservation	28,295	18,000	18,000	18,000	18,000	18,000
	Clean Vessel Act	94,615	200,000	200,000	200,000	200,000	200,000
	Wildlife Conservation	28,866	28,000	28,000	28,000	28,000	28,000
	Combined DEP Programs	44,645	0	0	0	0	0
	Procuremnt Assist-Small Business	176,573	0	0	0	0	0
	Nat'L Pollutant Discharge Elimin	49,000	49,000	49,000	49,000	49,000	49,000
	Other Federal Assistance	86,265	70,000	35,000	35,000	35,000	35,000
	Total - Federal Contributions	**4,214,866**	**4,244,600**	**4,511,400**	**4,511,400**	**4,511,400**	**4,511,400**
	Additional Funds Available						
	Special Funds, Non-Appropriated	3,243,292	2,800,000	2,650,000	2,600,000	2,650,000	2,600,000
	Bond Funds	0	198,700	0	0	0	0
	Private Contributions	234,104	207,100	201,000	199,000	201,000	199,000
	Total - Additional Funds Available	**3,477,396**	**3,205,800**	**2,851,000**	**2,799,000**	**2,851,000**	**2,799,000**
	Total - All Funds	**13,187,662**	**10,957,900**	**11,420,546**	**11,442,977**	**11,138,946**	**11,161,377**
	Bureau of Outdoor Recreation						
	Permanent Full-Time Positions GF/OF	164/28	164/39	156/37	156/37	158/37	158/37
	General Fund						
	Personal Services	7,085,489	9,360,000	9,313,566	9,755,660	9,730,066	10,172,160
	Other Expenses	453,887	902,500	822,976	812,976	822,976	812,976
046	Beardsley Park and Zoo	450,000	450,000	450,000	450,000	450,000	450,000
	Total - General Fund	**7,989,376**	**10,712,500**	**10,586,542**	**11,018,636**	**11,003,042**	**11,435,136**
	Federal Contributions						
	Outdoor Recreation-Acq/Dev/Plan	457,435	30,000	0	0	0	0
	Boating Safety	531,284	388,300	556,600	500,000	556,600	500,000
	Highway Use Tax Evasion Tax	13,194	90,000	90,000	90,000	90,000	90,000
	Total - Federal Contributions	**1,001,913**	**508,300**	**646,600**	**590,000**	**646,600**	**590,000**
	Additional Funds Available						
	Special Funds, Non-Appropriated	2,138,038	2,600,000	2,700,000	2,700,000	2,700,000	2,700,000
	Bond Funds	0	172,000	0	0	0	0
	Private Contributions	83,255	83,500	83,500	83,500	83,500	83,500
	Total - Additional Funds Available	**2,221,293**	**2,855,500**	**2,783,500**	**2,783,500**	**2,783,500**	**2,783,500**
	Total - All Funds	**11,212,582**	**14,076,300**	**14,016,642**	**14,392,136**	**14,433,142**	**14,808,636**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Bureau of Air Management						
	Permanent Full-Time Positions GF/OF	29/145	29/147	29/145	29/145	29/145	29/145
	General Fund						
	Personal Services	1,764,053	1,800,000	1,860,189	1,885,240	1,860,189	1,885,240
	Other Expenses	114,870	25,000	25,000	25,000	25,000	25,000
011	Title V Permitting State Facilities	0	225,000	50,000	0	50,000	0
021	Laboratory Fees	10,036	10,036	10,036	10,036	10,036	10,036
	Total - General Fund	**1,888,959**	**2,060,036**	**1,945,225**	**1,920,276**	**1,945,225**	**1,920,276**
	Federal Contributions						
	Air Pollution Cntl Pgm Support	3,225,189	3,130,000	3,130,000	3,130,000	3,130,000	3,130,000
	Radiation Control-Train/Counsel	82,713	30,000	30,000	30,000	30,000	30,000
	Miscellaneous Programs	118,986	130,000	130,000	130,000	130,000	130,000
	Total - Federal Contributions	**3,426,888**	**3,290,000**	**3,290,000**	**3,290,000**	**3,290,000**	**3,290,000**
	Additional Funds Available						
	Special Funds, Non-Appropriated	2,838,669	2,980,000	2,980,000	2,980,000	2,980,000	2,980,000
	Private Contributions	4,397,784	4,700,000	4,700,000	4,700,000	4,700,000	4,700,000
	Total - Additional Funds Available	**7,236,453**	**7,680,000**	**7,680,000**	**7,680,000**	**7,680,000**	**7,680,000**
	Total - All Funds	**12,552,300**	**13,030,036**	**12,915,225**	**12,890,276**	**12,915,225**	**12,890,276**
	Bureau of Water Management						
	Permanent Full-Time Positions GF/OF	82/130	82/132	82/130	82/130	82/130	82/130
	General Fund						
	Personal Services	2,883,695	3,060,000	3,290,841	3,335,988	3,290,841	3,335,988
	Other Expenses	174,379	170,000	160,000	160,000	160,000	160,000
021	Laboratory Fees	210,000	200,000	200,000	200,000	200,000	200,000
038	Emergency Spill Response	1,316,772	1,375,000	1,391,000	1,400,000	1,391,000	1,400,000
	Grant Payments - Other Than Towns						
	Soil Conservation Districts	1,000	1,000	1,000	1,000	1,000	1,000
	New England Interstate Water Pollution Commission	8,400	8,400	8,400	8,400	8,400	8,400
	Connecticut River Valley Flood Control Commission	37,890	39,400	39,400	39,400	39,400	39,400
	Thames River Valley Flood Control Commission	48,281	50,200	50,200	50,200	50,200	50,200
	Environmental Review Teams	1,000	1,000	1,000	1,000	1,000	1,000
	Grant Payments - To Towns						
	Flood Control - Bridgeport	12,962	0	0	0	0	0
	Total - General Fund	**4,694,379**	**4,905,000**	**5,141,841**	**5,195,988**	**5,141,841**	**5,195,988**
	Federal Contributions						
	Combined DEP Programs	144,374	135,000	135,000	135,000	135,000	135,000
	Highway Use Tax Evasion Tax	236,723	200,000	200,000	200,000	200,000	200,000
	Water Pollution Control	2,307,657	1,990,000	1,990,000	1,990,000	1,990,000	1,990,000
	Water Quality Control Info Syst	106,823	40,000	40,000	40,000	40,000	40,000
	St Undrgrnd Water Source Protect	53,083	57,000	57,000	57,000	57,000	57,000
	Water Pollution Lake Restoration	15,261	20,000	0	0	0	0
	Construction Management Assist	54,092	0	0	0	0	0
	Water Quality Mgmt Planning	214,913	200,000	200,000	200,000	200,000	200,000
	National Estuary Program	14,764	20,000	0	0	0	0
	Nat'L Pollutant Discharge Elimin	413,929	401,000	401,000	401,000	401,000	401,000
	Hazardous Subst Response Tr Fund	699,608	612,000	612,000	612,000	612,000	612,000
	Underground Storage Tank Tr Fd	73,000	73,000	73,000	73,000	73,000	73,000
	Total - Federal Contributions	**4,334,227**	**3,748,000**	**3,708,000**	**3,708,000**	**3,708,000**	**3,708,000**
	Additional Funds Available						
	Special Funds, Non-Appropriated	1,684,926	1,800,000	1,850,000	1,850,000	1,850,000	1,850,000
	Bond Funds	1,921,696	1,920,000	1,925,000	1,930,000	1,925,000	1,930,000
	Private Contributions	28,146	11,000	11,000	9,000	11,000	9,000
	Total - Additional Funds Available	**3,634,768**	**3,731,000**	**3,786,000**	**3,789,000**	**3,786,000**	**3,789,000**
	Total - All Funds	**12,663,374**	**12,384,000**	**12,635,841**	**12,692,988**	**12,635,841**	**12,692,988**
	Bureau of Waste Management						
	Permanent Full-Time Positions GF/OF	53/98	53/100	53/98	53/98	53/98	53/98
	General Fund						
	Personal Services	352,428	360,000	387,025	403,459	387,025	403,459
	Other Expenses	55,793	75,000	65,000	65,000	65,000	65,000
021	Laboratory Fees	0	10,000	10,000	10,000	10,000	10,000
038	Emergency Spill Response	4,814,339	5,440,000	4,710,764	4,751,505	4,710,764	4,751,505
045	Emergency Response Commission	79,174	79,424	107,002	109,844	107,002	109,844
	Total - General Fund	**5,301,734**	**5,964,424**	**5,279,791**	**5,339,808**	**5,279,791**	**5,339,808**
	Federal Contributions						
	Interagy Hazard Materials Train	90,756	80,000	80,000	80,000	80,000	80,000
	Pesticides Compliance Monitor	280,081	387,000	387,000	387,000	387,000	387,000
	Toxic Subst Compliance Monitor	164,302	200,000	200,000	200,000	200,000	200,000
	Hazardous Waste Mgmt St Prg Supp	2,171,159	2,025,000	2,025,000	2,025,000	2,025,000	2,025,000
	Hazardous Subst Response Tr Fund	68,000	68,000	68,000	68,000	68,000	68,000
	Underground Storage Tank Pgm	188,412	200,000	200,000	200,000	200,000	200,000
	Underground Storage Tank Tr Fd	754,495	827,000	827,000	827,000	827,000	827,000
	Oil Company Overcharge Recovery	18,893	0	0	0	0	0
	Total - Federal Contributions	**3,736,098**	**3,787,000**	**3,787,000**	**3,787,000**	**3,787,000**	**3,787,000**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Additional Funds Available						
	Special Funds, Non-Appropriated	13,973,472	14,015,000	14,025,000	14,025,000	14,025,000	14,025,000
	Private Contributions	10,876	5,000	0	0	0	0
	Total - Additional Funds Available	**13,984,348**	**14,020,000**	**14,025,000**	**14,025,000**	**14,025,000**	**14,025,000**
	Total - All Funds	**23,022,180**	**23,771,424**	**23,091,791**	**23,151,808**	**23,091,791**	**23,151,808**
	Personal Services Savings						
	General Fund						
	Personal Services	0	0	-191,231	-191,231	-191,231	-191,231
	Less: Turnover - Personal Services - GF	0	0	-100,000	-100,000	-100,000	-100,000
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
602	Soil Conservation Districts	1,000	1,000	1,000	1,000	1,000	1,000
603	Agreement USGS-Geological Investigation	47,000	47,000	47,000	47,000	47,000	47,000
604	Agreement USGS - Hydrological Study	119,800	119,800	119,800	119,800	119,800	119,800
605	New England Interstate Water Pollution Commission	8,400	8,400	8,400	8,400	8,400	8,400
606	Northeast Interstate Forest Fire Compact	2,000	2,000	2,000	2,000	2,000	2,000
607	Connecticut River Valley Flood Control Commission	37,890	39,400	39,400	39,400	39,400	39,400
610	Thames River Valley Flood Control Commission	48,281	50,200	50,200	50,200	50,200	50,200
611	Environmental Review Teams	1,000	1,000	1,000	1,000	1,000	1,000
615	Agreement USGS Water Quality Stream Monitoring	166,000	166,000	166,000	166,000	166,000	166,000
	GRANT PAYMENTS - TO TOWNS (Recap)						
710	Flood Control - Bridgeport	12,962	0	0	0	0	0
	EQUIPMENT						
005	Equipment	0	0	2,500	6,300	2,500	6,300
	Agency Grand Total	**89,349,128**	**85,860,403**	**89,612,534**	**90,397,590**	**89,877,634**	**90,662,690**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**523**	**37,536,496**	**523**	**37,536,496**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	820,895	0	1,694,012	0	0	0	0
Other Expenses	0	19,902	0	87,181	0	0	0	0
Equipment	0	1,000,000	0	750,000	0	0	0	0
Other Current Expenses	0	282,800	0	417,849	0	0	0	0
Grant Payments - Other Than Towns	0	10,430	0	20,680	0	0	0	0
Total - General Fund	**0**	**2,134,027**	**0**	**2,969,722**	**0**	**0**	**0**	**0**
Eliminate Inflationary Increases - (B)								
-(G) Funds are removed for inflation increases.								
-(L)Same as Governor								
Other Expenses	0	-59,426	0	-126,705	0	0	0	0
Laboratory Fees	0	-6,724	0	-13,324	0	0	0	0
Dam Maintenance	0	-418	0	-862	0	0	0	0
Emergency Spill Response	0	-72,400	0	-143,488	0	0	0	0
Digital Soil Survey	0	-20	0	-50	0	0	0	0
Long Island Sound Research Fund	0	-20	0	-50	0	0	0	0
Emergency Response Commission	0	-120	0	-238	0	0	0	0
Soil Conservation Districts	0	-20	0	-40	0	0	0	0
Agreement USGS-Geological Investigation	0	-1,130	0	-2,240	0	0	0	0
Agreement USGS - Hydrological Study	0	-2,880	0	-5,700	0	0	0	0
New England Interstate Water Pollution Commission	0	-200	0	-400	0	0	0	0
Northeast Interstate Forest Fire Compact	0	-50	0	-100	0	0	0	0
Connecticut River Valley Flood Control Commission	0	-950	0	-1,880	0	0	0	0

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Thames River Valley Flood Control Commission	0	-1,200	0	-2,380	0	0	0	0
Environmental Review Teams	0	-20	0	-40	0	0	0	0
Agreement USGS Water Quality Stream Monitoring	0	-3,980	0	-7,900	0	0	0	0
Total - General Fund	**0**	**-149,558**	**0**	**-305,397**	**0**	**0**	**0**	**0**

Fund Equipment Items from the CEPF - (B)
The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least 3 years. It is financed through the sale of bonds and is administered by the Office of Policy and Management.
-(G) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds).
-(L)Same as Governor

Equipment	0	-997,500	0	-743,700	0	0	0	0
Total - General Fund	**0**	**-997,500**	**0**	**-743,700**	**0**	**0**	**0**	**0**

Reduce Funding Due to State Data Center Rate Reductions - (B)
Many state agencies obtain computer services to support their business functions from the State Data Center, operated by the Department of Administrative Services through the Technical Services Revolving Fund.
-(G) Due to a reorganization of the operation of the State Data Center, there is a significant reduction in the rates for services charged to state agencies (CATER and BOSS).
-(L)Same as Governor

Other Expenses	0	-98,500	0	-98,500	0	0	0	0
Total - General Fund	**0**	**-98,500**	**0**	**-98,500**	**0**	**0**	**0**	**0**

Reduce Title V Permitting for State Facilities - (B)
The Clean Air Act requires Connecticut to develop an operating permit program for major sources of air pollution. These funds provide the assistance to state agencies to comply with Title V requirements of the Act.
-(G) Funds are reduced since most state agencies should have complied with the requirements.
-(L)Same as Governor

Title V Permitting State Facilities	0	-175,000	0	-225,000	0	0	0	0
Total - General Fund	**0**	**-175,000**	**0**	**-225,000**	**0**	**0**	**0**	**0**

Eliminate Support for Connecticut Hazardous Waste Management Service (CHWMS) - (B)
The CHWMS is a quasi-public agency which receives support from state, federal and private sources. The service runs both a Hazardous Waste and Low-Level Radioactive Waste program. The low-level program receives no General Fund dollars.
-(G) State funds are eliminated for the CHWMS. It has fulfilled many of its original hazardous waste requirements in terms of waste management, disposal and planning. PA 97-11, JSS, "An Act Concerning Computerized Information Sharing, the Mashantucket and Mohegan Fund, Early Retirement, School Construction, State Buildings, Nursing Homes, Executive and Legislative Councils, Commissions and Task Forces, Appropriations For The Fiscal Years Ending June 30, 1997, 1998 and 1999, Spring and Well Water Collection, Project Concern, Notice Requirements for Psychiatric Admissions, the Tax on Net Direct Subscriber Charges of Health Care Centers, Elimination of Certain Wage Inequities, Sheriffs' Fees and Expenses of the Connecticut Siting Council", implements this provision.
-(L)Same as Governor

Emergency Spill Response	0	-340,000	0	-340,000	0	0	0	0
Total - General Fund	**0**	**-340,000**	**0**	**-340,000**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Eliminate Indian Affairs Coordinator - (B) The Department currently has a coordinator who maintains a channel of communication with tribal organizations. -(G) Funds are eliminated for the coordinator. DEP will attempt to secure alternative funding to support the position. -(L) Funds are restored for the Indian Affairs Coordinator.								
Personal Services	0	0	0	0	1	52,000	1	52,000
Total - General Fund	**0**	**0**	**0**	**0**	**1**	**52,000**	**1**	**52,000**
Eliminate Digital Soil Survey Funds - (B) -(G) Funding is eliminated for the Department's token contribution to the compilation of detailed soil maps of the state. -(L)Same as Governor								
Digital Soil Survey	0	-1,000	0	-1,000	0	0	0	0
Total - General Fund	**0**	**-1,000**	**0**	**-1,000**	**0**	**0**	**0**	**0**
Reduce Natural Resource Planning Funds - (B) The Bureau of Natural Resources planners assess the impacts associated with proposed program changes in fish, wildlife and forestry. -(G) One position in natural resource planning is eliminated. -(L) Funds are restored for the resource planning position. More efficient alternative reductions and changes in funding sources are utilized to offset the cost. A District Fish Supervisor, one Forester and 1.5 fisheries seasonals will be transferred to Federal Funds at a General Fund savings of $130,345. In addition, a District Fire Officer is eliminated. The administrative workload can be handled by current staff.								
Personal Services	-3	-176,345	-3	-176,345	-2	-107,945	-2	-107,945
Total - General Fund	**-3**	**-176,345**	**-3**	**-176,345**	**-2**	**-107,945**	**-2**	**-107,945**
Reduce Wildlife Research Staff - (B) Wildlife staff perform a variety of field activities, which provide data necessary for wildlife biologists to effectively assess species management programs in Connecticut. -(G) Six seasonal positions that assist in the Wildlife Division in compiling species research data are eliminated. -(L) One seasonal position that assists in the Wildlife Division in compiling species research data is eliminated. Elimination of one position will not have a great impact on the program.								
Personal Services	0	-19,655	0	-19,655	0	56,345	0	56,345
Total - General Fund	**0**	**-19,655**	**0**	**-19,655**	**0**	**56,345**	**0**	**56,345**
Reduce Pheasants for Stocking Programs - (B) The DEP currently has pheasant and fish stocking programs. -(G) Funds are reduced for pheasant stocking. This will result in a 5% reduction in the number of pheasants purchased and released for the fall hunting season. -(L)Same as Governor								
Other Expenses	0	-9,000	0	-9,000	0	0	0	0
Total - General Fund	**0**	**-9,000**	**0**	**-9,000**	**0**	**0**	**0**	**0**
Reduce Park Staffing - (B) The state owns over 200,000 acres of parks, forests and recreation lands. -(G) Funding for the 4 existing Regional Park Managers is eliminated. This will leave the Director of state parks to deal directly with each park manager in the operation of facilities, budgeting, planning and monitoring of park activities, operations and budgets.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L) Funding is eliminated for 2 existing Regional Park Managers. This will continue to allow for efficient park management.								
Personal Services	-2	-125,000	-2	-125,000	2	125,000	2	125,000
Total - General Fund	**-2**	**-125,000**	**-2**	**-125,000**	**2**	**125,000**	**2**	**125,000**
Eliminate Position in Municipal/Urban Outreach Program - (B) The Municipal Cooperative Management Outreach Program has entered into 4 cooperative agreements over the last fiscal year and conducted 7 joint training programs for municipalities. -(G) Eliminate position for the municipal/urban outreach program. -(L) Funds are restored for this position in the Bureau of Outdoor Recreation. This will enable the Department to maintain current program activities.								
Personal Services	0	0	0	0	1	55,300	1	55,300
Total - General Fund	**0**	**0**	**0**	**0**	**1**	**55,300**	**1**	**55,300**
Reductions in Bureau of Outdoor Recreation - (B) -(L) Additional reductions are made in the Outdoor Recreation Bureau which are anticipated to have a minor impact on agency programs. Funding is eliminated for a vacant funded Supervising Analyst, a Field Engineer Aide and an Operations Supervisor. In addition, a full-time Life Guard Supervisor position will be reduced to a seasonal position.								
Personal Services	-4	-173,800	-4	-173,800	-4	-173,800	-4	-173,800
Total - General Fund	**-4**	**-173,800**	**-4**	**-173,800**	**-4**	**-173,800**	**-4**	**-173,800**
Reduce Land Acquisition Property Agents - (B) Property agents support the evaluation and proposals associated with the Recreational Natural Heritage Program, Grants to Municipalities for Outdoor Recreations, and other efforts to negotiate, evaluate and acquire lands to benefit the public use of land and management of outdoor programs. -(G) Funds are eliminated for 3 full-time staff. There are currently 7 filled positions. This will impact the DEP's ability to respond to encroachment issues, acquisitions, rentals and sales and management of outdoor recreation programs. -(L) Funds are restored for the Property Agents which are needed to maintain program operations.								
Personal Services	0	0	0	0	3	110,000	3	110,000
Total - General Fund	**0**	**0**	**0**	**0**	**3**	**110,000**	**3**	**110,000**
Reduce Parks Seasonals - (B) The Parks Division relies heavily on seasonal staff to prepare the parks, maintain and service the public during the season and clean-up and help with repairs immediately after peak season. -(G) The Department's seasonal budget is reduced by 15%. -(L) Funds are restored for the seasonal budget to enable the Department to maintain and protect the state park system.								
Personal Services	0	0	0	0	0	300,000	0	300,000
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**300,000**	**0**	**300,000**
Eliminate Position in Media Design - (B) The Office of Communications provides overall agency support related to the production and distribution of news and educational media. -(G) Funds are eliminated for 1 position. This is a 50% cut in staff. This position provides professional design and format expertise in the production of many publications, news releases, etc. -(L)Same as Governor								
Personal Services	-1	-36,000	-1	-36,000	0	0	0	0
Total - General Fund	**-1**	**-36,000**	**-1**	**-36,000**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Reduce Communications Office Staff - (B)								
The Office informs the public about DEP programs and environmental issues.								
-(G) Funds are removed for the only administrative support position within the Office of Communications.								
-(L)Same as Governor								
Personal Services	-1	-33,000	-1	-33,000	0	0	0	0
Total - General Fund	**-1**	**-33,000**	**-1**	**-33,000**	**0**	**0**	**0**	**0**
Eliminate Toxic Substance Research - (B)								
The Department has provided funds to UConn/ERI to study water contamination research which helps in providing information for state funded site clean-ups.								
-(G) Funds are eliminated for toxic substance research.								
-(L)Same as Governor								
Emergency Spill Response	0	-40,000	0	-40,000	0	0	0	0
Total - General Fund	**0**	**-40,000**	**0**	**-40,000**	**0**	**0**	**0**	**0**
Fund Mosquito Control Program - (B)								
The State of Connecticut experienced an outbreak of Eastern Equine Encephalitis during 1996.								
-(G) Funds are provided for 4 staff including a Supervisor and associated expenses for trapping and testing, aerial and ground spraying, and eliminating mosquito breeding pools through open water management, culvert, tidegate and drainage ditch maintenance to avoid another outbreak of Eastern Equine Encephalitis.								
-(L) Funds are eliminated for the Supervisor position and reduced for associated expenses for the Department's part in the new Mosquito program. Funds for surveillance, trapping and testing have been reallocated to the Agricultural Experiment Station. PA 97-289, "An Act Concerning Mosquito Control and Aerial Application of Pesticides", implements this provision.								
Mosquito Control	3	300,000	3	300,000	-1	-350,000	-1	-350,000
Total - General Fund	**3**	**300,000**	**3**	**300,000**	**-1**	**-350,000**	**-1**	**-350,000**
Consolidate Burlington Hatchery with Quinnebaug - (B)								
The agency operates two major trout hatcheries to produce catchable trout for stocking public waters.								
-(G) Funding for the Burlington Hatchery is eliminated. Operations will be consolidated at the remaining hatchery facilities. The state currently operates 3 facilities.								
-(L) Funds are restored for 3 positions and Other Expenses to enable the Hatchery to remain in operation.								
Personal Services	0	0	0	0	3	85,000	3	85,000
Other Expenses	0	0	0	0	0	35,000	0	35,000
Total - General Fund	**0**	**0**	**0**	**0**	**3**	**120,000**	**3**	**120,000**
Reduce Travel Expenses - (B)								
-(G) Funds are reduced for travel associated with field activities. This will decrease the Department's presence throughout the state at local functions, meetings with municipal officials, etc.								
-(L)Same as Governor								
Other Expenses	0	-25,000	0	-25,000	0	0	0	0
Total - General Fund	**0**	**-25,000**	**0**	**-25,000**	**0**	**0**	**0**	**0**
Seek Alternative Funds for Portable Toilets - (B)								
The Department currently provides portable toilets at boat launch facilities.								
-(G) Funds are eliminated for portable toilets at state boat launches. The Department will seek alternative funding, including federal funds to provide this service.								
-(L)Same as Governor								
Other Expenses	0	-40,000	0	-40,000	0	0	0	0
Total - General Fund	**0**	**-40,000**	**0**	**-40,000**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Reduce Postage Costs - (B)								
The Department has been investigating the feasibility of dealing directly with the U.S. Postal Service and avoiding the overhead charge imposed by DAS for postal handling service. The Department has projected potential cost savings of this approximate dollar magnitude based on historical data. This reduction would be more quantifiable in one or two fiscal years after the Department has had experience in implementation.								
-(G) The agency will attempt to fully implement a planned postage streamlining system to reduce costs by dealing directly with the U.S. Postal Service in place of utilizing DAS postal services.								
-(L)Same as Governor								
Other Expenses	0	-40,000	0	-40,000	0	0	0	0
Total - General Fund	**0**	**-40,000**	**0**	**-40,000**	**0**	**0**	**0**	**0**
Consolidate Agency Software Licenses - (B)								
The Department is in the process of implementing technology standards that will eventually afford savings related to the consolidation of redundant licenses, and the cancellation of licenses no longer needed for application support. This is a process that ideally needs one-to-two years to successfully implement and manage with the least amount of disruption to service.								
-(G) Consolidate agency software licenses as new technology standards are implemented. Non-standard licenses will be canceled, and other standardized licenses will be consolidated saving licensing costs that were previously duplicated across programs.								
-(L)Same as Governor								
Other Expenses	0	-80,000	0	-80,000	0	0	0	0
Total - General Fund	**0**	**-80,000**	**0**	**-80,000**	**0**	**0**	**0**	**0**
Reduce Spill Clean-Up Allocation - (B)								
The Emergency Spill Response Account provides funds for various programs including potable water, pesticides program, emergency response, site remediation and property transfer.								
-(G) Reduce the funds allocated for annual clean-up costs under the account. Over the last 2 years the agency has expended approximately $500,000 less than actually allocated. There is always the potential for additional funds to be needed.								
-(L)Same as Governor								
Emergency Spill Response	0	-500,000	0	-500,000	0	0	0	0
Total - General Fund	**0**	**-500,000**	**0**	**-500,000**	**0**	**0**	**0**	**0**
Eliminate Environment 2000 Program Support - (B)								
E-2000 is Connecticut's Environmental Plan containing goals and objectives for the State's environment.								
-(G) Eliminate one full-time and one part-time positions that coordinate program activities related to the Department's E-2000 program.								
-(L)Same as Governor								
Personal Services	-1	-85,000	-1	-85,000	0	0	0	0
Total - General Fund	**-1**	**-85,000**	**-1**	**-85,000**	**0**	**0**	**0**	**0**
Reduce Administration - (B)								
-(L) Funds are eliminated for a District Headquarters Clerk Typist position which is currently funded and vacant.								
Personal Services	-1	-21,800	-1	-21,800	-1	-21,800	-1	-21,800
Total - General Fund	**-1**	**-21,800**	**-1**	**-21,800**	**-1**	**-21,800**	**-1**	**-21,800**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Reduce Agency Personal Services - (B)								
-(G) General cuts are made in Personal Services.								
-(L)Same as Governor								
Personal Services	0	-191,231	0	-191,231	0	0	0	0
Total - General Fund	**0**	**-191,231**	**0**	**-191,231**	**0**	**0**	**0**	**0**
Promote Greenways - (B)								
Greenways are open space corridors related to a natural area. They can protect natural resources, preserve landscapes and historical resources and/or provide recreational opportunities.								
-(L) Funds are provided for planning, designing, implementing and/or promoting greenways.								
Greenways	0	100,000	0	100,000	0	100,000	0	100,000
Total - General Fund	**0**	**100,000**	**0**	**100,000**	**0**	**100,000**	**0**	**100,000**
Budget Totals - GF	**513**	**36,713,134**	**513**	**37,596,790**	**2**	**265,100**	**2**	**265,100**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Affirmative action hiring goals annually from agency adopted plan (%)	74	100	100	100	100	100	100	100
Ombudsman assistance activities to business and industry, reflecting improved environmental permit application processing	3,760	3,400	3,500	3,500	3,500	3,500	3,500	3,500
Restorable degraded tidal wetland re-stored or undergoing restoration (%)	18	23	27	31	27	31	27	31
Eligible state coastal waters being managed for multiple use activities under approved harbor mgmt plans (%)	42	47	53	60	53	60	53	60
Increase in sales of maps & technical documents from 1990 base (%)	131	135	139	143	135	135	135	135
Annual actions that protect environmentally sensitive sites and endangered species from adverse impacts through use of Natural Diversity Data Base	293	320	335	350	320	320	320	320
Completion of 25 critical environmental databases meeting usability criteria for the public (%)	24	30	35	40	35	40	35	40
Primary and secondary level teachers provided with educational resources and training*	1,750	1,800	1,900	2,100	1,800	1,800	1,800	1,800
Municipal officials trained on land use decision making*	500	450	550	600	450	450	450	450
State Park and Forest visitors educated*	18,750	18,000	20,000	21,000	18,000	18,000	18,000	18,000
Department staff participating in annual training programs (%)	32	35	35	35	35	35	35	35
Department supply needs met through Central Depot Warehouse (%)	25	25	25	25	25	25	25	25
Deer Management zones in which deer population are balanced with biological & cultural carrying capacity (%)	36	45	45	45	45	45	45	45
Salmon returning annually to CT River basin, reflecting the progress of Atlantic salmon restoration	249	350	500	500	500	500	500	500
Private forestland parcels, 20 acres or more in size, receiving professional forest management	2,115	2,115	2,200	2,250	2,115	2,115	2,115	2,115
Winter flounder commercial harvest in lbs (000)/recreational harvest in # of fish (000)	356/176	450/225	600/300	800/400	600/300	800/400	600/300	800/400
Total acres of State owned open space acquired of the 300,000 acre adopted goal (%)	70.2	70.3	70.5	70.6	70.3	70.3	70.3	70.3
Students graduating from the DEP Safe Boating Course	21,082	21,500	15,000	11,000	15,000	11,000	15,000	11,000
CT boating population obtaining Safe Boating Certificates (estimated boating population: 200,000) (%)*	32	44	57	62	57	62	57	62

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
State park & recreational facilities accessible to urban residents by public transportation (of 52 developed) %*	17	21	23	23	23	23	23	23
Days Connecticut air quality is above ozone standard	5	6	3	2	3	2	3	2
Decrease in nitrogen oxides (NOx) & volatile organic compounds (VOC) emissions from 1990 base (%)	17	20	23	25	23	25	23	25
Decrease in carbon monoxide emissions from 1990 base (%)	19	22	25	28	25	28	25	28
Major stream miles meeting water quality adopted standards (%)	69	70	70	71	70	71	70	71
Average annual tons of nitrogen discharged to Long Island Sound from coastal point and non-point sources	5,400	4,966	4,864	4,864	4,864	4,864	4,864	4,864
Polluted urban properties determined to have high economic development potential by DED, undergoing remediation or clean-up from '1994 base (cumulative)	40	75	100	125	100	125	100	125
Abatement of combined sewer overflow from 1994 base year as reported by total number of discharge points	260	252	244	232	244	232	244	232
Facilities responding to & in compliance as a result of Notice of Violation for water discharge permit violations, on annual basis (% responding / % compliance)	95/74	90/50	100/40	100/40	100/40	100/40	100/40	100/40
Projects completed to mitigate the effects of natural disasters (cumulative from 1994)	36	44	66	81	66	81	66	81
Miles of river channel & riverfront land restored under the River Restoration Program (cumulative from 1995)	104	154	204	254	204	204	204	204
Municipal solid waste stream annually recycled (%)	23	25	27	29	27	29	27	29
Reduction in complaints received related to pesticides used from 1991 base, reflecting pesticide use reduction(%)	4	6	8	10	8	10	8	10
Reduction in underground storage tank leaks from 1991 base (%)	5	5	5	5	5	5	5	5
Large quantity generators, transfer, storage & disposal facilities, practicing waste minimization or pollution prevention (%)	60	60	70	70	60	60	60	60
Waste facilities compliance assurance activity (%)*	60	70	75	80	75	80	75	80

OTHER SIGNIFICANT 1997 LEGISLATION AFFECTING THE AGENCY'S BUDGET

PA 97-221, "An Act Concerning The Preservation Of Lighthouses" - This act establishes a Connecticut Lighthouse Preservation account within the Long Island Sound account of the Environmental Quality Fund, and will increase costs to the Department of Environmental Protection (DEP). The account is to be funded by a voluntary lighthouse preservation donation to be established in regulation by the Commissioner of Motor Vehicles. In addition, other private and federal contributions can be deposited into the account. Since the donation amount is not specified in the act, and the number of donors is indeterminate, the revenue generated by this act cannot be determined.

It is not clear if and how much funding will be available for the grants or loans required by the act and administered by DEP. The grants and loans can be used for maintenance preservation and restoration of certain lighthouses, including tidal erosion and enhancing public access to lighthouses and reimbursement to the Department of Motor Vehicles for costs of soliciting and transferring the donation, and administrative costs to DEP. It is anticipated that DEP will need a part-time staff person for fund and grant administration at a cost of $25,000.

In addition, since current DEP application forms for Long Island Sound license plates make no mention of a lighthouse donation, it is unclear what stipulating in the act that nothing shall prohibit DEP from using existing forms means. DEP recently reprinted the Sound application forms at a cost of $100,000.

Lastly, if donated funds are received by the DEP for the restoration of historical structures, the agency would incur administrative costs. The extent of the costs would depend on the amount of funds received (both number of donations and size of donations) and what DEP's role would be in the actual renovation, which is unclear.

PA 97-227, "An Act Concerning Acquisition of Open Space Land" - This act requires the Department of Environmental Protection (DEP) to prepare and update a comprehensive strategy for the acquisition of open space and will increase the workload of the DEP. It is anticipated that funds, in the amount of $25,000 or staff resources for 3 to 4 months, will need to be diverted to this project. It is anticipated that annual updates to the Environment Committee on the strategy and progress of the work can be accomplished within anticipated resources. In addition, setting a goal without enforcement provisions is not anticipated to have a fiscal impact.

PA 97-229, "An Act Concerning Sharing Certain State Tax Revenue With Municipal Law Enforcement Agencies" - Sections of this act allow the Commissioner of Environmental Protection to enter into an agreement with any municipality allowing the municipality to retain fees collected by municipal officers for state boat launches when state employees are not on duty.

PA 97-241, "An Act Streamlining Certain Permit Requirements For Remediation Of Environmental Contamination" - This act increases the amount annually allocated for the Underground Storage Task account (UST) from $850,000 to $1,150,000 and will increase costs to the account and accelerate the revenue loss to the General Fund over the next two years.

The Department of Environmental Protection currently employs 12 people on the UST account. They anticipate hiring an additional 3-4 durational employees for 3-4 years to reduce the current backlog in pending claims. DEP is currently unable to process claims in the statutory time frames with current staffing levels. The increase in staff will accelerate the revenue loss to the General Fund over the next 3 to 4 years by approximately $4-5 million a year. Current UST account expenditures have averaged $12 million a year. After the 3 to 4 years of accelerated expenditures, there should be a decrease in costs.

In addition, the act will increase expenditures from the UST account and accelerate the revenue loss to the General Fund by allowing up to $1 million more per case in reimbursement. Out of the approximately 400 cases (sites) thus far, approximately 2 cases would have benefited from this provision. Over the next few years, there is a minimal likelihood that there would be cases that this provision would apply to.

Additional expenditures from the account will accelerate the account balance falling below $5 million dollars which results in a portion of the tax receipts on gross earnings from the sale of petroleum products being credited to the account. The exact impact is indeterminate since it would depend upon when the account would fall below $5 million due to this change.

The UST account is a non-appropriated account funded through the 5% tax on gross earnings from the sale of petroleum products. A portion of the tax is credited to the UST account until a $15 million cap is reached. Additional revenue is not credited to the UST account until the balance drops below $5 million.

The act also changes charges of interest on clean-up costs which is not anticipated to impact current revenue.

The act also allows up to $1 million a year not to lapse from the emergency Spill Response Account but to be available to the Department for expenditure in the next fiscal year.

PA 97-242, "An Act Concerning An Integrated Pest Management Program" - This act increases the five-year pesticide registration fee from $300 to $500 ($200 increase), and is estimated to increase revenue to the Environmental Quality Fund (EQ) of the Department of Environmental Protection by approximately $300,000 a year. The $300,000 will be used by the DEP for 2 Environmental Analysts and associated program costs for the development of model integrated pest management plans (IPM) annually reviewing a sample of state pest management control plans, implementing an outreach program to inform the public of IPM and encourage its use on private property, and review pesticide application by state entities for compliance with IPM. The act also requires state agencies to use IPM if DEP has provided model pest management plans and allows agencies contracting for pest control and pesticide applications to revise and maintain their bidding procedures to make integrated pest management a part of contract bidding requirements.

In addition, the act requires pesticides to be categorized for registration purposes by DEP. This is anticipated to be handled within the agency's resources.

1997 BOND AUTHORIZATIONS

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	2(g)(1)	21(g)(1)	Recreation and national heritage trust program for recreation, open space, resource protection and resource management	71,870,012	1,000,000	1,000,000
SA 97-1 (J5 SS)	2(g)(2)	21(g)(2)	Modernization and improvements to state-owned recreational and conservation areas, including code improvements and renovations to and replacement of departmental-owned bridges	26,512,342	500,000	500,000
SA 97-1 (J5 SS)	2(g)(3)	21(g)(3)	Various flood control improvements, flood repair, erosion damage repairs and municipal dam repairs	26,106,063	1,000,000	1,000,000
SA 97-1 (J5 SS)	2(g)(4)	21(g)(4)	Dam repairs, including state-owned dams	27,248,260	3,000,000	3,000,000
SA 97-1 (J5 SS)	2(g)(5)	21(g)(5)	Americans with Disabilities Act improvements at state recreation areas	4,000,000	500,000	500,000
SA 97-1 (J5 SS)	2(g)(6)	21(g)(6)	Alterations, renovations and new construction at state parks and other recreation facilities	18,270,000	1,500,000	1,500,000
SA 97-1 (J5 SS)	2(g)(7)	-	Alterations, repairs and improvements to state-owned facilities for the installation of or upgrade to sewage treatment facilities, including septic systems	-	3,000,000	-
SA 97-1 (J5 SS)	2(g)(8)	-	Improvements to sewer systems at Sherwood State Park, including the connection to the municipal system	3,000,000	2,500,000	-
SA 97-1 (J5 SS)	214	-	Add PA 96-181 2(b)(3): Island Brook Flood Control project in Bridgeport (Sec. 51 reduces a 1987 authorization of $5,800,000 by $4,597,583 for this purpose.)	1,202,417	4,597,583	-

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
SA 97-1 (J5 SS)	214	-	Add PA 96-181 2(b)(4): East-West Connector Road and state park development, Milford (Sec. 63 reduces a 1988 authorization of $2,000,000 by $1,752,000 for this purpose.)	248,000	1,752,000	-
SA 97-1 (J5 SS)	13(c)(1)	32(b)(1)	Grants-in-aid to state agencies, regional planning agencies and municipalities for water pollution control projects	35,000,000	3,000,000	4,000,000
SA 97-1 (J5 SS)	13(c)(2)	32(b)(2)	Grants-in-aid to municipalities for the purpose of providing potable water	15,750,000	1,000,000	2,000,000
SA 97-1 (J5 SS)	13(c)(3)	32(b)(3)	Containment, removal or mitigation of identified hazardous waste disposal sites	42,000,000	5,000,000	5,000,000
SA 97-1 (J5 SS)	-	32(b)(4)	Grants-in-aid to municipalities for acquisition and development of open space for conservation or recreation purposes	36,495,250	-	500,000
SA 97-1 (J5 SS)	13(c)(4)	32(b)(5)	Grants-in-aid to municipalities for acquisition of land, for public parks, recreational and water quality improvements, water mains and water pollution control facilities, including sewer projects	12,400,000	8,000,000	8,000,000
SA 97-1 (J5 SS)	13(c)(5)	-	Identification, investigation, containment, removal or mitigation of contaminated industrial sites in urban areas	25,000,000	500,000	-
SA 97-1 (J5 SS)	13(c)(6)	-	Grants-in-aid to municipalities for landfill closure and related expenses	-	6,000,000	-
PA 97-1 (J5 SS)	15	15	Grants-in-aid under the Clean Water Fund (General Fund GO Bonds)	576,330,000	45,000,000	14,000,000
PA 97-1 (J5 SS)	16	16	Low interest revolving fund loans under the Clean Water Fund (Revenue Bonds)	633,300,000	151,300,000	83,300,000
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	40	-	Dam repairs including state-owned dams (SA 84-54 2(d)(3))	4,000,000	(31,386)	-
SA 97-1 (J5 SS)	49	-	Various improvements including bathhouse at Sherwood Island State Park (SA 87-77 2(d)(5))	1,150,000	(294,986)	-
SA 97-1 (J5 SS)	50	-	At West Rock Ridge State Park, land acquisition (SA 87-77 2(d)(5))	1,500,000	(744,400)	-
SA 97-1 (J5 SS)	51	-	Island Brook flood control project in Bridgeport (SA 87-77 2(d)(27))	5,800,000	(4,597,583)	-
SA 97-1 (J5 SS)	61	-	Dam repairs including state-owned dams (SA 88-77 2(j)(2))	1,000,000	(9,328)	-
SA 97-1 (J5 SS)	62	-	Sewer connection at Sherwood Island Park (SA 88-77 2(j)(5))	3,000,000	(2,431,500)	-
SA 97-1 (J5 SS)	63	-	East-west connector road and state park development, Silver Sands State Park, Milford (SA 88-77 2(j)(7))	2,000,000	(1,752,000)	-
SA 97-1 (J5 SS)	64	-	Farm Brook flood control project in Hamden, land acquisition and construction related to brook diversion (SA 88-77 2(j)(11))	100,000	(67,300)	-
SA 97-1 (J5 SS)	83	-	Various flood control and shore and erosion control projects (SA 89-52 2(g)(4))	1,200,000	(1,358)	-
SA 97-1 (J5 SS)	84	-	The application for permits associated with dredging of the Salmon River or the purchase of a hydraulic dredge for use on the Salmon River (SA 89-52 2(g)(16))	200,000	(150,000)	-
SA 97-1 (J5 SS)	85	-	Development of Windsor Locks Canal Park, including land acquisition (SA 89-52 2(g)(18))	2,500,000	(2,153,500)	-

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
SA 97-1 (J5 SS)	97	-	Grant-in-aid to the town and city of New Haven for park development or acquisition of abandoned rights-of-way on the Farmington Canal Line (SA 89-52 23(a)(10))	125,000	(125,000)	-
SA 97-1 (J5 SS)	110	-	Grant-in-aid to the town of Hamden for storm drainage and flood control at Colonial Drive (SA 90-34 23(d)(20))	150,000	(150,000)	-
SA 97-1 (J5 SS)	111	-	Grant-in-aid to the town and city of Derby for renovations to the green (SA 90-34 23(d)(40))	300,000	(300,000)	-
SA 97-1 (J5 SS)	132	-	Land acquisition for development of a Scantic River State Park (SA 92-3 MSS 2(g)(6))	400,000	(95,000)	-

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $2,140,454 in Personal Services from the early retirement incentive program, and $259,768 in Personal Services and $133,110 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $238,117 for FY '98.

[2] General Fund revenues, in the amount of $6,374,420 in FY 1998 and $6,394,420 in FY 1999, are anticipated to be collected and are broken down as follows; fish and game receipts, $1,750,000 and $1,750,000; civil penalties, $1,000,000 and $1,000,000; camps and parking, $1,350,000 and $1,350,000; wood , $540,000 and $560,000; registration of pesticides, $530,000 and $530,000; miscellaneous, $1,204,420 and $1,204,420.

[3] Boating account funds, in the amount of $2,220,000 in FY 1998 and $2,220,000 in FY 1999, Solid Waste account funds, in the amount of $2,300,000 in FY 1998 and $2,300,000 in FY 1999, Municipal Solid Waste Recycling Trust account funds, in the amount of $850,000 in FY 1998 and $850,000 in FY 1999, Low-Level Radioactive Waste account funds, in the amount of $1,900,000 in FY 1998 and $1,900,000 in FY 1999; Underground Petroleum Storage Tank Clean-Up account funds, in the amount of $10,800,000 in FY 1998 and $10,800,000 in FY 1999 (not including legislative changes), Environmental Quality Funds, in the amount of $4,785,000 in FY 1998 and $4,795,000 in FY 1999, Environmental Conservation Funds, in the amount of $4,100,000 in FY 1998 and $4,100,000 in FY 1999, Migratory Bird account funds, in the amount of $150,000 in FY 1998 and $100,000 in FY 1999, and Long Island Sound account funds, in the amount of $500,000 in FY 1998 and $500,000 in FY 1999 are anticipated to be expended by the agency.

[4] Private contributions of $5,911,500 in FY 1998 and $5,904,500 in FY 1999 are anticipated broken down as follows: $3,800,000 in FY 1998 and FY 1999 for the Clean Air Act account, $800,000 in FY 1998 and 1999 for Stationary Air Emissions Monitoring, $250,000 in FY 1998 and FY 1999 for Geological and Natural History Sale, $370,000 in FY 1998 and FY 1999 for Inspectional Maintenance, $180,000 in FY 1998 and FY 1999 for Kellogg Conservation Program, and $211,500 in FY 1998 and $204,500 in FY 1999 miscellaneous.

Council on Environmental Quality
3190

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	2	2	2	2	2	2
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	86,993	92,038	96,776	100,518	96,776	100,518
002	Other Expenses	5,992	6,470	6,470	6,470	6,470	6,470
	Agency Total - General Fund	**92,985**	**98,508**	**103,246**	**106,988**	**103,246**	**106,988**
	Agency Total - Appropriated Funds	**92,985**	**98,508**	**103,246**	**106,988**	**103,246**	**106,988**
	Agency Grand Total	**92,985**	**98,508**	**103,246**	**106,988**	**103,246**	**106,988**
	BUDGET BY PROGRAM						
	Representing Environmentalists' Concerns						
	Permanent Full-Time Positions GF	2	2	2	2	2	2
	General Fund						
	Personal Services	86,993	92,038	96,776	100,518	96,776	100,518
	Other Expenses	5,992	6,470	6,470	6,470	6,470	6,470
	Total - General Fund	**92,985**	**98,508**	**103,246**	**106,988**	**103,246**	**106,988**
	Agency Grand Total	**92,985**	**98,508**	**103,246**	**106,988**	**103,246**	**106,988**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**2**	**98,508**	**2**	**98,508**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	4,738	0	8,480	0	0	0	0
Other Expenses	0	155	0	307	0	0	0	0
Total - General Fund	**0**	**4,893**	**0**	**8,787**	**0**	**0**	**0**	**0**
Eliminate Inflation Increases - (B)								
-(G) Funds are eliminated for inflation increases for other expenses in both FY '98 and FY '99.								
-(L)Same as Governor								
Other Expenses	0	-155	0	-307	0	0	0	0
Total - General Fund	**0**	**-155**	**0**	**-307**	**0**	**0**	**0**	**0**
Budget Totals - GF	**2**	**103,246**	**2**	**106,988**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Citizen complaints	12	40	40	40	40	40	40	40
Environmental Impact evaluations and State Projects reviewed	12	20	20	20	20	20	20	20
Annual and Special Reports	1	4	4	4	4	4	4	4

Connecticut Historical Commission
3400

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	15	15	14	14	14	14
	Others Equated to Full-Time	2	5	3	3	3	3
	Additional Funds Available						
	Permanent Full-Time	5	5	5	5	5	5
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	717,090	752,823	774,044	811,095	774,044	811,095
002	Other Expenses	72,484	88,625	86,165	87,515	86,165	87,515
005	Equipment	0	0	2,000	2,000	2,000	2,000
02X	Other Current Expenses	0	0	0	0	40,000	40,000
	Agency Total - General Fund[1][2]	**789,574**	**841,448**	**862,209**	**900,610**	**902,209**	**940,610**
	Agency Total - Appropriated Funds	**789,574**	**841,448**	**862,209**	**900,610**	**902,209**	**940,610**
	Additional Funds Available						
	Private Contributions	36,071	7,350	73,875	73,875	73,875	73,875
	Federal Contributions	562,397	685,026	653,275	534,275	653,275	534,275
	Agency Grand Total	**1,388,042**	**1,533,824**	**1,589,359**	**1,508,760**	**1,629,359**	**1,548,760**
	BUDGET BY PROGRAM						
	Historic Preservation						
	Permanent Full-Time Positions GF/OF	15/5	15/5	14/5	14/5	14/5	14/5
	General Fund						
	Personal Services	717,090	752,823	787,044	824,095	787,044	824,095
	Other Expenses	72,484	88,625	86,165	87,515	86,165	87,515
	Equipment	0	0	2,000	2,000	2,000	2,000
020	Freedom Trail	0	0	0	0	40,000	40,000
	Total - General Fund	**789,574**	**841,448**	**875,209**	**913,610**	**915,209**	**953,610**
	Federal Contributions						
	Historic Preserv-Grants-in-Aid	562,397	0	534,275	534,275	534,275	534,275
	Highway Use Tax Evasion Tax	0	0	119,000	0	119,000	0
	Federal Contributions	0	685,026	0	0	0	0
	Total - Federal Contributions	**562,397**	**685,026**	**653,275**	**534,275**	**653,275**	**534,275**
	Additional Funds Available						
	Private Contributions	36,071	7,350	73,875	73,875	73,875	73,875
	Total - All Funds	**1,388,042**	**1,533,824**	**1,602,359**	**1,521,760**	**1,642,359**	**1,561,760**
	Less: Turnover - Personal Services - GF	0	0	-13,000	-13,000	-13,000	-13,000
	EQUIPMENT						
005	Equipment	0	0	2,000	2,000	2,000	2,000
	Agency Grand Total	**1,388,042**	**1,533,824**	**1,589,359**	**1,508,760**	**1,629,359**	**1,548,760**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**15**	**892,805**	**15**	**892,805**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	-4,353	0	33,045	0	0	0	0
Other Expenses	0	3,908	0	7,254	0	0	0	0
Equipment	0	24,000	0	20,000	0	0	0	0
Total - General Fund	**0**	**23,555**	**0**	**60,299**	**0**	**0**	**0**	**0**
Eliminate Inflationary Increases - (B)								
-(G) Funds are eliminated for inflation increases for Other Expenses in both FY '98 and FY '99.								
-(L)Same as Governor								
Other Expenses	0	-2,558	0	-4,554	0	0	0	0
Total - General Fund	**0**	**-2,558**	**0**	**-4,554**	**0**	**0**	**0**	**0**
Reduce Funding Due to State Data Center Rate Reductions - (B)								
Many state agencies obtain computer services to support their business functions from the State Data Center, operated by the Department of Administrative Services through the Technical Services Revolving Fund.								
-(G) Due to a reorganization of the operation of the State Data Center, there is a significant reduction in the rates for services charged to state agencies (CATER and BOSS).								
-(L)Same as Governor								
Other Expenses	0	-945	0	-945	0	0	0	0
Total - General Fund	**0**	**-945**	**0**	**-945**	**0**	**0**	**0**	**0**
Fund Equipment Items From the CEPF - (B)								
The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least 3 years. It is financed through the sale of bonds and is administered by the Office of Policy and Management.								
-(G) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds).								
-(L)Same as Governor								
Equipment	0	-22,000	0	-18,000	0	0	0	0
Total - General Fund	**0**	**-22,000**	**0**	**-18,000**	**0**	**0**	**0**	**0**
Reallocate Part of Position - (B)								
-(G) Funds are reallocated from a full-time secretarial position which is vacant but funded. The remaining dollars ($16,000) will be used for additional seasonal positions to keep Old Newgate Prison open 7 days a week.								
-(L)Same as Governor								
Personal Services	-1	-20,872	-1	-21,219	0	0	0	0
Total - General Fund	**-1**	**-20,872**	**-1**	**-21,219**	**0**	**0**	**0**	**0**
Reduce Rents and Storage Funds - (B)								
-(G) Funds are reduced for rents and storage and federal reimbursement for lease expenses will be sought.								
-(L)Same as Governor								
Other Expenses	0	-7,776	0	-7,776	0	0	0	0
Total - General Fund	**0**	**-7,776**	**0**	**-7,776**	**0**	**0**	**0**	**0**
Provide Funds for Freedom Trail - (B)								
Numerous sites in the state are associated with the movement towards freedom of African Americans. Included on the trail are buildings used in the underground railroad, sites associated with the Amistad case, and other monuments, grave sites and homes.								
-(L) Funds are provided to research Freedom Trail sites and continue the program.								
Freedom Trail	0	40,000	0	40,000	0	40,000	0	40,000
Total - General Fund	**0**	**40,000**	**0**	**40,000**	**0**	**40,000**	**0**	**40,000**
Budget Totals - GF	**14**	**902,209**	**14**	**940,610**	**0**	**40,000**	**0**	**40,000**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Resources identified	2,194	1,800	1,800	1,800	1800	1,800	1800	1,800
Resources listed on National Register of Historic Places	964	1,000	1,000	1,000	1,000	1,000	1,000	1,000
Environmental projects reviewed	1,217	1,200	1,200	1,200	1,200	1,200	1,200	1,200
Value Tax Act projects approved ($M)	23	20	20	20	20	20	20	20
Visitors to historic properties	28,391	29,000	29,000	29,000	29,000	29,000	29,000	29,000
Revenues of historic properties ($)	68,036	70,000	70,000	70,000	70,000	70,000	70,000	70,000

1997 BOND AUTHORIZATIONS

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	13(d)	32(c)	Grants-in-aid for the restoration and preservation of historic structures and landmarks	600,000	150,000	150,000

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $129,294 in Personal Services from the early retirement incentive program, and $4,093 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $11,596 for FY '98.

[2] It is estimated that the Historical Commission will generate approximately $70,000 in FY 1998 and FY 1999 primarily from admission fees and the sale of merchandise and taxes from its historic sites. As of 10/1/96, these funds are now credited to the Commission to replenish souvenir stock per PA 95-192.

Department of Economic and Community Development
3500

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	92	94	94	94	90	90
	Others Equated to Full-Time	2	14	21	21	21	21
	Additional Funds Available						
	Permanent Full-Time	106	106	95	87	95	87
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	4,366,902	4,538,670	5,030,413	5,293,688	4,830,413	5,093,688
002	Other Expenses	3,036,430	3,126,020	3,707,532	3,692,172	3,177,532	3,162,172
02X	Other Current Expenses	405,566	170,000	80,000	80,000	250,000	250,000
6XX	Grant Payments - Other Than Towns	2,830,141	3,117,988	5,007,952	5,108,289	5,167,952	5,168,289
7XX	Grant Payments - To Towns	6,005,712	6,418,206	5,491,307	5,329,543	5,143,276	5,143,276
	Agency Total - General Fund[1]	**16,644,751**	**17,370,884**	**19,317,204**	**19,503,692**	**18,569,173**	**18,817,425**
	Agency Total - Appropriated Funds	**16,644,751**	**17,370,884**	**19,317,204**	**19,503,692**	**18,569,173**	**18,817,425**
	Additional Funds Available						
	Special Funds, Non-Appropriated	5,909,052	255,031	8,709,050	8,685,865	8,709,050	8,685,865
	Bond Funds	900,548	9,055,205	81,450	86,235	81,450	86,235
	Private Contributions[2]	4,428,015	1,288,925	5,395,585	5,396,165	5,395,585	5,396,165
	Federal Contributions	37,779,329	49,129,238	42,319,628	38,785,792	42,319,628	38,785,792
	Agency Grand Total	**65,661,695**	**77,099,283**	**75,822,917**	**72,457,749**	**75,074,886**	**71,771,482**
	BUDGET BY PROGRAM						
	Urban Revitalization & Investment						
	Permanent Full-Time Positions GF/OF	8/7	12/8	12/8	12/8	12/8	12/8
	General Fund						
	Personal Services	0	378,348	582,193	620,175	582,193	620,175
	Other Expenses	13,114	32,000	32,768	32,768	32,768	32,768
026	Economic Information Systems	0	0	0	0	0	0
028	CT Science Park	70,000	70,000	0	0	70,000	70,000
	Grant Payments - Other Than Towns						
	Small Business Development Centers	0	5,000	0	0	0	0
	Entrepreneurial Centers	50,000	215,000	215,000	215,000	215,000	215,000
	Housing Assistance and Counseling Program	75,000	75,000	75,000	75,000	75,000	75,000
	Total - General Fund	**208,114**	**775,348**	**904,961**	**942,943**	**974,961**	**1,012,943**
	Additional Funds Available						
	Special Funds, Non-Appropriated	36	0	576,185	609,330	576,185	609,330
	Bond Funds	0	627,290	0	0	0	0
	Private Contributions	69,552	564,425	571,085	571,665	571,085	571,665
	Total - Additional Funds Available	**69,588**	**1,191,715**	**1,147,270**	**1,180,995**	**1,147,270**	**1,180,995**
	Total - All Funds	**277,702**	**1,967,063**	**2,052,231**	**2,123,938**	**2,122,231**	**2,193,938**
	Business & Housing Development						
	Permanent Full-Time Positions GF/OF	14/28	19/26	19/25	19/23	17/25	17/23
	General Fund						
	Personal Services	1,141,263	879,626	1,034,375	1,099,694	954,375	1,019,694
	Other Expenses	84,647	97,000	848,488	848,488	348,488	348,488
013	UConn Educational Properties Inc.	237,500	0	0	0	0	0
	Grant Payments - Other Than Towns						
	Independent Living Handicapped Persons	56,250	56,250	56,250	56,250	56,250	56,250

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Grant Payments - To Towns						
	Connecticut Housing Partnership	464,134	1,185,182	941,684	779,920	0	0
	Total - General Fund	**1,983,794**	**2,218,058**	**2,880,797**	**2,784,352**	**1,359,113**	**1,424,432**
	Federal Contributions						
	Public Works and Development Facility	1,172,389	0	0	0	0	0
	Community Economic Adjustment Plan Assist	93,750	0	0	0	0	0
	Comm Dev/Spec Purpos/Tech Assist	29,203	163,870	0	0	0	0
	Community Development/State's	17,445,094	15,000,000	15,204,200	15,225,570	15,204,200	15,225,570
	Home Program	43,642	44,525	45,420	46,330	45,420	46,330
	Lower Income Hsg Sec 8 Moderate	1,465,068	1,682,357	1,652,903	1,595,214	1,652,903	1,595,214
	Section 8 Housing Certificate Program	546	0	0	0	0	0
	Lead-Based Paint Hazard Control	110,824	120,160	135,300	143,315	135,300	143,315
	State Indoor Radon Grants	3,993	0	0	0	0	0
	Total - Federal Contributions	**20,364,509**	**17,010,912**	**17,037,823**	**17,010,429**	**17,037,823**	**17,010,429**
	Additional Funds Available						
	Special Funds, Non-Appropriated	1,642,395	0	1,053,200	1,023,230	1,053,200	1,023,230
	Bond Funds	343,659	1,241,490	0	0	0	0
	Total - Additional Funds Available	**1,986,054**	**1,241,490**	**1,053,200**	**1,023,230**	**1,053,200**	**1,023,230**
	Total - All Funds	**24,334,357**	**20,470,460**	**20,971,820**	**20,818,011**	**19,450,136**	**19,458,091**
	Industry Cluster & International Div.						
	Permanent Full-Time Positions GF/OF	26/1	17/1	17/1	17/1	17/1	17/1
	General Fund						
	Personal Services	761,142	864,571	949,266	999,827	949,266	999,827
	Other Expenses	1,051,449	513,553	531,777	531,777	531,777	531,777
022	Freedom Trail	0	0	0	0	100,000	100,000
	Grant Payments - Other Than Towns						
	Promotion of Connecticut Business and Tourism Attractions	100,000	0	0	0	0	0
	Total - General Fund	**1,912,591**	**1,378,124**	**1,481,043**	**1,531,604**	**1,581,043**	**1,631,604**
	Federal Contributions						
	Highway Use Tax Evasion Tax	112,000	112,000	0	0	0	0
	Additional Funds Available						
	Special Funds, Non-Appropriated	0	255,031	380,010	405,300	380,010	405,300
	Bond Funds	0	462,450	0	0	0	0
	Private Contributions	4,257,365	34,500	4,134,500	4,134,500	4,134,500	4,134,500
	Total - Additional Funds Available	**4,257,365**	**751,981**	**4,514,510**	**4,539,800**	**4,514,510**	**4,539,800**
	Total - All Funds	**6,281,956**	**2,242,105**	**5,995,553**	**6,071,404**	**6,095,553**	**6,171,404**
	Infrastructure & Real Estate						
	Permanent Full-Time Positions GF/OF	1/16	1/17	1/15	1/14	1/15	1/14
	General Fund						
	Personal Services	242,350	70,645	71,896	71,896	71,896	71,896
	Other Expenses	5,459	4,000	4,095	4,095	4,095	4,095
	Total - General Fund	**247,809**	**74,645**	**75,991**	**75,991**	**75,991**	**75,991**
	Additional Funds Available						
	Special Funds, Non-Appropriated	24,426	0	1,156,190	1,180,760	1,156,190	1,180,760
	Bond Funds	0	1,091,965	0	0	0	0
	Private Contributions	101,098	690,000	690,000	690,000	690,000	690,000
	Total - Additional Funds Available	**125,524**	**1,781,965**	**1,846,190**	**1,870,760**	**1,846,190**	**1,870,760**
	Total - All Funds	**373,333**	**1,856,610**	**1,922,181**	**1,946,751**	**1,922,181**	**1,946,751**
	Finance and Administration						
	Permanent Full-Time Positions GF/OF	12/19	12/19	12/16	12/14	11/16	11/14
	General Fund						
	Personal Services	415,735	559,346	605,627	626,233	545,627	566,233
	Other Expenses	1,619,618	1,856,467	1,748,581	1,733,221	1,718,581	1,703,221
	Grant Payments - Other Than Towns						
	Institute for Community and Regional Development	100,000	100,000	0	0	60,000	60,000
	Total - General Fund	**2,135,353**	**2,515,813**	**2,354,208**	**2,359,454**	**2,324,208**	**2,329,454**
	Federal Contributions						
	Public Works and Development Facility	0	6,475,000	0	0	0	0
	Lower Income Hsg Sec 8 Substant	10,975,605	11,736,978	12,177,145	12,612,308	12,177,145	12,612,308
	Community Development/State's	87,858	62,075	69,900	74,050	69,900	74,050
	Home Program	10,784	36,611	11,220	11,445	11,220	11,445
	Section 8 Housing Vouchers	394	0	0	0	0	0
	Lower Income Hsg Sec 8 Moderate	10,284	0	0	0	0	0
	Lead-Based Paint Hazard Control	256,459	4,771,122	4,000,000	0	4,000,000	0
	Total - Federal Contributions	**11,341,384**	**23,081,786**	**16,258,265**	**12,697,803**	**16,258,265**	**12,697,803**
	Additional Funds Available						
	Special Funds, Non-Appropriated	1,544,938	0	2,909,650	2,893,100	2,909,650	2,893,100
	Bond Funds	137,884	2,878,055	0	0	0	0
	Total - Additional Funds Available	**1,682,822**	**2,878,055**	**2,909,650**	**2,893,100**	**2,909,650**	**2,893,100**
	Total - All Funds	**15,159,559**	**28,475,654**	**21,522,123**	**17,950,357**	**21,492,123**	**17,920,357**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Program Planning and Evaluation						
	Permanent Full-Time Positions GF/OF	16/1	16/1	16/1	16/1	16/1	16/1
	General Fund						
	Personal Services	1,036,256	762,432	818,910	869,260	818,910	869,260
	Other Expenses	197,438	164,000	167,936	167,936	167,936	167,936
025	CT Plan Research	12,320	0	0	0	0	0
026	Economic Information Systems	85,746	100,000	80,000	80,000	80,000	80,000
	Total - General Fund	**1,331,760**	**1,026,432**	**1,066,846**	**1,117,196**	**1,066,846**	**1,117,196**
	Federal Contributions						
	Community Development/State's	1,000	0	0	0	0	0
	Home Program	48,120	49,085	50,070	51,070	50,070	51,070
	Total - Federal Contributions	**49,120**	**49,085**	**50,070**	**51,070**	**50,070**	**51,070**
	Additional Funds Available						
	Special Funds, Non-Appropriated	297,685	0	152,365	162,085	152,365	162,085
	Bond Funds	389,863	138,025	0	0	0	0
	Total - Additional Funds Available	**687,548**	**138,025**	**152,365**	**162,085**	**152,365**	**162,085**
	Total - All Funds	**2,068,428**	**1,213,542**	**1,269,281**	**1,330,351**	**1,269,281**	**1,330,351**
	External Affairs						
	Permanent Full-Time Positions GF/OF	3/1	4/1	4/1	4/1	4/1	4/1
	General Fund						
	Personal Services	0	314,471	323,571	337,932	323,571	337,932
	Other Expenses	0	35,000	33,919	33,919	33,919	33,919
	Total - General Fund	**0**	**349,471**	**357,490**	**371,851**	**357,490**	**371,851**
	Additional Funds Available						
	Special Funds, Non-Appropriated	0	0	273,125	279,465	273,125	279,465
	Bond Funds	0	263,775	0	0	0	0
	Total - Additional Funds Available	**0**	**263,775**	**273,125**	**279,465**	**273,125**	**279,465**
	Total - All Funds	**0**	**613,246**	**630,615**	**651,316**	**630,615**	**651,316**
	Audit and Asset Management						
	Permanent Full-Time Positions /OF	/33	/33	/28	/25	/28	/25
	General Fund						
	Personal Services	7,589	0	0	0	0	0
	Other Expenses	1,171	0	0	0	0	0
	Grant Payments - Other Than Towns						
	Congregate Facilities Operation Costs	2,448,891	2,666,738	3,719,153	3,819,490	3,819,153	3,819,490
	Elderly Congregate Rent Subsidy	0	0	942,549	942,549	942,549	942,549
	Grant Payments - To Towns						
	Tax Abatement	2,641,578	2,588,120	2,243,276	2,243,276	2,243,276	2,243,276
	Payment in Lieu of Taxes	2,900,000	2,644,904	2,306,347	2,306,347	2,900,000	2,900,000
	Total - General Fund	**7,999,229**	**7,899,762**	**9,211,325**	**9,311,662**	**9,904,978**	**9,905,315**
	Federal Contributions						
	Lower Income Hsg Sec 8 Substant	366,090	269,330	302,360	319,865	302,360	319,865
	Comm Dev/Spec Purpos/Tech Assist	0	330,935	0	0	0	0
	Community Development/State's	63,563	0	372,890	395,125	372,890	395,125
	Supportive Housing Program	574,463	0	0	0	0	0
	Home Program	4,750,155	8,099,830	8,101,830	8,103,870	8,101,830	8,103,870
	Lower Income Hsg Sec 8 Moderate	144,590	166,700	187,555	198,615	187,555	198,615
	Total - Federal Contributions	**5,898,861**	**8,866,795**	**8,964,635**	**9,017,475**	**8,964,635**	**9,017,475**
	Additional Funds Available						
	Special Funds, Non-Appropriated	2,372,950	0	2,158,325	2,082,595	2,158,325	2,082,595
	Bond Funds	0	2,027,765	0	0	0	0
	Total - Additional Funds Available	**2,372,950**	**2,027,765**	**2,158,325**	**2,082,595**	**2,158,325**	**2,082,595**
	Total - All Funds	**16,271,040**	**18,794,322**	**20,334,285**	**20,411,732**	**21,027,938**	**21,005,385**
	Human Resources						
	Permanent Full-Time Positions GF	7	8	8	8	7	7
	General Fund						
	Personal Services	301,574	374,249	419,672	439,638	359,672	379,638
	Other Expenses	20,841	332,000	339,968	339,968	339,968	339,968
	Total - General Fund	**322,415**	**706,249**	**759,640**	**779,606**	**699,640**	**719,606**
	Federal Contributions						
	Comm Dev/Spec Purpos/Tech Assist	2,732	0	0	0	0	0
	Community Development/State's	2,242	0	0	0	0	0
	Home Program	6,628	6,760	6,895	7,035	6,895	7,035
	Total - Federal Contributions	**11,602**	**6,760**	**6,895**	**7,035**	**6,895**	**7,035**
	Additional Funds Available						
	Special Funds, Non-Appropriated	26,622	0	50,000	50,000	50,000	50,000
	Bond Funds	0	250,000	0	0	0	0
	Total - Additional Funds Available	**26,622**	**250,000**	**50,000**	**50,000**	**50,000**	**50,000**
	Total - All Funds	**360,639**	**963,009**	**816,535**	**836,641**	**756,535**	**776,641**
	Commissioner's Office						
	Permanent Full-Time Positions GF	5	5	5	5	5	5
	General Fund						
	Personal Services	460,993	334,982	375,346	379,476	375,346	379,476
	Other Expenses	42,693	92,000	0	0	0	0
	Total - General Fund	**503,686**	**426,982**	**375,346**	**379,476**	**375,346**	**379,476**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Federal Contributions						
	Home Program	1,853	1,900	1,940	1,980	1,940	1,980
	Additional Funds Available						
	Bond Funds	29,142	74,390	81,450	86,235	81,450	86,235
	Total - All Funds	**534,681**	**503,272**	**458,736**	**467,691**	**458,736**	**467,691**
	Personal Services Savings						
	General Fund						
	Personal Services	0	0	-50,143	-50,143	-50,143	-50,143
	Less: Turnover - Personal Services - GF	0	0	-100,300	-100,300	-100,300	-100,300
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
601	Small Business Development Centers	0	5,000	0	0	0	0
602	Entrepreneurial Centers	50,000	215,000	215,000	215,000	215,000	215,000
604	Promotion of Connecticut Business and Tourism Attractions	100,000	0	0	0	0	0
606	Institute for Community and Regional Development	100,000	100,000	0	0	60,000	60,000
607	Independent Living Handicapped Persons	56,250	56,250	56,250	56,250	56,250	56,250
608	Congregate Facilities Operation Costs	2,448,891	2,666,738	3,719,153	3,819,490	3,819,153	3,819,490
609	Housing Assistance and Counseling Program	75,000	75,000	75,000	75,000	75,000	75,000
610	Elderly Congregate Rent Subsidy	0	0	942,549	942,549	942,549	942,549
	GRANT PAYMENTS - TO TOWNS (Recap)						
702	Tax Abatement	2,641,578	2,588,120	2,243,276	2,243,276	2,243,276	2,243,276
703	Payment in Lieu of Taxes	2,900,000	2,644,904	2,306,347	2,306,347	2,900,000	2,900,000
704	Connecticut Housing Partnership	464,134	1,185,182	941,684	779,920	0	0
	Agency Grand Total	**65,661,695**	**77,099,283**	**75,822,917**	**72,457,749**	**75,074,886**	**71,771,482**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**96**	**18,211,309**	**96**	**18,211,309**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	570,461	0	833,736	0	0	0	0
Other Expenses	0	-15,197	0	20,275	0	0	0	0
Grant Payments - Other Than Towns	0	75,666	0	205,936	0	0	0	0
Total - General Fund	**0**	**630,930**	**0**	**1,059,947**	**0**	**0**	**0**	**0**
Current Services Personnel Reduction - (B)								
-(G) Funds are removed for a turnover adjustment and 2 unfunded positions.								
-(L)Same as Governor								
Personal Services	-2	-70,300	-2	-70,300	0	0	0	0
Total - General Fund	**-2**	**-70,300**	**-2**	**-70,300**	**0**	**0**	**0**	**0**
Eliminate Funds for University of Connecticut Educational Properties, Inc. (UCEPI) - (B)								
The University of Connecticut Educational Properties, Inc. is a university-affiliated technology park located on the University campus at Storrs. It was anticipated to include 1.25 million square feet dedicated to research and development and light industrial uses, a hotel/conference center and housing for graduate students and junior facility. State funds provide the majority of operating expenses.								
-(G) Funds are eliminated due to the numerous problems with this project.								
-(L)Same as Governor								
UConn Educational Properties Inc.	0	-325,000	0	-325,000	0	0	0	0
Total - General Fund	**0**	**-325,000**	**0**	**-325,000**	**0**	**0**	**0**	**0**

Reduce Funds for Connecticut Housing Partnership Program - (B)
Under the Housing Partnership Program, a town aid road grant is awarded to communities which have formed a local

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
housing partnership and prepared a detailed plan for the future development and preservation of affordable housing within the community. -(G) Based on historical performance, due to construction delays, local project concerns (funding opposition to project, failure to obtain local approval, etc.), funds are reduced. -(L) Due to the historical performance of the program, and the continued reduction in capital resources (Bond Funds) available for housing production, funding for the Connecticut Housing Partnership Program is eliminated. $593,653 is transferred to the Payment-In-Lieu-Of-Taxes Grant in both years of the biennium.								
Connecticut Housing Partnership	0	-1,234,802	0	-1,234,802	0	-941,684	0	-779,920
Total - General Fund	**0**	**-1,234,802**	**0**	**-1,234,802**	**0**	**-941,684**	**0**	**-779,920**
Reduce Funding Due to State Data Center Rate Reductions - (B) Many state agencies obtain computer services to support their business functions for the State Data Center, operated by the Department of Administrative Services through the Technical Services Revolving Fund. -(G) Due to a reorganization of the operation of the State Data Center, there is a significant reduction in the rates for services charged to state agencies (CATER and BOSS). -(L)Same as Governor								
Other Expenses	0	-10,886	0	-10,886	0	0	0	0
Total - General Fund	**0**	**-10,886**	**0**	**-10,886**	**0**	**0**	**0**	**0**
Institute for Community and Regional Development - (B) DECD has a memorandum of agreement with Central Connecticut State University to transfer funds for the administrative expenses for the Institute which serves as the coordinating arm of University Centers, institutes and programs involved in economic development, social research and education. -(G) Funds are eliminated for this program. The money is used to cover the administrative expenses of the Institute as follows: Associate V.P., $87,524; travel/misc., $2,476; and rent, $10,000. These expenses will be assumed by the Institute. -(L) Partial funds ($60,000) are provided to continue this program. The Connecticut State University will provide a $40,000 match.								
Institute for Community and Regional Development	0	-40,000	0	-40,000	0	60,000	0	60,000
Total - General Fund	**0**	**-40,000**	**0**	**-40,000**	**0**	**60,000**	**0**	**60,000**
Eliminate Rent and Utilities for Rocky Hill Office - (B) The Department is comprised of the old Department of Economic Development and the Department of Housing. Each agency previously resided at different locations. Due to the merger, the DECD's main operations will be housed at Hudson Street in Hartford. Although the Department plans to transfer some staff to field offices, it is anticipated that there will be no additional costs. -(G) Reduce funds for rent and utilities since the Department plans on vacating this space. -(L)Same as Governor								
Other Expenses	0	-234,349	0	-274,575	0	0	0	0
Total - General Fund	**0**	**-234,349**	**0**	**-274,575**	**0**	**0**	**0**	**0**
General Personal Services Reduction - (B) -(G) Funds are reduced for Personal Services. -(L)Same as Governor								
Personal Services	0	-50,143	0	-50,143	0	0	0	0
Total - General Fund	**0**	**-50,143**	**0**	**-50,143**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Connecticut Economic Resource Center Inc. (CERC) - (B)								
The Center provides assistance to businesses seeking information and assistance. The majority of funds are provided through the utility companies. CERC is a private, non-profit organization.								
-(G) Funds are provided for the Connecticut Economic Resource Center. It is anticipated that these funds will be used to support an out-of-state/in-state promotional campaign.								
-(L) Funds are reduced for the expanded marketing program.								
Other Expenses	0	250,000	0	250,000	0	-500,000	0	-500,000
Total - General Fund	**0**	**250,000**	**0**	**250,000**	**0**	**-500,000**	**0**	**-500,000**
Eliminate Funds for Small Business Development Centers - (B)								
The grants provide reimbursement for services which help small firms access financing.								
-(G) Funds for the grant program are eliminated. These funds have lapsed over the past few years.								
-(L)Same as Governor								
Small Business Development Centers	0	-5,000	0	-5,000	0	0	0	0
Total - General Fund	**0**	**-5,000**	**0**	**-5,000**	**0**	**0**	**0**	**0**
Reduce Funding for Connecticut Economic Information Systems (CEIS) - (B)								
PA 92-4 established the Connecticut Economic Information System (CEIS) at the Department of Economic Development. This computer system brings together in a single location a wide array of economic and demographic information on the state. It is intended to provide comprehensive and timely data adequate to meet the needs of sound policy development, program planning, economic research, economic development and private investment.								
-(G) Funds are reduced for CEIS. The loss of $20,000 could have a significant effect on the continued development and operation of the state's occupational supply/demand system.								
-(L)Same as Governor								
Economic Information Systems	0	-20,000	0	-20,000	0	0	0	0
Total - General Fund	**0**	**-20,000**	**0**	**-20,000**	**0**	**0**	**0**	**0**
Eliminate Grant to Science Park - (B)								
Connecticut Science Park is a high tech industrial park in New Haven.								
-(G) Funds are eliminated for state administrative support of Connecticut Science Park at Yale.								
-(L) Funds in the amount of $70,000 are restored in each year of the biennium to continue State administrative support of Connecticut Science Park at Yale.								
CT Science Park	0	0	0	0	0	70,000	0	70,000
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**70,000**	**0**	**70,000**
Reduce PILOT Grant - (B)								
The Payment In Lieu of Taxes (PILOT) program provides grants to municipalities in which state-assisted low to moderate income housing projects are operated by Housing Authorities. The participating municipalities use this source of funds to offset the cost of municipal services provided to the projects' tenants. The housing authorities may use the retained funds - those set aside to pay the taxes on the property- for social services to occupants, the operating costs or reserves of the property, or the maintenance or improvements of the physical quality of the property.								
-(G) Funds are reduced for the PILOT grant. Twenty towns will receive above the minimum Local Housing Authority payment. Two towns, however, could refuse the PILOT payment and force the housing authority to pay out the funds set aside for payment of the taxes on the property in accordance with the Cooperation Agreement. This event, were it to occur, would have a direct impact on the tenant rents in those two towns.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L) Funds in the amount of $593,653 are provided. It is anticipated that each of the twenty-two participating municipalities will receive P.I.L.O.T. payments that are equal to the amount that they received in FY '95-96. The funding level for the program will be $2.9 million. The estimated payment by municipality is as follows:								

TOWN	1997-98 Estimated Payment	1998-99 Estimated Payment
Bristol	$ 102,459	$ 102,459
Danbury	133,419	133,419
Darien	52,637	52,637
East Hartford	37,208	37,208
Enfield	78,513	78,513
Greenwich	98,961	98,961
Hartford	545,284	545,284
Mansfield	23,401	23,401
Meriden	99,531	99,531
Middletown	83,671	83,671
New Britain	340,247	340,247
New Canaan	6,619	6,619
New London	134,187	134,187
Norwich	213,089	213,089
Ridgefield	23,796	23,796
Seymour	42,268	42,268
Sharon	21,797	21,797
Stamford	675,764	675,764
Stratford	55,906	55,906
Westport	49,222	49,222
Wethersfield	14,199	14,199
Willimantic	67,822	67,822
TOTAL	$2,900,000	$2,900,000

Payment in Lieu of Taxes	0	255,096	0	255,096	0	593,653	0	593,653
Total - General Fund	**0**	**255,096**	**0**	**255,096**	**0**	**593,653**	**0**	**593,653**

Reduce Tax Abatement Grant - (B)
The Tax Abatement program was established to help ensure the financial feasibility of privately-owned nonprofit and limited dividend low and moderate income housing projects by providing reimbursement for taxes abated up to $450 per unit per year for up to 40 years. The abatement of taxes enables the owners to maintain the rents at an affordable level for the tenants.
-(G) Funds are reduced for this program. This reduction will result in one town not receiving any funds and the 14 remaining towns will receive a reduced grant of 10% per unit. The contracts allow for reduced payment based on the availability of appropriations.
-(L)Same as Governor

Tax Abatement	0	-344,844	0	-344,844	0	0	0	0
Total - General Fund	**0**	**-344,844**	**0**	**-344,844**	**0**	**0**	**0**	**0**

Transfer Elderly Rental Assistance Program - (B)
The Rental Assistance Program provides rental assistance to low income families living in private rental housing, and to elderly residents of state assisted elderly housing paying in excess of 30% of their monthly income towards rent and utilities.
-(G) Funds are transferred from the Department of Social Services for the rent program to consolidate the rent subsidy and the congregate operating subsidy under one agency. PA 97-2, JSS, "An Act Concerning Welfare Reform and Expenditures of the Department of Social Services," would implement this change.
-(L)Same as Governor

Elderly Congregate Rent Subsidy	0	942,549	0	942,549	0	0	0	0
Total - General Fund	**0**	**942,549**	**0**	**942,549**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Increase Congregate Facilities Operating Cost - (B)								
The Congregate Operating Subsidy program provides financial assistance for social and supplementary services at congregate housing facilities for the elderly constructed with the assistance of the Department.								
-(G) Funds are increased for congregate facilities.								
-(L) Funds in the amount of $100,000 are provided to establish a pilot program in an existing congregate facility located in Norwich. The pilot program would provide assisted living services for the frail elderly. PA 97-2, JSS, "An Act Concerning Welfare Reform and Expenditures of the Department of Social Services", would implement the pilot program. The funding level for congregate facilities operating cost is the same as recommended by the Governor.								
Congregate Facilities Operation Costs	0	825,879	0	695,946	0	100,000	0	0
Total - General Fund	**0**	**825,879**	**0**	**695,946**	**0**	**100,000**	**0**	**0**
Reduce Other Expenses - (B)								
-(G) Additional reductions are taken to effect economies.								
-(L)Same as Governor								
Other Expenses	0	-81,266	0	-91,872	0	0	0	0
Total - General Fund	**0**	**-81,266**	**0**	**-91,872**	**0**	**0**	**0**	**0**
Reduce Number of Durational Project Managers - (B)								
-(L) Funds are eliminated for four durational project managers. Staff exists within the agency to handle these duties.								
Personal Services	-4	-200,000	-4	-200,000	-4	-200,000	-4	-200,000
Total - General Fund	**-4**	**-200,000**	**-4**	**-200,000**	**-4**	**-200,000**	**-4**	**-200,000**
Remove Funds for Maintenance - (B)								
The Department currently maintains and operates the Connecticut (CT) Building at the "Big E."								
-(L) Funds are transferred for maintenance costs of the CT Building at the Eastern States Exposition to the Department of Public Works. PA 97-11, JSS, "An Act Concerning Computerized Information Sharing, the Mashantucket and Mohegan Fund, Early Retirement, School Construction, State Buildings, Nursing Homes, Executive and Legislative Councils, Commissions and Task Forces, Appropriations for the Fiscal Years Ending June 30, 1997, 1998 and 1999, Spring and Well Water Collection, Project Concern, Notice Requirements for Psychiatric Admissions, the Tax on Net Subscriber Charges of Health Care Centers, Elimination of Certain Wage Inequities, Sheriffs' Fees and Expenses of the Connecticut Siting Council" implements this transfer.								
Other Expenses	0	-30,000	0	-30,000	0	-30,000	0	-30,000
Total - General Fund	**0**	**-30,000**	**0**	**-30,000**	**0**	**-30,000**	**0**	**-30,000**
Provide Funds for Freedom Trail - (B)								
Numerous sites in the state are associated with the movement towards freedom of African Americans. Included on the trail are buildings used in the underground railroad, sites associated with the Amistad case and other monuments, gravesites and homes.								
-(L) Funds are provided in both FY '98 and FY '99 to publicize and promote the Freedom Trail.								
Freedom Trail	0	100,000	0	100,000	0	100,000	0	100,000
Total - General Fund	**0**	**100,000**	**0**	**100,000**	**0**	**100,000**	**0**	**100,000**
Budget Totals - GF	**90**	**18,569,173**	**90**	**18,817,425**	**-4**	**-748,031**	**-4**	**-686,267**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Housing Units Created/Rehabilitated($M)	98/3.9	317/20.3	160/10	160/10	160/10	160/10	160/10	160/10
Housing Related Activities	11	11	11	11	11	11	11	11
Companies Assisted	200	200	200	200	200	200	200	200
Housing Units Created/Rehabilitated($M)	115/13.1	261/24.6	160/10	160/10	160/10	160/10	160/10	160/10
Housing Related Activities	4	9	15	15	15	15	15	15
Companies assisted	100	100	100	100	100	100	100	100
Expand international capability & business office	0	250	250	250	250	250	250	250
Real Estate Projects initiated	15	15	15	15	15	15	15	15
Estimated Private funding with Real Estate Projects($M)	280	300	300	300	300	300	300	300
Billings/collected($M)	23.3/21.1	24.3/24.2	24.6/23.8	23.9/23.1	24.6/23.8	23.9/23.1	24.6/23.8	23.9/23.1
Invoices processed/paid($M)	5786/191	6075/200	6378/210	6696/221	6378/210	6696/221	6378/210	6696/221
Programs/Activities/Projects evaluated	N/A	N/A	4	4	4	4	4	4
Publications produced/total copies(000)	20/500	20/500	20/500	20/500	20/500	20/500	20/500	20/500
Program Periods Audited	421.2	387.5	232.5	279	232.5	279	232.5	279
Dollars Audited($M)/Refund to the State($M)	136.9/0.408	126/0.375	75.6/0.225	90.7/0.27	75.6/0.225	90.7/0.27	75.6/0.225	90.7/0.27
Housing Units Monitored/Reviewed	18500/5000	18500/4000	19000/2000	19500/1000	19000/2000	19500/1000	19000/2000	19500/1000

OTHER SIGNIFICANT 1997 LEGISLATION AFFECTING THE AGENCY'S BUDGET

PA 97-211, "An Act Concerning Transfer of Real Property Under the Manufacturing Assistance Act and Participation By the Department of Economic and Community Development in Certain Loans." - This act allows the Department of Economic and Community Development (DECD) to acquire and transfer municipal and privately owned real property under the Manufacturing Assistance Act (MAA), and is not anticipated to have a net fiscal impact to the agency. The owners or leasee must pay the property taxes and the Commissioner is immune from hability for remediation.

In addition, the act allows DECD to participate in Connecticut Development Authority (CDA) loans by purchasing a portion of the loans with MAA funds (bond funds). This is anticipated to streamline current procedures (such as eliminating a need for 2 closings for one project) and potentially create a more efficient use of resources. Currently, DECD uses MAA funds in tandem with CDA funds for various business loans and this change is not anticipated to result in the need for additional funds.

PA 97-297 "An Act Concerning The Insurance Reinvestment Fund." - This act transfers the responsibility for the insurance reinvestment fund program to the Department of Economic and Community Development. Administrative costs associated with the program and not budgeted for ($50,000), but the actual amount would depend on the number of participants in the program. The act limits the tax credits to $15 million of investments in any single insurance business unless a fund manager applies to the Commissioner of DECD. The Commissioner must evaluate the benefits of the application and make recommendations to the General Assembly if he determines that it is of economic benefit to the state.

The Commissioner of Economic and Community Development, in consultation with the Connecticut Development Authority and Connecticut Innovations, Inc., must study and report the benefits to the economy of Connecticut from expanding the tax credit program not later than January 1, 1998.

PA 97-11, JSS "An Act Concerning Computerized Information Sharing, the Mashantucket and Mohegan Fund, Early Retirement, School Construction, State Buildings, Nursing Homes, Executive and Legislative Councils, Commissions, and Task Forces, Appropriations for the Fiscal years Ending June 30, 1997, 1998 and 1999, Spring and Well Water Collection, Project Concern, Notice Requirements for Psychiatric Admissions, the Tax on Net Subscriber Charges of Health Care Centers, Elimination of Certain Wage Inequities, Sheriffs' Fees and Expenses of the Connecticut Siting Council" - Provisions of this act establish a Connecticut Eastern States Exposition account which shall be a separate, non-lapsing account to the General Fund. DECD already has a separate Eastern States account. The act codifies that account and enables the account to retain investment earnings. The account is to be used for DECD activities at the Big "E" and administrative costs. In addition, a provision of the act redirects (to eliminate a General Fund revenue loss) $150,000 allocated in FY '98 to the Connecticut Film, Video and Media Office, pursuant to PA 97-315 from any increase in receipts of the tax on hotel/motel gross receipts, to any increase in the amount segregated under section 32-305 of the General Statutes. The increase to the eleven tourism districts and entities over FY '97 will be reduced for this purpose. The funds will be used for the administrative operation of the Office. In addition, PA 97-238, "An Act Concerning Economic Clusters" creates an account for the Office to receive any funds resulting from its activities. The Connecticut Film, Video and Media Office is within DECD.

1997 BOND AUTHORIZATIONS

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	9	28	Housing development and rehabilitation, including moderate cost housing, moderate rental, congregate and elderly housing, urban homesteading, community housing development corporations, housing purchase and rehabilitation (no more than $10 million of the total), housing for the homeless, housing for low income persons, limited equity cooperatives and mutual housing projects, removal of hazardous materials including asbestos and lead-based paint in residential structures (no more than $2,500,000 of the total), emergency repair assistance for senior citizens,	665,900,000	18,000,000	15,000,000

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			housing land bank and land trust, housing and community development, predevelopment grants and loans, reimbursement for state and federal surplus property, private rental investment mortgage and equity program, housing infrastructure, septic system repair loan program, acquisition and related rehabilitation and participation in federal programs, including administrative expenses those programs eligible under the general statutes			
PA 97-1 (J5 SS)	2(b)(1)	2(b)(1)	Economic and community development projects	58,500,000	4,400,000	4,400,000
PA 97-1 (J5 SS)	18	18	Economic Assistance Revolving Loan Fund	302,500,000	17,800,000	5,000,000
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	112	-	Grant-in-aid to the Town of East Hartford for development of Bicentennial Square Park, a veterans memorial, and other economic development projects (SA 90-34 23(e)(4))	600,000	(50,000)	-
SA 97-1 (J5 SS)	113	-	Grant-in-aid to the town and city of New Haven for improvement and expansion of the Long Wharf Visitors and Information Center (SA 90-34 23(e)(15))	100,000	(100,000)	-
SA 97-1 (J5 SS)	114	-	Grant-in-aid to the town and city of Hartford for land acquisition and development of a Maria Sanchez Memorial (SA 90-34 23(e)(19))	50,000	(50,000)	-
SA 97-1 (J5 SS)	115	-	Grant-in-aid to the town and city of Waterbury for land acquisition and construction of public parking and related infrastructure improvements at North Square (SA 90-34 23(e)(26))	650,000	(650,000)	-
SA 97-1 (J5 SS)	116	-	Grant-in-aid to the town and city of New Haven for vocational training for project More (SA 90-34 23(e)(32))	400,000	(400,000)	-
SA 97-1 (J5 SS)	148	-	Grant-in-aid for UCEP Inc. for development of a building for the research park (SA 93-2 JSS 22(c)(3))	8,900,000	(8,554,662)	-
SA 97-1 (J5 SS)	168	-	Housing development and rehabilitation, including moderate cost housing , moderate rental, congregate and elderly housing, urban homesteading, community housing development corporations, housing purchase and rehabilitation, housing for the homeless, housing for low income persons, limited equity cooperatives and mutual housing projects, removal of hazardous materials including asbestos and lead-based paint in residential structures (at least $5 million of the total for grants and loans, including technical assistance, for a lead-based paint abatement program, including acquisition and related costs of lead-free housing), emergency repair assistance for senior citizens, housing land bank and land trust, housing and community development, predevelopment grants and loans, reimbursement for state and federal surplus property, private rental investment mortgage and equity program, construction, acquisition and related rehabilitation, funding under various programs with respect to projects of the Corporation for Supportive Housing (at least $10 million of the total), funding under various federal programs, together with administrative expenses of the Department of Economic and Community Development associated with those programs that are eligible under the general statutes (SA 93-2 JSS 37)	36,000,000	(22,494)	-
			1997 Language Changes			
SA 97-1 (J5 SS)	194	-	Housing development and rehabilitation, including moderate cost housing , moderate rental, congregate and elderly housing, urban homesteading, community housing development corporations, housing purchase and rehabilitation (no more than $10,000,000 of the total), housing for the homeless, housing for low income persons, limited equity cooperatives and mutual housing projects, removal and abatement of hazardous material including asbestos and lead-based paint in residential structures, emergency repair assistance for senior citizens, housing land bank and land trust, housing and community development, [(at least $1,000,000 for	50,000,000	-	-

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			accessible housing in accordance with sections 8-218 and 8-218a of the general statutes),] predevelopment grants and loans, reimbursement for state and federal surplus property, private rental investment mortgage and equity program, housing infrastructure, septic system repair loan program, construction, acquisition and related rehabilitation and participation in federal programs, including administrative expenses associated with those programs that are eligible under the general statutes (SA 95-209)			

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $425,491 in Personal Services from the early retirement incentive program, and $48,304 in Personal Services and $150,933 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $58,016 for FY '98.

[2] Private contributions in the amounts of $5,395,585 and $5,396,165 are anticipated to be received in FY '98 and FY '99. Funding for the Eastern States Exposition is estimated at $4,500 for both years; Naugatuck Valley Loan Funds; $571,085 and $571,660; International Trade Account; $30,000 in both years, the Dry Cleaning Act; $690,000 in both years and the Tourism Fund is estimated at $4,100,000 in both fiscal years.

Agricultural Experiment Station
3601

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	70	70	68	68	71	71
	Others Equated to Full-Time	2	2	2	2	2	2
	Additional Funds Available						
	Permanent Full-Time	26	26	26	26	26	26
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	3,719,881	3,873,174	4,003,023	4,133,484	4,103,023	4,191,169
002	Other Expenses	362,927	369,809	390,300	390,300	390,300	390,300
005	Equipment	0	0	2,000	750	2,000	750
02X	Other Current Expenses	0	0	0	0	144,000	144,000
	Agency Total - General Fund[1]	**4,082,808**	**4,242,983**	**4,395,323**	**4,524,534**	**4,639,323**	**4,726,219**
	Agency Total - Appropriated Funds	**4,082,808**	**4,242,983**	**4,395,323**	**4,524,534**	**4,639,323**	**4,726,219**
	Additional Funds Available						
	Private Contributions	127,295	30,000	70,000	70,000	70,000	70,000
	Federal Contributions	1,697,109	2,732,176	1,441,488	1,441,488	1,441,488	1,441,488
	Agency Grand Total	**5,907,212**	**7,005,159**	**5,906,811**	**6,036,022**	**6,150,811**	**6,237,707**
	BUDGET BY PROGRAM						
	Management & Support Services						
	Permanent Full-Time Positions GF	10	10	10	10	10	10
	General Fund						
	Personal Services	400,037	420,354	485,426	496,463	485,426	496,463
	Other Expenses	244,400	262,835	262,835	262,835	262,835	262,835
	Equipment	0	0	2,000	750	2,000	750
	Total - General Fund	**644,437**	**683,189**	**750,261**	**760,048**	**750,261**	**760,048**
	Experimentation with Insects of Man						
	Permanent Full-Time Positions GF	4	4	4	4	5	5
	General Fund						
	Personal Services	244,286	256,693	262,227	265,232	262,227	265,232
	Other Expenses	4,036	4,341	4,341	4,341	4,341	4,341
012	Mosquito Control	0	0	0	0	144,000	144,000
	Total - General Fund	**248,322**	**261,034**	**266,568**	**269,573**	**410,568**	**413,573**
	Experiments to Protect Natural Resources						
	Permanent Full-Time Positions GF/OF	25/26	25/26	24/26	24/26	25/26	25/26
	General Fund						
	Personal Services	1,262,633	1,419,870	1,587,627	1,662,030	1,622,951	1,697,354
	Other Expenses	65,329	66,000	70,256	70,256	70,256	70,256
	Total - General Fund	**1,327,962**	**1,485,870**	**1,657,883**	**1,732,286**	**1,693,207**	**1,767,610**
	Federal Contributions						
	Agricultural Research	3,138	10,368	0	0	0	0
	Plant & Animal Disease/Pest Cntl	1,721	0	0	0	0	0
	Gts for Agricultural Research	10,069	30,000	30,000	30,000	30,000	30,000
	Cooperative Forestry Research	179,203	179,203	179,203	179,203	179,203	179,203
	Agricultural Experiment-Hatch	739,285	739,285	739,285	739,285	739,285	739,285
	Agric-Competitive Research Gts	274,730	228,000	223,000	223,000	223,000	223,000
	Cooperative Forestry Assistance	176,621	110,000	110,000	110,000	110,000	110,000
	Engineering Grants	55,147	60,000	60,000	60,000	60,000	60,000
	Pesticides Control Research	48,170	0	0	0	0	0

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Alcohol Research Programs	4,775	0	0	0	0	0
	CDC-Investigations & Tech Assist	129,471	65,000	50,000	50,000	50,000	50,000
	Microbiology / Disease Research	21,713	50,000	50,000	50,000	50,000	50,000
	Federal Contributions	0	1,260,320	0	0	0	0
	Total - Federal Contributions	**1,644,043**	**2,732,176**	**1,441,488**	**1,441,488**	**1,441,488**	**1,441,488**
	Additional Funds Available						
	Private Contributions	127,295	30,000	70,000	70,000	70,000	70,000
	Total - All Funds	**3,099,300**	**4,248,046**	**3,169,371**	**3,243,774**	**3,204,695**	**3,279,098**
	Experiments to Assure Food						
	Permanent Full-Time Positions GF	24	24	23	23	24	24
	General Fund						
	Personal Services	1,400,679	1,363,075	1,472,078	1,522,300	1,508,286	1,558,508
	Other Expenses	27,893	13,762	29,997	29,997	29,997	29,997
	Total - General Fund	**1,428,572**	**1,376,837**	**1,502,075**	**1,552,297**	**1,538,283**	**1,588,505**
	Technical Examination of Consumables						
	Permanent Full-Time Positions GF	7	7	7	7	7	7
	General Fund						
	Personal Services	412,246	433,182	363,069	363,435	363,069	363,435
	Other Expenses	21,269	22,871	22,871	22,871	22,871	22,871
	Total - General Fund	**433,515**	**456,053**	**385,940**	**386,306**	**385,940**	**386,306**
	Federal Contributions						
	Air Pollution Cntl Pgm Support	53,066	0	0	0	0	0
	Total - All Funds	**486,581**	**456,053**	**385,940**	**386,306**	**385,940**	**386,306**
	Personal Services Savings						
	General Fund						
	Personal Services	0	0	-139,153	-139,153	-110,685	-153,000
	Less: Turnover - Personal Services - GF	0	-20,000	-28,251	-36,823	-28,251	-36,823
	EQUIPMENT						
005	Equipment	0	0	2,000	750	2,000	750
	Agency Grand Total	**5,907,212**	**7,005,159**	**5,906,811**	**6,036,022**	**6,150,811**	**6,237,707**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**70**	**4,299,099**	**70**	**4,299,099**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	304,909	0	435,370	0	0	0	0
Other Expenses	0	4,946	0	11,991	0	0	0	0
Equipment	0	255,000	0	100,000	0	0	0	0
Total - General Fund	**0**	**564,855**	**0**	**547,361**	**0**	**0**	**0**	**0**
Eliminate Inflationary Increases - (B)								
-(G) Funds are eliminated for inflation increases for Other Expenses in both FY '98 and FY '99.								
-(L)Same as Governor								
Other Expenses	0	-4,946	0	-11,991	0	0	0	0
Total - General Fund	**0**	**-4,946**	**0**	**-11,991**	**0**	**0**	**0**	**0**
Fund Equipment Items from the CEPF - (B)								
The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least 3 years. It is financed through the sale of bonds and is administered by the Office of Policy and Management.								
-(G) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds).								
-(L)Same as Governor								
Equipment	0	-253,000	0	-99,250	0	0	0	0
Total - General Fund	**0**	**-253,000**	**0**	**-99,250**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Reduce Personal Services Funding - (B)								
-(G) Funds are reduced for Personal Services.								
-(L) Funds are restored in FY '98 and reduced in FY '99 to more accurately reflect the Station's needs.								
Personal Services	0	-110,685	0	-153,000	0	28,468	0	-13,847
Total - General Fund	**0**	**-110,685**	**0**	**-153,000**	**0**	**28,468**	**0**	**-13,847**
Eliminate Support Positions - (B)								
-(G) Funds are eliminated for 2 Agricultural Research Technicians in the plant and soil science area. These technicians research toxic chemicals in soil and water, work on ways to control pests, the utilization of integrated pest management, and management of forests.								
-(L) Funds are restored for the Research Technicians to enable the station to operate effectively.								
Personal Services	0	0	0	0	2	71,532	2	71,532
Total - General Fund	**0**	**0**	**0**	**0**	**2**	**71,532**	**2**	**71,532**
Fund Mosquito Control Program - (B)								
-(L) Funds are provided for the Agricultural Experiment Stations role in the mosquito arbovirus surveillance program. The Station will be involved in trapping and surveillance. The funds will be used for personnel, travel, supplies and equipment. In addition, $50,000 is provided for lab services at Yale to test the mosquitoes for Eastern Equine Encephalitis. PA 97-289 "An Act Concerning Mosquito Control and Aerial Application of Pesticides" implements the mosquito control program.								
Mosquito Control	1	144,000	1	144,000	1	144,000	1	144,000
Total - General Fund	**1**	**144,000**	**1**	**144,000**	**1**	**144,000**	**1**	**144,000**
Budget Totals - GF	**71**	**4,639,323**	**71**	**4,726,219**	**3**	**244,000**	**3**	**201,685**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Experimental Plots at Farm	81	85	85	85	85	85	85	85
Technical Reports - Completed Experiments	21	24	24	24	24	24	24	24
Public Reports - Tick Research and Diseases	77	80	85	85	85	85	85	85
Inquires Answered - Insects of Man	6705	6,850	7,000	7,000	7,000	7,000	7,000	7,000
Ticks Identified (for Lyme disease spirochetes)	6,650	4642	6,650	6,650	6,650	6,650	6,650	6,650
Ticks Tested	6,300	4128	6300	6300	6,300	6300	6,300	6300
Technical Reports - Completed Experiments	39	40	40	40	40	40	40	40
Public Reports	233	250	250	250	230	230	230	230
Inquires Answered - Soil & Water	9,473	10,000	10,000	10,000	9,000	9,000	9,000	9,000
Soil Tests	10,958	11,000	11,000	11,000	10,000	10,000	10,000	10,000
Acres Surveyed for Gypsy Moth	66,304	75,500	75,500	75,500	74,000	74,000	74,000	74,000
Technical Reports - Completed Experiments	15	17	17	17	17	17	17	17
Public Reports	109	120	120	120	120	120	120	120
House Plants Inspected	1,144	1,200	1,200	1,200	1,100	1,100	1,100	1,100
Nursery Stock Container Inspected	109,555	110,000	110,000	110,000	110,000	110,000	110,000	110,000
Ground Covers (Flats/Pots) Inspected	8,081	8,100	8,100	8,100	7,500	7,500	7,500	7,500
Nurseries inspected	367	370	380	380	380	380	380	380
Beehives Inspected	1,263	1,350	1,350	1,350	1,350	1,350	1,350	1,350
Inquires Answered - Plant Pathology	6,643	7,000	7,000	7,000	6,000	6,000	6,000	6,000
Seed Samples Tested	373	400	400	400	400	400	400	400
Technical Reports - Completed Experiments	9	12	12	12	12	12	12	12
Public Reports	3	6	6	6	6	6	6	6
Food Items Analyzed	1,140	1,200	1,200	1,200	1,200	1,200	1,200	1,200
Non-Food Items Analyzed	360	400	400	400	400	400	400	400
Agricultural Items Analyzed	605	650	650	650	650	650	650	650

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $476,898 in Personal Services from the early retirement incentive program, and $41,030 in Personal Services and $18,539 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $46,766 for FY '98.

Department of Public Health
4001

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	574	593	588	588	590	590
	Others Equated to Full-Time	2	6	2	2	2	2
	Additional Funds Available						
	Permanent Full-Time	387	382	382	381	382	381
	Others Equated to Full-Time	3	3	3	3	3	3
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services[1]	24,689,086	25,220,773	26,349,638	27,245,542	26,407,548	27,303,452
002	Other Expenses[1]	4,769,165	5,147,009	5,677,255	4,955,054	5,596,345	5,064,144
005	Equipment	0	106,490	2,000	2,000	16,000	2,000
02X	Other Current Expenses	5,870,048	7,478,452	8,122,452	8,122,452	8,382,952	8,427,952
6XX	Grant Payments - Other Than Towns	11,450,498	12,615,098	9,287,432	9,287,432	12,712,432	12,537,432
7XX	Grant Payments - To Towns	6,435,690	6,519,325	6,708,178	6,840,481	6,883,178	6,840,481
	Agency Total - General Fund	**53,214,487**	**57,087,147**	**56,146,955**	**56,452,961**	**59,998,455**	**60,175,461**
	Agency Total - Appropriated Funds	**53,214,487**	**57,087,147**	**56,146,955**	**56,452,961**	**59,998,455**	**60,175,461**
	Additional Funds Available						
	Carry Forward Funding	0	433,594	0	0	1,567,027	0
	Transfer From Other State Agency	0	0	0	0	45,000	0
	Special Funds, Non-Appropriated	0	244,819	546,490	236,870	546,490	236,870
	Private Contributions	4,787,552	6,133,695	4,926,477	4,926,477	4,926,477	4,926,477
	Federal Contributions	56,277,807	71,250,203	74,684,141	74,206,919	74,684,141	74,206,919
	Agency Grand Total	**114,279,846**	**135,149,458**	**136,304,063**	**135,823,227**	**141,767,590**	**139,545,727**
	BUDGET BY PROGRAM						
	Community Health						
	Permanent Full-Time Positions GF/OF	72/170	72/168	74/163	74/162	74/163	74/162
	General Fund						
	Personal Services	3,478,263	3,826,741	4,260,614	4,351,947	4,260,614	4,351,947
	Other Expenses	871,703	846,500	846,500	846,500	996,500	846,500
012	Young Parents Program	295,729	201,250	201,250	201,250	201,250	201,250
014	Pregnancy Healthline	112,100	112,100	112,100	112,100	112,100	112,100
015	Needle and Syringe Exchange Program	404,700	404,700	404,700	404,700	404,700	404,700
016	Community Services Support for Persons with AIDS	207,223	207,223	207,223	207,223	207,223	207,223
017	Teen Pregnancy Prevention Campaign	0	50,000	50,000	50,000	50,000	50,000
018	Children's Health Initiatives	455,449	535,449	535,449	535,449	535,449	535,449
039	AIDS Services	3,374,803	3,404,715	3,404,715	3,404,715	3,404,715	3,404,715
043	Liability Coverage for Volunteer Retired Physicians	0	50,000	50,000	50,000	4,500	4,500
044	Breast and Cervical Cancer Detection and Treatment	0	1,215,923	1,875,923	1,875,923	1,830,923	1,875,923
045	Services for Children Affected by AIDS	0	250,000	300,000	300,000	275,000	275,000
046	Children with Special Health Care Needs	0	0	0	0	450,000	450,000
	Grant Payments - Other Than Towns						
	Community Health Services	5,378,356	6,037,431	5,880,433	5,880,433	6,055,433	5,880,433
	Newington Children's Hospital	95	95	0	0	0	0
	Rape Crisis	444,120	444,120	444,120	444,120	444,120	444,120

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	X-Ray Screening and Tuberculosis Care	630,498	760,136	597,392	597,392	597,392	597,392
	Genetic Diseases Programs	577,357	627,357	627,357	627,357	677,357	677,357
	Loan Repayment Program	80,959	2,329	194,500	194,500	194,500	194,500
	Immunization Services	3,946,481	4,330,520	1,130,520	1,130,520	4,330,520	4,330,520
	Grant Payments - To Towns						
	Local and District Departments of Health	2,551,286	2,634,921	2,823,774	2,956,077	2,823,774	2,956,077
	Venereal Disease Control	222,275	222,275	222,275	222,275	222,275	222,275
	School Based Health Clinics	3,662,129	3,662,129	3,662,129	3,662,129	3,837,129	3,662,129
	Total - General Fund	**26,693,526**	**29,825,914**	**27,830,974**	**28,054,610**	**31,915,474**	**31,684,110**
	Federal Contributions						
	Special Supplement Food Pgm-WIC	32,290,259	36,500,000	40,000,000	40,000,000	40,000,000	40,000,000
	Drug Control & System Imrpr Gt	23,437	0	0	0	0	0
	Drug Free Schools	154,037	104,122	104,112	104,112	104,112	104,112
	Maternal and Child Health	67,075	43,592	100,000	100,000	100,000	100,000
	Tuberculosis Control Program	0	720,000	647,970	647,970	647,970	647,970
	AIDS Activity	0	5,535,717	4,824,597	4,824,597	4,824,597	4,824,597
	Primary Care Services Coop Agree	177,660	207,180	153,383	153,383	153,383	153,383
	Toxic Subst & Disease Registry	87,847	0	0	0	0	0
	Loan Repayment	31,832	257,224	194,500	194,500	194,500	194,500
	Pregnant/Postpartum Subst Abuse	55,912	0	0	0	0	0
	Lead Poisoning Prevention	829,646	643,460	639,527	639,527	639,527	639,527
	Childhood Immunization Grants	256,477	2,150,000	5,387,011	5,387,011	5,387,011	5,387,011
	Drug Abuse Research Programs	46,542	64,714	0	0	0	0
	CDC-Investigations & Tech Assist	1,251,980	1,126,276	1,040,485	999,885	1,040,485	999,885
	Operation -Offices Of Rural Hlth	1,947	46,996	47,812	47,812	47,812	47,812
	HIV Emergency Relief Formula Grt	187,650	155,678	30,000	0	30,000	0
	HIV Care Formula Grants	3,306,299	4,269,903	3,966,028	3,966,028	3,966,028	3,966,028
	Breast Cancer	496,950	1,400,000	1,400,000	1,400,000	1,400,000	1,400,000
	Health Programs for Refugees	35,423	26,565	42,092	42,092	42,092	42,092
	Programs - Reduce Diabetes	63,381	238,510	350,660	350,660	350,660	350,660
	Preventive Hlth & Hlth Svc Bl Gt	1,991,576	2,161,346	2,053,471	2,053,471	2,053,471	2,053,471
	MCH Block Grant	6,254,092	5,380,465	4,608,840	4,608,840	4,608,840	4,608,840
	Other Federal Assistance	0	453,408	0	0	0	0
	Total - Federal Contributions	**47,610,022**	**61,485,156**	**65,590,488**	**65,519,888**	**65,590,488**	**65,519,888**
	Additional Funds Available						
	Carry Forward Funding	0	433,594	0	0	997,574	0
	Transfer From Other State Agency	0	0	0	0	45,000	0
	Rape Crisis Services	0	143,819	0	0	0	0
	AIDS Research Education Fund	0	101,000	0	0	0	0
	Private Contributions	747,357	1,793,654	655,819	655,819	655,819	655,819
	Total - Additional Funds Available	**747,357**	**2,472,067**	**655,819**	**655,819**	**1,698,393**	**655,819**
	Total - All Funds	**75,050,905**	**93,783,137**	**94,077,281**	**94,230,317**	**99,204,355**	**97,859,817**
	Regulatory Services						
	Permanent Full-Time Positions GF/OF	203/169	204/168	204/168	204/168	209/168	209/168
	General Fund						
	Personal Services	8,731,084	8,415,036	9,361,648	9,734,137	9,569,558	9,942,047
	Other Expenses	704,780	781,000	781,000	781,000	809,090	809,090
	Equipment	0	0	0	0	14,000	0
018	Children's Health Initiatives	525,642	525,642	525,642	525,642	525,642	525,642
037	Childhood Lead Poisoning	245,941	255,450	255,450	255,450	255,450	255,450
	Grant Payments - Other Than Towns						
	Emergency Medical Services Training	14,522	35,000	35,000	35,000	35,000	35,000
	Emergency Medical Services Regional Offices	378,110	378,110	378,110	378,110	378,110	378,110
	Total - General Fund	**10,600,079**	**10,390,238**	**11,336,850**	**11,709,339**	**11,586,850**	**11,945,339**
	Federal Contributions						
	Summer Food Service Program Children	18,646	18,646	19,000	19,000	19,000	19,000
	Lead-Based Paint Hazard Control	207,039	175,000	165,899	0	165,899	0
	State and Community Highway Safety	1,807	6,000	6,000	6,000	6,000	6,000
	Air Pollute Ambient Air/Emission	115,522	0	26,000	0	26,000	0
	State Indoor Radon Grants	222,814	192,000	200,000	200,000	200,000	200,000
	Public Water System Supervision	736,742	1,278,016	1,036,746	1,036,746	1,036,746	1,036,746
	Toxic Subst Compliance Monitor	89,095	158,481	120,000	120,000	120,000	120,000
	Tsca Title Iv State Lead Grants	219,094	275,995	275,000	275,000	275,000	275,000
	Emergency Medical Serv- Children	61,866	223,827	200,000	200,000	200,000	200,000
	Toxic Subst & Disease Registry	303,354	395,000	413,818	413,818	413,818	413,818
	Lead Poisoning Prevention	0	119,941	119,208	119,208	119,208	119,208
	Occup Safety-Hlth Research Grant	7,608	0	0	0	0	0
	CDC-Investigations & Tech Assist	41,597	104,512	122,017	22,017	122,017	22,017
	Child Care Development Block Grant	199,134	200,000	49,238	0	49,238	0
	Social Services Block Grant	712,193	880,000	808,133	808,133	808,133	808,133
	ST. Survey & Cert. of Health Care Providers	2,401,756	2,400,000	2,685,000	2,685,000	2,685,000	2,685,000
	Modification Of Trauma Care EMS	135,830	0	0	0	0	0
	Preventive Hlth & Hlth Svc Bl Gt	295,393	416,995	332,871	332,871	332,871	332,871

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Other Federal Assistance	401,314	385,000	390,500	390,500	390,500	390,500
	Total - Federal Contributions	**6,170,804**	**7,229,413**	**6,969,430**	**6,628,293**	**6,969,430**	**6,628,293**
	Additional Funds Available						
	Private Contributions	3,885,420	4,277,000	4,228,358	4,228,358	4,228,358	4,228,358
	Total - All Funds	**20,656,303**	**21,896,651**	**22,534,638**	**22,565,990**	**22,784,638**	**22,801,990**
	Policy, Planning and Evaluation						
	Permanent Full-Time Positions GF/OF	41/31	41/29	39/34	39/34	39/34	39/34
	General Fund						
	Personal Services	1,536,807	1,681,745	1,815,467	1,923,658	1,815,467	1,923,658
	Other Expenses	72,186	426,500	498,037	58,336	498,037	58,336
018	Children's Health Initiatives	248,461	266,000	200,000	200,000	126,000	126,000
	Total - General Fund	**1,857,454**	**2,374,245**	**2,513,504**	**2,181,994**	**2,439,504**	**2,107,994**
	Federal Contributions						
	Linked Birth & Infant Death	241,411	215,569	212,933	212,933	212,933	212,933
	Childhood Immunization Grants	4,450	0	0	0	0	0
	Cancer Cause & Prevent Research	1,136,564	1,104,470	870,711	870,711	870,711	870,711
	Social Security-Disability Insurance	24,435	16,722	16,111	16,111	16,111	16,111
	Social Security-Research & Demos	0	0	14,535	14,535	14,535	14,535
	Programs - Reduce Diabetes	5,325	0	0	0	0	0
	MCH Block Grant	37,762	97,736	83,719	83,719	83,719	83,719
	Other Federal Assistance	0	18,105	0	0	0	0
	Total - Federal Contributions	**1,449,947**	**1,452,602**	**1,198,009**	**1,198,009**	**1,198,009**	**1,198,009**
	Additional Funds Available						
	Carry Forward Funding	0	0	0	0	475,191	0
	Special Funds, Non-Appropriated	0	0	546,490	236,870	546,490	236,870
	Private Contributions	136,375	59,741	39,000	39,000	39,000	39,000
	Total - Additional Funds Available	**136,375**	**59,741**	**585,490**	**275,870**	**1,060,681**	**275,870**
	Total - All Funds	**3,443,776**	**3,886,588**	**4,297,003**	**3,655,873**	**4,698,194**	**3,581,873**
	Laboratory						
	Permanent Full-Time Positions GF/OF	159/9	164/9	164/9	164/9	164/9	164/9
	General Fund						
	Personal Services	7,316,651	6,520,983	7,210,590	7,333,294	7,210,590	7,333,294
	Other Expenses	1,534,914	1,545,000	1,545,000	1,545,000	1,626,000	1,626,000
	Total - General Fund	**8,851,565**	**8,065,983**	**8,755,590**	**8,878,294**	**8,836,590**	**8,959,294**
	Federal Contributions						
	Special Supplement Food Pgm-WIC	0	314	0	0	0	0
	State and Community Highway Safety	3,109	3,264	3,264	3,264	3,264	3,264
	Public Water System Supervision	124,081	81,504	66,117	66,117	66,117	66,117
	Tuberculosis Control Program	53,352	56,020	50,416	50,416	50,416	50,416
	AIDS Activity	148,484	155,908	148,872	148,872	148,872	148,872
	Lead Poisoning Prevention	55,818	58,608	58,250	58,250	58,250	58,250
	Childhood Immunization Grants	6,600	6,930	8,186	8,186	8,186	8,186
	CDC-Investigations & Tech Assist	45,057	47,309	36,935	36,935	36,935	36,935
	Preventive Hlth & Hlth Svc Bl Gt	153,081	153,320	124,660	124,660	124,660	124,660
	MCH Block Grant	15,367	38,525	33,000	33,000	33,000	33,000
	Total - Federal Contributions	**604,949**	**601,702**	**529,700**	**529,700**	**529,700**	**529,700**
	Additional Funds Available						
	Private Contributions	6,400	3,300	3,300	3,300	3,300	3,300
	Total - All Funds	**9,462,914**	**8,670,985**	**9,288,590**	**9,411,294**	**9,369,590**	**9,492,294**
	Management, Administration and Special Services						
	Permanent Full-Time Positions GF/OF	99/8	112/8	107/8	107/8	104/8	104/8
	General Fund						
	Personal Services	3,626,281	4,776,268	5,326,319	5,549,506	5,176,319	5,399,506
	Other Expenses	1,585,582	1,548,009	2,006,718	1,724,218	1,666,718	1,724,218
	Equipment	0	106,490	2,000	2,000	2,000	2,000
	Total - General Fund	**5,211,863**	**6,430,767**	**7,335,037**	**7,275,724**	**6,845,037**	**7,125,724**
	Federal Contributions						
	Lead-Based Paint Hazard Control	11,001	87,314	65,485	0	65,485	0
	Linked Birth & Infant Death	33,931	33,872	33,458	33,458	33,458	33,458
	Public Water System Supervision	98,476	58,106	47,137	47,137	47,137	47,137
	Cancer Cause & Prevent Research	226,246	210,314	165,802	165,802	165,802	165,802
	Social Security-Disability Insurance	53,320	56,724	54,651	54,651	54,651	54,651
	MCH Block Grant	19,111	35,000	29,981	29,981	29,981	29,981
	Total - Federal Contributions	**442,085**	**481,330**	**396,514**	**331,029**	**396,514**	**331,029**
	Additional Funds Available						
	Carry Forward Funding	0	0	0	0	94,262	0
	Private Contributions	12,000	0	0	0	0	0
	Total - Additional Funds Available	**12,000**	**0**	**0**	**0**	**94,262**	**0**
	Total - All Funds	**5,665,948**	**6,912,097**	**7,731,551**	**7,606,753**	**7,335,813**	**7,456,753**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Personal Services Savings						
	General Fund						
	Personal Services	0	0	-1,000,000	-1,000,000	-1,000,000	-1,000,000
	Less: Turnover - Personal Services - GF	0	0	-625,000	-647,000	-625,000	-647,000
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
607	Community Health Services	5,378,356	6,037,431	5,880,433	5,880,433	6,055,433	5,880,433
608	Newington Children's Hospital	95	95	0	0	0	0
610	Emergency Medical Services Training	14,522	35,000	35,000	35,000	35,000	35,000
611	Emergency Medical Services Regional Offices	378,110	378,110	378,110	378,110	378,110	378,110
616	Rape Crisis	444,120	444,120	444,120	444,120	444,120	444,120
618	X-Ray Screening and Tuberculosis Care	630,498	760,136	597,392	597,392	597,392	597,392
620	Genetic Diseases Programs	577,357	627,357	627,357	627,357	677,357	677,357
625	Loan Repayment Program	80,959	2,329	194,500	194,500	194,500	194,500
626	Immunization Services	3,946,481	4,330,520	1,130,520	1,130,520	4,330,520	4,330,520
	GRANT PAYMENTS - TO TOWNS (Recap)						
702	Local and District Departments of Health	2,551,286	2,634,921	2,823,774	2,956,077	2,823,774	2,956,077
703	Venereal Disease Control	222,275	222,275	222,275	222,275	222,275	222,275
705	School Based Health Clinics	3,662,129	3,662,129	3,662,129	3,662,129	3,837,129	3,662,129
	EQUIPMENT						
005	Equipment	0	106,490	2,000	2,000	16,000	2,000
	Agency Grand Total	**114,279,846**	**135,149,458**	**136,304,063**	**135,823,227**	**141,767,590**	**139,545,727**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**593**	**58,664,552**	**593**	**58,664,552**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	2,009,675	0	2,914,823	0	0	0	0
Other Expenses	0	165,562	0	315,606	0	0	0	0
Equipment	0	1,324,887	0	1,428,700	0	0	0	0
Other Current Expenses	0	317,259	0	637,862	0	0	0	0
Grant Payments - Other Than Towns	0	572,823	0	1,050,424	0	0	0	0
Grant Payments To Towns	0	147,608	0	296,793	0	0	0	0
Total - General Fund	**0**	**4,537,814**	**0**	**6,644,208**	**0**	**0**	**0**	**0**
Personal Services Reduction - (B)								
-(G) A reduction in funding, in the amount of $1,000,000 in each year of the biennium, is recommended to achieve Personal Services savings.								
-(L)Same as Governor								
Personal Services	0	-1,000,000	0	-1,000,000	0	0	0	0
Total - General Fund	**0**	**-1,000,000**	**0**	**-1,000,000**	**0**	**0**	**0**	**0**
Eliminate Durational Project Managers - (B)								
As of February 28, 1997, the Department of Public Health employed three Durational Project Managers and three Transitional Managers.								
-(L) A reduction in funding, in the amount of $150,000 in each of SFY 1997-98 and SFY 1998-99, is provided to reflect the elimination of three Durational Project/Transitional Managers.								
Personal Services	-3	-150,000	-3	-150,000	-3	-150,000	-3	-150,000
Total - General Fund	**-3**	**-150,000**	**-3**	**-150,000**	**-3**	**-150,000**	**-3**	**-150,000**

Reduce Data Entry Personnel - (B)

The Department maintains numerous large databases, such as the licensure database, the vital statistics and tumor registries and the laboratory testing database. A potential exists to realize savings through the implementation of new

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
technologies. Resulting reductions in data entry workloads and state-funded positions would be possible after an initial investment in technology. -(G) A reduction in funding, in the amount of $134,499 in SFY 1997-98 is recommended to reflect the elimination of five data processing staff made possible by enhanced information systems technology. Additional savings of $5,894 (for a cumulative total of $140,393) is recommended in SFY 1998-99 to reflect the annualized savings from this position elimination. -(L)Same as Governor								
Personal Services	-5	-134,499	-5	-140,393	0	0	0	0
Total - General Fund	**-5**	**-134,499**	**-5**	**-140,393**	**0**	**0**	**0**	**0**
Assume Administration Duties Related to Community Health Centers - (B) The Department awards funds to the Connecticut Primary Care Association (CPCA) which in turn subcontracts with and provides technical assistance to community health centers. A portion of funding is retained by the CPCA for its administrative functions. -(G) A net reduction in funding, in the amount of $194,944 in SFY 1997-98, is recommended to reflect the transfer of certain responsibilities previously performed by the Connecticut Primary Care Association (CPCA) to the Department. Included in this sum is $107,000 to support the full-year costs of two new staff; and a savings of $301,944 to reflect a reduced award to CPCA. An equivalent net reduction is recommended in SFY 1998-99 to reflect the continuation of this policy change. -(L)Same as Governor								
Personal Services	2	107,000	2	107,000	0	0	0	0
Community Health Services	0	-301,944	0	-301,944	0	0	0	0
Total - General Fund	**2**	**-194,944**	**2**	**-194,944**	**0**	**0**	**0**	**0**
Establish Licensure of Professional Counselors, Paramedics, and Alcohol and Drug Counselors - (B) PA 97-311, "An Act Concerning Licensure of Paramedics, Professional Counselors and Family Therapists", establishes new licensure programs for professional counselors and paramedics, effective October 1, 1997. PA 97-186, "An Act Concerning Licensure of Alcohol and Drug Counselors", establishes a new licensure program for alcohol and drug counselors and associate alcohol and drug counselors, effective October 1, 1997. -(L) Funding, in the amount of $250,000 in SFY 1997-98 is provided to support the costs of five staff and associated other expenses and equipment needed to institute licensure of professional counselors, paramedics, and alcohol and drug counselors. SFY 1998-99 funding of $236,000 is provided as one-time equipment expenditures will not be required in the second year of the biennium.								
Personal Services	5	207,910	5	207,910	5	207,910	5	207,910
Other Expenses	0	28,090	0	28,090	0	28,090	0	28,090
Equipment	0	14,000	0	0	0	14,000	0	0
Total - General Fund	**5**	**250,000**	**5**	**236,000**	**5**	**250,000**	**5**	**236,000**
Implement Child Support Provisions of P.L. 104-193 - (B) P.L. 104-193, "The Personal Responsibility and Work Opportunity Reconciliation Act of 1996", requires the creation of a central registry for voluntary acknowledgment of paternity and the inclusion of marital status on the confidential portion of the birth certificate. Electronic access to this new database will be provided to the Department of Social Services (DSS). The DSS will also require certified copies of selected birth certificates and copies of voluntary acknowledgments of paternity. Additionally, the law requires the Department of Public Health to collect Social Security numbers from all professional licensees and perform queries for the DSS of child support obligors who may potentially hold DPH-issued licenses.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(G) A transfer of funding, in the amount of $546,490 in SFY 1997-98, from the Department of Social Services' Other Expenses account to a Special Non-Appropriated Fund under the Department of Public Health is recommended to achieve compliance with P.L. 104-193. This funding will support the annual costs of 5 positions (at a cost of $153,490), associated Other Expenses costs (of $177,500), and one-time Equipment funding (of $215,500). A transfer of $236,870 is recommended in SFY 1998-99 to reflect the annualized cost of the five positions ($161,870), associated Other Expenses costs (of $65,000) and one-time Equipment funding (of $10,000). PA 97-7 of the June Special Session, "An Act Concerning Child Support Reform", implements these changes. -(L)Same as Governor								
Special Funds, Non-Appropriated	5	546,490	5	236,870	0	0	0	0
Total - Special Funds, Non-Appropriated	**5**	**546,490**	**5**	**236,870**	**0**	**0**	**0**	**0**
Transfer Long-Term Care Database to Office of Policy and Management - (B) The Long-Term Care Database contains records since 1977 for each admission, discharge and annual census for residents of all nursing homes in Connecticut. This database is used by the Connecticut Partnership for Long-Term Care (CPLTC), a public/private initiative which develops long-term care insurance policies for determining length of stay and assisting insurers in setting premiums. The Long-Term Care staff also provides research and policy analysis support to the CPLTC and are responsible for comparing the nursing home use of those individuals with and without long-term care insurance. -(G) A reduction in funding, in the amount of $95,358 in SFY 1997-98, is recommended to reflect the transfer of two staff (a Processing Technician and an Associate Research Analyst) who compile the Long-Term Care Database from the Department of Public Health (DPH) to the Office of Policy and Management (OPM), effective July 1, 1997. Included in this sum is $82,552 to reflect personnel savings, and $12,806 in associated Other Expenses. An additional savings of $3,734 is recommended in SFY 1998-99 to reflect the annualized savings from this program transfer. A corresponding increase has been reflected under the budget of the Office of Policy and Management. -(L)Same as Governor								
Personal Services	-2	-82,552	-2	-85,902	0	0	0	0
Other Expenses	0	-12,806	0	-13,190	0	0	0	0
Total - General Fund	**-2**	**-95,358**	**-2**	**-99,092**	**0**	**0**	**0**	**0**
Fund Hospice and Palliative Care Educational Outreach Program - (B) An educational program has been designed for medical, nursing, social service, spiritual and bereavement professionals to expand their knowledge about hospice care philosophies and practice. The program is intended to increase the participant's knowledge of and comfort level of working with dying patients and their families; teach the principle of considering the patient and family as the unit of care and how to enable the family to participate as care givers in the home; teach the participants the concepts and decision-making processes of palliative care; utilize direct patient care and clinical conferences to demonstrate the role of an interdisciplinary team of care givers; and teach the diagnosis and ongoing assessment of the major discomforting symptoms in the terminally ill patient. The educational program will be provided by one or more hospice-related organization(s) qualified to offer Continued Medical Education credits through accreditation by the Accreditation Council for Continuing Medical Education. -(L) Funding, in the amount of $150,000 in SFY 1997-98, is provided to allow the Department of Public Health to enter into contracts with one or more educationally accredited hospice-related organization(s) for the purpose of								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
establishing palliative care educational programs for medical, nursing, social work, spiritual and bereavement counseling professionals, and others. These one-time contracts shall be awarded through the Office of Policy, Planning and Evaluation.								
Other Expenses	0	150,000	0	0	0	150,000	0	0
Total - General Fund	**0**	**150,000**	**0**	**0**	**0**	**150,000**	**0**	**0**
Expenditure Update/Annualization/Microfilming Vital Records - (B) The Department of Public Health has been preparing for the microfilming and computer indexing of its Vital Records collection. This project will encompass the reproduction of over fourteen million images, ranging from 1897 to the present. The agency anticipates entering into a multi-year contract for a total of $1,025,419 and is seeking to begin work during March, 1997. -(G) Funding, in the amount of $84,343, is recommended in SFY 1997-98 to reflect more accurately the second year costs of the agency's Vital Records microfilming project. In SFY 1998-99, a reduction of $354,974 has been recommended to reflect the elimination of one-time SFY 1997-98 expenses. -(L) A reduction in funding, in the amount of $255,657, is provided in SFY 1997-98 to reflect the pick up of costs associated with the agency's Vital Records microfilming project by carry forward dollars. Section 78 of PA 97-8 of the June Special Session, "An Act Concerning Expenditures for the Programs and Services of the Department of Public Health" authorizes the carry forward up to $475,191 from SFY 1996-97 into SFY 1997-98 to support the costs of this initiative. In SFY 1998-99, a reduction of $354,974 is provided to reflect the elimination of budgeted SFY 1997-98 expenses.								
Other Expenses	0	-255,657	0	-354,974	0	-340,000	0	0
Total - General Fund	**0**	**-255,657**	**0**	**-354,974**	**0**	**-340,000**	**0**	**0**
Carry Forward Funding	0	475,191	0	0	0	475,191	0	0
Total - Carry Forward Funding	**0**	**475,191**	**0**	**0**	**0**	**475,191**	**0**	**0**
Reconfigure Systems for Century Date Change - (B) Data systems currently in operation worldwide are not configured so as to accommodate the century change from Year 1999 to Year 2000. -(G) Funding, in the amount of $172,500, is recommended in SFY 1997-98 to allow the Department of Public Health to assess Year 2000 data system modifications needed to avert impending computer malfunction at the century change and to test systems that appear to be compliant. -(L)Same as Governor								
Other Expenses	0	172,500	0	0	0	0	0	0
Total - General Fund	**0**	**172,500**	**0**	**0**	**0**	**0**	**0**	**0**
Expenditure Update/Data Processing Conversion - (B) The Department of Public Health is in the process of converting its data processing systems to be compatible with ORACLE Software. -(G) Funding, in the amount of $110,000 in SFY 1997-98, is recommended to allow the Department to convert from two remaining Wang minicomputers to ORACLE Software. These funds will support: $65,000 for Department of Administrative Services consulting services; $15,000 to train DPH staff; $10,000 for software to integrate with other DPH computers; and $20,000 for additional software needed to operate ORACLE applications. The sum of $235,000 has been recommended under the Capital Equipment Purchase Fund (CEPF) in SFY 1997-98 to purchase associated replacement equipment. These costs are of a one-time nature. -(L)Same as Governor								
Other Expenses	0	110,000	0	0	0	0	0	0
Total - General Fund	**0**	**110,000**	**0**	**0**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Reduce Usage of Fleet Vehicles - (B)								
Staff of the Department of Public Health are anticipated to utilize fifty-two state vehicles in SFY 1996-97 to perform their assigned job responsibilities.								
-(G) A reduction in funding, in the amount of $17,368 in each of SFY 1997-98 and SFY 1998-99 is recommended to reflect reducing the number of state vehicles assigned to the Department of Public Health by thirteen.								
-(L)Same as Governor								
Other Expenses	0	-17,368	0	-17,368	0	0	0	0
Total - General Fund	**0**	**-17,368**	**0**	**-17,368**	**0**	**0**	**0**	**0**
Reduce Funding Due to State Data Center Rate Reductions - (B)								
Many state agencies obtain computer services to support their business functions from the State Data Center, operated by the Department of Administrative Services through the Technical Services Revolving Fund.								
-(G) Due to a reorganization of the operation of the State Data Center, there is a significant reduction in the rates for services charged to state agencies (CATER and BOSS). A reduction, in the amount of $91,614, is recommended in each year of the biennium for the Department of Public Health.								
-(L)Same as Governor								
Other Expenses	0	-91,614	0	-91,614	0	0	0	0
Total - General Fund	**0**	**-91,614**	**0**	**-91,614**	**0**	**0**	**0**	**0**
Expand Genetic Testing of Infants - (B)								
Based on approximately 50,000 births per year, it is projected that congenital adrenal hyperplasia, a genetic disorder that can lead to a life-threatening crisis in the first weeks of life, will be detected in two to four infants annually. Screening, tracking and early intervention would prevent an infant with this disease from experiencing profound dehydration. An average annual cost of $200,000 is incurred to provide intensive medical care to infants who are not diagnosed until a medical crisis occurs. (Prevention Program)								
-(L) Funding, in the amount of $81,000 in each of SFY 1997-98 and SFY 1998-99, is provided to reflect costs associated with adding screening for congenital adrenal hyperplasia to the state's newborn screening program. These funds will support the purchase of chemical reagents and test kits. Section 26 of PA 97-8 of the June Special Session, "An Act Concerning Expenditures for the Programs and Services of the Department of Public Health", implements this change.								
Other Expenses	0	81,000	0	81,000	0	81,000	0	81,000
Total - General Fund	**0**	**81,000**	**0**	**81,000**	**0**	**81,000**	**0**	**81,000**
Reduce Administrative Costs/Healthy Steps - (B)								
The Healthy Steps Pilot Insurance program was initiated in SFY 1992-93. As of January, 1997, 175 New Haven children were enrolled in the program, which provides state subsidized health insurance to those who are uninsured and income eligible (133%-200% of poverty). (Prevention Program)								
-(G) A reduction in funding, in the amount of $144,600, in SFY 1997-98, is recommended to reflect reduced administrative costs for the Healthy Steps program. Included in this sum is a savings of $88,750 to reflect the elimination of two staff (a Health Program Associate and a Secretary II) and a reduction of $55,250 to reflect a revised estimate of health care premium costs for the remaining 175 Healthy Steps clients. The sum of $200,000 will continue to be available to support the costs of these premiums in each year of the biennium. An equivalent reduction is recommended in SFY 1998-99 to reflect the continuation of this policy change.								
-(L) A reduction in funding, in the amount of $218,600 in SFY 1997-98, is provided to reflect reduced administrative costs for the Healthy Steps program. Included in this sum is a savings of $88,750 to reflect the elimination of two								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
staff (a Health Program Associate and a Secretary II) and a reduction of $129,250 to reflect a revised estimate of health care premium costs for the remaining 175 Healthy Steps clients. The sum of $126,000 will continue to be available to support the costs of these premiums in each year of the biennium. An equivalent reduction is provided in SFY 1998-99 to reflect the continuation of this policy change.								
Children's Health Initiatives	0	-218,600	0	-218,600	0	-74,000	0	-74,000
Total - General Fund	**0**	**-218,600**	**0**	**-218,600**	**0**	**-74,000**	**0**	**-74,000**
Carry Forward Funding for Teen Pregnancy Prevention Media Campaign - (B) Funding, in the amount of $50,000, was first appropriated in SFY 1995-96 to allow the Department of Public Health, in consultation with the Department of Social Services, to establish a media advertising campaign designed to reduce adolescent pregnancy, pursuant to PA 95-227, "An Act Establishing a Teen Pregnancy Prevention Media Campaign". -(L) Pursuant to Section 38 of SA 97-21, funding, in the amount of $250,000, is estimated to be carried forward from SFY 1996-97 into SFY 1997-98 to support the costs of a teen pregnancy prevention media campaign. Of this sum, $150,000 is available to be carried forward under the Other Expenses account, and $100,000 is available under the Teen Pregnancy Prevention Campaign account.								
Carry Forward Funding	0	250,000	0	0	0	250,000	0	0
Total - Carry Forward Funding	**0**	**250,000**	**0**	**0**	**0**	**250,000**	**0**	**0**
Reduce Funding for Breast and Cervical Cancer Early Detection and Treatment Program - (B) PA 96-238 established a Breast and Cervical Cancer Early Detection and Treatment Program under the Department of Public Health. (Prevention Program) -(L) A reduction in funding, in the amount of $45,000, is provided in SFY 1997-98 to reflect the pickup with carry forward funds of a portion of the costs of the Breast and Cervical Cancer Early Detection and Treatment program. For further information regarding this program, refer to the Other Significant 1997 Legislation Affecting the Agency's Budget section below. The sum of $45,000 will be transferred to the Department of Public Health from carry forward funds of the Office of Health Care Access.								
Breast and Cervical Cancer Detection and Treatment	0	-45,000	0	0	0	-45,000	0	0
Total - General Fund	**0**	**-45,000**	**0**	**0**	**0**	**-45,000**	**0**	**0**
Transfer From Other State Agency	0	45,000	0	0	0	45,000	0	0
Total - Transfer From Other State Agency	**0**	**45,000**	**0**	**0**	**0**	**45,000**	**0**	**0**
Carry Forward Funding for Competitive Incentive Grant for Teenage Pregnancy Prevention - (B) Funding, in the amount of $100,000, was originally appropriated in SFY 1996-97 to support grants to develop comprehensive community-wide teen pregnancy prevention strategy plans. The Department of Public Health was to develop a request for proposal for this initiative in consultation with the Department of Social Services. Due to delays in implementation the program was not initiated during SFY 1996-97. -(L) Pursuant to Section 79 of PA 97-8 of the June Special Session, "An Act Concerning Expenditures for the Programs and Services of the Department of Public Health", funding, in the amount of $100,000, is estimated to be carried forward from SFY 1996-97 into SFY 1997-98 to support a competitive incentive grant program for areas in the State with the highest teen pregnancy rate.								
Carry Forward Funding	0	100,000	0	0	0	100,000	0	0
Total - Carry Forward Funding	**0**	**100,000**	**0**	**0**	**0**	**100,000**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Reduce Funding for Liability Coverage for Volunteer Retired Medical Professionals Program - (B)								
The Department of Public Health was appropriated funding to provide liability insurance subsidies for retired and newly graduated medical professionals who volunteer to provide at least 150 hours per year of care in community health centers and other primary care settings. The sum of $150,000 was appropriated for this purpose in SFY 1996-97. (Prevention Program)								
-(G) A reduction in funding, in the amount of $100,000 in each of SFY 1997-98 and SFY 1998-99, is recommended to reflect provision of fewer liability insurance subsidies for volunteer medical professionals.								
-(L) A reduction in funding, in the amount of $145,500 in each of SFY 1997-98 and SFY 1998-99, is provided to reflect provision of fewer liability insurance subsidies for volunteer medical professionals.								
Liability Coverage for Volunteer Retired Physicians	0	-145,500	0	-145,500	0	-45,500	0	-45,500
Total - General Fund	**0**	**-145,500**	**0**	**-145,500**	**0**	**-45,500**	**0**	**-45,500**
Reduce Support for Services for Children Affected by AIDS - (B)								
The sum of $300,000 for the Services for Children Affected by AIDS program was first appropriated in SFY 1996-97. Five contractors provide mental health services and case management to: children affected by HIV disease of parents, caretakers and significant others; adults affected by HIV disease who have parental, care taking or significant responsibilities for affected children; and children and adults infected with HIV disease who impact upon affected children.								
-(L) A net increase in funding, in the amount of $50,000 in SFY 1997-98, is provided to reflect enhanced support for programs funded under the Services for Children Affected by AIDS account in the first year of the biennium. This enhancement reflects a carry forward of $75,000 authorized by Section 65 of SA 97-21 and an offsetting reduction in SFY 1997-98 appropriated funds of $25,000. A reduction in funding, in the amount of $25,000 in SFY 1998-99, is provided.								
Services for Children Affected by AIDS	0	-25,000	0	-25,000	0	-25,000	0	-25,000
Total - General Fund	**0**	**-25,000**	**0**	**-25,000**	**0**	**-25,000**	**0**	**-25,000**
Carry Forward Funding	0	75,000	0	0	0	75,000	0	0
Total - Carry Forward Funding	**0**	**75,000**	**0**	**0**	**0**	**75,000**	**0**	**0**
Pickup with State Funds/Children with Special Health Care Needs - (B)								
The Department of Public Health (DPH) is authorized under statute to make available various specialty services and medical equipment for eligible children with special health care needs (CSHCN). In order to receive federal Maternal and Child Health Block Grant (MCHBG) funds, the DPH must provide a program of services for CSHCN and expend one-third of MCHBC funds for these services.								
The agency contracts with the Yale Medical Center and the Connecticut Children's Medical Center to provide case management services and specialty care for approximately 1,000 children with special health care needs. In addition to the contract funds, the Department provides direct payment for services such as drugs, medical equipment and transportation for these children. The program is anticipated to face a shortfall in SFY 1997-98 due to federal budget reductions and declining MCHBG carry forward funds.								
-(L) Funding, in the amount of $450,000, is provided in each of SFY 1997-98 and SFY 1998-99 to reflect the pickup with state funds of expenses associated with the Children with Special Health Care Needs program.								
Children with Special Health Care Needs	0	450,000	0	450,000	0	450,000	0	450,000
Total - General Fund	**0**	**450,000**	**0**	**450,000**	**0**	**450,000**	**0**	**450,000**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Reduce Support for State Loan Repayment Program - (B)								
The Connecticut State Loan Repayment program provides grants of up to $45,000 apiece ($20,000 in year one, $25,000 in year two) to primary care clinicians to assist with the payment of medical education loans. Funds are distributed to community health centers which employ the grant recipients. This enhances the ability of the centers to recruit and retain physicians, nurse practitioners, dentists, physician assistants and nurse midwives. The State receives $1.0 in federal matching dollars for each state dollar expended. (Prevention Program)								
-(G) A reduction in funding, in the amount of $100,000 in each of SFY 1997-98 and SFY 1998-99 is recommended to reflect provision of fewer loan repayment awards to medical professionals.								
-(L)Same as Governor								
Loan Repayment Program	0	-100,000	0	-100,000	0	0	0	0
Total - General Fund	**0**	**-100,000**	**0**	**-100,000**	**0**	**0**	**0**	**0**
Carry Forward Funding for State Loan Repayment Program - (B)								
Pursuant to PA 95-203, "An Act Concerning the Student Loan Repayment Program", funds appropriated for the State Loan Repayment program shall not lapse until fifteen months following the close of the fiscal year for which such funds were originally appropriated.								
-(L) Pursuant to Section 19a-72(b) C.G.S., funding, in the amount of $248,441, is carried forward from SFY 1996-97 to SFY 1997-98 to support the State Loan Repayment program.								
Carry Forward Funding	0	248,441	0	0	0	248,441	0	0
Total - Carry Forward Funding	**0**	**248,441**	**0**	**0**	**0**	**248,441**	**0**	**0**
Carry Forward Funding for Community Health Centers - (B)								
Community health centers in Connecticut provide critical preventive and primary health care services in twelve main sites and twenty-two satellite centers throughout the State. These centers deliver comprehensive, high quality medical and dental care, social services and community-based programs in medically undeserved areas, to economically disadvantaged persons, without regard to ability to pay.								
-(L) Pursuant to Section 79 of PA 97-8 of the June Special Session, "An Act Concerning Expenditures for the Programs and Services of the Department of Public Health", funding, in the amount of $324,133, is estimated to be carried forward from SFY 1996-97 into SFY 1997-98 to support awards to Community Health Centers.								
Carry Forward Funding	0	324,133	0	0	0	324,133	0	0
Total - Carry Forward Funding	**0**	**324,133**	**0**	**0**	**0**	**324,133**	**0**	**0**
Reduce Vaccine Purchases - (B)								
Under current practice, the Department of Public Health purchases and distributes free of charge to health care providers, sufficient vaccines to meet the needs of all children, regardless of income or health insurance coverage. Health care providers are prohibited from seeking reimbursement from third party payers for free vaccines. An estimated $4.3 million will be expended for this purpose in SFY 1996-97. (Prevention Program)								
-(G) A reduction in funding, in the amount of $3,200,000 in each of SFY 1997-98 and SFY 1998-99, is recommended to reflect adoption of a policy whereby the Department of Public Health will no longer purchase sufficient vaccines to meet the needs of all children, regardless of income level or health insurance coverage. Instead, each individual or group health insurance policy providing basic hospital, basic medical-surgical, major medical, or accident only coverage, a hospital or medical service plan contract, or hospital and medical coverage provided to subscribers of a health care center delivered or renewed on or after July 1, 1997 shall provide coverage for childhood immunizations sufficient to meet the standards of care for immunization								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
of children. The agency will continue to purchase and distribute vaccines sufficient to meet the needs of poor, uninsured children.								
Proposed HB 6725, "An Act Requiring Insurance Coverage for Childhood Immunizations", would have been necessary to implement this change.								
-(L) A reduction in childhood immunization funding is not made to reflect Legislative intent that the Department of Public Health continue to operate a universal vaccine distribution program.								
Immunization Services	0	0	0	0	0	3,200,000	0	3,200,000
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**3,200,000**	**0**	**3,200,000**
Eliminate Special Grant to Newington Children's Hospital - (B)								
Pursuant to statute, the Department of Public Health provides an operating subsidy to the Newington Children's Hospital.								
-(G) A reduction in funding, in the amount of $95 in each of SFY 1997-98 and SFY 1998-99, is recommended to reflect the elimination of an operating subsidy granted to the Newington Children's Hospital. Section 20 of PA 97-8 of the June Special Session, "An Act Concerning Expenditures for the Programs and Services of the Department of Public Health" implements this change.								
-(L)Same as Governor								
Newington Children's Hospital	0	-95	0	-95	0	0	0	0
Total - General Fund	**0**	**-95**	**0**	**-95**	**0**	**0**	**0**	**0**
Enhance Dental Access - (B)								
According to the Centers for Disease Control and Prevention (CDC), dental diseases and conditions remain among the most prevalent and preventable chronic health problems in the United States. The adequacy of the dental provider network significantly impacts the oral health of minority and poor children, who make up twenty percent of the population, yet experience between sixty and seventy five percent of the dental disease.								
-(L) Pursuant to Sections 52 and 53 of PA 97-8 of the June Special Session, "An Act Concerning Expenditures for the Programs and Services of the Department of Public Health", funding, in the amount of $350,000 in SFY 1997-98, is provided to support grants-in-aid to school-based health centers or dental clinics (at $175,000) and community health centers (at $175,000) for the purpose of staffing and equipping dental operatories, including, but not limited to, construction, alterations, equipment and supplies to increase access to dental care. Moneys under the School Based Health Clinics account are to be distributed equally among Hartford, Stamford and Bridgeport. Moneys for community health centers are to be distributed equally among New London, Willimantic, New Haven and Waterbury.								
Community Health Services	0	175,000	0	0	0	175,000	0	0
School Based Health Clinics	0	175,000	0	0	0	175,000	0	0
Total - General Fund	**0**	**350,000**	**0**	**0**	**0**	**350,000**	**0**	**0**
Increase Grant to Sickle Cell Association of America/ Connecticut Chapter - (B)								
The Department of Public Health provides grants to private organizations to enhance their ability to provide medical follow-up, management, education and support to infants having Sickle Cell Disease and their families. Early medical intervention can reduce the number of later hospital visits due to more serious complications of this disease.								
-(L) Funding, in the amount of $50,000 in each of SFY 1997-98 and SFY 1998-99, is provided to increase a contract with the Sickle Cell Disease Association of America/Connecticut Chapter, Inc. from $50,000 to $100,000.								
Genetic Diseases Programs	0	50,000	0	50,000	0	50,000	0	50,000
Total - General Fund	**0**	**50,000**	**0**	**50,000**	**0**	**50,000**	**0**	**50,000**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Community Services Support for Persons with AIDS	0	-7,885	0	-15,844	0	0	0	0
Teen Pregnancy Prevention Campaign	0	-1,200	0	-2,378	0	0	0	0
Children's Health Initiatives	0	-53,416	0	-107,403	0	0	0	0
Childhood Lead Poisoning	0	-9,707	0	-19,518	0	0	0	0
AIDS Services	0	-129,379	0	-260,140	0	0	0	0
Liability Coverage for Volunteer Retired Physicians	0	-5,700	0	-11,461	0	0	0	0
Breast and Cervical Cancer Detection and Treatment	0	-71,285	0	-143,332	0	0	0	0
Services for Children Affected by AIDS	0	-11,400	0	-22,922	0	0	0	0
Community Health Services	0	-234,930	0	-472,371	0	0	0	0
Emergency Medical Services Training	0	-840	0	-1,684	0	0	0	0
Emergency Medical Services Regional Offices	0	-9,075	0	-17,979	0	0	0	0
Rape Crisis	0	-16,877	0	-33,934	0	0	0	0
X-Ray Screening and Tuberculosis Care	0	-22,701	0	-45,644	0	0	0	0
Genetic Diseases Programs	0	-23,840	0	-47,934	0	0	0	0
Immunization Services	0	-164,560	0	-330,878	0	0	0	0
Venereal Disease Control	0	-8,447	0	-16,984	0	0	0	0
School Based Health Clinics	0	-139,161	0	-279,809	0	0	0	0
Total - General Fund	**0**	**-1,103,252**	**0**	**-2,200,685**	**0**	**0**	**0**	**0**
Budget Totals - GF	**590**	**59,998,455**	**590**	**60,175,461**	**2**	**3,851,500**	**2**	**3,722,500**
Budget Totals - OF	**5**	**2,158,517**	**5**	**236,870**	**0**	**1,612,027**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Occupational diseases reported/disease clusters identified by surveillance	2132 / 8	2200 / 10	2400 / 10	2500 / 12	2400 / 10	2400 /10	2400 / 10	2400 /10
Lead Poisoning cases identified	4,521	4,530	4,500	4,500	4,500	4,500	4,500	4,500
Newborns born to HIV infected women/Percent these newborns HIV infected (%)	94 / 17%	95 / 12%	90 / 9%	85 / 6%	90 / 9%	90 / 9%	90 / 9%	90 / 9%
Children Fully Immunized by Age 2 years (%)	85	86	87	89	86	86	86	86
Number People Reached Through Wellness & Services	135,319	146,545	144,500	143,250	144,500	143,250	144,500	143,250
Improve Health -WIC Clients Women/Infants/Children	62,043	65,500	67,500	70,500	67,500	67,500	67,500	67,500
Teens & Women Receiving Reproductive Health Services	186,633	192,630	206,405	213,530	195,000	195,000	195,000	195,000
New TB cases reported/Percent cases completing treatment	139 /100%	132 / 95%	125 / 95%	119 / 95%	125 / 95%	119 / 95%	125 / 95%	119 / 95%
Population served by new full-time local health services	28,000	80,000	80,000	70,000	80,000	70,000	80,000	70,000
Providers Authorized to Operate	203,593	210,000	210,000	210,000	210,000	210,000	210,000	210,000
Compliance Reviews/Inspections Conducted	36,031	37,500	37,500	37,500	37,500	37,500	37,500	37,500
Complaints investigated	2,439	2,542	2,542	2,542	2,542	2,542	2,542	2,542
Enforcement Actions Accomplished	2,218	2,295	2,295	2,295	2,295	2,295	2,295	2,295
Educational Programs Conducted	4,328	4,520	4,520	4,520	4,520	4,520	4,520	4,520
Data Analyses (Registration/Cancer Reports, etc.)	28	28	30	30	30	30	30	30
Targeted Planning/Reports Completed	6	4	4	4	4	4	4	4
Requests for Information (health/cancer data, etc.)	1,173	1,230	1,250	1,265	1,250	1,265	1,250	1,265
Certificate requests processed	18,000	5,520	10,000	10,000	10,000	10,000	10,000	10,000
Cancer patients followed annually	123,300	125,000	130,000	145,000	130,000	145,000	130,000	145,000
Health Records Processed (birth, tumor, etc.)	249,052	260,600	270,500	279,213	270,500	279,213	270,500	279,213
Data bases developed/linked	0	4	4	4	4	4	4	4
Health data sets provided via Internet	1	2	3	4	3	4	3	4
Emerging health care system studies	1	1	1	1	1	1	1	1
Specimens/Samples received	554,400	582,000	611,000	642,000	554,400	554,400	554,400	554,400
Tests on Specimens/Samples	980,000	1,028,000	1,080,000	1,134,000	980,000	980,000	980,000	980,000
Proficiency Testing-DPH by Fed Agcys (%)	95	95	95	95	95	95	95	95

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Expenditure Update/Local and District Departments of Health - (B)								
Local and district departments of health receive per capita support through a Department grant. Pursuant to PA 87-414, the following per capita grant payments were established in SFY 1987-88:								
Health Districts/ populations over 5,000 - $1.52								
populations under 5,000 - $1.78								
Full-Time Municipal Health Departments - $0.52								
(Prevention Program)								
-(G) Funding, in the amount of $188,853 in SFY 1997-98, is recommended to reflect a revised estimate of need under the Local and District Departments of Health account. An additional $132,303 (for a cumulative total of $321,156) is recommended in SFY 1998-99.								
-(L)Same as Governor								
Local and District Departments of Health	0	188,853	0	321,156	0	0	0	0
Total - General Fund	**0**	**188,853**	**0**	**321,156**	**0**	**0**	**0**	**0**
Obtain Equipment Funding from the Capital Equipment Purchase Fund - (B)								
The Capital Equipment Purchase Fund (CEPF) is authorized by Section 4a-9 of the Connecticut General Statutes and is used for the purchase of capital equipment with a useful life of at least three years. It is financed through the sale of bonds, and the funds are distributed jointly through the Department of Administrative Services and the Office of Policy and Management.								
-(G) A reduction in funding is recommended to reflect the use of bond funds for the purchase of equipment in lieu of a General Fund appropriation. Budget equipment funding levels of $1,429,377 in SFY 1997-98 and $1,533,190 in SFY 1998-99 respectively will be supported through bond funds.								
-(L)Same as Governor								
Equipment	0	-1,429,377	0	-1,533,190	0	0	0	0
Total - General Fund	**0**	**-1,429,377**	**0**	**-1,533,190**	**0**	**0**	**0**	**0**
Carry Forward Funding/Equipment - (B)								
Funding, in the amount of $106,490, was originally appropriated within SA 96-8, the Revised 1996-97 Appropriations Act, for equipment expenses of the Department of Public Health. Of this sum, it is estimated that $94,262 will remain unexpended as of June 30, 1997.								
-(L) Pursuant to Section 4-89(c) C.G.S., the sum of $94,262 is estimated to be carried forward from SFY 1996-97 into SFY 1997-98 to support expenditures for equipment.								
Carry Forward Funding	0	94,262	0	0	0	94,262	0	0
Total - Carry Forward Funding	**0**	**94,262**	**0**	**0**	**0**	**94,262**	**0**	**0**
Expenditure Update/Reductions in Inflationary Increases - (B)								
-(G) A reduction in funding, in the amount of $1,103,252, is recommended in SFY 1997-98 to reflect the Governor's proposal to uniformly eliminate inflation for all accounts. The sum of $1,103,252 would have been necessary to provide an inflationary increase of 2.4 percent in SFY 1997-98. A further reduction of $1,097,433 is recommended to reflect the continued impact of inflation in SFY 1998-99. The total second year reduction of $2,200,685 is necessary to represent the cumulative impact of these changes on the current services base over time.								
-(L)Same as Governor								
Other Expenses	0	-165,562	0	-315,606	0	0	0	0
Young Parents Program	0	-7,648	0	-15,377	0	0	0	0
Pregnancy Healthline	0	-4,260	0	-8,565	0	0	0	0
Needle and Syringe Exchange Program	0	-15,379	0	-30,922	0	0	0	0

OTHER SIGNIFICANT 1997 LEGISLATION AFFECTING THE AGENCY'S BUDGET

PA 97-259, "An Act Concerning School Readiness and Child Day Care" - The Act extends the amnesty program for unlicensed family day care homes and group day care homes from September 30, 1997 until September 30, 1998. It exempts these facilities from retroactive penalties as well as the penalty for operating without a license. By law, these exemptions are applied only if a facility has a compliance plan which has been agreed to by the DPH Commissioner. The Act allows these facilities to continue operating while participating in the amnesty program.

It also removes references to the specific number of hours of care day care centers and group day care homes are permitted to provide, and adds definitions for "night care" and "year round" day care programs.

Beginning October 1, 1997, the Act requires the DPH to ask the State Police and the Federal Bureau of Investigations (FBI) to conduct, respectively, a state and national criminal background check for every prospective employee in a day care center, group day care home, and family day care home and for initial applicants for a family day care home license. The DPH commissioner can charge a fee for the national check equal to the amount charged by the FBI and it must reimburse the State Police for the costs of the check. The Department shall notify all centers and homes of these requirements by October 1, 1997.

Finally, the Act restricts in some areas and broadens in others the DPH Commissioner's ability to deny a day care center, group day care home, and family day care license or suspend or revoke one due to the licensee's criminal history or that of anyone employed or housed by the licensee.

PA 97-286, "An Act Concerning Minor and Technical Changes to the Income Tax and Establishment of a Breast Cancer Research and Education Account" - This Act establishes a Breast Cancer Research and Education account, to be funded primarily by moneys collected by voluntary state income tax refund contributions. The account shall be used by the Department of Public Health (DPH) to (1) assist breast cancer research, education and related community service programs, or (2) to promote the income tax refund contribution system and the account. The fund can also receive money from public and private sources, including the federal government.

Expenditures from the account for promotional activities shall not exceed ten percent of the prior fiscal year's contributions, except up to $15,000 may be spent by July 1, 1998, to reimburse private organizations for such efforts, pursuant to the approval of the Commissioner of Public Health. The Department shall adopt regulations concerning the distribution of moneys within the fund.

PA 97-7 of the June Special Session, "An Act Concerning Expenditures for the Programs and Services of the Department of Public Health" - Section 9 of the Act requires any health care institution providing childbirth services to include universal newborn hearing screening as part of its standard of care and have a compliance review mechanism in place. This requirement does not apply to any infant whose parents object that screening conflicts with their religious practices. The Department of Public Health (DPH) must adopt implementing regulations.

Section 21 provides that for SFY 1997-98, grants made by DPH to community health centers for supporting infrastructure service to the uninsured or expansion initiative projects must be equivalent to awards made in SFY 1996-97, except, if any amount awarded in SFY 1996-97 is not required in SFY 1997-98, the excess amount must be distributed to all other community health centers according to their share of total funding. The distribution formula also holds true in SFY 1998-99.

Section 23 expands the DPH commissioner's authority to establish a childhood immunization program to include soliciting, receiving, and expending any funds from public and private sources.

Section 24 requires that laboratory services performed by the State Laboratory for local law enforcement agencies be done without charge. Section 25 requires that testing services provided by the Toxicology Division of the State Laboratory be provided without charge to the Office of the Chief Medical Examiner and police and prosecuting and investigating agencies.

Section 40 prohibits the assessment of a $1,000 registration fee on state-operated environmental laboratories.

Section 41 eliminates a requirement that the person caring for a newborn report an inflammation of the newborn's eyes to the local health director within six hours of observing it. Current law requires the person attending a birth to place one or two drops of a prophylactic solution into the infant's eyes immediately after birth. This section eliminates specific references to treatment amounts and substitutes "preparation" for solution, It eliminates the requirement that DPH provide the solution for free distribution to health practitioners.

Section 45 reduces the information that Superior Court clerks must report to DPH concerning marriage dissolutions and annulments.

Section 54 expands the age groups of women eligible for DPH's Breast and Cervical Cancer Early Detection and Treatment Referral Program. It reduces the frequency of testing for some age groups and increases it for others. It expands the eligibility for a pap test to victims of sexual assault and women testing HIV positive. By law the program must, within existing appropriations, contract with providers to perform mammograms and pap tests for certain women whose income is below 200% of the federal poverty level and whose insurance does not cover such screening.

Under current law the program provides mammograms (1) every two years for those age 40 through 50 and (2) every year for those age 51 to 64. This Act provides (1) one mammogram every year for women age 45 to 64 and (2) one mammogram every year for women age 35 to 44 if they have a first degree relative (i.e. mother, sister, daughter) who has had breast cancer. In effect, (1) coverage for women age 51 through 64 is unchanged (one mammogram per year); (2) women age 45 to 50 are eligible for a mammogram every year, instead of every two years; (3) women age 40 through 44 are eligible for a test every year, instead of every two years, but only if they have a mother, sister, or daughter with breast cancer; and (4) women age 35 through 39 are covered the same as women age 40 through 44, instead of no coverage.

This Act increases the population eligible for pap tests from women age 40 through 64 to those age 19 through 64, but it decreases the frequency of the test from annually to every three years for all except those directed otherwise by a physician or those who have tested positive for cervical cancer.

It expands the program to provide a pap test (1) to victims of sexual assault and (2) every six months to women who are HIV positive, and also requires providers of tests and treatment under the program to report the names of insurers of underinsured women to DPH.

Finally, it requires DPH to report on the program annually to the Appropriations Committee in addition to the Public Health Committee.

Section 56 requires DPH to conduct a teen pregnancy reduction media campaign meeting specified criteria. Beginning October 1, 1997, the Department must submit an annual report to the Appropriations and Public Health Committees on the status of the teen pregnancy reduction program. This must include the manner in which funds are being spent.

1997 BOND AUTHORIZATIONS

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	13(f)	-	Grants-in-aid to community health centers and primary care organizations for renovations, improvements and the purchase of equipment	9,500,000	1,000,000	-
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	57	-	Grant-in-aid to the Hill Health Center in New Haven for repair and improvement of facilities (SA 87-77 23(g)(2))	250,000	(250,000)	-
SA 97-1 (J5 SS)	80	-	Grant-in-aid to the Hill Health Center in New Haven for repair and improvement of facilities (SA 88-77 23(g))	250,000	(250,000)	-
SA 97-1 (J5 SS)	98	-	Grant-in-aid to Hill Health Center in New Haven for repair and improvements of facilities (SA 89-52 23(k))	1,500,000	(419,039)	-
			1997 Language Changes			
SA 97-1 (J5 SS)	181	-	Notwithstanding the provisions of section 3-20 of the general statutes, a grant-in-aid may be made for the Saint Jude's Congregate Elderly Housing Project in Norwich from funds authorized under section 37 of special act 93-2 of the June special session, in an amount not exceeding $150,000, INCLUDING ANY ACCRUED INTEREST, not withstanding the fact that funds have been committed or expended prior to the authorization of such funds by the State Bond Commission (PA 94-2 MSS 180)	150,000	-	-

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $3,167,989 in Personal Services from the early retirement incentive program, and $264,075 in Personal Services and $265,826 in Other Expenses as part of the general reductions in SFY 1997-98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the SFY 1998-99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $461,511 for SFY 1997-98.

Office of Health Care Access
4050

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	38	38	38	38	37	37
	Others Equated to Full-Time	2	2	2	2	2	2
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services[1]	1,861,111	1,924,134	1,897,414	1,986,598	1,813,914	1,903,098
002	Other Expenses[1]	442,097	468,283	513,931	513,931	162,631	512,631
005	Equipment	0	0	500	500	500	500
02X	Other Current Expenses	517,045	200,000	200,000	200,000	200,000	200,000
	Agency Total - General Fund	**2,820,253**	**2,592,417**	**2,611,845**	**2,701,029**	**2,177,045**	**2,616,229**
	Agency Total - Appropriated Funds	**2,820,253**	**2,592,417**	**2,611,845**	**2,701,029**	**2,177,045**	**2,616,229**
	Additional Funds Available						
	Carry Forward Funding	0	0	400,000	0	355,000	0
	Agency Grand Total	**2,820,253**	**2,592,417**	**3,011,845**	**2,701,029**	**2,532,045**	**2,616,229**
	BUDGET BY PROGRAM						
	Administration and Communications						
	Permanent Full-Time Positions GF	8	10	8	8	7	7
	General Fund						
	Personal Services	508,462	525,667	405,328	429,409	321,828	345,909
	Other Expenses	141,744	127,249	134,299	140,688	132,999	139,388
	Total - General Fund	**650,206**	**652,916**	**539,627**	**570,097**	**454,827**	**485,297**
	Additional Funds Available						
	Carry Forward Funding	0	0	400,000	0	355,000	0
	Total - All Funds	**650,206**	**652,916**	**939,627**	**570,097**	**809,827**	**485,297**
	Operations						
	Permanent Full-Time Positions GF	30	28	30	30	30	30
	General Fund						
	Personal Services	1,352,649	1,398,467	1,591,950	1,657,053	1,591,950	1,657,053
	Other Expenses	300,353	341,034	379,632	373,243	29,632	373,243
	Equipment	0	0	500	500	500	500
012	CHHC - Other Expenses	18,474	0	0	0	0	0
014	Health Care Access	75,000	0	0	0	0	0
015	Administration-Uncompensated Care	423,571	200,000	200,000	200,000	200,000	200,000
	Total - General Fund	**2,170,047**	**1,939,501**	**2,172,082**	**2,230,796**	**1,822,082**	**2,230,796**
	Personal Services Savings						
	General Fund						
	Personal Services	0	0	-99,864	-99,864	-99,864	-99,864
	EQUIPMENT						
005	Equipment	0	0	500	500	500	500
	Agency Grand Total	**2,820,253**	**2,592,417**	**3,011,845**	**2,701,029**	**2,532,045**	**2,616,229**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**38**	**3,357,554**	**38**	**3,357,554**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	56,118	0	145,302	0	0	0	0
Other Expenses	0	21,993	0	43,580	0	0	0	0
Equipment	0	70,500	0	59,500	0	0	0	0
Total - General Fund	**0**	**148,611**	**0**	**248,382**	**0**	**0**	**0**	**0**
Personal Services Savings - (B)								
-(G) A reduction in funding, in the amount of $99,864 in each of SFY 1997-98 and SFY 1998-99, is recommended to achieve Personal Services savings.								
-(L)Same as Governor								
Personal Services	0	-99,864	0	-99,864	0	0	0	0
Total - General Fund	**0**	**-99,864**	**0**	**-99,864**	**0**	**0**	**0**	**0**
Eliminate Deputy Commissioner - (B)								
The Office of Health Care Access (OHCA) is overseen by a Commissioner who is subject to appointment by the Governor. A Deputy Commissioner position was established by the Commissioner during the Fall of 1996.								
-(L) A reduction in funding, in the amount of $84,800, is provided in SFY 1997-98 and SFY 1998-99 to reflect the elimination of one Deputy Commissioner of the Office of Health Care Access, effective July 1, 1997. Included in this sum is $83,500 to reflect the salary associated with the position and $1,300 to reflect the elimination of one state vehicle.								
Personal Services	-1	-83,500	-1	-83,500	-1	-83,500	-1	-83,500
Other Expenses	0	-1,300	0	-1,300	0	-1,300	0	-1,300
Total - General Fund	**-1**	**-84,800**	**-1**	**-84,800**	**-1**	**-84,800**	**-1**	**-84,800**
Eliminate Funding for Health Data Institute - (B)								
PA 94-3 of the May Special Session, "An Act Concerning Health Care Access", called for the establishment of a Health Data Institute (HDI) at the University of Connecticut Health Center (UCHC). HDI was intended to be the principal source of health care data for the newly created Office of Health Care Access (OHCA). The annual sum of $400,000 was first appropriated under OHCA's budget to fund HDI in SFY 1994-95. To date, the Institute has not been established and is not anticipated to begin operation during the current fiscal year.								
-(G) A reduction in funding, in the amount of $400,000 in each year of the biennium, is recommended to reflect the elimination of a Health Data Institute at the University of Connecticut Health Center. Sections 27-29 of PA 97-8 of the June Special Session, "An Act Concerning Expenditures for the Programs and Services of the Department of Public Health", implement this change.								
-(L)Same as Governor								
Other Expenses	0	-400,000	0	-400,000	0	0	0	0
Total - General Fund	**0**	**-400,000**	**0**	**-400,000**	**0**	**0**	**0**	**0**
Pick-up With Carry Forward Funds/Other Expenses - (B)								
Section 39 of Proposed Bill 6702, the Governor's Recommended SFY 1997-99 Budget, authorized the carry-forward of the unexpended balance of funds appropriated to the Office of Health Care Access in SFY 1997-98 for a Health Data Institute.								
-(G) It is recommended that the sum of $400,000 in Other Expenses moneys be carried forward into SFY 1997-98.								
-(L) A reduction in funding, in the amount of $350,000 in SFY 1997-98, is provided to reflect the pick-up with carry-forward funds of Other Expenses costs which would otherwise be supported by an SFY 1997-98 appropriation. An additional $45,000 shall be carried forward and transferred to the Department of Public Health to support costs under the Breast and Cervical Cancer Early Detection and Treatment program, and the remaining $5,000 shall be carried forward to support health care data initiatives of								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
the Office of Health Care Access. Section 39 of SA 97-21, the 1997-99 Biennial Appropriations Act, implements these changes.								
Other Expenses	0	-350,000	0	0	0	-350,000	0	0
Total - General Fund	**0**	**-350,000**	**0**	**0**	**0**	**-350,000**	**0**	**0**
Carry Forward Funding	0	355,000	0	0	0	-45,000	0	0
Total - Carry Forward Funding	**0**	**355,000**	**0**	**0**	**0**	**-45,000**	**0**	**0**

Reduce Funding Due to State Data Center Rate Reductions - (B)
Many state agencies obtain computer services to support their business functions from the State Data Center, operated by the Department of Administrative Services through the Technical Services Revolving Fund.
-(G) Due to a reorganization of the operation of the State Data Center, there is a significant reduction in the rates for services charged to state agencies (CATER and BOSS). A reduction in funding, in the amount of $2,463 in each year of the biennium, is recommended to reflect resulting savings for the Office of Health Care Access.
-(L)Same as Governor

Other Expenses	0	-2,463	0	-2,463	0	0	0	0
Total - General Fund	**0**	**-2,463**	**0**	**-2,463**	**0**	**0**	**0**	**0**

Expenditure Update/Annualization/Administration of Uncompensated Care Program - (B)
In SFY 1994-95, the Office of Health Care Access (OHCA) entered into a contract with Peat Marwick to provide services necessary to administer the Uncompensated Care Pool. The sum of $423,571 was expended for this purpose in SFY 1995-96. Due to enhanced data processing capabilities, OHCA staff began to assume a portion of the duties formerly provided by Peat Marwick in the current fiscal year. These efforts are anticipated to continue in SFY 1997-98.
-(G) A reduction in funding, in the amount of $300,000 in each year of the biennium, is recommended to reflect the annualization of savings from bringing in-house a hospital audit process which was formerly performed by an outside consultant.
-(L)Same as Governor

Administration-Uncompensated Care	0	-300,000	0	-300,000	0	0	0	0
Total - General Fund	**0**	**-300,000**	**0**	**-300,000**	**0**	**0**	**0**	**0**

Obtain Equipment Funding from the Capital Equipment Purchase Fund - (B)
The Capital Equipment Purchase Fund (CEPF) is authorized by Section 4a-9 of the Connecticut General Statutes and is used for the purchase of capital equipment with a useful life of at least three years. It is financed through the sale of bonds, and the funds are distributed jointly through the Department of Administrative Services and the Office of Policy and Management.
-(G) A reduction in funding is recommended to reflect the use of bond funds for the purchase of equipment in lieu of a General Fund appropriation. Budget equipment funding levels of $70,000 and $59,000 in SFY 1997-98 and SFY 1998-99, respectively, will be supported through bond funds.
-(L)Same as Governor

Equipment	0	-70,000	0	-59,000	0	0	0	0
Total - General Fund	**0**	**-70,000**	**0**	**-59,000**	**0**	**0**	**0**	**0**

Expenditure Update/Inflation Reductions - (B)
-(G) A reduction in funding, in the amount of $21,993, is recommended in SFY 1997-98 to reflect the Governor's proposed to uniformly eliminate inflation for all accounts. A further reduction of $21,587 is recommended to reflect the continued impact of inflation in SFY 1998-99. The total second year reduction of $43,580 is necessary to represent the cumulative impact of these changes on the current services base over time.

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L)Same as Governor								
Other Expenses	0	-21,993	0	-43,580	0	0	0	0
Total - General Fund	**0**	**-21,993**	**0**	**-43,580**	**0**	**0**	**0**	**0**
Budget Totals - GF	**37**	**2,177,045**	**37**	**2,616,229**	**-1**	**-434,800**	**-1**	**-84,800**
Budget Totals - OF	**0**	**355,000**	**0**	**0**	**0**	**-45,000**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Health services data inquiries	200	200	225	225	225	225	225	225
Consumer inquiries processed	1,354	1,500	1,500	2,000	1,500	2,000	1,500	2,000
Consumer Ed Reports Issued	0	1	1	1	1	1	1	1
Consumer Educational Seminars	1	0	0	0	0	0	0	0
FOI Requests	850	1,000	1,100	1,100	1,100	1,100	1,100	1,100
Special health data analysis	16	10	12	12	12	12	12	12
Discharge records processed	385,000	383,000	400,000	400,000	400,000	400,000	400,000	400,000
Agency Reports	0	4	5	6	5	6	5	6
Public Hearings	16	10	10	10	10	10	10	10
CON requests	93	100	100	100	100	100	100	100
CON determinations	153	150	150	150	150	150	150	150
CON Modification requests	13	30	25	25	25	25	25	25
Total Certificate of Need	259	280	275	275	275	275	275	275
Calculated rate of growth in below inflation net revenue limits	34	32	33	33	32	32	32	32
Monitor financial condition in competitive market place	34	32	33	33	32	32	32	32
Monitor discount agreements	800	1,200	1,200	1,200	1,200	1,200	1,200	1,200
Uncompensated Care Program: Audit hospital data for purposes of state revenue maximization to ensure compliance with Federal & State statutes & regulations	34	32	33	33	32	32	32	32
Oversight of Preferred Provider Networks	70	200	250	300	250	300	250	300
Investigations	1	1	1	1	1	1	1	1

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $195,855 in Personal Services from the early retirement incentive program, and $18,139 in Personal Services and $7,725 in Other Expenses as part of the general reductions in SFY 1997-98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the SFY 1998-99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $33,180 for SFY 1997-98.

Office of the Medical Examiner
4090

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	48	49	52	52	52	52
	Others Equated to Full-Time	5	5	5	5	5	5
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	2,252,961	2,425,947	2,498,785	2,649,774	2,728,800	2,879,789
002	Other Expenses	1,536,438	1,531,989	1,540,405	1,437,105	1,540,405	1,437,105
005	Equipment	0	44,646	10,500	2,000	10,500	2,000
	Agency Total - General Fund[1]	**3,789,399**	**4,002,582**	**4,049,690**	**4,088,879**	**4,279,705**	**4,318,894**
	Agency Total - Appropriated Funds	**3,789,399**	**4,002,582**	**4,049,690**	**4,088,879**	**4,279,705**	**4,318,894**
	Additional Funds Available						
	Special Funds, Non-Appropriated	404	0	0	0	0	0
	Private Contributions	8,679	0	0	0	0	0
	Agency Grand Total	**3,798,482**	**4,002,582**	**4,049,690**	**4,088,879**	**4,279,705**	**4,318,894**
	BUDGET BY PROGRAM						
	Office of Medical Examiner						
	Permanent Full-Time Positions GF	48	49	52	52	52	52
	General Fund						
	Personal Services	2,252,961	2,425,947	2,728,800	2,879,789	2,958,815	3,109,804
	Other Expenses	1,536,438	1,531,989	1,540,405	1,437,105	1,540,405	1,437,105
	Equipment	0	44,646	10,500	2,000	10,500	2,000
	Total - General Fund	**3,789,399**	**4,002,582**	**4,279,705**	**4,318,894**	**4,509,720**	**4,548,909**
	Additional Funds Available						
	Special Funds, Non-Appropriated	404	0	0	0	0	0
	Private Contributions	8,679	0	0	0	0	0
	Total - Additional Funds Available	**9,083**	**0**	**0**	**0**	**0**	**0**
	Total - All Funds	**3,798,482**	**4,002,582**	**4,279,705**	**4,318,894**	**4,509,720**	**4,548,909**
	Personal Services Savings						
	General Fund						
	Personal Services	0	0	-230,015	-230,015	-230,015	-230,015
	EQUIPMENT						
005	Equipment	0	44,646	10,500	2,000	10,500	2,000
	Agency Grand Total	**3,798,482**	**4,002,582**	**4,049,690**	**4,088,879**	**4,279,705**	**4,318,894**

BUDGET CHANGES

	Legislative 98		Legislative 99		Diff. from Gov. 97-98		Diff. from Gov. 98-99	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
FY97 Governor's Estimated Expenditure - GF	**49**	**3,911,258**	**49**	**3,911,258**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	251,031	0	360,720	0	0	0	0
Other Expenses	0	83,238	0	132,111	0	0	0	0
Equipment	0	199,000	0	185,000	0	0	0	0
Total - General Fund	**0**	**533,269**	**0**	**677,831**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Reduce Personal Services Account - (B)								
(Preventive Program)								
-(G) An amount of $230,015 is recommended to be reduced from Personal Services to effect economy.								
-(L) An amount of $230,015 is restored to the Office of the Medical Examiner budget for each fiscal year 1997-98 and 1998-99. This restoration of funds would enable the Office to keep the present number of doctors and support staff.								
Personal Services	0	0	0	0	0	230,015	0	230,015
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**230,015**	**0**	**230,015**
Remove Inflationary Increases from Other Expenses - (B)								
-(G) An amount of $53,238 in Other Expenses inflationary increases and $48,873 are recommended to be removed from FY 1997-98 and 1998-99. Other expenses are also reduced by $7,384 in both fiscal years to reflect reductions in Technical Services Revolving Fund rates.								
-(L)Same as Governor								
Other Expenses	0	-60,622	0	-109,495	0	0	0	0
Total - General Fund	**0**	**-60,622**	**0**	**-109,495**	**0**	**0**	**0**	**0**
Fund Equipment from the Capital Purchase Equipment Fund - (B)								
-(G) An amount of ($197,000) is removed from Equipment in the Office of the Medical Examiner's budget for the purpose of purchasing equipment from the Capital Purchase Equipment Fund in FY 1997-98. An amount of $14,000 is added in the FY 1998-99.								
-(L)Same as Governor								
Equipment	0	-197,000	0	-183,000	0	0	0	0
Total - General Fund	**0**	**-197,000**	**0**	**-183,000**	**0**	**0**	**0**	**0**
Increase the Capacity of the Toxicology Laboratory - (B)								
In conjunction with autopsies performed, toxicological (chemical) analyses of body fluids and tissues and other forensic scientific examinations are performed. The number of cases received by the unit has increased since 1992. This is reflected below:								
1992-1305 1993-1459 1994-1387 1995-1373								
The Toxicology Unit has experienced problems completing timely analysis. The amount of cases completed was 50% within 14 days for the years 1992, 1993, and 1994. The percentage decreased to 42% for 1995 and for the first six months of 1996 averaged 30%. Also, over the last 3 years, approximately 10 new drugs are now associated with Medical Examiner cases. (Prevention Program)								
-(G) An amount of $18,800 is recommended by the Governor to be added to the Personal Services account in FY 1997-98 and $32,300 in FY 1998-99 for the purpose of increasing capacity of the Toxicology Unit. An amount of $6,000 is recommended to be removed from Other Expenses and $10,000 in FY 1998-99.								
-(L)Same as Governor								
Personal Services	0	18,800	0	32,300	0	0	0	0
Other Expenses	0	-6,000	0	-10,000	0	0	0	0
Total - General Fund	**0**	**12,800**	**0**	**22,300**	**0**	**0**	**0**	**0**
Establish a Forensic Field Investigation Unit - (B)								
The Office of the Chief Medical Examiner investigates all sudden and unexplained violent deaths. Postmortem examinations are conducted by the medical staff at the Farmington facility and supported by 76 Assistant Medical Examiners. The Assistant Medical Examiners conduct scene investigations and issue cremation certificates. The Assistant Medical Examiners once totaled 110 in 1987. They receive $78 per case. Cremation certificates totaled								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
6,959 in 1995-96 with 7,300 projected for 1996-97, 7,425 projected for FY 1997-98 and 7,575 for 1998-99. (Prevention Program) -(G) An amount of $79,700 and 3 positions are recommended for the office in 1997-98. An amount of $107,500 is recommended by the Governor for FY 1998-99. A reduction in Other Expenses in the amount of ($8,200) is recommended for FY 1997-98 and $107,500 is recommended to be reduced in FY 1998-99. An amount of $8,500 is recommended for Equipment in FY 1997-98. Positions would include a Supervisor and 2 Forensic Field Examiners. -(L)Same as Governor								
Personal Services	3	79,700	0	107,500	0	0	0	0
Other Expenses	0	-8,200	0	-107,500	0	0	0	0
Equipment	0	8,500	0	0	0	0	0	0
Total - General Fund	**3**	**80,000**	**0**	**0**	**0**	**0**	**0**	**0**
Budget Totals - GF	**52**	**4,279,705**	**49**	**4,318,894**	**0**	**230,015**	**0**	**230,015**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Investigations (Deaths Reported)	13,380	13,700	14,000	14,300	14,000	14,300	14,000	14,300
Autopsies	1,322	1,450	1,450	1,450	1,325	1,325	1,325	1,325
Referrals to Toxicology	1,263	1,400	1,400	1,400	1,300	1,300	1,300	1,300
Histology Slides	3,232	3,500	3,500	3,500	4,000	4,000	4,000	4,000
Transportation of Bodies	1,299	1,440	1,440	1,440	1,300	1,300	1,300	1,300
Medical Records Transmitted	2,627	2,700	2,700	2,700	2,700	2,700	2,700	2,700
Court Appearances (Civil and Criminal)	67	75	75	75	70	70	70	70

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $296,704 in Personal Services from the early retirement incentive program, and $27,288 in Personal Services as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $15,481 for FY '98.

Department of Mental Retardation
4100

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	4,603	4,603	4,409	4,354	4,782	4,727
	Others Equated to Full-Time	757	757	707	707	707	707
	Additional Funds Available						
	Permanent Full-Time	25	0	124	124	25	25
	Others Equated to Full-Time	0	0	23	23	23	23
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services[1]	215,064,177	224,399,404	215,727,498	217,467,909	229,155,107	233,625,407
002	Other Expenses[1]	20,285,681	20,189,364	20,221,126	20,109,268	15,777,536	15,888,191
005	Equipment	0	0	2,000	2,000	2,000	2,000
02X	Other Current Expenses[2]	19,950,671	33,011,200	34,607,394	34,635,287	29,944,046	30,131,439
6XX	Grant Payments - Other Than Towns[2]	211,938,204	239,882,777	261,815,674	266,644,781	257,904,069	262,150,730
	Agency Total - General Fund	**467,238,733**	**517,482,745**	**532,373,692**	**538,859,245**	**532,782,758**	**541,797,767**
	Agency Total - Appropriated Funds	**467,238,733**	**517,482,745**	**532,373,692**	**538,859,245**	**532,782,758**	**541,797,767**
	Additional Funds Available						
	Carry Forward Funding	0	0	0	0	161,000	0
	Private Contributions	1,060,816	994,497	1,041,820	1,104,137	1,041,820	1,104,137
	Federal Contributions	4,808,185	9,105,638	8,427,597	8,427,597	8,427,597	8,427,597
	Agency Grand Total	**473,107,734**	**527,582,880**	**541,843,109**	**548,390,979**	**542,413,175**	**551,329,501**
	BUDGET BY DIVISION						
	DMR - Resource Services						
	Agency Total - General Fund	**44,914,313**	**47,386,372**	**48,897,691**	**46,123,602**	**49,803,991**	**47,170,460**
	Carry Forward Funding	0	0	0	0	161,000	0
	Private Contributions	18,346	20,000	20,000	20,000	20,000	20,000
	Total All Funds	**44,932,659**	**47,406,372**	**48,917,691**	**46,143,602**	**49,984,991**	**47,190,460**
	DMR - Employment Opportunities and Day Services						
	Agency Total - General Fund	**96,767,205**	**114,615,143**	**117,070,129**	**117,972,273**	**117,761,819**	**118,895,724**
	Private Contributions	49,052	56,789	54,200	54,200	54,200	54,200
	Federal Contributions	4,304,263	8,401,579	7,733,003	7,733,003	7,733,003	7,733,003
	Total All Funds	**101,120,520**	**123,073,511**	**124,857,332**	**125,759,476**	**125,549,022**	**126,682,927**
	DMR - Residential Services						
	Agency Total - General Fund	**304,021,623**	**333,782,166**	**353,162,871**	**360,375,169**	**348,280,771**	**357,712,656**
	Private Contributions	1,134	1,200	1,200	1,200	1,200	1,200
	Total All Funds	**304,022,757**	**333,783,366**	**353,164,071**	**360,376,369**	**348,281,971**	**357,713,856**
	DMR - Management Services						
	Agency Total - General Fund	**21,535,592**	**21,699,064**	**23,492,201**	**24,637,401**	**22,185,377**	**23,268,127**
	Private Contributions	992,284	917,708	966,420	1,028,737	966,420	1,028,737
	Federal Contributions	503,922	704,059	694,594	694,594	694,594	694,594
	Total All Funds	**23,031,798**	**23,320,831**	**25,153,215**	**26,360,732**	**23,846,391**	**24,991,458**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	DMR - Personal Services Savings						
	Agency Total - General Fund	**0**	**0**	**-5,000,000**	**-5,000,000**	**0**	**0**
	Less: Turnover - Personal Services - GF	0	0	-5,249,200	-5,249,200	-5,249,200	-5,249,200
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
608	Rent Subsidy Program	1,760,987	1,969,438	2,030,719	2,022,828	2,111,183	2,111,183
612	Respite Care	1,506,431	1,473,205	1,473,384	1,473,384	1,473,384	1,473,384
616	Family Reunion Program	139,944	139,994	140,000	140,000	140,000	140,000
617	Employment Opportunities and Day Services[2]	70,559,096	75,515,483	78,904,140	79,824,915	79,489,835	80,657,482
618	Family Placements[2]	831,275	1,523,159	0	0	1,619,797	1,619,797
619	Emergency Placements[2]	645,399	2,620,444	0	0	3,175,349	3,175,349
620	Community Residential Services[2]	136,495,072	156,641,054	179,267,431	183,183,654	169,894,521	172,973,535
	OTHER CURRENT EXPENSES (Recap)						
011	Human Resource Development	415,762	385,600	385,600	385,600	385,600	385,600
013	Family Support Grants	966,038	969,000	969,000	969,000	969,000	969,000
014	Pilot Programs for Client Services[2]	1,857,525	1,919,870	0	0	1,929,826	1,929,826
027	Clinical Services	3,677,813	4,394,137	4,911,381	4,924,163	4,750,800	4,938,193
028	Early Intervention	473,588	12,239,562	17,622,155	17,637,266	11,489,562	11,489,562
032	Temporary Support Services	197,632	200,000	200,000	200,000	200,000	200,000
033	Community Temporary Support Services	99,990	100,000	100,000	100,000	100,000	100,000
035	Community Respite Care Programs	200,000	400,000	200,000	200,000	400,000	400,000
036	Dental Services	0	161,718	0	0	0	0
039	Workers' Compensation Claims	12,062,323	12,241,313	10,219,258	10,219,258	9,719,258	9,719,258
	EQUIPMENT						
005	Equipment	0	0	2,000	2,000	2,000	2,000
	Agency Grand Total	**473,107,734**	**527,582,880**	**541,843,109**	**548,390,979**	**542,413,175**	**551,329,501**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Case Management								
Staff to client ratio	1.49	1.49	1.49	1.49	1.49	1.49	1.49	1.49
Clients receiving case management srvs	12,062	12,062	12,062	12,062	12,062	12,062	12,062	12,062
Clients living in family homes	6,378	6,578	6,778	6,978	6,778	6,978	6,778	6,978
Net change in clients living in family homes	194	200	200	200	200	200	200	200
Respite Services Clients served								
Families	2,317	2,389	2,461	2,533	2,461	2,533	2,461	2,533
Community Training Home Clients	340	340	340	340	340	340	340	340
DMR licensed CTH respite beds	19	19	18	17	18	17	18	17
Family Support Grant Program								
Families served:								
Family Support Grant	269	269	269	269	269	269	269	269
Individual Support	502	500	500	500	500	500	500	500
Amount received-family per mo. ($) (Flat Grant only)	250	250	250	250	250	250	250	250
Temporary Support Services								
Families served	181	185	187	190	187	190	187	190
Staff Development and Training								
Staff Trained								
Public Sector (Person Days)								
New Employees	2,009	2,009	2,069	2,131	2,069	2,131	2,069	2,131
Specialized	5,262	5,262	5,420	5,582	5,420	5,582	5,420	5,582
Ongoing	3,939	3,939	4,057	4,057	4,057	4,057	4,057	4,057
Private Sector (Person Days)								
New Employees	46	46	47	49	47	49	47	49
Specialized	2,638	2,638	2,717	2,799	2,717	2,799	2,717	2,799
CTH Providers Receiving Training								
New Providers	48	48	48	48	48	48	48	48
Ongoing	306	306	306	306	306	306	306	306
Birth to Three								
Total children and families served	1,975	6,000	6,000	6,000	6,000	6,000	6,000	6,000

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Sheltered Empl. Individuals served								
Private	1,373	1,394	1,412	1,424	1,412	1,424	1,412	1,424
Public	223	223	223	223	223	223	223	223
Private Sector Group Supported Employment								
Individuals working	2,147	2,202	2,248	2,278	2,248	2,278	2,248	2,278
Avg annual cost per person ($)	13,018	12,978	13,289	13,959	12,978	12,978	12,978	12,978
Public Sector Supported Employment								
Individuals working	165	165	165	165	165	165	165	165
Private Sector Non-Vocational Programs, Day Support Options								
Individuals served	1,867	1,909	1,887	1,968	1,903	1,903	1,903	1,903
Average annual cost per person ($)	14,800	14,893	15,250	15,616	14,893	14,893	14,893	14,893
Public Sector Non-Vocational Programs Day Support Options								
Individuals Served	775	775	775	775	775	775	775	775
Community Training Homes Individuals Served								
Adult	470	472	472	472	472	472	472	472
Children	54	54	54	54	54	54	54	54
Adults funded by St. Appropriation for:								
Less than 24 hour coverage	33	33	33	33	33	33	33	33
Full 24 hour coverage	331	333	333	333	333	333	333	333
Ongoing Comprehensive	106	166	166	166	166	166	166	166
Children Funded for:								
Full 24 hour coverage	11	11	11	11	11	11	11	11
Ongoing Comprehensive	43	43	43	43	43	43	43	43
Licensed Community Training Homes	356	356	356	356	356	356	356	356
Licensed service capacity	655	655	655	655	655	655	655	655
Average DMR Payment/Client/Month ($)								
Less than 24 hour coverage	157							
Full 24 hour coverage	451							
Ongoing Comprehensive	1,022							
Private Community Living Arrangements								
Non-Intermediate Care Facility (ICF)/MR								
Licensed capacity of CLA's	2,093	2,228	2,338	2,413	2,364	2,364	2,359	2,434
Avg daily cost per person ($)	163	177	181	185	177	177	177	177
Intermediate Care Facility (ICF)/MR								
Total ICF/MR CLA's	71	71	71	71	71	71	68	68
Total ICF/MR CLA capacity	393	393	393	393	393	393	372	372
Public Community Living Arrangement								
Individuals in CLA's	775	767	767	767	647	647	647	647
Community Living Arrangement (CLA's)	147	145	145	145	125	125	125	125
Capacity of CLA's	781	767	767	767	647	647	647	647
Regional Campus								
Individuals on regional campus	386	374	374	374	374	374	374	374
ICF/MR certified beds	380	368	368	368	368	368	368	368
Southbury Training School								
Residents	807	782	777	762	761	746	761	746
ICF/MR certified beds	303	316	316	316	616	616	616	616
Individuals Served								
Habilitative nurseries	21	21	21	21	21	21	21	21
Long-term care facilities	462	462	427	427	427	427	427	427
Residential schools	55	55	55	55	55	66	55	66
Other	56	56	56	56	56	56	56	56
People receiving Purchased Supported Living Services	636	662	662	662	662	662	662	662
People receiving Public Supported Living Services	465	465	465	465	465	465	465	465

Note: Program measures have not been adjusted in SFY 1997-99 to reflect appropriated dollars provided in order to reduce the DMR residential and day services waiting list.

Federal Reimbursement Received ($M)								
State ICF/MR ($M)	69.6	68.8	73.5	77	94.3	105.7	88.6	96.4
Home and Community Based Waiver ($M)	103.5	74.4	77.1	79.5	76	77.9	104	104.6
Target Case Management ($M)	1.8	2.5	2.6	2.6	2.6	2.6	4.0	4.0
Birth-to-Three ($M)			2.7	2.8	2.7	2.8	2.7	2.8
Worker's Compensation Claims	2,271	2,271	2,271	2,271	2,271	2,271	2,271	2,271
Pay out on claims ($M)	11.7	11.2	11.5	11.7	10.2	10.2	9.7	9.7

OTHER SIGNIFICANT 1997 LEGISLATION AFFECTING THE AGENCY'S BUDGET

PA 97-8 of the June Special Session, " An Act Concerning Expenditures for the Programs and Services of the Department of Public Health" - Section 33 authorizes the Secretary of the Office of Policy and Management to distribute funds appropriated for the Private Provider account to the Department of Mental Health and Addiction Services, the Department of Mental Retardation, the Department of Social Services and the Department of Children and Families. These funds shall be used for payments to private providers, except not more than $4.5 million shall be distributed in SFY 1997-98 to: (1) agencies that settle wage and benefit contracts with unionized employees between April 1, 1997 and August 1, 1997, for the otherwise unreimbursed costs of collective bargaining agreements incurred in SFY 1997-98, and (2) proportional increases in personnel costs for such agencies' non-unionized employees. Also, not more than $4.5 million shall be distributed in SFY 1997-98 to: (A)(1) agencies that do not settle wage and benefit contracts with unionized employees between April 1, 1997 and August 1, 1997 for otherwise unreimbursed costs of collective bargaining agreements incurred in SFY 1997-98, and (2) proportional increases in personnel costs for such agencies' non-unionized employees and (B) non-unionized private provider agencies for increases in personnel costs. The exact amount to be distributed to the Department of Mental Retardation was not yet determined as of July 31, 1997.

Section 34 of PA 97-8 requires the Department of Mental Retardation to provide technical support to private providers in implementing work-site based projects in order to reflect injury reducing initiatives. This provision further requires the department to report to the joint standing committee having cognizance of matters relating to public health and identify the potential savings and progress of the overall work-site safety projects, in addition to identifying total workers' compensation costs incurred by private providers as funded by said departments.

Section 38 of PA 97-8 prohibits the commissioner from accepting new admissions at the Southbury Training School (STS). This section also prohibits the commissioner from certifying additional beds as intermediate care facilities for the mentally retarded (ICF/MR) at STS beyond a total of 616 for the fiscal years ending June 30, 1998 and June 30, 1999. It should be noted that PA 97-21, the State Budget for the Biennium ending June 30, 1998 and June 30, 1999, provides funding for the certification of 303 beds as ICF/MR at STS. This initiative will bring the total of ICF/MR certified beds at STS to 616.

PA 97-2 of the June Special Session, "An Act Concerning Welfare Reform and the Expenditures of the Department of Social Services" - Sections 156 through 161 require the department to establish and maintain a registry of individuals employed by the department or by an agency that is licensed or funded by the department that provides services to department clients, who have been terminated or separated from employment as a result of substantiated abuse or neglect.

INSTITUTIONAL DATA - GENERAL FUND

	POPULATION Total Beds/Client Pop.			POSITIONS Permanent Full-Time			OPERATING BUDGET		
	Actual '95-96	Est. '96-97	Proj. '97-98	Actual '95-96	Est. '96-97	Proj. '97-98	Actual '95-96	Est. '96-97	Proj. '97-98
Northwest Region				374	371	371	57,639,627	68,261,659	66,037,052
Residential									
On Campus	48/45	48/44	48/44						
CLA	78/77	73/72	73/72						
Supported Living	88	84	84						
Early Connections	194	162	162						
Adult Programs	107	113	113						
North Central Region				706	695	695	100,725,839	113,705,170	112,017,777
Residential									
On Campus	76/76	76/74	76/74						
CLA	272/264	257/249	257/249						
Supported Living	152	161	161						
Early Connections	334	313	313						
Adult Programs	79	79	79						
Eastern Region				874	879	879	85,122,398	89,306,777	84,179,052
Residential									
On Campus	113/80	58/58	58/58						
CLA	225/221	244/240	244/240						
Supported Living	133	128	128						
Early Connections	289	287	287						
Adult Programs	327	333	333						
Southwest Region				420	383	383	50,598,334	7,739,354	59,250,419
Residential									
On Campus	143/140	138/138	138/138						
CLA	58/57	61/58	61/58						
Supported Liv.	47	43	43						
Early Connections	100	97	97						
Adult Programs	64	53	53						
South Central Region				453	427	427	76,280,953	88,166,262	8,176,146
Residential									
On Campus	25/24	25/25	25/25						
CLA	159/156	141/138	141/138						
Supported Liv.	45	62	62						
Early Connections	188	205	205						
Adult Programs	44	43	43						

	POPULATION Total Beds/Client Pop.			POSITIONS Permanent Full-Time			OPERATING BUDGET		
	Actual '95-96	Est. '96-97	Proj. '97-98	Actual '95-96	Est. '96-97	Proj. '97-98	Actual '95-96	Est. '96-97	Proj. '97-98
Southbury				1750	1754	1910	78,712,091	79,049,396	83,531,513
Residential									
On Campus	900/807	831/782	831/767						
Day Services									
School Dist. School Age	0	0	0						
Adult Programs	610	615	615						
Central Office				96	94	117	18,159,492	17,105,453	23,748,233
Totals				4,673	4,603	4,782	467,238,734	513,334,071	516,940,192
Residential									
On Campus	1305/1172	1176/1121	1176/1106						
CLA	792/775	776/757	776/757						
Supported Liv.	465	478	478						
Early Connections	1105	1064	1064						
Adult Programs	1163	1226	1226						

Note: FY 98 Central Office budget projection includes $8,272,235 in undistributed funds. Positions for all years are authorized full-time positions. Projected position count reflects the budgeted net increase of 179 positions as appropriated for FY 98 from FY 97. Population by region reflects DMR-operated programs.

Note: Projected operating budget reflects various adjustments including reductions required by the budget from the early retirement incentive program and Personal Services and Other Expenses reductions; and distribution from Salary Adjustments account in OPM based on (settled) collective bargaining agreements.

Note: DMR took over the Birth-to-Three program in FY 96-97. In FY 96-97, 3,825 children were served in the 39 Birth-to-Three programs. The DMR-operated Early Connections program served approximately 32% of those children.

1997 BOND AUTHORIZATIONS

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	2(h)(1)	-	Additions, alterations, renovations and improvements to buildings and grounds, including utilities and mechanical systems, code compliance and energy conservation projects	23,140,000	4,000,000	-
SA 97-1 (J5 SS)	2(h)(2)	-	Land acquisition, construction or purchase of specialized group homes statewide	10,034,591	1,365,000	-
SA 97-1 (J5 SS)	157	-	Increase to SA 93-2 JSS 30(e)(2): Southbury Training School - Additions, alterations, renovations and improvements to buildings and grounds, including utilities and mechanical systems, code compliance and energy conservation. (Secs. 86 and 103 reduce 1989 and 1990 authorizations totaling $7,598,000 by $2,309,514 for these purposes.)	5,288,486	2,310,000	-
SA 97-1 (J5 SS)	203	-	Increase in SA 95-20 22(i)(2): Fire, safety and environmental improvements to statewide regional facilities for client and staff needs, including improvements in compliance with current codes, including intermediate care facility standards, site improvements, handicapped access improvements, utilities, repair or replacement of roofs, air conditioning and other interior and exterior building renovations (Secs. 102 and 156 reduce 1990 and 1993 authorizations totaling $4,410,000 by $181,143 for these purposes.)	4,228,857	182,000	-
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	65	-	At Southbury Training School: Replacement of hot water storage tanks at all cottages, improvements to the power plant system, renovations to two existing storage areas in accordance current codes, including intermediate care facility standards (SA 88-77 2(m)(2))	1,000,000	(81,000)	-
SA 97-1 (J5 SS)	86	-	At Southbury Training School: Renovate cottage 35 for interim living space, renovate cottage 31 in accordance with current codes, design renovations for two other cottages, renovate	3,380,000	(1,285,200)	-

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			bathrooms at Roselle School for handicapped accessibility, and air conditioning and utility improvements at various buildings (SA 89-52 2(i)(2))			
SA 97-1 (J5 SS)	102	-	Fire, safety and environmental improvements, including improvements in compliance with current codes, including intermediate care facility standards, site improvements, handicapped access improvements, utilities, repair or replacement of roofs, air conditioning and other interior and exterior building renovations at all state-facilities (SA 90-34 2(i)(1))	3,060,000	(15,200)	-
SA 97-1 (J5 SS)	103	-	At Southbury Training School: Renovate cottages 4 and 21, install elevator and replace front steps at Roselle School, repair entrance to Health Center, phase II air conditioning of client-occupied buildings and cottages, replace heating systems in cottages 40, 41 and 42, repair roof on administration building, repairs to water and sewer mains and sewage treatment plant, replace windows in cottages 18 and 34, and parking lot and powerhouse improvements (SA 90-34 2(i)(2))	4,218,000	(1,024,314)	-
SA 97-1 (J5 SS)	156	-	Fire, safety and environmental improvements including improvements in compliance with current codes, including intermediate care facility standards, site improvements, handicapped access improvements, utilities, repair or replacement of roofs, air conditioning, and other interior and exterior building renovations and additions at all state-owned facilities (SA 93-2 JSS 30(e)(1))	1,350,000	(165,943)	-

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $17,442,894 in Personal Services from the early retirement incentive program, and $2,291,551 in Personal Services and $749,433 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $4,641,312 for FY '98.

[2] Section 33 of PA 97-8 of the June Special Session, "An Act Concerning Expenditures for the Programs and Services of the Department of Public Health" authorizes the Secretary of the Office of Policy and Management to distribute funds appropriated for the Private Provider account to the Department of Mental Health and Addiction Services, the Department of Mental Retardation, the Department of Social Services and the Department of Children and Families. These funds shall be used for payments to private providers, except not more than $4.5 million shall be distributed in SFY 1997-98 to: (1) agencies that settle wage and benefit contracts with unionized employees between April 1, 1997 and August 1, 1997, for the otherwise unreimbursed costs of collective bargaining agreements incurred in SFY 1997-98, and (2) proportional increases in personnel costs for such agencies' non - unionized employees. Also, not more than $4.5 million shall be distributed in SFY 1997-98 to: (A)(1) agencies that do not settle wage and benefit contracts with unionized employees between April 1, 1997 and August 1, 1997 for otherwise unreimbursed costs of collective bargaining agreements incurred in SFY 1997-98, and (2) proportional increases in personnel costs for such agencies' non - unionized employees and (B) non - unionized private provider agencies for increases in personnel costs. The exact amount to be distributed to the Department of Mental Retardation was not yet determined as of July 31, 1997.

DMR - Resource Services
4101

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	721	721	721	721	745	745
	Others Equated to Full-Time	117	117	117	117	117	117
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	36,716,365	37,516,023	40,094,223	37,320,134	40,694,223	38,060,692
002	Other Expenses	1,369,014	1,984,865	1,939,770	1,939,770	1,939,770	1,939,770
02X	Other Current Expenses	5,199,076	6,241,055	4,915,492	4,915,492	5,509,922	5,509,922
6XX	Grant Payments - Other Than Towns	1,629,858	1,644,429	1,948,206	1,948,206	1,660,076	1,660,076
	Agency Total - General Fund	**44,914,313**	**47,386,372**	**48,897,691**	**46,123,602**	**49,803,991**	**47,170,460**
	Agency Total - Appropriated Funds	**44,914,313**	**47,386,372**	**48,897,691**	**46,123,602**	**49,803,991**	**47,170,460**
	Additional Funds Available						
	Carry Forward Funding	0	0	0	0	161,000	0
	Private Contributions	18,346	20,000	20,000	20,000	20,000	20,000
	Agency Grand Total	**44,932,659**	**47,406,372**	**48,917,691**	**46,143,602**	**49,984,991**	**47,190,460**
	BUDGET BY PROGRAM						
	Case Management						
	Permanent Full-Time Positions GF	218	218	218	218	242	242
	General Fund						
	Personal Services	9,501,497	9,500,410	10,925,064	11,018,643	11,525,064	11,759,201
	Other Expenses	219,726	212,396	212,396	212,396	212,396	212,396
	Total - General Fund	**9,721,223**	**9,712,806**	**11,137,460**	**11,231,039**	**11,737,460**	**11,971,597**
	Family Support Services						
	Permanent Full-Time Positions GF	40	40	40	40	40	40
	General Fund						
	Personal Services	1,859,483	1,824,005	2,274,406	2,287,092	2,274,406	2,287,092
	Other Expenses	30,738	29,718	29,718	29,718	29,718	29,718
013	Family Support Grants	966,038	969,000	969,000	969,000	969,000	969,000
014	Pilot Programs for Client Services[1]	385,472	394,430	0	0	394,430	394,430
027	Clinical Services	4,356	3,990	3,990	3,990	3,990	3,990
032	Temporary Support Services	153,602	154,643	154,643	154,643	154,643	154,643
033	Community Temporary Support Services	99,990	100,000	100,000	100,000	100,000	100,000
035	Community Respite Care Programs	200,000	399,514	200,000	200,000	400,000	400,000
	Grant Payments - Other Than Towns						
	Respite Care	1,372,031	1,341,757	1,341,936	1,341,936	1,341,936	1,341,936
	Family Reunion Program	139,944	139,994	140,000	140,000	140,000	140,000
	Employment Opportunities and Day Services	2,330	2,343	2,343	2,343	2,343	2,343
	Family Placements	23,279	36,376	0	0	36,376	36,376
	Emergency Placements	8,415	33,121	0	0	33,121	33,121
	Community Residential Services	83,859	90,838	463,927	463,927	106,300	106,300
	Total - General Fund	**5,329,537**	**5,519,729**	**5,679,963**	**5,692,649**	**5,986,263**	**5,998,949**
	Specialized Health and Support Services						
	Permanent Full-Time Positions GF	441	441	441	441	441	441
	General Fund						
	Personal Services	24,455,783	25,277,509	25,863,435	22,958,051	25,863,435	22,958,051
	Other Expenses	1,103,819	1,728,018	1,682,923	1,682,923	1,682,923	1,682,923
027	Clinical Services	2,973,856	3,680,283	3,102,259	3,102,259	3,102,259	3,102,259
035	Community Respite Care Programs	0	11	0	0	0	0

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
036	Dental Services	0	161,718	0	0	0	0
	Total - General Fund	**28,533,458**	**30,847,539**	**30,648,617**	**27,743,233**	**30,648,617**	**27,743,233**
	Additional Funds Available						
	Carry Forward Funding	0	0	0	0	161,000	0
	Private Contributions	18,346	20,000	20,000	20,000	20,000	20,000
	Total - Additional Funds Available	**18,346**	**20,000**	**20,000**	**20,000**	**181,000**	**20,000**
	Total - All Funds	**28,551,804**	**30,867,539**	**30,668,617**	**27,763,233**	**30,829,617**	**27,763,233**
	Staff Development and Training						
	Permanent Full-Time Positions GF	22	22	22	22	22	22
	General Fund						
	Personal Services	899,602	914,099	1,031,318	1,056,348	1,031,318	1,056,348
	Other Expenses	14,731	14,733	14,733	14,733	14,733	14,733
011	Human Resource Development	415,762	377,466	385,600	385,600	385,600	385,600
	Total - General Fund	**1,330,095**	**1,306,298**	**1,431,651**	**1,456,681**	**1,431,651**	**1,456,681**
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
612	Respite Care	1,372,031	1,341,757	1,341,936	1,341,936	1,341,936	1,341,936
616	Family Reunion Program	139,944	139,994	140,000	140,000	140,000	140,000
617	Employment Opportunities and Day Services[1]	2,330	2,343	2,343	2,343	2,343	2,343
618	Family Placements[1]	23,279	36,376	0	0	36,376	36,376
619	Emergency Placements[1]	8,415	33,121	0	0	33,121	33,121
620	Community Residential Services[1]	83,859	90,838	463,927	463,927	106,300	106,300
	Agency Grand Total	**44,932,659**	**47,406,372**	**48,917,691**	**46,143,602**	**49,984,991**	**47,190,460**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**721**	**46,617,142**	**721**	**46,617,142**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	2,578,200	0	-195,889	0	0	0	0
Other Expenses	0	5,737	0	36,849	0	0	0	0
Equipment	0	37,300	0	37,300	0	0	0	0
Other Current Expenses	0	153,892	0	310,105	0	0	0	0
Grant Payments - Other Than Towns	0	68,847	0	115,254	0	0	0	0
Total - General Fund	**0**	**2,843,976**	**0**	**303,619**	**0**	**0**	**0**	**0**

Eliminate Dental Services - (B)

The Department of Mental Retardation (DMR) provides dental services for eligible recipients as an optional service under the Medical Assistance (Medicaid) program. Funds in the amount of $161,718 were appropriated in SFY 1996-97 to be used for a study of the delivery of these health services for DMR clients.

-(G) A reduction in funding, in the amount of $161,718 is recommended in SFY 1997-98 and in SFY 1998-99 to reflect eliminating the Dental Services account. SFY 1996-97 funding under this line item was intended to support a one-time study.

-(L) A reduction in funding, in the amount of $161,718 is provided in SFY 1997-98 and in SFY 1998-99 to reflect eliminating the Dental Services account. SFY 1996-97 funding under this line item was intended to support a one-time study. Pursuant to Section 64 of SA 97-21, (the Appropriations Act for the 1997-99 Biennium), funding in the amount of $161,000 is carried forward from SFY 1996-97 into SFY 1997-98 to support the continuation of the health services study for department clients.

Dental Services	0	-161,718	0	-161,718	0	0	0	0
Total - General Fund	**0**	**-161,718**	**0**	**-161,718**	**0**	**0**	**0**	**0**
Carry Forward Funding	0	161,000	0	0	0	161,000	0	0
Total - Carry Forward Funding	**0**	**161,000**	**0**	**0**	**0**	**161,000**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Obtain Equipment Funding from the Capital Equipment Purchase Fund - (B)								
The Capital Equipment Purchase Fund (CEPF) is authorized by Section 4a-9 of the Connecticut General Statutes and is used for the purchase of capital equipment with a useful life of at least three years. It is financed through the sale of bonds, and the funds are distributed jointly through the Department of Administrative Services and the Office of Policy and Management.								
-(G) A reduction in funding, in the amount of $398,000 is recommended to reflect the use of CEPF bond funds for the purchase of equipment in lieu of a General Fund appropriation in SFY 1997-98. A reduction in funding, in the amount of $398,000, is recommended to reflect the continued use of the CEPF to purchase equipment in SFY 1998-99. The balance of this reduction appears throughout the agency's other programs.								
-(L)Same as Governor								
Equipment	0	-37,300	0	-37,300	0	0	0	0
Total - General Fund	**0**	**-37,300**	**0**	**-37,300**	**0**	**0**	**0**	**0**
Merge Pilot Programs for Client Services Under the Day and Community Residential Services Accounts - (B)								
The Department of Mental Retardation's (DMR) waiting list pilot programs for client services develop non-traditional, person-centered, and cost-effective methods of support for families and individuals. Waiting list pilot projects funded by DMR are available to service recipients and/or persons who have the full-time responsibility for the care of individuals eligible for DMR services. Pilot projects are not entitlements and are limited to funds available. The families are paid directly for the assistance to avoid the higher cost to place the client.								
-(G) Funding, in the amount of $1,929,826 is recommended to be transferred from the Pilot Program for Client Services account to the Community Residential and Employment Opportunities and Day Services accounts in each of SFY 1997-98 and SFY 1998-99. By transferring the pilot programs to the specified accounts the services will continue to be provided, yet their accounting is simplified. The balance of this transfer appears in the agency's Employment Opportunities and Day Services and Residential Services programs.								
-(L) A transfer of funding, in the amount of $1,929,826 from the Pilot Programs for Client Services account to the Community Residential Services and Employment Opportunities and Day Services accounts in each of SFY 1997-98 and SFY 1998-99 is not provided. It is legislative intent that the appropriations for this program remain as originally established.								
Pilot Programs for Client Services	0	0	0	0	0	394,430	0	394,430
Community Residential Services	0	0	0	0	0	-288,130	0	-288,130
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**106,300**	**0**	**106,300**
Merge Family Placements and Emergency Placements under Community Residential Services - (B)								
The Family Placements and Emergency Placements accounts support the purchase of residential services for families who have a member in need of placement or residential support. Supported Living Services and Community Training Homes are the residential settings eligible to be paid from these accounts.								
-(G) Funding, in the amount of $1,619,797 from the Family Placements account and in the amount of $3,175,349 from the Emergency Placements account is recommended to be transferred to the Community Residential Services account in SFY 1997-98. An equivalent transfer is recommended in SFY 1998-99. All placements earmarked by the Legislature for this purpose are anticipated to have been made by the end of SFY 1996-97. The balance of this transfer appears in the agency's Residential Services program.								
-(L) A transfer of funding, in the amount of $1,619,797 from the Family Placements account and in the amount of $3,175,349 from the Emergency Placements account to the Community								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Residential Services account in SFY 1997-98 and in SFY 1998-99 is not provided. It is legislative intent that the appropriations for these programs remain as originally established.								
Family Placements	0	0	0	0	0	36,376	0	36,376
Emergency Placements	0	0	0	0	0	33,121	0	33,121
Community Residential Services	0	0	0	0	0	-69,497	0	-69,497
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**

Transfer Funds to the Office of Protection and Advocacy - (B)
The Office of Protection and Advocacy contracts with Connecticut Legal Services to provide legal services for CARC v. Thorne class members. The CARC v. Thorne decision came as a result of the Mansfield Training School closing. The consent decree stated that the class members must live in community settings of six or fewer and as a result there are still class members that are not properly placed. The consent decree requires the Department of Mental Retardation to provide advocates for the class members.
-(G) A transfer of funds, in the amount of $100,000, is recommended from the Department of Mental Retardation to the Office of Protection and Advocacy for legal services provided to CARC v. Thorne class members in SFY 1997-98 and SFY 1998-99. The balance of this transfer appears in the agency's Management Services and Community Residential Services programs.
-(L)Same as Governor

Other Expenses	0	-45,095	0	-45,095	0	0	0	0
Total - General Fund	**0**	**-45,095**	**0**	**-45,095**	**0**	**0**	**0**	**0**

Establish a Division of Recreation within the Department of Mental Retardation - (B)
-(L) The Department of Mental Retardation shall establish a new Recreation and Respite Care Services Division. This division shall employ full time recreation staff and full time and part time respite care staff. A division chief shall report directly to the Commissioner. The Recreation and Respite Care Services Division will be responsible for coordinating uniform recreation and respite care services throughout the five regions in the state.

Increase Funding to Reduce Waiting List - (B)
As of the Winter of 1997, there were 1,281 individuals waiting for residential placements and 370 individuals waiting for day program services from the Department of Mental Retardation. This does not include an estimated 197 high school students who will be graduating in June of 1997 and will be in need of service. The individuals on the residential waiting list currently live in their own homes or with their families and have requested residential placements. Of the 370 individuals on the day services waiting list, 243 live in their own homes or with their families and have requested these services from the department.

Case management is a process by which the department directs, coordinates, and monitors services to persons with mental retardation from the time at which the person enters the system to the time at which they are discharged. Due to the heavy caseloads, there are individuals who do not receive appropriate attention through the current case management program and are in need of services that have not been identified. By expanding the case management program this will allow additional clients to have access to case managers on a consistent basis and may prevent more costly placements by maintaining communication and ongoing monitoring of the individuals needs.
-(L) Funding, in the amount of $6,900,000 for SFY 1997-98 and $8,461,752 for SFY 1998-99 is provided in the following areas:

Funding, in the amount of $5,300,000 is provided in SFY 1997-98 to reduce the residential waiting list and provide for additional placements in the community residential

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
services program in addition to providing for family supported services. Funding, in the amount of $6,502,549 is provided in SFY 1998-99 to reflect the annualized cost of these placements and supported services.								
Funding, in the amount of $1,000,000 is provided for SFY 1997-98 to reflect a reduction in the day services waiting list and provide support to individuals in the Employment Opportunities and Day Services program. Funding, in the amount of $1,218,645 is provided in SFY 1998-99 to reflect the annualized cost of these services.								
In addition, funding in the amount of $600,000 is provided for SFY 1997-98 to reflect Targeted Case Management Services to individuals currently waiting for residential services that would benefit from more focused case management. Funding, in the amount of $740,558 is provided for SFY 1998-99 to reflect the annualized cost of these services. The state is eligible for federal reimbursement under Medicaid for individuals receiving targeted case management and for individuals receiving services under the Home & Community Based Waiver program.								
It is estimated that the majority of clients on the residential waiting list will receive enhanced targeted case management services. As a result of the appropriated dollars, it is anticipated that 40% of those individuals on the day services waiting list and living in their own home or with their families shall be provided day services. In addition, residential and family supported services shall be provided to an estimated 15% of the residential waiting list.								
The balance of the increase in funding appears in the agency's Employment Opportunities and Day Services and Community Residential Services programs.								
Personal Services	24	600,000	24	740,558	24	600,000	24	740,558
Total - General Fund	**24**	**600,000**	**24**	**740,558**	**24**	**600,000**	**24**	**740,558**
Incorporate Assorted Annualizations - (B) -(G) These changes recognize a variety of increased program costs the department underwent during SFY 1996-97. Total funding for these annualized costs, in the amount of $3,000,000 for SFY 1997-98 and the same for SFY 1998-99, is recommended. Included in this total is $1,836,532 for community placements in the Rent Subsidy, Employment Opportunities and Day Services, and Community Residential Services accounts. In addition, annualized funding in the amount of $321,531 in the Employment Opportunities and Day Services and Community Residential Services accounts is recommended to reflect full-year support of clients transferred from Norwich Hospital to community placements. The costs associated with Southbury Training School community placements are recommended to be annualized in the amount of $494,933 in the Employment Opportunities and Day Services and Community Residential Services accounts. The final recommended annualization is for private provider increases in the amount of $347,004. The balance of this increase in funding appears throughout the agency's other programs. -(L)Same as Governor								
Community Residential Services	0	15,462	0	15,462	0	0	0	0
Total - General Fund	**0**	**15,462**	**0**	**15,462**	**0**	**0**	**0**	**0**
Transfer Funds from DSS for the Continuation of the Pilot Respite Program Providing Work for AFDC Recipients - (B) The Community Respite Care Program provides funding for respite care services throughout four regions in the state. Services include training of AFDC recipients who provide respite care to families in need of this service. In addition this program provides funding to support a home that provides temporary care for people with developmental disabilities allowing families a break as primary care giver. In SFY 1996-97 the Department of Social Services								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
(DSS) transferred $200,000 to the Department of Mental Retardation (DMR) to support the expansion of the Pilot Respite Program to include two additional regions that provide the AFDC recipients with training and placement activities involving respite care. This program increases the availability of trained respite service workers for recipients of DMR services along with providing recipients of AFDC with employment skills. This additional funding allowed for the expansion of this pilot program to the south central and north central regions. (Prevention Program)								
-(L) A transfer of funds, in the amount of $200,000 is provided from DSS to DMR to reflect the continuation of the pilot respite program in the two expansion regions. As of the second quarter of SFY 1996-97, 41 recipients had enrolled in the two additional regions.								
Community Respite Care Programs	0	200,000	0	200,000	0	200,000	0	200,000
Total - General Fund	**0**	**200,000**	**0**	**200,000**	**0**	**200,000**	**0**	**200,000**
Expenditure Update/Reductions in Inflationary Increases - (B)								
-(G) A reduction in funding, in the amount of $7,083,798 is recommended in SFY 1997-98 to reflect the Governor's proposal to uniformly eliminate inflation for all accounts. A further reduction of $7,059,852 is recommended to reflect the continued impact of inflation in SFY 1998-99. A total second year reduction of $14,143,650 is necessary to represent the cumulative impact of these changes on the current services base over time. The balance of this reduction is prorated throughout the Department's other programs.								
-(L)Same as Governor								
Other Expenses	0	-5,737	0	-36,849	0	0	0	0
Human Resource Development	0	-9,256	0	-18,337	0	0	0	0
Family Support Grants	0	-23,256	0	-46,078	0	0	0	0
Clinical Services	0	-110,669	0	-224,271	0	0	0	0
Temporary Support Services	0	-3,511	0	-7,154	0	0	0	0
Community Temporary Support Services	0	-2,400	0	-4,755	0	0	0	0
Community Respite Care Programs	0	-4,800	0	-9,510	0	0	0	0
Respite Care	0	-31,884	0	-63,492	0	0	0	0
Family Reunion Program	0	-3,360	0	-6,657	0	0	0	0
Employment Opportunities and Day Services	0	-212	0	-274	0	0	0	0
Community Residential Services	0	-33,391	0	-44,831	0	0	0	0
Total - General Fund	**0**	**-228,476**	**0**	**-462,208**	**0**	**0**	**0**	**0**
Budget Totals - GF	**745**	**49,803,991**	**745**	**47,170,460**	**24**	**906,300**	**24**	**1,046,858**
Budget Totals - OF	**0**	**161,000**	**0**	**0**	**0**	**161,000**	**0**	**0**

[1] Section 33 of PA 97-8 of the June Special Session, "An Act Concerning Expenditures for the Programs and Services of the Department of Public Health" authorizes the Secretary of the Office of Policy and Management to distribute funds appropriated for the Private Provider account to the Department of Mental Health and Addiction Services, the Department of Mental Retardation, the Department of Social Services and the Department of Children and Families. These funds shall be used for payments to private providers, except not more than $4.5 million shall be distributed in SFY 1997-98 to: (1) agencies that settle wage and benefit contracts with unionized employees between April 1, 1997 and August 1, 1997, for the otherwise unreimbursed costs of collective bargaining agreements incurred in SFY 1997-98, and (2) proportional increases in personnel costs for such agencies' non - unionized employees. Also, not more than $4.5 million shall be distributed in SFY 1997-98 to: (A)(1) agencies that do not settle wage and benefit contracts with unionized employees between April 1, 1997 and August 1, 1997 for otherwise unreimbursed costs of collective bargaining agreements incurred in SFY 1997-98, and (2) proportional increases in personnel costs for such agencies' non - unionized employees and (B) non - unionized private provider agencies for increases in personnel costs. The exact amount to be distributed to the Department of Mental Retardation was not yet determined as of July 31, 1997.

DMR - Employment Opportunities and Day Services
4102

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	537	537	438	438	537	537
	Others Equated to Full-Time	119	119	99	99	119	119
	Additional Funds Available						
	Permanent Full-Time	6	6	105	105	6	6
	Others Equated to Full-Time	0	3	23	23	3	3
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	24,834,912	25,999,964	19,957,904	19,924,162	25,922,288	25,888,546
002	Other Expenses	795,656	756,482	588,273	588,273	756,482	756,482
02X	Other Current Expenses	579,871	12,345,557	17,622,155	17,637,266	11,595,557	11,595,557
6XX	Grant Payments - Other Than Towns	70,556,766	75,513,140	78,901,797	79,822,572	79,487,492	80,655,139
	Agency Total - General Fund	**96,767,205**	**114,615,143**	**117,070,129**	**117,972,273**	**117,761,819**	**118,895,724**
	Agency Total - Appropriated Funds	**96,767,205**	**114,615,143**	**117,070,129**	**117,972,273**	**117,761,819**	**118,895,724**
	Additional Funds Available						
	Private Contributions	49,052	56,789	54,200	54,200	54,200	54,200
	Federal Contributions	4,304,263	8,401,579	7,733,003	7,733,003	7,733,003	7,733,003
	Agency Grand Total	**101,120,520**	**123,073,511**	**124,857,332**	**125,759,476**	**125,549,022**	**126,682,927**
	BUDGET BY PROGRAM						
	Early Intervention						
	Permanent Full-Time Positions GF/OF	99/4	99/4	0/103	0/103	99/4	99/4
	General Fund						
	Personal Services	6,058,098	5,982,901	0	0	5,964,384	5,964,384
	Other Expenses	173,498	168,209	0	0	168,209	168,209
028	Early Intervention	473,588	12,239,562	17,622,155	17,637,266	11,489,562	11,489,562
	Total - General Fund	**6,705,184**	**18,390,672**	**17,622,155**	**17,637,266**	**17,622,155**	**17,622,155**
	Federal Contributions						
	Education of Handicapped Children	183,777	3,300,000	3,300,000	3,300,000	3,300,000	3,300,000
	Trans. to Supported Employ. & Day Support	665,176	1,123,263	1,000,000	1,000,000	1,000,000	1,000,000
	Total - Federal Contributions	**848,953**	**4,423,263**	**4,300,000**	**4,300,000**	**4,300,000**	**4,300,000**
	Additional Funds Available						
	Private Contributions	1,223	0	0	0	0	0
	Total - All Funds	**7,555,360**	**22,813,935**	**21,922,155**	**21,937,266**	**21,922,155**	**21,922,155**
	Community Work Services						
	Permanent Full-Time Positions GF	55	55	55	55	55	55
	General Fund						
	Personal Services	2,273,701	2,277,814	2,486,022	2,501,081	2,486,022	2,501,081
	Other Expenses	72,612	69,852	69,852	69,852	69,852	69,852
014	Pilot Programs for Client Services[1]	106,283	105,995	0	0	105,995	105,995
	Grant Payments - Other Than Towns						
	Employment Opportunities and Day Services	12,614,915	13,496,286	13,708,234	13,708,234	13,601,934	13,601,934
	Total - General Fund	**15,067,511**	**15,949,947**	**16,264,108**	**16,279,167**	**16,263,803**	**16,278,862**
	Federal Contributions						
	Social Services Block Grant	1,004,451	1,185,342	1,022,950	1,022,950	1,022,950	1,022,950
	Total - All Funds	**16,071,962**	**17,135,289**	**17,287,058**	**17,302,117**	**17,286,753**	**17,301,812**

	Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
Group Supported Employment						
Permanent Full-Time Positions GF	68	68	68	68	68	68
General Fund						
Personal Services	3,273,567	3,189,133	3,228,127	3,218,113	3,228,127	3,218,113
Other Expenses	108,792	102,985	102,985	102,985	102,985	102,985
Grant Payments - Other Than Towns						
Employment Opportunities and Day Services	25,205,405	27,163,397	27,375,872	27,375,872	27,375,872	27,375,872
Total - General Fund	**28,587,764**	**30,455,515**	**30,706,984**	**30,696,970**	**30,706,984**	**30,696,970**
Federal Contributions						
Social Services Block Grant	1,133,310	1,267,728	1,094,049	1,094,049	1,094,049	1,094,049
Additional Funds Available						
Private Contributions	750	0	0	0	0	0
Total - All Funds	**29,721,824**	**31,723,243**	**31,801,033**	**31,791,019**	**31,801,033**	**31,791,019**
Day Support Options						
Permanent Full-Time Positions GF/OF	315/2	315/2	315/2	315/2	315/2	315/2
General Fund						
Personal Services	13,187,544	14,509,585	14,222,279	14,182,848	14,222,279	14,182,848
Other Expenses	440,754	415,436	415,436	415,436	415,436	415,436
Grant Payments - Other Than Towns						
Employment Opportunities and Day Services	25,869,521	27,530,087	30,437,661	31,358,436	31,129,656	32,297,303
Total - General Fund	**39,497,819**	**42,455,108**	**45,075,376**	**45,956,720**	**45,767,371**	**46,895,587**
Federal Contributions						
Social Services Block Grant	1,072,986	1,238,381	1,068,440	1,068,440	1,068,440	1,068,440
Additional Funds Available						
Private Contributions	47,079	56,789	54,200	54,200	54,200	54,200
Total - All Funds	**40,617,884**	**43,750,278**	**46,198,016**	**47,079,360**	**46,890,011**	**48,018,227**
Individualized Placement						
General Fund						
Personal Services	42,002	40,531	21,476	22,120	21,476	22,120
Grant Payments - Other Than Towns						
Employment Opportunities and Day Services	6,866,925	7,323,370	7,380,030	7,380,030	7,380,030	7,380,030
Total - General Fund	**6,908,927**	**7,363,901**	**7,401,506**	**7,402,150**	**7,401,506**	**7,402,150**
Federal Contributions						
Social Services Block Grant	244,563	286,865	247,564	247,564	247,564	247,564
Total - All Funds	**7,153,490**	**7,650,766**	**7,649,070**	**7,649,714**	**7,649,070**	**7,649,714**
GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
617 Employment Opportunities and Day Services[1]	70,556,766	75,513,140	78,901,797	79,822,572	79,487,492	80,655,139
Agency Grand Total	**101,120,520**	**123,073,511**	**124,857,332**	**125,759,476**	**125,549,022**	**126,682,927**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**537**	**114,455,352**	**537**	**114,455,352**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	-77,676	0	-111,418	0	0	0	0
Other Expenses	0	12,883	0	25,904	0	0	0	0
Equipment	0	5,000	0	5,000	0	0	0	0
Other Current Expenses	0	275,749	0	546,351	0	0	0	0
Grant Payments - Other Than Towns	0	1,852,367	0	3,665,560	0	0	0	0
Total - General Fund	**0**	**2,068,323**	**0**	**4,131,397**	**0**	**0**	**0**	**0**

Consolidate Early Intervention Program Funding - (B)
The Birth-to-Three Program provides early intervention services for any child through age three who is at risk of or is experiencing developmental delay. Currently, the Department of Mental Retardation operated Early Connections Program serves 34% of the 3,314 children enrolled in this program. The rest of the children are served by Regional Educational Service Centers, local school districts, and private agencies.
-(G) A transfer of funds, in the amount of $6,132,593 is recommended from the Personal Services and Other Expenses accounts to the Early Intervention account in SFY 1997-98. An additional transfer of $15,111 to the Early Intervention account is recommended for SFY 1998-99. This will simplify

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
accounting and provide greater flexibility for the Birth-to-Three system. The staff complement of 99 full-time positions is moved to this account. -(L) A transfer of funds, in the amount of $6,132,593 from the Personal Services and Other Expenses accounts to the Early Intervention account in SFY 1997-98 and an additional transfer of $15,111 to the Early Intervention account for SFY 1998-99 is not provided. It is legislative intent that the Early Connections staff remain funded via the Personal Services account to more accurately reflect the nature of this agency-run program.								
Personal Services	0	0	0	0	99	5,964,384	99	5,964,384
Other Expenses	0	0	0	0	0	168,209	0	168,209
Early Intervention	0	0	0	0	0	-6,132,593	0	-6,147,704
Total - General Fund	**0**	**0**	**0**	**0**	**99**	**0**	**99**	**-15,111**
Special Funds, Non-Appropriated	0	0	0	0	-99	0	-99	0
Total - Special Funds, Non-Appropriated	**0**	**0**	**0**	**0**	**-99**	**0**	**-99**	**0**
Obtain Equipment Funding from the Capital Equipment Purchase Fund - (B) The Capital Equipment Purchase Fund (CEPF) is authorized by Section 4a-9 of the Connecticut General Statutes and is used for the purchase of capital equipment with a useful life of at least three years. It is financed through the sale of bonds, and the funds are distributed jointly through the Department of Administrative Services and Office of Policy and Management. -(G) A reduction in funding, in the amount of $398,000 is recommended to reflect the use of CEPF bond funds for the purchase of equipment in lieu of a General Fund appropriation in SFY 1997-98. A reduction in funding, in the amount of $398,000, is recommended to reflect the continued use of the CEPF to purchase equipment in SFY 1998-99. The balance of this reduction appears throughout the agency's other programs. -(L)Same as Governor								
Equipment	0	-5,000	0	-5,000	0	0	0	0
Total - General Fund	**0**	**-5,000**	**0**	**-5,000**	**0**	**0**	**0**	**0**
Merge Pilot Programs for Client Services Under the Day and Community Residential Services Programs - (B) The Department of Mental Retardation's (DMR) waiting list pilot programs for client services develop non-traditional, person-centered, and cost-effective methods of support for families and individuals. Waiting list pilot projects funded by DMR are available to service recipients and/or persons who have the full-time responsibility for the care of individuals eligible for DMR services. Pilot projects are not entitlements and are limited to funds available. The families are paid directly for the assistance to avoid the higher cost to place the client. -(G) Funding, in the amount of $1,929,826 is transferred from the Pilot Programs for Client Services account to the Community Residential and Employment Opportunities and Day Services accounts in each of SFY 1997-98 and SFY 1998-99. By transferring the pilot programs to the specified accounts the services will continue to be provided, yet their accounting is simplified. The balance of this transfer appears in the agency's Residential Services and Resource Services programs. -(L) A transfer of funding, in the amount of $1,929,826 from the Pilot Programs for Client Services account to the Community Residential and Employment Opportunities and Day Services accounts in each of SFY 1997-98 and SFY 1998-99 is not provided. It is legislative intent that the appropriations for this program remain as originally established.								
Pilot Programs for Client Services	0	0	0	0	0	105,995	0	105,995
Employment Opportunities and Day Services	0	0	0	0	0	-106,300	0	-106,300
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**-305**	**0**	**-305**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Increase the Certification of ICF/MR beds at Southbury Training School - (B) Currently, there are 313 beds at Southbury Training School (STS) that are certified as intermediate care facilities for the mentally retarded (ICF/MR) and therefore receive federal reimbursement under the Medical Assistance (Medicaid) program. Federal revenue for these 313 certified beds is estimated at $27,025,225 annually. -(G) Funding, in the amount of $9,930,020 is recommended for SFY 1997-98 to certify an additional 303 beds at STS under the ICF/MR standards. Funding, in the amount of $11,491,752 is recommended for SFY 1998-99 for the completion and annualization of the certification of the 303 beds at STS. Staff needed to meet the increased standards are to be transferred from 22 surrounding state operated group homes. Operation of these state operated group homes will be turned over to the private sector. Revenue anticipated from certification of the additional 303 beds for SFY 1997-98 is $19,684,951 and for SFY 1998-99 is $27,094,635. A reduction of an additional 55 positions is recommended for SFY 1998-99 due to resident attrition at STS. The balance of this increase in funding appears in the agency's Residential Services program. -(L) Funding, in the amount of $4,704,512 for SFY 1997-98 and $7,984,887 in SFY 1998-99, is provided to certify an additional 303 beds at STS under the ICF/MR standards. Revenue anticipated from the certification of the 303 beds is estimated at $20,803,924 for SFY 1997-98 and $28,729,855 for SFY 1998-99. An additional 189 positions will be needed in order to meet the increased standards in both SFY 1997-98 and SFY 1998-99. A reduction of an additional 55 positions is provided for SFY 1998-99 due to resident attrition at STS. Staff will not be transferred from the 22 surrounding state operated group homes, therefore, these group homes will not be privatized. The balance of this increase in funding appears in the agency's Residential Services program.								
Employment Opportunities and Day Services	0	506,208	0	894,972	0	-173,490	0	0
Total - General Fund	**0**	**506,208**	**0**	**894,972**	**0**	**-173,490**	**0**	**0**

Increase Funding to Reduce Waiting List - (B)
As of the Winter of 1997, there were 1,281 individuals waiting for residential placement and 370 individuals waiting for day program services from the Department of Mental Retardation. This does not include an estimated 197 high school students who will be graduating in June of 1997 and will be in need of services. The individuals on the residential waiting list currently live in their own homes or with their families and have requested residential placements. Of the 370 individuals on the day services waiting list, 243 live in their own homes or with their families and have requested these services from the department.

Case management is a process by which the department directs, coordinates and monitors services to persons with mental retardation from the time at which the person enters the system to the time which they are discharged. Due to the heavy caseloads, there are individuals who do not receive appropriate attention through the current case management program and are in need of services that have not been identified. By expanding the program, this will allow additional clients to have access to case management on a consistent basis and may prevent more costly placements by maintaining communication and ongoing monitoring of the individual's needs.
-(L) Funding, in the amount of $6,900,000 for SFY 1997-98 and $8,461,752 for SFY 1998-99 is provided in the following areas:
Funding, in the amount of $5,300,000, is provided for SFY 1997-98 to reduce the residential waiting list and provide for additional placements in the community residential services program and provide for family supported programs.

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Funding, in the amount of $6,502,549, is provided for SFY 1998-99 to reflect the annualized cost of these placements and supported services.								
Funding, in the amount of $1,000,000, is provided for SFY 1997-98 to reflect a reduction in the day services waiting list and provide support to individuals in the Employment Opportunities and Day Services program. Funding, in the amount of $1,218,645 is provided for SFY 1998-99 to reflect the annualized cost of these services.								
In addition, funding in the amount of $600,000 is provided for SFY 1997-98 to reflect Targeted Case Management Services to individuals currently waiting for residential services that would benefit from more focused case management. Funding, in the amount of $740,558 is provided for SFY 1998-99 to reflect the annualized cost of these services. The State is eligible for federal reimbursement under Medicaid for individuals receiving targeted case management and for individuals receiving services under the Home & Community Based Waiver program.								
It is estimated that the majority of clients on the residential waiting list will receive enhanced targeted case management services. As a result of the appropriated dollars, it is anticipated that 40% of those individuals on the day services waiting list and living in their own home or with their families shall be provided day services. In addition, residential and family supported services shall be provided to an estimated 15% of the residential waiting list.								
The balance of the increase in funding appears in the agency's Resource Services and Community Residential Services programs.								
Employment Opportunities and Day Services	0	1,000,000	0	1,218,645	0	1,000,000	0	1,218,645
Total - General Fund	**0**	**1,000,000**	**0**	**1,218,645**	**0**	**1,000,000**	**0**	**1,218,645**
Increase Funding for Age-Outs - (B) In accordance with interagency agreements, the Department of Mental Retardation is responsible for developing residential and day services for individuals who turn 21 and are in placements funded by either the Department of Children and Families (DCF) or Local Education Authorities (LEA). -(G) Funding, in the amount of $2,854,873, is recommended in SFY 1997-98 for both day and residential services for the placements of those individuals aging-out of DCF or LEA's. A second year increase of $2,920,534 is recommended for a total funding of $5,775,407 in SFY 1998-99 for both day and residential services for placement of those individuals aging-out. In addition, funding, in the amount of $156,067, for SFY 1998-99 is recommended to reflect the annualized costs of SFY 1997-98 age-outs. The balance of this increase in funding appears in the agency's Residential Services program. -(L) Funding, in the amount of $2,403,223, is provided in SFY 1997-98 for day and residential services for the placements of those individuals aging-out of DCF or LEA's. Funding, in the amount of $2,403,223, is provided in SFY 1998-99 to reflect the additional placements of individuals aging-out of DCF or LEA's for that year. A total second year increase of $4,806,446 is provided to represent the cumulative impact of these additional placements. The balance of the increase in funding appears in the agency's Community Residential Services program.								
Employment Opportunities and Day Services	0	576,774	0	1,153,547	0	-97,766	0	-243,029
Total - General Fund	**0**	**576,774**	**0**	**1,153,547**	**0**	**-97,766**	**0**	**-243,029**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Reallocate CARC v. Thorne Community Placement - (B) A total of 34 Mansfield Training School class members at the time of the mandate were determined by the court to still be living in inappropriate non-community residential settings and in immediate need of community placement. Of this total, 16 now live on regional campuses and are currently without the funding necessary to purchase community residential and day programs. The Department of Mental Retardation (DMR) has identified 16 other clients who currently live at Southbury Training School (STS) and who would benefit from a move to a regional campus setting. -(G) A reallocation of funding, in the amount of $1,460,020 in SFY 1997-98 and $1,493,500 in SFY 1998-99 to reflect the transferring of identified clients from STS to regional campus settings is recommended. Funding reserved for their care will purchase appropriate placements for CARC v. Thorne class members in the community, resulting in a reduction of 33 positions. The balance of this reallocation appears in the agency's Residential Services program. -(L)Same as Governor								
Employment Opportunities and Day Services	0	216,080	0	221,050	0	0	0	0
Total - General Fund	**0**	**216,080**	**0**	**221,050**	**0**	**0**	**0**	**0**
Increase Funding in Residential and Day Services to allow for Base Adjustments - (B) -(G) Funding, in the amount of $513,291 for residential services and $36,749 for day services is recommended in each of SFY 1997-98 and SFY 1998-99 to reflect more accurately anticipated costs and caseloads under these accounts. The balance of this increase in funding appears in the agency's Residential Services program. -(L) Funding, in the amount of $513,291 for residential services and $36,749 for day services is not provided in each of SFY 1997-98 and SFY 1998-99 to effect economies.								
Employment Opportunities and Day Services	0	0	0	0	0	-36,749	0	-36,749
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**-36,749**	**0**	**-36,749**
Transfer Funds From DSS For ICF/MR Conversion/Enhance Revenues Under the Home and Community Based Waiver - (B) The Department of Social Services (DSS) currently funds intermediate care facilities for the mentally retarded (ICF/MR) that are privately operated. Converting three of these group homes into community living arrangements would allow for federal reimbursement under the Department of Mental Retardation's Home and Community Based Waiver. -(G) A transfer of funds, in the amount of $1,655,425 is recommended from DSS to DMR for ICF/MR conversions into community living arrangements under the Home and Community Based Waiver for both day and residential services. The balance of this transfer appears in the agency's Residential Services program. -(L) A transfer of funds, in the amount of $1,655,425 is provided from DSS to DMR for ICF/MR conversions into community living arrangements under the Home and Community Based Waiver for both day and residential services. The balance of this transfer appears in the agency's Residential Services program.								

The Department of Mental Retardation, in conjunction with the Office of Policy and Management, will submit a report which shall identify: 1) the number of residences classified intermediate care facilities for the mentally retarded (ICF/MR) for which there is an expressed interest in converting to community living arrangements under the Home and Community Based Waiver program (HCBS); 2) the projected duration involved in completion of the conversions; 3) the projected fiscal impact as a result of implementing a conversion; and 4) the ability of the provider to serve additional people at no additional cost to the department without loss to the quality of care provided. This report will be available January 2, 1998,

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
with a copy submitted to the Joint Standing Committees on Public Health and Appropriations and the Office of Fiscal Analysis.								
The Department of Mental Retardation shall examine state operated residential settings in order to enhance revenues within anticipated budgetary resources. As a result of the examination the department shall attain efficiencies and maximize federal reimbursement under the Home and Community Based Waiver program.								
In addition, the department shall maximize opportunities subject to federal financial participation under Medicaid for clients that are receiving services that are reimbursable under the Home and Community Based Waiver program that have not been identified for reimbursement.								
Employment Opportunities and Day Services	0	248,692	0	248,692	0	0	0	0
Total - General Fund	**0**	**248,692**	**0**	**248,692**	**0**	**0**	**0**	**0**
Incorporate Assorted Annualization - (B)								
-(G) These changes recognize a variety of increased program costs the department underwent during SFY 1996-97. Total funding for these annualized costs in the amount of $3,000,000 for SFY 1997-98 and the same for SFY 1998-99 is recommended. Included in this total is $1,836,532 for community placements in the Rent Subsidy, Employment Opportunities and Day Services, and Community Residential Services accounts. In addition, annualized funding in the amount of $321,531 in the Employment Opportunities and Day Services and Community Residential Services accounts is recommended to reflect full-year support of clients transferred from Norwich Hospital to community placements. The costs associated with Southbury Training School community placements are recommended to be annualized in the amount of $494,933 in the Employment Opportunities and Day Services and Community Residential Services accounts. The final recommended annualization is for private provider increases in the amount of $347,004. The balance of this increase in funding appears throughout the agency's other programs.								
-(L)Same as Governor								
Employment Opportunities and Day Services	0	836,389	0	836,389	0	0	0	0
Total - General Fund	**0**	**836,389**	**0**	**836,389**	**0**	**0**	**0**	**0**
Expenditure Update/Reductions in Inflationary Increases - (B)								
-(G) A reduction in funding, in the amount of $7,083,798 is recommended in SFY 1997-98 to reflect the Governor's proposal to uniformly eliminate inflation for all accounts. A further reduction of $7,059,852 is recommended to reflect the continued impact of inflation in SFY 1998-99. A total second year reduction of $14,143,650 is necessary to represent the cumulative impact of these changes on the current services base over time. The balance of this reduction is prorated throughout the Department's other programs.								
-(L)Same as Governor								
Other Expenses	0	-12,883	0	-25,904	0	0	0	0
Early Intervention	0	-275,749	0	-546,351	0	0	0	0
Employment Opportunities and Day Services	0	-1,852,367	0	-3,687,065	0	0	0	0
Total - General Fund	**0**	**-2,140,999**	**0**	**-4,259,320**	**0**	**0**	**0**	**0**
Budget Totals - GF	**537**	**117,761,819**	**537**	**118,895,724**	**99**	**691,690**	**99**	**923,451**
Budget Totals - OF	**0**	**0**	**0**	**0**	**-99**	**0**	**-99**	**0**

[1] Section 33 of PA 97-8 of the June Special Session, "An Act Concerning Expenditures for the Programs and Services of the Department of Public Health" authorizes the Secretary of the Office of Policy and Management to distribute funds appropriated for the Private Provider account to the Department of Mental Health and Addiction Services, the Department of Mental Retardation, the Department of Social Services and the Department of Children and Families. These funds shall be used for payments to private providers, except not more than $4.5 million shall be distributed in SFY 1997-98 to: (1) agencies that settle wage and benefit contracts with unionized employees between April 1, 1997 and August 1, 1997, for the otherwise unreimbursed costs of collective bargaining agreements incurred in SFY 1997-98, and (2) proportional increases in personnel costs for such agencies' non-unionized employees. Also, not more than $4.5 million shall be distributed in SFY 1997-98 to: (A)(1) agencies that do not settle wage and benefit contracts with unionized employees between April 1, 1997 and August 1, 1997 for otherwise unreimbursed costs of collective bargaining agreements incurred in SFY 1997-98, and (2) proportional increases in personnel costs for such agencies' non-unionized employees and (B) non-unionized private provider agencies for increases in personnel costs. The exact amount to be distributed to the Department of Mental Retardation was not yet determined as of July 31, 1997.

DMR - Residential Services
4103

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	3,004	3,004	2,909	2,854	3,160	3,105
	Others Equated to Full-Time	484	484	454	454	454	454
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	136,930,787	143,948,590	147,477,644	150,945,435	149,396,049	155,453,171
002	Other Expenses	13,167,532	12,683,780	12,699,809	12,523,202	9,314,654	9,423,010
02X	Other Current Expenses	14,171,724	14,424,588	12,019,747	12,032,529	12,813,567	13,000,960
6XX	Grant Payments - Other Than Towns	139,751,580	162,725,208	180,965,671	184,874,003	176,756,501	179,835,515
	Agency Total - General Fund	**304,021,623**	**333,782,166**	**353,162,871**	**360,375,169**	**348,280,771**	**357,712,656**
	Agency Total - Appropriated Funds	**304,021,623**	**333,782,166**	**353,162,871**	**360,375,169**	**348,280,771**	**357,712,656**
	Additional Funds Available						
	Private Contributions	1,134	1,200	1,200	1,200	1,200	1,200
	Agency Grand Total	**304,022,757**	**333,783,366**	**353,164,071**	**360,376,369**	**348,281,971**	**357,713,856**
	BUDGET BY PROGRAM						
	Community Training Homes						
	Permanent Full-Time Positions GF	8	8	8	8	8	8
	General Fund						
	Personal Services	391,885	394,420	492,514	496,694	492,514	496,694
	Other Expenses	6,565	6,197	6,197	6,197	6,197	6,197
014	Pilot Programs for Client Services[1]	153,307	151,329	0	0	161,285	161,285
	Grant Payments - Other Than Towns						
	Respite Care	134,400	131,448	131,448	131,448	131,448	131,448
	Family Placements	26,768	49,424	0	0	49,424	49,424
	Emergency Placements	40,516	173,606	0	0	173,606	173,606
	Community Residential Services	3,981,118	4,282,101	4,862,541	4,862,541	5,803,226	6,103,863
	Total - General Fund	**4,734,559**	**5,188,525**	**5,492,700**	**5,496,880**	**6,817,700**	**7,122,517**
	Community Living Arrangements						
	Permanent Full-Time Positions GF	1,120	1,120	869	869	1,120	1,120
	General Fund						
	Personal Services	59,345,160	61,496,365	56,773,020	56,802,818	62,832,124	64,541,992
	Other Expenses	4,840,329	4,718,201	4,312,939	4,201,340	3,394,013	3,439,884
011	Human Resource Development	0	8,134	0	0	0	0
014	Pilot Programs for Client Services[1]	647,390	681,430	0	0	681,430	681,430
027	Clinical Services	247,899	255,277	230,932	216,908	255,277	255,938
032	Temporary Support Services	38,642	39,372	39,372	39,372	39,372	39,372
035	Community Respite Care Program	0	243	0	0	0	0
039	Workers' Compensation	5,626,339	5,709,379	4,766,262	4,766,262	4,499,681	4,499,681
	Grant Payments - Other Than Towns						
	Rent Subsidy Program	373,109	437,073	356,609	348,718	437,073	437,073
	Family Placements	66,098	114,491	0	0	114,491	114,491
	Emergency Placements	49,864	1,017,377	0	0	1,251,547	1,251,547
	Community Residential Services	117,929,734	136,102,686	153,142,238	157,058,461	144,259,537	146,436,639
	Total - General Fund	**189,164,564**	**210,580,028**	**219,621,372**	**223,433,879**	**217,764,545**	**221,698,047**
	Additional Funds Available						
	Private Contributions	834	0	0	0	0	0
	Total - All Funds	**189,165,398**	**210,580,028**	**219,621,372**	**223,433,879**	**217,764,545**	**221,698,047**

DMR - R

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99
	Campus Units				
	Permanent Full-Time Positions GF	1,785	1,785	1,941	1,886
	General Fund				
	Personal Services	73,181,332	78,012,897	85,730,065	89,153,250
	Other Expenses	8,224,134	7,866,058	8,287,349	8,222,341
027	Clinical Services	451,213	454,079	1,523,692	1,550,498
035	Community Respite Care Program	0	232	0	0
039	Workers' Compensation	6,435,984	6,531,934	5,452,996	5,452,996
	Total - General Fund	**88,292,663**	**92,865,200**	**100,994,102**	**104,379,085**
	Additional Funds Available				
	Private Contributions	300	1,200	1,200	1,200
	Total - All Funds	**88,292,963**	**92,866,400**	**100,995,302**	**104,380,285**
	Other Private Residential Facilities				
	Permanent Full-Time Positions GF	13	13	13	13
	General Fund				
	Personal Services	738,126	765,940	844,820	850,455
	Other Expenses	9,804	9,460	9,460	9,460
	Grant Payments - Other Than Towns				
	Community Residential Services	1,855,071	1,995,118	1,901,138	1,901,138
	Total - General Fund	**2,603,001**	**2,770,518**	**2,755,418**	**2,761,053**
	Supported Living Services				
	Permanent Full-Time Positions GF	78	78	78	78
	General Fund				
	Personal Services	3,274,284	3,278,968	3,637,225	3,642,218
	Other Expenses	86,700	83,864	83,864	83,864
014	Pilot Programs for Client Services[1]	565,073	586,686	0	0
027	Clinical Services	489	508	508	508
032	Temporary Support Services	5,388	5,985	5,985	5,985
	Grant Payments - Other Than Towns				
	Rent Subsidy Program	1,387,878	1,532,365	1,674,110	1,674,110
	Family Placements	715,130	1,322,868	0	0
	Emergency Placements	546,604	1,396,340	0	0
	Community Residential Services	12,645,290	14,170,311	18,897,587	18,897,587
	Total - General Fund	**19,226,836**	**22,377,895**	**24,299,279**	**24,304,272**
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)				
608	Rent Subsidy Program	1,760,987	1,969,438	2,030,719	2,022,828
612	Respite Care	134,400	131,448	131,448	131,448
618	Family Placements[1]	807,996	1,486,783	0	0
619	Emergency Placements[1]	636,984	2,587,323	0	0
620	Community Residential Services[1]	136,411,213	156,550,216	178,803,504	182,719,727
	Agency Grand Total	**304,022,757**	**333,783,366**	**353,164,071**	**360,376,369**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. fr Pos.
FY97 Governor's Estimated Expenditure - GF	**3,004**	**332,724,601**	**3,004**	**332,724,601**	**0**	**0**	
Inflation and Other Non-Program Changes - (B)							
Personal Services	0	5,979,562	0	9,451,150	0		**0**
Other Expenses	0	295,419	0	531,962	0	0	
Equipment	0	342,700	0	342,700	0	0	
Other Current Expenses	0	297,325	0	589,890	0	0	0
Grant Payments - Other Than Towns	0	4,058,336	0	8,150,264	0	0	0
Total - General Fund	**0**	**10,973,342**	**0**	**19,065,966**	**0**	0	0
						0	0

Conversion of Full Time Positions to Part Time Positions - (B)

-(G) Conversion of 96 full-time positions to part-time positions within the Department of Mental Retardation's Community Living Arrangements program is recommended for SFY 1997-98. Included are recreation positions in which a portion of those added back were hired as full-time with the balance added as part-time. The remaining net personnel reductions were conversions already completed of full-time to part-time positions in order to achieve more efficient staffing patterns in group homes. There is a net reduction of 96 full-time positions for SFY 1997-98 and the same reduction for SFY 1998-99.

-(L) Conversion of 96 full-time positions to part-time positions within the Department of Mental Retardation's Community

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Pos.	Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
provided in SFY 1997-98 nding was provided for 30 e position to reflect sitions that had It is legislative reation positions and y established Recreation n. Conversion of not provided.								
	0	0	0	0				
	0	**0**	**0**	**0**				
Community Placement - (B)						0	96	0
g School class members at determined by the court to non-community residential ed of community placement. Of onal campuses and are g necessary to purchase lay programs. The Department of has identified 16 other clients bury Training School (STS) and nove to a regional campus setting. ng, in the amount of $1,460,020 in 00 in SFY 1998-99 is recommended to identified clients from STS to Funding reserved for their care appropriate placements for CARC v. the community, resulting in a . The balance of this reallocation Employment Opportunities and Day						**0**	**96**	**0**
	-33	-1,360,740	-33	-1,392,000				
	0	-99,280	0	-101,500				
Services	0	1,243,940	0	1,272,450				
	-33	**-216,080**	**-33**	**-221,050**				
					0			
					0	0	0	0
					0	0	0	0
					0	**0**	**0**	**0**
tion of ICF/MR beds at Southbury								
beds at Southbury Training School as intermediate care facilities d (ICF/MR) and therefore receive nder the Medical Assistance venue for these 313 certified beds 225 annually. unt of $9,930,020 is recommended in SFY ditional 303 beds at STS under the ding, in the amount of $11,491,752 is 998-99 for the completion and ification of the 303 beds at STS. increased standards are to be ounding state operated group homes. operated group homes will be e sector. Revenue anticipated eds for SFY 1997-98 is $19,684,951 27,094,635. A reduction of an s recommended for SFY 1998-99 due TS. The balance of this increase e agency's Employment Services program. unt of $4,704,512 in SFY 1997-98 and 99 is provided to certify an TS under the ICF/MR standards. n the certification of the 303 beds 924 for SFY 1997-98 and $28,729,855 ditional 189 positions will be the increased standards for both 998-99. A reduction of an additional for SFY 1998-99 due to resident ill not be transferred from the erated group homes, therefore, these								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
group homes will not be privatized. The balance of this increase in funding appears in the agency's Employment Opportunities and Day Services program.								
Personal Services	189	2,686,041	134	5,302,835	155	1,918,405	155	4,507,736
Other Expenses	0	602,576	0	690,000	0	271,757	0	556,720
Clinical Services	0	909,687	0	1,097,080	0	-135,581	0	39,030
Rent Subsidy Program	0	0	0	0	0	80,464	0	88,355
Community Residential Services	0	0	0	0	0	-7,187,063	0	-8,698,706
Total - General Fund	**189**	**4,198,304**	**134**	**7,089,915**	**155**	**-5,052,018**	**155**	**-3,506,865**

Increase Funding to Reduce Waiting List - (B)
As of the Winter of 1997, there were 1,281 individuals waiting for residential placements and 370 individuals waiting for day program services from the Department of Mental Retardation. This does not include an estimated 197 high school students who will be graduating in June of 1997 and will be in need of services. The individuals on the residential waiting list currently live in their own homes or with their families and have requested residential placements. Of the 370 individuals on the day services waiting list, 243 live in their own homes or with their families and have requested these services from the department.

Case management is a process by which the department directs, coordinates and monitors services to persons with mental retardation from the time at which the person enters the system to the time at which they are discharged. Due to the heavy caseloads, there are individuals who do not receive appropriate attention through this current case management program and are in need of services that have not been identified. By expanding the program, this will allow additional clients to have access to case management on a consistent basis and may prevent more costly placements by maintaining communication and ongoing monitoring of the individual's needs.
-(L) Funding, in the amount of $6,900,000 for SFY 1997-98 and $8,461,752 for SFY 1998-99 is provided in the following areas:

Funding, in the amount of $5,300,000, is provided for SFY 1997-98 to reduce the residential waiting list and provide for additional placements in the community residential services program in addition to providing for family supported services. Funding, in the amount of $6,502,549, is provided in SFY 1998-99 to reflect the annualized cost of these placements and supported services.

Funding, in the amount of $1,000,000, is provided for SFY 1997-98 to reflect a reduction in the day services waiting list and provide support to individuals in the Employment Opportunities and Day Services program. Funding, in the amount of $1,218,645, is provided for SFY 1998-99 to reflect the annualized cost of these services.

In addition, funding in the amount of $600,000, is provided for SFY 1997-98 to reflect Targeted Case Management Services to individuals currently waiting for residential services that would benefit from more focused case management. Funding, in the amount of $740,558 is provided for SFY 1998-99 to reflect the annualized cost of these services. The state is eligible for federal reimbursement under Medicaid for individuals receiving targeted case management and for individuals receiving services under the Home and Community based waiver program.

It is estimated that the majority of clients on the residential waiting list will receive enhanced targeted case management services. As a result of the appropriated dollars, it is anticipated that 40% of those individuals on the day services waiting list and living in their own home or with their families shall be provided day services. In addition, residential and family supported services shall be provided to an estimated 15% of the residential waiting list.

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
The balance of the increase in funding appears in the agency's Resource Services and Employment Opportunities and Day Services programs.								
Community Residential Services	0	5,300,000	0	6,502,549	0	5,300,000	0	6,502,549
Total - General Fund	**0**	**5,300,000**	**0**	**6,502,549**	**0**	**5,300,000**	**0**	**6,502,549**

Merge Pilot Programs for Client Services Under the Day and Community Residential Services Programs - (B)
The Department of Mental Retardation's (DMR) waiting list pilot programs for client services develop non-traditional, person-centered, and cost-effective methods of support for families and individuals. Waiting list pilot projects funded by DMR are available to service recipients and/or persons who have the full-time responsibility for the care of individuals eligible for DMR services. Pilot projects are not entitlements and are limited to funds available. The families are paid directly for the assistance to avoid the higher cost to place the client.
-(G) Funding, in the amount of $1,929,826 is transferred from the Pilot Programs for Client Services account to the Community Residential and Employment Opportunities and Day Services accounts in SFY 1997-98 and SFY 1998-99. By transferring the pilot programs to the specified accounts the same services will continue to be provided, yet their accounting is simplified. The balance of this transfer appears in the agency's Resource Services and Employment Opportunities and Day Services programs.
-(L) A transfer in funding, in the amount of $1,929,826 from the Pilot Programs for Client Services account to the Community Residential and Employment Opportunities and Day Services accounts in SFY 1997-98 and SFY 1998-99 is not provided. It is legislative intent that the appropriations for this program remain as originally established.

Pilot Programs for Client Services	0	0	0	0	0	1,429,401	0	1,429,401
Community Residential Services	0	0	0	0	0	-1,535,396	0	-1,535,396
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**-105,995**	**0**	**-105,995**

Reduce Workers' Compensation Due to Recalculation - (B)
PA 90-327, "An Act Concerning Appropriations for Workers' Compensation Payments", required the appropriation of Workers' Compensation (WC) claims funding under the budgets of the six agencies having the largest WC claim lost. Prior to SFY 1990-91, funding for WC claims paid on behalf of the Department of Mental Retardation employees was included under the budget of the Department of Administrative Services.
-(G) A reduction in funding, in the amount of $1,000,000 in each of SFY 1997-98 and SFY 1998-99 is recommended to reflect continued savings through improved safety measures, purchase of injury reducing equipment and better training for agency staff.
-(L) A reduction in funding, in the amount of $1,500,000 in each of SFY 1997-98 and SFY 1998-99 is provided to reflect continued savings through improved safety measures, purchase of injury reducing equipment and better training for agency staff.

Workers' Compensation	0	-1,500,000	0	-1,500,000	0	-500,000	0	-500,000
Total - General Fund	**0**	**-1,500,000**	**0**	**-1,500,000**	**0**	**-500,000**	**0**	**-500,000**

Obtain Equipment Funding from the Capital Equipment Purchase Fund - (B)
The Capital Equipment Purchase Fund (CEPF) is authorized by Section 4a-9 of the Connecticut General Statutes and is used for the purchase of capital equipment with a useful life of at least three years. It is financed through the sale of bonds, and the funds are distributed jointly through the Department of Administrative Services and the Office of Policy and Management.
-(G) A reduction in funding, in the amount of $398,000 is recommended to reflect the use of CEPF bond funds for the purchase of equipment in lieu of a General Fund appropriation in SFY 1997-98. A reduction in funding, in the amount of $398,000 is recommended to reflect the continued use of the CEPF to purchase equipment in SFY 1998-99. The balance of this reduction appears throughout the agency's other programs.
-(L)Same as Governor

Equipment	0	-342,700	0	-342,700	0	0	0	0
Total - General Fund	**0**	**-342,700**	**0**	**-342,700**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Increase Funding for Residential and Day Services to Allow for Base Adjustments - (B) -(G) Funding, in the amount of $513,291 for residential services and $36,749 for day services is recommended for each of SFY 1997-98 and SFY 1998-99 to reflect more accurately anticipated costs and caseloads under these accounts. The balance of this increase in funding appears in the agency's Employment Opportunities and Day Services program. -(L) Funding, in the amount of $513,291 for residential services and $36,749 for day services is not provided for each of SFY 1997-98 and SFY 1998-99 to reflect a revised estimate of needs under the Community Residential Services program.								
Community Residential Services	0	0	0	0	0	-513,291	0	-513,291
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**-513,291**	**0**	**-513,291**

Transfer Funds From DSS for ICF/MR Conversion/Enhance Revenues Under the Home and Community Based Waiver - (B)
The Department of Social Services (DSS) currently funds privately operated intermediate care facilities for the mentally retarded (ICF/MR). Converting three of these group homes into community living arrangements would allow for federal reimbursement under the Department of Mental Retardation's Home and Community Based Waiver.
-(G) A transfer of funds, in the amount of $1,655,425 is recommended from DSS to DMR for ICF/MR conversions into community living arrangements under the Home and Community Based Waiver for both day and residential services. The balance of this transfer appears in the agency's Employment Opportunities and Day Services program.
-(L) A transfer of funds, in the amount of $1,655,425 is provided from DSS to DMR for ICF/MR conversions into community living arrangements under the Home and Community Based Waiver for both day and residential services. The balance of this transfer appears in the agency's Employment Opportunities and Day Services program.

The Department of Mental Retardation, in conjunction with the Office of Policy and Management, will submit a report which shall identify: 1) the number of residences classified as intermediate care facilities for the mentally retarded (ICF/MR) for which there is an expressed interest in converting to community living arrangements under the Home and Community Based Waiver program (HCBS); 2) the projected duration involved in completion of the conversions; 3) the projected fiscal impact as a result of implementing a conversion; and 4) the ability of the provider to serve additional people at no additional cost to the department without loss of the quality of care provided. This report will be available January 2, 1998, with a copy submitted to the Joint Standing Committees on Public Health and Appropriations and the Office of Fiscal Analysis.

The Department of Mental Retardation shall examine state-operated residential settings in order to enhance revenues within anticipated budgetary resources. As a result of the examination, the Department shall attain efficiencies and maximize federal reimbursement under the Home and Community Based Waiver program.

In addition, the Department shall maximize opportunities subject to federal financial participation under Medicaid for clients that are receiving services that are reimbursable under the Home and Community Based Waiver program that have not been identified for reimbursement.

Community Residential Services	0	1,406,733	0	1,406,733	0	0	0	0
Total - General Fund	**0**	**1,406,733**	**0**	**1,406,733**	**0**	**0**	**0**	**0**

Merge Family Placements and Emergency Placements under Community Residential Services - (B)
The Family Placements and Emergency Placements accounts support the purchase of residential services for families who have a member in need of placement or residential support. Supported Living Services and Community Training Homes are the residential settings eligible to be paid from these accounts.

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(G) Funding, in the amount of $1,619,797 from the Family Placements account and in the amount of $3,175,349 from the Emergency Placements account is recommended to be transferred to the Community Residential Services account for SFY 1997-98. An equivalent transfer is recommended in SFY 1998-99. All placements earmarked by the Legislature for this purpose are anticipated to have been made by the end of SFY 1996-97. The balance of this transfer appears in the agency's Resource Services program. -(L) A transfer of funding, in the amount of $1,619,797 from the Family Placements account and in the amount of $3,175,349 from the Emergency Placements account to the Community Residential Services account for SFY 1997-98 and SFY 1998-99 is not provided. It is legislative intent that the appropriations for these programs remain as originally established.								
Family Placements	0	0	0	0	0	1,583,421	0	1,583,421
Emergency Placements	0	0	0	0	0	3,142,228	0	3,142,228
Community Residential Services	0	0	0	0	0	-4,725,649	0	-4,725,649
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**
Increase Funding for Age-Outs - (B) In accordance with interagency agreements, the Department of Mental Retardation is responsible for developing residential and day services for individuals who turn 21 and are in placements funded by either the Department of Children and Families (DCF) or Local Education Authorities (LEA). -(G) Funding, in the amount of $2,854,873, is recommended in SFY 1997-98 for both day and residential services for placements of those individuals aging-out of DCF or LEA's. A second year increase of $2,920,534 is recommended for a total recommended funding of $5,775,407 in SFY 1998-99 for both day and residential services for placement of those individuals aging-out. In addition, funding in the amount of $156,067 for SFY 1998-99, is recommended to reflect the annualized costs of SFY 1997-98 age-outs. The balance of this increase in funding appears in the agency's Employment Opportunities and Day Services. -(L) Funding, in the amount of $2,403,223 is provided in SFY 1997-98 for day and residential services for the placements of those individuals aging-out of DCF or LEA's. Funding, in the amount of $2,403,223 is provided in SFY 1998-99 to reflect the additional placements of individuals aging-out of DCF or LEA's for that year. A total second year increase of $4,806,446 is provided to represent the cumulative impact of these additional placements. The balance of the increase in funding appears in the agency's Employment Opportunities and Day Services program.								
Community Residential Services	0	1,826,449	0	3,652,899	0	-353,884	0	-881,999
Total - General Fund	**0**	**1,826,449**	**0**	**3,652,899**	**0**	**-353,884**	**0**	**-881,999**
Provide Funding for Technological Ongoing Improvements and Telecommunication Requirements - (B) -(G) Funding, in the amount of $147,556, is recommended for SFY 1997-98 and for SFY 1998-99 funding, in the amount of $202,806 is recommended to provide for leasing and telecommunication requirements. Funding, in the amount of $36,000 is also recommended for SFY 1997-98 and funding, in the amount of $43,200 is recommended for SFY 1998-99 for the Office of Information Technology approved projects that are ongoing contractual costs. The balance of the increase in funding for the telecommunication requirements appears in the agency's Management Services program. -(L) Funding is not provided to reflect a revised estimate of needs based on the cost savings effort to be implemented by the department.								
Other Expenses	0	0	0	0	0	-101,041	0	-101,041
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**-101,041**	**0**	**-101,041**
Incorporate Assorted Annualizations - (B) -(G) These changes recognize a variety of increased program costs the department underwent during SFY 1996-97. Total funding for these annualized costs, in the amount of $3,000,000 for SFY 1997-98 and the same for SFY 1998-99, is recommended. Included in this total is $1,836,532 for community placements in the Rent Subsidy, Employment								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Opportunities and Day Services, and Community Residential Services accounts. In addition, annualized funding, in the amount of $321,531 in the Employment Opportunities and Day Services and Community Residential Services accounts, is recommended to reflect full-year support of clients transferred from Norwich Hospital to community placements. The costs associated with Southbury Training School community placements are recommended to be annualized in the amount of $494,933 in the Employment Opportunities and Day Services and Community Residential Services accounts. The final recommended annualization is for private provider increases in the amount of $347,004. The balance of this increase in funding appears throughout the agency's other programs. -(L)Same as Governor								
Rent Subsidy Program	0	96,747	0	96,747	0	0	0	0
Community Residential Services	0	2,051,402	0	2,051,402	0	0	0	0
Total - General Fund	**0**	**2,148,149**	**0**	**2,148,149**	**0**	**0**	**0**	**0**
Transfer Funds to the Office of Protection and Advocacy - (B) The Office of Protection and Advocacy contracts with Connecticut Legal Services to provide legal services for CARC v. Thorne class members. The CARC v. Thorne decision came as a result of the Mansfield Training School closing. The consent decree stated that the class members must live in community settings of six or fewer and as result there are still class members that are not properly placed. The consent decree requires the Department of Mental Retardation to provide advocates for the class members. -(G) The transfer of funding, in the amount of $100,000, is recommended from the Department of Mental Retardation to the Office of Protection and Advocacy for the legal services provided to CARC v. Thorne class members in SFY 1997-98 and for the same amount in SFY 1998-99. The balance of this transfer appears in the agency's Resource Services and Management Services programs. -(L)Same as Governor								
Other Expenses	0	-31,076	0	-31,076	0	0	0	0
Total - General Fund	**0**	**-31,076**	**0**	**-31,076**	**0**	**0**	**0**	**0**
Expenditure Update/Other Expenses - (B) -(L) A reduction in funding, in the amount of $4,700,000, is provided in both SFY 1997-98 and SFY 1998-99 in the Other Expenses account to reflect anticipated cost saving efforts to be implemented by the department. The balance of the reduction in funding appears in the agency's Management Services program.								
Other Expenses	0	-3,555,871	0	-3,555,871	0	-3,555,871	0	-3,555,871
Total - General Fund	**0**	**-3,555,871**	**0**	**-3,555,871**	**0**	**-3,555,871**	**0**	**-3,555,871**
Expenditure Update/Reductions in Inflationary Increases - (B) -(G) A reduction in funding, in the amount of $7,083,798 is recommended in SFY 1997-98 to reflect the Governor's proposal to uniformly eliminate inflation for all accounts. A further reduction of $7,059,852 is recommended to reflect the continued impact of inflation in SFY 1998-99. A total second year reduction of $14,143,650 is necessary to represent the cumulative impact of these changes on the current services base over time. The balance of this reduction is prorated throughout the Department's other programs. -(L)Same as Governor								
Other Expenses	0	-295,419	0	-508,810	0	0	0	0
Clinical Services	0	-26,975	0	-54,236	0	0	0	0
Temporary Support Services	0	-1,088	0	-2,156	0	0	0	0
Workers' Compensation	0	-269,262	0	-533,498	0	0	0	0
Rent Subsidy Program	0	-50,293	0	-100,007	0	0	0	0
Respite Care	0	-3,454	0	-6,546	0	0	0	0
Community Residential Services	0	-4,004,589	0	-8,022,206	0	0	0	0
Total - General Fund	**0**	**-4,651,080**	**0**	**-9,227,459**	**0**	**0**	**0**	**0**
Budget Totals - GF	**3,160**	**348,280,771**	**3,105**	**357,712,656**	**251**	**-4,882,100**	**251**	**-2,662,513**

[1] Section 33 of PA 97-8 of the June Special Session, "An Act Concerning Expenditures for the Programs and Services of the Department of Public Health" authorizes the Secretary of the Office of Policy and Management to distribute funds appropriated for the Private Provider account to the Department of Mental Health and Addiction Services, the Department of Mental Retardation, the Department of Social Services and the Department of Children and Families. These funds shall be used for payments to private providers, except not more than $4.5 million shall be distributed in SFY 1997-98 to: (1) agencies that settle wage and benefit contracts with unionized employees between April 1, 1997 and August 1, 1997, for the otherwise unreimbursed costs of collective bargaining agreements incurred in SFY 1997-98, and (2) proportional increases in personnel costs for such agencies' non - unionized employees. Also, not more than $4.5 million shall be distributed in SFY 1997-98 to: (A)(1) agencies that do not settle wage and benefit contracts with unionized employees between April 1, 1997 and August 1, 1997 for otherwise unreimbursed costs of collective bargaining agreements incurred in SFY 1997-98, and (2) proportional increases in personnel costs for such agencies' non - unionized employees and (B) non - unionized private provider agencies for increases in personnel costs. The exact amount to be distributed to the Department of Mental Retardation was not yet determined as of July 31, 1997.

DMR - Management Services
4104

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	341	341	341	341	340	340
	Others Equated to Full-time	37	37	37	37	37	37
	Additional Funds Available						
	Permanent Full-Time	19	19	19	19	19	19
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	16,582,113	16,934,827	18,446,927	19,527,378	18,391,747	19,472,198
002	Other Expenses	4,953,479	4,764,237	4,993,274	5,058,023	3,766,630	3,768,929
005	Equipment	0	0	2,000	2,000	2,000	2,000
02X	Other Current Expenses	0	0	50,000	50,000	25,000	25,000
	Agency Total - General Fund	**21,535,592**	**21,699,064**	**23,492,201**	**24,637,401**	**22,185,377**	**23,268,127**
	Agency Total - Appropriated Funds	**21,535,592**	**21,699,064**	**23,492,201**	**24,637,401**	**22,185,377**	**23,268,127**
	Additional Funds Available						
	Private Contributions	992,284	917,708	966,420	1,028,737	966,420	1,028,737
	Federal Contributions	503,922	704,059	694,594	694,594	694,594	694,594
	Agency Grand Total	**23,031,798**	**23,320,831**	**25,153,215**	**26,360,732**	**23,846,391**	**24,991,458**
	BUDGET BY PROGRAM						
	Statewide Management						
	Permanent Full-Time Positions GF/OF	94/19	94/19	94/19	94/19	93/19	93/19
	General Fund						
	Personal Services	4,852,371	5,081,279	5,418,097	5,604,782	5,362,917	5,549,602
	Other Expenses	822,355	710,000	939,037	948,536	856,522	858,821
	Equipment	0	0	2,000	2,000	2,000	2,000
	Total - General Fund	**5,674,726**	**5,791,279**	**6,359,134**	**6,555,318**	**6,221,439**	**6,410,423**
	Federal Contributions						
	Development Disabilities Basic Support	457,679	635,000	635,000	635,000	635,000	635,000
	Social Services Block Grant	46,243	69,059	59,594	59,594	59,594	59,594
	Total - Federal Contributions	**503,922**	**704,059**	**694,594**	**694,594**	**694,594**	**694,594**
	Additional Funds Available						
	Private Contributions	960,924	876,824	935,150	997,467	935,150	997,467
	Total - All Funds	**7,139,572**	**7,372,162**	**7,988,878**	**8,247,379**	**7,851,183**	**8,102,484**
	Field Operations Management						
	Permanent Full-Time Positions GF	247	247	247	247	247	247
	General Fund						
	Personal Services	11,729,742	11,853,548	13,028,830	13,922,596	13,028,830	13,922,596
	Other Expenses	4,131,124	4,054,237	4,054,237	4,109,487	2,910,108	2,910,108
027	Clinical Services	0	0	50,000	50,000	25,000	25,000
	Total - General Fund	**15,860,866**	**15,907,785**	**17,133,067**	**18,082,083**	**15,963,938**	**16,857,704**
	Additional Funds Available						
	Private Contributions	31,360	40,884	31,270	31,270	31,270	31,270
	Total - All Funds	**15,892,226**	**15,948,669**	**17,164,337**	**18,113,353**	**15,995,208**	**16,888,974**
	EQUIPMENT						
005	Equipment	0	0	2,000	2,000	2,000	2,000
	Agency Grand Total	**23,031,798**	**23,320,831**	**25,153,215**	**26,360,732**	**23,846,391**	**24,991,458**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**341**	**21,699,064**	**341**	**21,699,064**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	1,512,100	0	2,592,551	0	0	0	0
Other Expenses	0	63,243	0	156,711	0	0	0	0
Equipment	0	15,000	0	15,000	0	0	0	0
Other Current Expenses	0	0	0	14,800	0	0	0	0
Total - General Fund	**0**	**1,590,343**	**0**	**2,779,062**	**0**	**0**	**0**	**0**

Transfer Funds to the Office of Protection and Advocacy - (B)
The Office of Protection and Advocacy contracts with Connecticut Legal Services to provide legal services for CARC v. Thorne class members. The CARC v. Thorne decision came as the result of the Mansfield Training School closing. The consent decree stated that the class members must live in community settings of six or fewer and as a result there are still class members that are not properly placed. The consent decree requires the Department of Mental Retardation to provide advocates for the class members.
-(G) A transfer of funds, in the amount of $100,000 is recommended from the Department of Mental Retardation to the Office of Protection and Advocacy for legal services provided to CARC v. Thorne class members in SFY 1997-98 and SFY 1998-99. The balance of this transfer appears in the agency's Resource Services and Residential Services programs.
-(L)Same as Governor

Other Expenses	0	-23,829	0	-23,829	0	0	0	0
Total - General Fund	**0**	**-23,829**	**0**	**-23,829**	**0**	**0**	**0**	**0**

Reduce Funding Due to State Data Center Rate Reductions - (B)
Many state agencies obtain computer services to support their business functions from the State Data Center, operated by the Department of Administrative Services through the Technical Services Revolving Fund.
-(G) Due to a reorganization of the operation of the State Data Center, there is a significant reduction in the rates for services charged to state agencies (CATER and BOSS). A reduction in funding, in the amount of $274 is recommended for SFY 1997-98 and the same reduction for SFY 1998-99.
-(L)Same as Governor

Other Expenses	0	-274	0	-274	0	0	0	0
Total - General Fund	**0**	**-274**	**0**	**-274**	**0**	**0**	**0**	**0**

Provide Funding for Southbury Training School Litigation - (B)
A federal judge declared Connecticut in contempt of a 1986 consent decree and two court orders pertaining to the operation of Southbury Training School (STS). The court found that the Department's attempt to satisfy the consent decree had not achieved the required results. The state is appealing the decision.
-(G) Funding, in the amount of $170,625 is recommended for SFY 1997-98 to provide for STS litigation costs. Equivalent funding is recommended for SFY 1998-99. In addition, funding in the amount of $25,451, is recommended for SFY 1998-99 to provide for the annualization of SFY 1997-98 partial year costs for STS litigation. Included in this sum is funding for expert witnesses, paralegal staffing and secretarial support.
-(L)Same as Governor

Other Expenses	0	170,625	0	196,076	0	0	0	0
Total - General Fund	**0**	**170,625**	**0**	**196,076**	**0**	**0**	**0**	**0**

Provide Funding for Technological Ongoing Improvements and Telecommunication Requirements - (B)
-(G) Funding, in the amount of $147,556 in SFY 1997-98 and $202,806 in SFY 1998-99, is recommended, to provide for leasing and telecommunication requirements. Additional funding of $36,000 in SFY 1997-98 and $43,200 in SFY 1998-99 is recommended for Office of Information Technology approved projects that are ongoing contractual costs. The

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
balance of the increase in funding for the telecommunication requirements appears in the agency's Residential Services program. -(L) Funding is not provided to reflect a revised estimate of needs based on the cost savings effort to be implemented by the department.								
Other Expenses	0	0	0	0	0	-82,515	0	-144,965
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**-82,515**	**0**	**-144,965**
Increase Funding for Clinical Services at Southbury Training School - (B) A lawsuit filed by the family of two Department of Mental Retardation clients living at Southbury Training School (STS) has resulted in additional Clinical Services' cost in order to provide the clients with special services pursuant to a court order. -(G) Funding, in the amount of $50,000 is recommended for each of SFY 1997-98 and SFY 1998-99 to provide special clinical services to two clients living at STS pursuant to a consent decree. -(L) Funding, in the amount of $25,000 is provided for each of SFY 1997-98 and SFY 1998-99 to provide special clinical services to two clients living at STS pursuant to a consent decree.								
Clinical Services	0	25,000	0	25,000	0	-25,000	0	-25,000
Total - General Fund	**0**	**25,000**	**0**	**25,000**	**0**	**-25,000**	**0**	**-25,000**
Obtain Equipment Funding from the Capital Equipment Purchase Fund - (B) The Capital Equipment Purchase Fund (CEPF) is authorized by Section 4a-9 of the Connecticut General Statutes and is used for the purchase of capital equipment with a useful life of at least three years. It is financed through the sale of bonds, and the funds are distributed jointly through the Department of Administrative Services and Office of Policy and Management. -(G) A reduction in funding, in the amount of $398,000, is recommended to reflect the use of CEPF bond funds for the purchase of equipment in lieu of a General Fund appropriation in SFY 1997-98. A reduction in funding, in the amount of $398,000, is recommended to reflect the continued use of the CEPF to purchase equipment in SFY 1998-99. The balance of this reduction appears throughout the agency's other programs. -(L)Same as Governor								
Equipment	0	-13,000	0	-13,000	0	0	0	0
Total - General Fund	**0**	**-13,000**	**0**	**-13,000**	**0**	**0**	**0**	**0**
Eliminate Durational Position - (B) -(L) A reduction in funding, in the amount of $55,180 is provided in SFY 1997-98 and SFY 1998-99 to reflect the elimination of one durational project manager position at the Department of Mental Retardation.								
Personal Services	-1	-55,180	-1	-55,180	-1	-55,180	-1	-55,180
Total - General Fund	**-1**	**-55,180**	**-1**	**-55,180**	**-1**	**-55,180**	**-1**	**-55,180**
Expenditure Update/Other Expenses - (B) -(L) A reduction in funding, in the amount of $4,700,000, is provided in both SFY 1997-98 and SFY 1998-99 in the Other Expenses account to reflect anticipated cost saving efforts to be implemented by the department. The balance of the reduction in funding appears in the agency's Employment Opportunities and Day Services program.								
Other Expenses	0	-1,144,129	0	-1,144,129	0	-1,144,129	0	-1,144,129
Total - General Fund	**0**	**-1,144,129**	**0**	**-1,144,129**	**0**	**-1,144,129**	**0**	**-1,144,129**
Expenditure Update/Reductions in Inflationary Increases - (B) -(G) A reduction in funding, in the amount of $7,083,798 is recommended in SFY 1997-98 to reflect the Governor's								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
proposal to uniformly eliminate inflation for all accounts. A further reduction of $7,059,852 is recommended to reflect the continued impact of inflation in SFY 1998-99. A total second year reduction of $14,143,650 is necessary to represent the cumulative impact of these changes on the current services base over time. The balance of this reduction is prorated throughout the Department's other programs. -(L)Same as Governor								
Other Expenses	0	-63,243	0	-179,863	0	0	0	0
Clinical Services	0	0	0	-14,800	0	0	0	0
Total - General Fund	**0**	**-63,243**	**0**	**-194,663**	**0**	**0**	**0**	**0**
Budget Totals - GF	**340**	**22,185,377**	**340**	**23,268,127**	**-1**	**-1,306,824**	**-1**	**-1,369,274**

DMR - Personal Services Savings
4105

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	0	0	-5,000,000	-5,000,000	0	0
	Agency Total - General Fund	**0**	**0**	**-5,000,000**	**-5,000,000**	**0**	**0**
	Agency Total - Appropriated Funds	**0**	**0**	**-5,000,000**	**-5,000,000**	**0**	**0**
	Agency Grand Total	**0**	**0**	**-5,000,000**	**-5,000,000**	**0**	**0**
	BUDGET BY PROGRAM						
	Personal Services Savings						
	General Fund						
	Personal Services	0	0	-5,000,000	-5,000,000	0	0
	Agency Grand Total	**0**	**0**	**-5,000,000**	**-5,000,000**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Implement Personal Services Savings - (B) -(G) A reduction in funding in the amount of $5,000,000 for each of SFY 1997-98 and SFY 1998-99 is recommended in the Personal Services account to reflect general agency personnel savings. -(L) A reduction in funding, in the amount of $5,000,000 for each of SFY 1997-98 and SFY 1998-99 is not provided in order to address the continued needs of the Personal Services account.								
Personal Services	0	0	0	0	0	5,000,000	0	5,000,000
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**5,000,000**	**0**	**5,000,000**
Budget Totals - GF	**0**	**0**	**0**	**0**	**0**	**5,000,000**	**0**	**5,000,000**

Department of Mental Health and Addiction Services
4400

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	2,684	4,101	3,519	3,490	3,614	3,614
	Others Equated to Full-Time	153	6	155	155	155	155
	Additional Funds Available						
	Permanent Full-Time	61	62	60	60	60	60
	Others Equated to Full-Time	1	0	1	1	1	1
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services[1]	52,437,946	51,231,980	54,116,861	55,372,419	57,842,843	60,461,517
002	Other Expenses[1]	8,974,649	11,229,496	9,764,241	9,740,018	8,499,783	8,499,783
005	Equipment	39,000	0	10,000	10,000	10,000	10,000
02X	Other Current Expenses	27,651,889	40,878,461	36,919,540	35,658,640	68,993,346	69,593,346
6XX	Grant Payments - Other Than Towns	93,839,513	93,682,657	91,983,889	95,395,208	93,462,821	93,462,821
7XX	Grant Payments - To Towns	320,079	0	0	0	0	0
	Agency Total - General Fund	**183,263,076**	**197,022,594**	**192,794,531**	**196,176,285**	**228,808,793**	**232,027,467**
	Agency Total - Appropriated Funds	**183,263,076**	**197,022,594**	**192,794,531**	**196,176,285**	**228,808,793**	**232,027,467**
	Additional Funds Available						
	Special Funds, Non-Appropriated	124,665	0	0	0	0	0
	Bond Funds	0	1,949,402	0	0	0	0
	Private Contributions	1,977,469	3,090,129	2,440,580	2,507,639	2,440,580	2,507,639
	Federal Contributions	25,808,271	36,113,742	31,837,707	29,908,863	31,837,707	29,908,863
	Agency Grand Total	**211,173,481**	**238,175,867**	**227,072,818**	**228,592,787**	**263,087,080**	**264,443,969**
	BUDGET BY DIVISION						
	DMHAS - Management and Support						
	Agency Total - General Fund	**18,510,451**	**22,624,218**	**25,534,919**	**25,558,535**	**25,992,967**	**26,016,583**
	Bond Funds	0	1,010,364	0	0	0	0
	Private Contributions	473,637	766,050	427,376	445,387	427,376	445,387
	Federal Contributions	3,290,366	2,493,089	2,088,193	2,066,314	2,088,193	2,066,314
	Total All Funds	**22,274,454**	**26,893,721**	**28,050,488**	**28,070,236**	**28,508,536**	**28,528,284**
	DMHAS - Community Services						
	Agency Total - General Fund	**160,191,423**	**178,171,912**	**174,284,037**	**175,342,923**	**203,468,004**	**204,102,910**
	Bond Funds	0	602,690	0	0	0	0
	Private Contributions	895,073	1,352,683	1,281,824	1,310,588	1,281,824	1,310,588
	Federal Contributions	17,044,847	24,870,567	24,065,787	22,613,905	24,065,787	22,613,905
	Total All Funds	**178,131,343**	**204,997,852**	**199,631,648**	**199,267,416**	**228,815,615**	**228,027,403**
	DMHAS - Inpatient Hospital Services						
	Agency Total - General Fund	**128,506,798**	**119,302,373**	**119,405,848**	**120,092,917**	**124,794,790**	**125,881,859**
	Special Funds, Non-Appropriated	124,665	0	0	0	0	0
	Bond Funds	0	336,348	0	0	0	0
	Private Contributions	540,875	508,091	345,768	343,571	345,768	343,571
	Federal Contributions	850,731	740,866	748,000	751,000	748,000	751,000
	Total All Funds	**130,023,069**	**120,887,678**	**120,499,616**	**121,187,488**	**125,888,558**	**126,976,430**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	DMHAS - Advocacy and Prevention						
	Agency Total - General Fund	**14,054,404**	**14,924,091**	**15,429,255**	**17,041,438**	**16,412,560**	**17,885,643**
	Private Contributions	67,884	463,305	385,612	408,093	385,612	408,093
	Federal Contributions	4,622,327	8,009,220	4,935,727	4,477,644	4,935,727	4,477,644
	Total All Funds	**18,744,615**	**23,396,616**	**20,750,594**	**21,927,175**	**21,733,899**	**22,771,380**
	DMHAS - Disproportionate Share						
	Agency Total - General Fund	**-138,000,000**	**-138,000,000**	**-138,000,000**	**-138,000,000**	**-138,000,000**	**-138,000,000**
	Less: Turnover - Personal Services - GF	0	0	-3,859,528	-3,859,528	-3,859,528	-3,859,528
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
601	Grants for Substance Abuse Services[2]	22,715,809	21,783,182	19,914,457	21,871,457	21,025,835	21,025,835
602	Grants for Vocational Services[2]	384,792	387,511	0	0	387,511	387,511
604	Governor's Partnership to Protect Connecticut's Workforce	450,000	450,000	450,000	450,000	450,000	450,000
606	Grants for Mental Health Services[2]	61,897,115	62,522,351	71,619,432	73,073,751	63,059,862	63,059,862
608	Employment Opportunities[2]	8,391,797	8,539,613	0	0	8,539,613	8,539,613
	GRANT PAYMENTS - TO TOWNS (Recap)						
701	Community Mobilization	320,079	0	0	0	0	0
	OTHER CURRENT EXPENSES (Recap)						
011	Corporation for Supportive Housing	427,903	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000
020	PT Drug	0	0	1,346,700	2,585,800	1,288,000	2,588,000
021	Pre-Trial Alcohol Education System	953,635	990,738	1,500,000	1,500,000	1,240,738	1,240,738
022	Managed Service System[2]	4,875,231	6,533,280	500,000	500,000	6,533,280	6,533,280
023	Drug Treatment for Schizophrenia	980,175	1,743,195	1,743,195	1,743,195	1,743,195	1,743,195
024	Survey and Outcomes Monitoring	0	0	250,000	250,000	0	0
025	Primary Health Care Pilot	0	0	200,000	400,000	0	0
029	Legal Services	397,200	397,200	397,200	397,200	397,200	397,200
030	Connecticut Mental Health Center	6,912,511	7,172,564	6,482,462	6,482,462	7,172,564	7,172,564
031	Capitol Region Mental Health Center	371,903	363,781	363,781	363,781	363,781	363,781
032	Professional Services	3,931,844	4,776,670	4,611,985	4,611,985	5,390,371	5,390,371
034	Regional Action Councils	675,000	675,000	675,000	675,000	725,000	725,000
035	General Assistance Managed Care	2,232,776	7,296,694	10,366,694	9,666,694	35,656,694	34,956,694
036	Substance Abuse Treatment Programs[2]	20,000	20,000	20,000	20,000	20,000	20,000
037	Mental Health/Medicaid Managed Care	178,359	1,510,000	0	0	0	0
038	Chronic Gamblers Treatment and Rehabilitation	85,646	100,000	100,000	100,000	100,000	100,000
039	Workers' Compensation Claims	5,609,706	6,799,339	5,362,523	5,362,523	5,362,523	5,362,523
040	Eastern Region[2]	0	1,500,000	2,000,000	0	2,000,000	2,000,000
	EQUIPMENT						
005	Equipment	39,000	0	10,000	10,000	10,000	10,000
	Agency Grand Total	**211,173,481**	**238,175,867**	**227,072,818**	**228,592,787**	**263,087,080**	**264,443,969**

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $17,074,156 in Personal Services from the early retirement incentive program, and $1,792,428 in Personal Services and $1,192,240 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $3,722,206 for FY '98.

[2] Section 33 of P.A. 97-8, "An Act Concerning the Expenditures for the Programs and Services of the Department of Public Health", authorizes the Secretary of the Office of Policy and Management to distribute $9 million appropriated for the private provider account to the Department of Mental Health and Addiction Services, and the Department of Mental Retardation, the Department of Social Services, and the Department of Children and Families. These funds shall be used for payments, within available appropriations, to private providers for costs related to collective bargaining agreements by agencies that settle wage and benefit contracts between April 15, 1997 and August 1, 1997, and to provide proportional wage increases for personnel costs for personnel costs for the non-unionized employees of such agencies.

DMHAS PROGRAM MEASURES					
	Actual FY95	Actual FY96	Estimated FY97	Estimate d FY98	Estimated FY99
State S.A. Residential					
Detoxification	85	108	85	85	85
Rehabilitation	188	247	188	200	200
Clients Receiving Emergency / Crisis Counseling	19,675	20,681	19,675	19,750	19,750
Clients Receiving Outpatient Clinic Services [1]	21,157	17,302	21,157	21,000	21,000
Clients Receiving Partial Hospital Services [2]	1,702	1,816	1,702	1,750	1,750
Community S.A. Residential					
Detoxification	122	129	122	125	125
Rehabilitation	666	1,001	666	700	700
Clients Living in Group homes	239	268	239	265	265
Clients in Supervised Supportive Apartments	2,303	2,823	2,303	2,500	2,500
Clients Receiving Supported Employment - Prevocational	2,512	2,607	2,512	2,550	2,550
Clients Participating in Social Rehabilitation Programs	6,053	4,812	6,053	6,000	6,000
Clients Receiving Case Management	9,919	12,283	9,919	9,900	9,900
Clients in M.C. - General Assistance Programs	5,767	5,491	5,767	6,500	6,500
PAES					
Education Track	895	1,058	895	1,000	1,000
Therapeutic Track	3,681	4,077	3,681	4,400	4,400
Participants Convicted of DWI w/in One Year	n/a	450	484	450	450
Grant Applications Reviewed	110	172	110	105	105
Grantees' Fiscal Audits	120	81	120	130	130

[1] Includes substance abuse outpatient services, methadone maintenance services and ambulatory detox services.
[2] Includes 588 substance abuse intensive outpatient service episodes.

Department of Mental Health and Addiction Services
Institutional Data

	Actual 1995-96	EST. 1996-97	Gov Rec. 1997-98	Leg Approp 1997-98	Gov Rec. 1998-99	Leg Approp 1998-99
Bridgeport Mental Health Center						
Mental Health Division						
Beds	42	42	42	42	42	42
Average Daily Census	19	35	35	35	35	35
New Admissions	196	300	300	300	300	300
Readmissions	239	430	430	430	430	430
Total Admissions	435	730	730	730	730	730
Total Patient Days	8,719	12,775	12,775	12,775	12,775	12,775
Unduplicated Client Count	366	560	560	560	560	560
Addiction Services Division						
Beds	20	20	20	20	20	20
Average Daily Census	16	16	16	16	16	16
New Admissions	481	600	600	600	600	600

	Actual 1995-96	EST. 1996-97	Gov Rec. 1997-98	Leg Approp 1997-98	Gov Rec. 1998-99	Leg Approp 1998-99
Readmissions	129	700	700	700	700	700
Total Admissions	610	1,300	1,300	1,300	1,300	1,300
Total Patient Days	2,812	6,000	6,000	6,000	6,000	6,000
Unduplicated Client Count	481	1,000	1,000	1,000	1,000	1,000
Cedercrest Hospital						
Mental Health Division						
Beds	73	73	73	73	73	73
Average Daily Census	78	78	78	78	78	78
New Admissions	303	309	309	309	309	309
Readmissions	510	780	780	780	780	780
Total Admissions	813	1,000	1,000	1,000	1,000	1,000
Total Patient Days	26,848	26,000	26,000	26,000	26,000	26,000
Unduplicated Client Count	623	714	714	714	714	714
Addiction Services Division						
Beds	73	73	73	73	73	73
Average Daily Census	84	86	86	86	86	86
New Admissions	1,739	1,739	1,739	1,739	1,739	1,739
Readmissions	384	500	500	500	500	500
Total Admissions	2,123	2,150	2,150	2,150	2,150	2,150
Total Patient Days	28,837	30,000	30,000	30,000	30,000	30,000
Unduplicated Client Count	1,739	1,740	1,740	1,740	1,740	1,740
Connecticut Mental Health Center						
Beds	54	54	54	54	54	54
Average Daily Census	42	40	40	40	40	40
New Admissions	344	325	325	325	325	325
Readmissions	382	382	382	382	382	382
Total Admissions	726	707	707	707	707	707
Total Patient Days	14,063	14,045	14,045	14,045	14,045	14,045
Unduplicated Client Count	573	585	585	585	585	585
Norwich Hospital [1]						
Mental Health Division						
Beds	116	70	0	0	0	0
Average Daily Census	139	70	0	0	0	0
New Admissions	123	16	0	0	0	0
Readmissions	185	12	0	0	0	0
Total Admissions	308	28	0	0	0	0
Total Patient Days	44,463	7,798	0	0	0	0
Unduplicated Client Count	370	92	0	0	0	0
Addiction Services Division						
Beds	42	28	0	0	0	0
Average Daily Census	40	28	0	0	0	0
New Admissions	957	130	0	0	0	0
Readmissions	562	111	0	0	0	0
Total Admissions	1,519	270	0	0	0	0
Total Patient Days	14,198	2,580	0	0	0	0
Unduplicated Client Count	957	130	0	0	0	0
Connecticut Valley Hospital						
Mental Health Division						
Beds	170	236	236	236	236	236
Average Daily Census	147	224	224	224	224	224
New Admissions	63	94	94	94	94	94
Readmissions	235	350	350	350	350	350
Total Admissions	298	444	444	444	444	444
Total Patient Days	53,655	81,833	81,833	81,833	81,833	81,833
Unduplicated Client Count	389	585	585	585	585	585
Addiction Services Division						
Beds	100	180	180	180	180	180
Average Daily Census	70	71	71	71	71	71
New Admissions	957	4,529	4,529	4,529	4,529	4,529
Readmissions	693	3,280	3,280	3,280	3,280	3,280
Total Admissions	1,650	7,809	7,809	7,809	7,809	7,809
Total Patient Days	25,550	62,415	62,415	62,415	62,415	62,415
Unduplicated Client Count	1,137	5,221	5,221	5,221	5,221	5,221
Whiting Forensic Division						
Beds	126	175	175	175	175	175
Average Daily Census	113	157	157	157	157	157
New Admissions	25	42	42	42	42	42
Readmissions	34	58	58	58	58	58
Total Admissions	59	100	100	100	100	100
Total Patient Days	41,245	57,305	57,305	57,305	57,305	57,305
Unduplicated Client Count	172	239	239	239	239	239

1997 BOND AUTHORIZATIONS

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	2(i)(1)	21(h)(1)	Fire, safety and environmental improvements, including improvements in compliance with current codes, site improvements, repair and replacement of roofs and other exterior and interior building renovations	70,320,000	5,000,000	4,000,000
SA 97-1 (J5 SS)	2(i)(2)	21(h)(2)	Alterations, renovations, additions and improvements, including new construction in accordance with the Department of Mental Health and Additions Services master campus plan	18,605,000	11,100,000	4,000,000
SA 97-1 (J5 SS)	158	-	Increase in SA 93-2 JSS 30(f)(2): Air conditioning of various patient occupied and patient-related areas at various facilities (Secs. 104, 133 and 143 reduce 1990, 1992 and 1993 authorizations totaling $11,132,000 by $3,671,167 for this purpose.)	7,460,833	3,675,000	-
SA 97-1 (J5 SS)	204	-	Increase in SA 95-20 22(j)(1): Fire, safety and environmental improvements in compliance with current codes, site improvements, repair and replacement of roofs and other interior and exterior building renovations	5,500,000	2,268,000	-
SA 97-1 (J5 SS)	205	-	Increase in SA 95-20 22(j)(2): Alterations, renovations, additions and improvements, including new construction in accordance with the departmental master campus plan, [including new or replacement facility for the F.S. DuBois Center, Stamford,] (Secs. 159 and 188 reduce 1993 and 1995 authorizations totaling by $12,765,000 by $2,415,142 for this purpose.)	10,349,858	6,666,000	-
SA 97-1 (J5 SS)	176	-	Increase in SA 93-2 JSS 50(f)(2): Grants-in-aid to private non-profit organizations for new or expanded community residential out-patient [alcohol and drug abuse treatment] facilities for capital costs related to start-up (Sec. 151 reduces a 1993 authorization of $500,000 by $311,250 for this purpose.)	188,750	311,250	-
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	66	-	Planning for renovations, repairs and alterations to building for use by Berkshire Chemical Dependence Treatment Center, Fairfield Hills Hospital (SA 88-77 2(o)(3))	245,000	(245,000)	-
SA 97-1 (J5 SS)	67	-	At Blue Hills Hospital: Planning for additional program and recreational space (SA 88-77 2(o)(4))	340,500	(340,500)	-
SA 97-1 (J5 SS)	87	-	Fire, safety and environmental improvements, including improvements in compliance with current codes, air conditioning, site improvements, repair and replacement of roofs, and other exterior building renovations (SA 89-52 2(k)(1))	2,000,000	(18,080)	-
SA 97-1 (J5 SS)	88	-	At Fairfield Hills Hospital: Renovations, repairs and alterations for use by the Berkshire Woods Chemical Dependence Treatment Center (SA 89-52 2(k)(2))	2,280,000	(1,685,000)	-
SA 97-1 (J5 SS)	104	-	Air conditioning of various patient-occupied and patient-related areas in buildings at various facilities (SA 90-34 2(j)(2))	3,252,000	(72,779)	-
SA 97-1 (J5 SS)	105	-	Planning and design for repair, renovations, additions, and improvements, including new construction in accordance with campus master plans (SA 90-34 2(j)(3))	3,000,000	(3,000,000)	-
SA 97-1 (J5 SS)	133	-	Air conditioning of various patient-occupied and patient-related areas in buildings at various facilities (SA 92-3 MSS 2(i)(2))	1,880,000	(934,800)	-
SA 97-1 (J5 SS)	143	-	Air conditioning of various patient-occupied and patient-related areas in buildings at various facilities (SA 93-2 JSS 2(j)(2))	6,000,000	(2,663,588)	-

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
SA 97-1 (J5 SS)	144	-	Preservation of unoccupied departmental facilities or demolition in accordance with the Department of Mental Health Master Campus Plan (SA 93-2 JSS 2(j)(4))	350,000	(350,000)	-
SA 97-1 (J5 SS)	151	-	Grants-in-aid to private nonprofit organizations for new or expanded residential or out-patient alcohol & drug abuse treatment facilities for capital costs related to start-up (SA 93-2 JSS 22(g)(2))	500,000	(311,250)	-
SA 97-1 (J5 SS)	159	-	Planning and design for repairs, renovations, additions and improvements, including new construction in accordance with the campus master plan, including a new or replacement facility for the F. S. DuBois Center, Stamford (SA 93-2 JSS 30(f)(3))	10,000,000	(1,150,142)	-
SA 97-1 (J5 SS)	160	-	Preservation of unoccupied departmental facilities or demolition in accordance with the Master Campus Plan (SA 93-2 JSS 30(f)(5))	350,000	(350,000)	-
SA 97-1 (J5 SS)	188	-	Alterations, renovations, additions and improvements, including new construction in accordance with the departmental master plan for the F.S. DuBois Center, Stamford (SA 95-20 2(j)(2))	2,765,000	(1,265,000)	-
SA 97-1 (J5 SS)	189	-	Preservation of unoccupied departmental facilities or demolition in accordance with the departmental master campus plan (SA 95-20 2(j)(4))	1,500,000	(1,500,000)	-
			1997 Language Changes			
SA 97-1 (J5 SS)	217	-	Liens obligated from grants-in-aid through the Department of Mental Health and Addiction Services to Regional Network of programs of Bridgeport for renovations and repairs to 163 Maple Street in Bridgeport from SA 85-102; SA 86-54 and SA 87-77 in the amount of $33,295.70 shall be transferred to a replacement property at 74 Huntington Avenue in Bridgeport, owned by Regional Network of Programs of Bridgeport (SA 86-54 & SA 87-77)	33,296	-	-

DMHAS - Community Services
4401

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	1,236	1,239	1,197	1,168	1,234	1,234
	Others Equated to Full-Time	71	71	74	74	74	74
	Additional Funds Available						
	Permanent Full-Time	7	12	10	10	10	10
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	49,838,280	56,495,105	58,588,360	58,044,078	61,587,271	62,406,105
002	Other Expenses	5,806,858	7,746,444	8,032,658	7,832,398	7,562,813	7,386,776
005	Equipment	0	0	0	0	0	0
02X	Other Current Expenses	12,493,590	21,989,834	18,287,370	16,685,046	42,597,227	42,594,903
6XX	Grant Payments - Other Than Towns	92,052,695	91,940,529	89,375,649	92,781,401	91,720,693	91,715,126
	Agency Total - General Fund	**160,191,423**	**178,171,912**	**174,284,037**	**175,342,923**	**203,468,004**	**204,102,910**
	Agency Total - Appropriated Funds	**160,191,423**	**178,171,912**	**174,284,037**	**175,342,923**	**203,468,004**	**204,102,910**
	Additional Funds Available						
	Bond Funds	0	602,690	0	0	0	0
	Private Contributions	895,073	1,352,683	1,281,824	1,310,588	1,281,824	1,310,588
	Federal Contributions	17,044,847	24,870,567	24,065,787	22,613,905	24,065,787	22,613,905
	Agency Grand Total	**178,131,343**	**204,997,852**	**199,631,648**	**199,267,416**	**228,815,615**	**228,027,403**
	BUDGET BY PROGRAM						
	Substance Abuse Services						
	Permanent Full-Time Positions GF	11	11	11	11	11	11
	General Fund						
	Personal Services	433,478	457,112	448,755	452,845	458,672	462,762
	Other Expenses	287,819	294,682	276,431	279,499	379,761	382,829
	Equipment	0	0	0	0	0	0
022	Managed Service System	8,915	0	0	0	0	0
030	Connecticut Mental Health Center	1,239,626	1,286,262	1,221,949	1,221,949	1,286,262	1,286,262
032	Professional Services	736,504	1,054,356	329,330	333,944	1,029,330	1,033,944
035	General Assistance Managed Care	8,300	140,850	741,485	742,438	16,031,485	16,032,438
036	Substance Abuse Treatment Programs	20,000	20,000	20,000	20,000	20,000	20,000
040	Eastern Region	0	1,200,000	1,600,778	0	1,600,778	1,600,000
	Grant Payments - Other Than Towns						
	Grants for Substance Abuse Services	20,607,082	19,756,980	17,888,255	19,845,256	18,999,633	18,999,634
	Grants for Vocational Services	384,792	387,511	0	0	387,511	387,511
	Total - General Fund	**23,726,516**	**24,597,753**	**22,526,983**	**22,895,931**	**40,193,432**	**40,205,380**
	Federal Contributions						
	Resident Drug Treatment-Pregnant	472,000	682,058	585,387	0	585,387	0
	Resident Treatment- Women/Children	725,578	968,852	837,066	0	837,066	0
	Social Services Block Grant	1,326,531	1,260,978	1,260,978	1,260,978	1,260,978	1,260,978
	Alcohol Research Center Grants	0	38,924	0	0	0	0
	Critical Populations Model Pgm	924,825	515,476	0	0	0	0
	Criminal Justice Treatment Pgm	0	359	0	0	0	0
	Capacity Expansion Program	446,590	401,471	0	0	0	0
	Prevent & Treat Substance Abuse	5,654,743	7,423,754	6,808,932	6,805,932	6,808,932	6,805,932
	Total - Federal Contributions	**9,550,267**	**11,291,872**	**9,492,363**	**8,066,910**	**9,492,363**	**8,066,910**
	Additional Funds Available						
	Bond Funds	0	389,370	0	0	0	0
	Private Contributions	170,325	259,946	187,760	197,149	187,760	197,149
	Total - Additional Funds Available	**170,325**	**649,316**	**187,760**	**197,149**	**187,760**	**197,149**
	Total - All Funds	**33,447,108**	**36,538,941**	**32,207,106**	**31,159,990**	**49,873,555**	**48,469,439**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Mental Health Services						
	Permanent Full-Time Positions GF/OF	438/0	436/2	422/0	422/0	431/0	431/0
	General Fund						
	Personal Services	19,262,227	21,326,674	22,684,167	21,632,708	24,310,045	23,258,586
	Other Expenses	2,726,227	3,110,850	3,547,777	3,303,556	2,968,505	2,724,284
	Equipment	0	0	0	0	0	0
022	Managed Service System	481,377	770,369	250,000	250,000	770,369	770,369
023	Drug Treatment for Schizophrenia	290,180	686,788	747,134	751,765	747,134	751,765
030	Connecticut Mental Health Center	2,269,693	2,355,080	2,237,327	2,237,326	2,355,080	2,355,079
032	Professional Services	158,917	251,675	254,723	247,869	254,723	247,869
035	General Assistance Managed Care	106,851	388,929	1,050,684	1,053,312	1,050,684	1,053,312
	Grant Payments - Other Than Towns						
	Grants for Mental Health Services	15,092,718	15,217,994	14,015,655	15,114,737	15,317,994	16,017,076
	Total - General Fund	**40,388,190**	**44,108,359**	**44,787,467**	**44,591,273**	**47,774,534**	**47,178,340**
	Federal Contributions						
	Social Services Block Grant	78,769	74,281	48,640	49,759	48,640	49,759
	Comm Mental Hlth Serv Block Grt	2,374,520	2,632,471	2,632,471	2,632,471	2,632,471	2,632,471
	Total - Federal Contributions	**2,453,289**	**2,706,752**	**2,681,111**	**2,682,230**	**2,681,111**	**2,682,230**
	Additional Funds Available						
	Bond Funds	0	49,836	0	0	0	0
	Private Contributions	70,223	183,663	182,576	182,981	182,576	182,981
	Total - Additional Funds Available	**70,223**	**233,499**	**182,576**	**182,981**	**182,576**	**182,981**
	Total - All Funds	**42,911,702**	**47,048,610**	**47,651,154**	**47,456,484**	**50,638,221**	**50,043,551**
	Long Term Care and Shelters						
	General Fund						
022	Managed Service System	153,611	0	0	0	0	0
035	General Assistance Managed Care	4,362	0	0	0	0	0
	Grant Payments - Other Than Towns						
	Grants for Substance Abuse Services	1,529,832	1,485,349	1,485,349	1,485,349	1,485,349	1,485,349
	Total - General Fund	**1,687,805**	**1,485,349**	**1,485,349**	**1,485,349**	**1,485,349**	**1,485,349**
	Federal Contributions						
	Social Services Block Grant	858,843	764,028	764,028	764,028	764,028	764,028
	Prevent & Treat Substance Abuse	303,789	370,572	342,990	342,990	342,990	342,990
	Total - Federal Contributions	**1,162,632**	**1,134,600**	**1,107,018**	**1,107,018**	**1,107,018**	**1,107,018**
	Total - All Funds	**2,850,437**	**2,619,949**	**2,592,367**	**2,592,367**	**2,592,367**	**2,592,367**
	Residential Support Services						
	Permanent Full-Time Positions GF/OF	114/1	114/1	86/1	57/1	114/1	114/1
	General Fund						
	Personal Services	4,282,373	4,476,239	4,891,056	4,929,182	6,254,172	7,655,414
	Other Expenses	66,783	125,092	123,584	124,873	145,384	170,896
011	Corporation for Supportive Housing	129,578	724,016	725,149	726,277	725,149	726,277
022	Managed Service System	2,736,978	3,348,520	0	0	3,348,520	3,348,520
032	Professional Services	14,002	14,002	14,172	14,230	14,172	14,230
	Grant Payments - Other Than Towns						
	Grants for Mental Health Services	26,269,293	28,406,732	31,608,170	31,560,820	28,406,732	27,305,063
	Total - General Fund	**33,499,007**	**37,094,601**	**37,362,131**	**37,355,382**	**38,894,129**	**39,220,400**
	Federal Contributions						
	Supportive Housing Program	0	1,618,599	1,312,226	1,264,454	1,312,226	1,264,454
	Shelter Plus Care	1,121,251	4,720,000	6,059,112	6,059,112	6,059,112	6,059,112
	Social Services Block Grant	42,345	67,008	67,872	68,628	67,872	68,628
	Comm Mental Hlth Serv Block Grt	147,354	147,356	147,356	147,356	147,356	147,356
	Total - Federal Contributions	**1,310,950**	**6,552,963**	**7,586,566**	**7,539,550**	**7,586,566**	**7,539,550**
	Additional Funds Available						
	Private Contributions	0	45,000	46,800	48,673	46,800	48,673
	Total - All Funds	**34,809,957**	**43,692,564**	**44,995,497**	**44,943,605**	**46,527,495**	**46,808,623**
	Case Management						
	Permanent Full-Time Positions GF/OF	650/6	655/6	655/6	655/6	655/6	655/6
	General Fund						
	Personal Services	24,822,112	29,107,682	29,503,122	29,939,020	29,503,122	29,939,020
	Other Expenses	2,542,845	3,993,804	3,854,474	3,892,030	3,854,474	3,892,030
011	Corporation for Supportive Housing	298,325	275,984	274,851	273,723	274,851	273,723
022	Managed Service System	745,755	1,704,457	250,000	250,000	1,704,457	1,704,457
023	Drug Treatment for Schizophrenia	32,626	91,771	99,834	100,454	99,834	100,454
031	Capitol Region Mental Health Center	371,903	363,781	363,781	363,781	363,781	363,781
032	Professional Services	249,959	331,634	340,630	337,034	340,630	337,034
035	General Assistance Managed Care	2,113,263	6,766,915	7,764,525	7,760,944	10,364,525	10,360,944
	Grant Payments - Other Than Towns						
	Grants for Mental Health Services	12,263,060	10,398,301	12,284,758	12,284,684	10,398,301	10,398,227
	Total - General Fund	**43,439,848**	**53,034,329**	**54,735,975**	**55,201,670**	**56,903,975**	**57,369,670**
	Federal Contributions						
	MH Planning & Demonstration Proj	1,748,778	1,910,926	1,910,926	1,910,926	1,910,926	1,910,926
	Cooperative Agreemt Prg-Homeless	114	0	0	0	0	0
	Transition From Homelessness	281,152	300,000	300,000	300,000	300,000	300,000
	Social Services Block Grant	45,136	90,492	92,786	94,920	92,786	94,920

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	HIV Care Formula Grants	26,821	10,000	10,000	10,000	10,000	10,000
	Comm Mental Hlth Serv Block Grt	324,448	391,678	391,678	391,678	391,678	391,678
	Total - Federal Contributions	**2,426,449**	**2,703,096**	**2,705,390**	**2,707,524**	**2,705,390**	**2,707,524**
	Additional Funds Available						
	Bond Funds	0	163,484	0	0	0	0
	Private Contributions	652,003	690,059	706,425	723,470	706,425	723,470
	Total - Additional Funds Available	**652,003**	**853,543**	**706,425**	**723,470**	**706,425**	**723,470**
	Total - All Funds	**46,518,300**	**56,590,968**	**58,147,790**	**58,632,664**	**60,315,790**	**60,800,664**
	Social and Vocational Rehabilitation						
	Permanent Full-Time Positions GF/OF	23/0	23/3	23/3	23/3	23/3	23/3
	General Fund						
	Personal Services	1,038,090	1,127,398	1,061,260	1,090,323	1,061,260	1,090,323
	Other Expenses	183,184	222,016	230,392	232,440	214,689	216,737
022	Managed Service System	322,289	214,445	0	0	214,445	214,445
032	Professional Services	576	0	1,018	0	1,018	0
	Grant Payments - Other Than Towns						
	Grants for Mental Health Services	7,514,121	7,748,049	12,093,462	12,490,555	8,185,560	8,582,653
	Employment Opportunities	8,391,797	8,539,613	0	0	8,539,613	8,539,613
	Total - General Fund	**17,450,057**	**17,851,521**	**13,386,132**	**13,813,318**	**18,216,585**	**18,643,771**
	Federal Contributions						
	Supportive Housing Program	0	387,783	399,838	417,172	399,838	417,172
	Transition From Homelessness	36,785	0	0	0	0	0
	Comm Mental Hlth Serv Block Grt	104,475	93,501	93,501	93,501	93,501	93,501
	Total - Federal Contributions	**141,260**	**481,284**	**493,339**	**510,673**	**493,339**	**510,673**
	Additional Funds Available						
	Private Contributions	2,522	174,015	158,263	158,315	158,263	158,315
	Total - All Funds	**17,593,839**	**18,506,820**	**14,037,734**	**14,482,306**	**18,868,187**	**19,312,759**
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
601	Grants for Substance Abuse Services	22,136,914	21,242,329	19,373,604	21,330,605	20,484,982	20,484,983
602	Grants for Vocational Services	384,792	387,511	0	0	387,511	387,511
606	Grants for Mental Health Services	61,139,192	61,771,076	70,002,045	71,450,796	62,308,587	62,303,019
608	Employment Opportunities	8,391,797	8,539,613	0	0	8,539,613	8,539,613
	EQUIPMENT						
005	Equipment	0	0	0	0	0	0
	Agency Grand Total	**178,131,343**	**204,997,852**	**199,631,648**	**199,267,416**	**228,815,615**	**228,027,403**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**1,239**	**178,171,912**	**1,239**	**178,171,912**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Other Expenses	0	74,750	0	142,934	0	0	0	0
Other Current Expenses	0	954,960	0	969,592	0	0	0	0
Grant Payments - Other Than Towns	0	2,098,193	0	3,194,369	0	0	0	0
Total - General Fund	**0**	**3,127,903**	**0**	**4,306,895**	**0**	**0**	**0**	**0**
Reductions in Inflationary Increases - (B)								
-(G) The Governor recommends the elimination of all inflationary increases granted for FY 1998.								
-(L)Same as Governor								
Other Expenses	0	-74,750	0	-318,971	0	0	0	0
Corporation for Supportive Housing	0	-24,000	0	-25,128	0	0	0	0
Managed Service System	0	-262,633	0	-262,633	0	0	0	0
Drug Treatment for Schizophrenia	0	-32,186	0	-32,186	0	0	0	0
Connecticut Mental Health Center	0	-138,372	0	-138,373	0	0	0	0
Capitol Region Mental Health Center	0	-13,824	0	-13,824	0	0	0	0
Professional Services	0	-91,880	0	-103,348	0	0	0	0
General Assistance Managed Care	0	-277,274	0	-280,855	0	0	0	0
Substance Abuse Treatment Programs	0	-760	0	-760	0	0	0	0
Eastern Region	0	-45,622	0	-46,400	0	0	0	0
Grants for Substance Abuse Services	0	-369,698	0	-369,698	0	0	0	0
Grants for Vocational Services	0	-9,300	0	-9,300	0	0	0	0
Grants for Mental Health Services	0	-1,514,244	0	-2,615,987	0	0	0	0
Employment Opportunities	0	-204,951	0	-204,951	0	0	0	0
Total - General Fund	**0**	**-3,059,494**	**0**	**-4,422,414**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Expand Services to Youths Committed by the Courts - (B)								
The Department of Mental Health and Addiction Services (DMHAS) is required to evaluate and treat substance dependent offenders at the direction of Connecticut's courts, including youths aged 16 to 18 who are now handled in the adult court for a range of criminal offenses. Recent legislative changes have expanded the definition of juvenile offenders to include youths aged 14 and older. -(G) The Governor recommends additional funding of $828,000 in FY 98 and $1,185,000 in FY 99 in order to provide services to an increased number of juvenile referrals. Due to the statutory changes in the prosecution of juvenile offenders, the department anticipates serving 60 youths in FY 98, an increase from the 50 youths anticipated to be served in FY 97. This increased caseload, coupled with a recent increase in the rates paid to service providers, necessitates this increased funding level. -(L) Funding is not provided to expand services to youth committed by the courts.								
Grants for Substance Abuse Services	0	0	0	0	0	-828,000	0	-1,185,000
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**-828,000**	**0**	**-1,185,000**
Conversion of State-Operated Residential Programs to Private Non-Profits - (B)								
The Department of Mental Health and Addiction Services (DMHAS) currently has state staff working with non-profit providers in a number of collaborative ventures. -(G) The Governor recommends reconfiguring these services by contracting with Local Mental Health Authorities (LMHAs). These services would be included in the LMHAs managed system of community care. Through the elimination of 28 full time positions in FY 98 and 29 positions in FY 99, this effort would realize a savings of $333,019 in FY 98 and $333,020 in FY 99. -(L) Continued funding is provided to reflect the Legislature's intention that these state-operated programs not be converted into private non-profits.								
Personal Services	0	0	0	0	28	1,363,116	57	2,726,232
Other Expenses	0	0	0	0	0	24,222	0	48,445
Grants for Mental Health Services	0	0	0	0	0	-1,054,319	0	-2,108,638
Total - General Fund	**0**	**0**	**0**	**0**	**28**	**333,019**	**57**	**666,039**
Eliminate Funding for Ambulance Transportation - (B)								
The ambulance transportation system originally established by state statute required CADAC to pay for the transport of alcoholics when the primary purpose of the trip is alcohol related. The statute allowed only for alcohol-related transports and does not refer to drug-related services. The eventual merger of the old CADAC into DMHAS does not specifically refer to ambulance transportation or the Department's continued responsibilities. -(G) The Governor recommends the elimination of this transportation funding. Any transportation costs not initiated by DMHAS would become the responsibility of the ambulance companies to collect, either from local welfare, Title XIX, private insurance or self-pay. This elimination is estimated to save $800,000 annually. -(L) Funding for the ambulance transportation system is provided to continue the system at the present level.								
Other Expenses	0	0	0	0	0	100,000	0	100,000
Professional Services	0	0	0	0	0	700,000	0	700,000
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**800,000**	**0**	**800,000**
Reduce Addiction Services Funding to the Department of Corrections - (B)								
The Department of Mental Health and Addiction Services currently provides funding to the Department of Corrections, primarily for correctional facility based substance abuse outpatient, residential treatment and educational programs. -(G) The Governor recommends reducing the funding by $1,002,336 annually.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L)Same as Governor								
Grants for Substance Abuse Services	0	-1,002,336	0	-1,002,336	0	0	0	0
Total - General Fund	**0**	**-1,002,336**	**0**	**-1,002,336**	**0**	**0**	**0**	**0**

Enhance State Psychological Services - (B)
The Department of Mental Health and Addiction Services (DMHAS) funds a variety of outpatient clinical initiatives to address mental health needs. These initiatives include a wide spectrum of services that are funded through department grants to private non-profit organizations in order to assist individuals with mental health needs by promoting self-sufficiency.
-(L) Total funding of $100,000 is provided in each year of the biennium to support outpatient services for children with serious aggressive and antisocial behavior. This program shall address behavioral issues by including both the child and the parents in the treatment process.

Grants for Mental Health Services	0	100,000	0	100,000	0	100,000	0	100,000
Total - General Fund	**0**	**100,000**	**0**	**100,000**	**0**	**100,000**	**0**	**100,000**

Reduce Funding for Grant Accounts by Achieving Administrative Efficiencies - (B)
The Department of Mental Health and Addiction Services receives substantial funding to provide community-based substance abuse and mental health treatment and support services. In line with managed care objectives, the Department is analyzing all segments of its state-operated and non-profit operated service systems to identify and implement cost-savings measures with a minimal impact on the quality or quantity of direct care services.
-(G) The Department has streamlined administrative requirements and implemented outcome based performance measures to support its over-arching goal of implementing managed care principles. It is anticipated that this savings will result in lower administrative overhead and indirect costs attributed to multiple private providers. These efforts are anticipated to save $4,000,000 per year. The balance of this change is reflected in the department's other programs.
-(L) Funding for the grant accounts is provided to continue the programs at the present level.

Grants for Substance Abuse Services	0	0	0	0	0	526,889	0	526,889
Grants for Mental Health Services	0	0	0	0	0	1,905,943	0	1,905,943
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**2,432,832**	**0**	**2,432,832**

Eliminate Continued Operational Funds for Norwich Hospital - (B)
PA 95-257 required the closure of Norwich Hospital. The hospital officially closed on October 11, 1996. However, the Southeast Mental Health Authority, which is a DMHAS-operated local mental health authority, continues to occupy space on the campus. Several other tenants continue to occupy space as well. Due to the uncertainty around the transfer or sale of the campus, and in order to maintain basic services such as heat, water, electricity and groundskeeping, the Department budgeted fuel costs and salaries for thirteen employees for the SFY 1997-99 biennium.
-(G) It is anticipated that all current tenants will be able to move prior to the end of SFY 1996-97, and that the campus will be closed and transferred to the Department of Public Works. Therefore, the Governor proposes the elimination of current services funding for the fuel and positions. This effort is anticipated to save $2,000,000 in FY 98. The relocation of the Southeast Mental Health Authority will cost a net $44,050 due to additional utility expenses at its new facility. The relocation of the other current tenants of the Norwich facility will cost an additional $400,000 in new lease payments. The balance of this change is reflected in the department's other programs.

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L) Total funding of $2,000,000 is removed to reflect the transfer of the Norwich campus to the Department of Public Works. Additional funding for the relocation of programs currently housed on the Norwich campus is not provided.								
Other Expenses	0	0	0	0	0	52,200	0	52,200
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**52,200**	**0**	**52,200**
Incorporate Assorted Annualizations - (B) -(G) These changes recognize a variety of increased program costs and program expansions the department underwent during FY 97. The FY 98 base is adjusted for these changes. -(L) These changes recognize a variety of increased program costs and program expansions. Funding is not provided for annualizations in the Other Expenses account.								
Personal Services	0	4,508,742	0	4,508,742	0	0	0	0
Other Expenses	0	-16,755	0	-16,755	0	-646,267	0	-646,267
Equipment	0	187,622	0	187,622	0	0	0	0
Eastern Region	0	400,778	0	400,778	0	0	0	0
Grants for Substance Abuse Services	0	372,500	0	372,500	0	0	0	0
Total - General Fund	**0**	**5,452,887**	**0**	**5,452,887**	**0**	**-646,267**	**0**	**-646,267**
Transfer General Assistance Behavioral Health Costs - (B) Currently, Connecticut spends approximately $33.4 million on behavioral health services for recipients of General Assistance (GA). Much of this sum is spent on substance abuse inpatient care or on substance abuse residential treatment services. The Department of Mental Health and Addiction Services (DMHAS) currently provides services only to those GA recipients who are part of the Department of Social Services' managed care effort. -(G) The Governor recommends the transfer of all Behavioral Health Services for clients of General Assistance to DMHAS. It should be noted that although a total of $25,200,000 was eliminated from the DSS budget, DMHAS received only $22,600,000. There is an apparent savings of $2,600,000 associated with this transfer. The balance of this change is reflected in the department's other programs. -(L)Same as Governor								
General Assistance Managed Care	0	15,900,000	0	15,900,000	0	0	0	0
Total - General Fund	**0**	**15,900,000**	**0**	**15,900,000**	**0**	**0**	**0**	**0**
Transfer Equipment to Capital Equipment Purchase Fund - (B) The Capital Equipment Purchase Fund (CEPF) is authorized for the purchase of equipment with a useful life of at least three years and is financed through the sale of short term bonds. -(G) The Governor recommends the transfer of equipment purchases to the CEPF. -(L)Same as Governor								
Equipment	0	-187,622	0	-187,622	0	0	0	0
Total - General Fund	**0**	**-187,622**	**0**	**-187,622**	**0**	**0**	**0**	**0**
Remove Funding for Pick-up of Federal Programs - (B) The Connecticut State Budget FY 1995-97 Revisions provided funding for the Amethyst House-Crossroads and Coventry House programs in anticipation of the removal of federal funding for these programs. -(G) The Governor recommends removing $1,490,000 to reflect discontinued state funding for these programs. This funding is not considered necessary as federal funding for these two programs was never withdrawn. -(L) A total of $1,490,000 is provided in each year of the biennium for programs that treat substance abusing women. The Consortium of Substance Abusing Women with Children shall receive $750,000. These funds shall be used by the consortium to provide technical assistance in the integration of mental health and substance abuse services for both women and children into the service network being								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
developed for the South Central region. The remainder of these funds shall support women's substance abuse programs statewide.								
Grants for Substance Abuse Services	0	0	0	0	0	1,490,000	0	1,490,000
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**1,490,000**	**0**	**1,490,000**
Reduce Connecticut Mental Health Center Research Contracts - (B) The state contracts with the Connecticut Mental Health Center (CMHC) for a wide variety of mental health and substance abuse services. -(G) The Governor recommends reducing research activities at CMHC. The balance of this change is reflected in the department's other programs. -(L) Funding for research activities is restored to the present level.								
Connecticut Mental Health Center	0	0	0	0	0	182,066	0	182,066
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**182,066**	**0**	**182,066**
Consolidate Managed Service - (B) -(G) The Governor requests a consolidation of the DMHAS grant accounts to provide greater flexibility across a range of services and to more accurately reflect funding in appropriate service types. The balance of this change is reflected in the department's other programs. -(L) The DMHAS grant accounts are not merged to reflect the legislature's intent that these funding streams remain separate and distinct.								
Personal Services	0	0	0	0	0	500,000	0	500,000
Managed Service System	0	0	0	0	0	5,537,791	0	5,537,791
Grants for Mental Health Services	0	0	0	0	0	-4,500,000	0	-4,500,000
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**1,537,791**	**0**	**1,537,791**
Reduce State Operated Services Through Consolidation and Coordination - (B) The Department of Mental Health and Addiction Services receives substantial funding to provide direct substance abuse and mental health treatment and support services. In line with managed care objectives, the Department is analyzing all segments of its state-operated and non-profit operated service systems to identify and implement cost-saving measures with a minimal impact on the quality/quantity of direct care services. -(G) It is anticipated that this savings will result in lower administrative overhead and indirect costs attributed to state operated services. The Governor recommends a funding realignment and reduction of $3,000,000 to reflect these efforts. The balance of this change is reflected in the department's other programs. -(L) Funding is reduced by $500,000 to reflect assorted personnel reductions resulting from departmental consolidation and coordination. The balance of this change is reflected in the department's other programs.								
Personal Services	-5	583,424	-5	583,424	9	1,135,795	9	1,135,795
Other Expenses	0	-166,876	0	-166,876	0	0	0	0
Professional Services	0	-11,794	0	-11,794	0	0	0	0
Total - General Fund	**-5**	**404,754**	**-5**	**404,754**	**9**	**1,135,795**	**9**	**1,135,795**
Revamp Delivery of Vocational Rehabilitation - (B) The Department provides specialized vocational services and supports to enable people with prolonged mental illness or substance abuse disabilities to participate successfully in the competitive labor market. -(G) The Governor recommends the reduction of $4,394,531 to reflect a redesign of vocation rehabilitation services. -(L) Funding for the Vocational Rehabilitation programs is provided to continue these programs at the present level.								
Grants for Mental Health Services	0	0	0	0	0	4,394,531	0	4,394,531
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**4,394,531**	**0**	**4,394,531**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Consolidate Vocational Service Grants - (B)								
-(G) The Governor recommends the consolidation and realignment of the DMHAS vocational service grant accounts to provide greater flexibility across a range of services and to more accurately reflect funding in appropriate service types.								
-(L) The vocational services grant accounts are not merged to reflect the legislature's intent that these funding streams remain separate and distinct.								
Grants for Substance Abuse Services	0	0	0	0	0	-387,511	0	-387,511
Grants for Vocational Services	0	0	0	0	0	387,511	0	387,511
Grants for Mental Health Services	0	0	0	0	0	-8,539,613	0	-8,539,613
Employment Opportunities	0	0	0	0	0	8,539,613	0	8,539,613
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**
Eliminate Funding for Medicaid Managed Care Pilot Project - (B)								
The Connecticut Mental Health Center operates a Medicaid Managed Care Pilot Project which delivers mental health services to a "carve out" portion of the Medicaid population.								
-(G) The Governor recommends eliminating the Medicaid Managed Care Pilot Program currently funded at the CMHC.								
-(L)Same as Governor								
General Assistance Managed Care	0	-700,000	0	-700,000	0	0	0	0
Total - General Fund	**0**	**-700,000**	**0**	**-700,000**	**0**	**0**	**0**	**0**
Restructure Eligibility for General Assistance Behavioral Health Services - (B)								
The Department has assumed responsibility for all behavioral health services for clients of the General Assistance (GA) program.								
-(G) The Governor recommends eliminating all services to recipients of GA who have been deemed employable, who are already subject to time limits on their cash assistance. This change is expected to save $20,340,000 annually in DMHAS. The balance of this change is reflected in the department's other programs.								
-(L) Total funding of $4,947,000 is added for GA behavioral health services. This figure is based on 40% of all GA medical costs being related to behavioral health, with a total medical cost of $59 million. Also included in this figure is $2.9 million for Residential Drug Treatment. The department shall implement a managed service initiative for this population's behavioral health services. The balance of these changes is reflected in the department's other programs.								
General Assistance Managed Care	0	4,950,000	0	4,950,000	0	17,890,000	0	17,890,000
Total - General Fund	**0**	**4,950,000**	**0**	**4,950,000**	**0**	**17,890,000**	**0**	**17,890,000**
Assorted Annualizations for FY 99 - (B)								
-(G) These changes recognize a variety of increased program costs and program expansions the Department underwent during FY 98. The FY 99 base is adjusted for these changes.								
-(L) These changes recognize a variety of increased program costs and program expansions the Department underwent during FY 98. The FY 99 base is adjusted for these changes.								
Personal Services	0	0	0	818,834	0	0	0	0
Total - General Fund	**0**	**0**	**0**	**818,834**	**0**	**0**	**0**	**0**
Realign Funding for Eastern Connecticut - (B)								
The 040 Eastern Region account was established in the Connecticut State Budget 1995-97 Revisions to provide for the availability of state operated inpatient services for people with substance abuse problems and mental health needs in the geographic area formerly served by Norwich Hospital.								
-(G) The Governor recommends the consolidation of funding amount grant accounts in order to facilitate payment, accounting and reporting processes for providers of services in the Eastern District of the state. The balance of this change is reflected in the department's other programs.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L) The Eastern Region grant account is not merged to reflect the legislature's intent that these funding streams remain separate and distinct.								
Eastern Region	0	0	0	0	0	0	0	1,600,000
Grants for Substance Abuse Services	0	0	0	0	0	0	0	-1,600,000
Grants for Mental Health Services	0	0	0	0	0	0	0	-400,000
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**-400,000**
Enhance Drug Treatment Programs - (B) The Department of Mental Health and Addiction Services contracts with a variety of community-based providers for substance abuse treatment services. -(L) Total funding of $150,000 is provided to enhance the residential drug treatment programs at the Grant Street Partnership.								
Grants for Substance Abuse Services	0	150,000	0	150,000	0	150,000	0	150,000
Total - General Fund	**0**	**150,000**	**0**	**150,000**	**0**	**150,000**	**0**	**150,000**
Enhance Funding for Substance Abuse Services - (B) The department provides grants to nonprofit organizations and municipalities for establishing or maintaining treatment and rehabilitation services for drug dependent or alcoholic people. -(L) Additional funding of $95,000 is provided each year to enhance substance abuse services.								
Grants for Substance Abuse Services	0	95,000	0	95,000	0	95,000	0	95,000
Total - General Fund	**0**	**95,000**	**0**	**95,000**	**0**	**95,000**	**0**	**95,000**
Enhance Gateway Initiative - (B) Gateway Community-Technical College has established an initiative to train older students as outreach workers to enhance the provision of substance abuse services in the region. -(L) Funding of $65,000 is provided for Alcohol Services of South Central Connecticut to augment and enhance the Gateway initiative of outreach for substance abuse services.								
Grants for Substance Abuse Services	0	65,000	0	65,000	0	65,000	0	65,000
Total - General Fund	**0**	**65,000**	**0**	**65,000**	**0**	**65,000**	**0**	**65,000**
Budget Totals - GF	**1,234**	**203,468,004**	**1,234**	**204,102,910**	**37**	**29,183,967**	**66**	**28,759,987**

OTHER SIGNIFICANT 1997 LEGISLATION AFFECTING THE AGENCY'S BUDGET

PA 97-10 of the June Special Session, "An Act Concerning Expenditures for the Programs and Services of the Department of Public Health" - This act makes numerous changes to the programs administered by the Department of Mental Health and Addiction Services (DMHAS). The act gives DMHAS the authority to establish medical rates for inpatient, outpatient and residential services rather than automatically applying rates established for the Medicaid program.

This act requires DMHAS to assume the responsibility for the delivery of all behavioral health for recipients of General Assistance (GA). The act establishes the parameters of this new program and allows the department to adopt regulations necessary for implementation.

This act also grants the Connecticut Mental Health Center (CMHC) certain powers with regard to contracts, agreements, leases, purchasing and other arrangements.

PA 97-248, "An Act Concerning Pilot Research Programs for Treating Drug Addiction and the Qualifications and Licensure for Certain Health Care Professionals" - This act establishes two new pre-trial drug intervention programs in DMHAS. The first program will serve first time offenders arrested for drug possession and related offenses who are determined not to be drug dependent and in need of formal treatment. The program will consist of education and community service.

The second program is a pilot research drug education program. This program will offer services to families referred by the Department of Children and Families in which there is suspected abuse in which substance abuse is determined to be a factor.

DMHAS - Inpatient Hospital Services
4402

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	1,013	1,964	1,924	1,924	1,946	1,946
	Others Equated to Full-Time	71	71	71	71	71	71
	Additional Funds Available						
	Permanent Full-Time	14	14	14	14	14	14
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	107,696,286	99,150,636	100,038,059	101,010,694	99,544,222	100,516,857
002	Other Expenses	16,385,552	15,026,691	13,605,589	13,722,571	12,740,430	12,857,412
005	Equipment	0	0	0	0	0	0
02X	Other Current Expenses	4,424,960	5,125,046	5,762,200	5,359,652	12,510,138	12,507,590
6XX	Grant Payments - Other Than Towns	0	0	0	0	0	0
	Agency Total - General Fund	**128,506,798**	**119,302,373**	**119,405,848**	**120,092,917**	**124,794,790**	**125,881,859**
	Agency Total - Appropriated Funds	**128,506,798**	**119,302,373**	**119,405,848**	**120,092,917**	**124,794,790**	**125,881,859**
	Additional Funds Available						
	Special Funds, Non-Appropriated	124,665	0	0	0	0	0
	Bond Funds	0	336,348	0	0	0	0
	Private Contributions	540,875	508,091	345,768	343,571	345,768	343,571
	Federal Contributions	850,731	740,866	748,000	751,000	748,000	751,000
	Agency Grand Total	**130,023,069**	**120,887,678**	**120,499,616**	**121,187,488**	**125,888,558**	**126,976,430**
	BUDGET BY PROGRAM						
	Mental Health Inpatient Services						
	Permanent Full-Time Positions GF/OF	0/2	968/2	941/2	941/2	963/2	963/2
	General Fund						
	Personal Services	67,619,124	51,900,673	49,394,727	49,927,598	49,888,892	50,421,763
	Other Expenses	10,633,293	8,550,130	7,481,758	7,533,892	6,621,739	6,673,873
023	Drug Treatment	466,826	597,014	496,304	488,573	496,304	488,573
029	Legal Service	397,200	397,200	397,200	397,200	397,200	397,200
030	Connecticut Mental Health Center	923,984	958,745	910,807	910,808	958,745	958,746
032	Professional Services	1,531,048	2,101,587	2,008,749	2,009,541	2,008,749	2,009,541
040	Eastern Region	0	300,000	399,222	0	399,222	400,000
	Grant Payments - Other Than Towns						
	Grants Mental Health Services	0	0	0	0	0	0
	Total - General Fund	**81,571,475**	**64,805,349**	**61,088,767**	**61,267,612**	**60,770,851**	**61,349,696**
	Federal Contributions						
	Public Library Services	8	0	0	0	0	0
	Additional Funds Available						
	Special Funds, Non-Appropriated	124,665	0	0	0	0	0
	Bond Funds	0	152,971	0	0	0	0
	Private Contributions	489,069	474,361	311,850	309,469	311,850	309,469
	Total - Additional Funds Available	**613,734**	**627,332**	**311,850**	**309,469**	**311,850**	**309,469**
	Total - All Funds	**82,185,217**	**65,432,681**	**61,400,617**	**61,577,081**	**61,082,701**	**61,659,165**
	Substance Abuse Inpatient Services						
	Permanent Full-Time Positions GF/OF	467/12	456/12	443/12	443/12	443/12	443/12
	General Fund						
	Personal Services	23,109,056	22,802,663	23,169,033	23,389,178	23,681,031	23,901,176
	Other Expenses	2,750,431	2,798,763	2,605,611	2,630,556	2,488,588	2,513,533
	Equipment	0	0	0	0	0	0
023	Drug Treatment	40,873	78,858	85,786	86,319	85,786	86,319
032	Professional Services	560,703	0	735,424	735,080	735,424	735,080

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
035	General Assistance Managed Care	0	0	0	0	6,700,000	6,700,000
	Total - General Fund	**26,461,063**	**25,680,284**	**26,595,854**	**26,841,133**	**33,690,829**	**33,936,108**
	Federal Contributions						
	Alc & Drug Abuse MH Svc Bl Gt	850,723	740,866	748,000	751,000	748,000	751,000
	Additional Funds Available						
	Bond Funds	0	73,377	0	0	0	0
	Private Contributions	27,779	15,707	15,895	16,079	15,895	16,079
	Total - Additional Funds Available	**27,779**	**89,084**	**15,895**	**16,079**	**15,895**	**16,079**
	Total - All Funds	**27,339,565**	**26,510,234**	**27,359,749**	**27,608,212**	**34,454,724**	**34,703,187**
	Forensic Inpatient Services						
	Permanent Full-Time Positions GF	546	540	540	540	540	540
	General Fund						
	Personal Services	16,968,106	24,447,300	27,474,299	27,693,918	25,974,299	26,193,918
	Other Expenses	3,001,828	3,677,798	3,518,220	3,558,123	3,630,103	3,670,006
023	Drug Treatment	149,670	288,764	314,137	316,084	314,137	316,084
032	Professional Services	354,656	402,878	414,571	416,047	414,571	416,047
	Total - General Fund	**20,474,260**	**28,816,740**	**31,721,227**	**31,984,172**	**30,333,110**	**30,596,055**
	Additional Funds Available						
	Bond Funds	0	110,000	0	0	0	0
	Private Contributions	24,027	18,023	18,023	18,023	18,023	18,023
	Total - Additional Funds Available	**24,027**	**128,023**	**18,023**	**18,023**	**18,023**	**18,023**
	Total - All Funds	**20,498,287**	**28,944,763**	**31,739,250**	**32,002,195**	**30,351,133**	**30,614,078**
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
606	Grants Mental Health Services	0	0	0	0	0	0
	EQUIPMENT						
005	Equipment	0	0	0	0	0	0
	Agency Grand Total	**130,023,069**	**120,887,678**	**120,499,616**	**121,187,488**	**125,888,558**	**126,976,430**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**1,964**	**120,041,074**	**1,964**	**120,041,074**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Other Expenses	0	509,382	0	626,364	0	0	0	0
Other Current Expenses	0	146,990	0	152,517	0	0	0	0
Grant Payments - Other Than Towns	0	613,589	0	613,589	0	0	0	0
Total - General Fund	**0**	**1,269,961**	**0**	**1,392,470**	**0**	**0**	**0**	**0**
Reductions in Inflationary Increases - (B)								
-(G) The Governor recommends the elimination of all inflationary increases granted for FY 1998.								
-(L)Same as Governor								
Other Expenses	0	-509,382	0	-509,382	0	0	0	0
Drug Treatment	0	-34,055	0	-41,786	0	0	0	0
Legal Service	0	-9,533	0	-9,533	0	0	0	0
Connecticut Mental Health Center	0	-36,431	0	-36,431	0	0	0	0
Professional Services	0	-124,002	0	-124,346	0	0	0	0
Eastern Region	0	-11,378	0	-11,378	0	0	0	0
Grants Mental Health Services	0	-613,589	0	-613,589	0	0	0	0
Total - General Fund	**0**	**-1,338,370**	**0**	**-1,346,445**	**0**	**0**	**0**	**0**
Reduce Connecticut Mental Health Center Research Contracts - (B)								
The State contracts with the Connecticut Mental Health Center (CMHC) for a wide variety of mental health and substance abuse services.								
-(G) The Governor recommends reducing research activities at CMHC. The balance of this change is reflected in the department's other programs.								
-(L) Funding for research activities at CMHC is restored to the present level.								
Connecticut Mental Health Center	0	0	0	0	0	47,938	0	47,938
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**47,938**	**0**	**47,938**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Reduce Personal Services Associated with Hospital Renovations - (B)								
PA 95-257 required the closure of Fairfield and Norwich Hospitals. The inpatient services provided by these facilities were consolidated to the Connecticut Valley Hospital (CVH), which had to undergo various repairs and renovations to accommodate this increased patient caseload. Although most of these repairs were accomplished utilizing bond funds, some of the labor has been provided by DMHAS durational employees.								
-(G) As most of the renovation work on CVH is expected to be complete by the end of FY 97, the Governor recommends that the Personal Services account can be reduced by $500,000.								
-(L)Same as Governor								
Personal Services	0	-500,000	0	-500,000	0	0	0	0
Total - General Fund	**0**	**-500,000**	**0**	**-500,000**	**0**	**0**	**0**	**0**
Reduce State Operated Services Through Consolidation and Coordination - (B)								
The Department of Mental Health and Addiction Services receives substantial funding to provide direct substance abuse and mental health treatment and support services. In line with managed care objectives, the Department is analyzing all segments of its state-operated and non-profit operated service systems to identify and implement cost-saving measures with a minimal impact on the quality/quantity of direct care services.								
-(G) It is anticipated that this savings will result in lower administrative overhead and indirect costs attributed to state operated services. The Governor recommends funding realignment of $3,000,000 to reflect these efforts. The balance of these changes are reflected in the department's other programs.								
-(L) Funding is reduced by $500,000 to reflect assorted personnel reductions resulting from departmental consolidation and coordination. The balance of this change is reflected in the department's other program.								
Personal Services	-5	-583,424	-5	-583,424	22	1,031,163	22	1,031,163
Other Expenses	0	-161,465	0	-161,465	0	91,110	0	91,110
Professional Services	0	-84,422	0	-84,422	0	0	0	0
Total - General Fund	**-5**	**-829,311**	**-5**	**-829,311**	**22**	**1,122,273**	**22**	**1,122,273**
Restructure Eligibility for General Assistance Behavioral Health Services - (B)								
The Department has assumed responsibility for all behavioral health services for clients of the General Assistance (GA) program.								
-(G) The Governor recommends eliminating all services to recipients of GA who have been deemed employable, who are already subject to time limits on their cash assistance. This change eliminates the majority of behavioral health services that had been transferred to DMHAS. This change is expected to save $20,340,000 annually in DMHAS. The balance of this change is reflected in the department's other programs.								
-(L) Total funding of $1,742,500 is added for GA behavioral health services. This figure is based on 40% of all GA medical costs being related to behavioral health. Also included in this figure is $2.9 million for Residential Drug Treatment. The department shall implement a managed service initiative for this population's behavioral health services. The balance of this change is reflected in the department's other programs.								
General Assistance Managed Care	0	0	0	0	0	6,700,000	0	6,700,000
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**6,700,000**	**0**	**6,700,000**
Assorted Annualization for FY 99 - (B)								
-(G) These changes recognize a variety of increased program costs and program expansions the Department will undergo during FY 98. The FY 99 base is adjusted for these changes.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L)Same as Governor								
Personal Services	0	0	0	972,635	0	0	0	0
Total - General Fund	**0**	**0**	**0**	**972,635**	**0**	**0**	**0**	**0**

Realign Funding for Eastern Connecticut - (B)
The 040 Eastern Region account was established in the Connecticut State Budget 1995-97 Revisions to provide for the availability of state operated inpatient services for people with substance abuse problems and mental health needs in the geographic area formerly served by Norwich Hospital.
-(G) The Governor recommends the consolidation of funding among grant accounts in order to facilitate payment, accounting and reporting processes for providers of services in the Eastern District of the state. The balance of this change is reflected in the department's other programs.
-(L) The Eastern Region grant account is not merged to reflect the legislature's intent that these funding streams remain separate and distinct.

Eastern Region	0	0	0	0	0	0	0	400,000
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**400,000**

Reduce Other Expense Costs - (B)
-(L) A total of $250,000 is removed from the Other Expenses account to reflect anticipated cost saving efforts to be implemented by the department. An additional $25,000 is removed to reflect the Legislature's intent that the department reduce its utilization of durational project managers.

Personal Services	0	-25,000	0	-25,000	0	-25,000	0	-25,000
Other Expenses	0	-250,000	0	-250,000	0	-250,000	0	-250,000
Total - General Fund	**0**	**-275,000**	**0**	**-275,000**	**0**	**-275,000**	**0**	**-275,000**

Eliminate Continued Operational Funds for Norwich Hospital - (B)
PA 95-257 required the closure of Norwich Hospital. The hospital officially closed on October 11, 1996. However, the Southeast Mental Health Authority, which is a DMHAS-operated local mental health authority, continues to occupy space on the campus. Several other tenants continue to occupy space as well. Due to the uncertainty around the transfer or sale of the campus, and in order to maintain basic services such as heat, water, electricity and groundskeeping, the Department budgeted fuel costs and salaries for thirteen employees for the SFY 1997-99 biennium.
-(G) It is anticipated that all current tenants will be able to move prior to the end of SFY 1996-97, and that the campus will be closed and transferred to the Department of Public Works. Therefore, the Governor proposes the elimination of current services funding for the fuel and positions. This effort is anticipated to save $2,000,000 in FY 98. The relocation of the Southeast Mental Health Authority will cost a net $44,050 due to additional utility expenses at its new facility. The relocation of the other current tenants of the Norwich facility will cost an additional $400,000 in new lease payments. The balance of this change is reflected in the department's other programs.
-(L) Total funding of $2,000,000 is removed to reflect the transfer of the Norwich campus to the Department of Public Works. Additional funding for the relocation of programs currently housed on the Norwich campus are not provided.

Personal Services	-13	-400,000	-13	-400,000	0	0	0	0
Other Expenses	0	-1,600,000	0	-1,600,000	0	-96,250	0	-96,250
Total - General Fund	**-13**	**-2,000,000**	**-13**	**-2,000,000**	**0**	**-96,250**	**0**	**-96,250**

Incorporate Assorted Annualizations - (B)
-(G) These changes recognize a variety of increased program costs and program expansions the department underwent during FY 97. The FY 98 base is adjusted for these changes.

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L) These changes recognize a variety of increased program costs and program expansions the department underwent in FY 97. Funding for lease payments for River Valley Services and full annualizations for personal services are not provided. The FY 98 base is adjusted for these changes.								
Personal Services	0	1,902,028	0	1,902,028	0	-1,500,000	0	-1,500,000
Other Expenses	0	-274,796	0	-274,796	0	-610,019	0	-610,019
Equipment	0	480,525	0	480,525	0	0	0	0
Eastern Region	0	99,222	0	99,222	0	0	0	0
Total - General Fund	**0**	**2,206,979**	**0**	**2,206,979**	**0**	**-2,110,019**	**0**	**-2,110,019**
Transfer General Assistance Behavioral Health Costs - (B) Currently, Connecticut spends approximately $33.4 million on behavioral health services for recipients of General Assistance (GA). Much of this sum is spent on substance abuse inpatient care or on substance abuse residential treatment services. The Department of Mental Health and Addiction Services (DMHAS) currently provides services only to those GA recipients who are part of the Department of Social Services' managed care effort. The balance of this change is reflected in the department's other programs. -(G) This change reflects the transfer of all Behavioral Health Services for clients of General Assistance to DMHAS. It should be noted that although a total of $25,200,000 was eliminated from the DSS budget, DMHAS received only $22,600,000. There is an apparent savings of $2,600,000 associated with this transfer. The balance of this change is reflected in the department's other programs. -(L)Same as Governor								
General Assistance Managed Care	0	6,700,000	0	6,700,000	0	0	0	0
Total - General Fund	**0**	**6,700,000**	**0**	**6,700,000**	**0**	**0**	**0**	**0**
Transfer Equipment to Capital Equipment Purchase Fund - (B) The Capital Equipment Purchase Fund (CEPF) is authorized for the purchase of equipment with a useful life of at least three years and is financed through the sale of short term bonds. -(G) The Governor recommends the transfer of equipment purchases to the CEPF. The balance of this change is reflected in the department's other programs. -(L)Same as Governor								
Equipment	0	-480,543	0	-480,543	0	0	0	0
Total - General Fund	**0**	**-480,543**	**0**	**-480,543**	**0**	**0**	**0**	**0**
Budget Totals - GF	**1,946**	**124,794,790**	**1,946**	**125,881,859**	**22**	**5,388,942**	**22**	**5,788,942**

DMHAS - Advocacy and Prevention
4403

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	133	132	117	117	132	132
	Others Equated to Full-Time	5	5	5	5	5	5
	Additional Funds Available						
	Permanent Full-Time	7	6	6	6	6	6
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	6,145,653	6,688,042	5,679,084	5,825,120	6,566,950	6,712,986
002	Other Expenses	1,356,481	1,544,593	1,328,538	1,344,515	1,594,938	1,610,915
02X	Other Current Expenses	4,863,696	5,355,566	6,697,447	8,141,419	6,914,782	8,219,654
6XX	Grant Payments - Other Than Towns	1,368,495	1,335,890	1,724,186	1,730,384	1,335,890	1,342,088
7XX	Grant Payments - To Towns	320,079	0	0	0	0	0
	Agency Total - General Fund	**14,054,404**	**14,924,091**	**15,429,255**	**17,041,438**	**16,412,560**	**17,885,643**
	Agency Total - Appropriated Funds	**14,054,404**	**14,924,091**	**15,429,255**	**17,041,438**	**16,412,560**	**17,885,643**
	Additional Funds Available						
	Private Contributions	67,884	463,305	385,612	408,093	385,612	408,093
	Federal Contributions	4,622,327	8,009,220	4,935,727	4,477,644	4,935,727	4,477,644
	Agency Grand Total	**18,744,615**	**23,396,616**	**20,750,594**	**21,927,175**	**21,733,899**	**22,771,380**
	BUDGET BY PROGRAM						
	Research						
	Permanent Full-Time Positions GF	40	39	24	24	39	39
	General Fund						
	Personal Services	1,687,708	1,779,720	1,062,948	1,102,482	1,950,814	1,990,848
	Other Expenses	579,238	610,997	317,935	324,161	591,910	598,136
030	Connecticut Mental Health Center	1,481,724	1,537,467	1,129,120	1,129,120	1,537,467	1,537,467
032	Professional Services	100,744	168,655	92,307	93,015	170,693	171,401
	Total - General Fund	**3,849,414**	**4,096,839**	**2,602,310**	**2,648,778**	**4,250,884**	**4,297,352**
	Federal Contributions						
	MH Planning & Demonstration Proj	256,963	252,285	0	0	0	0
	Cooperative Agreemt Prg-Homeless	363,849	240,951	40,158	0	40,158	0
	Mental Health Research Grants	313,110	534,889	390,365	227,624	390,365	227,624
	Alcohol Research Programs	76,790	139,395	96,104	90,547	96,104	90,547
	Total - Federal Contributions	**1,010,712**	**1,167,520**	**526,627**	**318,171**	**526,627**	**318,171**
	Additional Funds Available						
	Private Contributions	42,847	42,847	42,847	42,847	42,847	42,847
	Total - All Funds	**4,902,973**	**5,307,206**	**3,171,784**	**3,009,796**	**4,820,358**	**4,658,370**
	Substance Abuse Prevention and Intervention						
	Permanent Full-Time Positions GF/OF	4/7	4/6	4/6	4/6	4/6	4/6
	General Fund						
	Personal Services	0	137,050	154,825	161,848	154,825	161,848
020	Pre-Trial Drug Education	0	0	1,346,700	2,585,800	1,288,000	2,588,000
021	Pre-Trial Alcohol Education System	953,635	990,738	1,500,000	1,500,000	1,240,738	1,240,738
022	Managed Services System	8,120	0	0	0	0	0
024	Survey	0	0	250,000	250,000	0	0
025	Health Care Pilot	0	0	200,000	400,000	0	0
034	Regional Action Councils	675,000	675,000	675,000	675,000	725,000	725,000
038	Gamblers	85,646	100,000	100,000	100,000	100,000	100,000

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Grant Payments - Other Than Towns						
	Grants for Substance Abuse Services	578,895	540,853	540,853	540,852	540,853	540,852
	Governor Partnership	450,000	450,000	450,000	450,000	450,000	450,000
	Grant Payments - To Towns						
	State Aid to Public Health Nursing	320,079	0	0	0	0	0
	Total - General Fund	**3,071,375**	**2,893,641**	**5,217,378**	**6,663,500**	**4,499,416**	**5,806,438**
	Federal Contributions						
	Drug Free Schools	48,543	88,740	88,740	88,740	88,740	88,740
	Miscellaneous Programs	76,714	1,264,380	234,627	0	234,627	0
	Tuberculosis Control Program	0	51,924	0	0	0	0
	AIDS Activity	11,865	173,988	0	0	0	0
	Social Services Block Grant	71,072	88,151	88,151	88,151	88,151	88,151
	HIV/Aids Outrch-Substance Abuser	155,947	714,007	0	0	0	0
	Prevent & Treat Substance Abuse	3,125,995	4,329,218	3,982,582	3,982,582	3,982,582	3,982,582
	Total - Federal Contributions	**3,490,136**	**6,710,408**	**4,394,100**	**4,159,473**	**4,394,100**	**4,159,473**
	Additional Funds Available						
	Private Contributions	23,176	319,170	341,474	363,952	341,474	363,952
	Total - All Funds	**6,584,687**	**9,923,219**	**9,952,952**	**11,186,925**	**9,234,990**	**10,329,863**
	Mental Health Special Services						
	Permanent Full-Time Positions GF	27	27	27	27	27	27
	General Fund						
	Personal Services	1,505,754	1,438,513	1,577,136	1,605,783	1,577,136	1,605,783
	Other Expenses	138,315	192,132	193,552	195,573	185,977	187,998
030	Connecticut Mental Health Center	533,433	553,501	525,826	525,826	553,501	553,501
032	Professional Services	128,358	319,185	323,042	324,383	323,042	324,383
	Grant Payments - Other Than Towns						
	Governor Partnership	0	0	0	0	0	0
	Grants for Mental Health Services	328,903	334,129	337,817	341,690	367,129	371,002
	Total - General Fund	**2,634,763**	**2,837,460**	**2,957,373**	**2,993,255**	**3,006,785**	**3,042,667**
	Federal Contributions						
	MH Planning & Demonstration Proj	458	0	0	0	0	0
	Total - All Funds	**2,635,221**	**2,837,460**	**2,957,373**	**2,993,255**	**3,006,785**	**3,042,667**
	Consultation, Education and Training						
	Permanent Full-Time Positions GF	62	62	62	62	62	62
	General Fund						
	Personal Services	2,952,191	3,332,759	2,884,175	2,955,007	2,884,175	2,955,007
	Other Expenses	638,928	741,464	817,051	824,781	817,051	824,781
022	Managed Services System	344,755	396,813	0	0	396,813	396,813
030	Connecticut Mental Health Center	464,051	481,509	457,433	457,433	481,509	481,509
032	Professional Services	88,230	132,698	98,019	100,842	98,019	100,842
	Grant Payments - Other Than Towns						
	Grants for Mental Health Services	10,697	10,908	395,516	397,842	-22,092	-19,766
	Total - General Fund	**4,498,852**	**5,096,151**	**4,652,194**	**4,735,905**	**4,655,475**	**4,739,186**
	Federal Contributions						
	MH Planning & Demonstration Proj	121,021	131,292	15,000	0	15,000	0
	Additional Funds Available						
	Private Contributions	1,861	101,288	1,291	1,294	1,291	1,294
	Total - All Funds	**4,621,734**	**5,328,731**	**4,668,485**	**4,737,199**	**4,671,766**	**4,740,480**
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
601	Grants for Substance Abuse Services	578,895	540,853	540,853	540,852	540,853	540,852
604	Governor Partnership	450,000	450,000	450,000	450,000	450,000	450,000
606	Grants for Mental Health Services	339,600	345,037	733,333	739,532	345,037	351,236
	GRANT PAYMENTS - TO TOWNS (Recap)						
701	State Aid to Public Health Nursing	320,079	0	0	0	0	0
	Agency Grand Total	**18,744,615**	**23,396,616**	**20,750,594**	**21,927,175**	**21,733,899**	**22,771,380**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**132**	**14,924,091**	**132**	**14,924,091**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Other Expenses	0	0	0	15,977	0	0	0	0
Other Current Expenses	0	136,274	0	141,146	0	0	0	0
Grant Payments - Other Than Towns	0	44,569	0	61,366	0	0	0	0
Total - General Fund	**0**	**180,843**	**0**	**218,489**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Reductions in Inflationary Increases - (B)								
-(G) The Governor recommends the elimination of all inflationary increases granted for FY 1998.								
-(L)Same as Governor								
Pre-Trial Alcohol Education System	0	-23,778	0	-23,778	0	0	0	0
Managed Services System	0	-2,440	0	-2,440	0	0	0	0
Connecticut Mental Health Center	0	-97,754	0	-97,754	0	0	0	0
Professional Services	0	6,298	0	6,298	0	0	0	0
Regional Action Councils	0	-16,200	0	-16,200	0	0	0	0
Gamblers	0	-2,400	0	-2,400	0	0	0	0
Grants for Substance Abuse Services	0	-20,552	0	-20,553	0	0	0	0
Governor Partnership	0	-10,800	0	-21,398	0	0	0	0
Grants for Mental Health Services	0	-13,217	0	-13,217	0	0	0	0
Total - General Fund	**0**	**-180,843**	**0**	**-191,442**	**0**	**0**	**0**	**0**
Incorporate Assorted Annualization - (B)								
-(G) These changes recognize a variety of increased program costs and program expansions the Department underwent during FY 97. The FY 98 base is adjusted for these changes.								
-(L) These changes recognize a variety of increased program costs and program expansions the Department underwent during FY 97. Funding for lease payments for River Valley Services and full annualizations for Other Expenses are not provided. The FY 98 base is adjusted for these changes.								
Personal Services	0	160,092	0	160,092	0	0	0	0
Other Expenses	0	5,612	0	5,612	0	-15,865	0	-15,865
Equipment	0	1,341	0	1,341	0	0	0	0
Total - General Fund	**0**	**167,045**	**0**	**167,045**	**0**	**-15,865**	**0**	**-15,865**
Transfer Equipment to Capital Equipment Purchase Fund - (B)								
The Capital Equipment Purchase Fund (CEPF) is authorized for the purchase of equipment with a useful life of at least three years and is financed through the sale of short-term bonds.								
-(G) The Governor recommends the transfer of equipment purchases to the CEPF. The balance of this change is reflected in the department's other programs.								
-(L)Same as Governor								
Equipment	0	-1,341	0	-1,341	0	0	0	0
Total - General Fund	**0**	**-1,341**	**0**	**-1,341**	**0**	**0**	**0**	**0**
Integrate Mental Health and Substance Abuse Education and Training - (B)								
As a result of the merger that created the Department of Mental Health and Addiction Services (DMHAS), there currently exists two separate training programs within the Department. The Center for Learning (Mental Health) and the Center (Addiction Services) serve DMHAS staff, other state agencies' staff, providers and clients statewide.								
-(G) The Department proposes merging the educational efforts into an entity called the DMHAS Training Academy, which will consist of the DMHAS Conference Center in Middletown, the DMHAS Learning Center in Wethersfield, and the Office of Academic Affairs in Bridgeport. The Conference Center and the Office of Academic Affairs will be staffed through the redeployment of current resources. The clinical and professional development services of the Learning Center will be contracted out to private providers.								
-(L)Same as Governor								
Personal Services	0	-281,184	0	-281,184	0	0	0	0
Other Expenses	0	93,000	0	93,000	0	0	0	0
Total - General Fund	**0**	**-188,184**	**0**	**-188,184**	**0**	**0**	**0**	**0**
Reduce Connecticut Mental Health Center Research Contracts - (B)								
The State contracts with the Connecticut Mental Health Center (CMHC) for a wide variety of mental health and substance abuse services.								
-(G) The Governor recommends reducing research activities at CMHC. The balance of this change is reflected in the department's other programs.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L) Funding for research activities at CMHC is restored to the present level.								
Connecticut Mental Health Center	0	0	0	0	0	128,624	0	128,624
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**128,624**	**0**	**128,624**

Reduce DMHAS Support for CMHC Research - (B)
The Connecticut Mental Health Center, located in New Haven, is a collaborative effort between the Department of Mental Health and Addiction Services and Yale University. CMHC provides inpatient services, crisis intervention services, outpatient services, day hospital services and case management service. CMHC also coordinates services provided by a number of community-based, private nonprofit agencies in the Greater New Haven area.
-(G) The Governor proposes reducing state-funded support for research, as this research does not represent the Department's core mission. Research programs would be closed or would have to be funded through federal or private funds. Reduction of state support for research could negatively impact CMHC's ability to attract federal and private grant funding, since the State's funds are used to leverage these outside funding sources.
-(L) Funding for research activities at CMHC is restored to the present level.

Personal Services	0	0	0	0	15	887,866	15	887,866
Other Expenses	0	0	0	0	0	282,265	0	282,265
Connecticut Mental Health Center	0	0	0	0	0	331,474	0	331,474
Professional Services	0	0	0	0	0	78,386	0	78,386
Total - General Fund	**0**	**0**	**0**	**0**	**15**	**1,579,991**	**15**	**1,579,991**

Consolidate Managed Service - (B)
-(G) The Governor requests a consolidation of the DMHAS grant accounts to provide greater flexibility across a range of services and to more accurately reflect funding in appropriate service types. The balance of this change is reflected in the department's other programs.
-(L) The grant accounts are not merged to reflect the legislature's intent that these funding streams remain separate and distinct. The adjustment to the Governor's Partnership account represents a technical change.

Managed Services System	0	0	0	0	0	396,813	0	396,813
Governor Partnership	0	33,000	0	33,000	0	0	0	0
Grants for Mental Health Services	0	-33,000	0	-33,000	0	-533,000	0	-533,000
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**-136,187**	**0**	**-136,187**

Expand Pre-Trial Alcohol Education System - (B)
The Department of Mental Health and Addiction Services (DMHAS) currently administers the Pre-Trial Alcohol Education Program (PAES) for first-time offenders arrested for driving while intoxicated. This program was established in 1981, and is anticipated to serve approximately 6,000 people in FY 97. People assigned to the PAES program may enter an eight-week education track, a ten-week group interaction track, or may be referred for treatment. (Prevention Program)
-(G) The Governor requests $509,262 annually to increase the rates paid to private providers in the PAES program. The Department recommends that these rates be raised to $70 for each evaluation and to $180 per participant in the group interaction therapy. The eight-week educational track would be eliminated as it currently serves only 20% of the clients. These rate increases would be the first since the program's inception. The Governor also recommends increasing the participation fees for the PAES program from $350 to $425. Based on the anticipated 6,000 clients, this proposal will result in an additional $450,000 in revenues annually.

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L) Total funding of $250,000 is provided for a rate increase for the program providers. The cost of these increased rates will be offset by an increase in participation fees from $350 to $425.								
Pre-Trial Alcohol Education System	0	250,000	0	250,000	0	-259,262	0	-259,262
Total - General Fund	**0**	**250,000**	**0**	**250,000**	**0**	**-259,262**	**0**	**-259,262**

Create a Drug Education and Community Service Program - (B)
The Department of Mental Health and Addiction Services (DMHAS) currently administers the Pre-Trial Alcohol Education Program (PAES) for first-time offenders arrested for driving while intoxicated. The Governor's Blue Ribbon Task Force on Substance Abuse recommended that a similar program be established for first-time drug offenders. The program would serve those arrested for drug possession and related offenses, but not those who are drug dependent and in need of formal treatment. (Prevention Program)
-(G) The Governor proposes establishing a Drug Education and Community Service Program. This program would include an evaluation followed by an eight-week period of group sessions. Periodic drug screenings as well as four days of community work under the Community Service Labor Program of the Office of Alternative Sanctions would be included in the program. It is anticipated that this program would serve clients who are early in their substance abuse who are not in need of the existing intensive treatment services. This program is expected to serve 6,000 clients per year. The provider of services would be paid $70 for each evaluation, $144 for the group sessions, and $20 for drug screenings. These costs would be offset by a $600 participation fee. There is also an anticipated cost to the Bail Commission of the Department of Justice of $42 per participant for screening and monitoring. This program is expected to begin operation in January, 1998. The Governor recommends $1,346,700 in FY 98 and $2,585,800 in FY 99 to implement this program.
-(L) Funding of $1,200,000 in FY 98 and $2,500,000 in FY 99 is provided for the establishment of a Drug Education and Community Service Program.

Pre-Trial Drug Education	0	1,200,000	0	2,500,000	0	-146,700	0	-85,800
Total - General Fund	**0**	**1,200,000**	**0**	**2,500,000**	**0**	**-146,700**	**0**	**-85,800**

Establish Pilot Research Drug Education Program - (B)
-(L) Funding of $88,000 is provided in each year of the biennium for a pilot research drug education program. This program will offer drug education services to families who are referred by the Department of Children and Families (DCF). DCF shall refer families in cases in which there is a substantiated report of neglect in which substance abuse by the parent or guardian of the child is deemed to be a factor in the neglect. Parents who are drug dependent and deemed in need of formal treatment are not eligible for the program. A $600 program fee is required from those parents able to pay. This program is to be established in one state mental health district and is anticipated to serve approximately 350 clients per year.

Pre-Trial Drug Education	0	88,000	0	88,000	0	88,000	0	88,000
Total - General Fund	**0**	**88,000**	**0**	**88,000**	**0**	**88,000**	**0**	**88,000**

Reduce State Operated Services Through Consolidation and Coordination - (B)
The Department of Mental Health and Addiction Services receives substantial funding to provide direct substance abuse and mental health treatment and support services. In line with managed care objectives, the Department is analyzing all segments of its state-operated and non-profit operated service systems to identify and implement cost-saving measures with a minimal impact on the quality or quantity of direct care services.
-(G) It is anticipated that this savings will result in lower administrative overhead and indirect costs attributed to state-operated services. The Governor recommends funding

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
realignment and a reduction of $3,000,000, to reflect these efforts. The balance of this change is reflected in the department's other programs. -(L)Same as Governor								
Other Expenses	0	-48,267	0	-48,267	0	0	0	0
Professional Services	0	-28,784	0	-28,784	0	0	0	0
Total - General Fund	**0**	**-77,051**	**0**	**-77,051**	**0**	**0**	**0**	**0**
Reduce Funding for Grant Accounts by Achieving Administrative Efficiencies - (B) The Department of Mental Health and Addiction Services receives substantial funding to provide community-based substance abuse and mental health treatment and support services. In line with managed care objectives, the Department is analyzing all segments of its state-operated and non-profit operated service systems to identify and implement cost-savings measures with a minimal impact on the quality/quantity of direct care services. -(G) The Department is streamlining administrative requirements and implementing outcome based performance measures to support its over-arching goal of implementing managed care principles. It is anticipated that this savings will result in lower administrative overhead and indirect costs attributed to multiple private providers. The Governor estimates that these efforts will save $2,000,000 annually. The balance of this change is reflected in the department's other programs. -(L) Funding for the grant accounts is provided to continue the programs at the present level.								
Grants for Mental Health Services	0	0	0	0	0	144,704	0	144,704
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**144,704**	**0**	**144,704**
Implement Statewide Outcomes Monitoring System - (B) -(G) The Governor recommends the development of a standardized and comprehensive outcome monitoring system to evaluate prevention, intervention and treatment services. This recommendation is derived from the report of the Governor's Blue Ribbon Task Force on Substance Abuse. This initiative is designed to cut down on the duplication of surveying being done by various state agencies and private entities and put more of a statewide focus on activities. -(L) Funding for a statewide outcomes monitoring system is not provided.								
Survey	0	0	0	0	0	-200,000	0	-200,000
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**-200,000**	**0**	**-200,000**
Implement Biennial Statewide Population Survey - (B) -(G) The Governor recommends implementing a biennial population survey to evaluate the effectiveness of new initiatives in the areas of prevention, treatment and public safety and to obtain social indicators in order to monitor trends of alcohol and drug abuse. This recommendation is derived from the report of the Governor's Blue Ribbon Task Force on Substance Abuse. -(L) Funding for a biennial population survey is not provided.								
Survey	0	0	0	0	0	-50,000	0	-50,000
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**-50,000**	**0**	**-50,000**
Pilot Program to Provide Baseline and Outcome Data - (B) -(G) The Governor recommends the creation of a pilot program to test screening and brief intervention services. The pilot would require various health care settings to screen and identify individuals who may need service and provide them with appropriate intervention activities. This recommendation is derived from the report of the Governor's Blue Ribbon Task Force on Substance Abuse.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L) Funding for a Screening and Brief Intervention Services Pilot program is not provided.								
Health Care Pilot	0	0	0	0	0	-200,000	0	-400,000
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**-200,000**	**0**	**-400,000**
Assorted Annualization for FY 99 - (B) -(G) These changes recognize a variety of increased program costs and program expansions the Department will undergo during FY 98. The FY 99 base is adjusted for these changes. -(L)Same as Governor								
Personal Services	0	0	0	146,036	0	0	0	0
Total - General Fund	**0**	**0**	**0**	**146,036**	**0**	**0**	**0**	**0**
Enhance Regional Action Councils - (B) Regional Action Councils (RACs) are public-private partnerships that develop substance abuse programs and resources through coordination of support systems. -(L) The New Haven area is not currently served by a Regional Action Council. Funding of $50,000 is provided to establish a South Central RAC to serve the Greater New Haven area.								
Regional Action Councils	0	50,000	0	50,000	0	50,000	0	50,000
Total - General Fund	**0**	**50,000**	**0**	**50,000**	**0**	**50,000**	**0**	**50,000**
Budget Totals - GF	**132**	**16,412,560**	**132**	**17,885,643**	**15**	**983,305**	**15**	**844,205**

DMHAS - Management and Support
4404

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	302	300	281	281	302	302
	Others Equated to Full-Time	6	6	5	5	5	5
	Additional Funds Available						
	Permanent Full-Time	33	30	30	30	30	30
	Others Equated to Full-Time	1	0	1	1	1	1
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	10,157,727	10,298,197	15,070,886	15,752,055	15,403,928	16,085,097
002	Other Expenses	2,025,758	3,511,768	3,397,456	3,440,534	3,201,602	3,244,680
005	Equipment	39,000	0	10,000	10,000	10,000	10,000
02X	Other Current Expenses	5,869,643	8,408,015	6,172,523	5,472,523	6,971,199	6,271,199
6XX	Grant Payments - Other Than Towns	418,323	406,238	884,054	883,423	406,238	405,607
	Agency Total - General Fund	**18,510,451**	**22,624,218**	**25,534,919**	**25,558,535**	**25,992,967**	**26,016,583**
	Agency Total - Appropriated Funds	**18,510,451**	**22,624,218**	**25,534,919**	**25,558,535**	**25,992,967**	**26,016,583**
	Additional Funds Available						
	Bond Funds	0	1,010,364	0	0	0	0
	Private Contributions	473,637	766,050	427,376	445,387	427,376	445,387
	Federal Contributions	3,290,366	2,493,089	2,088,193	2,066,314	2,088,193	2,066,314
	Agency Grand Total	**22,274,454**	**26,893,721**	**28,050,488**	**28,070,236**	**28,508,536**	**28,528,284**
	BUDGET BY PROGRAM						
	Management and Support						
	Permanent Full-Time Positions GF/OF	302/33	300/30	281/30	281/30	302/30	302/30
	General Fund						
	Personal Services	10,157,727	10,298,197	15,070,886	15,752,055	15,403,928	16,085,097
	Other Expenses	2,025,758	3,511,768	3,397,456	3,440,534	3,201,602	3,244,680
	Equipment	39,000	0	10,000	10,000	10,000	10,000
022	Managed Service System	73,431	98,676	0	0	98,676	98,676
032	General Fund	8,147	0	0	0	0	0
035	General Assistance Managed Care	0	0	810,000	110,000	1,510,000	810,000
037	Mental Health/Medicaid Managed Care	178,359	1,510,000	0	0	0	0
039	Workers Compensation	5,609,706	6,799,339	5,362,523	5,362,523	5,362,523	5,362,523
	Grant Payments - Other Than Towns						
	Grants for Mental Health Services	418,323	406,238	884,054	883,423	406,238	405,607
	Total - General Fund	**18,510,451**	**22,624,218**	**25,534,919**	**25,558,535**	**25,992,967**	**26,016,583**
	Federal Contributions						
	Resident Drug Treatment-Pregnant	10,626	10,626	8,522	0	8,522	0
	Resident Treatment- Women/Children	17,494	14,168	14,240	0	14,240	0
	Tuberculosis Control Program	30,892	0	0	0	0	0
	AIDS Activity	65,933	0	0	0	0	0
	MH Planning & Demonstration Proj	70,654	56,550	0	0	0	0
	Development Disabil-Support/Advo	4,883	0	0	0	0	0
	Social Services Block Grant	34,492	68,653	69,553	70,436	69,553	70,436
	Alcohol Research Center Grants	14,505	167,619	0	0	0	0
	Critical Populations Model Pgm	135,246	18,303	0	0	0	0
	Criminal Justice Treatment Pgm	3,112	0	0	0	0	0
	HIV/Aids Outrch-Substance Abuser	13,814	794	0	0	0	0
	Prevent & Treat Substance Abuse	2,888,715	2,156,376	1,995,878	1,995,878	1,995,878	1,995,878
	Total - Federal Contributions	**3,290,366**	**2,493,089**	**2,088,193**	**2,066,314**	**2,088,193**	**2,066,314**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Additional Funds Available						
	Bond Funds	0	1,010,364	0	0	0	0
	Private Contributions	473,637	766,050	427,376	445,387	427,376	445,387
	Total - Additional Funds Available	**473,637**	**1,776,414**	**427,376**	**445,387**	**427,376**	**445,387**
	Total - All Funds	**22,274,454**	**26,893,721**	**28,050,488**	**28,070,236**	**28,508,536**	**28,528,284**
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
606	Grants for Mental Health Services	418,323	406,238	884,054	883,423	406,238	405,607
	EQUIPMENT						
005	Equipment	39,000	0	10,000	10,000	10,000	10,000
	Agency Grand Total	**22,274,454**	**26,893,721**	**28,050,488**	**28,070,236**	**28,508,536**	**28,528,284**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**300**	**22,624,218**	**300**	**22,624,218**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Other Expenses	0	0	0	43,078	0	0	0	0
Other Current Expenses	0	239,007	0	239,007	0	0	0	0
Grant Payments - Other Than Towns	0	10,050	0	10,050	0	0	0	0
Total - General Fund	**0**	**249,057**	**0**	**292,135**	**0**	**0**	**0**	**0**
Reductions in Inflationary Increases - (B)								
-(G) The Governor recommends the elimination of all inflationary increases granted for FY 1998.								
-(L)Same as Governor								
Managed Service System	0	16,808	0	16,808	0	0	0	0
Mental Health/Medicaid Managed Care	0	-36,240	0	-36,240	0	0	0	0
Workers Compensation	0	-219,575	0	-219,575	0	0	0	0
Grants for Mental Health Services	0	-10,050	0	-10,681	0	0	0	0
Total - General Fund	**0**	**-249,057**	**0**	**-249,688**	**0**	**0**	**0**	**0**
Eliminate Continued Operational Funds for Norwich Hospital - (B)								
PA 95-257 required the closure of Norwich Hospital. The hospital officially closed on October 11, 1996. However, the Southeast Mental Health Authority, which is a DMHAS-operated local mental health authority, continues to occupy space on the campus. Several other tenants continue to occupy space as well. Due to the uncertainty around the transfer or sale of the campus, and in order to maintain basic services such as heat, water, electricity and groundskeeping, the Department budgeted fuel costs and salaries for thirteen employees for the SFY 1997-99 biennium.								
-(G) It is anticipated that all current tenants will be able to move prior to the end of SFY 1996-97, and that the campus will be closed and transferred to the Department of Public Works. Therefore, the Governor proposes the elimination of current services funding for the fuel and positions. This effort is anticipated to save $2,000,000 in FY 98. The relocation of the Southeast Mental Health Authority will cost a net $44,050 due to additional utility expenses at its new facility. The relocation of the other current tenants of the Norwich facility will cost an additional $400,000 in new lease payments. The balance of this change is reflected in the department's other programs.								
-(L) Total funding of $2,000,000 is removed to reflect the transfer of the Norwich campus to the Department of Public Works. Additional funding for the relocation of programs currently housed on the Norwich campus are not provided. The balance of this change is reflected in the department's other programs.								
Grants for Mental Health Services	0	0	0	0	0	-400,000	0	-400,000
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**-400,000**	**0**	**-400,000**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Incorporate Assorted Annualization - (B)								
-(G) These changes recognize a variety of increased program costs and program expansions the Department underwent during FY 97. The FY 98 base is adjusted for these changes.								
-(L) These changes recognize a variety of increased program costs and program expansions the Department underwent during FY 97. Funding for lease payments for River Valley Services and other expenses annualizations are not provided. The FY 98 base is adjusted for these changes.								
Personal Services	0	5,025,338	0	5,025,338	0	0	0	0
Other Expenses	0	0	0	0	0	-69,136	0	-69,136
Equipment	0	413,809	0	-209,763	0	0	0	0
Total - General Fund	**0**	**5,439,147**	**0**	**4,815,575**	**0**	**-69,136**	**0**	**-69,136**
Reduce Funding for Workers' Compensation Claims - (B)								
PA 95-257 required the closure of Fairfield Hills and Norwich Hospitals. As a result, a large number of beds were closed and direct care positions have been eliminated through attrition, reassignment, retirement and layoffs. In addition, DMHAS has implemented a series of safety management programs during the past three years which have resulted in a decline in the number and severity of injuries to employees.								
-(G) As a result of the above changes, the Governor estimates that $1,436,816 may be saved yearly under the Workers' Compensation account.								
-(L)Same as Governor								
Workers Compensation	0	-1,436,816	0	-1,436,816	0	0	0	0
Total - General Fund	**0**	**-1,436,816**	**0**	**-1,436,816**	**0**	**0**	**0**	**0**
Add Positions from Lottery Operations - (B)								
-(G) The Governor recommends that two positions, costing $80,393 annually, be transferred from the Department of Special Revenue's lottery operation.								
-(L)Same as Governor								
Personal Services	2	80,393	2	80,393	0	0	0	0
Total - General Fund	**2**	**80,393**	**2**	**80,393**	**0**	**0**	**0**	**0**
Transfer Equipment to Capital Equipment Purchase Fund - (B)								
The Capital Equipment Purchase Fund (CEPF) is authorized for the purchase of equipment with a useful life of at least three years and is financed through the sale of short-term bonds.								
-(G) The Governor recommends the transfer of all equipment purchases to the CEPF. The balance of this change is reflected in the department's other programs.								
-(L)Same as Governor								
Equipment	0	-403,809	0	219,763	0	0	0	0
Total - General Fund	**0**	**-403,809**	**0**	**219,763**	**0**	**0**	**0**	**0**
Consolidate Managed Service - (B)								
-(G) The Governor requests a consolidation of the DMHAS grant accounts to provide greater flexibility across a range of services and to more accurately reflect funding in appropriate service types. The balance of this change is reflected in the department's other programs.								
-(L) The grant accounts are not merged to reflect the legislature's intent that these funding streams remain separate and distinct.								
Managed Service System	0	0	0	0	0	98,676	0	98,676
Grants for Mental Health Services	0	0	0	0	0	-1,500,280	0	-1,500,280
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**-1,401,604**	**0**	**-1,401,604**
Reduce Funding Due to State Data Center Rate Reductions - (B)								
Many state agencies obtain computer services to support their business functions from the State Data Center, operated by the Department of Administrative Services through the Technical Services Revolving Fund.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(G) Due to a reorganization of the operation of the State Data Center, there is a significant reduction in the rates for the services charged to state agencies (BOSS and CATER). -(L)Same as Governor								
Other Expenses	0	-258,009	0	-258,009	0	0	0	0
Total - General Fund	**0**	**-258,009**	**0**	**-258,009**	**0**	**0**	**0**	**0**
Reduce State Operated Services Through Consolidation and Coordination - (B) The Department of Mental Health and Addiction Services receives substantial funding to provide direct substance abuse and mental health treatment and support services. In line with managed care objectives, the Department is analyzing all segments of its state-operated and non-profit operated service systems to identify and implement cost-saving measures with a minimal impact on the quality/quantity of direct care services. -(G) It is anticipated that this savings will result in lower administrative overhead and indirect costs attributed to state operated services. The Governor recommends a funding realignment and a reduction of $3,000,000 to reflect these efforts. The balance of this change is reflected in the department's other programs. -(L)Same as Governor								
Personal Services	0	0	0	0	21	333,042	21	333,042
Other Expenses	0	0	0	0	0	-92,718	0	-92,718
Total - General Fund	**0**	**0**	**0**	**0**	**21**	**240,324**	**21**	**240,324**
Reduce Funding for Grant Accounts by Achieving Administrative Efficiencies - (B) The Department of Mental Health and Addiction Services receives substantial funding to provide community-based substance abuse and mental health treatment and support services. In line with managed care objectives, the Department is analyzing all segments of its state-operated and non-profit operated service systems to identify and implement cost-saving measures with a minimal impact on the quality/quantity of direct care services. -(G) The Department is streamlining administrative requirements and implementing outcome based performance measures to support its over-arching goal of implementing managed care principles. It is anticipated that this savings will result in lower administrative overhead and indirect costs attributed to multiple private providers. The Governor estimates that these efforts will save $4,000,000 annually. The balance of this change is reflected in the department's other programs. -(L) Funding for the grant accounts is provided to continue the programs at the present level.								
Grants for Mental Health Services	0	0	0	0	0	1,422,464	0	1,422,464
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**1,422,464**	**0**	**1,422,464**
Combined Managed Care Efforts - (B) -(G) The Governor recommends combining the Mental Health/Medicaid Managed Care account with the General Assistance Managed Care Account to reflect the consolidation of accounts which support behavioral health and managed care activities. -(L)Same as Governor								
General Assistance Managed Care	0	1,510,000	0	1,510,000	0	0	0	0
Mental Health/Medicaid Managed Care	0	-1,510,000	0	-1,510,000	0	0	0	0
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**
Restructure Eligibility for General Assistance Behavioral Health Services - (B) The Department has assumed responsibility for all behavioral health services for clients of the General Assistance (GA) program. -(G) The Governor recommends eliminating all services to recipients of GA who have been deemed employable, who are already subject to time limits on their cash assistance.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
This change is expected to save $20,340,000 annually in DMHAS. The balance of this change is reflected in the department's other programs. -(L) Total funding of $1,742,500 is added for GA behavioral health services. This figure is based on 40% of all GA medical costs being related to behavioral health. Also included in this figure is $2.9 million for Residential Drug Treatment. The department shall implement a managed service initiative for this population's behavioral health services. The balance of these changes is reflected in the department's other programs.								
General Assistance Managed Care	0	0	0	0	0	700,000	0	700,000
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**700,000**	**0**	**700,000**
Assorted Annualization for FY 99 - (B) -(G) These changes recognize a variety of increased program costs and program expansions the Department will undergo during FY 98. The FY 99 base is adjusted for these changes. -(L)Same as Governor								
Personal Services	0	0	0	681,169	0	0	0	0
Total - General Fund	**0**	**0**	**0**	**681,169**	**0**	**0**	**0**	**0**
Remove GA Managed Care Start-Up Funding - (B) DMHAS will assume responsibility for all behavioral health services to recipients of General Assistance (GA) in FY 98. -(G) The Governor recommends the elimination of the start-up funds for the DMHAS GA managed care project as this program will be fully operational after FY 98. -(L)Same as Governor								
General Assistance Managed Care	0	0	0	-700,000	0	0	0	0
Total - General Fund	**0**	**0**	**0**	**-700,000**	**0**	**0**	**0**	**0**
Reduce Motor Vehicle Costs - (B) As part of the Governor's initiative to reduce the number of state vehicles, DMHAS returned 55 vehicles leased through the Department of Administrative Services in September, 1996. -(G) This effort is anticipated to save $18,157 in FY 98. -(L) Total funding of $52,157 is removed to reflect the continued reduction in auto usage by the department.								
Other Expenses	0	-52,157	0	-52,157	0	-34,000	0	-34,000
Total - General Fund	**0**	**-52,157**	**0**	**-52,157**	**0**	**-34,000**	**0**	**-34,000**
Budget Totals - GF	**302**	**25,992,967**	**302**	**26,016,583**	**21**	**458,048**	**21**	**458,048**

DMHAS - Disproportionate Share
4405

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	-121,400,000	-121,400,000	-121,400,000	-121,400,000	-121,400,000	-121,400,000
002	Other Expenses	-16,600,000	-16,600,000	-16,600,000	-16,600,000	-16,600,000	-16,600,000
	Agency Total - General Fund	**-138,000,000**	**-138,000,000**	**-138,000,000**	**-138,000,000**	**-138,000,000**	**-138,000,000**
	Agency Total - Appropriated Funds	**-138,000,000**	**-138,000,000**	**-138,000,000**	**-138,000,000**	**-138,000,000**	**-138,000,000**
	Agency Grand Total	**-138,000,000**	**-138,000,000**	**-138,000,000**	**-138,000,000**	**-138,000,000**	**-138,000,000**
	BUDGET BY PROGRAM						
	Disproportionate Share						
	General Fund						
	Personal Services	-121,400,000	-121,400,000	-121,400,000	-121,400,000	-121,400,000	-121,400,000
	Other Expenses	-16,600,000	-16,600,000	-16,600,000	-16,600,000	-16,600,000	-16,600,000
	Total - General Fund	**-138,000,000**	**-138,000,000**	**-138,000,000**	**-138,000,000**	**-138,000,000**	**-138,000,000**
	Agency Grand Total	**-138,000,000**	**-138,000,000**	**-138,000,000**	**-138,000,000**	**-138,000,000**	**-138,000,000**

Psychiatric Security Review Board
4430

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	4	4	4	4	4	4
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	158,242	181,039	191,219	198,389	191,219	198,389
002	Other Expenses	31,547	43,660	49,660	49,660	49,660	49,660
	Agency Total - General Fund	**189,789**	**224,699**	**240,879**	**248,049**	**240,879**	**248,049**
	Agency Total - Appropriated Funds	**189,789**	**224,699**	**240,879**	**248,049**	**240,879**	**248,049**
	Agency Grand Total	**189,789**	**224,699**	**240,879**	**248,049**	**240,879**	**248,049**
	BUDGET BY PROGRAM						
	Psychiatric Security Review Board						
	Permanent Full-Time Positions GF	4	4	4	4	4	4
	General Fund						
	Personal Services	158,242	181,039	191,219	198,389	191,219	198,389
	Other Expenses	31,547	43,660	49,660	49,660	49,660	49,660
	Total - General Fund	**189,789**	**224,699**	**240,879**	**248,049**	**240,879**	**248,049**
	Agency Grand Total	**189,789**	**224,699**	**240,879**	**248,049**	**240,879**	**248,049**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**4**	**224,699**	**4**	**224,699**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	10,180	0	17,350	0	0	0	0
Other Expenses	0	7,187	0	8,502	0	0	0	0
Total - General Fund	**0**	**17,367**	**0**	**25,852**	**0**	**0**	**0**	**0**
Remove Inflationary Increase from Other Expenses - (B)								
-(G) Funding, in the amounts of $1,187 and $1,315 are recommended to be reduced from Other Expenses for the fiscal years 1997-98 and 1998-99.								
-(L)Same as Governor								
Personal Services	0	-1,187	0	-2,502	0	0	0	0
Total - General Fund	**0**	**-1,187**	**0**	**-2,502**	**0**	**0**	**0**	**0**
Budget Totals - GF	**4**	**240,879**	**4**	**248,049**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Psychiatric Security Review Board hearings	136	150	165	175	165	175	165	175
Cases under Psychiatric Security Review Board jurisdiction	186	200	210	220	210	220	210	220
Psychiatric Security Review Board decisions rendered	266	300	325	350	325	350	325	350
Acquitees being monitored in the community	38	49	68	88	68	88	68	88

Department of Transportation[1]
5000

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	Special Transportation Fund						
	Permanent Full-Time - TF	3,998	3,998	3,824	3,719	3,824	3,719
	Additional Funds Available						
	Permanent Full-Time	122	122	122	122	122	122
	OPERATING BUDGET						
	Appropriated Funds						
	Special Transportation Fund						
001	Personal Services	97,006,412	95,814,041	100,255,174	103,831,837	100,255,174	103,831,837
002	Other Expenses	38,482,089	30,852,774	33,865,470	35,770,234	33,865,470	35,770,234
005	Equipment	1,427,318	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000
006	Highway and Planning Research	2,479,857	2,639,900	2,274,356	2,274,356	2,274,356	2,274,356
007	Minor Capital Projects	135,653	350,000	350,000	350,000	350,000	350,000
008	Highway & Bridge Renewal-Equipment	6,187,693	9,600,000	4,000,000	4,000,000	4,000,000	4,000,000
02X	Other Current Expenses	127,029,820	130,745,969	132,239,355	130,315,077	134,366,068	133,519,249
7XX	Grant Payments - To Towns	22,464,732	22,036,000	21,454,000	873,000	21,454,000	20,873,000
	Agency Total - Special Transportation Fund	**295,213,574**	**293,538,684**	**295,938,355**	**278,914,504**	**298,065,068**	**302,118,676**
	~~Agency Total - Appropriated Funds~~	~~295,213,574~~	~~293,538,684~~	~~295,938,355~~	~~278,914,504~~	~~298,065,068~~	~~302,118,676~~
	Additional Funds Available						
	Bradley Enterprise Fund	30,286,873	29,116,778	30,663,491	31,123,443	30,663,491	31,123,443
	Federal Contributions	52,118,744	29,461,419	26,959,341	26,959,341	26,959,341	26,959,341
	Agency Grand Total	**377,619,191**	**352,116,881**	**353,561,187**	**305,873,845**	**355,687,900**	**329,078,017**
	BUDGET BY DIVISION						
	DOT - Bureau of Engineering and Highway Operations						
	Agency Total - Special Transportation Fund	**128,628,840**	**114,025,433**	**132,508,023**	**118,376,389**	**123,508,023**	**127,376,389**
	Federal Contributions	43,296,204	21,472,000	18,000,000	18,000,000	18,000,000	18,000,000
	Total All Funds	**171,925,044**	**135,497,433**	**150,508,023**	**136,376,389**	**141,508,023**	**145,376,389**
	DOT - Bureau of Finance and Administration						
	Agency Total - Special Transportation Fund	**38,520,099**	**45,750,661**	**38,445,611**	**40,224,853**	**38,445,611**	**40,224,853**
	DOT - Policy and Planning						
	Agency Total - Special Transportation Fund	**4,410,636**	**4,761,898**	**4,305,927**	**4,362,162**	**4,305,927**	**4,362,162**
	Federal Contributions	8,822,540	7,989,419	8,959,341	8,959,341	8,959,341	8,959,341
	Total All Funds	**13,233,176**	**12,751,317**	**13,265,268**	**13,321,503**	**13,265,268**	**13,321,503**
	DOT - Bureau of Aviation and Ports						
	Agency Total - Special Transportation Fund	**5,451,116**	**4,928,901**	**4,504,917**	**4,002,929**	**4,504,917**	**4,002,929**
	Bradley Enterprise Fund	30,286,873	30,758,980	30,663,491	31,123,443	30,663,491	31,123,443
	Total All Funds	**35,737,989**	**35,687,881**	**35,168,408**	**35,126,372**	**35,168,408**	**35,126,372**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	DOT - Bureau of Public Transportation						
	Agency Total - Special Transportation Fund	**118,202,883**	**124,071,791**	**116,173,877**	**111,948,171**	**127,300,590**	**126,152,34**
	GRANT PAYMENTS - TO TOWNS (Recap)						
701	Aircraft Registration	2,545,658	2,036,000	1,454,000	873,000	1,454,000	873,00
714	Town Aid Road Grants	19,919,074	20,000,000	20,000,000	0	20,000,000	20,000,00
	OTHER CURRENT EXPENSES (Recap)						
011	Handicapped Access Program	4,908,109	6,201,107	6,246,063	6,870,669	6,746,063	7,420,60
014	Hospital Transit for Dialysis	113,000	113,000	113,000	113,000	113,000	113,00
023	Rail Operations	51,283,545	55,316,177	47,844,242	45,405,193	56,190,642	55,085,26
024	Bus Operations	57,925,426	58,451,259	57,871,790	55,317,529	60,077,103	59,291,63
039	Workers' Compensation Claims	1,301,539	1,464,426	1,464,260	1,408,686	1,464,260	1,408,68
041	Highway and Bridge Renewal	11,498,201	9,200,000	18,700,000	21,200,000	9,700,000	10,200,00
043	Eastern Connecticut Rail Service Study	0	0	0	0	75,000	
	EQUIPMENT						
005	Equipment	1,427,318	1,500,000	1,500,000	1,500,000	1,500,000	1,500,00
008	Highway & Bridge Renewal-Equipment	6,187,693	9,600,000	4,000,000	4,000,000	4,000,000	4,000,00
	Agency Grand Total	**377,619,191**	**352,116,881**	**353,561,187**	**305,873,845**	**355,687,900**	**329,078,01**

OTHER SIGNIFICANT 1997 LEGISLATION AFFECTING THE AGENCY'S BUDGET

PA 97-2 (June Special Session), "An Act Concerning the Authorization of Special Tax Obligation Bonds of the State for Certain Transportation Purposes" This Act authorizes the issuance of $144.8 million and $186.5 million in fiscal years 1998 and 1999, respectively. The interest cost to bond the FY 1998 Special Tax Obligati (STO) bonds of $144.8 million for twenty (20) years at 6% is $91.2 million. The interest cost to the bond the FY 1999 STO bonds of $186.5 million for twenty (20) years at 6% is $117.5 million. Below is a breakdown, by bureau, of the aforementioned bond authorizations:

	1997-98	1998-99
	(In Millions)	
Bureau of Engineering and Highways	90.0	130.0
Bureau of Aviation & Ports	5.2	2.3
Bureau of Public Transportation	34.0	34.0
Cost of Issuance of Special Tax Obligation Bonds and Debt Service Reserve	15.6	20.2
Total Special Tax Obligation Bonds	**$144.8**	**$186.5**

PA 97-29, "An Act Concerning Regulations That Are Mandated By The General Statutes But Have Not Been Adopted" -

Section 1 - On or before December 1 of each year, each agency must submit to the Regulation Review Committee: (1) a list of statutory sections which requires the agency to adopt regulations by January 1, of such year if (a) the agency has not submitted the regulations by said December 1 or (b) submitted the regulations but were rejected without prejudice and the regulations have not been re-submitted; (2) a date by which the agency proposes to submit or resubmit each of the proposed regulations; and (3) a written explanation by the administrative head of the agency stating the reasons for non-submittal or re-submittal of the regulations.

Section 2 - By February 15, annually, the co-chairpersons of the Regulation Review Committee must submit to the General Assembly a list, by agency, of the statutory sections which required regulations by January 1 of the preceding year which the agency did not submit to the Regulation Review Committee by December 1 of such year.

Effective Date: July 1, 1997

PA 97-281, "An Act Concerning the Gross Earnings Tax on Certain Fuel Consumed by Industrial Customers and Vessels Primarily Engaged in Interstate Commerce and Exempting Diesel Fuel Sold for Use in Certain Generators from the Motor Vehicle Fuels Tax" -

Section 1 of the Act phases out the 5% tax on gross earnings by 1% per year over five years on the sale of petroleum products used in vessels primarily engaged in interstate commerce, and #6 grade fuel oil used by industrial customers. In order for a firm to be eligible for the #6 fuel oil exemption, they must be classified in the 2000 to 3999 industrial group, as defined by the Standard Industrial Classification Manual Published by the United States Office of Management and Budget.

Section 2 of the Act exempts diesel fuel sold for use in portable generators that are larger than 150 kilowatts from the motor fuels tax.

Phasing out the gross earnings tax on fuel used in vessels engaged in interstate commerce and #6 fuel oil used by industrial consumers is anticipated to result in a revenue loss to the Transportation Fund of $190,000 in FY 1999, $380,000 in FY 2000, $570,000 in FY 2001, $760,000 in FY 2002, and $950,000 in FY 2003. Exempting diesel fuel use in portable generators that are larger than 150 kilowatts from the motor fuels tax is estimated to result in a $3,000 a year revenue loss to the Transportation Fund.

Effective Date: July 1, 1997, except that Section 1 is effective July 1, 1998 and applicable to calendar quarters commencing on and after that date.

PA 97-304, "An Act Revising Certain Transportation Laws" - This Act concerns itself with the activities the Department of Transportation (DOT) regulates and the use of highways in this state. Below is a brief summary of the twenty-nine (29) sections in this Act. (Please refer to the Bureau of Aviation and Ports for further details of those sections affecting that Bureau).

* revises DOT regulatory authority over motor buses, taxis, and livery services in several general respects, including allowing limited liability companies to receive operating permits or certificates, allowing the DOT to impose civil penalties for violations of its requirements, and eliminating some mandatory hearing requirements

* extends DOT livery service regulatory jurisdiction to vehicles exclusively engaged in transporting passengers for hire under contract with government entities, except for rate setting, and makes several related changes

* establishes several new requirements for taxi operations, including suitability requirements for getting certificates and authorizing the DOT to grant temporary operating authority

* broadens the current exemptions school buses have from regulation as livery services to include additional activities performed by contract

* raises from $15,000 to $100,000 the minimum value of DOT property purchases that must be submitted for approval by a state referee

* allows the Public Health Commissioner to issue permits for sale of a water company's Class I and II watershed land to a state agency, instead of just to another water company or a municipality

* exempts dromedary box-equipped tractor- semi-trailer combinations up to 75 feet in overall length from the maximum vehicle length law

* eliminates information the DOT must provide in its required annual report to the legislature on the status of the transportation infrastructure program and the Special Transportation Fund

* requires the portion of Route 3 in the town of Rocky Hill to be named the Disabled American Veterans Memorial Highway

* repeals obsolete laws (a) providing a general exemption from regulatory requirements for vehicles conveying passengers during the 1995 Special Olympics World Games International and (b) authorizing the DOT to issue passenger permits to residents of municipalities with no public intrastate transportation facilities allowing use of interstate buses. (DOT discontinued the practice of issuing interstate bus permits in 1983 when federal law affecting the practice was changed.)

* subjects to criminal penalties (a) actions aimed at defeating or circumventing airport security equipment, measures, or procedures and (b) providing false information or written statements or withholding relevant information on documents required under federally-mandated security plans or procedures

* requires the DOT to issue regulations governing the use of pilot boats in Long Island Sound, requires pilot boat operators to have compliance certificates, and establishes penalties for violating the requirements and for using uncertified pilot boats

* allows the DOT Commissioner to make agreements with other states' pilot commissions to establish a rotation system for assigning pilots to bring vessels through Connecticut ports and waters, including Long Island Sound

* requires operators of state-licensed aviation facilities to provide information annually to the DOT Commissioner on the aircraft based at or primarily using the facilities and requires the Commissioner to forward this information to the municipality in which the aircraft are based

Effective Date: July 1, 1997, except for the legislative reports, vessel pilot license suspension and airport security provisions, which are effective October 1, 1997, and the based aircraft reporting, pilot boat, pilot rotation system, dromedary box, and highway naming provisions, which are effective upon passage.

PA 97-309, "An Act Providing for Reductions in the Motor Vehicle Fuels Tax and the Personal Income Tax, a Credit Against the Personal Income Tax for Certain Property Taxes and the Collection of Various Municipal and State Taxes" -

* The Act reduces the Motor Fuels Tax on gasoline from 39 cents per gallon to 36 cents per gallon and reduces the tax on gasohol from 38 cents per gallon to 35 cents per gallon effective July 1, 1997. It reduces the motor fuels tax from 36 cents per gallon to 33 cents per gallon and reduces the tax on gasohol from 35 cents per gallon to 32 cents per gallon effective July 1, 1998. This action will result in a revenue loss to the Special Transportation Fund (STF) of $38.8 million and $78.1 million in fiscal years 1998 and 1999, respectively.

* It transfers the following revenue item, totaling $13.1 million, from the General Fund to the Transportation Fund: gasoline station relocation fee, gasoline dealer license fee, motor fuels certification fee, weighing and measuring device fee from registering motor fuel dispensers, identity card fee, motor vehicle safety marker fee, and the sale of motor vehicle information

* Requires that any ending balance of the STF exceeding $20.0 million be used by the State Treasurer to reduce future Special Tax Obligation (STO) debt service. The Act gives the State Treasurer the authority to reduce future debt service by: 1) redeeming bonds prior to their maturity, 2) purchasing bonds in the open market; 3) defeasing bonds by transferring funds into a special account (escrow) to meet specified future scheduled debt service payments; 4) paying debt service in the next fiscal year beginning on FY 1998-99; and by 3) a combination of these methods. This will result in expenditure reductions of $5.4 million and $21.2 million in each fiscal year, respectively.

* Removes the Highway Motor Patrol budgeted expenses form the STF beginning in fiscal year 1998-99 ($47.3 million) and removes the fringe benefits costs associated with Highway Motor Patrol beginning in fiscal year 1999-2000.

* Deposits $5.0 million per quarter ($20.0 million per year) of the revenue generated from the gross earning tax levied on the sale of petroleum products attributable to motor fuel sales into the STF effective in fiscal year 1998-99 and deposits $9.0 million per quarter ($36.0 million per year) for fiscal year 1999-00 and thereafter.

* Currently, emission inspections that are 30 days passed due are assessed a late fee of $20. Ten dollars ($10) of this fee is deposited in the General Fund and the other $10 is deposited in the Emissions Enterprise Fund. This action will create a revenue loss to the General Fund and a revenue gain to the Transportation Fund of $2.5 million in each fiscal year, effective 7/1/97.

SA 97-9, "An Act Concerning the Authorization of Bonds for Transportation Purposes" -

PA 95-286 expanded the purposes for which $21.15 million in previously authorized and allocated Special Transportation Fund General Obligation (GO) bonds could be used, to include transportation infrastructure projects. The language change applied to funds authorized in nine public and special acts. Information available as of 12/31/96, indicates that an additional $28.6 million is available from these nine public and special acts for infrastructure purposes.

The Act allows previously authorized and allocated Special Transportation Fund General Obligation bonds ($11.3 million) (see below) to be used for transportation infrastructure projects. The Act requires the State Bond Commission to approve usage of the funds for the expanded purpose.

The Office of Policy and Management has indicated that these bonds ($11.3 million) will be combined with $28.6 million from previously authorized GO bonds for a total of approximately $39.9 million. About $20.0 million of the $39.9 million has already been issued, and the remaining amount will be issued over the next several years. The Transportation Fund is currently paying debt service on the previously-issued bonds, and future debt service cost estimates took into account the projected issuance schedule for the additional bonds.

The Act accelerates the rate at which the $39.9 million will be expended and will cause the remaining bonds to be issued sooner than previously planned. The estimated acceleration in Transportation Fund debt service costs are $0.619 million in FY 1998 and $2.196 million in FY 1999. Funds for this purpose have been included in the agency's 1997-99 biennial budget.

The ten bond authorizations affected by the current Act are shown below. The available bond balances are a combination of (1) issued but unspent GO bonds, (2) unissued GO bonds, and (3) investment earnings.

Act Reference	Original Purpose	Available Bond Balance
PA 83-549, Sect. 3	Solar energy use in transportation center	$8,050
SA 74-43	Various transportation-related purposes	$61,357
SA 74-102	Mass transportation	$8,761,489
SA 78-71	Repair of bridges over railroad tracks	$952,048
PA 80-392, Sect. 1	Ramps on Route 72	$156,009
SA 81-71	Highway resurfacing projects	$236,660
SA 82-46, Sect. 144	Highways resurfacing projects	$217,143
SA 83-2, (Oct. SS)	Non federal share of I-84 projects	$377,349
SA 83-3, (Oct. SS), Sect. 8	Highway resurfacing projects	$304,901
SA 86-59	Purchase of abandoned Conrail rail lines	$201,179
	Total	**$11,276,185**

Effective Date: Upon Passage

SA 97-21, "An Act Concerning the State Budget for the Biennium Ending June 30, 1999 and Making Appropriations Therefor" - This Act appropriates the following amounts to the Transportation Fund:

	FY 1997-98	FY 1998-99
State Insurance Purchasing Bd.	2,177,500	2,204,017
Department of Public Safety	45,042,848	-0-*
Department of Motor Vehicles	44,680,184	44,877,363
Department of Transportation	298,065,068	302,118,676
Debt Service	388,899,269	414,876,710
Reserve for Salary Adjustments	10,442,000	15,846,000
Refunds of Payments	1,810,000	1,810,000
Fringe Benefits	59,054,000	65,431,000
Total Special Transportation Fund	**850,170,869**	**847,163,766**
Less: Estimated Unallocated Lapses	**-10, 000,000**	**-10,000,000**
Early Retirement Incentive Program	**-10,417,500**	**-8,315,000**
Net Special Transportation Fund	**829,753,369**	**828,848,766**

* PA 97-309 transfers expenditures of the Highway Motor Patrol program to the General Fund. Corresponding fringe benefits will be transferred in FY 2000.

The above appropriations are supported by the following revenue estimates:

	FY 1997-98	FY 1998-99
Motor Fuels Tax	521,200,000	484,700,000
Motor Vehicle Receipts	176,000,000	176,500,000
Licenses, Permits, Fees	101,850,000	101,850,000
Interest Income	31,000,000	2,000,000
Federal Grants	3,000,000	2,800,000
Transfer to Conservation Fund	-250,000	-250,000
Total STF Revenues	**832,800,000**	**817,600,000**
Refunds of Taxes	**-5,900,0000**	**-5,600,000**
Net STF Revenues	**826,900,000**	**812,000,000**

1997 BOND AUTHORIZATIONS

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Bond Authorizations			
			Bureau of Engineering and Highway Operations			
PA 97-2 (J5 SS)	2(a)(1)	8(a)(1)	Interstate Highway Program	188,550,000	11,500,000	11,500,000
PA 97-2 (J5 SS)	2(a)(2)	8(a)(2)	Urban Systems Projects	37,700,000	12,000,000	12,000,000
PA 97-2 (J5 SS)	2(a)(3)	8(a)(3)	Intrastate Highway Program	482,050,000	31,500,000	31,500,000
PA 97-2 (J5 SS)	2(a)(4)	8(a)(4)	Soil, water supply and groundwater remediation at or in the vicinity of various maintenance facilities and former disposal areas	104,355,700	6,000,000	6,000,000
PA 97-2 (J5 SS)	2(a)(5)	8(a)(5)	State bridge improvement, rehabilitation and replacement projects	1,334,600,000	20,000,000	20,000,000
PA 97-2 (J5 SS)	13	18	Capital resurfacing and related reconstruction projects	387,300,000	9,000,000	49,000,000
			Bureau of Aviation and Ports			
PA 97-2 (J5 SS)	2(b)(1)	8(b)(1)	Reconstruction and improvements to the warehouse and State Pier, New London including site improvements and improvements to ferry slips	17,316,000	200,000	300,000
PA 97-2 (J5 SS)	2(b)(2)	8(b)(2)	Development and improvements of general aviation airport facilities including grants-in-aid to municipal airports (excluding Bradley International Airport)	20,364,000	5,000,000	2,000,000
			Bureau of Public Transportation			
PA 97-2 (J5 SS)	2(c)	8(c)	Bus and rail facilities and equipment, including rights-of-way, other property acquisition and related projects	475,260,000	34,000,000	34,000,000
PA 97-2 (J5 SS)	2(d)	8(d)	Cost of issuance of Special Tax Obligation Bonds and Debt Service Reserve	-	15,625,000	20,200,000

[1] The Special Transportation Fund number under the State's Central Accounting System is 1201.

DOT - Bureau of Engineering and Highway Operations[1]
5100

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	Special Transportation Fund						
	Permanent Full-Time	3,191	3,191	3,100	3,023	3,100	3,023
	OPERATING BUDGET						
	Appropriated Funds						
	Special Transportation Fund						
001	Personal Services	69,556,444	66,362,297	72,010,467	74,347,476	72,010,467	74,347,476
002	Other Expenses	27,655,121	18,463,136	21,797,556	22,828,913	21,797,556	22,828,913
02X	Other Current Expenses	11,498,201	9,200,000	18,700,000	21,200,000	9,700,000	10,200,000
7XX	Grant Payments - To Towns	19,919,074	20,000,000	20,000,000	0	20,000,000	20,000,000
	Agency Total - Special Transportation Fund[2]	**128,628,840**	**114,025,433**	**132,508,023**	**118,376,389**	**123,508,023**	**127,376,389**
	Agency Total - Appropriated Funds[2]	**128,628,840**	**114,025,433**	**132,508,023**	**118,376,389**	**123,508,023**	**127,376,389**
	Additional Funds Available						
	Federal Contributions	43,296,204	21,472,000	18,000,000	18,000,000	18,000,000	18,000,000
	Agency Grand Total	**171,925,044**	**135,497,433**	**150,508,023**	**136,376,389**	**141,508,023**	**145,376,389**
	BUDGET BY PROGRAM						
	Administration						
	Permanent Full-Time Positions TF	108	108	97	97	97	97
	Special Transportation Fund						
	Personal Services	3,825,934	4,095,643	3,645,161	3,976,689	3,645,161	3,976,689
	Other Expenses	213,943	307,966	320,539	328,146	320,539	328,146
	Total - Special Transportation Fund	**4,039,877**	**4,403,609**	**3,965,700**	**4,304,835**	**3,965,700**	**4,304,835**
	Engineering Services						
	Permanent Full-Time Positions TF	265	265	255	255	255	255
	Special Transportation Fund						
	Personal Services	3,600,039	6,208,956	4,419,598	4,475,316	4,419,598	4,475,316
	Other Expenses	413,302	438,426	456,691	467,797	456,691	467,797
	Total - Special Transportation Fund	**4,013,341**	**6,647,382**	**4,876,289**	**4,943,113**	**4,876,289**	**4,943,113**
	Maintenance						
	Permanent Full-Time Positions TF	1,381	1,381	1,341	1,264	1,341	1,264
	Special Transportation Fund						
	Personal Services	41,441,467	37,631,958	44,302,928	45,073,550	44,302,928	45,073,550
	Other Expenses	13,233,568	9,832,178	11,411,323	11,664,414	11,411,323	11,664,414
	Total - Special Transportation Fund	**54,675,035**	**47,464,136**	**55,714,251**	**56,737,964**	**55,714,251**	**56,737,964**
	Protection from & Removal of Snow & Ice						
	Permanent Full-Time Positions TF	175	175	175	175	175	175
	Special Transportation Fund						
	Personal Services	14,576,273	12,041,141	13,287,157	14,398,133	13,287,157	14,398,133
	Other Expenses	13,433,477	7,572,893	9,284,725	10,036,427	9,284,725	10,036,427
	Total - Special Transportation Fund	**28,009,750**	**19,614,034**	**22,571,882**	**24,434,560**	**22,571,882**	**24,434,560**
	Roadside Maintenance						
	Permanent Full-Time Positions TF	197	197	197	197	197	197
	Special Transportation Fund						
	Personal Services	5,999,180	6,271,044	6,257,730	6,321,653	6,257,730	6,321,653
	Other Expenses	355,351	297,583	309,602	317,096	309,602	317,096
	Total - Special Transportation Fund	**6,354,531**	**6,568,627**	**6,567,332**	**6,638,749**	**6,567,332**	**6,638,749**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Town Aid						
	Special Transportation Fund						
	Grant Payments - To Towns						
	Town Aid Road Grants	19,919,074	20,000,000	20,000,000	0	20,000,000	20,000,000
	Highway & Bridge Construction & Renewal						
	Permanent Full-Time Positions TF	1,061	1,061	1,031	1,031	1,031	1,031
	Special Transportation Fund						
041	Highway and Bridge Renewal	11,498,201	9,200,000	18,700,000	21,200,000	9,700,000	10,200,000
	Federal Contributions						
	Highway Use Tax Evasion Tax	43,296,204	21,472,000	18,000,000	18,000,000	18,000,000	18,000,000
	Total - All Funds	**54,794,405**	**30,672,000**	**36,700,000**	**39,200,000**	**27,700,000**	**28,200,000**
	Research						
	Permanent Full-Time Positions TF	4	4	4	4	4	4
	Special Transportation Fund						
	Personal Services	113,551	113,555	97,893	102,135	97,893	102,135
	Other Expenses	5,480	14,090	14,676	15,033	14,676	15,033
	Total - Special Transportation Fund	**119,031**	**127,645**	**112,569**	**117,168**	**112,569**	**117,168**
	GRANT PAYMENTS - TO TOWNS (Recap)						
714	Town Aid Road Grants	19,919,074	20,000,000	20,000,000	0	20,000,000	20,000,000
	Agency Grand Total	**171,925,044**	**135,497,433**	**150,508,023**	**136,376,389**	**141,508,023**	**145,376,389**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - TF	**3,191**	**115,847,892**	**3,191**	**115,847,892**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	3,164,307	0	5,712,679	0	0	0	0
Other Expenses	0	2,305,870	0	3,802,139	0	0	0	0
Highway and Bridge Renewal	0	500,000	0	1,000,000	0	0	0	0
Total - Special Transportation Fund	**0**	**5,970,177**	**0**	**10,514,818**	**0**	**0**	**0**	**0**

Reduce Permanent-Full-Time Positions - (B)
-(G) The Governor proposes the elimination of 174 permanent full-time positions (PFT) in FY 1998 and 105 in FY 1999 for a total reduction of 279 PFT positions. The agency currently has 3,998 permanent full-time positions. The new PFT count will be 3,824 in FY 1998 and 3,719 in FY 1999.

Below is a breakdown of the impact by bureau:

Bureau	FY 1998	FY 1999
Engineering & Highways	91	77
Finance & Admin.	55	55
Policy & Planning	13	0
Aviation & Parts	2	28
Public Transportation	13	0
Total	**174**	**105**

Since the Office of Policy and Management (OPM) reduced the FY 1997-98 current services level to $100.2 million from the agency's request of $105.1 million, no further adjustments were made to the Personal Services account.

-(L)Same as Governor

Personal Services	-91	0	-77	0	0	0	0	0
Total - Special Transportation Fund	**-91**	**0**	**-77**	**0**	**0**	**0**	**0**	**0**

Eliminate Inflation in Other Expenses - (B)
The following are some of the components in the Other Expenses account: utility services, telecommunications, telephone, fees for outside professional services, fees for

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
non-professional services, motor vehicles rentals and supplies, DP services and maintenance, leasing of personal property, highway materials, etc. -(G) The Governor recommends an across-the-board elimination of inflationary increases in the Other Expenses account as follows: FY 1998, $617,040; FY 1999, $602,118 for a total in the FY 1997-99 biennium of $1,219,518. -(L)Same as Governor								
Other Expenses	0	-617,040	0	-1,219,158	0	0	0	0
Total - Special Transportation Fund	**0**	**-617,040**	**0**	**-1,219,158**	**0**	**0**	**0**	**0**
Increase Highway and Bridge Renewal Funding - (B) The program represents the "pay-as-you-go" component of the Transportation Infrastructure Program. The appropriated funds cover non-bondable resurfacing costs, liquid surface treatment, bridge painting and major maintenance operations. -(G) The Governor recommends additional funds for this program. A corresponding reduction has been made in the capital program. As the result of the additional funding, the recommended appropriation levels for the FY 1997-99 biennium will be $18.7 million and $21.2 million, respectively. The FY 1996 actual expenditure for this program was $11.5 million. -(L) In view of previous years' continued appropriations and history of past expenditures, the Governor's recommended additional funds are being eliminated. The new appropriations levels will be $9.7 million and $10.2 million, respectively.							
Highway and Bridge Renewal	0	0	0	0	0	-9,000,000	0	-11,000,000
Total - Special Transportation Fund	**0**	**0**	**0**	**0**	**0**	**-9,000,000**	**0**	**-11,000,000**
Transfer the Town Aid Road Grant to the Capital Program - (B) Funds are provided to towns for construction, reconstruction, improvements or maintenance of highways and bridges, including snow plowing and the sanding of icy pavement; for installation, replacement and maintenance of traffic control and vehicle safety programs; for traffic and parking planning and administration; and for public transportation services and related facilities. -(G) In order to reduce operating costs in the Transportation Fund, the Governor proposes to transfer Town Aid Road into the capital (bonding) program. In the first year of the biennium, Town Aid would remain in its current form to provide towns a transition period. In the second year of the biennium and annually thereafter, only capital projects and expenses would be eligible for reimbursement by the state through this program. Debt Service costs over a 20-year-period at a 6% interest rate would be $32.6 million. however, due to the timing of the sale in FY 1999, the first interest payment will be in FY 2000. SB 1059, "An Act Concerning the Authorization of Special Tax Obligation Bonds of the State for Certain Transportation Purposes" would implement this recommendation. -(L) Since the capital projects that would be undertaken under this program have a life span of less than 20 years, it is fiscally more responsible to continue its funding levels under the operating budget and thereby eliminate future debt service costs.							
Town Aid Road Grants	0	0	0	0	0	0	0	20,000,000
Total - Special Transportation Fund	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**20,000,000**
Budget Totals - TF	**3,100**	**121,201,029**	**3,114**	**125,143,552**	**0**	**-9,000,000**	**0**	**9,000,000**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Projects for construction, reconstruction, restoration and maintenance of highways and bridges	5,217	4,000	4,000	4,000	4,000	4,000	4,000	4,000
Parcels acquired	550	500	500	500	500	500	500	500
Properties managed	200	200	200	200	200	200	200	200
Value of sales of excess property ($M)	2.7	1	1	1	1	1	1	1
Engineering investigations, studies and reports required relative to highways and bridges including State Traffic Commission Reports	9,618	8,500	8,500	8,500	8,500	8,500	8,500	8,500
Activity - Estimated Requirement								
Patching - 15 Tons (000)	9.9	15	15	15	15	15	15	15
Paint Lane Lines - 12,000 Miles	10,000	12,000	12,000	12,000	12,000	12,000	12,000	12,000
Sign Repairs - 55 Repair (000)	49.9	55	55	55	55	55	55	55
Equipment Srv & Rpr - 25 Orders (000)	17.3	25	25	25	25	25	25	25
Bridge Deck Repairs - 6,000 (sy)	2,700	6,000	6,000	6,000	6,000	6,000	6,000	6,000
Bridge Structure Repairs - 1,000 (cy)	712	1,000	1,000	1,000	1,000	1,000	1,000	1,000
Percent of highway system with adequate line striping (%)	30	30	30	30	30	30	30	30
Percent of highway signs that meet current standards (%)	50	50	50	50	50	50	50	50
Percent of highway pavement rated good or better (%)	80	80	80	80	80	80	80	80
Percent of highway bridges rated good or better (%)	95	94	94	94	94	94	94	94
Activity - Estimated Requirement								
Salt - 98 Tons (000)	167	98	98	98	98	98	98	98
Sand - 238 Cubic Yard (000)	398	238	238	238	238	238	238	238
Truck Rentals - 22.5 Hours (000)	30	22.5	22.5	22.5	22.5	22.5	22.5	22.5
Storm Operations - 295 Hours	355	295	295	295	295	295	295	295
Activity - Estimated Requirement								
Trees Maintenance - 5,200 Trees	7,800	5,200	5,200	5,200	5,200	5,200	5,200	5,200
Mowing - 46 Miles (000)	42.3	46	46	46	46	46	46	46
Litter Pickup - 50 Hours (000)	24.9	50	50	50	50	50	50	50
Distribution per formula ($M)	20	20	20	20	20	0	20	0
Projects for the construction, reconstruction, rehabilitation, restoration, maintenance and operation of highways and bridges	118	115	115	115	235	235	235	235
Liquid surface treatment (miles)	50	50	50	50	50	50	50	50
Vendor in Place Resurfacing (miles)	0	0	0	0	18.75	31.25	18.75	31.25
Highway safety projects	62	60	60	60	60	60	60	60
Percent of highway bridges rated good or better (%)	94	95	94	94	94	94	94	94
Percent of highway pavement rated good or better (%)	80	80	80	80	80	80	80	80
Responses to research requests	1582	1500	1600	1600	1600	1600	1600	1600
Photolog St Highway System (2-way mile)	7850	7800	7800	7800	7800	7800	7800	7800

OTHER SIGNIFICANT 1997 LEGISLATION AFFECTING THE AGENCY'S BUDGET

PA 97-191, "An Act Allowing Drivers of Commercial Motor Vehicles Involved in Emergency-Related Activities to Exceed the Hours of Service Requirement" - Federal regulations establish limits for the number of hours of service a commercial driver may perform before resting These limits restrict a driver to no more than 10 hours of driving following 8 consecutive hours off-duty or no more than 15 hours on duty following an 8 hour off-duty period. There is also a limit of 60 hours on duty in 7 consecutive days (if the carrier does not operate every day of the week) or 70 hours in 8 days (if the carrier operates daily). One of the exceptions to these limits allows drivers to exceed the service limits, and certain other federal regulations, for up to 5 days while providing direct relief assistance during certain declared emergencies.

This Act expands the exception from hours of service limits an commercial vehicle drivers during an emergency. Current law limits the exception to utility company drivers who provide relief assistance during a major loss of service, disaster or other state of emergency declared by the governor. The Act adds any private sector motor carrier or driver of a vehicle with a commercial registration who is providing emergency relief during a declared emergency. Public employees are not subject to the restrictions. Effective Date: July 1, 1997.

PA 97-214, "An Act Concerning the Rehabilitation or Replacement of Bridges in the State and Requiring a Southeast Corridor Transportation Study" - This Act changes the process the State and municipalities must use when selecting bridge designs by:

* allowing the Department of Transportation (DOT) to approve exceptions from bridge geometric design standards, without going through a formal waiver process, when certain factors would reasonably allow for such a departure

* requiring the DOT and the affected municipality to weigh specific factors when deciding between rehabilitating an existing bridge and constructing a new one

* requiring the DOT to implement policies and programs for projects involving federal funds that allow municipal governments to develop and construct projects, in consultation with the DOT, and in accordance with federal laws and regulations

* providing the state, municipalities, their agencies and employees, and any engineers retained in connection with a bridge project immunity from liability based on the design standard selection under certain circumstances.

Effective Date: October 1, 1997

SA 97-13, "An Act Requiring An Update of the Southwest Corridor Transportation Study" - This Act requires the Commissioner of Transportation to conduct an update of the Southwest Corridor Transportation Study from Branford, CT to the Connecticut-New York State line which includes I-95, Route 15, U.S. Route 1, the Metro-North Commuter Rail Line and regional bus systems. (The study was first completed in 1987). The Commissioner of Transportation must submit a report to the Transportation Committee by February 1, 1998. Effective Date: Upon Passage

---

[1] Below are actual FY 1996, estimated FY 1997, and projected FY 1998 and FY 1999 revenues collected by this Bureau:

	Actual FY 1996	Estimated FY 1997	Projected FY 1998	Projected FY 1999
Advertising Sign Fees	8,085	8,000	8,000	8,000
Miscellaneous Recoveries from Traffic Accidents	1,131,310	995,823	995,823	995,823
Other Miscellaneous Rents	16,444	9,550	9,550	9,600
Special Vehicle Permits	125,552	130,000	130,000	130,000
Total Bureau	**1,281,391**	**1,143,373**	**1,143,373**	**1,143,423**

[2] In order to achieve the budget reductions required by the budget from the early retirement incentive program, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $7,710,136 in Personal Services from the early retirement incentive program, as part of the general reductions in FY 1998. OPM has accomplished this by withholding this amount from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. Since the FY 1999 appropriations have not been programmed for allotments, specific agency reductions will not be available until next year.

It should also be noted that the agency's budget does not contain funding to pay the costs of collective bargaining contracts settled during the 1997 session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $6,745,705 in FY 1998 for this purpose.

DOT - Bureau of Finance and Administration[1]
5200

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	Special Transportation Fund						
	Permanent Full-Time - TF	518	518	463	463	463	463
	OPERATING BUDGET						
	Appropriated Funds						
	Special Transportation Fund						
001	Personal Services	19,355,495	21,144,239	19,788,764	20,767,219	19,788,764	20,767,219
002	Other Expenses	10,112,401	11,691,996	11,342,587	12,198,948	11,342,587	12,198,948
005	Equipment	1,427,318	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000
007	Minor Capital Projects	135,653	350,000	350,000	350,000	350,000	350,000
008	Highway & Bridge Renewal - Equipment	6,187,693	9,600,000	4,000,000	4,000,000	4,000,000	4,000,000
02X	Other Current Expenses	1,301,539	1,464,426	1,464,260	1,408,686	1,464,260	1,408,686
	Agency Total - Special Transportation Fund	**38,520,099**	**45,750,661**	**38,445,611**	**40,224,853**	**38,445,611**	**40,224,853**
	Agency Total - Appropriated Funds	**38,520,099**	**45,750,661**	**38,445,611**	**40,224,853**	**38,445,611**	**40,224,853**
	Agency Grand Total	**38,520,099**	**45,750,661**	**38,445,611**	**40,224,853**	**38,445,611**	**40,224,853**
	BUDGET BY PROGRAM						
	Administration						
	Permanent Full-Time Positions TF	425	425	381	381	381	381
	Special Transportation Fund						
	Personal Services	16,568,383	18,385,014	17,074,491	17,983,531	17,074,491	17,983,531
	Other Expenses	5,316,921	7,097,679	6,805,782	7,575,949	6,805,782	7,575,949
039	Workers' Compensation Claims	1,301,539	1,464,426	1,464,260	1,408,686	1,464,260	1,408,686
	Total - Special Transportation Fund	**23,186,843**	**26,947,119**	**25,344,533**	**26,968,166**	**25,344,533**	**26,968,166**
	Concessions						
	Permanent Full-Time Positions TF	5	5	4	4	4	4
	Special Transportation Fund						
	Personal Services	147,638	151,015	161,964	169,522	161,964	169,522
	Other Expenses	50,815	55,093	57,392	58,850	57,392	58,850
	Total - Special Transportation Fund	**198,453**	**206,108**	**219,356**	**228,372**	**219,356**	**228,372**
	Operation & Maintenance of Buildings						
	Permanent Full-Time Positions TF	88	88	78	78	78	78
	Special Transportation Fund						
	Personal Services	2,639,474	2,608,210	2,552,309	2,614,166	2,552,309	2,614,166
	Other Expenses	4,744,665	4,539,224	4,479,413	4,564,149	4,479,413	4,564,149
	Minor Capital Projects	135,653	350,000	350,000	350,000	350,000	350,000
	Total - Special Transportation Fund	**7,519,792**	**7,497,434**	**7,381,722**	**7,528,315**	**7,381,722**	**7,528,315**
	Equipment						
	Special Transportation Fund						
	Equipment	1,427,318	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000
	Highway & Bridge Renewal - Equipment	6,187,693	9,600,000	4,000,000	4,000,000	4,000,000	4,000,000
	Total - Special Transportation Fund	**7,615,011**	**11,100,000**	**5,500,000**	**5,500,000**	**5,500,000**	**5,500,000**
	EQUIPMENT						
005	Equipment	1,427,318	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000
008	Highway & Bridge Renewal - Equipment	6,187,693	9,600,000	4,000,000	4,000,000	4,000,000	4,000,000
	Agency Grand Total	**38,520,099**	**45,750,661**	**38,445,611**	**40,224,853**	**38,445,611**	**40,224,853**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - TF	**518**	**44,665,557**	**518**	**44,665,557**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	925,976	0	1,671,710	0	0	0	0
Other Expenses	0	1,476,732	0	2,434,977	0	0	0	0
Highway & Bridge Renewal - Equipment	0	-5,600,000	0	-5,600,000	0	0	0	0
Workers' Compensation Claims	0	-166	0	-166	0	0	0	0
Total - Special Transportation Fund	**0**	**-3,197,458**	**0**	**-1,493,479**	**0**	**0**	**0**	**0**
Reduce Permanent Full-Time Positions - (B)								
-(G) Please refer to first write-up in the Bureau of Engineering and Highways for further details on these reductions.								
-(L)Same as Governor								
Personal Services	-55	0	-55	0	0	0	0	0
Total - Special Transportation Fund	**-55**	**0**	**-55**	**0**	**0**	**0**	**0**	**0**
Reduction in Technical Services Rates - (B)								
The Connecticut Administrative Technology Center (CATER) and BOSS (a subdivision of CATER) provide internal computer services to state agencies. The service is continuous, 24 hours a day, 7 days a week. It recovers its costs through the Technical Services Revolving Fund concept.								
-(G) The Governor recommends a reduction in Other Expenses to reflect reductions in CATER/BOSS rates.								
-(L)Same as Governor								
Other Expenses	0	-28,123	0	-28,123	0	0	0	0
Total - Special Transportation Fund	**0**	**-28,123**	**0**	**-28,123**	**0**	**0**	**0**	**0**
Reduce State Fleet - (B)								
-(G) The Governor proposes a reduction of 66 leased motor vehicles through the Department of Administrative Services (DAS). As a result, the Department's leased fleet will be reduced from 334 vehicles to 268 vehicles or a 20% reduction.								
-(L)Same as Governor								
Other Expenses	0	-213,000	0	-217,900	0	0	0	0
Total - Special Transportation Fund	**0**	**-213,000**	**0**	**-217,900**	**0**	**0**	**0**	**0**
Budget Totals - TF	**463**	**41,226,976**	**463**	**42,926,055**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Administration expenditures as percent of departmental expenditures (%)	2.1	2.1	2	2	2	2	2	2
Value of projects awarded ($M)	231.5	235	235	235	235	235	235	235
Workers' Compensation Claims	713	700	675	650	675	650	675	650
Payouts on Claims ($M)	2.9	2.7	2.5	2.3	2.5	2.3	2.5	2.3
Percent-Contracts Awarded to D.B.E. (%)	18	20	20	20	20	20	20	20
Restaurant Royalties Paid to State ($M)	4.8	5.2	5.3	5.2	5.3	5.2	5.3	5.2
Gasoline Royalties Paid to State ($M)	4.3	4.6	4.7	5.1	4.7	5.1	4.7	5.1
Work orders completed (incl emergencies)	2982	3000	3000	3000	3000	3000	3000	3000
Expenditures on minor maintenance ($000)	135653	350000	750000	750000	750000	750000	750000	750000
Affirmative action evaluation and reports processed	35	30	30	30	30	30	30	30
Contracts processed to effect work programs	25	20	20	20	20	20	20	20

[1] Below are FY 1996 actual revenues, FY 1997 estimated revenues and FY 1998 and FY 1999 projected revenues to be collected by this Bureau:

	Actual FY 1995-96	Estimated FY 1996-97	Projected FY 1997-98	Projected FY 1998-99
Rents - Residences	2,088,873	2,100,509	2,100,509	2,100,509
Royalties - Gas Stations	4,279,688	4,629,307	4,700,000	5,000,000
Royalties - Restaurants	4,789,982	5,175,693	5,300,000	5,300,000
Sale of Commodities	450,929	404,370	409,370	414,370
Gasoline Handling Charge	1,121,246	1,000,000	1,000,000	1,000,000
Sale of Property	3,227,383	2,002,804	1,502,904	1,502,904
Miscellaneous Receipts/Recoveries	158,491	152,807	157,182	1,502,904
Refund of Prior Years Expenditures/All Bureaus	567,954	520,278	520,278	520,278
Total Bureau	**16,685,046**	**15,980,768**	**15,690,243**	**15,995,843**

DOT - Policy and Planning
5400

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	Special Transportation Fund						
	Permanent Full-Time	131	131	118	118	118	118
	OPERATING BUDGET						
	Appropriated Funds						
	Special Transportation Fund						
001	Personal Services	1,911,377	2,064,660	1,971,841	2,026,614	1,971,841	2,026,614
002	Other Expenses	19,402	57,338	59,730	61,192	59,730	61,192
006	Highway and Planning Research	2,479,857	2,639,900	2,274,356	2,274,356	2,274,356	2,274,356
	Agency Total - Special Transportation Fund	**4,410,636**	**4,761,898**	**4,305,927**	**4,362,162**	**4,305,927**	**4,362,162**
	Agency Total - Appropriated Funds	**4,410,636**	**4,761,898**	**4,305,927**	**4,362,162**	**4,305,927**	**4,362,162**
	Additional Funds Available						
	Federal Contributions[1]	8,822,540	7,989,419	8,959,341	8,959,341	8,959,341	8,959,341
	Agency Grand Total	**13,233,176**	**12,751,317**	**13,265,268**	**13,321,503**	**13,265,268**	**13,321,503**
	BUDGET BY PROGRAM						
	Administration						
	Permanent Full-Time Positions TF	7	7	6	6	6	6
	Special Transportation Fund						
	Personal Services	250,154	279,066	293,519	309,089	293,519	309,089
	Other Expenses	-796	27,688	28,844	29,549	28,844	29,549
	Total - Special Transportation Fund	**249,358**	**306,754**	**322,363**	**338,638**	**322,363**	**338,638**
	Planning						
	Permanent Full-Time Positions TF	124	124	112	112	112	112
	Special Transportation Fund						
	Personal Services	1,661,223	1,785,594	1,678,322	1,717,525	1,678,322	1,717,525
	Other Expenses	20,198	29,650	30,886	31,643	30,886	31,643
	Highway and Planning Research	2,479,857	2,639,900	2,274,356	2,274,356	2,274,356	2,274,356
	Total - Special Transportation Fund	**4,161,278**	**4,455,144**	**3,983,564**	**4,023,524**	**3,983,564**	**4,023,524**
	Federal Contributions						
	Highway Use Tax Evasion Tax	8,822,540	7,989,419	8,959,341	8,959,341	8,959,341	8,959,341
	Total - All Funds	**12,983,818**	**12,444,563**	**12,942,905**	**12,982,865**	**12,942,905**	**12,982,865**
	Agency Grand Total	**13,233,176**	**12,751,317**	**13,265,268**	**13,321,503**	**13,265,268**	**13,321,503**

BUDGET CHANGES

	Legislative 98		Legislative 99		Diff. from Gov. 97-98		Diff. from Gov. 98-99	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
FY97 Governor's Estimated Expenditure - TF	**131**	**4,210,551**	**131**	**4,210,551**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	70,170	0	126,681	0	0	0	0
Other Expenses	0	7,355	0	12,127	0	0	0	0
Total - Special Transportation Fund	**0**	**77,525**	**0**	**138,808**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Reduce Permanent Full-Time Position - (B) -(G) Please refer to first write-up in the Bureau of Engineering and Highways for further details on these reductions. -(L)Same as Governor								
Personal Services	-13	0	-13	0	0	0	0	0
Total - Special Transportation Fund	**-13**	**0**	**-13**	**0**	**0**	**0**	**0**	**0**
Reduce Planning and Research Projects - (B) The Intermodal Policy and Planning Office of the Department develops policies and procedures to implement transportation improvements which meet the requirements of the Intermodal Surface Transportation Efficiency Act (ISTEA) and the Clean Air Act. It tracks and provides advice on relevant state and federal legislation, prepares the department's transportation plans, develops the State Transportation Improvement Program, establishes priorities for major system improvements, conducts financial analyses to monitor expenditures, projects revenues, maintains a record of the capital program, and coordinates projects with regional planning agencies and local officials. The Intermodal Planning Division prepares and evaluates highway location plans and layouts, conducts special highway feasibility studies and plans for the intermodal movement of goods and passengers, updates the State Rail Plan, plans and coordinates the development of bicycle and pedestrian facilities, develops master plans for state-owned airports and conducts other aviation planning activities. The Environmental Planning Division develops and implements environmental policy for the department and prepares, processes and reviews environmental impact statements and assessments. -(G) The Governor recommends the reduction of planning and research projects by $365,544 in each fiscal year of the biennium. The FY 1996 actual expenditure was $2.5 million. The recommended appropriation level is $2.3 million in each of the fiscal years of the 1997-99 biennium. -(L)Same as Governor								
Highway and Planning Research	0	-365,544	0	-365,544	0	0	0	0
Total - Special Transportation Fund	**0**	**-365,544**	**0**	**-365,544**	**0**	**0**	**0**	**0**
Budget Totals - TF	**118**	**3,922,532**	**118**	**3,983,815**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Transportation Programs Processed	29	30	30	30	30	30	30	30
Transportation Projects Processed	401	403	403	403	403	403	403	403
Transportation Studies Processed	202	205	205	205	205	205	205	205
Transportation Inventory Data Items Processed	80557	70928	81033	81033	81033	81033	81033	81033
Intergovernmental/Public Meetings Attended	3243	3317	3307	3407	3307	3407	3307	3407

[1] The Federal Contributions represent grants from the Federal Highway Administration (FHWA) for highway planning and research programs. The breakdown follows:

	Actual 1996	Estimated 1997	Projected 1998	Projected 1999
FHWA/State Planning Research	8,134,465	7,186,086	6,686,086	6,686,086
Federal Transportation Administration (Section 5303 Planning for MPO's, formerly Section 8, and Section 5313(b), state planning, formerly Section 26)	624,047	571,884	571,884	571,884
National Highway Transportation & Safety Association, (NHTSA)*	64,028	100,164	95,662	95,662
Federal Highway Administration/Other	0	131,285	1,605,709	1,605,709
Total-Federal Contributions	**8,822,540**	**7,989,419**	**8,959,341**	**8,959,341**

*This funding is for the Fatal Accident Reporting System (FARS) which was transferred from the DMV to the DOT under PA 90-143.

DOT - Bureau of Aviation and Ports[1]
5500

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	Special Transportation Fund						
	Permanent Full-Time	56	56	54	26	54	26
	Additional Funds Available						
	Permanent Full-Time	122	122	122	122	122	122
	OPERATING BUDGET						
	Appropriated Funds						
	Special Transportation Fund						
001	Personal Services	2,374,975	2,376,623	2,514,520	2,581,093	2,514,520	2,581,093
002	Other Expenses	530,483	516,278	536,397	548,836	536,397	548,836
7XX	Grant Payments - To Towns	2,545,658	2,036,000	1,454,000	873,000	1,454,000	873,000
	Agency Total - Special Transportation Fund	**5,451,116**	**4,928,901**	**4,504,917**	**4,002,929**	**4,504,917**	**4,002,929**
	Agency Total - Appropriated Funds	**5,451,116**	**4,928,901**	**4,504,917**	**4,002,929**	**4,504,917**	**4,002,929**
	Additional Funds Available						
	Bradley Enterprise Fund[2]	30,286,873	30,758,980	30,663,491	31,123,443	30,663,491	31,123,443
	Agency Grand Total	**35,737,989**	**35,687,881**	**35,168,408**	**35,126,372**	**35,168,408**	**35,126,372**
	BUDGET BY PROGRAM						
	Administration						
	Permanent Full-Time Positions TF	8	8	6	6	6	6
	Special Transportation Fund						
	Personal Services	903,969	952,164	978,385	1,034,020	978,385	1,034,020
	Other Expenses	40,509	59,433	61,910	63,412	61,910	63,412
	Total - Special Transportation Fund	**944,478**	**1,011,597**	**1,040,295**	**1,097,432**	**1,040,295**	**1,097,432**
	Operation of General Aviation Airport						
	Permanent Full-Time Positions TF/OF	39/122	39/122	39/122	11/122	39/122	11/122
	Special Transportation Fund						
	Personal Services	1,167,602	1,112,232	1,215,072	1,225,101	1,215,072	1,225,101
	Other Expenses	406,119	375,806	390,029	398,957	390,029	398,957
	Grant Payments - To Towns						
	Aircraft Registration	2,545,658	2,036,000	1,454,000	873,000	1,454,000	873,000
	Total - Special Transportation Fund	**4,119,379**	**3,524,038**	**3,059,101**	**2,497,058**	**3,059,101**	**2,497,058**
	Additional Funds Available						
	Bradley Enterprise Fund[2]	30,286,873	30,758,980	30,663,491	31,123,443	30,663,491	31,123,443
	Total - All Funds	**34,406,252**	**34,283,018**	**33,722,592**	**33,620,501**	**33,722,592**	**33,620,501**
	Operation and Maintenance of Ferries						
	Permanent Full-Time Positions TF	9	9	9	9	9	9
	Special Transportation Fund						
	Personal Services	303,404	312,227	321,063	321,972	321,063	321,972
	Other Expenses	83,855	81,039	84,458	86,467	84,458	86,467
	Total - Special Transportation Fund	**387,259**	**393,266**	**405,521**	**408,439**	**405,521**	**408,439**
	GRANT PAYMENTS - TO TOWNS (Recap)						
701	Aircraft Registration	2,545,658	2,036,000	1,454,000	873,000	1,454,000	873,000
	Agency Grand Total	**35,737,989**	**35,687,881**	**35,168,408**	**35,126,372**	**35,168,408**	**35,126,372**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - TF	**56**	**4,900,896**	**56**	**4,900,896**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	108,364	0	195,635	0	0	0	0
Other Expenses	0	65,805	0	108,505	0	0	0	0
Total - Special Transportation Fund	**0**	**174,169**	**0**	**304,140**	**0**	**0**	**0**	**0**

Reduce Permanent Full-Time Positions - (B)
-(G) Please refer to first write-up in the Bureau of Engineering and Highways for further details on this reduction.

The State is looking into the possibility of privatizing general aviation airports if it proves cost effective. The reduction of 28 PFT starting in FY 1998 anticipates such privatization. However, in the event of privatization, the State must ensure that the private operator maintains the facilities and equipment in good condition, that the operator does not cut corners or reduces the level of service or hours of operation and that it does not overcharge tenants and the general aviation public. Currently, the Windham and Oxford airports are 85% privatized. The State is considering privatizing Brainard, Groton-New London and Oxford airports.
-(L)Same as Governor

Personal Services	-2	0	-30	0	0	0	0	0
Total - Special Transportation Fund	**-2**	**0**	**-30**	**0**	**0**	**0**	**0**	**0**

Reduce Aircraft Registration - (B)
PA 93-433 established a payment-in-lieu of taxes (PILOT) program. Payments, from the Transportation Fund, to municipalities are based on the municipalities' 10/1/92 grand list according in the following percentages of the property tax the municipality would have collected:

Date	Percentage
4/1/94	100%
4/1/95	100%
4/1/96	90%
4/1/97	70%
4/1/98	50%
4/1/99	30%
4/1/00	10%

Municipalities may retain all revenues received from the receipt of aircraft registration fees. The registration fee, which is based on gross weight, ranges from $90 for aircraft weighing less than 3,000 lbs. to $2,500 for aircraft weighing 12,501 lbs. and over.

-(G) In accordance with the terms of PA 93-433, the Governor recommends an appropriation level for this program of $1,454,000 for FY 1998 and $873,000 for FY 1999. The FY 1997 appropriation for this program was $2,036,000.
-(L)Same as Governor

Aircraft Registration	0	-582,000	0	-1,163,000	0	0	0	0
Total - Special Transportation Fund	**0**	**-582,000**	**0**	**-1,163,000**	**0**	**0**	**0**	**0**
Budget Totals - TF	**54**	**4,493,065**	**26**	**4,042,036**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
DOT Subsidy/Aircraft Operation								
Brainard Airport ($)	0.9	0.74	0.87	0.88	0.87	0.88	0.87	0.88
Groton Airport ($)	5.24	3.6	3.65	3.62	3.65	3.62	3.65	3.62
Airline enplanements - Groton	15,214	15,000	16,500	17,500	16,500	17,500	16,500	17,500

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Based aircraft								
Brainard	173	175	195	200	195	200	195	200
Danielson	61	61	67	67	67	67	67	67
Groton	40	45	50	55	50	55	50	55
Oxford	178	180	185	190	185	190	185	190
Windham	45	48	50	53	50	53	50	53
Passenger-Rocky Hill and Chester (000)	157	187	187	187	187	187	187	187
Vehicles-Rocky Hill and Chester (000)	71	84	84	84	84	84	84	84
DOT Subsidy/Passenger ($)	1.23	0.84	0.85	0.85	0.85	0.85	0.85	0.85
DOT Subsidy/Vehicle ($)	2.71	1.87	1.88	1.88	1.88	1.88	1.88	1.88

OTHER SIGNIFICANT 1997 LEGISLATION AFFECTING THE AGENCY'S BUDGET

PA 97-269, "An Act Decreasing the Airport Access Fee Paid by Off-Site Airport Parking Operators at Bradley International Airport" - This Act reduces the airport access fee charged on the gross revenues of off-site parking facilities in the vicinity of Bradley International Airport to (1) not more than 5% of the gross revenues for FY 1997-98 and (2) not more than 4% thereafter. The Department of Transportation had been assessing these businesses is a 6% fee for access to the airport.

The Act could result in a revenue loss to the Bradley Enterprise Fund of $125,000 in FY 1998, and $250,000 in FY 1999 and thereafter. Effective Date: July 1, 1997

PA 97-271, "An Act Concerning the Governance of Tweed-New Haven Airport" - This Act establishes a regional authority to govern Tweed-New Haven Airport and creates a 14-member board of directors to govern the authority. It specifies the authority's powers and duties which include issuing revenue bonds. It requires the board to adopt operating procedures and imposes reporting and auditing requirements. In the event the authority improves Tweed-New Haven Airport, the long-term economic impact could be significant. Effective Date: July 1, 1997.

PA 97-304, "An Act Revising Certain Transportation Laws" - This Act has several sections dealing with aviation and marine pilot matters. None of the sections is anticipated to have significant fiscal impacts. Below is a brief summary of such sections:

* Section 1 - As of July 31 and annually thereafter, the owner or operator of any airport, heliport, restricted landing area, sea plane base or other air navigation facility must submit to the Department of Transportation (DOT) information on aircraft based at the facility. If the owner or operator fails to comply, the DOT may suspend or revoke the license of the facility after an opportunity for a hearing.

* Section 2 - On and after October 1, 1997, the DOT Commissioner must issue a certificate of compliance to such owner or operator of a vessel used to transport a licensed pilot for embarking or disembarking another vessel in open and unprotected waters. Violators will be fined $60 to $250 for each violation.

* Section 20 - Marine pilots' licenses may be suspended or revoked if a pilot uses a vessel owned or operated by a person who has not obtained a certificate of compliance for embarking or disembarking another vessel in open and unprotected waters.

* Section 21 - Allows the DOT Commissioner to execute an agreement with the pilot commission of any state in order to establish a rotation system for the assignment of pilots in this state, including the Long Island Sound.

* Section 29 -

(a) Fines ranging from $200 to $1,000 or imprisonment up to 5 years will be imposed on anyone who interferes, tampers with or circumvents, attempts to circumvent or fails to comply with security measures or procedures in place at any airport.

(b) Any employee who intentionally or knowingly provides false information, etc., on an application or document required for airport security pursuant to Federal laws and regulations must be fined up to $1,000 or imprisoned up to one year or both.

Effective Dates: Section 1, 2 and 21 take effect upon passage. Sections 20 and 29 take effect October 1, 1997.

---

[1] Transportation Fund revenues collected by this Bureau are as follows:

	Actual FY 1996	Estimated FY 1997	Projected FY 1998	Projected FY 1999
Landing Fees	60,101	60,100	60,100	60,100
Rents	479,225	481,894	481,894	481,894
Other Receipts/ Commissions	617,101	618,234	618,234	618,234
Docks and Wharves	35,882	36,082	36,082	36,082
Ferry/Tolls	200,841	220,000	220,000	220,000
Marine Pilot Fee	58,499	58,500	58,500	58,500
Aircraft Parking	143,680	143,680	143,680	143,680
Total Bureau	**1,595,329**	**1,533,310**	**1,533,310**	**1,533,310**

[2] Bradley's Bond Fund number is 6300. Below are revenues and expenditures of **Bradley's Enterprise Fund**:

	Actual FY 1996	Estimated FY 1997	Projected FY 1998
a. Revenues			
Terminal Space	4,331,115	4,070,000	3,860,268
Baggage Claim & Make-Up	897,652	879,954	864,479
Passenger Terminal Apron	1,476,658	1,593,710	1,940,420
Landing Fees	6,159,240	6,549,799	8,018,786
Rental Cars	4,114,640	4,315,000	4,473,453
Restaurants	2,231,492	2,246,658	2,007,729
Hotel	400,000	400,000	386,047
Other Concessions	2,086,609	1,975,000	2,169,959
Fixed Based Operator	659,715	390,000	545,234
Land & Buildings	2,126,556	2,188,000	2,191,240
Auto Parking	6,897,191	7,200,000	7,327,673
Other Operating Revenues	438,598	400,000	418,548
Interest Income	2,797,846	2,746,358	2,770,814
Total Revenue	**34,617,312**	**34,954,474**	**36,974,650**
b. Expenditures			
Personal Services	8,693,903	8,583,433	9,454,811
Other Expenses	11,899,799	10,835,668	11,518,097
Debt Service	9,692,671	9,697,677	9,690,583
Total Expenditures	**30,286,376**	**29,116,778**	**30,663,491**
Operating Surplus	**4,330,939**	**5,837,701**	**6,311,159**

DOT - Bureau of Public Transportation[1]
5700

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	Special Transportation Fund						
	Permanent Full-Time	102	102	89	89	89	89
	OPERATING BUDGET						
	Appropriated Funds						
	Special Transportation Fund						
001	Personal Services	3,808,121	3,866,222	3,969,582	4,109,435	3,969,582	4,109,435
002	Other Expenses	164,682	124,026	129,200	132,345	129,200	132,345
02X	Other Current Expenses	114,230,080	120,081,543	112,075,095	107,706,391	123,201,808	121,910,563
	Agency Total - Special Transportation Fund	**118,202,883**	**124,071,791**	**116,173,877**	**111,948,171**	**127,300,590**	**126,152,343**
	Agency Total - Appropriated Funds	**118,202,883**	**124,071,791**	**116,173,877**	**111,948,171**	**127,300,590**	**126,152,343**
	Agency Grand Total	**118,202,883**	**124,071,791**	**116,173,877**	**111,948,171**	**127,300,590**	**126,152,343**
	BUDGET BY PROGRAM						
	Administration						
	Permanent Full-Time Positions TF	72	72	64	64	64	64
	Special Transportation Fund						
	Personal Services	2,813,791	2,884,895	2,975,476	3,063,488	2,975,476	3,063,488
	Other Expenses	142,211	122,302	127,402	130,501	127,402	130,501
011	Handicapped Access Program	4,908,109	6,201,107	6,246,063	6,870,669	6,746,063	7,420,669
	Total - Special Transportation Fund	**7,864,111**	**9,208,304**	**9,348,941**	**10,064,658**	**9,848,941**	**10,614,658**
	Regulation						
	Permanent Full-Time Positions TF	24	24	20	20	20	20
	Special Transportation Fund						
	Personal Services	994,330	981,327	994,106	1,045,947	994,106	1,045,947
	Other Expenses	22,471	1,724	1,798	1,844	1,798	1,844
	Total - Special Transportation Fund	**1,016,801**	**983,051**	**995,904**	**1,047,791**	**995,904**	**1,047,791**
	Rail Operations						
	Permanent Full-Time Positions TF	5	5	4	4	4	4
	Special Transportation Fund						
023	Rail Operations	51,283,545	55,316,177	47,844,242	45,405,193	56,190,642	55,085,264
043	Eastern Connecticut Rail Service Study	0	0	0	0	75,000	0
	Total - Special Transportation Fund	**51,283,545**	**55,316,177**	**47,844,242**	**45,405,193**	**56,265,642**	**55,085,264**
	Transit and Ridesharing						
	Permanent Full-Time Positions TF	1	1	1	1	1	1
	Special Transportation Fund						
014	Hospital Transit for Dialysis	113,000	113,000	113,000	113,000	113,000	113,000
024	Bus Operations	57,925,426	58,451,259	57,871,790	55,317,529	60,077,103	59,291,630
	Total - Special Transportation Fund	**58,038,426**	**58,564,259**	**57,984,790**	**55,430,529**	**60,190,103**	**59,404,630**
	Agency Grand Total	**118,202,883**	**124,071,791**	**116,173,877**	**111,948,171**	**127,300,590**	**126,152,343**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - TF	**102**	**123,913,789**	**102**	**123,913,789**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	172,316	0	311,091	0	0	0	0
Other Expenses	0	15,096	0	24,892	0	0	0	0
Handicapped Access Program	0	544,956	0	1,219,562	0	0	0	0
Rail Operations	0	8,378,404	0	9,407,689	0	0	0	0
Bus Operations	0	1,625,844	0	840,371	0	0	0	0
Total - Special Transportation Fund	**0**	**10,736,616**	**0**	**11,803,605**	**0**	**0**	**0**	**0**
Reduce Permanent Full-Time Positions - (B)								
-(G) Please refer to first write-up in Bureau of Engineering and Highways for further details of this reduction.								
-(L)Same as Governor								
Personal Services	-13	0	-13	0	0	0	0	0
Total - Special Transportation Fund	**-13**	**0**	**-13**	**0**	**0**	**0**	**0**	**0**
Reduce Handicapped Access Program - (B)								
The Handicapped Access Program provides the State of Connecticut the means for meeting the requirements of the American with Disabilities Act (ADA). The ADA requires the provision of paratransit services complementary to existing fixed-route bus service as a condition for receiving federal capital and operating funds. Full compliance was required by January, 1997.								
-(G) In all service areas operated by Connecticut Transit (Hartford, New Haven and Stamford), or by any private bus company under contract with the Department of Transportation (DOT), the Department will solicit bids and award the administration of the ADA program in these areas to a single-management firm. This approach would remove the administrative function from a variety of separate transit districts. By privatizing this service, the Governor proposes a reduction of $500,000 in FY 1998 and an additional $50,000 reduction in FY 1999 for a total reduction for the biennium of $550,000. The FY 1996 actual expenditure was $4.9 million.								
-(L) Funds are provided to continue the paratransit service in its current form. In support of local responsibility and accountability and to respond to customers' individual needs, privatization or centralization of paratransit services is not recommended.								
Handicapped Access Program	0	0	0	0	0	500,000	0	550,000
Total - Special Transportation Fund	**0**	**0**	**0**	**0**	**0**	**500,000**	**0**	**550,000**
Increase Connecticut Rail Fares on the New Haven Commuter Rail Line - (B)								
The New Haven Line provides commuter rail service between New Haven and the Grand Central Terminal in New York City. This service is operated by the Metro North Commuter Railroad under a cost-sharing contract with the Department of Transportation (DOT) and the Metropolitan Transportation Authority (MTA) of New York.								
-(G) The Governor proposes Connecticut passenger fare increases by an average of 4.5% on 1/1/98 and by another 4.5% increase on 1/1/99. This latter increase will place Connecticut fares 23.7% above parity with New York fares. Under the terms of the current arbitrated service agreement, New York will receive 43% of the revenue generated by a Connecticut-only fare increase.								
As a result of the fare increases, the Metro North subsidy can be reduced by $1.3 million in FY 1998 and by $4.4 million in FY 1999. (The latter reduction represents the full-year FY 1998 fare increase and 1/2 year of the FY 1999 increase.)								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
History of Metro North Fare Increases:								
Date % Increase								
1/1/91 11.00%								
1/1/92 4.36%								
1/1/93 4.17%								
1/1/94 5.00%								
1/1/96 4.5%								
1/1/97 4.5%								
1/1/98 4.5%								
1/1/99 4.5%								
-(L)Same as Governor								
Rail Operations	0	-1,283,000	0	-4,447,000	0	0	0	0
Total - Special Transportation Fund	**0**	**-1,283,000**	**0**	**-4,447,000**	**0**	**0**	**0**	**0**
Reduce Rail Service Subsidy Requirement - (B)								
-(G) The Governor's recommended reduction can be accommodated due to revised estimates of the New Haven Line revenues and expenses and by cutting back the expanded pay-as-you-go rail operating capital program.								
-(L)Same as Governor								
Rail Operations	0	-5,645,000	0	-4,555,740	0	0	0	0
Total - Special Transportation Fund	**0**	**-5,645,000**	**0**	**-4,555,740**	**0**	**0**	**0**	**0**
Discontinue the Waterbury Branch Line Service - (B)								
-(G) The Governor recommends the elimination of rail passenger service on the Waterbury Branch line and replace it with bus services. This line has been operational since 1849. The FY 1998 current services level estimate is $3.0 million. However, elimination of the service will require a one-time cost of $300,000 to protect the stations and other appurtenances along the line. Also, the Bus Operations appropriation will have to be increased by $300,000 to provide replacement bus service. Beginning in FY 1999 and annually thereafter, $240,000 will be required to maintain the track for rail freight service. This branch line carries 160,754 (1995 ridership) person trips annually at a per trip subsidy of $18.29. The elimination of rail passenger service will reduce Federal FTA Section 5-307(a) funding by approximately $1.3 million beginning in FY 2000. This will be partially offset by the elimination of the capital program for the line which is currently programmed at $650,000 for the 1997-99 biennium.								
-(L) Due to the impact that the discontinuance of this line would have on traffic congestion, the economy, the environment and the quality of life, funding is provided to restore the Waterbury branch of the New Haven Commuter Rail Line. Furthermore, this line, as well as the other commuter lines feed the main line and contribute to its success. In addition, when costs for shutting down the service is taken into consideration, the loss of federal funding and the capital investment the state has made in the system, discontinuing the system does not seem to be cost effective or prudent.								
Although a Federal apportionment of $1.3 million is directly related to the rail services, reimbursement is made under Section 9 funds for urbanized areas, which under the existing federal program are primarily intended for capital improvement projects. Therefore, a direct or corresponding impact that the federal apportionment would have on the state subsidy is not feasible. In order to have the necessary funds to operate this service, the agency's annual appropriation must be sufficient to cover the total cost of the subsidy.								
The subsidy to restore the service reflects the 4.5% fare increase to be imposed on the New Haven Commuter Line effective 1/1/98 and 1/1/99.								
Rail Operations	0	-6,100	0	-13,000	0	2,693,900	0	2,783,750
Bus Operations	0	0	0	0	0	-300,000	0	-300,000
Total - Special Transportation Fund	**0**	**-6,100**	**0**	**-13,000**	**0**	**2,393,900**	**0**	**2,483,750**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Discontinue the Danbury Branch Line Service - (B)								
-(G) The Governor recommends the elimination of rail passenger service on the Danbury branch line of the New Haven Line Service and replace it with bus service. This line has been operational since 1852. Estimated current services cost for FY 1998 is $3,725,000. However, with the elimination of the service, a one time cost of $350,000 will be required to protect the stations and other appurtenances along the line. Also, the Bus Operations appropriation will have to be increased by $700,000 (not $300,000) to cover the cost of replacement bus services. Beginning in FY 1999 and annually thereafter $180,000 will be required to maintain the 24.3 miles of track for rail freight service. This branch line currently carries 565,318 (1995 ridership) person trips per year at a subsidy of $6.59 per person trip. The elimination of the service will reduce the level of Federal Section 5-307(a) funds the state receives by approximately $1.5 million beginning in FY 2000. This will be offset by the elimination of the branch line capital program.								
-(L) Due to the level of ridership and the impact that its discontinuance will have on traffic congestion, the economy, the environment and the quality of life, funding is provided to restore the Danbury branch of the New Haven Commuter Rail Line. Moreover, when the cost to the Department for shutting down the service is taken into consideration, the loss of federal funding and the capital investments the state has made in the system, discontinuing the service does not seem to be cost effective or prudent.								
Although a federal apportionment of $1.5 million is directly related to the rail service, it is reimbursed under Section 9 funds for urbanized areas, which under the existing federal program, are primarily intended for capital improvement projects. Therefore, a direct or corresponding impact that the federal apportionment would have on the state subsidy is not feasible. In order to have the necessary funds to operate this service, the agency's annual appropriation must be sufficient to cover the total cost of the subsidy.								
The subsidy to restore the service reflects the 4.5% fare increases to be imposed on the New Haven Commuter Rail Line effective 1/1/98 and 1/1/99.								
Rail Operations	0	-35,000	0	-107,357	0	2,940,000	0	3,037,643
Bus Operations	0	0	0	0	0	-300,000	0	-300,000
Total - Special Transportation Fund	**0**	**-35,000**	**0**	**-107,357**	**0**	**2,640,000**	**0**	**2,737,643**
Discontinue the Shore Line East Rail Service - (B)								
The Shore Line East (SLE) rail service between Old Saybrook and New Haven has been operational since May 29, 1990. In February, 1996 the service was extended to New London during peak periods only. In an agreement with Amtrack, the service operates 8 inbound trains during the peak morning hours and 10 outbound trains during the peak evening hours 5 days a week. It is a distance-based fare system.								
-(G) The Governor has recommended the elimination of the Shore Line East (SLE) passenger rail service and replace it with bus service. The current services estimates are $5,976,339 for FY 1998 and $6,129,923 for FY 1999. In the first year that services are eliminated the state would have to pay one-time costs of $1,029,000 for: (a) pay back to the Federal Transportation Administration (FTA) for interest paid on SLE coaches; (b) a safe harbor lease penalty, and (c) cost of securing the stations and other appurtenances along the line. In addition, there is a commitment of an annual fee for continued salary for laid off Amtrak employees of $1.7 million per year. This salary protection provision is a 6-year requirement. The Bus Operations appropriation would have to be increased by $770,000 to provide the replacement of bus services. This rail service currently carries 300,607 (1995 ridership) person trips annually at a per trip subsidy of $18.70. The elimination of rail passenger service will reduce Federal FTA section								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount

5-307(a) funding by approximately $2.57 million beginning in FY 2000. This loss would be offset by the elimination of the capital program which is currently programmed at $8.9 million. Additional revenue for the Transportation Fund could be generated by selling the rolling stock currently valued at over $20.0 million.

History of Fare Increases:

Date	One-Way	Monthly
1993	10.0%	10.0%
1994	14.6%	10.0%
1995	0.0%	20.0%
1997	4.5%	10.0%

Currently, the one-way fares from New Haven to New London range from $2.25 to $7.50, and the monthly fares range from $42 to $158.
-(L) Due to the impact that the discontinuance of the Shoreline East (SLE) will have on traffic congestion, the economy, the environment and the quality of life, funding is provided to restore the service. Ridership levels continue to increase, marketing strategies have been successful and on-time performance is excellent. Moreover, combined costs to the Department for shutting down the service as well as the loss of federal funds could total $6.1 million in FY 1998 and $5.0 million in FY 1999. For these reasons, in addition to the capital investments the State has made in the system, discontinuance does not seem to be cost effective. It seems prudent to continue the investment into the future.

Although the federal apportionment of $2.57 million is directly related to the rail service, Federal reimbursement is made under Section 9 funds for urbanized areas, which under the existing program, are primarily intended for capital improvement projects. Therefore, a direct or corresponding correlation that the federal apportionment would have on the state subsidy is not feasible. In order to have the necessary funds to operate this services, the agency's annual appropriation must be sufficient to cover the total cost of the subsidy. The subsidy to restore the service reflects a $500,000 savings expected from contract re-negotiations with Amtrak and a 4.5% fare increase in each fiscal year beginning July 1.

Rail Operations	0	-534,839	0	-571,245	0	2,712,500	0	3,858,678
Bus Operations	0	0	0	0	0	-770,000	0	-770,000
Total - Special Transportation Fund	**0**	**-534,839**	**0**	**-571,245**	**0**	**1,942,500**	**0**	**3,088,678**

Increase Bus Fares - (B)
-(G) The Governor recommends increasing base fares from $1.00 to $1.15 on 7/1/97 and from $1.15 to $1.25 on 7/1/98. As a result, the subsidy can be reduced by $2,581,637 in each of the fiscal years of the 1997-99 biennium. This subsidy reduction represents increased revenues from the $.15 increase and by an additional $1,721,590 in FY 1999 which incorporates the additional $.10 fare increase.

History of Bus Fare Increases:

Date	From	To	% Increase
8/20/83	$ .60	$ .75	25.5%
9/1/92	$ .75	$ .85	13.3%
4/1/94	.85	.95	11.8%
10/30/95	.95	1.00	5.3%
7/1/97*	1.00	1.15	15.0%
7/1/98*	1.15	1.25	8.7%

*Governor's Recommended
-(L) Due to the hardship that a bus fare increase would cause on the indigent and on working people and to encourage a policy of mass transit use, funding is restored to maintain bus fares at $1.00.

Bus Operations	0	0	0	0	0	2,581,637	0	4,303,227
Total - Special Transportation Fund	**0**	**0**	**0**	**0**	**0**	**2,581,637**	**0**	**4,303,227**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Privatize Commuter Bus Operations - (B)								
-(G) The Governor recommends privatizing the existing commuter bus service provided by private companies to Hartford and the elimination of current state subsidy. Private operators will only run the level of services that can be supported by user fares. This could result in a reduction of service and may eliminate some commuter operations.								
-(L) Since no commuter rail service exists in the Hartford area and due to the impact that the elimination of the commuter bus service will have on the economy, traffic congestion, and the environment, funding is provided to restore commuter service. This service is provided by six (6) private companies and by the Meriden Transit District.								
Bus Operations	0	0	0	0	0	993,676	0	1,040,874
Total - Special Transportation Fund	**0**	**0**	**0**	**0**	**0**	**993,676**	**0**	**1,040,874**
Provide Funds for Eastern Ct. Rail Service Study - (B)								
-(L) Funding is provided to study and evaluate the feasibility of establishing commuter rail service in Eastern Connecticut.								
Eastern Connecticut Rail Service Study	0	75,000	0	0	0	75,000	0	0
Total - Special Transportation Fund	**0**	**75,000**	**0**	**0**	**0**	**75,000**	**0**	**0**
Budget Totals - TF	**89**	**127,221,466**	**89**	**126,023,052**	**0**	**11,126,713**	**0**	**14,204,172**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Percent of Vendor Invoices Processed								
in 24 hours (%)	69	70	75	75	75	75	75	75
in 48 hours (%)	7	10	10	10	10	10	10	10
Invoices Processed	1,971	1,900	1,900	1,900	1,900	1,900	1,900	1,900
Agreements Processed	315	310	310	310	310	310	310	310
Federal Operating Assistance Received ($M)	4	3.3	3	2.5	3	2.5	3	2.5
Annual Truck Permits Sold	68,190	80,000	80,000	80,000	80,000	80,000	80,000	80,000
Fees Collected ($)	1,927,900	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000
Annual Radioactive Permits Sold	293	500	500	500	500	500	500	500
Fees Collected ($)	7,275	12,500	12,500	12,500	12,500	12,500	12,500	12,500
Motor Bus/Taxicab/Livery, Motor Carrier (truck) and Rail Regulatory								
Applications Processed/or In Process	153	160	165	165	165	165	165	165
Hearings	92	120	100	100	100	100	100	100
Motor Bus/Taxicab/Livery Vehicles Inspected	1,050	1,050	1,050	1,050	1,050	1,050	1,050	1,050
Total Amount of Fines Assessed ($)	5,000	5,000	5,000	5,000	5,000	5,000	5,000	5,000
NEW HAVEN LINE								
Fare Operating Ratio (%)	73.1	72.1	71.6	70.6	72.1	72.1	72.1	72.1
Subsidy/Passenger Trip ($)	1.2	1.25	1.29	1.36	1.25	1.25	1.25	1.25
Annual Rail Passengers (000)	28,470	28,811	29,158	29,507	29,158	29,507	29,158	29,507
On Time Performance (%)	94.3	96.3	96.5	96.7	96.5	96.7	96.5	96.7
URBAN OPERATIONS								
Urban Transit Fare Operating Ratio (%)	35.1	33.7	33.2	32.5	33.7	33.7	33.7	33.7
Connecticut Transit (%)	36.7	35.1	34.8	34.2	35.1	35.1	35.1	35.1
All Others (%)	31.7	31.1	30.3	29.5	31.1	31.1	31.1	31.1
Subsidy/Passenger Trip ($) (average)	1.44	1.53	1.56	1.61	1.53	1.53	1.53	1.53
Connecticut Transit	1.39	1.45	1.47	1.51	1.45	1.45	1.45	1.45
All Others (average)	1.54	1.66	1.73	1.79	1.66	1.66	1.66	1.66
Passengers per Vehicle Mile	1.96	1.96	1.96	1.96	1.96	1.96	1.96	1.96
Passengers per Vehicle Hours	24.9	24.9	24.9	24.9	24.9	24.9	24.9	24.9
RURAL OPERATIONS								
Rural Transit Fare Operating Ratio (%)	23.5	20.6	20.1	19.6	20.6	20.6	20.6	20.6
State Passenger Trip ($) (average)	1.14	1.66	1.37	1.37	1.66	1.66	1.66	1.66
Passengers per Vehicle Mile	0.33	0.33	0.33	0.33	0.33	0.33	0.33	0.33
Passengers per Vehicle Hour	5.02	5.02	5.02	5.02	5.02	5.02	5.02	5.02

[1] Below is a breakdown of Transportation Fund revenues collected by this bureau.

	FY 1996 Actual	FY 1997 Estimated	FY 1998 Projected	FY 1999 Projected
Rents	952,528	957,269	959,894	961,244
Special Vehicle Permits	1,934,197	1,820,000	1,820,000	1,870,000
Motor Carrier/Bus/.Livery/ Taxi Registration	24,355	25,000	25,000	25,000
Motor Carrier ID Stamps*	1,877,835	100,000	100,000	100,000
New Haven Parking Authority	300,000	301,671	301,671	301,671
Miscellaneous Receipts	3,844	(19,620)	(19,720)	(19,720)
Total Bureau	**4,967,307**	**3,184,320**	**3,186,845**	**3,238,195**
Total Receipts-All Bureaus	**24,385,393**	**21,783,271**	**21,498,271**	**21,862,271**

*PA 95-125 transferred the federally-mandated single-State Registration System (SSRS) from the Department of Transportation (DOT) to the Department of Motor Vehicles (DMV). A transition period existed from its effective date of 10/1/95 to 1/1/96 when the DMV formally took over the program.

Department of Social Services
6100

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	2,122	2,122	2,134	2,134	2,220	2,220
	Others Equated to Full-Time	15	14	15	15	15	15
	Additional Funds Available						
	Permanent Full-Time	395	381	395	395	395	395
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services[1]	85,357,136	88,018,233	93,819,620	100,258,631	93,819,620	100,258,631
002	Other Expenses[1]	32,100,847	29,585,000	40,402,793	37,918,759	38,902,793	36,418,759
005	Equipment	0	0	500	500	500	500
02X	Other Current Expenses	51,575,838	67,720,761	33,269,107	33,167,592	33,869,107	33,167,592
6XX	Grant Payments - Other Than Towns	2,950,396,083	3,009,534,467	2,992,993,389	2,916,222,221	3,033,952,482	2,902,093,530
7XX	Grant Payments - To Towns	114,728,742	85,166,783	14,939,263	6,261,722	16,869,263	7,261,722
	Agency Total - General Fund	**3,234,158,646**	**3,280,025,244**	**3,175,424,672**	**3,093,829,425**	**3,217,413,765**	**3,079,200,734**
	Agency Total - Appropriated Funds	**3,234,158,646**	**3,280,025,244**	**3,175,424,672**	**3,093,829,425**	**3,217,413,765**	**3,079,200,734**
	Additional Funds Available						
	Carry Forward - FY 97 Surplus Appropriations	0	0	0	0	5,200,000	0
	Special Restricted Funds, Non-Appropriated	0	300,528	0	0	0	0
	Special Funds, Non-Appropriated	0	850,936	0	0	0	0
	Private Contributions	1,695,255	615,765	1,574,220	1,604,981	1,574,220	1,604,981
	Federal Contributions	133,015,333	150,334,622	142,777,299	142,279,673	142,777,299	142,279,673
	Agency Grand Total	**3,368,869,234**	**3,432,127,095**	**3,319,776,191**	**3,237,714,079**	**3,366,965,284**	**3,223,085,388**
	BUDGET BY DIVISION						
	Services Which Protect and Promote Physical, Social and Economic Well-Being						
	Agency Total - General Fund	**28,394,704**	**28,676,022**	**23,486,802**	**23,486,802**	**24,888,474**	**25,207,874**
	Special Restricted Funds, Non-Appropriated	0	241,022	0	0	0	0
	Private Contributions	321,633	0	343,222	343,222	343,222	343,222
	Federal Contributions	51,305,560	52,804,539	57,269,426	58,488,907	57,269,426	58,488,907
	Total All Funds	**80,021,897**	**81,721,583**	**81,099,450**	**82,318,931**	**82,501,122**	**84,040,003**
	Economic Support for Essential Needs						
	Agency Total - General Fund	**3,040,689,899**	**3,069,373,416**	**2,987,129,235**	**2,901,680,526**	**3,028,516,656**	**2,886,730,763**
	Carry Forward - FY 97 Surplus Appropriations	0	0	0	0	5,200,000	0
	Special Funds, Non-Appropriated	0	25,000	0	0	0	0
	Private Contributions	208,220	615,765	615,765	615,765	615,765	615,765
	Federal Contributions	79,008,637	94,596,359	82,342,549	80,625,442	82,342,549	80,625,442
	Total All Funds	**3,119,906,756**	**3,164,610,540**	**3,070,087,549**	**2,982,921,733**	**3,116,674,970**	**2,967,971,970**
	Employment Services						
	Agency Total - General Fund	**9,531,653**	**21,485,840**	**344,916**	**344,916**	**444,916**	**444,916**
	Private Contributions	57,787	0	0	0	0	0
	Federal Contributions	2,236,916	2,051,620	2,340,863	2,340,863	2,340,863	2,340,863
	Total All Funds	**11,826,356**	**23,537,460**	**2,685,779**	**2,685,779**	**2,785,779**	**2,785,779**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Management Services						
	Agency Total - General Fund	**155,542,390**	**160,489,966**	**167,081,962**	**170,935,424**	**166,181,962**	**169,435,424**
	Special Restricted Funds, Non-Appropriated	0	59,506	0	0	0	0
	Special Funds, Non-Appropriated	0	825,936	0	0	0	0
	Private Contributions	1,107,615	0	615,233	645,994	615,233	645,994
	Federal Contributions	464,220	882,104	824,461	824,461	824,461	824,461
	Total All Funds	**157,114,225**	**162,257,512**	**168,521,656**	**172,405,879**	**167,621,656**	**170,905,879**
	Personal Services Savings						
	Agency Total - General Fund	**0**	**0**	**-618,243**	**-618,243**	**-618,243**	**-618,243**
	Less: Turnover - Personal Services - GF	0	0	-2,000,000	-2,000,000	-2,000,000	-2,000,000
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
601	Vocational Rehabilitation	6,793,364	6,794,000	6,794,000	6,794,000	6,794,000	6,794,000
602	Medicaid	1,903,186,011	1,934,998,295	1,939,360,300	1,911,286,000	1,936,360,300	1,872,286,000
603	Aid to Families with Dependent Children	309,277,572	297,982,958	0	0	0	0
604	Day Care	37,080,521	70,124,168	0	0	0	0
606	AFDC-Unemployed Parent	20,598,732	25,281,917	0	0	0	0
607	Old Age Assistance	31,775,985	31,058,676	32,892,524	34,131,945	32,892,524	34,131,945
608	Aid to the Blind	600,057	560,636	599,762	653,275	599,762	653,275
609	Aid to the Disabled	71,933,403	66,233,762	69,400,908	70,713,168	69,400,908	70,713,168
610	Temporary Assistance to Families	0	0	278,655,752	174,258,319	28,655,752	24,258,319
611	Temporary Assistance to Families - TANF	0	0	0	0	250,000,000	150,000,000
612	Adjustment of Recoveries	64,688	150,000	150,000	150,000	150,000	150,000
613	Emergency Assistance	289,250	500	500	500	500	500
614	Food Stamp Training Expenses	4,624	528,000	130,800	130,800	130,800	130,800
615	Connecticut Pharmaceutical Assistance Contract to the Elderly	29,398,061	27,867,886	22,123,102	22,643,035	22,523,102	24,243,035
616	Maternal and Child Health Services	1,615,300	1,615,300	1,615,300	1,615,300	2,865,300	2,865,300
617	DMHAS-Disproportionate Share	191,000,000	191,000,000	191,000,000	191,000,000	191,000,000	191,000,000
618	Connecticut Home Care Program	13,755,452	13,748,218	13,601,835	13,601,835	14,601,835	14,601,835
619	Human Resource Development-Hispanic Programs	318,914	318,914	95,674	95,674	106,746	106,746
620	Services to the Elderly	3,364,618	3,414,838	3,335,074	3,335,074	3,758,674	3,698,074
621	Hospital Assistance Program	24,999,984	2,574,045	0	0	0	0
622	Safety Net Services	0	0	0	0	2,500,000	5,000,000
623	Transportation for Employment Independence Program	0	0	0	0	2,200,000	2,205,000
625	Opportunity Industrial Centers	519,012	535,290	214,116	214,116	314,116	314,116
626	Transitionary Rental Assistance	0	0	0	0	5,000,000	5,000,000
627	Refunds of Collections	1,409,117	1,351,477	1,000,000	1,000,000	1,000,000	1,000,000
630	Energy Assistance	4,236,627	6,163,573	6,800,100	6,800,100	6,800,100	6,800,100
638	Services for Persons with Disabilities	6,877,665	7,016,366	7,266,366	7,266,366	7,586,366	7,586,366
639	Child Care Certificate Program	10,243,589	10,243,589	0	0	0	0
640	Child Care Services	0	0	72,128,520	72,128,520	55,949,482	6,002,584
641	Child Care Services-TANF/CCDBG	0	0	31,333,810	85,466,912	51,592,848	151,592,848
642	Residences for Persons with AIDS	1,273,103	1,274,000	445,900	445,900	445,900	1,445,800
644	Supplemental Nutrition Assistance	389,120	409,520	81,904	81,904	91,904	91,904
649	Housing/Homeless Services	25,134,858	24,999,890	7,131,793	7,131,793	7,131,793	7,131,793
650	Community Services	1,249,319	1,384,319	0	0	0	0
651	Employment Opportunities	881,376	881,376	881,376	881,376	881,376	881,376
657	Families in Training	28,500	28,500	0	0	0	0
659	Human Resource Development	4,812,300	4,812,300	3,897,963	3,897,963	3,897,963	3,897,963
661	Child Day Care	5,593,155	5,593,155	6,080,165	6,242,501	8,761,207	12,423,543
663	Independent Living Centers	593,845	593,845	593,845	593,845	593,845	593,845
665	AIDS Drug Assistance	592,000	592,000	592,000	592,000	592,000	592,000
666	Shelter Services for Victims of Household Abuse	1,124,365	1,124,365	0	0	27,000	27,000
667	Disproportionate Share-Medical Emergency Assistance	239,381,596	252,044,375	254,000,000	254,000,000	254,000,000	220,000,000
669	State Administered General Assistance	0	16,234,414	40,790,000	39,070,000	58,682,500	66,302,500
670	School Readiness	0	0	0	0	6,063,879	7,571,795
	GRANT PAYMENTS - TO TOWNS (Recap)						
703	Emergency Assistance to Families	5,705,376	2,250,000	0	0	0	0
704	General Assistance	102,224,284	76,017,701	8,830,000	0	10,140,000	0
706	Child Day Care	5,252,824	5,252,824	5,710,200	5,862,659	5,710,200	5,862,659

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
708	Human Resource Development	509,330	509,330	239,385	239,385	239,385	239,385
710	Human Resource Development-Hispanic Programs	38,928	38,928	11,678	11,678	11,678	11,678
712	Teenage Pregnancy Prevention Block Grant	850,000	950,000	0	0	620,000	1,000,000
733	Vocational Rehabilitation Transition Plan	39,000	39,000	39,000	39,000	39,000	39,000
734	Traumatic Brain Injury	109,000	109,000	109,000	109,000	109,000	109,000
	OTHER CURRENT EXPENSES (Recap)						
011	Nursing Home Reform Act	1,350,969	1,218,238	1,318,437	1,325,090	1,318,437	1,325,090
013	Financial Management Reporting	12,040	533,982	25,000	25,000	625,000	25,000
014	Families in Crisis	432,528	437,500	0	0	0	0
016	Nursing Home Data Base	139,678	110,322	0	0	0	0
017	Children's Health Initiative	3,072,001	3,096,681	2,440,359	2,440,359	2,440,359	2,440,359
021	CON Buyback	0	66,218	0	0	0	0
022	Supported Employment/Job Connection	9,008,017	20,422,550	0	0	0	0
023	Women at Risk	62,500	0	0	0	0	0
027	Independent Living Center-Administration	23,441	23,441	23,441	23,441	23,441	23,441
028	Anti-Hunger Programs	90,938	121,338	18,201	18,201	18,201	18,201
029	Blood Tests in Paternity Actions	119,885	120,000	0	0	0	0
030	Statewide GA Data Base/Tracking System	1,716,937	4,283,063	0	0	0	0
036	Day Care Projects	461,098	496,300	496,300	496,300	496,300	496,300
037	Commission on Aging	146,033	200,000	200,000	200,000	200,000	200,000
038	Privatize Data Processing Services	34,939,773	36,591,128	28,747,369	28,639,201	28,747,369	28,639,201
	EQUIPMENT						
005	Equipment	0	0	500	500	500	500
	Agency Grand Total	**3,368,869,234**	**3,432,127,095**	**3,319,776,191**	**3,237,714,079**	**3,366,965,284**	**3,223,085,388**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Victims of Household Abuse								
Shelter Services								
Shelter beds/Occupancy ratio (%)	218/51	218/55	218/60	218/64	218/60	218/64	218/60	218/64
Victims/Children sheltered	872/1281	916/1345	962/1412	1010/1483	962/1412	1010/1483	962/1412	1010/1483
Ancillary Services								
Crisis calls	17,180	18,039	18,941	19,888	18,941	19,888	18,941	19,888
Calls for information and referral	65,967	69,265	72,328	76,364	72,328	76,364	72,328	76,364
Prevent Educ Pgms/Persons trained	2441/66059	2563/69362	2691/72830	2826/76472	2691/72830	2826/76472	2691/72830	2826/76472
Family Planning								
Clients served per year	85,312	75,437	75,437	75,437	75,437	75,437	75,437	75,437
Teenage patients per year (%)	28	28	28	28	28	28	28	28
Social Services for the Elderly								
Persons receiving social services	24,720	24,500	24,500	24,500	24,500	24,500	24,500	24,500
Receiving Social Services								
Minority elderly	4,734	4,700	4,700	4,700	4,700	4,700	4,700	4,700
Low-income non-minority elderly	5,354	5,300	5,300	5,300	5,300	5,300	5,300	5,300
Low-income minority elderly	2,810	2,800	2,800	2,800	2,800	2,800	2,800	2,800
Municipal Agent information requests	118,346	119,000	120,000	121,000	120,000	121,000	120,000	121,000
R.S.V.P. Volunteers supported	486	450	450	450	450	450	450	450
Adult Day Care								
Alzheimer's victims served	1,040	1,000	1,000	1,000	1,000	1,000	1,000	1,000
Ombudsman								
Nursing Home Program								
Elderly in Nursing Homes/Nursing Homes	32000/472	32000/472	32000/472	32000/472	32000/472	32000/472	32000/472	32000/472
Concerns handled	5,000	5,000	7,500	10,000	5,000	5,000	5,000	5,000
Percent of cases verified and resolved in-house	95	95	95	95	95	95	95	95
Avg monthly caseload per Ombudsman	167	167	250	333	170	170	170	170
Patient Advocate recruited and trained	60	60	100	100	100	100	100	100
Elderly Protective Services - Community								

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Elderly not in nursing homes	573,000	574,000	575,000	575,000	575,000	575,000	575,000	575,000
Complaints received	3,672	4,000	4,200	4,400	4,200	4,400	4,200	4,400
Elderly Protective Services								
Referrals	1,836	2,000	2,100	2,200	2,100	2,200	2,100	2,200
Cases with home care services per month	150	150	150	150	150	150	150	150
Avg length of time in protective services (months)	8	8	8	8	8	8	8	8
Cases discontinued	1,548	1,620	1,632	1,644	1,632	1,644	1,632	1,644
Conservator of person referrals (annual)/total caseload	156/609	160/620	165/630	170/640	165/630	170/640	165/630	170/640
Conservator of estates caseload	175	200	200	200	200	200	200	200
Services to Persons with Disabilities								
Personal care assistance								
Requests/Grants made (annual)	40/32	35/31	30/25	30/25	30/25	30/25	30/25	30/25
Average grant amount (monthly) ($)	786	786	786	786	786	786	786	786
Family Support Grant								
Requests/Grants made (annual)	200/26	200/27	200/27	200/27	200/27	200/27	200/27	200/27
Avg grant amount/individual (monthly) ($)	250	250	250	250	250	250	250	250
Traumatic Brain injury								
Families receiving counseling and/or referral assistance	395	395	395	395	395	395	395	395
Beds-Transitional/Long Term	Dec-39	Dec-39	Dec-39	Dec-39	Dec-39	Dec-39	Dec-39	Dec-39
Clients served	417	420	423	423	423	423	423	423
In-Home Care Services								
Cases per month (average)	1,600	1,300	1,000	1,000	1,000	1,000	1,000	1,000
Families	100	75	50	50	50	50	50	50
Disabled	1,400	1,200	950	950	950	950	950	950
Elderly	100	25						
Hispanic Services								
Clients served	1,348	1,410	1,100	1,100	1,100	1,100	1,100	1,100
Legal Services								
Individuals receiving advisory and referral assistance	8,850	7,500	7,500	7,500	7,500	7,500	7,500	7,500
Rehabilitation Services								
Disability Determination Program								
Applications for benefits	33,565	36,103	37,662	38,325	37,662	38,325	37,662	38,325
Avg days to complete a claim	68	67.5	66	65	66	65	66	65
Avg of correct determination (%)	96.4	97	97.6	97.8	97.6	97.8	97.6	97.8
Voc. Rehabilitation/Indept Living Program								
Rehabilitations	1,325	2,025	2,025	2,565	2,025	2,565	2,025	2,565
New referrals	3,850	4,200	4,200	4,200	4,200	4,200	4,200	4,200
Clients found eligible	3,305	3,612	3,612	3,696	3,612	3,696	3,612	3,696
Clients served	10,268	10,450	10,450	10,550	10,450	10,550	10,450	10,550
Independent Living clients served	802	830	830	845	830	845	830	845
Employment Opportunities clients served	215	194	194	194	194	194	194	194
Proportion of the Medicaid eligible population under the age of 21 receiving comprehensive periodic Health-Track screening services, including immunizations (%)	42	48	80	80	80	80	80	80
Proportion of newborn intensive care unit patient days to total newborn patient days (%)	28.9	32.5	28.9	28.9	28.9	28.9	28.9	28.9
Ratio of the number of days in the Medicaid waivers for home and community based services to the number of Medicaid days in Long Term Care facilities	5-Jan	1/4.5	1/4.5	1/4.5	1/4.5	1/4.5	1/4.5	1/4.5
Percentage of Family Practitioners and Obstetrician/Gynecologists participating in the Medicaid program (%)								
Obstetricians/Gynecologists	86.9	86.9	86.9	86.9	86.9	86.9	86.9	86.9
Pediatrics	82.5	82.5	82.5	82.5	82.5	82.5	82.5	82.5
Family Practice	60.9	60.9	60.9	60.9	60.9	60.9	60.9	60.9
Medicare Assignment (ConnMAP)								
Cardholders as of 6/30	27,121	27,580	27,580	28,040	27,580	28,040	27,580	28,040
Claims settled on Assignment (%)	96	96	96	96	96	96	96	96
Connecticut Pharmaceutical Assistance Contract to the Elderly (ConnPACE)								
Enrollees as of 6/30								
Elderly	39,311	35,246	30,480	25,749	33,524	32,504	33,524	32,504
Disabled	2,666	2,530	2,067	1,746	2,406	2,333	2,406	2,333
Participants (monthly/average)								
Elderly (%)	53	53	53	53	53	53	53	53
Disabled (%)	61	61	61	61	61	61	61	61
Avg prescriptions per enrollee (monthly)	1.34	1.27	1.25	1.25	1.3	1.3	1.3	1.3
Prescriptions paid (000)	714	620	524	448	574	552	574	552
Avg cost to the state per prescription ($)	40.77	43.13	42.13	43.08	38.28	40.64	38.28	40.64

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Medical Assistance								
Total applications processed (yr)	172,429	166,383	166,000	166,000	166,000	168,000	166,000	168,000
Cases awarded (%)	68.8	65	65	65	65	65	65	65
Cases denied/withdrawn (%)	31.2	35	35	35	35	35	35	35
Eligible cases (monthly)	193,107	195,900	195,900	195,900	195,900	197,000	195,900	197,000
Payment (includes DCF cases) ($)	90,992	87,000	84,000	84,000	84,000	84,000	84,000	84,000
Non-money payment ($)	102,115	108,900	111,900	111,900	111,900	111,900	111,900	111,900
Eligible recipients (monthly)	316,096	332,600	332,600	332,600	332,600	340,000	332,600	340,000
Payment (includes DCF cases) ($)	194,760	186,200	180,000	180,000	180,000	180,000	180,000	180,000
Non-money payment recipients ($)	121,336	146,400	152,600	152,600	152,600	152,600	152,600	152,600
Benefits-eligible recipients(monthly)($) (excludes services by state agencies)								
Payment (includes DCF cases) ($)	203	217	244	256	244	256	244	256
Non-money payment ($)	981	830	865	905	865	905	865	905
Total claims processed (000) (excludes adjusted claims)	14,556	13,800	13,800	13,800	13,800	13,800	13,800	13,800
Providers enrolled/paid (monthly)(000)	10\7	10\6	10\6	10\6	10\6	10\6	10\6	10\6
Recoveries identified due to audits ($)	9,842,771	10,000,000	10,000,000	10,000,000	20,000,000	20,000,000	20,000,000	20,000,000
Cost avoidance due to audits ($)	4,921,386	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000
Third party liability collections ($)	23,346,197	24,300,000	23,431,000	21,069,000	23,431,000	21,069,000	23,431,000	21,069,000
Third party liability collections (%)	1.2	1.3	1.1	1	1.1	1	1.1	1
Appeals decided in recipient's favor (%)	30	30	30	30	30	30	30	30
Skilled Nursing Facilities								
Average cost per day ($)	114.31	115.21	123.77	136.25	118.5 0	123.19	118.5 0	123.19
Recipients using service	18,667	19,019	19,114	19,210	19,114	19,210	19,114	19,210
Expenditures ($)	768,931,001	768,133,353	840,352,755	930,009,827	826,702,564	863,742,139	826,702,564	863,742,139
Intermediate Care Facilities								
Average cost per day ($)	77.21	74.67	80.71	89.75	78.89	90.43	78.89	90.43
Recipients using service	2,501	2,214	2,214	2,214	2,225	2,225	2,225	2,225
Expenditures ($)	71,813,561	71,104,923	78,471,236	86,787,808	64,064,748	67,240,971	64,064,748	67,240,971
Chronic Disease Hospitals								
Average cost per day ($)	691.72	732.59	744.8	763.41	744.8	763.41	744.8	763.41
Recipients using service	168	180	198	212	200	215	200	215
Expenditures ($)	42,615,192	44,942,322	49,584,446	53,855,439	45,707,715	51,274,000	45,707,715	51,274,000
Hospital Inpatient Services								
Average cost per day ($)	844.22	881.63						
Recipients using service	54,603	54,603						
Expenditures ($)	269,172,104	190,679,058	177,411,493	168,826,808	170,359,394	142,536,363	170,359,394	142,536,363
Hospital Outpatient Services								
Average cost per service ($)	23.37	32.89						
Recipients using service	200,000	200,000						
Expenditures ($)	107,042,100	73,291,061	63,968,311	63,029,878	67,821,817	67,821,817	67,821,817	67,821,817
Physicians								
Average cost per service ($)	33.8	32.89						
Recipients using service	235,000	235,000						
Expenditures ($)	77,690,418	50,756,986	37,650,479	31,815,488	46,778,555	46,778,555	46,778,555	46,778,555
Pharmacy								
Average cost per prescription ($)	31.69	34.57						
Recipients using service	250,814	250,814						
Expenditures ($)	121,829,465	109,738,097	111,821,421	121,221,162	106,371,155	106,371,155	106,371,155	106,371,155
Home Health Services								
Avg cost per service(1/4 hr basis)($)	8.01							
Recipients using service	23,776	23,776						
Expenditures ($)	73,175,930	69,367,280	70,866,425	74,659,985	80,111,298	86,541,203	80,111,298	86,541,203
Clinics - expenditures ($)	36,365,555	18,763,154	14,247,158	13,258,561	11,869,101	11,869,101	11,869,101	11,869,101
Transportation - expenditures ($)	29,751,587	21,893,680	20,707,898	22,124,122	26,569,629	26,569,629	26,569,629	26,569,629
Dental - expenditures ($)	19,096,759	6,475,400	2,858,131	1,607,683	6,933,705	7,285,716	6,933,705	7,285,716
Vision - expenditures ($)	4,319,633	2,439,184	2,059,219	2,067,55 8	3,003,825	3,093,940	3,003,825	3,093,940
Durable Medical Equipment - expenditures ($)	25,445,821	24,766,644	26,826,910	30,191,094	19,902,985	21,330,577	19,902,985	21,330,577
Managed Care Capitation - expenditures ($)	131,119,554	334,463,178	450,430,506	444,245,909	331,960,887	329,120,629	331,960,887	329,120,629
Other Practitioners - expenditures ($)	5,721,886	4,714,545	4,715,248	5,048,088	3,223,687	3,332,398	3,223,687	3,332,398
Assessments - expenditures ($)	482,184	765,432	494,856	467,812	519,463	529,852	519,463	529,852
Community Care - expenditures ($)	39,189,256	32,934,726	43,006,018	43,076,358	42,283,560	43,518,888	42,283,560	43,518,888
Miscellaneous Services - expenditures ($)	9,757,554	6,898,128	5,966,199	5,825,220	5,898,348	6,080,099	5,898,348	6,080,099
Medicare Premiums - expenditures ($)	35,606,374	40,777,395	49,765,700	60,464,734	42,063,004	44,879,256	42,063,004	44,879,256
Adjustments - expenditures ($)	-39,595,183	-4,662,048			-9,950,000	-9,950,000	-9,950,000	-9,950,000
Hospital Retroactive Settlements - expenditures ($)	28,157,624	28,000,000	12,400,000		10,500,000		10,500,000	
ICF - Mentally Retarded - expenditures ($)	44,557,963	47,017,155	49,190,779	51,731,430	47,724,925	50,319,540	47,724,925	50,319,540
Medicaid Restructuring - Pending Waiver					-	-55,000,000	-	-55,000,000
Refugee Assistance								
Total refugee population in State	19,633	21,000	21,700	22,380	21,700	22,380	21,700	22,380

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Refugee population Public/General Assistance	2,214	2,500	1,992	1,792	1,992	1,792	1,992	1,792
Agencies receiving funds	4	4	4	4	4	4	4	4
Service sites maintained	4	4	4	4	4	4	4	4
Refugee adults receiving employability services	1,225	995	895	800	895	895	895	895
Refugee adults receiving case management services	732	695	626	558	626	558	626	558
AFDC								
Applications received	29,234	27,577	24,900	15,970	23,185	15,573	23,185	15,573
Applications granted/Percent (%)	22218/76	20959/76	18924/76	12137/76	17575/76	11851/76	17575/76	11851/76
Applications denied/Percent (%)	7016/24	6618/24	5976/24	3833/24	5610/24	3742/24	5610/24	3742/24
Eligible cases (monthly)	57,983	54,697	49,387	31,675	50,214	33,550	50,214	33,550
Eligible recipients (monthly)	161,693	152,528	137,722	88,331	140,599	93,940	140,599	93,940
Adults	53,639	50,599	45,687	29,302	46,448	31,034	46,448	31,034
Children	108,053	101,929	92,035	59,028	94,151	62,906	94,151	62,906
Paid cases (monthly)	58,874	56,275	50,146	32,162	51,239	34,235	51,239	34,235
Paid recipients (monthly)	163,972	156,733	139,663	89,575	142,957	95,516	142,957	95,516
Adults	54,330	51,930	46,276	29,680	47,652	31,839	47,652	31,839
Children	109,642	104,803	93,388	59,896	95,305	63,677	95,305	63,677
Appeals decided in recipient's favor (%)	12	12	12	12	12	12	12	12
Aid to the Aged, Blind and Disabled								
Applications received	13,418	13,209	13,313	13,480	13,313	13,480	13,313	13,480
Applications granted/Percent (%)	7199/53	7087/56	7143/56	7232/56	7143/56	7232/56	7143/56	7232/56
Aged	1540/54	1516/54	1528/54	1547/54	1528/54	1547/54	1528/54	1547/54
Blind and Disabled	5659/56	5571/56	5616/56	5685/56	5616/56	5685/56	5616/56	5685/56
Applications denied/Percent (%)	5756/44	5667/44	5711/44	5782/44	5711/44	5782/44	5711/44	5782/44
Eligible cases (monthly)	31,063	30,450	29,210	29,576	30,857	31,377	30,857	31,377
Paid cases (monthly)	31,697	31,071	29,748	30,120	31,487	32,317	31,487	32,317
Appeal decided in recipient's favor (%)	16	16	16	16	16	16	16	16
Refugee								
Eligible cases (monthly)	98	89	80	72	80	72	80	72
Paid cases (monthly)	124	112	101	91	101	91	101	91
Benefit per paid case (monthly) ($)	389	390	390	390	390	390	390	390
General Assistance								
Individuals receiving General Assistance								
Financial Aid								
Employable	17,143	10,970	6,943	6,021	0	0	0	0
Unemployable	3,986	4,073	4,655	4,796	4,590	4,590	4,590	4,590
Residential Substance Abuse Treatment								
Employable	319	425	480	480	*	*	*	*
Unemployable	47	47	47	47	*	*	*	*
Emergency Shelter								
Employable	12	8	8	8	8	8	8	8
Unemployable	5	4	4	4	4	4	4	4
Average eligible cases (monthly)								
Family cases	837	568	1,525	1,525	520	520	520	520
Single cases								
Employable	17,898	11,708	8,265	7,343	0	0	0	0
Unemployable	4,434	4,374	5,384	5,526	4,070	4,070	4,070	4,070
Avg benefit per household (monthly) ($)								
Family cases cash benefit ($)	245	218	243	249	218	218	218	218
Single cases cash benefit ($)								
Employable	228	207	223	229	0	0	0	0
Unemployable	329	318	328	337	319	319	319	319
Individuals suspended/Percentage (%)	1787/8	852/6	819/6	772/6	275/6	275/6	275/6	275/6
Congregate/Home Delivered								
Persons receiving nutrition services	16210/9232	16200/9200	16100/9200	16100/9200	16100/9200	16100/9200	16100/9200	16100/9200
Meals served by nutrition svcs (000)	1166/1648	1100/1600	1000/1600	1000/1600	1000/1600	1000/1600	1000/1600	1000/1600
Estimated cost per meal ($)	4.67	4.81	4.95	5.09	4.95	5.09	4.95	5.09
Receiving Nutrition Services								
Minority elderly	1914/993	1900/900	1800/900	1800/900	1800/900	1800/900	1800/900	1800/900
Low-income non-minority elderly	4079/2284	4000/2200	4000/2200	4000/2200	4000/2200	4000/2200	4000/2200	4000/2200
Low-income minority elderly	1164/655	1100/600	1100/600	1100/600	1100/600	1100/600	1100/600	1100/600
At risk of institutionalization	3960/5843	3900/5800	3800/5700	3800/5700	3800/5700	3800/5700	3800/5700	3800/5700
Food Stamps								
Assistance Units participating (monthly)								
Public Assistance	80,505	80,505	80,505	80,505	80,505	80,505	80,505	80,505
Non-Public Assistance	19,055	19,055	19,055	19,055	19,055	19,055	19,055	19,055
Recipients participating (monthly)								
Public Assistance	187,466	187,466	187,466	187,466	187,466	187,466	187,466	187,466
Non-Public Assistance	35,330	35,330	35,330	35,330	35,330	35,330	35,330	35,330
Food Stamp benefits issued ($000)	175,585	175,585	175,585	175,585	175,585	175,585	175,585	175,585

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Benefit per paid case (monthly) ($)	147.39	147.39	147.39	147.39	147.39	147.39	147.39	147.39
Appeals decided in recipient's favor (%)	28	28	28	28	28	28	28	28
Food Stamp ATP'S issued	1,303,939	1,303,939	1,303,939	1,303,939	1,303,939	1,303,939	1,303,939	1,303,939
Fuel Assistance								
Applications submitted	84,652	84,000	82,000	82,000	82,000	82,000	82,000	82,000
Applications approved								
CEAP/Oil settlement	66,057	66,500	64,000	64,000	64,000	64,000	64,000	64,000
SAFA	4,410	6,000	5,500	5,500	5,500	5,500	5,500	5,500
Fuel Assistance per household (CEAP) ($)	334	335	335	335	335	335	335	335
Basic ($)	269	275	250	250	250	250	250	250
Crisis ($)	137	150	150	150	150	150	150	150
Safety Net ($)	220	150	150	150	150	150	150	150
Cases reviewed for program compliance	157	250	250	250	250	250	250	250
Weatherization								
Estimated units eligible for assistance	181,393	179,836	179,331	178,826	179,331	178,826	179,331	178,826
DOE/Oil settlement - planned units	1,116	697	505	505	505	505	505	505
Avg material and installation cost per unit ($)	1,422	1,902	1,902	1,902	1,902	1,902	1,902	1,902
Units inspected by DSS monitors	258	70	60	60	60	60	60	60
Child Day Care Centers								
Slots financed								
Infants	252	298	298	298	298	298	298	298
Pre-school	3,536	3,567	3,567	3,567	3,567	3,567	3,567	3,567
School age	392	335	335	335	335	335	335	335
Avg families/children served monthly	7000/7600	7100/7700	7300/7900	7300/7900	7300/7900	7300/7900	7300/7900	7300/7900
Avg monthly cost per slot ($)	377	377	377	377	377	377	377	377
Parents employed or in training	5,600	6,800	7,300	7,300	7,300	7,300	7,300	7,300
Before and after school grant programs funded/								
Licensed slots	33/3500	30/3000	30/3000	30/3000	30/3000	30/3000	30/3000	30/3000
Avg grant amount ($)	15,000	11,533	11,533	11,533	11,533	11,533	11,533	11,533
Child care certificates								
Avg families/children served monthly	3253/5600	3838/6141	3747/5995	3747/5995	3747/5595	4750/6270	3747/5595	4750/6270
Avg monthly payment per family ($)	365	382	392	392	460	560	460	560
AFDC child care - employed recipients								
Avg families/children served monthly	3750/6000	7245/9998	8320/13312	4737/7579	9082/12533	6847/9447	9082/12533	6847/9447
Avg monthly payment per family ($)	404	426	433	442	481	580	481	580
AFDC child care - Families in transition								
Avg families/children served monthly	2428/3845	3554/5686	5572/8915	11270/18032	5,462/8739	10,000/1600 0	5,462/8739	10,000/1600 0
Avg monthly payment per family ($)	461	507	471	470	350	350	350	350
Total AFDC Child Care								
Avg families/children served monthly	7518/12029	11566/18986	15574/24918	17299/27678	16,500/2640 0	19200/30702	16,500/2640 0	19200/30702
Avg monthly payment per family ($)	411	479	434	451	460	500	460	500
Percent of TFA clients leaving public assistance who receive child support payments (%)	6.5	8	9	10	9	10	9	10
Percent of total child support charges collected (TFA & Non-TFA) (%)	52.1	53.5	54	56	54	56	54	56
Percent of paternity determinations (%)	71.5	76	79.5	82	79.5	82	79.5	82
Percent of medical coverage obtained (%)	11.7	12.6	13.6	14.5	13.6	14.5	13.6	14.5
Percent of financial obligations established (%)	43.5	46	48	49.5	48	49.5	48	49.5
Child Support Enforcement								
TFA								
Active IV-D cases	140,876	132,500	126,000	125,000	126,000	125,000	126,000	125,000
Average intake new	35,744	33,600	32,000	30,400	32,000	30,400	32,000	30,400
Average cases closed	35,454	38,800	38,000	35,000	38,000	35,000	38,000	35,000
Location services provided	27,912	30,000	33,000	36,300	33,000	36,300	33,000	36,300
Paternity determinations	6,924	7,755	8,800	9,100	8,800	9,100	8,800	9,100
Obligations established or modified	11,741	12,500	13,200	13,860	13,200	13,860	13,200	13,860
Total collections ($M)	58.1	66.2	74.8	83.7	74.8	83.7	74.8	83.7
NON-TFA								
Active IV-D cases	95,792	107,280	118,000	129,000	118,000	129,000	118,000	129,000
Average intake new	42,228	47,300	52,000	54,600	52,000	54,600	52,000	54,600
Average cases closed	29,107	31,400	32,970	34,600	32,970	34,600	32,970	34,600
Location services provided	6,189	6,800	7,800	8,500	7,800	8,500	7,800	8,500
Paternity determinations	1,237	1,385	1,550	1,736	1,550	1,736	1,550	1,736
Obligations established or modified	13,717	15,000	16,500	18,000	16,500	18,000	16,500	18,000
Total collections ($M)	83.4	91.7	102.7	112.9	102.7	112.9	102.7	112.9
State share of total collections ($M)	28.4	29.6	30.5	31.8	30.5	31.8	30.5	31.8
Administrative cost as a percentage of total collections (%)	30.5	28.5	27	26.5	27	26.5	27	26.5

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Collections for each administrative dollar spent ($)	3.28	3.8	4.2	4.4	4.2	4.4	4.2	4.4
Overnight shelter (DSS funded)								
Beds available/Occupancy ratio (%)	1725/76	1811/80	1902/84	1997/88	1902/84	1997/88	1902/84	1997/88
Individuals served								
24 hour facility	13,555	14,232	14,944	15,691	14,944	15,691	14,944	15,691
Less than 24 hour facility	22,591	23,721	24,907	26,153	24,907	26,153	24,907	26,153
Persons in transitional living programs	1,593	1,593	1,593	1,593	1,593	1,593	1,593	1,593
Receiving security deposit asst (household)	428	465	465	465	465	465	465	465
Elderly Programs								
Employment subsidized/unsubsidized	196/176	195/135	195/135	195/135	195/135	195/135	195/135	195/135
Opportunity Industrial Centers								
Total clients served	1,433	1,600	1,500	1,500	1,500	1,500	1,500	1,500
Agencies receiving funds	4	4	4	4	4	4	4	4
Refugee Programs								
Connecticut total refugee population	19,633	21,000	21,700	22,380	21,700	22,380	21,700	22,380
Refugees receiving public, medical, general assistance	2,214	2,500	1,992	1,792	1,992	1,792	1,992	1,792
Agencies receiving funds	4	4	4	4	4	4	4	4
Service sites maintained	4	4	4	4	4	4	4	4
Refugee adults receiving employability services	1,225	995	895	800	895	800	895	800
Refugee adults receiving case management services	732	695	626	558	626	558	626	558
Job Connection - TFA								
Employment Services - TANF								
Participants at end of year			27,619	8,216	*	*	*	*
New participants during year			13,571	12,906	*	*	*	*
Participating in education and training			15,700	12,000	*	*	*	*
Work supplementation/OJT					*	*	*	*
Job Search			11,800	7,200	*	*	*	*
Post secondary			500	0	*	*	*	*
Adult Basic education/English as a Second Language			1,400	3,600	*	*	*	*
Other			2,000	1,200	*	*	*	*
Entered employment			8,400	9,000				
Through employment search (DOL)					*	*	*	*
Through job search skill training			6,000	6,300	*	*	*	*
Through work supplementation/OJT					*	*	*	*
Other (JTPA, skill training, work exp, OJT, other)			2,400	2,700	*	*	*	*
Mandatory participants			19,333	5,751	*	*	*	*
Voluntary participants			8,286	2,465	*	*	*	*
Food Stamps Employment & Training								
Participating in education & training	372	5,000	5,000	5,000	5,000	5,000	5,000	5,000
Entering employment	164	1,000	1,000	1,000	1,000	1,000	1,000	1,000
Average wage at placement ($)	5.72	6	6	6	6	6	6	6

OTHER SIGNIFICANT LEGISLATION AFFECTING THE AGENCY'S BUDGET

P.A. 97-2 of the June Special Session, "An Act Concerning Welfare Reform and the Expenditures of the Department of Social Services" This act makes numerous changes to the programs of the Department of Social Services (DSS). The act implements the requirements of new the Federal Temporary Assistance to Needy Families (TANF) program, which replaced Aid to Families with Dependent Children (AFDC). The act establishes criteria for eligibility, exemptions and extensions for the 21 month time limited welfare program.

This act also establishes a system of safety net services for families that are transitioning off of the welfare program. Services provided under this safety net include food, shelter, clothing and employment assistance, intensive case management, child neglect and abuse monitoring, eviction protection, and individual client performance contracts. Clients must adhere to the program requirements to remain eligible.

This act also eliminates the General Assistance (GA) categories of "employable - not job ready" and "employable - job ready". These categories are replaced by a new class of "transitional" recipient. The act establishes the eligibility criteria for transitional recipients and establishes benefit levels. Medical benefits are continued for unemployable and transitional GA recipients for up to two years after the cessation of financial benefits.

This act establishes eligibility requirements for qualified aliens under Medicaid, Temporary Family Assistance (TFA), GA and the Connecticut Home Care program. These specifications were necessary due changes in the Federal welfare law.

Medicaid eligibility for children under 185% of the Federal poverty level are also extended by this act. Medicaid coverage is extended to children age 14 to 16 in FY98 and to children age 14 to 17 in FY99. This act also allows DSS to enter into capitated prepaid health plans for individuals who are either disabled or Medicare eligible. The department is also required to seek a Medicaid waiver for the provision of long term health and case management services to the disabled or Medicare eligible.

This act transfers the responsibility for the elderly and congregate portion of the Rental Assistance Program (RAP) to the Department of Community and Economic Development.

P.A. 97-2 also establishes a working relationship between DSS and the Department of Labor (DOL) concerning the provision of employment services for welfare recipients. DOL will transfer $9 million to DSS on July 1, 1997 and another $8.5 million by December 1, 1997 for the provision of employment services during FY98. DOL is scheduled to assume total responsibility for the delivery of employment services to welfare recipients as of July 1, 1998.

P.A. 97-11 of the June Special Session, "An Act Concerning Computerized Information Sharing, the Mashantucket Pequot and Mohegan Fund, Early Retirement, School Construction, State Buildings, Nursing Home Loans, Executive and Legislative Councils and Commissions, and Appropriations for the Fiscal Years ending June 30, 1997, 1998, and 1999" This act provides for a maximum two percent rate increase for Medicaid eligible nursing homes facilities in FY98 and FY99. The bill also provides additional appropriations for these rate increases. A total of $20 million is provided for these rate increases in each year of the biennium.

P.A. 97-259, "An Act Concerning School Readiness and Child Care", authorizes numerous approaches to expanding the number and quality of school readiness and day care programs. It does this by providing grants, loans, and other types of financing; emphasizing program accreditation and evaluation, and tightening the system for checking day care employees credentials. The bill requires the State Department of Education (SDE) and Department of Social Services (DSS) commissioners to consult with each other and provide SDE and DSS grants for school readiness and day care programs, respectively. The bill reorganizes DSS' approach to subsidizing day care services for low-income families and creates a uniform subsidy program which provides direct payments to all day care providers, and establishes new criteria for setting payment rates. The bill also establishes three new mechanisms for funding construction and renovation of day care facilities, Head Start programs, and facilities that house school readiness programs: (1) Connecticut Health and Educational Facilities Authority (CHEFA) loans, (2) DSS guarantees for bank loans, and (3) direct DSS micro-loans. It establishes several initiatives to improve the quality of day care services, including accreditation projects, performance based evaluation, and studies of long-term effects of day care on child development. It requires DSS to set health and safety standards for certain non-licensed, informal child care providers. The bill also requires state and national criminal background checks and child abuse registry checks for all prospective employees at licensed day care facilities and all license-exempt providers who receive DSS payments, except relatives in specific situations.

1997 BOND AUTHORIZATIONS

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	13(g)	32(d)	Grants-in-aid for neighborhood facilities, child day care projects, elderly centers, multipurpose human resource centers, shelter facilities for victims of domestic violence, emergency shelters for the homeless, and food distribution facilities	34,325,000	4,000,000	4,000,000
PA 97-259	17	-	Child Care Facilities Direct Revolving Loan Fund	-	750,000	-
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	77	-	Grants-in-aid for neighborhood facilities, child day care projects, elderly centers, multipurpose human resource centers, shelter facilities for victims of domestic violence and emergency shelters and related facilities for the homeless (SA 88-77 23(c)(1))	4,000,000	(4,479)	-
SA 97-1 (J5 SS)	117	-	Grant-in-aid to the town and city of New Haven for a multi-service community center in the Hill section (SA 90-34 23(i)(25))	200,000	(200,000)	-
SA 97-1 (J5 SS)	128	-	Grants-in-aid for neighborhood facilities, child day care projects, elderly centers, multipurpose human resource centers, shelter facilities for victims of domestic violence and emergency shelters and related facilities for the homeless (SA 91-7 JSS 23(f))	8,000,000	(2,729)	-
PA 97-1 (J5 SS)	13	-	Financial assistance to nonprofit corporations to provide housing and related facilities for persons with AIDS (CGS Sec. 17b-803)	9,100,000	(1,000,000)	-

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $11,027,241 in Personal Services from the early retirement incentive program, and $938,196 in Personal Services and $1,847,883 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $364,945 for FY '98.

[2] Section 33 of P.A. 97-8, "An Act Concerning the Expenditures for the Programs and Services of the Department of Public Health", authorizes the Secretary of the Office of Policy and Management to distribute $9 million appropriated for the private provider account to the Department of Mental Health and Addiction Services, and the Department of Mental Retardation, the Department of Social Services, and the Department of Children and Families. These funds shall be used for supplemental payments to private providers, except, not more than $4.5 million shall be distributed in SFT 1997-98 to: (1) agencies that settle wage and benefit contracts with unionized employees between April 1, 1997 and August 1, 1997, for the otherwise unreimbursed costs of collective bargaining agreements incurred in SFY 1997-98, and (2) proportional increases in personnel costs for such agencies' non-unionized employees. Also, not more than $4.5 million shall be distributed in SFY 1997-98 to (A)(1) agencies that do not settle wage and benefit contracts with unionized employees between April 1, 1997 and August 1, 1997 for otherwise unreimbursed costs of collective bargaining agreements incurred in SFY 1997-98, and (2) proportional increases in personnel costs for such agencies' nonunionized employees and (B) nonunionized private provider agencies for increases in personnel costs. The exact disbribution amounts were not available as of July 31, 1997.

TABLE I

Medicaid Expenditures

	Actual FY 1996-97	Appropriated FY 1997-98
Medicaid Health Services		
Hospital Inpatient	165,674,024	157,310,108
Hospital Outpatient	68,204,249	63,559,941
Physician Services	47,940,460	41,468,172
Pharmacy	127,689,526	108,131,930
Clinics	21,769,911	24,181,201
Home Health Services	75,211,346	77,523,897
Transportation	27,891,630	24,715,845
Dental Services	7,777,667	5,679,736
Vision Services	2,637,140	2,382,502
Durable Medical Equipment	16,760,245	17,203,989
Managed Care Health Plans	357,160,181	347,124,540
Other Practitioner Services	4,424,043	4,586,799
Assessments		503,933
Home and Community Based Care	35,732,366	45,181,871
Miscellaneous Services	7,437,687	6,517,063
Medicare Premium Payments	39,648,344	42,431,458
Adjustments	(13,169,166)	(9,950,000)
Hospital Settlement Payments	30,995,267	12,400,000
Total Medicaid Health Services	**1,023,784,920**	**970,952,985**
Long Term Care		
Nursing Facilities-CCH	777,856,215	809,830,153
Nursing Facilities-RHNS	60,250,267	59,837,977
Intermediate Care - MR	44,890,576	47,893,027
Chronic Disease Hospitals	42,440,984	47,846,158
Total Long Term Care	**925,438,042**	**965,407,315**
Total Medicaid	**1,949,222,962**	**1,936,360,300**

TABLE II

Statistics on Major Public Assistance Programs

	Actual FY 1995-96	Estimated FY 1996-97	Estimated FY 1997-98	Estimated FY 1998-99
Temporary Family Assistance [1]				
Caseload	58,874	56,307	49,409	30,897
Cost Per Case	$ 466.92	$ 479.60	$ 470.00	$ 470.00
Total	**$ 329,876,304**	**$ 324,058,389**	**$ 278,665,752**	**$ 174,258,319**
Old Age Assistance				
Boarding Homes [2]				
Caseload	1,287	1,326	1,379	1,404
Cost Per Case	$ 1,150.73	$ 1,127.33	$ 1,171.81	$ 1,184.03
Total	$ 17,771,866	$ 17,938,116	$ 19,391,130	$ 19,948,464
Other Living Arrangements				
Caseload	7,402	6,826	6,761	6,986
Cost Per Case	$ 157.66	$ 156.79	$ 162.00	$ 166.00
Total	$ 14,004,115	$ 12,842,339	$ 13,142,412	$ 13,915,116
Grand Total	**$ 31,775,981**	**$ 30,780,455**	**$ 32,533,542**	**$ 33,863,580**
Aid to the Disabled				
Boarding Homes [2]				
Caseload	2,985	2,949	3,042	3,107
Cost Per Case	$ 919.04	$ 869.78	$ 875.82	$ 872.67
Total	$ 32,914,663	$ 30,779,810	$ 31,970,917	$ 32,536,537
Other Living Arrangements				
Caseload	18,153	17,386	17,014	17,176
Cost Per Case	$ 179.12	$ 173.15	$ 181.76	$ 182.64
Total	$ 39,018,740	$ 36,125,315	$ 37,108,223	$ 37,643,936
Grand Total	**$ 71,933,403**	**$ 66,905,125**	**$ 69,079,140**	**$ 70,180,473**
Aid to the Blind				
Boarding Homes [2]				
Caseload	41	41	41	46
Cost Per Case	$ 737.42	$ 696.89	$ 751.71	$ 722.17
Total	$ 362,809	$ 345,629	$ 369,840	$ 398,640
Other Living Arrangements				
Caseload	115	106	110	121
Cost Per Case	$ 172.04	$ 154.95	$ 170.00	$ 175.00
Total	$ 237,249	$ 196,629	$ 223,890	$ 253,050
Grand Total	**$ 600,058**	**$ 542,258**	**$ 593,730**	**$ 651,690**

[1] The Temporary Family Assistance figures include total for both AFDC and AFDC-UP for 1995-96 and 1996-97.

[2] Boarding Homes for Old Age Assistance, Aid to the Blind and Aid to the Disabled include those in DMR.

TABLE III

HUMAN SERVICES REVENUE ESTIMATE

		Estimated FY 1997-98		Estimated FY 1998-99
TANF / CCDBG	**$**	**306,593,805**	**$**	**280,522,969**
MEDICAID				
Regular Program	$	968,180,150	$	945,393,000
State Mental Hospital	$	10,667,401	$	10,667,401
State Alcohol and Drug	$	2,401	$	2,401
State Chronic Disease	$	4,444,381	$	4,444,381
State Nursing Home (ICF/MR)	$	88,566,848	$	96,492,779
State SBCH / Birth to Three	$	15,774,208	$	31,548,416
State Waiver MR	$	104,178,634	$	104,660,066
Targeted Case Management - MH	$	1,194,523	$	1,194,523
Targeted Case Management - MR	$	4,013,108	$	4,017,719
Total Medicaid Revenue	**$**	**1,197,021,654**	**$**	**1,182,646,478**
Administration	**$**	**66,000,000**	**$**	**66,000,000**
Uncompensated Care	$	113,000,000	$	113,000,000
DMH	$	66,350,000	$	66,350,000
DCF	$	2,650,000	$	2,650,000
GA	$	22,150,000	$	22,150,000
TOTAL - Special Revenue	**$**	**204,150,000**	**$**	**204,150,000**
Medicare	$	3,061,136	$	3,061,136
Soldiers' Homes	$	5,763,242	$	5,763,242
Child Support Enforcement	$	26,127,591	$	26,127,591
Foster Care	$	70,000,000	$	70,000,000
Child Nutrition	$	1,190,105	$	1,190,105
Fed Aid	$	1,704,562	$	1,704,562
OSHA/CT	$	483,248	$	483,248
OSHA Consultant	$	491,743	$	491,743
OSHA State Grant	$	111,360	$	111,360
Misc. Pvt. Donations	$	834,963	$	834,963
TOTAL - Miscellaneous Revenue	**$**	**109,767,950**	**$**	**109,767,950**
GRAND TOTAL	**$**	**1,883,533,409**	**$**	**1,843,087,397**

TABLE IV

Social Services Block Grant (SSBG) Funding

	Former General Fund Account	SSBG Funding FY 1997-98	SSBG Funding FY 1998-99
014	Families in Crisis	$ 437,500	$ 437,500
619	HRD - Hispanic Programs	$ 223,240	$ 223,240
650	Community Services	$ 1,384,319	$ 1,384,319
657	Families in Training	$ 28,500	$ 28,500
659	Human Resource Development	$ 914,337	$ 914,337
666	Shelter Services- Victims of Abuse	$ 1,124,365	$ 1,124,365
708	Human Resource Development	$ 269,945	$ 269,945
710	HRD - Hispanic Programs	$ 27,250	$ 27,250
712	Teen Pregnancy Prevention Block Grant	$ 950,000	$ 950,000
028	Anti-Hunger Programs	$ 103,137	$ 103,137
644	Supplemental Nutrition Assistance	$ 327,616	$ 327,616
029	Blood Tests for Paternity Actions	$ 120,000	$ 120,000
642	Residences - Persons with AIDS	$ 828,100	$ 828,100
649	Housing / Homeless Services	$ 16,925,548	$ 16,925,548
625	Opportunity Industrial Centers	$ 321,174	$ 321,174
	TOTAL	**$ 23,985,031**	**$23,985,031**

Funding for these former General Fund accounts has been transferred to the Social Services Block Grant. Funding under the SSBG has been augmented by a transfer of $23,985,031 from the new Federal Temporary Assistance to Needy Families (TANF) block Grant. Through this transfer, these programs will remain at least at their FY97 appropriated level.

TABLE V
School Readiness & Child Care Initiatives

Increase Demand for Child Care
The need for quality subsidized child care for all age groups is increasing due to the effects of time limited welfare, which will continue even after a family has left assistance.

School Readiness Initiatives
The Department of Social Services is responsible for the early care component of school readiness while the Department of Education is responsible for the early education component. Eligible programs serving three and four year olds residing in the fourteen priority school districts* will receive an early education component with an early care component "wrapped around" it. New funding is as follows:

School Readiness Item	*FY 1997-98*	*FY 1998-99*
Early Care "Wrap- Around"- *directs the use of child care subsidy funds to provide early care services in the 14 priority school districts for 3 & 4 year olds. Refer to the Department of Education for funding of the early education component*	$8,780,000	$17,560,000
Supplemental Quality Enhancement Grants- *competitive grants awarded to local school readiness councils and child care centers to provide comprehensive services, buy educational equipment, enhance provider education, training and accreditation, and to conduct minor renovations for code compliance*	$2,000,000	$2,000,000
Regional Accreditation Project- *funds five projects to assist licensed providers in becoming National Association for the Education of Young Children accredited*	$600,000	$600,000
Provider Training- *funds grants/ loans for training and resource and referral*	$2,000,000	$2,000,000
Provider Education- *funds Connecticut Charts a Course, a career development program and scholarships administered by the Community Technical Colleges*	$263,879	$271,795
Provider Criminal Background Checks- *funds criminal background checks (may be transferred to the Department of Public Health)*	$100,000	$100,000
Program Evaluation- *funds a longitudinal study of child care and school readiness*	$100,000	$100,000
Capitalizing Facilities- *provides funds for debt service for the development of child care facilities through the Connecticut Health and Education Facilities Authority.*	$1,000,000	$2,500,000

Related Child Care Expansions
Funds in the amount of $8.76 million in FY 98 and $12.42 million in FY 99 are provided to state funded child care centers to provide child care services, increase rates paid to providers, and increase the number of contracted child care slots.

The fourteen priority school districts are: Bridgeport, Hartford, New Haven, Waterbury, New Britain, Stamford, Norwalk, Danbury, New London, Middletown, Meriden, Bristol, Windham and East Hartford.

Services Which Protect and Promote Physical, Social and Economic Well-Being 6101

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
02X	Other Current Expenses	804,180	660,941	223,441	223,441	223,441	223,441
6XX	Grant Payments - Other Than Towns	26,044,266	26,368,823	22,864,298	22,864,298	23,645,970	23,585,370
7XX	Grant Payments - To Towns	1,546,258	1,646,258	399,063	399,063	1,019,063	1,399,063
	Agency Total - General Fund	**28,394,704**	**28,676,022**	**23,486,802**	**23,486,802**	**24,888,474**	**25,207,874**
	Agency Total - Appropriated Funds	**28,394,704**	**28,676,022**	**23,486,802**	**23,486,802**	**24,888,474**	**25,207,874**
	Additional Funds Available						
	Special Restricted Funds, Non-Appropriated	0	241,022	0	0	0	0
	Private Contributions	321,633	0	343,222	343,222	343,222	343,222
	Federal Contributions	51,305,560	52,804,539	57,269,426	58,488,907	57,269,426	58,488,907
	Agency Grand Total	**80,021,897**	**81,721,583**	**81,099,450**	**82,318,931**	**82,501,122**	**84,040,003**
	BUDGET BY PROGRAM						
	Social Services to Families						
	General Fund						
014	Families in Crisis	432,528	437,500	0	0	0	0
023	Women at Risk	62,500	0	0	0	0	0
	Grant Payments - Other Than Towns						
	Human Resource Development-Hispanic Programs	318,914	318,914	95,674	95,674	106,746	106,746
	Services to the Elderly	0	0	0	0	287,000	287,000
	Community Services	1,249,319	1,384,319	0	0	0	0
	Families in Training	28,500	28,500	0	0	0	0
	Human Resource Development	4,812,300	4,812,300	3,897,963	3,897,963	3,897,963	3,897,963
	Shelter Services for Victims of Household Abuse	1,124,365	1,124,365	0	0	27,000	27,000
	Grant Payments - To Towns						
	Human Resource Development	509,330	509,330	239,385	239,385	239,385	239,385
	Human Resource Development-Hispanic Programs	38,928	38,928	11,678	11,678	11,678	11,678
	Teenage Pregnancy Prevention Block Grant	850,000	950,000	0	0	620,000	1,000,000
	Total - General Fund	**9,426,684**	**9,604,156**	**4,244,700**	**4,244,700**	**5,189,772**	**5,569,772**
	Federal Contributions						
	USDA Nutrition-Elderly	0	1,654,000	0	0	0	0
	Drug Control & System Imrpr Gt	112,500	0	0	0	0	0
	Drug Free Schools	63,114	66,114	66,114	66,114	66,114	66,114
	Community Services Block Grant	4,728,977	0	4,722,695	4,722,695	4,722,695	4,722,695
	Drug & Alcohol-High Risk Youth	0	90,000	0	0	0	0
	Community Services Block Grant	0	5,630,411	0	0	0	0
	Child Impact Study	0	22,500	0	0	0	0
	Social Services Block Grant	6,055,295	5,553,762	10,913,218	10,913,218	10,913,218	10,913,218
	Family Violence Prevention Services	230,189	424,747	274,121	274,121	274,121	274,121
	Total - Federal Contributions	**11,190,075**	**13,441,534**	**15,976,148**	**15,976,148**	**15,976,148**	**15,976,148**
	Additional Funds Available						
	Special Restricted Funds, Non-Appropriated	0	241,022	0	0	0	0
	Private Contributions	286,050	0	331,022	331,022	331,022	331,022
	Total - Additional Funds Available	**286,050**	**241,022**	**331,022**	**331,022**	**331,022**	**331,022**
	Total - All Funds	**20,902,809**	**23,286,712**	**20,551,870**	**20,551,870**	**21,496,942**	**21,876,942**
	Services to Adults and Persons with Disabilities						
	General Fund						
016	General Fund	139,678	0	0	0	0	0
037	Commission on Aging	146,033	200,000	200,000	200,000	200,000	200,000

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Grant Payments - Other Than Towns						
	Services to the Elderly	3,364,618	3,414,838	3,335,074	3,335,074	3,351,674	3,351,074
	Total - General Fund	**3,650,329**	**3,614,838**	**3,535,074**	**3,535,074**	**3,551,674**	**3,551,074**
	Federal Contributions						
	USDA Nutrition-Elderly	1,653,138	0	1,654,000	1,654,000	1,654,000	1,654,000
	Congregate Housing Services Program	133,487	140,000	150,000	155,000	150,000	155,000
	SCSEP Fund	821,006	825,486	825,486	825,486	825,486	825,486
	Title VII New Ombudsmen	0	58,618	0	0	0	0
	Title VII Pension Counseling	13,541	0	0	0	0	0
	CT Mini White House Conf. on Aging	658	0	0	0	0	0
	Title III, Part G Elderly Abuse	31,868	61,738	62,344	62,344	62,344	62,344
	Title III Part F, Prev Hlth Serv	99,867	203,830	100,000	100,000	100,000	100,000
	Special Pgms for Aging-Title III	4,257,042	4,071,917	4,292,618	4,292,618	4,292,618	4,292,618
	Special Pgms for Aging Part D	111,167	120,855	111,200	111,200	111,200	111,200
	Social Services Block Grant	941,075	582,271	582,271	582,271	582,271	582,271
	Health Care Financing Research	145,514	156,202	159,326	162,512	159,326	162,512
	Total - Federal Contributions	**8,208,363**	**6,220,917**	**7,937,245**	**7,945,431**	**7,937,245**	**7,945,431**
	Total - All Funds	**11,858,692**	**9,835,755**	**11,472,319**	**11,480,505**	**11,488,919**	**11,496,505**
	Services to the Elderly						
	General Fund						
	Grant Payments - Other Than Towns						
	Services to the Elderly	0	0	0	0	120,000	60,000
	Services for Persons with Disabilities	6,877,665	7,016,366	7,266,366	7,266,366	7,586,366	7,586,366
	Total - General Fund	**6,877,665**	**7,016,366**	**7,266,366**	**7,266,366**	**7,706,366**	**7,646,366**
	Federal Contributions						
	Social Services Block Grant	2,881,232	2,323,612	2,323,612	2,323,612	2,323,612	2,323,612
	Total - All Funds	**9,758,897**	**9,339,978**	**9,589,978**	**9,589,978**	**10,029,978**	**9,969,978**
	Rehabilitation Services						
	General Fund						
027	Independent Living Center-Administration	23,441	23,441	23,441	23,441	23,441	23,441
	Grant Payments - Other Than Towns						
	Vocational Rehabilitation	6,793,364	6,794,000	6,794,000	6,794,000	6,794,000	6,794,000
	Employment Opportunities	881,376	881,376	881,376	881,376	881,376	881,376
	Independent Living Centers	593,845	593,845	593,845	593,845	593,845	593,845
	Grant Payments - To Towns						
	Vocational Rehabilitation Transition Plan	39,000	39,000	39,000	39,000	39,000	39,000
	Traumatic Brain Injury	109,000	109,000	109,000	109,000	109,000	109,000
	Total - General Fund	**8,440,026**	**8,440,662**	**8,440,662**	**8,440,662**	**8,440,662**	**8,440,662**
	Federal Contributions						
	Trans. to Supported Employ. & Day Support	38,588	12,200	20,000	20,000	20,000	20,000
	SSA Voc Rehab Program	14,697,988	14,661,633	14,702,712	14,797,501	14,702,712	14,797,501
	Voc Rehabilitation - In Service Trng	51,460	0	56,000	50,000	56,000	50,000
	Centers of Independent Living	453,372	462,600	467,075	474,081	467,075	474,081
	Sec Ed & Serv/Youth W/Disability	0	30,000	0	0	0	0
	Independent Living (Part A)	192,502	247,985	278,668	282,848	278,668	282,848
	Supported Employment	333,376	362,463	363,851	363,851	363,851	363,851
	Technology Related Assistance	402,362	500,000	600,000	600,000	600,000	600,000
	Voc. Rehab In-Service Training	0	95,000	0	0	0	0
	Social Services Block Grant	682,012	652,615	652,615	652,615	652,615	652,615
	Social Security-Disability Insurance	12,174,230	13,793,980	13,891,500	15,002,820	13,891,500	15,002,820
	Total - Federal Contributions	**29,025,890**	**30,818,476**	**31,032,421**	**32,243,716**	**31,032,421**	**32,243,716**
	Additional Funds Available						
	Private Contributions	35,583	0	12,200	12,200	12,200	12,200
	Total - All Funds	**37,501,499**	**39,259,138**	**39,485,283**	**40,696,578**	**39,485,283**	**40,696,578**
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
601	Vocational Rehabilitation	6,793,364	6,794,000	6,794,000	6,794,000	6,794,000	6,794,000
619	Human Resource Development-Hispanic Programs	318,914	318,914	95,674	95,674	106,746	106,746
620	Services to the Elderly	3,364,618	3,414,838	3,335,074	3,335,074	3,758,674	3,698,074
638	Services for Persons with Disabilities	6,877,665	7,016,366	7,266,366	7,266,366	7,586,366	7,586,366
650	Community Services	1,249,319	1,384,319	0	0	0	0
651	Employment Opportunities	881,376	881,376	881,376	881,376	881,376	881,376
657	Families in Training	28,500	28,500	0	0	0	0
659	Human Resource Development	4,812,300	4,812,300	3,897,963	3,897,963	3,897,963	3,897,963
663	Independent Living Centers	593,845	593,845	593,845	593,845	593,845	593,845
666	Shelter Services for Victims of Household Abuse	1,124,365	1,124,365	0	0	27,000	27,000

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	GRANT PAYMENTS - TO TOWNS (Recap)						
708	Human Resource Development	509,330	509,330	239,385	239,385	239,385	239,385
710	Human Resource Development-Hispanic Programs	38,928	38,928	11,678	11,678	11,678	11,678
712	Teenage Pregnancy Prevention Block Grant	850,000	950,000	0	0	620,000	1,000,000
733	Vocational Rehabilitation Transition Plan	39,000	39,000	39,000	39,000	39,000	39,000
734	Traumatic Brain Injury	109,000	109,000	109,000	109,000	109,000	109,000
	Agency Grand Total	**80,021,897**	**81,721,583**	**81,099,450**	**82,318,931**	**82,501,122**	**84,040,003**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**28,560,044**	**0**	**28,560,044**	**0**	**0**	**0**	**0**
Transfer Funding of Certain Programs to the Social Services Block Grant - (B) Currently, the Department of Social Services is responsible for funding a variety of programs through the federal Social Services Block Grant (SSBG). The block grant is approved or modified by the legislature's Human Services and Appropriations committee. The Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (PRWORA), the federal welfare reform law, allows for 30 percent of the state's federal TANF block grant to be transferred to the Child Care Development Block Grant (CCDBG), one-third of which may be transferred to the SSBG. -(G) Funding for nine state programs is transferred to the SSBG, reducing General Fund expenditures by $5,359,455. Funding for the SSBG will be enhanced by the federal TANF block grant dollars to maintain current funding levels for the nine programs. -(L) Funds are transferred from the TANF Block Grant to the SSBG for the purpose of funding the following programs at the specified levels.								
Families in Crisis	0	-437,500	0	-437,500	0	0	0	0
Human Resource Development-Hispanic Programs	0	-223,240	0	-223,240	0	0	0	0
Community Services	0	-1,384,319	0	-1,384,319	0	0	0	0
Families in Training	0	-28,500	0	-28,500	0	0	0	0
Human Resource Development	0	-914,337	0	-914,337	0	0	0	0
Shelter Services for Victims of Household Abuse	0	-1,124,365	0	-1,124,365	0	0	0	0
Human Resource Development	0	-269,945	0	-269,945	0	0	0	0
Human Resource Development-Hispanic Programs	0	-27,250	0	-27,250	0	0	0	0
Teenage Pregnancy Prevention Block Grant	0	-950,000	0	-950,000	0	0	0	0
Total - General Fund	**0**	**-5,359,456**	**0**	**-5,359,456**	**0**	**0**	**0**	**0**

PROGRAM	TANF FUNDS
Families in Crisis	437,500
Human Resource Development-Hispanic Programs	223,240
Community Services	1,384,319
Families in Training	28,500
Human Resource Development	914,337
Shelter Services for Victims of Household Abuse	1,124,365
Human Resource Development	269,945
Human Resource Development-Hispanic Programs	27,250
Teenage Pregnancy Prevention Block Grant	950,000

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Placements and Expenditure Update for Services for Adults and Persons with Disabilities - (B)								
The Department of Social Services provides services to persons with Traumatic Brain Injuries (TBI), including transitional living, support services, individual services and group home services.								
-(G) Funding in the amount of $250,000 is recommended to cover the costs of placements of persons discharged from the Department of Mental Health and Addiction Services. An additional $250,000 is anticipated to be available in this account through a carry forward of funding from FY 97.								
-(L)Same as Governor								
Services for Persons with Disabilities	0	250,000	0	250,000	0	0	0	0
Total - General Fund	**0**	**250,000**	**0**	**250,000**	**0**	**0**	**0**	**0**
Augment Services to the Elderly - (B)								
The Department of Social Services (DSS) is required to provide protective services to persons over 60. DSS investigates reports of abuse, neglect, and exploitation of the elderly, and, if substantiated takes corrective action.								
-(G) Funding in the amount of $36,214, is recommended to meet anticipated program needs.								
-(L) An additional $156,214 in FY 98 and $96,214 in FY 99 is provided to augment services to the elderly. Included in this increased funding is $30,000 in both years of the biennium for the Wallingford Senior Center and $60,000 in FY 98 for the Berlin Senior Center. The Stamford South End Community Center will also receive $30,000 in both FY 98 and FY 99. The remaining $36,214 in both FY 98 and FY 99 will be used to enhance protective services to the elderly.								
Services to the Elderly	0	156,214	0	96,214	0	120,000	0	60,000
Total - General Fund	**0**	**156,214**	**0**	**96,214**	**0**	**120,000**	**0**	**60,000**
Enhance Elderly Health Screening - (B)								
-(L) Additional funding in the amount of $212,000 in both FY 98 and FY 99 is added to the current base expenditure of $134,850 to Services for the Elderly for the purpose of enhancing Elderly Health Screening Services (EHSS) of Waterbury. The health screening services are provided for programs for towns in both the Western and North Central Regions served by the EHSS. Funding is also provided to enhance the delivery of services to those people afflicted with Alzheimer's disease through the Stamford Safe House.								
Services to the Elderly	0	287,000	0	287,000	0	287,000	0	287,000
Total - General Fund	**0**	**287,000**	**0**	**287,000**	**0**	**287,000**	**0**	**287,000**
Area Agencies on Aging Administrative Funds - (B)								
The State currently supports the five regional Area Agencies on Aging (AAA) through the Services to the Elderly account. The AAA administers a variety of services to the elderly including day care for Alzheimer's patients and elderly nutrition programs.								
-(L) The Area Agencies on Aging shall be allowed to use up to 2% of their FY 98 appropriation under the Services to the Elderly account for administrative purposes. No additional funds are necessary for this as these administrative funds shall be taken from the current appropriation.								
Enhance Service Funding - (B)								
-(L) Total funding of $74,672 is provided to enhance services in several programs. These additional funds are to support Hispanic Human Resources Development, Breakthrough to the Aging, Traumatic Brain Injury Support and Shelter Services for Victims of Household Abuse.								
Human Resource Development-Hispanic Programs	0	11,072	0	11,072	0	11,072	0	11,072
Services to the Elderly	0	16,600	0	16,000	0	16,600	0	16,000
Services for Persons with Disabilities	0	20,000	0	20,000	0	20,000	0	20,000

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Shelter Services for Victims of Household Abuse	0	27,000	0	27,000	0	27,000	0	27,000
Total - General Fund	**0**	**74,672**	**0**	**74,072**	**0**	**74,672**	**0**	**74,072**

Expand Teen Pregnancy Prevention Efforts - (B)
The state directly funds teen pregnancy prevention (TPP) efforts through the Teen Pregnancy Prevention Block Grant and a pregnancy prevention media campaign in the Department of Public Health. There are also numerous programs in other state agencies that contain teen pregnancy prevention as an integral part of overall family service program. Many municipal and non-profit agencies also provide teen pregnancy prevention services.
-(L) Funding of $620,000 in FY 98 and $1,000,000 in FY 99 is provided for an enhancement of the state's teen pregnancy prevention efforts. These funds shall provide for an increase of $30,000 in FY 98 and $80,000 in FY 99 for each of the current seven TPP block grant programs. In addition, efforts in Hartford shall receive $130,000 in the first year and $170,000 in the second year while efforts in Bridgeport shall receive $30,000 in the first year an $170,000 in the second year. The Breaking the Cycle program shall administer the pregnancy prevention program in Hartford. The programs shall be awarded the increased funds subject to the approval of a specific plan to reduce teen pregnancy in the community at large.

Also included in the increased funding is $150,000 in FY 98 for the New Britain TPP program. This is a one time increase necessary to obtain private revenues and revenues from a developing business venture. Funding is also provided for a central coordinating effort for all state TPP programs. This centralized authority shall set the mission and goals of the state teen pregnancy prevention efforts as well as coordinate state, municipal and private programs and funding.

Teenage Pregnancy Prevention Block Grant	0	620,000	0	1,000,000	0	620,000	0	1,000,000
Total - General Fund	**0**	**620,000**	**0**	**1,000,000**	**0**	**620,000**	**0**	**1,000,000**

Enhance Funding for Huntington's Disease - (B)
Huntington's Disease is a hereditary condition that afflicts the central nervous system. This degenerative disease is characterized by involuntary jerky movements, personality changes and progressive mental deterioration.

-(L) Total funding of $300,000 is provided to organize a clinical community impact team for the care and treatment, on an outpatient basis, of persons suffering from Huntington's Disease. PA 97-264 establishes this program under the Department of Social Services.

Services for Persons with Disabilities	0	300,000	0	300,000	0	300,000	0	300,000
Total - General Fund	**0**	**300,000**	**0**	**300,000**	**0**	**300,000**	**0**	**300,000**
Budget Totals - GF	**0**	**24,888,474**	**0**	**25,207,874**	**0**	**1,401,672**	**0**	**1,721,072**

Economic Support for Essential Needs
6102

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
02X	Other Current Expenses	3,743,922	3,900,537	2,954,860	2,954,860	2,954,860	2,954,860
6XX	Grant Payments - Other Than Towns	2,923,763,493	2,981,952,354	2,969,634,175	2,892,863,007	3,009,711,596	2,877,913,244
7XX	Grant Payments - To Towns	113,182,484	83,520,525	14,540,200	5,862,659	15,850,200	5,862,659
	Agency Total - General Fund	**3,040,689,899**	**3,069,373,416**	**2,987,129,235**	**2,901,680,526**	**3,028,516,656**	**2,886,730,763**
	Agency Total - Appropriated Funds	**3,040,689,899**	**3,069,373,416**	**2,987,129,235**	**2,901,680,526**	**3,028,516,656**	**2,886,730,763**
	Additional Funds Available						
	Carry Forward - FY 97 Surplus Appropriations	0	0	0	0	5,200,000	0
	Special Funds, Non-Appropriated	0	25,000	0	0	0	0
	Private Contributions	208,220	615,765	615,765	615,765	615,765	615,765
	Federal Contributions	79,008,637	94,596,359	82,342,549	80,625,442	82,342,549	80,625,442
	Agency Grand Total	**3,119,906,756**	**3,164,610,540**	**3,070,087,549**	**2,982,921,733**	**3,116,674,970**	**2,967,971,970**
	BUDGET BY PROGRAM						
	Medical Assistance						
	General Fund						
017	Children's Health Initiative	3,072,001	3,096,681	2,440,359	2,440,359	2,440,359	2,440,359
021	CON Buyback	0	66,218	0	0	0	0
	Grant Payments - Other Than Towns						
	Medicaid	1,903,186,011	1,934,998,295	1,939,360,300	1,911,286,000	1,936,360,300	1,872,286,000
	Connecticut Pharmaceutical Assistance Contract to the Elderly	29,398,061	27,867,886	22,123,102	22,643,035	22,523,102	24,243,035
	Maternal and Child Health Services	1,615,300	1,615,300	1,615,300	1,615,300	2,865,300	2,865,300
	DMHAS-Disproportionate Share	191,000,000	191,000,000	191,000,000	191,000,000	191,000,000	191,000,000
	Connecticut Home Care Program	13,755,452	13,748,218	13,601,835	13,601,835	14,601,835	14,601,835
	Hospital Assistance Program	24,999,984	2,574,045	0	0	0	0
	AIDS Drug Assistance	592,000	592,000	592,000	592,000	592,000	592,000
	Disproportionate Share-Medical Emergency Assistance	239,381,596	252,044,375	254,000,000	254,000,000	254,000,000	220,000,000
	State Admin of General Assistance	0	10,325,454	26,340,000	21,240,000	36,232,500	40,892,500
	Grant Payments - To Towns						
	General Assistance	71,615,646	50,173,382	5,700,000	0	6,210,000	-1,220,000
	Total - General Fund	**2,478,616,051**	**2,488,101,854**	**2,456,772,896**	**2,418,418,529**	**2,466,825,396**	**2,367,701,029**
	Federal Contributions						
	Refugee Services	1,073,498	0	1,066,031	1,020,128	1,066,031	1,020,128
	Social Services Block Grant	3,730,528	2,106,302	2,106,302	2,106,302	2,106,302	2,106,302
	HIV Care Formula Grants	610,238	1,635,518	0	0	0	0
	MCH Block Grant	943,272	568,395	440,000	440,000	440,000	440,000
	Total - Federal Contributions	**6,357,536**	**4,310,215**	**3,612,333**	**3,566,430**	**3,612,333**	**3,566,430**
	Additional Funds Available						
	Carry Forward - FY 97 Surplus Appropriations	0	0	0	0	5,200,000	0
	Special Funds, Non-Appropriated	0	25,000	0	0	0	0
	Private Contributions	160,461	0	0	0	0	0
	Total - Additional Funds Available	**160,461**	**25,000**	**0**	**0**	**5,200,000**	**0**
	Total - All Funds	**2,485,134,048**	**2,492,437,069**	**2,460,385,229**	**2,421,984,959**	**2,475,637,729**	**2,371,267,459**
	Financial Assistance						
	General Fund						
	Grant Payments - Other Than Towns						
	AFDC	309,277,572	297,982,958	0	0	0	0
	AFDC Unemployed Parent	20,598,732	25,281,917	0	0	0	0
	Old Age Assistance	31,775,985	31,058,676	32,892,524	34,131,945	32,892,524	34,131,945
	Aid to the Blind	600,057	560,636	599,762	653,275	599,762	653,275
	Aid to the Disabled	71,933,403	66,233,762	69,400,908	70,713,168	69,400,908	70,713,168
	Temporary Family Assistance	0	0	278,655,752	174,258,319	28,655,752	24,258,319

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Temporary Assistance to Families - TANF	0	0	0	0	250,000,000	150,000,000
	Safety Net Services	0	0	0	0	2,500,000	5,000,000
	Transportation for Employment Independence Program	0	0	0	0	2,200,000	2,205,000
	State Admin of General Assistance	0	5,908,960	14,450,000	17,830,000	22,450,000	25,410,000
	Grant Payments - To Towns						
	General Assistance	30,608,638	25,844,319	3,130,000	0	3,930,000	1,220,000
	Total - General Fund	**464,794,387**	**452,871,228**	**399,128,946**	**297,586,707**	**412,628,946**	**313,591,707**
	Federal Contributions						
	Family Preservation/Support Serv	0	2,426,903	0	0	0	0
	Refugee Services	1,310,000	0	1,825,000	1,825,000	1,825,000	1,825,000
	Total - Federal Contributions	**1,310,000**	**2,426,903**	**1,825,000**	**1,825,000**	**1,825,000**	**1,825,000**
	Total - All Funds	**466,104,387**	**455,298,131**	**400,953,946**	**299,411,707**	**414,453,946**	**315,416,707**
	Nutritional Assistance						
	General Fund						
028	Anti-Hunger Programs	90,938	121,338	18,201	18,201	18,201	18,201
	Grant Payments - Other Than Towns						
	Supplemental Nutrition Assistance	389,120	409,520	81,904	81,904	91,904	91,904
	Total - General Fund	**480,058**	**530,858**	**100,105**	**100,105**	**110,105**	**110,105**
	Federal Contributions						
	USDA Nutrition	0	356,058	0	0	0	0
	Emergency Food Assist -Admin	349,443	0	358,263	358,263	358,263	358,263
	Title III Congregate Meals	6,128,284	6,553,037	6,150,000	6,150,000	6,150,000	6,150,000
	Social Services Block Grant	0	0	430,753	430,753	430,753	430,753
	Total - Federal Contributions	**6,477,727**	**6,909,095**	**6,939,016**	**6,939,016**	**6,939,016**	**6,939,016**
	Total - All Funds	**6,957,785**	**7,439,953**	**7,039,121**	**7,039,121**	**7,049,121**	**7,049,121**
	Energy Assistance						
	General Fund						
	Grant Payments - Other Than Towns						
	Energy Assistance	4,236,627	6,163,573	6,800,100	6,800,100	6,800,100	6,800,100
	Federal Contributions						
	D.O.E. Weatherization	2,031,693	1,621,491	1,429,690	1,429,690	1,429,690	1,429,690
	Low Income Home Energy Assist	21,360,313	1,047,019	20,978,712	20,978,712	20,978,712	20,978,712
	Low Income Energy Assistance	0	20,978,712	0	0	0	0
	Total - Federal Contributions	**23,392,006**	**23,647,222**	**22,408,402**	**22,408,402**	**22,408,402**	**22,408,402**
	Total - All Funds	**27,628,633**	**29,810,795**	**29,208,502**	**29,208,502**	**29,208,502**	**29,208,502**
	Child Care						
	General Fund						
036	Day Care Projects	461,098	496,300	496,300	496,300	496,300	496,300
	Grant Payments - Other Than Towns						
	Day Care	37,080,521	70,124,168	0	0	0	0
	Child Care Certificate Program	10,243,589	10,243,589	0	0	0	0
	Child Care Services	0	0	72,128,520	72,128,520	55,949,482	6,002,584
	Child Care Services-TANF/CCDBG	0	0	31,333,810	85,466,912	51,592,848	151,592,848
	Child Day Care	5,593,155	5,593,155	6,080,165	6,242,501	8,761,207	12,423,543
	School Readiness	0	0	0	0	6,063,879	7,571,795
	Grant Payments - To Towns						
	Child Day Care	5,252,824	5,252,824	5,710,200	5,862,659	5,710,200	5,862,659
	Total - General Fund	**58,631,187**	**91,710,036**	**115,748,995**	**170,196,892**	**128,573,916**	**183,949,729**
	Federal Contributions						
	At-Risk Day Care	0	1,993,162	0	0	0	0
	Child Care for Families At-Risk	1,499,624	0	0	0	0	0
	Child Care Development Block Grant	8,903,979	6,684,817	1,671,204	0	1,671,204	0
	Child Care and Development Fund	0	20,576,882	0	0	0	0
	Child Develop. Assoc. Scholarship	16,953	0	0	0	0	0
	Respite Plus	180,162	200,000	200,000	200,000	200,000	200,000
	Social Services Block Grant	7,644,727	6,937,944	6,937,944	6,937,944	6,937,944	6,937,944
	Dependent Care	158,491	0	0	0	0	0
	Total - Federal Contributions	**18,403,936**	**36,392,805**	**8,809,148**	**7,137,944**	**8,809,148**	**7,137,944**
	Total - All Funds	**77,035,123**	**128,102,841**	**124,558,143**	**177,334,836**	**137,383,064**	**191,087,673**
	Emergency Assistance						
	General Fund						
029	Blood Tests in Paternity Actions	119,885	120,000	0	0	0	0
	Grant Payments - Other Than Towns						
	Refunds of Collection	1,409,117	1,351,477	1,000,000	1,000,000	1,000,000	1,000,000
	Total - General Fund	**1,529,002**	**1,471,477**	**1,000,000**	**1,000,000**	**1,000,000**	**1,000,000**
	Federal Contributions						
	Child Support	61,067	0	0	0	0	0
	Social Services Block Grant	0	0	120,000	120,000	120,000	120,000
	Total - Federal Contributions	**61,067**	**0**	**120,000**	**120,000**	**120,000**	**120,000**
	Total - All Funds	**1,590,069**	**1,471,477**	**1,120,000**	**1,120,000**	**1,120,000**	**1,120,000**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Child Support Enforcement						
	General Fund						
029	Blood Tests in Paternity Actions	0	0	0	0	0	0
	Grant Payments - Other Than Towns						
	Emergency Assistance	289,250	500	500	500	500	500
	Refunds of Collection	0	0	0	0	0	0
	Grant Payments - To Towns						
	Emergency Assistance to Families	5,705,376	2,250,000	0	0	0	0
	Total - General Fund	**5,994,626**	**2,250,500**	**500**	**500**	**500**	**500**
	Housing						
	General Fund						
	Grant Payments - Other Than Towns						
	Transitionary Rental Assistance	0	0	0	0	5,000,000	5,000,000
	Residences for Persons with AIDS	1,273,103	1,274,000	445,900	445,900	445,900	1,445,800
	Housing/Homeless Services	25,134,858	24,999,890	7,131,793	7,131,793	7,131,793	7,131,793
	Total - General Fund	**26,407,961**	**26,273,890**	**7,577,693**	**7,577,693**	**12,577,693**	**13,577,593**
	Federal Contributions						
	Congregate Housing Services Program	147,000	0	542,883	542,883	542,883	542,883
	Emergency Shelters	467,155	770,000	768,000	768,000	768,000	768,000
	Housing Opport. for Persons with AIDS	1,257,293	691,000	620,000	620,000	620,000	620,000
	Section 8 Housing Vouchers	5,363,008	4,649,671	4,649,671	4,649,671	4,649,671	4,649,671
	Section 8 Housing Certificate Program	14,904,719	13,743,555	13,743,555	13,743,555	13,743,555	13,743,555
	Community Services Block Grant	244,379	0	0	0	0	0
	Transition From Homelessness	91,248	505,000	0	0	0	0
	Social Services Block Grant	531,563	550,893	18,304,541	18,304,541	18,304,541	18,304,541
	Total - Federal Contributions	**23,006,365**	**20,910,119**	**38,628,650**	**38,628,650**	**38,628,650**	**38,628,650**
	Additional Funds Available						
	Private Contributions	47,759	615,765	615,765	615,765	615,765	615,765
	Total - All Funds	**49,462,085**	**47,799,774**	**46,822,108**	**46,822,108**	**51,822,108**	**52,822,008**
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
602	Medicaid	1,903,186,011	1,934,998,295	1,939,360,300	1,911,286,000	1,936,360,300	1,872,286,000
603	AFDC	309,277,572	297,982,958	0	0	0	0
604	Day Care	37,080,521	70,124,168	0	0	0	0
606	AFDC Unemployed Parent	20,598,732	25,281,917	0	0	0	0
607	Old Age Assistance	31,775,985	31,058,676	32,892,524	34,131,945	32,892,524	34,131,945
608	Aid to the Blind	600,057	560,636	599,762	653,275	599,762	653,275
609	Aid to the Disabled	71,933,403	66,233,762	69,400,908	70,713,168	69,400,908	70,713,168
610	Temporary Family Assistance	0	0	278,655,752	174,258,319	28,655,752	24,258,319
611	Temporary Assistance to Families - TANF	0	0	0	0	250,000,000	150,000,000
613	Emergency Assistance	289,250	500	500	500	500	500
615	Connecticut Pharmaceutical Assistance Contract to the Elderly	29,398,061	27,867,886	22,123,102	22,643,035	22,523,102	24,243,035
616	Maternal and Child Health Services	1,615,300	1,615,300	1,615,300	1,615,300	2,865,300	2,865,300
617	DMHAS-Disproportionate Share	191,000,000	191,000,000	191,000,000	191,000,000	191,000,000	191,000,000
618	Connecticut Home Care Program	13,755,452	13,748,218	13,601,835	13,601,835	14,601,835	14,601,835
621	Hospital Assistance Program	24,999,984	2,574,045	0	0	0	0
622	Safety Net Services	0	0	0	0	2,500,000	5,000,000
623	Transportation for Employment Independence Program	0	0	0	0	2,200,000	2,205,000
626	Transitionary Rental Assistance	0	0	0	0	5,000,000	5,000,000
627	Refunds of Collection	1,409,117	1,351,477	1,000,000	1,000,000	1,000,000	1,000,000
630	Energy Assistance	4,236,627	6,163,573	6,800,100	6,800,100	6,800,100	6,800,100
639	Child Care Certificate Program	10,243,589	10,243,589	0	0	0	0
640	Child Care Services	0	0	72,128,520	72,128,520	55,949,482	6,002,584
641	Child Care Services-TANF/CCDBG	0	0	31,333,810	85,466,912	51,592,848	151,592,848
642	Residences for Persons with AIDS	1,273,103	1,274,000	445,900	445,900	445,900	1,445,800
644	Supplemental Nutrition Assistance	389,120	409,520	81,904	81,904	91,904	91,904
649	Housing/Homeless Services	25,134,858	24,999,890	7,131,793	7,131,793	7,131,793	7,131,793
661	Child Day Care	5,593,155	5,593,155	6,080,165	6,242,501	8,761,207	12,423,543
665	AIDS Drug Assistance	592,000	592,000	592,000	592,000	592,000	592,000
667	Disproportionate Share-Medical Emergency Assistance	239,381,596	252,044,375	254,000,000	254,000,000	254,000,000	220,000,000
669	State Admin of General Assistance	0	16,234,414	40,790,000	39,070,000	58,682,500	66,302,500
670	School Readiness	0	0	0	0	6,063,879	7,571,795
	GRANT PAYMENTS - TO TOWNS (Recap)						
703	Emergency Assistance to Families	5,705,376	2,250,000	0	0	0	0
704	General Assistance	102,224,284	76,017,701	8,830,000	0	10,140,000	0
706	Child Day Care	5,252,824	5,252,824	5,710,200	5,862,659	5,710,200	5,862,659
	Agency Grand Total	**3,119,906,756**	**3,164,610,540**	**3,070,087,549**	**2,982,921,733**	**3,116,674,970**	**2,967,971,970**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**3,092,249,270**	**0**	**3,092,249,270**	**0**	**0**	**0**	**0**

Medicaid - Health Services Cost and Utilization Update - (B)

Medicaid is a joint federal-state entitlement program administered by the Department of Social Services that pays for health services for certain eligible groups of low income persons. Medicaid is partially funded by the federal government with each state having flexibility to design its own program within federal law (Title XIX of the Social Security Act). However, states can operate programs outside of the federal rules by seeking a waiver to substantially alter the program. Connecticut has several waivers including one to operate its managed care program and another for "home and community-based services" that allows for the provision of certain non-medical services to avoid more costly nursing home care. The state is also in the process of seeking a waiver to restructure the financing of long-term care.

Medicaid can be broadly divided into two categories: 1) Health Services; and 2) Long-term Care. Medicaid health service provides a wide array of services including hospital and physician services as well as vision, dental, pharmacy, transportation, home health care, and clinic services. Medicaid eligibility is based upon income requirements and asset limits and provides services for individuals on temporary family assistance (TFA), formerly AFDC, the aged, the blind, the disabled, and the medically needy. Services have historically been paid for on a fee-for-service basis. Within federal parameters, the state establishes reimbursement rates for all types of services. The utilization is a function of the number of eligible recipients actually using a particular health care services.

-(G) An increase in funding associated with increases in costs and utilization of services totaling $28,900,000 in FY98 and $31,400,000 in FY99 is recommended. Those categories of service include: 1) hospital inpatient and outpatient cost and utilization ($9,300,000 in FY98 and $8,600,000 in FY99); 2) pharmacy services ($5,300,000 in FY98 and $5,700,000 in FY99); and 3) other medical services increases related to utilization ($15,300,000 in FY98 and $17,100,000 in FY99). Also, a $1,000,000 reduction in FY98 is achieved through enhanced audits conducted by Health Management Systems, Inc. for reimbursements from Medicare coverage.

-(L) -(C) Increased costs and utilization of services totaling $26,900,000 in FY98 and $53,300,000 in FY99 is provided. Those categories of service include: 1) hospital inpatient and outpatient cost and utilization ($9,300,000 in FY98 and $8,600,000 in FY99); and 2) other medical services increases related to utilization ($18,600,000 in FY98 and $17,100,000 in FY99). Also, a $1,000,000 reduction in FY98 is achieved through enhanced audits conducted by Health Management Systems, Inc. for reimbursements from Medicare coverage.

Medicaid	0	26,900,000	0	60,300,000	0	-2,000,000	0	0
Total - General Fund	**0**	**26,900,000**	**0**	**60,300,000**	**0**	**-2,000,000**	**0**	**0**

Contract Purchasing of Pharmacy Services for Medicaid - (B)

The Medicaid program provides pharmacy services for eligible recipients. With the exception of clients enrolled in managed care plans, prescriptions are paid for on a fee-for-service basis. The 1995-97 Connecticut State Budget Revisions incorporates the following changes which were to result in savings for Medicaid Pharmacy Services. Changes included: 1) contracting out prescription services through a competitive bidding process; 2) implementation of an on-line utilization review system; 3) allowing for the restricting of initial maintenance drug prescriptions to a fixed amount; and 4) implementing the collection or rebates on certain drugs.

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(G) A reduction of $20,600,000 is recommended resulting from the implementation of several options concerning the operation of the state's Medicaid pharmacy program. The funding reduction reflects anticipated savings through improvements and modifications to the point of sale system (POS) and the full implementation of a drug utilization review (DUR) program. Other cost savings will accrue from: 1) limiting the use of H2 Antagonists; 2) implementing the collection of pharmaceutical rebates on "J" coded drugs; 3) increasing the accessibility of over-the-counter medications; 4) establishing a nursing home drug return program; 5) limiting first-time maintenance medications; and 6) establishing a program for utilization controls. A pharmacy board, created by the department, may make recommendations concerning additional cost savings that may be implemented by the department. It is anticipated that the Pharmacy Board will provide supplementary recommendations if progress towards achieving estimated savings does not appear to generate the necessary funds.								
-(L) A reduction of $20,600,000 is recommended resulting from the implementation of several options concerning the operation of the state's Medicaid pharmacy program. The funding reduction reflects anticipated savings through improvements and modifications to the point of sale system (POS) and the full implementation of a drug utilization review (DUR) program. Other cost savings will accrue from: 1) limiting the use of H2 Antagonists; 2) implementing the collection of pharmaceutical rebates on "J" coded drugs; 3) increasing the accessibility of over-the-counter medications; 4) establishing a nursing home drug return program; 5) limiting first-time maintenance medications; and 6) establishing a program for utilization controls. A pharmacy board, created by the department, may make recommendations concerning additional cost savings that may be implemented by the department. It is anticipated that the Pharmacy Board will provide supplementary recommendations if progress towards achieving estimated savings does not appear to generate the necessary funds.								
The department shall present to the legislature and the Office of Fiscal Analysis a progress report concerning the implementation of the various pharmacy savings options by February 4, 1998 .								
Medicaid	0	-20,600,000	0	-22,600,000	0	0	0	0
Total - General Fund	**0**	**-20,600,000**	**0**	**-22,600,000**	**0**	**0**	**0**	**0**
Contract for the Purchase of Non-Emergency Medical Transportation - (B) Medicaid recipients are eligible for transportation to and from medical appointments. Transportation can be by bus, taxi, invalid coach, ambulance, or air. Non-emergency transportation must be authorized in advance by the department, however the client arranges the mode of transportation. -(G) As a result of program changes enacted last year, the budget reflects annualized savings of $3,000,000 in FY 98 resulting from contracting for non-emergency transportation services. Services will be provided on competitive contracting basis for each region. -(L)Same as Governor								
Medicaid	0	-3,000,000	0	-3,000,000	0	0	0	0
Total - General Fund	**0**	**-3,000,000**	**0**	**-3,000,000**	**0**	**0**	**0**	**0**
Connecticut Home Care Program - (B) The program assists the frail elderly in avoiding unnecessary or premature nursing home care by making available a variety of community based services. The program is not an entitlement, rather the number of clients served is based upon the funding available. The services covered include from home-health aid, visiting nurses,								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
homemaker aides, adult day care, personal assistance, and meals on wheels. The home care program is funded from two separate accounts, the Medicaid account, which is matched by federal funds, and the Connecticut Home Care Program, which is funded solely by the state. Within the Medicaid account, home care services are billed to two categories: Connecticut Home Care community-based waiver services and home care. The community-based waiver services are directly attributable to the home care program while only a portion of the home health care services are assigned to the program. That portion of the program is estimated at 40 percent of the home health expenditures.								
-(G) Funding for the Community-Based Waiver Services portion of the Connecticut Home Care program is recommended to increase by $11,362,708 in FY98 and $2,429,856 in FY99. This will allow for a significant expansion of services for the home care program resulting in more frail elderly being served. At an average cost of $1,345 a month per client, this funding increase would allow the department to expand the current average monthly caseload of 4,151 by 704 clients.								
-(L) In addition to the governor's base service expansion of the Medicaid portion of the Connecticut Home Care Program, an additional $1,000,000 for each year of the biennium is provided expansion of the state-subsidized portion of the program. It is estimated that the average monthly caseload served under the state-subsidized program will increase by 155 clients adding to the 704 additional clients to be served through the community-based waiver. The Legislature's Program Review and Investigations Committee recommended eliminating the artificial expenditure cap of 5 percent. The funds provided will allow for significant program growth and expansion of services to the elderly. An additional $2,418,613 is anticipated to be available in this account through funds carried forward from FY 97.								
Medicaid	0	11,362,708	0	13,792,564	0	0	0	0
Connecticut Home Care Program	0	1,000,000	0	1,000,000	0	1,000,000	0	1,000,000
Total - General Fund	**0**	**12,362,708**	**0**	**14,792,564**	**0**	**1,000,000**	**0**	**1,000,000**
Medicaid Managed Care - (B)								
Escalation in Medicaid costs prompted both the federal and state governments to re-examine program operations and seek innovative alternatives to the financing and delivery of health services for eligible clients. In addition to soaring costs, persistent issues of access, quality of care, and provider reimbursement have plagued Medicaid programs for years. In the past, states attempted to contain costs by restricting eligibility, limiting benefits, and maintaining low payments to providers. These efforts met with little success as Medicaid costs continued to escalate. In 1993, the department sought, and the federal government subsequently approved, a waiver which allowed the state to require recipients to enroll in pre-paid managed health care plans.								
Connecticut began enrolling clients into private health care plans in October 1995. Enrollment has reached 212,000 clients being served by 11 managed care plans. The rate paid to those plans is actuarially based upon 95 percent of what would have been paid for under the fee-for-service reimbursement system. The enrollment of Medicaid recipients into managed care plans has resulted in a major change in the policy direction of the department for these clients. The role of the department has shifted from being a payer of publicly funded health services to being a contractor of private health plans, and thus moving the risk to those contractors who must cover the costs of their members within the contracted amounts. In addition, DSS is now responsible for ensuring that clients receive access to quality health care from the private plans that contract with the department.								
-(G) A net reduction in funding of $64,471,592 in FY98 and $45,597,106 in FY99 is recommended as a result of several caseload, service cost, and policy adjustments. Increase								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
in the capitation rate for Medicaid managed care plans result in costs of $18,964,049 in FY98 and $2,059,742 in FY99. Reductions in fee-for-service health care expenditures by moving clients into managed care plans results in a funding reduction of $43,635,641 in FY98 and $28,000,000 in FY99. A base reduction of $16 million in FY 99 is necessary to reflect the one-time speed-up costs in FY 98 associated with managed care. Caseload changes in the Medicaid program attributable to welfare reform and program changes result in a $5,500,000 reduction in FY98 and a $1,243,152 increase in FY99. Finally, the department is required to competitively bid for all managed care contracts which will result in a savings of $34,300,000 FY98 and an additional $4,900,000 in FY99. -(L)Same as Governor								
Medicaid	0	-64,471,592	0	-110,068,698	0	0	0	0
Total - General Fund	**0**	**-64,471,592**	**0**	**-110,068,698**	**0**	**0**	**0**	**0**
Medicaid - Nursing Home Care - (B) -(G) Funding for nursing home services would increase by $65,110,000 in FY98 and $70,215,798 in FY99 as required by statue. This increase is to be offset by a recommended legislative change that limits the rate increase in FY98 to 3.5 percent and 4 percent in FY99 for a savings of $30,000,000 in each fiscal year. An increase of $3,829,177 in FY98 is provided for a retroactive rate adjustment. A one-time rate recoupment for prior years of $10,000,000 taken in FY97 is added back to the FY98 base expenditure. Finally, enhancing audit recoveries through the use of private contractors is recommended, resulting in a funding reduction of $8,950,000 in FY98 of which $5.6 million is attributable to Medicaid long-term care. The net expenditure increase for nursing home care in FY98 is $39,989,177 and $80,215,798. -(L) In the absence of specific legislation, funding for nursing home services will increase by $65,110,000 in FY98 and $70,215,798 in FY99 for statutory rate increases per CGS Section 17-b-340(f). The governor's recommended budget proposed a rate increase limit of 3.5 percent in FY 98 and 4 percent in FY 99 for a savings of $30,000,000 in each fiscal year. A lower increase was established by legislation limiting the maximum rate increase of 2 percent in FY98 and a maximum of 2 percent, with a minimum of 1 percent, in FY 99, resulting in additional savings of $10,000,000 for FY98 and $20 million in FY99. An increase of $3,829,177 in FY98 is provided for a retroactive rate adjustment. A one-time rate recoupment for prior years of $10,000,000 taken in FY 97 is recommended to be added back to the FY98 base expenditure. Finally, enhancing audit recoveries through the use of private contractors is recommended to result in a funding reduction of $8,950,000 in FY98. The net expenditure increase for nursing home care in FY98 is $29,989,177 and $60,204,975 in FY99.								
Medicaid	0	29,989,177	0	60,204,975	0	-10,000,000	0	-20,000,000
Total - General Fund	**0**	**29,989,177**	**0**	**60,204,975**	**0**	**-10,000,000**	**0**	**-20,000,000**
Restructure Medical Assistance and Implement an 1115 Research and Demonstration Waiver for the Medicaid Population - (B) Over the past two years the state has implemented managed care for certain segments of the Medicaid population that has resulted in significant savings. Additional savings will be achieved through a variety of efforts that will affect the remaining portion of Medicaid services. Those efforts include the development and implementation of an 1115 Waiver for clients served both by Medicaid and Medicare which are primarily the elderly and the disabled.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Connecticut is in the process of developing, for submission to the federal government, an 1115 Research and Demonstration Waiver for the dually eligible Medicaid population. The waiver is intended to allow the department to enroll the dually eligible population, those eligible for both Medicaid and Medicare benefits, into Integrated Services Networks (ISNs). The department will then contract with ISNs to provide the coordinated delivery of all necessary services which a client may require. ISNs will provide a broad array of services targeted to each individuals needs as determined by an initial screening and periodic assessments. While the number of dually eligible persons is relatively small (16 percent of the Medicaid caseload), they consume 51 percent of the Medicaid expenditures. The majority of dually eligible clients are over the age of 65 and the majority of funds are spent on nursing home services. ISNs will be responsible for providing primary and acute care health services as well as long term care services funded by both Medicare and Medicaid. ISNs will receive a capitation rate for each enrollee based upon the enrollee's level of health care risk.								
-(G) The governor's recommended budget incorporates $55,000,000 in savings for the Medicaid account for FY99. A significant portion of this savings will result from the implementation of the 1115 Waiver. Additional savings will be achieved through other efforts to restructure the delivery of Medicaid services not covered by managed care contracts. Those efforts are unspecified at this time but may include revisions on how the state purchases services on a contractual basis. No reductions have been included for FY98 as approval and implementation of the 1115 waiver will require significant time for development and planning. -(L)Same as Governor								
Medicaid	0	0	0	-55,000,000	0	0	0	0
Total - General Fund	**0**	**0**	**0**	**-55,000,000**	**0**	**0**	**0**	**0**
Caseload, Utilization, and Funding Adjustments for Intermediate Care Facilities for the Mentally Retarded (ICF/MR) and Chronic Disease Hospitals - (B) Long term care services are provided for the mentally retarded and those with chronic health diseases. -(G) As a result of increased utilization for services, funding enhancement of $4,750,000 for FY98 and $8,160,900 in FY99 is recommended. A decrease of $1,695,156 is recommended as a result of transferring funds to the Department of Mental Retardation for community-based ICF/MR services. -(L)Same as Governor								
Medicaid	0	3,054,844	0	11,215,744	0	0	0	0
Total - General Fund	**0**	**3,054,844**	**0**	**11,215,744**	**0**	**0**	**0**	**0**
Hospital Retroactive Payment Adjustment - (B) -(G) A reduction in funding of $19,345,781 for FY98 and $10,500,000 for FY99 is recommended to account for an adjustment to a decrease in Medicaid hospital expenditures. -(L)Same as Governor								
Medicaid	0	-19,345,781	0	-29,845,781	0	0	0	0
Total - General Fund	**0**	**-19,345,781**	**0**	**-29,845,781**	**0**	**0**	**0**	**0**
Delay in Payment for Prepaid Capitation - (B) The Department of Social Services operates its Medicaid managed care program on a prospective prepaid capitation payment system. Payments for enrollees under the managed care program are made on the first day of the month immediately following their enrollment into a Medicaid health plan.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L) A reduction of $28,000,000 in FY 99 is made to reflect the transfer of the prepaid capitation fees from June of FY 99 to July of FY 00. Prepaid capitation payment scheduled to be made to the Medicaid managed care plans in June, 1999 will be postponed to July, 1999. The July, 1999 payment will consist of both the normally scheduled July payment and that of the postponed June payment.								
Medicaid	0	0	0	-28,000,000	0	0	0	-28,000,000
Total - General Fund	**0**	**0**	**0**	**-28,000,000**	**0**	**0**	**0**	**-28,000,000**
Medicare Premium Rate Increase - (B) The state pays for Medicare premiums for the Medicaid eligible clients who otherwise could not afford coverage. By doing so, health services for these clients are paid for by Medicare instead of Medicaid resulting in a savings to the state.								
-(G) An funding increase of $2,816,252 for Medicare premiums rates is recommended for FY99. -(L)Same as Governor								
Medicaid	0	0	0	2,816,252	0	0	0	0
Total - General Fund	**0**	**0**	**0**	**2,816,252**	**0**	**0**	**0**	**0**
Speed-up of Population Served by Medicaid - (B) Currently, Medicaid health services are available to children age 0 - 13 who are at or below 185% of the federal poverty level. Children who are born after September 30, 1983 and are below 185% of poverty are eligible for Medicaid. However, children who are age 14-18, born before September 30, 1983 are not eligible for Medicaid. Children who are age and income eligible are entitled to full health coverage and are generally enrolled in the state's Medicaid managed care plans. They receive a wide array of services including hospital and physician services as well as vision, dental, pharmacy and clinical services. -(L) Expansion of Medicaid services to children age 14 - 16, at or below 185% of poverty is provided and will result in additional expenditures of $5,000,000 for FY 98. Expansion of Medicaid services to children age 14-17, at or below 185% of poverty is provided and will result in additional expenditures of $5,000,000 for FY 99. Expanding services to these children is a speedup of eligibility as the first group of children born after September 30, 1983 will turn 14 during FY 98 and will automatically be eligible for Medicaid. All those eligible are required to apply prior to being enrolled. These children would only be eligible for Medicaid if they became uninsured, or sought additional coverage to supplement their private insurance. Studies indicate that when insurance coverage is expanded, a certain percentage of the insured population will take advantage of Medicaid coverage because it either provides for better coverage than their private plan or they are paying a premium and would incur no costs if they chose Medicaid. It is anticipated that a portion of the insured population will take advantage of Medicaid coverage. It is also assumed that a certain percentage of those uninsured and eligible will not apply for coverage thus not incurring any Medicaid costs. All those children who are enrolled will become part of the state's Medicaid managed care population. It is anticipated that the Department of Social Service will work in conjunction with the Children's Health Council in the monthly reporting of child Medicaid enrollment data to the Office of Fiscal Analysis. Such reports shall include the number of children enrolled due to the speedup by age group, and whether the child was born prior to September 30, 1983 as such information is necessary to re-examine whether Medicaid can be extended to income eligible 18 year olds for FY 1999.								
Medicaid	0	5,000,000	0	5,000,000	0	5,000,000	0	5,000,000
Total - General Fund	**0**	**5,000,000**	**0**	**5,000,000**	**0**	**5,000,000**	**0**	**5,000,000**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Supplemental Rates for Enhancement of Services Provided by Federally Qualified Community Health Centers - (B)								
-(L) Funds in the amount of $4 million are provided in FY98 and FY99 to allow for Federally qualified community health centers to select the option to be reimbursed on a cost basis based upon the federal provisions found in section 1902(a)(13(E) of the Social Security Act.								
Medicaid	0	4,000,000	0	4,000,000	0	4,000,000	0	4,000,000
Total - General Fund	**0**	**4,000,000**	**0**	**4,000,000**	**0**	**4,000,000**	**0**	**4,000,000**
ConnPACE Program Cost Changes - (B)								
The ConnPACE program provides prescription drugs for the elderly who meet certain income guidelines. Membership in the program requires the payment of an annual fee as well a co-pay requirement to obtain drugs. Over 40,000 elderly residents participate in the program. The program is also open to certain disabled individuals. ConnPACE members receive their prescriptions through retail pharmacies.								
-(G) A net reduction of $4,605,526 is recommended in FY98 and an increase of $519,933 is recommended for FY99. A substantial portion of the decrease in funding for FY98, $4,495,977, is to be achieved through contract purchasing of drugs. Other changes include an increase resulting from higher cost per claim, $1,709,371 in FY98 and $1,566,331 in FY99. These increases are offset by reductions in caseload and other minor changes amounting to reduced funding of $1,818,920 in FY98 and $1,046,398 in FY99.								
-(L)Same as Governor								
Connecticut Pharmaceutical Assistance Contract to the Elderly	0	-4,605,526	0	-4,085,593	0	0	0	0
Total - General Fund	**0**	**-4,605,526**	**0**	**-4,085,593**	**0**	**0**	**0**	**0**
Reduce Disproportionate Share Payments - (B)								
-(L) The payments under the Disproportionate Share program for Medical Emergency Assistance are reduced.								
Disproportionate Share-Medical Emergency Assistance	0	0	0	-34,000,000	0	0	0	-34,000,000
Total - General Fund	**0**	**0**	**0**	**-34,000,000**	**0**	**0**	**0**	**-34,000,000**
Increase Income Eligibility Limits/ConnPACE - (B)								
To be eligible for the Connecticut Pharmaceutical Assistance Contract to the Elderly and the Disabled (ConnPACE) Program an individual must have an annual adjusted gross income of less than $13,800 if single, or combined income of less than $16,600 if married. In addition, the applicant may not be enrolled in Medicaid; have prescription drug coverage that pays a portion or all of each prescription purchased; or have prescription drug coverage after a deductible has been met.								
-(L) Funding, in the amount of $400,000 in FY 98 and $1,600,000 in FY 99, is provided to reflect costs associated with an annual increase in the income eligibility limits under the ConnPACE program to reflect the cost of living adjustment granted to Social Security recipients. Such increase will be effective January 1, 1998.								
Connecticut Pharmaceutical Assistance Contract to the Elderly	0	400,000	0	1,600,000	0	400,000	0	1,600,000
Total - General Fund	**0**	**400,000**	**0**	**1,600,000**	**0**	**400,000**	**0**	**1,600,000**
Children's Health Initiative - (B)								
The Children's Health Initiative funds the Connecticut's Children's Health Project and the Children's Health Council. The council is composed of three staff and an executive director and is responsible for monitoring and evaluating compliance for various aspects of the state's Medicaid managed care program. In cooperation with the Department of Social Services, the council evaluates managed care encounter data and examines compliance with federal early and periodic screening, diagnosis and treatment (EPSDT) requirements. The council is also responsible for increasing access to health care services								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
for children. The council reports its findings to the executive and legislative branches and provides information on children's health issues when requested.								
-(L) The governor's recommended budget provides for $2,440,359 in FY98 and FY99 to fund the Children's Health Project. The committee agrees with the proposed appropriation.								
Transfer of Funds to Reflect State Administration of General Assistance - (B)								
General Assistance (GA) provides financial and medical assistance to low income families and individuals. The state took over the administration of GA for 11 major towns on April 1, 1997 and will take over administration for most of the remaining towns on July 1, 1998. The eleven towns taken over first represent over 80% of the total state caseload. The state assumed 100% responsibility for the cost of benefits for the GA/SAGA program on April 1, 1997.								
-(G) The governor recommends transferring $72,986,290 from the General Assistance account to the State Administered General Assistance account to reflect the change of administration.								
-(L)Same as Governor								
State Admin of General Assistance	0	72,986,290	0	85,046,290	0	0	0	0
General Assistance	0	-72,986,290	0	-85,046,290	0	0	0	0
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**
Adjust General Assistance Medical Costs to Reflect State Takeover - (B)								
The state took over the administration of GA for 11 major towns on April 1, 1997 and will take over administration for most of the remaining towns on July 1, 1998.								
-(G) The governor recommends an increase of $5,913,770 to reflect the cost of the state takeover of the GA medical program. This cost is associated with an overlap in the early months of FY 98 between medical bills directly paid under the new State Administered General Assistance (SAGA) program and the continued receipt of bills from the town administered program for medical services delivered prior to the takeover. There is also an anticipated cost of $700,439 in FY99 due to a similar overlap in the takeover of the GA medical programs from the smaller municipalities on July 1, 1998.								
-(L)Same as Governor								
State Admin of General Assistance	0	5,913,770	0	700,439	0	0	0	0
Total - General Fund	**0**	**5,913,770**	**0**	**700,439**	**0**	**0**	**0**	**0**
Caseload and Cost Annualization for General Assistance - (B)								
-(G) These adjustments recognize a variety of program cost and caseload changes that the department anticipates for the GA/SAGA program under current statute. These adjustments include a reduction for the annualization of the Department of Labor grant for GA employables, the cost of the state assuming responsibility of 100% of the program costs on April 1, 1997, a cost of living increase, and a variety of other miscellaneous changes.								
-(L) A caseload adjustment for FY 98 results in additional costs of $3,292,500 in both years of the biennium.								
State Admin of General Assistance	0	1,223,563	0	3,180,892	0	3,292,500	0	3,292,500
Total - General Fund	**0**	**1,223,563**	**0**	**3,180,892**	**0**	**3,292,500**	**0**	**3,292,500**
Adjust GA Medical Costs Due to Time Limits - (B)								
Financial benefits for employable GA recipients are subject to time limits of six months for those employable - job ready and sixteen months for those employable - not job ready. Although only GA financial benefits are subject to these time limits, the utilization of medical benefits has also declined with the declining employable financial caseload.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(G) The governor recommends a reduction in funding for SAGA medical benefits to reflect the decreased utilization of medical benefits by those GA recipients whose financial benefits have ceased. -(L)Same as Governor								
State Admin of General Assistance	0	-9,537,212	0	-9,537,212	0	0	0	0
Total - General Fund	**0**	**-9,537,212**	**0**	**-9,537,212**	**0**	**0**	**0**	**0**
Eliminate Standards Increase for General Assistance - (B) The current service estimates for FY 98 and FY99 include a cost of living increase for the financial benefits in the General Assistance program. -(G) The governor recommends eliminating all cost of living increases for clients of public assistance. This effort is expected to save $890,000 in FY98 and $1,860,000 in FY99 in the GA/SAGA program. -(L)Same as Governor								
State Admin of General Assistance	0	-790,000	0	-1,860,000	0	0	0	0
General Assistance	0	-100,000	0	0	0	0	0	0
Total - General Fund	**0**	**-890,000**	**0**	**-1,860,000**	**0**	**0**	**0**	**0**
Transfer SAGA Behavioral Health Funds - (B) Currently, a large portion of medical services provided to clients of the General Assistance (GA) program is attributable to behavioral health services. Much of this sum is spent on substance abuse inpatient care or on substance abuse residential treatment services. Although the Department of Mental Health and Addiction Services (DMHAS) is the default provider of such services for low income individuals in Connecticut, DMHAS currently only provides services for GA recipients who are part of the Department of Social Services' managed care effort. In accordance with PA 95-194, Section 18, DMHAS is required to provide behavioral health services for recipients of GA. -(G) The governor recommends transferring $25,200,000 to DMHAS for the provision of all drug and alcohol related behavioral health services for all GA/SAGA clients. -(L)Same as Governor								
State Admin of General Assistance	0	-25,200,000	0	-25,200,000	0	0	0	0
Total - General Fund	**0**	**-25,200,000**	**0**	**-25,200,000**	**0**	**0**	**0**	**0**
Eliminate General Assistance Benefits to Employables - (B) Currently, the three categories of General Assistance (GA) recipients are: 1) unemployable; 2) employable - not job ready; 3) and employable - job ready, as determined by the Department of Social Services. Those recipients deemed employable - not job ready are limited to a total of sixteen months of cash benefits in a two-year period. Those recipient deemed employable - job ready are limited to a $100 stipend for a maximum of six months and are referred to the Department of Labor for job training and placement. There are no time limits on unemployable recipients, nor on GA medical benefits for any category of recipient. -(G) The governor recommends eliminating both financial and medical benefits for those GA recipients deemed to be employable - job ready or employable - not job ready. Both medical and financial benefits for those deemed to be unemployable would be continued under this proposal. It is anticipated that this will save $19,920,000 in FY98 and $28,700,000 in FY99, with additional savings realized through the GA behavioral health program in the Department of Mental Health and Addiction Services. -(L) Funding of $4,390,000 in FY 98 and $12,420,000 in FY 99 is provided to reflect the legislature's intention to continue the provision of medical benefits for employable recipients of General Assistance. An additional $8,800,000 is provided to reflect the replacement of the current cash benefit system for GA recipients deemed employable - not job ready with a benefit system for a new category of General Assistance for transitional individuals.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
A transitional individual is eligible if he or she has a medically documented short term disability or is participating in an approved mental health or substance abuse treatment plan. Such individuals are eligible for up to $200 a month in cash benefits. The categories of employable - job ready and employable - not job ready are eliminated. PA 97-2 implements these changes.								
State Admin of General Assistance	0	-5,410,000	0	-7,480,000	0	12,210,000	0	21,220,000
General Assistance	0	-1,320,000	0	0	0	980,000	0	0
Total - General Fund	**0**	**-6,730,000**	**0**	**-7,480,000**	**0**	**13,190,000**	**0**	**21,220,000**
Adjust Audits to Reflect Changes in the General Assistance Program - (B) The General Assistance Program is a collaboration between the state and the municipalities. Although the state pays for the majority of benefits, the towns had been responsible for the administration of the program to the recipients. The Department of Social Services performs retrospective audits of the municipal caseloads and payment records to ensure that program funds have been spent in accordance with state rules and that billings are correct. Any overpayments are collected through adjustments to the General Assistance town grants. -(G) The governor recommends enhancing these audit recoveries by contracting with a third party to conduct audits of GA/SAGA hospital billings. This change is anticipated to save $1,000,000 annually in the GA/SAGA program. However, due to the fact that the state will assume control of the general assistance program statewide by July 1, 1998, it is anticipated that the state program will lose audit revenue at that time, as there will be fewer town programs to audit. To account for this change, the SAGA account is increased by $4,100,000 in FY99. -(L)Same as Governor								
State Admin of General Assistance	0	-1,000,000	0	3,100,000	0	0	0	0
Total - General Fund	**0**	**-1,000,000**	**0**	**3,100,000**	**0**	**0**	**0**	**0**
Implement Managed Care for Recipients of General Assistance - (B) The Department of Social Services will spend over $70 million in FY 97 for medical care for recipients of General Assistance. The recipients receive their medical care on a fee-for-service basis, which provides few incentives to control costs and utilization. Although the financial portion of the GA program has undergone radical changes in recent years to contain escalating costs, there have been few changes to the medical portion of the program. The Connecticut State Budget 1995-97 Revisions removed $1,000,000 to reflect the establishment of a managed care system for GA recipients. -(G) The governor recommends enrolling GA recipients in managed care plans beginning April 1, 1997, rather than in FY 98 as originally scheduled. The governor estimates that the conversion from a fee-for-service reimbursement system to a prepaid capitated system for GA unemployables will reduce medical costs by 10 percent when fully implemented after various adjustments, including start-up costs. -(L) A total of $4,710,000 in FY 98 and $680,000 in FY 99 is removed to reflect savings realized from the implementation of managed care for recipients of General Assistance. These reductions are based upon a savings of 10% of the GA medical cost remaining after the behavioral health services are transferred to the Department of Mental Health and Addiction Services.								
State Admin of General Assistance	0	-4,210,000	0	-680,000	0	2,390,000	0	2,720,000
General Assistance	0	-500,000	0	0	0	330,000	0	0
Total - General Fund	**0**	**-4,710,000**	**0**	**-680,000**	**0**	**2,720,000**	**0**	**2,720,000**
Speed-Up Managed Care Implementation for Recipients of General Assistance - (B) Although the General Assembly assumed that Managed Care would be implemented in General Assistance for FY 97, the department did not anticipate enrolling GA recipients into managed care plans until September, 1997.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(G) The governor recommends enrolling GA recipients in managed care plans beginning April 1, 1997 rather than by September as originally scheduled. It is anticipated that this speed-up of managed care implementation will cost $4,878,582 in FY98. This cost for managed care is primarily associated with the payment for new pre-paid health plans and the continued receipt of bills from the previous fee-for-service system for medical services delivered prior to July 1, 1997. As this overlap will continue only for a short time in FY98, the annualization results in a net savings of $795,416 in FY99. -(L)Same as Governor							
State Admin of General Assistance	0	4,878,582	0	-795,416	0	0	0	0
Total - General Fund	**0**	**4,878,582**	**0**	**-795,416**	**0**	**0**	**0**	**0**
Carry-Forward of Appropriations from FY 98 Surplus - (B) -(L) The sum of $5.2 million is carried forward to FY98 from the FY97 surplus funding for the purpose of implementing a managed care system for the General Assistance population. This FY97 funding shall not lapse on June 30, 1997, and will be available to DSS for the implementation of this managed care system. This implements a provision of PA 97-11 from the June Special Session.								
Carry Forward - FY 97 Surplus Appropriations	0	5,200,000	0	0	0	5,200,000	0	0
Total - Carry Forward - FY 97 Surplus Appropriations	**0**	**5,200,000**	**0**	**0**	**0**	**5,200,000**	**0**	**0**
Aged, Blind, and Disabled Caseload and Cost Per Case Change - Supplemental Assistance - (B) Supplemental assistance consists of funds for Old Age Assistance, Aid to the Blind, and Aid to the Disabled. These programs provide monthly financial assistance to low income individuals. All supplemental assistance programs are entirely state funded but operate under both state and Federal guidelines. In order to receive benefits, a person must have another source of income to supplement, such as Social Security, Supplemental Security Income, or Veteran's Benefits. All recipients are automatically eligible for Medicaid. -(G) These adjustments recognize a variety of program cost and caseload changes that the department anticipates for the supplemental assistance programs under current statute. These adjustments include caseload and cost-per-case changes as well as a standards increase. -(L)Same as Governor								
Old Age Assistance	0	2,046,378	0	3,938,491	0	0	0	0
Aid to the Blind	0	86,194	0	202,090	0	0	0	0
Aid to the Disabled	0	3,742,635	0	6,943,097	0	0	0	0
Total - General Fund	**0**	**5,875,207**	**0**	**11,083,678**	**0**	**0**	**0**	**0**
Eliminate Standards Increase for Supplemental Assistance - (B) The current service estimates for FY 98 and FY99 include a cost of living increase for the Old Age Assistance, Aid to the Blind, and Aid to the Disabled programs. -(G) The governor recommends eliminating all cost of living increases for clients of public assistance. This effort is expected to save $1,314,935 in FY98 and $2,606,627 in FY99 in the supplemental assistance programs. -(L)Same as Governor								
Old Age Assistance	0	-356,308	0	-710,555	0	0	0	0
Aid to the Blind	0	-6,192	0	-13,637	0	0	0	0
Aid to the Disabled	0	-952,435	0	-1,882,435	0	0	0	0
Total - General Fund	**0**	**-1,314,935**	**0**	**-2,606,627**	**0**	**0**	**0**	**0**
Enhanced Auditing Aid to the Disabled Account - (B) The Department of Social Services performs retrospective audits of the supplemental assistance caseloads and payment records to ensure that program funds have been spent in accordance with state rules and that billings are correct. Audit recoveries reduce state program expenditures.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(G) The governor recommends enhancing these audit recoveries by contracting with a third party to conduct these audits. This change is anticipated to save $300,000 annually in the Aid to the Disabled program. -(L)Same as Governor								
Aid to the Disabled	0	-300,000	0	-300,000	0	0	0	0
Total - General Fund	**0**	**-300,000**	**0**	**-300,000**	**0**	**0**	**0**	**0**
Adjust Supplemental Assistance for the Social Security Offset - (B) Individuals Supplemental Assistance must have another source of income, such as Social Security to qualify for supplemental assistance. -(G) Under the current Connecticut program, whenever a recipient of Supplemental Assistance is granted a cost of living adjustment (COLA) in their Social Security benefit, the amount by which their Federal benefit increased is deducted from the amount of their monthly supplemental assistance check. The governor's budget reflects that the supplemental assistance account is reduced by $1,328,510 in FY98 and $2,640,095 in FY99 to reflect this offset of Federal COLAs. -(L)Same as Governor								
Old Age Assistance	0	-337,971	0	-636,416	0	0	0	0
Aid to the Blind	0	-55,057	0	-109,995	0	0	0	0
Aid to the Disabled	0	-935,482	0	-1,893,684	0	0	0	0
Total - General Fund	**0**	**-1,328,510**	**0**	**-2,640,095**	**0**	**0**	**0**	**0**

Federal Welfare Reform Law and Creation of the Temporary Family Assistance (TFA) Program - (B)

The Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (PRWORA), the federal welfare reform law passed in August of 1996, requires numerous changes to the state's welfare programs. The welfare reform consolidates funding for AFDC, Emergency Assistance to Families, and JOBS and child care into two block grants: 1) the Temporary Assistance to Needy Families (TANF) Block Grant and 2) the Child Care Development Block Grant (CCDBG). The amount of the block grant is based on previous federal expenditures in the state's welfare programs. Connecticut's TANF block grant for FY98 amounts to $266,788,000, and will remain at that amount each year through the year 2002. The CCBDG is estimated to be $34 million in FY 98 and will likely increase slightly over the next five years.

The purpose of TANF is to provide assistance to needy families with children so they can be cared for in their own home, and to reduce dependency by promoting job preparation, work and marriage. The state may also use funds on efforts to prevent out-of-wedlock pregnancies and encourage the formation and maintenance of two-parent families. TANF ends the federal entitlement of individuals to cash assistance under Title IV-A of the Social Security Act and provides flexibility to determine eligibility and benefit levels. In general, the state may use its TANF block grant allocation for any "manner reasonably calculated to accomplish the purpose of TANF."

In order to achieve its purpose, TANF requires adults families receiving assistance to participate in work activities after receiving assistance for 24 months, unless they qualify for good-cause exemptions set by the state. Also, families are limited to 60 months of TANF assistance under federal guidelines, but the state may use non-TANF block grant funds to provide assistance after 60 months. As a condition of receiving assistance, the family must assign child support rights to the state. The family must also cooperate in establishing paternity, or in establishing, modifying or enforcing a support order (unless the individual qualifies for a good cause exception). The state may deny benefits to individuals convicted of felony drug possession, use, or distribution. Finally, no part of the state's TANF grant may be used for medical services except for pre-pregnancy planning services.

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount

In order for the state to receive its full TANF block grant allocation, it must meet a Maintenance-Of-Effort (MOE) requirement of expenditures on programs to help needy families. The MOE requires that the state must meet at least 80 percent of the FY94 expenditures on AFDC, JOBS, AFDC-related child care, and EA. The MOE will be reduced to 75 percent of this amount if at least 25 percent of families receiving assistance meet the work requirement (either work for a minimum number of hours per week or participate in job skills training programs). For expenditures counted toward the MOE requirement, only 15 percent can be used to cover administrative costs. Connecticut's MOE requirement for FY98 is estimated to be $196,000,000; or, if certain requirements are met, $183,750,000. TANF also allows for up to 30 percent of the grant to be transferred in the Child Care Development Block Grant, of which one-third may be transferred to the Social Services Block Grant.

The Temporary Family Assistance (TFA) program for Connecticut replaces the AFDC program. TFA provides cash assistance to eligible families in accordance with the TANF program under PRWORA. The TFA program forms the basis for Connecticut's welfare reform program, Jobs First. Eligibility is based on a number of factors such as family income, town of residence, family assets, and age of the children. Families eligible for TFA may qualify for Medicaid. Individuals receive assistance with child care, transportation and employment.

Connecticut's TFA program limits cash assistance to families for 21 months with possible extensions of 6 months for good-cause exceptions. Families with no employable adults or with children under one year of age are exempt from the time limit. Earned income does not affect the amount of benefits for families within the 21 month time limit. The first $100 of child support collections are passed directly through to the TFA family without a reduction in benefits. Finally, TFA provides sanctions when recipients voluntarily quit a job. The sanction is a 20% reduction in benefits for 3 months for the first time the recipient quits, a 35% reduction for 6 months the second time, and a 100% reduction for 3 months the third time. In addition, recipients not cooperating with department policy may result in sanctions.

-(G) Transfer of AFDC and AFDC-UP funding to the newly created Temporary Family Assistance program.

To conform with the federal welfare reform requirements under PRWORA, the governor's budget recommends the creation of the Temporary Family Assistance (TFA) program created to provide financial assistance to low income families under TANF guidelines. The Department of Social Services is responsible for administering the TFA program. AFDC and AFDC-UP are eliminated and the funds are transferred into the new TFA account.

-(L) Transfer of AFDC and AFDC-UP funding to the newly created Temporary Family Assistance program.

To conform with the federal welfare reform requirements under PRWORA, the governor's budget recommends the creation of the Temporary Family Assistance (TFA) program created to provide financial assistance to low income families under TANF guidelines. The Department of Social Services is responsible for administering the TFA program. AFDC and AFDC-UP are eliminated and the funds are transferred into the new TFA account.

In PRWORA, Congress required state legislatures to specifically appropriate the funds available under the new TANF and CCD Block Grants. In order to meet this requirement and establish an appropriation of Federal funds, two new accounts are created - 611 Temporary Assistance to Families - TANF and 641 Child Care Services - TANF/CCDBG. A total of $301,592,848 is appropriated under these two accounts in each year of the biennium.

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
These funds represent the appropriation of the Federal dollars that were previously funded under the old AFDC and related programs.								
AFDC	0	-296,055,058	0	-296,055,058	0	0	0	0
AFDC Unemployed Parent	0	-24,588,119	0	-24,588,119	0	0	0	0
Temporary Family Assistance	0	70,643,177	0	170,643,177	0	-250,000,000	0	-150,000,000
Temporary Assistance to Families - TANF	0	250,000,000	0	150,000,000	0	250,000,000	0	150,000,000
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**
Caseload Reduction Update - TFA - (B) With welfare reform time limits of 21 months implemented for TFA clients, it is anticipated that the caseloads will decline as limits begin to be reached in November of 1997. -(G) To reflect these changes in caseloads, a reduction in funding for TFA is recommended in the amount of $29,164,231 for FY98. In FY99, the trend is expected to continue so an additional reduction in funding of $125,984,120 is recommended for the second year. -(L)Same as Governor								
Temporary Family Assistance	0	-29,164,231	0	-125,984,120	0	0	0	0
Total - General Fund	**0**	**-29,164,231**	**0**	**-125,984,120**	**0**	**0**	**0**	**0**
Standards Increase for TFA Clients - (B) -(G) An increase in funding, in the amount of $7,525,740, is recommended in FY98 to reflect the 2.6% cost of living adjustment (COLA) for Temporary Family Assistance (formerly AFDC and AFDC-UP). In FY99, the same 2.6% COLA leads to a recommended further increase in funding in the amount of $12,494,685. -(L)Same as Governor								
Temporary Family Assistance	0	7,525,740	0	12,494,685	0	0	0	0
Total - General Fund	**0**	**7,525,740**	**0**	**12,494,685**	**0**	**0**	**0**	**0**
Elimination of Standards Increase for TFA Clients - (B) -(G) A reduction in funding, in the amount of $7,525,740, is recommended in FY98 to reflect the elimination of the 2.6% cost of living adjustment (COLA) for Temporary Family Assistance (formerly AFDC and AFDC-UP). In FY99, the elimination of the COLA leads to a recommended further reduction in funding in the amount of $12,494,685. -(L)Same as Governor								
Temporary Family Assistance	0	-7,525,740	0	-12,494,685	0	0	0	0
Total - General Fund	**0**	**-7,525,740**	**0**	**-12,494,685**	**0**	**0**	**0**	**0**
Compliance with Federal Welfare Law Provisions - (B) -(G) Various program changes necessary for compliance with the new Federal law result in decreases in spending for FY98 of $2,027,466 and for FY99 of $2,856,062. -(L)Same as Governor								
Temporary Family Assistance	0	-2,027,466	0	-2,856,062	0	0	0	0
Total - General Fund	**0**	**-2,027,466**	**0**	**-2,856,062**	**0**	**0**	**0**	**0**
Enhancement of Earned Income Tax Credit (EITC) - (B) The Earned Income Tax Credit (EITC) is a federal tax credit for low-income employed families with children. Families whose wages are low can qualify for an EITC of as much as $3500 annually, if they apply. The Advanced Earned Income Tax Credit (AEITC) pays out the tax credit in advance of the annual federal refund, thereby increasing the low-wage earner's monthly disposable income. -(G) Many working welfare clients would qualify for the program, but do not know of the program. The Governor proposes enhancement of Connecticut's AEITC program to increase awareness among welfare recipients that are potentially eligible for the tax credit. This entails hiring a contractor to assist employees and employers in filling out the proper paperwork to make working clients eligible to								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
collect the AEITC. The contractor will also conduct an outreach and education campaign to raise awareness of AEITC availability. The recommended funding for enhancing EITC is $2,355,656 for contractual services to be paid for through other expenses. This additional cost is reflected under the Department's Management Services section. The enhancement of AEITC is anticipated to reduce the number of TFA recipients by moving some clients above the poverty threshold, thus reducing the caseload and funding in the amount of $4,825,207 for the TFA program in FY98 and $3,363,128 in FY99. -(L)Same as Governor								
Temporary Family Assistance	0	-4,825,207	0	-3,363,128	0	0	0	0
Total - General Fund	**0**	**-4,825,207**	**0**	**-3,363,128**	**0**	**0**	**0**	**0**
Implementation of Changes to Temporary Assistance to Needy Families (TANF) Program - (B) The Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (PRWORA) makes a number of improvements to the child support enforcement system. The federal law requires the creation of several national data bases designed to make it easier for states to locate absent parents, enforce orders and pursue support. The state must now adopt the Uniform Interstate Family Support Act (UIFSA), which will make interstate cases easier to enforce. State birth records agencies must offer paternity acknowledgment services and Social Security numbers must be recorded on commercial drivers' licenses, professional or occupational licenses, marriage licenses, divorce decrees, orders of support or paternity and on death certificates. Support orders must also now include provisions for health insurance coverage and employers must be notified of such provisions. State child support agencies must now have access to motor vehicle and law enforcement locator networks, and state child support workers must have the authority to order genetic tests, subpoena financial information, order wage withholding, impose liens and take other actions, all without court action. This is a significant departure from Connecticut's practice, which has been to require action by a child support magistrate for nearly all these actions. States must conduct data matches with financial institutions and financial institutions must provide quarterly account information on parents with child support arrearages and must respond to notices of liens or levies against those parents. These provisions are required to be applied to all child support orders, new or modified, after October 1, 1998; thus, more savings on TFA cases from implementing these changes are anticipated in FY99 than in FY98. -(G) It is recommended that implementation of these changes will cost the state, in net, the amount of $1,080,100 in FY 98 with savings anticipated in FY 99 of $6,030,961. The additional costs in DSS for implementing the changes are $173,000 for personal services, $1,641,490 in other expenses, and $340,000 (FY 98 only) for data processing services. The Governor recommends a reduction in funding of $117,000 for TFA in FY 98 due to anticipated savings from increased child support collections on behalf of TFA recipient families. In FY 99, the implementation of the changes are anticipated to save the state $6,030,961. These include recommendations for increased funding of $188,000 for personal services, reduced funding of $917,120 in other expenses, and reduced funding of the $340,000 in data processing services. The Governor recommends a reduction of $5,323,500 in FY 99 to reflect the anticipated savings from the increased child support collections on behalf of TFA recipient families. -(L)Same as Governor								
Temporary Family Assistance	0	-840,118	0	-6,664,239	0	0	0	0
Total - General Fund	**0**	**-840,118**	**0**	**-6,664,239**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Privatize Certain Backlogged Child Support Cases - (B) Currently, the Department of Social Services is responsible for providing services to all families, including those not on welfare, who need help in establishing paternity, locating an absent parent, obtaining an order, and collecting support. The department now has over 242,000 open cases, many of which could be pursued more vigorously.								
-(G) To ensure that all children in the state are able to maximize their child support, funds are recommended to privatize location, paternity establishment, and order establishment services for child support which are under investigation and backlogged more than sixty days. This privatization project will also include cases in which the previous investigations did not result in the establishment of paternity or a support order. A contractor would be hired to locate non-custodial parents, establish paternity when necessary, and establish child support orders. This will allow DSS staff to focus on the Temporary Family Assistance caseload. The contractor would be required to establish regional offices around the state.								
In FY98, privatizing child support services is anticipated to result in a net savings to the state, in the amount of $1,524,500. This includes recommended funding of $2,395,000 for other expenses involved in hiring the contractor. It also includes a reduction in funding, in the amount of $3,919,500 in FY98 to reflect the savings from reduced TFA grants due to anticipated increases in child support collections.								
In FY99, the anticipated net savings to the state are $2,245,000. This includes reduced funding for other expenses, in the amount of $311,000. Also, a reduction in funding, in the amount of $409,500, is recommended to reflect the continued savings to the TFA program in FY99.								
-(L)Same as Governor								
Temporary Family Assistance	0	-3,919,500	0	-4,329,000	0	0	0	0
Total - General Fund	**0**	**-3,919,500**	**0**	**-4,329,000**	**0**	**0**	**0**	**0**
Implementation of Family Cap - (B) Under Connecticut's 1993 waiver to conduct research and demonstration programs (GS Sec. 17b-112), a family cap was implemented (subsection (b)-10). The "cap" is a reduction in additional benefits that are allowed to a family where an additional child was born more than 10 months after the family began receiving benefits. Originally, each additional child meant that the family would qualify for additional benefits of approximately $100. Under the cap, the additional benefits are limited to 50% of what they were previously. Families are therefore limited to additional benefits of approximately $50 for children born more than 10 months after the family enters the program.								
-(G) In FY98, the continuation of the family cap is expected to reduce TFA expenditures by $1,210,903. The recommended reduction in funding for TFA, in FY99, due to the continuation of the family cap is $3,188,309. -(L)Same as Governor								
Temporary Family Assistance	0	-1,210,903	0	-3,188,309	0	0	0	0
Total - General Fund	**0**	**-1,210,903**	**0**	**-3,188,309**	**0**	**0**	**0**	**0**
Safety Net Services Program - (B) Connecticut's TFA program limits families to 21 months of assistance, with possible six month incremental extensions for good cause. Families that have been sanctioned twice are not eligible for extensions and will cease receiving benefits at the end of the 21-month time limit. Families that have been determined to not have made a good faith effort to seek and maintain employment will also be terminated.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L) In order to provide for a stable environment for the children of those families and assist the adults in maintaining the family, a safety net program is established to provide a minimal level of assistance with intensive intervention to assure both the safety of the children and an opportunity for the adults to return to a productive life. The safety net program contains four components: 1) assistance for support of community based programs to assist individuals transitioning off welfare; 2) intensive case management; 3) continuous monitoring for child neglect and abuse; and 4) an individual client performance contract. Application to this program must be made by the client and the client must adhere to the program requirements to remain eligible. It is anticipated that clients who successfully complete their contract will be eligible for six month extensions under the TFA program.								
Safety Net Services	0	2,500,000	0	5,000,000	0	2,500,000	0	5,000,000
Total - General Fund	**0**	**2,500,000**	**0**	**5,000,000**	**0**	**2,500,000**	**0**	**5,000,000**
Establish Pilot Program for Transportation Services for Employed Clients - (B) Connecticut's TRA program has established 21 month time limits on financial assistance in an effort to transition welfare recipients to permanent work. -(L) The sum of $2.2 million is appropriated in fiscal year 1998 to the Department of Social Services for the initiation of three transportation pilots. The purpose of the pilots is to enhance welfare to work efforts and improve the public transportation infrastructure in: Hartford and surrounding areas, New Haven and surrounding areas and Bridgeport and surrounding areas. Funds may be used to expand hours of service, to establish new routes connecting urban and surrounding areas, or to otherwise improve the availability of public transportation for welfare recipients and other low-income populations to commute to places of employment. Grants to initiate the pilots shall be made by the commissioner to these cities and surrounding areas in the following amounts: Hartford, $950,000; New Haven, $744,380; and Bridgeport, $505,620.								
Transportation for Employment Independence Program	0	2,200,000	0	2,205,000	0	2,200,000	0	2,205,000
Total - General Fund	**0**	**2,200,000**	**0**	**2,205,000**	**0**	**2,200,000**	**0**	**2,205,000**
Transfer Funding of Certain Programs to the Social Services Block Grant - (B) Currently, the Department of Social Services is responsible for funding a variety of programs through the federal Social Services Block Grant (SSBG). The block grant funding for each program is approved or modified by the legislature's Human Services and Appropriations committees. The Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (PRWORA), the federal welfare reform law, allows for 30 percent of the state's federal TANF block to be transferred to the Child Care Development Block Grant (FCCDBG) and one-third of which may be transferred to the SSBG. -(G) Funding for portions of several state programs is transferred to the SSBG, reducing General Fund expenditures by $18,304,401. Funding for the SSBG will be enhanced by the Federal TANF block grant to maintain certain funding levels for the programs. The reduction in the Housing /Homeless account is primarily due to the transfer of the family portion of the Rental Assistance Program. -(L) Funds are transferred from the TANF Block Grant to the SSBG for the purpose of funding the following programs at the specified levels.								

PROGRAM	TANF FUNDS
Anti-Hunger Programs	103,137
Blood Tests in Paternity Actions	120,000
Residences for Persons with AIDS	828,100

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Supplemental Nutrition Assistance 327,616 Housing/Homeless Services 16,925,548								
Anti-Hunger Programs	0	-103,137	0	-103,137	0	0	0	0
Blood Tests in Paternity Actions	0	-120,000	0	-120,000	0	0	0	0
Residences for Persons with AIDS	0	-828,100	0	-828,100	0	0	0	-100
Supplemental Nutrition Assistance	0	-327,616	0	-327,616	0	0	0	0
Housing/Homeless Services	0	-16,925,548	0	-16,925,548	0	0	0	0
Total - General Fund	**0**	**-18,304,401**	**0**	**-18,304,401**	**0**	**0**	**0**	**-100**

Maintain Current Funding Level for Energy Assistance - (B)
The Department of Social Services provides energy assistance to low income individuals and families, in meeting their heating costs. The assistance may be in the form of a direct payment of heating bills, or cash payments to those who have heat included in their rent, and includes weatherization assistance as a conservation measure.

-(G) Funding in the amount of $4,500,000 is recommended for FY98 to maintain current funding levels. This adjustment is necessary as the FY97 appropriation was supplemented with funds carried forward from FY96.
-(L)Same as Governor

Energy Assistance	0	4,500,000	0	4,500,000	0	0	0	0
Total - General Fund	**0**	**4,500,000**	**0**	**4,500,000**	**0**	**0**	**0**	**0**

Establish Time Limited Rental Assistance Program for Low Income Individuals - (B)
The Rental Assistance Program (RAP) provides assistance subsidies to low income individuals residing in rental housing. The rent subsidy payment is made by authorized agents of DSS directly to the owners of the rental property. These RAP certificates are portable and may be used in any municipality in the state.
-(L) In order to provide a stable environment for children and to assist adults in maintaining the family and pursuing employment, a new Rental Assistance Program (RAP) is established for families that have transitioned off TFA. This program will provide a monthly rental assistance payment to landlords to make safe, affordable housing available to families with income above the TFA payment standard that have transitioned off TFA due to the time limits and who are working. Benefits under this RAP program will be time limited. This new program will be administered by the agencies administering the current Rental Assistance Program.

Transitionary Rental Assistance	0	5,000,000	0	5,000,000	0	5,000,000	0	5,000,000
Total - General Fund	**0**	**5,000,000**	**0**	**5,000,000**	**0**	**5,000,000**	**0**	**5,000,000**

Transfer Funding for Aid to Families with Dependent Children (AFDC) Child Care and the Child Care Certificate Program to the Child Care Subsidy Program - (B)
The Department of Social Services assists low and moderate income families in purchasing child care through a variety of programs. Currently, payments are made to, or on behalf of AFDC and AFDC-UP recipients to pay for their child care while they are employed or while they participate in an approved education, job skill, or training program. In order to qualify for payment, the child care services must be rendered by a licensed or a license exempted provider such as a relative. Child care payments are excluded from consideration when determining AFDC and AFDC-UP eligibility and benefit amounts.

There are three components of the child care available to AFDC and AFDC-UP parents. First, Job Connection Child Care (JCCC) provides child care payments to enable parents to participate in approved education, training or job search activity. Payment is based on the market rate and the type of care required and is subject to a statewide limit of $325 per month or $435 per month for children with special

BUDGET CHANGES

needs. Second, the Work Related Child Care (WRCC) program provides child care payments to AFDC parents in unsubsidized employment to allow them to continue to work and is subject to the same benefit limits as JCCC. Finally, Transitional Child Care (TCC) provides for families who are leaving welfare. The Department of Social Services currently administers two versions of the TCC program, the standard program (a 12 month limit) and the enhanced program for individuals who are participating in the welfare reform waiver. There is no time limit for individuals in the enhanced program. Families remain eligible for as long as the need for child care continues and their gross income is less than 75% of the state's median income.

The Department of Social Services (DSS) operates the Child Care Certificate (CCC) program to assist low and moderate income parents who are not recipients of AFDC or AFDC-UP. Families with incomes less than 75% of the state median income are eligible and must contribute towards the cost of care on a sliding fee scale. Currently, child care is provided because a parent is working or participating in an education, job training or drug rehabilitation program. There is no time limit for the subsidy and the number of participants is limited by available appropriations.

The federal welfare reform, P.L. 104-193 Personal Responsibility and Work Opportunity Reconciliation Act, consolidates existing federal child care funding sources (AFDC/Day Care and, at-risk child care, with the Child Care Development Block Grant (CCDBG) and the funds are placed in to the Child Care Subsidies account.

-(G) In response to the federal Personal Responsibility and Work Opportunity Reconciliation Act, the funding for four child care subsidy programs are combined into a single program. Funds are transferred from the three AFDC child care programs; Job Connection Child Care (JCCC); Work Related Child Care (WRCC); and Transitional Child Care (TCC) and combined with funds for the Child Care Certificate program to the new Child Care Subsidies program. The new program is modeled after the Child Care Certificate program. The department has issued an RFP and has hired an outside contractor to administer a child care management information system.
-(L) In response to the federal Personal Responsibility and Work Opportunity Reconciliation Act, the budget recommends combining the funding for four child care subsidy programs into a single program. Funds are transferred from the three AFDC child care programs; Job Connection Child Care (JCCC); Work Related Child Care (WRCC); and Transitional Child Care (TCC) and combined with funds for the Child Care Certificate program to the new Child Care Subsidies program. The new program is modeled after the Child Care Certificate program. The department has issued an RFP and is in the process of hiring an outside contractor to administer a child care management information system.

In PRWORA, Congress required state legislatures to specifically appropriate the funds available under the new TANF and CCD Block Grants. In order to meet this requirement and establish an appropriation of Federal funds, two new accounts are created - 611 Temporary Assistance to Families - TANF and 641 Child Care Services - TANF/CCDBG. A total of $301,592,848 is appropriated under these two accounts in each year of the biennium. These funds represent the appropriation of the Federal dollars that were previously funded under the old AFDC and related programs.

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Day Care	0	-61,884,931	0	-61,884,931	0	0	0	0
Child Care Certificate Program	0	-10,243,589	0	-10,243,589	0	0	0	0
Child Care Services	0	55,949,482	0	6,002,584	0	-16,179,038	0	-66,125,936
Child Care Services-TANF/CCDBG	0	16,179,038	0	66,125,936	0	16,179,038	0	66,125,936
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Child Care Subsidies Caseload and Expenditure Update - (B)								
Child care is a key service provided by DSS to enable welfare recipients to be employed. Time-limited welfare encourages clients to seek jobs and remain employed.								
-(G) Funding in the amount of $28,061,056 is recommended in FY98 to reflect an increase in the use of day care services as a result of increased employment and training activities related to Connecticut's time-limited welfare program. In SFY 98-99 an increase in funding in the amount of $45,737,056 is recommended to reflect the continuation of these trends.								
-(L) Funding in the amount of $23,361,056 is recommended in FY98 to reflect an increase in the use of day care services as a result of increased employment and training activities related to Connecticut's time-limited welfare program. In SFY 98-99 an increase in funding in the amount of $28,177,056 is recommended to reflect the continuation of these trends.								
Child Care Services-TANF/CCDBG	0	23,361,056	0	28,177,056	0	-4,700,000	0	-17,560,000
Total - General Fund	**0**	**23,361,056**	**0**	**28,177,056**	**0**	**-4,700,000**	**0**	**-17,560,000**
Revise Child Care Subsidies and Establish Separate Rates for Licensed and Unlicensed Child Care - (B)								
Currently payments are made to, or on behalf of AFDC and AFDC-UP recipients for their child care while they are employed or while they participate in an education, job skill, or training program. Payments are currently made to low and moderate income parents through the Child Care Certificate program (CCC), for parents who are working, participating in an education, or drug rehabilitation program. In order to qualify for payment, the child care services must be rendered by either a licensed provider or an exempted provider such as a relative or neighbor providing care in the child's home.								
-(G) A recommended reduction in funding of $3,955,234 for FY98 to reflect savings associated with implementation of a sliding fee scale for low income families. For FY99, funding in the amount of $5,205,625 is recommended to reflect the economic incentives to increase the demand for and supply of licensed day care.								
-(L)Same as Governor								
Child Care Services-TANF/CCDBG	0	-3,955,234	0	1,250,391	0	0	0	0
Total - General Fund	**0**	**-3,955,234**	**0**	**1,250,391**	**0**	**0**	**0**	**0**
Child Care Surplus Funding - (B)								
-(G) The governor's budget recommends reserving $20,000,000 in FY99 for child care. These funds will be available if the growth of the Child Care Subsidies program exceeds anticipated levels.								
-(L)Same as Governor								
Child Care Services-TANF/CCDBG	0	0	0	20,000,000	0	0	0	0
Total - General Fund	**0**	**0**	**0**	**20,000,000**	**0**	**0**	**0**	**0**
Expand Child Care Certificate Program - (B)								
The Child Care Certificate Program is combined with AFDC child care into the new Child Care Subsidies program.								
-(G) Funding in the amount of $10,000,000 is recommended in FY 99 to expand child care services to the working poor.								
-(L)Same as Governor								
Child Care Services-TANF/CCDBG	0	0	0	10,000,000	0	0	0	0
Total - General Fund	**0**	**0**	**0**	**10,000,000**	**0**	**0**	**0**	**0**
Adjustments from the Child Care Development Block Grant to the Child Care Subsidies - (B)								
Prior to the passage of federal welfare reform, the state received a separate child care development block grant. P.L. 104-193, PRWORA, combined the former block grant into the new Child Care Block Grant which also includes TANF/Day Care and at-risk child care funding.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(G) The former Child Care Development Block Grant provides funds in the amount of $7,227,988 in FY 98 and $8,479,465 in FY 99 to the Child Care Subsidy program. -(L)Same as Governor								
Child Care Services-TANF/CCDBG	0	7,227,988	0	8,479,465	0	0	0	0
Total - General Fund	**0**	**7,227,988**	**0**	**8,479,465**	**0**	**0**	**0**	**0**
Provide Early Care and School Readiness Services in Priority School Districts - (B) The Department of Social Services provides child care subsidies to those eligible recipients residing in priority school districts. -(L) Funding in the amount of $8,780,000 in FY 98 and $17,560,000 in FY 99 is provided for Early Care Services as part of the School Readiness Initiative in priority school districts. The School Readiness Initiative serves three and four year olds residing in priority school districts and includes an Early Care component which is funded and administered by the Department of Social Services and an Early Education component which is funded and administered by the Department of Education. The Early Care component provides the day care services which wrap-around the Early Education component. For FY 98 it is estimated that 2,580 slots will be available and an additional phase-in will occur with an estimated 2,580 more slots being made available in FY 99								
Child Care Services-TANF/CCDBG	0	8,780,000	0	17,560,000	0	8,780,000	0	17,560,000
Total - General Fund	**0**	**8,780,000**	**0**	**17,560,000**	**0**	**8,780,000**	**0**	**17,560,000**
Adjustments to Other Child Day Care Accounts - (B) There are two separate Child Day Care accounts which have very different functions. Child care account 661 provides grants to support the state funded centers. There are 91 centers in 39 communities supporting approximately 4,250 child care slots. Child care account 706 provides grants directly to towns to assist in developing and operating child care centers for disadvantaged children. -(G) Funding, in the amount of $944,386 in SFY 1997-98 and $1,259,181 in SFY 1998-99, is recommended to reflect the pickup with state General Fund dollars of child day care related services formerly funded via the federal Child Care and Development Block Grant (CCDBS). This change will facilitate the continuation of service provision while allowing the DSS to maintain compliance with federal guidelines. -(L)Same as Governor								
Child Day Care	0	487,010	0	649,346	0	0	0	0
Child Day Care	0	457,376	0	609,835	0	0	0	0
Total - General Fund	**0**	**944,386**	**0**	**1,259,181**	**0**	**0**	**0**	**0**
Refund of Child Support Collections - (B) The Department of Social Services uses this fund to provide refunds of child support payments made to former AFDC clients. Refunds are a function of the number of clients leaving AFDC and have been ordered by the court to make support payments. Once a client leaves AFDC, payments need to be assigned to the client not the department, however, there is a lag between the courts changing the order and the department's ending the AFDC payment. -(G) Additional funds are required due the increasing number of clients leaving AFDC. -(L)Same as Governor								
Refunds of Collection	0	374,349	0	374,349	0	0	0	0
Total - General Fund	**0**	**374,349**	**0**	**374,349**	**0**	**0**	**0**	**0**
Transfer of Elderly Congregate Housing to Department of Economic Development - (B)								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(G) Funding of $942,549 for Elderly Congregate Housing within the RAP program is transferred to the Department of Economic & Community Development to consolidate elderly housing within that agency. -(L)Same as Governor								
Housing/Homeless Services	0	-942,549	0	-942,549	0	0	0	0
Total - General Fund	**0**	**-942,549**	**0**	**-942,549**	**0**	**0**	**0**	**0**
Enhance Funding for Supplemental Nutrition Assistance - (B) The Supplemental Nutrition Assistance Program provides funding for the Connecticut Food Bank to purchase and distribute food at soup kitchens, emergency shelters and food banks. -(L) A total of $10,000 is provided to enhance the Supplemental Nutrition Assistance Program.								
Supplemental Nutrition Assistance	0	10,000	0	10,000	0	10,000	0	10,000
Total - General Fund	**0**	**10,000**	**0**	**10,000**	**0**	**10,000**	**0**	**10,000**
Expand Healthy Start - (B) Healthy Start is a statewide collaboration between the Department of Social Services and the Department of Public Health with the goal of improving the availability and access of medical and health services for eligible recipients. Services under Healthy Start are provided to pregnant women and children with earnings up to 185% of the Federal poverty level. The available services include Medicaid liaison, physician outreach and liaison, coordinated case management, and health education and outreach for families. There are 23 Healthy Start providers throughout the state. -(L) A total of $1,250,000 is provided for an expansion of the state's Healthy Start initiative. It is anticipated that the 23 Healthy Start sites will hire 50 former AFDC recipients as outreach workers to enhance the programming provided by Healthy Start in order to allow for a greater integration of services with the Healthy Families model. This effort is estimated to cost $700,000 for the employees and $78,500 for other associated costs. Each of the 23 sites will also be given a $5,500 grant for Healthy Families of America Training. The Department of Social Services is provided $45,000 in order to hire an additional employee to oversee and coordinate this expansion of the Healthy Start program. The Department of Social Services shall contract with an evaluator to study birth outcomes of the healthy start program. The study shall examine variability among birth outcomes as a result of program interventions and attempt to control for multiple compounding factors such as income, health, and population demographics. The study should incorporate a risk-adjustment methodology to assist in the identification of effective program components. The findings shall be reported to the legislature upon completion of the study. Funds in the amount of $300,000 are appropriated for this purpose.								
Maternal and Child Health Services	0	1,250,000	0	1,250,000	0	1,250,000	0	1,250,000
Total - General Fund	**0**	**1,250,000**	**0**	**1,250,000**	**0**	**1,250,000**	**0**	**1,250,000**
Pick-Up Federal Funding Shortfall for AIDS Housing - (B) The Residences for Persons with AIDS program supports private, homelike subsidized housing for people with AIDS or related complexes. -(L) It is anticipated that Federal funding for supportive housing for people with AIDS will be reduced. State funding of $1,000,000 is provided in FY 99 to make up this shortfall and ensure the continued delivery of service.								
Residences for Persons with AIDS	0	0	0	1,000,000	0	0	0	1,000,000
Total - General Fund	**0**	**0**	**0**	**1,000,000**	**0**	**0**	**0**	**1,000,000**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Rates for Child Care Services and School Readiness - (B) Currently, payments are made to, or on behalf of AFDC, and AFDC-UP recipients for their child care while they are employed or participate in an education, job skill or training program. Payments are also made to low and moderate income parents through the Child Care Certificate program (CCC), for parents who are working, or participating in an education or drug rehabilitation program. In order to qualify for payment, the child care services must be provided by a licensed or license exempted provider such as a relative or neighbor providing care in the child's home. There is currently no distinction between licensed and unlicensed rates for child care services. -(L) As part of comprehensive program to facilitate a child's readiness to enter the education system and improve the quality and safety of the child care system, a three tier rate system to fund child care services is recommended. The three rates for child care are: 1) unlicensed; 2) licensed; and 3) accredited. Payments differentials for each are based upon the operating costs for facilities providing care, regional cost differences, and special needs payments for extended hours for full and part-time care. It is anticipated that there will be sufficient funds in the Child Care Services accounts to provide for differential payments. It is further anticipated that the department will establish a maximum cap on the number of hours payable under all of the child care service programs.								
Increase Rates for State Funded Centers - (B) The Department of Social Services provides grants to support state funded centers (SFC). There are 91 centers in 39 communities supporting a total of 4,250 child care slots being utilized by approximately 10,000 children annually. DSS has contracted for 291 infant/ toddler slots; 3,567 pre-school slots and; 335 slots for school age children. Grants are made based upon the number of children served. DSS pays each state funded center an amount which is adjusted by region and age bracket (infant/toddler, pre-school, and school age) known as the standard rate. A higher rate per region and age group is paid for centers in distressed communities, known as Title I rates. Title I towns include: Bridgeport, Deep River, Hartford, New Britain, New Haven, New London, Norwich, Stamford, Waterbury and Windham. The standard rate is less than the actual cost of care per slot and centers are expected to supplement the standard rate up to the maximum rate established by the department for each region and age group. State funded centers are permitted to supplement the standard rate with a child care subsidy or through parental contribution and a local share. If a child is the recipient of a subsidy payment, the SFC is able to receive the subsidy in addition to the standard rate or the Title I rate. SFCs are expected to charge families on a sliding fee scale to supplement the standard rate or the Title I rate. -(L) Funding of $1,181,042 for FY 98 and FY 99 is provided for an increase in the unit rate reimbursement for state funded centers. The maximum rate established by DSS will increase proportionately, thus allowing SFCs to supplement their increased standard or Title I rate.								
Child Day Care	0	1,181,042	0	1,181,042	0	1,181,042	0	1,181,042
Total - General Fund	**0**	**1,181,042**	**0**	**1,181,042**	**0**	**1,181,042**	**0**	**1,181,042**
Increase in Contracted Slots at State Funded Centers - (B) The Department of Social Services provides grants to support state funded centers (SFC). There are 91 centers in 39 communities supporting a total of 4,250 child care slots, being utilized by approximately 10,000 children annually. DSS has contracted for 291 infant/ toddler slots; 3,567 pre-school slots and; 335 slots for school age children.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L) Funding of $1,500,000 for FY 98 and $5,000,000 for FY 99 is provided to increase the number of state funded child care slots for which the Department of Social Services contracts.								
Child Day Care	0	1,500,000	0	5,000,000	0	1,500,000	0	5,000,000
Total - General Fund	**0**	**1,500,000**	**0**	**5,000,000**	**0**	**1,500,000**	**0**	**5,000,000**
School Readiness Effectiveness Study - (B) The Department of Social Services and the state Department of Education provide various child care and early childhood education services with no comprehensive evaluations. -(L) Funding for each fiscal year of $100,000 is provided to develop and implement a longitudinal, performance-based evaluation system to analyze child care programs.								
School Readiness	0	100,000	0	100,000	0	100,000	0	100,000
Total - General Fund	**0**	**100,000**	**0**	**100,000**	**0**	**100,000**	**0**	**100,000**
Accreditation Project - (B) The Accreditation Facilitation Project (AFP) assists child care centers in Hartford and twenty-nine surrounding towns in achieving National Association for the Education of Young Children (NAEYC) accreditation. The AFP is a joint venture of the Hartford Association for the Education of Young Children and the Hartford Area Child Care Collaborative. It is the only such accreditation body in Connecticut. -(L) Total funds, in the amount of $600,000 for FY 98 and FY 99 is provided to support five regional accreditation projects including the Accreditation Facilitation Project of Hartford. The accreditation projects assist licensed child care providers to become accredited by the National Association for the Education of Young Children.									
School Readiness	0	600,000	0	600,000	0	600,000	0	600,000
Total - General Fund	**0**	**600,000**	**0**	**600,000**	**0**	**600,000**	**0**	**600,000**
Criminal Background Check - (B) Currently, the Department of Social Services (DSS) is prohibited from paying any unlicensed child care provider who has been convicted of any crime involving sexual assault of a minor, or any serious physical injury to a minor. DSS does not conduct any routine criminal background checks, yet the Commissioner is authorized to require providers to submit to state and federal criminal history check if there is reasonable cause. -(L) Funds in the amount of $100,000 for FY98 and FY99 are recommended for the unreimbursed costs associated with conducting state and federal criminal background checks on licensed and unlicensed providers receiving child care subsidies, and any employees of such providers suspected to having committed crimes against children. Criminal background checks include both state and Federal Bureau of Investigations checks and utilize fingerprinting.									
School Readiness	0	100,000	0	100,000	0	100,000	0	100,000
Total - General Fund	**0**	**100,000**	**0**	**100,000**	**0**	**100,000**	**0**	**100,000**
Establish Child Care Facilities Fund Corporation - (B) The Connecticut Health and Education Facilities Authority (CHEFA) finances facilities and equipment for health care institutions, institutions for higher education, qualified non-profit organizations and nursing homes. -(L) Funding in the amount of $1,000,000 in FY 98 and $2,500,000 in FY 99 is recommended to provide capital funds to the Connecticut Health and Education Facilities Authority (CHEFA) to make loans available for the development of high quality child care facilities through the nonprofit subsidiary corporation, the Connecticut Facilities Fund Corporation (CFFC). The CFFC will provide loans to									

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
nonprofit organizations to develop and own child care and education facilities which will be leased to child care providers. The CFFC will give priority to financing centers which are National Association for the Education of Young Children (NAEYC) accredited, are endorsed by a local school readiness council, and promote the location of multiple services on the same site to enhance service integration.								
School Readiness	0	1,000,000	0	2,500,000	0	1,000,000	0	2,500,000
Total - General Fund	**0**	**1,000,000**	**0**	**2,500,000**	**0**	**1,000,000**	**0**	**2,500,000**
Provide Supplemental Grants for Child Care - (B) The Department of Social Services and the state Department of Education provide various child care and early education programs. -(L) Funding, in the amount of $2,000,000 in FY 98 and $2,000,000 in FY 99 is recommended for Supplemental Quality Grants. Grants will be awarded on a competitive basis to local school readiness councils and child care centers who have implemented developmentally appropriate curriculum and supportive services for the children. Certain day care and school readiness providers are eligible for grants which may be used to help providers obtain National Association for the Education of Young Children (NAEYC) accreditation. Grants may be used to provide comprehensive service, such as enhanced access to health care, nutrition, family support services, community and home outreach programs, and parent education, literacy and parental involvement programs which includes parenting programs which assist children with language development skills utilizing the Hanen approach. Grants may also be used to purchase education equipment; make scholarships available to providers seeking a child development associate certificate; provide training to mentor teachers; provide a family service worker/coordinator; make code improvements & conduct minor renovations in existing facilities; and create a supportive network with family day care homes.								
School Readiness	0	2,000,000	0	2,000,000	0	2,000,000	0	2,000,000
Total - General Fund	**0**	**2,000,000**	**0**	**2,000,000**	**0**	**2,000,000**	**0**	**2,000,000**
Enhance Child Care Provider Training and Child Care Resource and Referral - (B) The Department of Social Services (DSS) contracts with the United Way of Connecticut to operate the Child Care Infoline which assists parents in finding child care and maintains a computerized database of licensed child care providers. In partnership with the Department of Higher Education, private non-profit groups and the education community, DSS provides a variety of child care training and provider enrichment activities, including a statewide child care newsletter called "All Children Considered."								
-(L) Additional funds in the amount of $2,000,000 for FY 98 and FY 99 are recommended to provide grants and loans to: improve the quality of early child care and education services; provide supportive services; enhance child care resource and referral services; and assist child care providers with training and technical assistance. Of this amount, $25,000 in FY 98 & FY 99 is provided to the "Books to Dreams" program which provides books, literacy and outreach services to Children and Families in shelters in Danielson, New London, East Hartford, Willimantic, Norwich and Hartford.								
School Readiness	0	2,000,000	0	2,000,000	0	2,000,000	0	2,000,000
Total - General Fund	**0**	**2,000,000**	**0**	**2,000,000**	**0**	**2,000,000**	**0**	**2,000,000**
Enhance Child Care Provider Education - (B) The Department of Social Services designates the Community Technical Colleges as the lead agency for Connecticut Chart a Course (CCAC), a coordinated system of career development and recognition for early care providers. CCAC provides								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
child care education, confers Child Development Associate Credential (CDA), provides scholarships, and maintains a computerized registry of all providers who have earned a CDA. The program is based out of Norwalk Community Technical College and assists 7 other community technical colleges (Capital, Gateway, Housatonic, Manchester, Naugatuck Valley, Northwestern Connecticut, and Three Rivers) develop and administer the program. It is a voluntary program and services approximately 350 people annually. It provides scholarships for those in need at all eight participating community technical colleges. The CDA is part of a career development ladder, and qualified students who successfully obtain a CDA with a grade point average of 2.7 or better are able to apply these credits towards a higher degree. Students may apply course-work towards credits for: a one year, or an Associate Degree in early childhood education from a participating college or university (Central Connecticut State University, Eastern Connecticut State University, University of Hartford, and St. Joseph's University); a baccalaureate degree from the University of Connecticut in Human Development and Family Relations; or a baccalaureate degree in Child Studies from the community technical colleges and Charter Oak. -(L) Funding, in the amount of $263,879 for FY 98 and $271,795 for FY 99, provides for: permanent program staff, increases scholarships and enables more community technical colleges to participate. It is anticipated that the Department of Social Services will continue supporting CCAC at historic levels and these additional funds enables the program expansion.								
School Readiness	0	263,879	0	271,795	0	263,879	0	271,795
Total - General Fund	**0**	**263,879**	**0**	**271,795**	**0**	**263,879**	**0**	**271,795**
Budget Totals - GF	**0**	**3,028,516,656**	**0**	**2,886,730,863**	**0**	**41,387,421**	**0**	**-14,949,763**
Budget Totals - OF	**0**	**5,200,000**	**0**	**0**	**0**	**5,200,000**	**0**	**0**

Employment Services
6103

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
02X	Other Current Expenses	9,008,017	20,422,550	0	0	0	0
6XX	Grant Payments - Other Than Towns	523,636	1,063,290	344,916	344,916	444,916	444,916
	Agency Total - General Fund	**9,531,653**	**21,485,840**	**344,916**	**344,916**	**444,916**	**444,916**
	Agency Total - Appropriated Funds	**9,531,653**	**21,485,840**	**344,916**	**344,916**	**444,916**	**444,916**
	Additional Funds Available						
	Private Contributions	57,787	0	0	0	0	0
	Federal Contributions	2,236,916	2,051,620	2,340,863	2,340,863	2,340,863	2,340,863
	Agency Grand Total	**11,826,356**	**23,537,460**	**2,685,779**	**2,685,779**	**2,785,779**	**2,785,779**
	BUDGET BY PROGRAM						
	Employment Services						
	General Fund						
022	Supported Employment/Job Connection	9,008,017	20,422,550	0	0	0	0
	Grant Payments - Other Than Towns						
	Food Stamp Training Expenses	4,624	528,000	130,800	130,800	130,800	130,800
	Opportunity Industrial Centers	519,012	535,290	214,116	214,116	314,116	314,116
	Total - General Fund	**9,531,653**	**21,485,840**	**344,916**	**344,916**	**444,916**	**444,916**
	Federal Contributions						
	Food Stamps	107,383	128,561	96,633	96,633	96,633	96,633
	Urban Enterprise Community Grant	373,341	425,000	425,000	425,000	425,000	425,000
	Job Training Partnership	689,149	368,303	368,300	368,300	368,300	368,300
	Housing Ombudsman	12,952	0	0	0	0	0
	Social Services Block Grant	1,054,091	1,129,756	1,450,930	1,450,930	1,450,930	1,450,930
	Total - Federal Contributions	**2,236,916**	**2,051,620**	**2,340,863**	**2,340,863**	**2,340,863**	**2,340,863**
	Additional Funds Available						
	Private Contributions	57,787	0	0	0	0	0
	Total - All Funds	**11,826,356**	**23,537,460**	**2,685,779**	**2,685,779**	**2,785,779**	**2,785,779**
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
614	Food Stamp Training Expenses	4,624	528,000	130,800	130,800	130,800	130,800
625	Opportunity Industrial Centers	519,012	535,290	214,116	214,116	314,116	314,116
	Agency Grand Total	**11,826,356**	**23,537,460**	**2,685,779**	**2,685,779**	**2,785,779**	**2,785,779**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**16,088,640**	**0**	**16,088,640**	**0**	**0**	**0**	**0**

Funding for the Job Connection Program - (B)
The Job Connection Program provides education, training, and employment services to clients receiving financial assistance from the state. The focus of the program is getting clients jobs and then improving basic skills and training once they are employed. DSS contracts with a variety of community-based organizations and private entities to develop job opportunities and training programs. Funds have also been used to assist clients in seeking quality day care as well as enhancing the supply of day care services.
-(G) Funds in the amount of $17,362,550 are eliminated for DSS's JOBS program and added to an amount appropriated to the Department of Labor's One Stop Employment Services program. Appropriated funds include $3,440,000 for personal services and $15,422,550 from the JOBS program.

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L) Funding for the Employment Services program will be increased to $22,500,000 and provided to the Department of Labor. The sum of $17.5 million will be subsequently transferred to DSS for the operation of the Supportive Employment Services/JOBS Program for FY 97-98. Of the total amount appropriated, $9 million will be transferred effective July 1997 with the remaining funds transferred by December 1, 1997. The responsibility for the program will remain with DSS until July, 1998, when program responsibility will be transferred to DOL. The remaining $5,000,000 in the Department of Labor budget will be used to establish a computerized interagency and case management system within the Department of Labor for the purpose of keeping statistics for the administration of the Employment Services program.								
The One-Stop Employment Services program will be implemented by the Department of Social Services through the authority granted in Public Act 97-2, "An Act Concerning Welfare Reform and Expenditures of the Department of Social Services". The act also grants authority to the Department of Social Services and Labor to enter into a memorandum of understanding for the coordination of contracts for employment services for individuals on the Temporary Assistance for Needy Families program. The memorandum will be effective no later than September 1, 1997. Effective July 1, 1998 the Department of Labor will become responsible for the negotiation and handling of employment service contracts, possibly through the Connecticut Employment and Training Commission and the state's nine Workforce Development Boards.								
The DOL and DSS shall establish a pilot program in each of two regions commencing July 1, 1997 for purpose of providing jointly administered employment retention services. The departments shall report to the legislature on the success of these efforts and the potential for providing cost-effective services.								
An Advisory Council is also to be established to oversee the Employment Services program and the Department of Labor and Social Services. The council will review the continuation of contracts with the Department of Labor, the implementation of the interagency and case management system, the maximization of educational resources and job search and job readiness skills training.								
Supported Employment/Job Connection	0	-15,422,550	0	-15,422,550	0	0	0	0
Total - General Fund	**0**	**-15,422,550**	**0**	**-15,422,550**	**0**	**0**	**0**	**0**

Transfer Funding of Certain Programs to the Social Services Block Grant - (B)

Currently the Department of Social Services is responsible for funding a variety of programs through the federal Social Services Block Grant (SSBG). The block grant is approved or modified by the legislature's Human Services and Appropriations committee. The Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (PRWORA), the federal welfare reform law, allows for 30 percent of the state's federal TANF block to be transferred to the Child Care Development Block Grant (CCDBG), of which 1/3 may be transferred to the SSBG.

-(G) The Opportunity Industrial Centers program is transferred to the SSBG, reducing General Fund expenditures by $321,174 in FY 98. Funding for the SSBG will be enhanced by the federal TANF block grant dollars to maintain current funding levels for FY98 and FY99. Opportunity Industrial Centers conduct employment training programs for low-income clients.

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L) Funds are transferred from the TANF Block Grant to the SSBG for the purpose of funding the following program at the specified level.								
PROGRAM TANF FUNDS								
Opportunity Industrial Centers 321,174								
Opportunity Industrial Centers	0	-321,174	0	-321,174	0	0	0	0
Total - General Fund	**0**	**-321,174**	**0**	**-321,174**	**0**	**0**	**0**	**0**
Enhance Opportunity Industrial Centers - (B) The Opportunity Industrial Center (OIC) program contracts with private, non-profit training, employment and economic development organizations to provide training and job placement services for the underemployed and economic disadvantaged. -(L) An additional $100,000 is provided to enhance OIC.								
Opportunity Industrial Centers	0	100,000	0	100,000	0	100,000	0	100,000
Total - General Fund	**0**	**100,000**	**0**	**100,000**	**0**	**100,000**	**0**	**100,000**
Budget Totals - GF	**0**	**444,916**	**0**	**444,916**	**0**	**100,000**	**0**	**100,000**

Management Services
6104

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	2,122	2,122	2,134	2,134	2,220	2,220
	Others Equated to Full-Time	15	14	15	15	15	15
	Additional Funds Available						
	Permanent Full-Time	395	381	395	395	395	395
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	85,357,136	88,018,233	96,437,863	102,876,874	96,437,863	102,876,874
002	Other Expenses	32,100,847	29,585,000	40,402,793	37,918,759	38,902,793	36,418,759
005	Equipment	0	0	500	500	500	500
02X	Other Current Expenses	38,019,719	42,736,733	30,090,806	29,989,291	30,690,806	29,989,291
6XX	Grant Payments - Other Than Towns	64,688	150,000	150,000	150,000	150,000	150,000
	Agency Total - General Fund	**155,542,390**	**160,489,966**	**167,081,962**	**170,935,424**	**166,181,962**	**169,435,424**
	Agency Total - Appropriated Funds	**155,542,390**	**160,489,966**	**167,081,962**	**170,935,424**	**166,181,962**	**169,435,424**
	Additional Funds Available						
	Special Restricted Funds, Non-Appropriated	0	59,506	0	0	0	0
	Special Funds, Non-Appropriated	0	825,936	0	0	0	0
	Private Contributions	1,107,615	0	615,233	645,994	615,233	645,994
	Federal Contributions	464,220	882,104	824,461	824,461	824,461	824,461
	Agency Grand Total	**157,114,225**	**162,257,512**	**168,521,656**	**172,405,879**	**167,621,656**	**170,905,879**
	BUDGET BY PROGRAM						
	Management Services						
	Permanent Full-Time Positions GF/OF	2,122/395	2,122/381	2,134/395	2,134/395	2,220/395	2,220/395
	General Fund						
	Personal Services	85,357,136	88,018,233	96,437,863	102,876,874	96,437,863	102,876,874
	Other Expenses	32,100,847	29,585,000	40,402,793	37,918,759	38,902,793	36,418,759
	Equipment	0	0	500	500	500	500
011	Nursing Home Reform Act	1,350,969	1,218,238	1,318,437	1,325,090	1,318,437	1,325,090
013	Financial Management Reporting	12,040	533,982	25,000	25,000	625,000	25,000
016	Nursing Home Data Base	0	110,322	0	0	0	0
030	Statewide GA Data Base/Tracking System	1,716,937	4,283,063	0	0	0	0
038	Privatize Data Processing Services	34,939,773	36,591,128	28,747,369	28,639,201	28,747,369	28,639,201
	Grant Payments - Other Than Towns						
	Adjustment of Recoveries	64,688	150,000	150,000	150,000	150,000	150,000
	Total - General Fund	**155,542,390**	**160,489,966**	**167,081,962**	**170,935,424**	**166,181,962**	**169,435,424**
	Federal Contributions						
	Housing Ombudsman	53,228	57,643	0	0	0	0
	Child Support	332,011	0	0	0	0	0
	Social Services Block Grant	78,981	824,461	824,461	824,461	824,461	824,461
	Total - Federal Contributions	**464,220**	**882,104**	**824,461**	**824,461**	**824,461**	**824,461**
	Additional Funds Available						
	Management Information System Fund	0	59,506	0	0	0	0
	Out-stationed Hospital Workers	0	585,936	0	0	0	0
	Common Front End	0	240,000	0	0	0	0
	Private Contributions	1,107,615	0	615,233	645,994	615,233	645,994
	Total - Additional Funds Available	**1,107,615**	**885,442**	**615,233**	**645,994**	**615,233**	**645,994**
	Total - All Funds	**157,114,225**	**162,257,512**	**168,521,656**	**172,405,879**	**167,621,656**	**170,905,879**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
612	Adjustment of Recoveries	64,688	150,000	150,000	150,000	150,000	150,000
	EQUIPMENT						
005	Equipment	0	0	500	500	500	500
	Agency Grand Total	**157,114,225**	**162,257,512**	**168,521,656**	**172,405,879**	**167,621,656**	**170,905,879**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**2,122**	**152,668,276**	**2,122**	**152,668,276**	**0**	**0**	**0**	**0**
Incorporate Assorted Annualizations - (B)								
-(G) These adjustments recognize a variety of increased program costs and program changes that the department underwent during FY97. The FY98 and FY99 bases are adjusted for these programmatic changes.								
-(L) These adjustments recognize a variety of increased program costs and program changes that the department underwent during FY97. Funding of $13,848,548 in FY 98 and $19,745,336 in FY 99 is provided to facilitate these changes.								
Personal Services	0	7,828,418	0	13,957,130	0	0	0	0
Other Expenses	0	1,746,350	0	1,465,941	0	0	0	0
Equipment	0	1,549,000	0	1,699,000	0	0	0	0
Nursing Home Reform Act	0	100,199	0	106,852	0	0	0	0
Privatize Data Processing Services	0	2,624,581	0	2,516,413	0	0	0	0
Total - General Fund	**0**	**13,848,548**	**0**	**19,745,336**	**0**	**0**	**0**	**0**
Transfer Positions for State Administered General Assistance - (B)								
The state took over the administration of General Assistance (GA) for 11 major towns on April 1, 1997 and will assume administration for most of the remaining towns on July 1, 1998. The eleven towns scheduled to be taken over first represent over 80 percent of the total state caseload. It is estimated that 74 additional positions will be needed to administer this program.								
-(G) The governor recommends moving the funding for the SAGA positions into the Personal Services account to standardize personnel procedures. The governor also recommends increasing the funding dedicated to these positions by $1,418,529 to reflect full year costs for these positions.								
-(L)Same as Governor								
Personal Services	74	2,562,980	74	2,667,910	0	0	0	0
Statewide GA Data Base/Tracking System	0	-1,144,451	0	-1,144,451	0	0	0	0
~~**Total - General Fund**~~	~~**74**~~	~~**1,418,529**~~	~~**74**~~	~~**1,523,459**~~	~~**0**~~	~~**0**~~	~~**0**~~	~~**0**~~
Position Transfers - (B)								
In order to realign staff to more appropriately utilize state resources, a number of transfers are required both within the agency and from other state agencies.								
-(G) The transfer of 14 positions is recommended to standardize personnel procedures. Of the 14, twelve are to be transferred from the Fraud and Early Detection (FRED) unit that currently operates within the Statewide GA Database\Tracking account and two positions are being transferred from the state's lottery operations.								
-(L)Same as Governor								
Personal Services	14	497,936	14	535,755	0	0	0	0
Statewide GA Data Base/Tracking System	0	-405,457	0	-405,457	0	0	0	0
Total - General Fund	**14**	**92,479**	**14**	**130,298**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Account Transfers - (B)								
-(G) The transfer of digital imaging costs from the Statewide GA Database\Tracking account to the Privatization of Data Processing Services account is recommended for no net funding change.								
-(L)Same as Governor								
Statewide GA Data Base/Tracking System	0	-1,450,092	0	-1,450,092	0	0	0	0
Privatize Data Processing Services	0	1,450,092	0	1,450,092	0	0	0	0
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**
Obtain Equipment Funding from the Capital Equipment Purchase Fund - (B)								
The Capital Equipment Purchase Fund (CEPF) is authorized by Section 4a-9 of the Connecticut General Statutes and is used for the purchase of capital equipment with a useful life of at least three years. It is financed through the sale of bonds, and the funds are distributed jointly through the Department of Administrative Services and the Office of Policy and Management.								
-(G) A funding reduction of $1,548,500 in FY98 and $150,000 in FY99 is recommended to reflect the use of CEPF bond funds for the purchase of equipment in lieu of a General Fund appropriation.								
-(L)Same as Governor								
Equipment	0	-1,548,500	0	-1,698,500	0	0	0	0
Total - General Fund	**0**	**-1,548,500**	**0**	**-1,698,500**	**0**	**0**	**0**	**0**
Managed Care Encounter Data Costs - (B)								
The Department of Social Services is required to conduct a quality assurance review of the Medicaid managed care program, Connecticut Access. The department needs to analyze encounter data to fulfill the federal quality assurance requirements. Currently, for FY 97, $892,913 is budgeted.								
-(G) Funding for contractual services in the amount of $286,680 is recommended to meet the quality assurance encounter data requirements. For FY 98, $1,179,593 is budgeted.								
-(L)Same as Governor								
Other Expenses	0	286,680	0	286,680	0	0	0	0
Total - General Fund	**0**	**286,680**	**0**	**286,680**	**0**	**0**	**0**	**0**
Reduce Funding Due to State Data Center Rate Reduction - (B)								
Many state agencies obtain computer services to support their business functions from the State Data Center, operated by the Department of Administrative Services through the Technical Services Revolving Fund.								
-(G) Due to a reorganization of the operation of the State Data Center, there is a significant reduction in rates for the services charged to state agencies (BOSS and CATER)								
-(L)Same as Governor								
Other Expenses	0	-4,186,235	0	-4,186,235	0	0	0	0
Total - General Fund	**0**	**-4,186,235**	**0**	**-4,186,235**	**0**	**0**	**0**	**0**
Reduce Motor Vehicle Costs - (B)								
-(G) As part of the Governor's initiative to reduce the number of state vehicles, DSS is expecting to return 36 vehicles leased through the Department of Administrative Services. This effort is anticipated to save $27,756 annually.								
-(L)Same as Governor								
Other Expenses	0	-27,756	0	-27,756	0	0	0	0
Total - General Fund	**0**	**-27,756**	**0**	**-27,756**	**0**	**0**	**0**	**0**
Deduct from Recoveries the Payment for Contractual Services for Third-Party Liability Recoveries - (B)								
-(G) A $1 million reduction is recommended as result of paying for contractual services through third-party liability recoveries rather than directly from other expenses.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L)Same as Governor								
Other Expenses	0	-1,000,000	0	-1,000,000	0	0	0	0
Total - General Fund	**0**	**-1,000,000**	**0**	**-1,000,000**	**0**	**0**	**0**	**0**

Enhancement of Earned Income Tax Credit (EITC) - (B)
The Earned Income Tax Credit (EITC) is a federal tax credit for low-income employed families with children. Families whose wages are low can qualify for an EITC of as much as $3500 annually, if they apply. The Advanced Earned Income Tax Credit (AEITC) pays out the tax credit in advance of the annual federal refund, thereby increasing the low-wage earner's monthly disposable income.
-(G) The Governor proposes enhancement of Connecticut's AEITC program to increase awareness among welfare recipients that are potentially eligible for the tax credit. This entails hiring a contractor to assist employees and employers in filling out the proper paperwork to make working clients eligible to collect the AEITC. The contractor will also conduct an outreach and education campaign to raise awareness of AEITC availability. The recommended funding for enhancing EITC is $2,355,656 in FY 98 and $1,615,219 in FY 99 for contractual services to be paid for through other expenses. The enhancement of AEITC is anticipated to reduce the number of TFA recipients by moving some clients above the poverty threshold, thus reducing the caseload and funding in the amount of $4,825,207 for the TFA program in FY98 and $3,363,128 in FY99. These savings are reflected elsewhere in the department's budget.
-(L)Same as Governor

Other Expenses	0	2,355,656	0	1,615,219	0	0	0	0
Total - General Fund	**0**	**2,355,656**	**0**	**1,615,219**	**0**	**0**	**0**	**0**

Implementation of Changes to Child Support Enforcement as a Result of TANF - (B)
The Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (PRWORA) makes a number of improvements to the child support enforcement system. The federal law requires the creation of several national data bases designed to make it easier for states to locate absent parents, enforce orders and pursue support. The state must now adopt the Uniform Interstate Family Support Act (UIFSA), which will make interstate cases easier to enforce. State birth records agencies must offer paternity acknowledgment services and Social Security numbers must be recorded on commercial drivers' licenses, professional or occupational licenses, marriage licenses, divorce decrees, orders of support or paternity and on death certificates. Support orders must also now include provisions for health insurance coverage and employers must be notified of such provisions.

State child support agencies must now have access to motor vehicle and law enforcement locator networks, and state child support workers must have the authority to order genetic tests, subpoena financial information, order wage withholding, impose liens and take other actions, all without court action. This is a significant departure from Connecticut's practice, which has been to require action by a child support magistrate for nearly all these actions. States must conduct data matches with financial institutions and financial institutions must provide quarterly account information on parents with child support arrearages and must respond to notices of liens or levies against those parents. These provisions are required to be applied to all child support orders, new or modified, after October 1, 1998; thus, more savings on TFA cases from implementing these changes are anticipated in FY99 than in FY98.
-(G) It is recommended that implementation of these changes will cost the state, in net, the amount of $1,080,100 in FY 98 with savings anticipated in FY 99 of $6,030,961. The additional costs in DSS for implementing the changes are $173,000 for personal services, $1,641,490 in other expenses, and $340,000 (FY 98 only) for data processing

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
services. The Governor recommends a reduction in funding of $117,000 for TFA in FY 98 due to anticipated savings from increased child support collections on behalf of TFA recipient families.								
In FY 99, the implementation of the changes are anticipated to save the state $6,030,961. These include recommendations for increased funding of $188,000 for personal services, reduced funding of $917,120 in other expenses, and reduced funding of the $340,000 in data processing services. The Governor recommends a reduction of $5,323,500 in FY 99 to reflect the anticipated savings from the increased child support collections on behalf of TFA recipient families.								
-(L)Same as Governor								
Personal Services	10	173,000	10	361,000	0	0	0	0
Other Expenses	0	1,641,490	0	384,370	0	0	0	0
Privatize Data Processing Services	0	340,000	0	340,000	0	0	0	0
Total - General Fund	**10**	**2,154,490**	**10**	**1,085,370**	**0**	**0**	**0**	**0**
Privatize Certain Backlogged Child Support Cases - (B) Currently, the Department of Social Services is responsible for providing services to all families, including those not on welfare, who need help in establishing paternity, locating an absent parent, obtaining an order, and collecting support. The department now has over 242,000 open cases, many of which could be pursued more vigorously.								
-(G) To ensure that all children in the state are able to maximize their child support, funds are recommended to privatize location, paternity establishment, and order establishment services for child support which are under investigation and backlogged more than sixty days. This privatization project will also include cases in which the previous investigations did not result in the establishment of paternity or a support order. A contractor would be hired to locate non-custodial parents, establish paternity when necessary, and establish child support orders. This will allow DSS staff to focus on the Temporary Family Assistance caseload. The contractor would be required to establish regional offices around the state.								
In FY98, privatizing child support services is anticipated to result in a net savings to the state, in the amount of $1,524,500. This includes recommended funding of $2,395,000 for other expenses involved in hiring the contractor. It also includes a reduction in funding, in the amount of $3,919,500 in FY98 to reflect the savings from reduced TFA grants due to anticipated increases in child support collections.								
In FY99, the anticipated net savings to the state are $2,245,000. This includes reduced funding for other expenses, in the amount of $311,000. Also, a reduction in funding, in the amount of $409,500, is recommended to reflect the continued savings to the TFA program in FY99.								
-(L)Same as Governor								
Other Expenses	0	2,395,000	0	2,084,000	0	0	0	0
Total - General Fund	**0**	**2,395,000**	**0**	**2,084,000**	**0**	**0**	**0**	**0**
Enhance Audit Recoveries of Provider Payments - (B) The Department of Social Services performs retrospective audits of client payment records to ensure that program funds have been spent in accordance with state rules and that billings are correct. Overpayments are collected through adjustments to provider payments.								
-(G) The governor recommends enhancing these audit recoveries by contracting for private services in the amount of $250,000 to conduct audits. Enhancing audit recoveries will result in funding reduction of $8,950,000 in FY98 in the Medicaid program and an anticipated savings of $1,000,000 annually in the GA/SAGA program.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L)Same as Governor								
Other Expenses	0	250,000	0	250,000	0	0	0	0
Total - General Fund	**0**	**250,000**	**0**	**250,000**	**0**	**0**	**0**	**0**

Transfer Funding for the Job Connection Program to the Department of Labor - (B)
The Job Connection Program provides education, training , and employment services to clients receiving financial assistance from the state.
-(G) Transfer of $17,362,550 to the Department of Labor's One Stop Employment Services program is provided for the consolidation of employment services for JOBS program clients. The program will be part of the DOL's 18 Job Centers found around the state. These centers offer job training services and counseling to unemployed individuals. The transfer of funds includes $3,440,000 from DSS personal services through the elimination of 86 positions and $15,422,550 from the JOBS program. Of the total $18,862,550 for grants and personal services, $1,500,000 is being retained to provide technical assistance for compliance with new federal funding requirements under P.L. 104-193 (PRWORA). Funding for the DOL program will be increased to $24,000,000 and will be available for claiming through the federal TANF Block Grant.
-(L) Funding in the amount of $1,500,000 is reduced to reflect the elimination of contractual services to provide technical assistance with compliance with federal welfare reform funding requirements. The positions in the department are not eliminated as the Department of Labor will transfer funding back to DSS for continued administration of the Supported Employment/Job Connection Program.

Personal Services	0	-3,440,000	0	-3,460,450	86	0	86	0
Other Expenses	0	0	0	0	0	-1,500,000	0	-1,500,000
Total - General Fund	**0**	**-3,440,000**	**0**	**-3,460,450**	**86**	**-1,500,000**	**86**	**-1,500,000**

Enhance Funds to Improve Child Care Quality - (B)
The Department of Social Services assists low and moderate income families in purchasing child care through a variety of programs. The federal welfare reform P.L. 104-193 Personal Responsibility and Work Opportunity Reconciliation Act, consolidates existing federal child care funding sources (AFDC Daycare, at-risk child care and Child Care Development Block Grant, CCDBG).
-(G) The governor recommends funds in the amount of $314,795 in FY98 and $419,727 in FY99 for costs associated with quality related activities.
-(L)Same as Governor

Other Expenses	0	314,795	0	419,727	0	0	0	0
Total - General Fund	**0**	**314,795**	**0**	**419,727**	**0**	**0**	**0**	**0**

Welfare Reform Evaluation Project - (B)
-(L) Funding in the amount of $300,000 is provided to conduct evaluation research on the population impacted by the policies of the state's welfare program. The funds are to be used under the direction of the Office of Fiscal Analysis in conjunction with the advice of the appropriations and human services committees. Funds may be used to match private funds that are made available for welfare reform research. Funds are transferred from the Department of Social Services to the Legislative Management Committee. In addition $300,000 is provided for the continuation of the Executive Information System that DSS has been developing in cooperation with the Office of Fiscal Analysis and the Office of Policy and Management.

Financial Management Reporting	0	600,000	0	0	0	600,000	0	0
Total - General Fund	**0**	**600,000**	**0**	**0**	**0**	**600,000**	**0**	**0**
Budget Totals - GF	**2,220**	**166,181,962**	**2,220**	**169,435,424**	**86**	**-900,000**	**86**	**-1,500,000**

Personal Services Savings
6105

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	0	0	-618,243	-618,243	-618,243	-618,243
	Agency Total - General Fund	**0**	**0**	**-618,243**	**-618,243**	**-618,243**	**-618,243**
	Agency Total - Appropriated Funds	**0**	**0**	**-618,243**	**-618,243**	**-618,243**	**-618,243**
	Agency Grand Total	**0**	**0**	**-618,243**	**-618,243**	**-618,243**	**-618,243**
	BUDGET BY PROGRAM						
	Personal Services Savings						
	General Fund						
	Personal Services	0	0	-618,243	-618,243	-618,243	-618,243
	Agency Grand Total	**0**	**0**	**-618,243**	**-618,243**	**-618,243**	**-618,243**

Soldiers', Sailors', and Marines' Fund[1]
6301

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	Soldiers, Sailors and Marines' Fund						
	Permanent Full-Time	19	19	19	19	19	19
	OPERATING BUDGET						
	Appropriated Funds						
	Soldiers, Sailors and Marines' Fund						
001	Personal Services	612,482	650,000	731,342	774,619	731,342	774,619
002	Other Expenses	335,776	385,005	383,211	403,229	383,211	403,229
005	Equipment	43,387	6,730	30,000	0	30,000	0
02X	Other Current Expenses	2,062,310	2,300,000	2,000,000	2,000,000	2,000,000	2,000,000
	Agency Total - Soldiers, Sailors and Marines' Fund	**3,053,955**	**3,341,735**	**3,144,553**	**3,177,848**	**3,144,553**	**3,177,848**
	Agency Total - Appropriated Funds	**3,053,955**	**3,341,735**	**3,144,553**	**3,177,848**	**3,144,553**	**3,177,848**
	Agency Grand Total	**3,053,955**	**3,341,735**	**3,144,553**	**3,177,848**	**3,144,553**	**3,177,848**
	BUDGET BY PROGRAM						
	Award Assistance to Veterans and Dependents						
	Permanent Full-Time Positions SF	19	19	19	19	19	19
	Soldiers, Sailors and Marines' Fund						
	Personal Services	612,482	650,000	814,342	858,829	814,342	858,829
	Other Expenses	335,776	385,005	383,211	403,229	383,211	403,229
	Equipment	43,387	6,730	30,000	0	30,000	0
021	Award Payments to Veterans	2,062,310	2,300,000	2,000,000	2,000,000	2,000,000	2,000,000
	Total - Soldiers, Sailors and Marines' Fund	**3,053,955**	**3,341,735**	**3,227,553**	**3,262,058**	**3,227,553**	**3,262,058**
	Less: Turnover - Personal Services - SF	0	0	-83,000	-84,210	-83,000	-84,210
	EQUIPMENT						
005	Equipment	43,387	6,730	30,000	0	30,000	0
	Agency Grand Total	**3,053,955**	**3,341,735**	**3,144,553**	**3,177,848**	**3,144,553**	**3,177,848**

BUDGET CHANGES

	Legislative 98		Legislative 99		Diff. from Gov. 97-98		Diff. from Gov. 98-99	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
FY97 Governor's Estimated Expenditure - GF	**19**	**3,535,625**	**19**	**3,535,625**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	-12,548	0	30,729	0	0	0	0
Other Expenses	0	-1,794	0	18,224	0	0	0	0
Equipment	0	23,270	0	-6,730	0	0	0	0
Other Current Expenses	0	-400,000	0	-400,000	0	0	0	0
Total - General Fund	**0**	**-391,072**	**0**	**-357,777**	**0**	**0**	**0**	**0**
Budget Totals - GF	**19**	**3,144,553**	**19**	**3,177,848**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Categories of Payment ($)								
Weekly (000)	578	600	600	600	600	600	600	600
Medical (000)	894	1,100	825	807	825	825	825	825
Other (000)	646	700	505	505	575	575	575	575
Unit cost per case ($)	737	800	643	683	667	714	667	714
Cases processed	2,873	3,000	3,000	2,800	3,000	2,800	3,000	2,800
Potential Eligible CT Veterans	340,000	340,000	340,000	340,000	340,000	340,000	340,000	340,000

[1] The Soldiers', Sailors', and Marines' Fund is a trust fund authorized by the General Assembly in 1919 to provide temporary financial assistance to needy veterans. Book value of the fund, as reported by the Office of the State Treasurer, on May 31, 1997 is $54,774,000. Appropriated funds are derived from the interest earned from the principal of the trust fund. Appropriations are made from the fund to this agency for its operating expenses and forward payments to veterans, and to the Department of Veterans Affairs for burial expenses and headstones for indigent veterans.

Department of Education
7001

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	1,600	1,632	1,564	1,564	1,634	1,634
	Others Equated to Full-Time	96	96	96	96	96	96
	Additional Funds Available						
	Permanent Full-Time	183	142	176	176	176	176
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	78,028,683	81,265,681	80,200,480	82,947,371	83,665,480	87,112,371
002	Other Expenses	11,279,215	11,016,366	10,334,699	10,334,699	10,769,699	10,769,699
005	Equipment	0	0	0	0	0	0
02X	Other Current Expenses	10,931,586	10,788,591	18,130,303	23,180,020	22,595,303	33,400,020
6XX	Grant Payments - Other Than Towns	28,300,015	16,928,942	28,760,442	36,129,442	27,610,442	33,279,442
7XX	Grant Payments - To Towns	1,364,275,119	1,386,530,197	1,396,381,252	1,403,213,544	1,418,066,530	1,444,211,447
	Agency Total - General Fund[1]	**1,492,814,618**	**1,506,529,777**	**1,533,807,176**	**1,555,805,076**	**1,562,707,454**	**1,608,772,979**
	Agency Total - Appropriated Funds	**1,492,814,618**	**1,506,529,777**	**1,533,807,176**	**1,555,805,076**	**1,562,707,454**	**1,608,772,979**
	Additional Funds Available						
	Special Funds, Non-Appropriated	5,199,982	2,459,000	2,459,000	2,459,000	2,459,000	2,459,000
	Private Contributions	4,182,226	1,607,353	1,544,784	1,545,000	1,544,784	1,545,000
	Federal Contributions	191,133,553	178,475,049	209,397,443	200,422,160	209,397,443	200,422,160
	Agency Grand Total	**1,695,013,367**	**1,689,071,179**	**1,748,971,731**	**1,762,035,121**	**1,777,872,009**	**1,815,003,024**
	BUDGET BY PROGRAM						
	Equalization						
	General Fund						
	Grant Payments - To Towns						
	Education Equalization Grants	1,243,535,997	1,245,828,175	1,286,000,000	1,288,000,000	1,262,860,000	1,277,170,000
	Student Achievement Grant	1,000,000	1,000,000	0	0	500,000	500,000
	Total - General Fund	**1,244,535,997**	**1,246,828,175**	**1,286,000,000**	**1,288,000,000**	**1,263,360,000**	**1,277,670,000**
	School Building						
	Permanent Full-Time Positions GF	7	7	7	7	7	7
	General Fund						
	Personal Services	373,034	391,295	391,295	396,435	391,295	396,435
	Grant Payments - Other Than Towns						
	Charter Schools	0	0	6,000,000	9,069,000	6,000,000	9,069,000
	Grant Payments - To Towns						
	School Building Grants and Interest Subsidy	127,982	75,000	42,500	25,500	42,500	25,500
	Total - General Fund	**501,016**	**466,295**	**6,433,795**	**9,490,935**	**6,433,795**	**9,490,935**
	State Children						
	General Fund						
	Grant Payments - Other Than Towns						
	Omnibus Education Grants State Supported Schools	2,329,790	2,804,000	2,854,000	2,854,000	2,854,000	2,854,000
	Grant Payments - To Towns						
	Health and Welfare Services Pupils Private Schools	2,773,449	3,064,000	3,200,000	3,400,000	3,200,000	3,400,000
	Total - General Fund	**5,103,239**	**5,868,000**	**6,054,000**	**6,254,000**	**6,054,000**	**6,254,000**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Transportation of School Children						
	General Fund						
	Grant Payments - To Towns						
	Transportation of School Children	35,789,368	36,697,667	0	0	39,500,000	42,000,000
	Interdistrict Cooperation	521,448	0	0	0	0	0
	Non-Public School Transportation	4,031,139	4,034,069	4,235,000	4,450,000	4,235,000	4,450,000
	Total - General Fund	**40,341,955**	**40,731,736**	**4,235,000**	**4,450,000**	**43,735,000**	**46,450,000**
	Additional Funds Available						
	Private Contributions	192	0	0	0	0	0
	Total - All Funds	**40,342,147**	**40,731,736**	**4,235,000**	**4,450,000**	**43,735,000**	**46,450,000**
	Student Mastery Testing and Program Assessment Reporting						
	Permanent Full-Time Positions GF	4	4	4	4	4	4
	General Fund						
	Personal Services	250,859	263,246	272,230	280,578	272,230	280,578
	Other Expenses	270	0	0	0	0	0
024	Development of Mastery Exams Grades 4, 6, and 8	3,399,475	3,849,831	4,430,920	4,950,954	4,630,920	5,150,954
	Total - General Fund	**3,650,604**	**4,113,077**	**4,703,150**	**5,231,532**	**4,903,150**	**5,431,532**
	Additional Funds Available						
	Private Contributions	15,675	0	0	0	0	0
	Total - All Funds	**3,666,279**	**4,113,077**	**4,703,150**	**5,231,532**	**4,903,150**	**5,431,532**
	Compensatory Education (EERA)						
	Permanent Full-Time Positions GF/OF	1/35	1/12	1/35	1/35	3/35	3/35
	General Fund						
	Personal Services	167,185	175,423	73,560	73,560	73,560	73,560
	Other Expenses	256	4,164	0	0	0	0
048	Hartford Public School Monitors	0	0	0	0	200,000	200,000
	Grant Payments - Other Than Towns						
	Head Start Services	3,096,766	3,084,500	3,100,000	3,100,000	3,100,000	3,100,000
	Head Start Enhancement	1,000,000	995,000	3,000,000	5,000,000	2,000,000	2,000,000
	Grant Payments - To Towns						
	Education Equalization Grants	1,370,223	0	0	0	0	0
	Priority School Districts	11,250,000	16,125,000	17,000,000	17,000,000	18,500,000	19,000,000
	Total - General Fund	**16,884,430**	**20,384,087**	**23,173,560**	**25,173,560**	**23,873,560**	**24,373,560**
	Federal Contributions						
	Juvenile Justice&Delinq Prevent	2,428	0	0	0	0	0
	Desegregation Assist/Trng/Advise	530	0	0	0	0	0
	Local Educ Agencies-Chapter I	51,100,253	51,795,507	58,088,593	55,659,000	58,088,593	55,659,000
	Migrant Education-Formula Gt Pgm	2,260,807	2,162,827	2,201,800	2,267,800	2,201,800	2,267,800
	Educ Deprived Child-State Admin	106,223	0	0	0	0	0
	Neglected & Delinquent Children	546,276	859,296	873,767	873,800	873,767	873,800
	Fed/St/Loc Partnerships-Ed Impr	653,402	0	0	0	0	0
	Educ of Homeless Child & Youth	188,521	172,259	197,600	221,900	197,600	221,900
	Even Start-St Educational Agys	709,233	718,536	718,536	718,600	718,536	718,600
	Chap 1 Capitol Expenses-Priv Sch	479,130	419,476	419,476	396,000	419,476	396,000
	Chap 1-St Pgm Improvement Gts	22,532	0	116,303	116,400	116,303	116,400
	Eisenhower Professional Development Program	31	0	0	0	0	0
	ESEA Title VI	3,812,205	0	5,150,534	5,150,600	5,150,534	5,150,600
	Total - Federal Contributions	**59,881,571**	**56,127,901**	**67,766,609**	**65,404,100**	**67,766,609**	**65,404,100**
	Total - All Funds	**76,766,001**	**76,511,988**	**90,940,169**	**90,577,660**	**91,640,169**	**89,777,660**
	Nutrition						
	Permanent Full-Time Positions GF/OF	1/14	1/13	1/14	1/14	1/14	1/14
	General Fund						
	Personal Services	74,567	78,275	74,022	74,022	74,022	74,022
	Grant Payments - To Towns						
	School Breakfast Program	1,450,000	1,489,000	1,524,736	1,559,805	1,524,736	1,559,805
	Total - General Fund	**1,524,567**	**1,567,275**	**1,598,758**	**1,633,827**	**1,598,758**	**1,633,827**
	Federal Contributions						
	School Breakfast Program	7,689,714	8,302,410	8,468,460	8,468,460	8,468,460	8,468,460
	National School Lunch Program	35,881,100	37,420,203	38,168,607	38,169,800	38,168,607	38,169,800
	Special Milk Progm for Children	492,374	531,605	542,237	542,300	542,237	542,300
	Child and Adult Care Food Program	11,336,779	12,240,020	12,484,819	12,485,000	12,484,819	12,485,000
	Summer Food Service Program Children	2,252,618	2,432,098	2,480,740	2,480,900	2,480,740	2,480,900
	Administration for Child Nutrition	679,504	762,040	777,281	777,300	777,281	777,300
	Nutrition Education and Training Program	68,983	74,482	75,971	76,200	75,971	76,200
	Total - Federal Contributions	**58,401,072**	**61,762,858**	**62,998,115**	**62,999,960**	**62,998,115**	**62,999,960**
	Total - All Funds	**61,375,639**	**63,330,133**	**66,121,609**	**66,193,592**	**66,121,609**	**66,193,592**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Language Barriers						
	Permanent Full-Time Positions /OF	/1	/1	/1	/1	/1	/1
	General Fund						
	Grant Payments - To Towns						
	Bilingual Education	2,195,510	2,200,000	2,252,800	2,304,614	2,226,000	2,252,000
	Federal Contributions						
	Bilingual Education	99,729	100,000	100,000	100,000	100,000	100,000
	Desegregation Assist/Trng/Advise	60	0	0	0	0	0
	Emergency Immigrant Education	275,068	223,301	446,602	223,000	446,602	223,000
	Foreign Languages Assistance	169	0	0	0	0	0
	Total - Federal Contributions	**375,026**	**323,301**	**546,602**	**323,000**	**546,602**	**323,000**
	Total - All Funds	**2,570,536**	**2,523,301**	**2,799,402**	**2,627,614**	**2,772,602**	**2,575,000**
	Vocational Training and Job Preparation						
	Permanent Full-Time Positions GF/OF	5/17	5/9	5/17	5/17	5/17	5/17
	General Fund						
	Personal Services	431,676	450,739	398,487	403,390	398,487	403,390
	Other Expenses	50,038	56,336	52,469	53,675	52,469	53,675
043	Connecticut Pre-Engineering Program	90,000	100,000	0	0	100,000	100,000
046	Jobs for Connecticut Graduates	0	0	300,000	300,000	240,000	240,000
	Grant Payments - To Towns						
	Vocational Agriculture	1,866,000	2,515,000	2,567,800	2,621,700	2,567,800	2,621,700
	Total - General Fund	**2,437,714**	**3,122,075**	**3,318,756**	**3,378,765**	**3,358,756**	**3,418,765**
	Federal Contributions						
	Employment Serv & Job Training	261,801	160,002	177,600	160,000	177,600	160,000
	Job Training Partnership	134,173	95,276	95,276	95,300	95,276	95,300
	Adult Ed-State Administered Pgm	7,667	0	0	0	0	0
	Trans. to Supported Employ. & Day Support	361	0	0	0	0	0
	Voc Educ-Basic Grants to States	7,768,435	7,567,347	8,552,650	8,552,700	8,552,650	8,552,700
	Voc Educ Consumer&Homemaking	16,321	0	0	0	0	0
	SSA Voc Rehab Program	9,264	0	0	0	0	0
	Fed/St/Loc Partnerships-Ed Impr	4	0	0	0	0	0
	Sec Ed & Serv/Youth W/Disability	434,741	514,416	514,416	464,200	514,416	464,200
	Adult Education for the Homeless	33	0	0	0	0	0
	Educ of Homeless Child & Youth	9,775	0	0	0	0	0
	Tech-Prep Education	901,044	881,357	0	0	0	0
	Total - Federal Contributions	**9,543,619**	**9,218,398**	**9,339,942**	**9,272,200**	**9,339,942**	**9,272,200**
	Additional Funds Available						
	Private Contributions	20,000	0	0	0	0	0
	Total - All Funds	**12,001,333**	**12,340,473**	**12,658,698**	**12,650,965**	**12,698,698**	**12,690,965**
	Adult Education						
	Permanent Full-Time Positions GF/OF	7/3	7/2	7/3	7/3	7/3	7/3
	General Fund						
	Personal Services	502,875	527,602	495,143	504,112	495,143	504,112
	Other Expenses	13,182	15,032	13,823	14,142	13,823	14,142
012	Literacy Volunteers	19,817	19,860	20,860	20,860	20,860	20,860
023	Adult Basic Education	572,869	0	0	0	0	0
034	Adult Education Action	285,251	270,000	300,000	300,000	300,000	300,000
	Grant Payments - To Towns						
	Adult Education	10,608,151	12,246,000	14,121,660	16,339,027	13,821,660	16,039,027
	Total - General Fund	**12,002,145**	**13,078,494**	**14,951,486**	**17,178,141**	**14,651,486**	**16,878,141**
	Federal Contributions						
	Job Training Partnership	885,218	676,555	676,555	677,000	676,555	677,000
	Adult Ed-State Administered Pgm	2,915,041	2,862,847	3,908,198	3,344,500	3,908,198	3,344,500
	Voc Educ-Basic Grants to States	740,047	750,270	847,960	848,000	847,960	848,000
	Voc Educ Consumer&Homemaking	6,079	0	0	0	0	0
	Special Ed - Preschool Grants	38	0	0	0	0	0
	Adult Education for the Homeless	94,785	0	0	0	0	0
	Tech-Prep Education	86,254	0	0	0	0	0
	State Literacy Resource Centers	126,468	0	0	0	0	0
	Total - Federal Contributions	**4,853,930**	**4,289,672**	**5,432,713**	**4,869,500**	**5,432,713**	**4,869,500**
	Additional Funds Available						
	Private Contributions	595,869	0	0	0	0	0
	Total - All Funds	**17,451,944**	**17,368,166**	**20,384,199**	**22,047,641**	**20,084,199**	**21,747,641**
	Special Education						
	Permanent Full-Time Positions GF/OF	2/51	3/30	2/51	2/51	2/51	2/51
	General Fund						
	Personal Services	169,101	177,512	189,176	194,157	189,176	194,157
	Other Expenses	2,990	2,279	3,135	3,207	3,135	3,207
	Grant Payments - Other Than Towns						
	Omnibus Education Grants State Supported Schools	442,018	0	0	0	0	0

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Grant Payments - To Towns						
	Excess Cost - Student Based	18,102,700	22,759,000	8,900,000	10,000,000	25,000,000	28,000,000
	Excess Cost - Equity	11,499,999	11,500,000	21,500,000	21,500,000	11,500,000	11,500,000
	Medicaid Coordination	3,071,171	6,820,000	6,000,000	6,500,000	6,000,000	6,500,000
	Special Education Overburden	0	0	0	0	425,000	225,000
	Total - General Fund	**33,287,979**	**41,258,791**	**36,592,311**	**38,197,364**	**43,117,311**	**46,422,364**
	Federal Contributions						
	Education Handicapped Child State School	399,402	0	0	0	0	0
	Trans. to Supported Employ. & Day Support	31,509,737	30,980,560	41,600,944	35,223,200	41,600,944	35,223,200
	Spec Educ Personnel Development	149,285	151,000	151,000	151,000	151,000	151,000
	SSA Voc Rehab Program	23,756	33,000	33,000	33,000	33,000	33,000
	Special Ed - Preschool Grants	4,785,149	4,878,401	5,240,150	5,198,000	5,240,150	5,198,000
	Infants/Families W/Disability	3,014	0	0	0	0	0
	Educ of Homeless Child & Youth	19,757	0	0	0	0	0
	Secretary's Fd-Innovations in Ed	39,317	0	0	0	0	0
	Tech-Prep Education	9,180	0	0	0	0	0
	Family Resource Centers	31,407	0	0	0	0	0
	Total - Federal Contributions	**36,970,004**	**36,042,961**	**47,025,094**	**40,605,200**	**47,025,094**	**40,605,200**
	Total - All Funds	**70,257,983**	**77,301,752**	**83,617,405**	**78,802,564**	**90,142,405**	**87,027,564**
	Early Childhood Education/Young Parents						
	Permanent Full-Time Positions /OF	/7	/2	/1	/1	/1	/1
	General Fund						
016	Early Childhood Program	0	0	6,000,000	10,000,000	10,000,000	19,780,000
029	Primary Mental Health	266,949	280,000	294,000	294,000	294,000	294,000
	Grant Payments - Other Than Towns						
	Birth to Three-Early Childhood	12,166,000	0	0	0	0	0
	Family Resource Centers	1,032,500	2,021,500	4,032,500	6,032,500	4,032,500	6,032,500
	Grant Payments - To Towns						
	Young Parents Program	232,988	233,000	238,592	244,080	238,592	244,080
	Total - General Fund	**13,698,437**	**2,534,500**	**10,565,092**	**16,570,580**	**14,565,092**	**26,350,580**
	Federal Contributions						
	Special Ed - Preschool Grants	657	0	0	0	0	0
	Infants/Families W/Disability	4,092,930	0	0	0	0	0
	Even Start-St Educational Agys	4,875	0	0	0	0	0
	Family Resource Centers	1,731,044	0	0	0	0	0
	Social Services Block Grant	49,922	12,500	12,500	12,500	12,500	12,500
	Total - Federal Contributions	**5,879,428**	**12,500**	**12,500**	**12,500**	**12,500**	**12,500**
	Additional Funds Available						
	Private Contributions	11,000	0	0	0	0	0
	Total - All Funds	**19,821,853**	**2,547,000**	**10,816,184**	**16,827,160**	**14,816,184**	**26,607,160**
	Improving Teaching						
	Permanent Full-Time Positions GF/OF	20/1	19/3	20/1	20/1	20/1	20/1
	General Fund						
	Personal Services	1,149,879	1,201,262	1,154,742	1,164,800	1,154,742	1,164,800
	Other Expenses	2,400	1,651	2,517	2,575	2,517	2,575
011	Training Paraprofessionals for Teaching	349,280	340,000	372,000	372,000	372,000	372,000
013	Institutes for Educators	472,595	326,000	328,000	328,000	328,000	328,000
014	Basic Skills Exam Teachers in Training	1,260,844	1,149,000	1,290,000	1,290,000	1,190,000	1,190,000
015	Teachers' Standards Implementation Program	3,142,332	3,126,000	3,515,523	4,045,206	3,415,523	3,945,206
	Total - General Fund	**6,377,330**	**6,143,913**	**6,662,782**	**7,202,581**	**6,462,782**	**7,002,581**
	Federal Contributions						
	Fed/St/Loc Partnerships-Ed Impr	601	0	0	0	0	0
	Eisenhower Math & Sci Educ-St Gt	270,998	0	0	0	0	0
	National Professional Development	24,914	31,815	31,815	31,900	31,815	31,900
	Drug Free Schools & Comm-Trng	20	0	0	0	0	0
	Train-Early Childhood Violence	8,566	23,500	23,500	0	23,500	0
	Eisenhower Professional Development Program	2,128,807	2,115,972	4,694,000	5,600,000	4,694,000	5,600,000
	Total - Federal Contributions	**2,433,906**	**2,171,287**	**4,749,315**	**5,631,900**	**4,749,315**	**5,631,900**
	Additional Funds Available						
	Private Contributions	5,756	17,769	0	0	0	0
	Total - All Funds	**8,816,992**	**8,332,969**	**11,412,097**	**12,834,481**	**11,212,097**	**12,634,481**
	Curriculum Improvement						
	Permanent Full-Time Positions GF/OF	2/0	2/2	2/0	2/0	2/0	2/0
	General Fund						
	Personal Services	194,954	204,616	234,029	234,029	234,029	234,029
	Other Expenses	0	892	0	0	0	0
044	Contracting Instructional TV Services	0	209,000	209,000	209,000	209,000	209,000

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
045	Connecticut Writing Project	0	100,000	0	0	75,000	50,000
	Grant Payments - Other Than Towns						
	Contracting Instructional TV Services	209,000	0	0	0	0	0
	Grant Payments - To Towns						
	Celebration of Excellence	25,000	25,000	25,600	26,189	25,000	25,000
	School to Work Opportunities	100,000	250,000	256,000	261,888	250,000	250,000
	Extended School Hours and Support Programs	1,559,784	1,270,000	1,310,720	1,340,867	1,310,720	1,340,867
	Total - General Fund	**2,088,738**	**2,059,508**	**2,035,349**	**2,071,973**	**2,103,749**	**2,108,896**
	Federal Contributions						
	Secretary's Fd-Innovations in Ed	220,456	0	0	0	0	0
	Foreign Languages Assistance	134,686	0	0	0	0	0
	Goals 2000	3,495,018	3,149,595	4,460,763	4,453,500	4,460,763	4,453,500
	Support School Aids Education	2,458	0	0	0	0	0
	Other Federal Assistance	879,000	400,000	400,000	400,000	400,000	400,000
	Total - Federal Contributions	**4,731,618**	**3,549,595**	**4,860,763**	**4,853,500**	**4,860,763**	**4,853,500**
	Total - All Funds	**6,820,356**	**5,609,103**	**6,896,112**	**6,925,473**	**6,964,512**	**6,962,396**
	Improving Delivery of Educational Program						
	Project Concern - Receiving District						
	Permanent Full-Time Positions GF/OF	6/7	6/4	6/6	6/6	6/6	6/6
	General Fund						
	Personal Services	617,990	648,654	638,413	638,413	638,413	638,413
	Other Expenses	16,294	6,236	17,085	17,478	17,085	17,478
029	Primary Mental Health	11,303	0	0	0	0	0
031	Compact for Faculty Diversity	52,460	0	0	0	0	0
052	Resource Equity Assessments	0	0	0	0	150,000	0
	Grant Payments - Other Than Towns						
	RESC Leases	0	0	650,000	950,000	650,000	950,000
	Regional Education Services	2,122,383	2,122,384	2,722,384	2,722,384	2,572,384	2,872,384
	Grant Payments - To Towns						
	Interdistrict Cooperation	10,470,625	15,386,000	22,369,600	22,732,301	21,219,600	22,082,301
	Youth Service Bureaus	2,693,585	2,748,398	2,829,720	2,894,804	2,748,398	2,748,398
	Project Concern - Receiving District	0	264,888	2,006,524	2,012,769	271,524	277,769
	OPEN Choice Program	0	0	0	0	0	1,600,000
	Lighthouse Schools	0	0	0	0	100,000	400,000
	Total - General Fund	**15,984,640**	**21,176,560**	**31,233,726**	**31,968,149**	**28,367,404**	**31,586,743**
	Federal Contributions						
	~~Education And Human Resources~~	~~1,920,360~~	~~237,750~~	~~237,750~~	~~200,000~~	~~237,750~~	~~200,000~~
	Bilingual Education	271	0	0	0	0	0
	Desegregation Assist/Trng/Advise	364,370	0	0	0	0	0
	National Professional Development	219,383	280,148	280,148	280,200	280,148	280,200
	Drug Free Schools	3,277,792	3,193,490	4,832,834	4,668,600	4,832,834	4,668,600
	Christa Mcauliffe Fellowships	26,021	0	52,839	82,900	52,839	82,900
	Drug Free Schools & Comm-Trng	37,428	0	0	0	0	0
	Secretary's Fd-Innovations in Ed	2,098	200,000	200,000	200,000	200,000	200,000
	Foreign Languages Assistance	16	0	0	0	0	0
	Goals 2000	45,890	0	0	0	0	0
	Eisenhower Professional Development Program	1,862	0	0	0	0	0
	ESEA Title VI	1,025	0	0	0	0	0
	Support School Aids Education	281,611	248,556	248,556	248,600	248,556	248,600
	Learn & Serve America K-12	213,324	202,255	202,255	162,400	202,255	162,400
	Other Federal Assistance	56,476	28,808	28,808	25,000	28,808	25,000
	Total - Federal Contributions	**6,447,927**	**4,391,007**	**6,083,190**	**5,867,700**	**6,083,190**	**5,867,700**
	Additional Funds Available						
	Private Contributions	232,812	44,800	0	0	0	0
	Total - All Funds	**22,665,379**	**25,612,367**	**37,316,916**	**37,835,849**	**34,450,594**	**37,454,443**
	Vocational-Technical Schools						
	Permanent Full-Time Positions GF/OF	1,368/47	1,400/64	1,332/47	1,332/47	1,400/47	1,400/47
	General Fund						
	Personal Services	64,878,184	67,452,594	68,337,758	70,534,511	71,202,758	74,099,511
	Other Expenses	8,387,186	8,372,000	7,872,668	7,808,478	8,307,668	8,243,478
039	Vocational Technical School Textbooks	494,650	496,500	500,000	500,000	500,000	500,000
040	Repair of Instructional Equipment	207,036	213,700	237,500	237,500	237,500	237,500
041	Minor Repairs to Plant	304,763	308,700	332,500	332,500	332,500	332,500
	Total - General Fund	**74,271,819**	**76,843,494**	**77,280,426**	**79,412,989**	**80,580,426**	**83,412,989**
	Federal Contributions						
	National School Lunch Program	990,543	0	0	0	0	0
	Pell Grant Program	300,842	261,600	261,600	261,600	261,600	261,600
	Total - Federal Contributions	**1,291,385**	**261,600**	**261,600**	**261,600**	**261,600**	**261,600**
	Additional Funds Available						
	Special Funds, Non-Appropriated	5,199,180	2,459,000	2,459,000	2,459,000	2,459,000	2,459,000
	Private Contributions	3,292,572	1,544,784	1,544,784	1,545,000	1,544,784	1,545,000
	Total - Additional Funds Available	**8,491,752**	**4,003,784**	**4,003,784**	**4,004,000**	**4,003,784**	**4,004,000**
	Total - All Funds	**84,054,956**	**81,108,878**	**81,545,810**	**83,678,589**	**84,845,810**	**87,678,589**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Management Services						
	Permanent Full-Time Positions GF	177	177	177	177	177	177
	General Fund						
	Personal Services	9,218,379	9,694,463	10,616,625	11,124,364	11,216,625	11,724,364
	Other Expenses	2,806,599	2,557,776	2,373,002	2,435,144	2,373,002	2,435,144
	Equipment	0	0	0	0	0	0
022	Extended School Hours Administration	668	0	0	0	0	0
029	Primary Mental Health	1,294	0	0	0	0	0
050	Science and Technology - Jason Project	0	0	0	0	0	150,000
	Grant Payments - Other Than Towns						
	American School for the Deaf	5,851,558	5,851,558	6,351,558	6,351,558	6,351,558	6,351,558
	Nutmeg Games	50,000	50,000	50,000	50,000	50,000	50,000
	Total - General Fund	**17,928,498**	**18,153,797**	**19,391,185**	**19,961,066**	**19,991,185**	**20,711,066**
	Federal Contributions						
	Byrd Honors Scholarships	324,000	323,969	321,000	321,000	321,000	321,000
	Learn & Serve America K-12	67	0	0	0	0	0
	Total - Federal Contributions	**324,067**	**323,969**	**321,000**	**321,000**	**321,000**	**321,000**
	Additional Funds Available						
	Special Funds, Non-Appropriated	802	0	0	0	0	0
	Private Contributions	8,350	0	0	0	0	0
	Total - Additional Funds Available	**9,152**	**0**	**0**	**0**	**0**	**0**
	Total - All Funds	**18,261,717**	**18,477,766**	**19,712,185**	**20,282,066**	**20,312,185**	**21,032,066**
	Personal Services Savings						
	General Fund						
	Personal Services	0	0	-1,100,000	-1,100,000	-1,100,000	-1,100,000
	Less: Turnover - Personal Services - GF	0	0	-1,575,000	-1,575,000	-1,575,000	-1,575,000
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
602	American School for the Deaf	5,851,558	5,851,558	6,351,558	6,351,558	6,351,558	6,351,558
603	RESC Leases	0	0	650,000	950,000	650,000	950,000
604	Contracting Instructional TV Services	209,000	0	0	0	0	0
607	Regional Education Services	2,122,383	2,122,384	2,722,384	2,722,384	2,572,384	2,872,384
608	Omnibus Education Grants State Supported Schools	2,771,808	2,804,000	2,854,000	2,854,000	2,854,000	2,854,000
610	Birth to Three-Early Childhood	12,166,000	0	0	0	0	0
615	Head Start Services	3,096,766	3,084,500	3,100,000	3,100,000	3,100,000	3,100,000
616	Head Start Enhancement	1,000,000	995,000	3,000,000	5,000,000	2,000,000	2,000,000
617	Family Resource Centers	1,032,500	2,021,500	4,032,500	6,032,500	4,032,500	6,032,500
618	Nutmeg Games	50,000	50,000	50,000	50,000	50,000	50,000
624	Charter Schools	0	0	6,000,000	9,069,000	6,000,000	9,069,000
	GRANT PAYMENTS - TO TOWNS (Recap)						
701	School Building Grants and Interest Subsidy	127,982	75,000	42,500	25,500	42,500	25,500
704	Vocational Agriculture	1,866,000	2,515,000	2,567,800	2,621,700	2,567,800	2,621,700
709	Transportation of School Children	35,789,368	36,697,667	0	0	39,500,000	42,000,000
710	Adult Education	10,608,151	12,246,000	14,121,660	16,339,027	13,821,660	16,039,027
718	Health and Welfare Services Pupils Private Schools	2,773,449	3,064,000	3,200,000	3,400,000	3,200,000	3,400,000
719	Education Equalization Grants	1,244,906,220	1,245,828,175	1,286,000,000	1,288,000,000	1,262,860,000	1,277,170,000
720	Bilingual Education	2,195,510	2,200,000	2,252,800	2,304,614	2,226,000	2,252,000
723	Priority School Districts	11,250,000	16,125,000	17,000,000	17,000,000	18,500,000	19,000,000
724	Young Parents Program	232,988	233,000	238,592	244,080	238,592	244,080
726	Interdistrict Cooperation	10,992,073	15,386,000	22,369,600	22,732,301	21,219,600	22,082,301
732	School Breakfast Program	1,450,000	1,489,000	1,524,736	1,559,805	1,524,736	1,559,805
733	Excess Cost - Student Based	18,102,700	22,759,000	8,900,000	10,000,000	25,000,000	28,000,000
734	Excess Cost - Equity	11,499,999	11,500,000	21,500,000	21,500,000	11,500,000	11,500,000
735	Medicaid Coordination	3,071,171	6,820,000	6,000,000	6,500,000	6,000,000	6,500,000
736	Student Achievement Grant	1,000,000	1,000,000	0	0	500,000	500,000
737	Non-Public School Transportation	4,031,139	4,034,069	4,235,000	4,450,000	4,235,000	4,450,000
742	Celebration of Excellence	25,000	25,000	25,600	26,189	25,000	25,000
743	School to Work Opportunities	100,000	250,000	256,000	261,888	250,000	250,000
744	Extended School Hours and Support Programs	1,559,784	1,270,000	1,310,720	1,340,867	1,310,720	1,340,867
745	Youth Service Bureaus	2,693,585	2,748,398	2,829,720	2,894,804	2,748,398	2,748,398
746	Project Concern - Receiving District	0	264,888	2,006,524	2,012,769	271,524	277,769
747	OPEN Choice Program	0	0	0	0	0	1,600,000
748	Lighthouse Schools	0	0	0	0	100,000	400,000
749	Special Education Overburden	0	0	0	0	425,000	225,000
	EQUIPMENT						
005	Equipment	0	0	0	0	0	0
	Agency Grand Total	**1,695,013,367**	**1,689,071,179**	**1,748,971,731**	**1,762,035,121**	**1,777,872,009**	**1,815,003,024**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**1,632**	**1,505,764,294**	**1,632**	**1,505,764,294**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	2,627,799	0	6,074,690	0	0	0	0
Other Expenses	0	148,075	0	367,072	0	0	0	0
Equipment	0	377,400	0	377,400	0	0	0	0
Training Paraprofessionals for Teaching	0	8,928	0	17,689	0	0	0	0
Literacy Volunteers	0	501	0	992	0	0	0	0
Institutes for Educators	0	7,872	0	15,597	0	0	0	0
Basic Skills Exam Teachers in Training	0	30,960	0	61,342	0	0	0	0
Teachers' Standards Implementation Program	0	312,291	0	919,272	0	0	0	0
Development of Mastery Exams Grades 4, 6, and 8	0	608,093	0	1,220,376	0	0	0	0
Primary Mental Health	0	7,056	0	13,980	0	0	0	0
Adult Education Action	0	7,200	0	14,266	0	0	0	0
Vocational Technical School Textbooks	0	12,000	0	23,776	0	0	0	0
Repair of Instructional Equipment	0	5,700	0	11,294	0	0	0	0
Minor Repairs to Plant	0	7,980	0	15,811	0	0	0	0
Connecticut Pre-Engineering Program	0	2,400	0	4,755	0	0	0	0
Contracting Instructional TV Services	0	5,016	0	9,938	0	0	0	0
Connecticut Writing Project	0	2,400	0	4,755	0	0	0	0
American School for the Deaf	0	640,437	0	789,753	0	0	0	0
Regional Education Services	0	10,780	0	21,358	0	0	0	0
Omnibus Education Grants State Supported Schools	0	12,000	0	23,776	0	0	0	0
Head Start Services	0	74,400	0	147,411	0	0	0	0
Head Start Enhancement	0	24,000	0	47,552	0	0	0	0
Family Resource Centers	0	48,780	0	96,649	0	0	0	0
Nutmeg Games	0	1,200	0	2,378	0	0	0	0
Charter Schools	0	3,000,000	0	6,069,000	0	0	0	0
School Building Grants and Interest Subsidy	0	-32,500	0	-49,500	0	0	0	0
Vocational Agriculture	0	52,800	0	106,700	0	0	0	0
Transportation of School Children	0	3,038,851	0	5,538,851	0	0	0	0
Adult Education	0	2,017,147	0	4,234,514	0	0	0	0
Health and Welfare Services Pupils Private Schools	0	136,000	0	336,000	0	0	0	0
Education Equalization Grants	0	12,484,666	0	26,084,666	0	0	0	0
Bilingual Education	0	52,800	0	104,614	0	0	0	0
Priority School Districts	0	-125,000	0	-125,000	0	0	0	0
Young Parents Program	0	5,592	0	11,080	0	0	0	0
Interdistrict Cooperation	0	369,600	0	732,301	0	0	0	0
School Breakfast Program	0	35,736	0	70,805	0	0	0	0
Excess Cost - Student Based	0	3,273,776	0	6,273,776	0	0	0	0
Medicaid Coordination	0	-571,267	0	-71,267	0	0	0	0
Student Achievement Grant	0	24,000	0	47,552	0	0	0	0
Non-Public School Transportation	0	200,931	0	415,931	0	0	0	0
Celebration of Excellence	0	600	0	1,189	0	0	0	0
School to Work Opportunities	0	6,000	0	11,888	0	0	0	0
Extended School Hours and Support Programs	0	30,720	0	60,867	0	0	0	0
Youth Service Bureaus	0	66,322	0	131,406	0	0	0	0
Project Concern - Receiving District	0	6,364	0	12,609	0	0	0	0
Total - General Fund	**0**	**29,056,406**	**0**	**60,279,864**	**0**	**0**	**0**	**0**

Fund Equipment Items from the CEPF - (B)
The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least 3 years. It is financed through the sale of bonds and is administered by the Office of Policy and Management.
-(G) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds).
-(L)Same as Governor

Equipment	0	-377,400	0	-377,400	0	0	0	0
Total - General Fund	**0**	**-377,400**	**0**	**-377,400**	**0**	**0**	**0**	**0**

Remove Inflation and Effect Administrative Savings - (B)
-(G) It is proposed that current services increases be limited and administrative savings in the amount of $150,000 be created within the central office. In addition, it is

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
proposed that there be a general personal services reduction of $1.1 million.								
-(L) Inflation and other increases are reduced and/or eliminated.								
Personal Services	0	-500,000	0	-500,000	0	600,000	0	600,000
Other Expenses	0	-298,075	0	-517,072	0	0	0	0
Training Paraprofessionals for Teaching	0	-8,928	0	-17,689	0	0	0	0
Literacy Volunteers	0	-501	0	-992	0	0	0	0
Institutes for Educators	0	-7,872	0	-15,597	0	0	0	0
Basic Skills Exam Teachers in Training	0	-130,960	0	-161,342	0	-100,000	0	-100,000
Teachers' Standards Implementation Program	0	-178,768	0	-256,066	0	-100,000	0	-100,000
Development of Mastery Exams Grades 4, 6, and 8	0	-94,004	0	-186,253	0	0	0	0
Primary Mental Health	0	-7,056	0	-13,980	0	0	0	0
Adult Education Action	0	-7,200	0	-14,266	0	0	0	0
Vocational Technical School Textbooks	0	-12,000	0	-23,776	0	0	0	0
Repair of Instructional Equipment	0	-5,700	0	-11,294	0	0	0	0
Minor Repairs to Plant	0	-7,980	0	-15,811	0	0	0	0
Connecticut Pre-Engineering Program	0	-2,400	0	-4,755	0	0	0	0
Contracting Instructional TV Services	0	-5,016	0	-9,938	0	0	0	0
Connecticut Writing Project	0	-2,400	0	-4,755	0	0	0	0
American School for the Deaf	0	-140,437	0	-289,753	0	0	0	0
Regional Education Services	0	-10,780	0	-21,358	0	0	0	0
Omnibus Education Grants State Supported Schools	0	-12,000	0	-23,776	0	0	0	0
Head Start Services	0	-74,400	0	-147,411	0	0	0	0
Head Start Enhancement	0	-24,000	0	-47,552	0	0	0	0
Family Resource Centers	0	-48,780	0	-96,649	0	0	0	0
Nutmeg Games	0	-1,200	0	-2,378	0	0	0	0
Bilingual Education	0	-26,800	0	-52,614	0	-26,800	0	-52,614
Celebration of Excellence	0	-600	0	-1,189	0	-600	0	-1,189
School to Work Opportunities	0	-6,000	0	-11,888	0	-6,000	0	-11,888
Youth Service Bureaus	0	-81,322	0	-146,406	0	-81,322	0	-146,406
Total - General Fund	**0**	**-1,695,179**	**0**	**-2,594,560**	**0**	**285,278**	**0**	**187,903**
Reduce Other Expenses - (B)								
-(G) It is proposed that this account be reduced to reflect reductions in Technical Services Revolving Fund rates for the State Data System Center.								
-(L)Same as Governor								
Other Expenses	0	-216,667	0	-216,667	0	0	0	0
Total - General Fund	**0**	**-216,667**	**0**	**-216,667**	**0**	**0**	**0**	**0**
Transfer Lease Costs - (B)								
Regional Education Service Centers (RESCs) are eligible for state reimbursement for their lease costs associated with buildings.								
-(G) It is proposed that RESC lease costs reimbursements be paid through the General Fund rather than through bond funds. This provision is implemented by PA 97-265, "An Act Concerning State Grant Commitments for School Building Projects and Clarification and Separation of the Grant and Bond Program for School Building Projects and the Grant and Bond Program for Interest Payment Subsidies".								
-(L)Same as Governor								
RESC Leases	0	650,000	0	950,000	0	0	0	0
Total - General Fund	**0**	**650,000**	**0**	**950,000**	**0**	**0**	**0**	**0**
Provide Additional Funding for Headstart - (B)								
Headstart provides early childhood education programs through both State and Federal funding. (Prevention Program)								
-(G) Funds totaling $2 million in FY 98 and $4 million in FY 99 are proposed for expanded aid to Headstart programs.								
-(L) Funds totaling $1 million in each year of the biennium are provided for expanded aid to Headstart programs.								
Head Start Enhancement	0	1,000,000	0	1,000,000	0	-1,000,000	0	-3,000,000
Total - General Fund	**0**	**1,000,000**	**0**	**1,000,000**	**0**	**-1,000,000**	**0**	**-3,000,000**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Increase Funding for Family Resource Centers - (B)								
Family Resource Centers provide various services related to overall family needs. (Prevention Program)								
-(G) It is proposed that additional funding be provided for Family Resource Centers services such as parent training, daycare, adult education and teen pregnancy prevention.								
-(L)Same as Governor								
Family Resource Centers	0	2,000,000	0	4,000,000	0	0	0	0
Total - General Fund	**0**	**2,000,000**	**0**	**4,000,000**	**0**	**0**	**0**	**0**
Increase Priority School Districts - (B)								
The Priority School District grant provides direct funds to needy school districts based on academic and social need. (Prevention Program)								
-(G) It is proposed that Priority School District grants be increased and redeployed by increasing overall funding for urban school districts and requiring that not less than 10% of the total grant be used for early reading programs and 10% for summer school programs.								
-(L) Funds for Priority School Districts are increased by $2.5 million and $3.0 million. Additionally requirements for usage of such funds are made. These requirements include early reading programs and summer school programs. These increases are provided under PA 97-318, "An Act Concerning Education Grants".								
Priority School Districts	0	2,500,000	0	3,000,000	0	1,500,000	0	2,000,000
Total - General Fund	**0**	**2,500,000**	**0**	**3,000,000**	**0**	**1,500,000**	**0**	**2,000,000**
Close a Vocational-Technical School - (B)								
The State operates 17 vocational-technical schools serving over 9,000 students.								
-(G) It is proposed that one vocational-technical school, yet to be determined, be closed.								
-(L) All the state's vocational-technical schools will remain open.								
Personal Services	0	0	0	0	68	2,865,000	68	3,565,000
Other Expenses	0	0	0	0	0	435,000	0	435,000
Total - General Fund	**0**	**0**	**0**	**0**	**68**	**3,300,000**	**68**	**4,000,000**
Expand School Choice - (B)								
Project Concern provides funding for students to attend school in districts other than the one they reside in.								
-(G) It is proposed that Hartford Project Concern be expanded to 1,000 students and that Bridgeport and New Haven be added to the program with 500 students each. In addition, additional financial incentives for both sending and receiving communities should be created so as to ensure program success.								
-(L) Funds totaling $1,600,000 are provided for a new choice program known as OPEN. Each receiving district in this program will receive up to $2,000 per student. Transportation aid is provided at $1,000 maximum per student. These provisions are provided under PA 97-290, "An Act Enhancing Educational Choices and Opportunities".								
Interdistrict Cooperation	0	0	0	0	0	-3,600,000	0	-3,600,000
Project Concern - Receiving District	0	0	0	0	0	-1,735,000	0	-1,735,000
OPEN Choice Program	0	0	0	1,600,000	0	0	0	1,600,000
Total - General Fund	**0**	**0**	**0**	**1,600,000**	**0**	**-5,335,000**	**0**	**-3,735,000**
Expand Charter Schools - (B)								
Charter schools are alternatively operated public schools which provide options to the state's local public schools.								
-(G) It is proposed that statutory restrictions be loosened regarding the number of state financed charter schools. The number of children in charter schools is expected to increase from 500 to 1,000 in 1998 and from 1,000 to 1,500 in 1999. PA 97-290, "An Act Enhancing Educational Choices and Opportunities", implements this change.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L)Same as Governor								
Charter Schools	0	3,000,000	0	3,000,000	0	0	0	0
Total - General Fund	**0**	**3,000,000**	**0**	**3,000,000**	**0**	**0**	**0**	**0**

Expand Interdistrict Programs - (B)
Interdistrict Programs encourage the interaction of children from diverse backgrounds through a variety of summer, weekend and school-year projects.
-(G) It is proposed that funding be provided in order to increase the number of children participating in these programs to 75,000.
-(L) Funds totaling $5.45 million and $5.95 million are provided to expand participation in interdistrict programs in terms of students and schools. Included within this funding is $131,000 in both years of the biennium for the Sound School in New Haven. PA 97-11, JSS, "An Act Concerning Computerized Information Sharing, the Mashantucket and Mohegan Fund, Early Retirement, School Construction, State Buildings, Nursing Home Loans, Executive and Legislative Councils and Commissions, and Appropriations for the Fiscal Years Ending June 30, 1997, 1998 and 1999", provides a total of $150,000 in each year of the biennium for an interdistrict abuse education program. The program will be operated by the local board of education or youth service bureau where appropriate. A total of $120,000 is for the program in Bristol and $30,000 for Plymouth.

Interdistrict Cooperation	0	5,450,000	0	5,950,000	0	2,450,000	0	2,950,000
Total - General Fund	**0**	**5,450,000**	**0**	**5,950,000**	**0**	**2,450,000**	**0**	**2,950,000**

Create Early Childhood Programs - (B)
The Early Childhood program is a comprehensive educational/child care program for three and four year olds.
-(G) It is proposed that collaborative early childhood school readiness programs be established for three and four year olds in Hartford, New Haven, Bridgeport and Waterbury.
-(L) Funds totaling $10,000,000 in SFY 1997-98 and $19,780,000 in SFY 1998-99 are provided for collaborative early childhood school readiness programs established for three and four year olds in the Priority School Districts and Priority Schools. PA 97-259, "An Act Concerning School Readiness and Child Day-Care", implements this program.

Early Childhood Program	0	10,000,000	0	19,780,000	0	4,000,000	0	9,780,000
Total - General Fund	**0**	**10,000,000**	**0**	**19,780,000**	**0**	**4,000,000**	**0**	**9,780,000**

Coordinate Interdistrict Activities - (B)
-(G) It is proposed that funding be provided for each of the six Regional Education Service Centers for the coordination, planning and development of interdistrict activities, including the development of minority educators.
-(L) Funds totaling $450,000 in SFY 1997-98 and $750,000 in SFY 1998-99 are provided for planning and coordination of interdistrict activities and development of minority educators. PA 97-290, "An Act Enhancing Educational Choices and Opportunities", implements these provisions.

Regional Education Services	0	450,000	0	750,000	0	-150,000	0	150,000
Total - General Fund	**0**	**450,000**	**0**	**750,000**	**0**	**-150,000**	**0**	**150,000**

Eliminate/Reduce the Connecticut Writing Project, CPEP, and Student Achievement Grant - (B)
-(G) It is proposed that the Connecticut Pre-Engineering Program, Connecticut Writing Project and Student Achievement Grant programs be discontinued.
-(L) The Student Achievement Grant and the Connecticut Writing Project are reduced in each year of the biennium.

Connecticut Pre-Engineering Program	0	0	0	0	0	100,000	0	100,000
Connecticut Writing Project	0	-25,000	0	-50,000	0	75,000	0	50,000
Student Achievement Grant	0	-524,000	0	-547,552	0	500,000	0	500,000
Total - General Fund	**0**	**-549,000**	**0**	**-597,552**	**0**	**675,000**	**0**	**650,000**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Combine and Reduce Major Equalization Grants - (B)								
The ECS grant and School Transportation grant provide aid to local school districts based on various factors including town wealth.								
-(G) It is proposed that the ECS and Transportation grants be combined and the formula be revised with a new foundation of $5,825. In addition, a new transportation supplement should be created.								
-(L) Both the Public School Transportation and ECS grants are to be maintained under current formula standards. Included is a requirement that no priority school district receive less than 70% of its formula aid or less than the previous year whichever is greater. PA 97-318, "An Act Concerning Education Grants" implements these changes.								
Transportation of School Children	0	0	0	0	0	39,500,000	0	42,000,000
Education Equalization Grants	0	4,660,000	0	5,370,000	0	-23,140,000	0	-10,830,000
Total - General Fund	**0**	**4,660,000**	**0**	**5,370,000**	**0**	**16,360,000**	**0**	**31,170,000**
Streamline Special Education Funding - (B)								
The state provides aid to local school districts in the area of special education due to excess costs.								
-(G) It is proposed that the district placement portion of the Special Education Excess Costs-Students be eliminated and funding be increased for the Special Education Excess Costs-Equity, so that towns can be reimbursed based on total costs of special education rather than costs related to specific students.								
-(L) Both special education grant programs are to be operated under current service levels.								
Excess Cost - Student Based	0	0	0	0	0	16,100,000	0	18,000,000
Excess Cost - Equity	0	0	0	0	0	-10,000,000	0	-10,000,000
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**6,100,000**	**0**	**8,000,000**
Create New Program - Jobs for Connecticut Graduates - (B)								
-(G) Funds totaling $300,000 are proposed for a new program known as Jobs for Connecticut Graduates.								
-(L) Funds totaling $240,000 are provided to replace the loss of federal funds which were previously split between the Department of Education and Department of Labor.								
Jobs for Connecticut Graduates	0	240,000	0	240,000	0	-60,000	0	-60,000
Total - General Fund	**0**	**240,000**	**0**	**240,000**	**0**	**-60,000**	**0**	**-60,000**
Provide for Hartford Monitors - (B)								
-(L) Funds totaling $200,000 and two positions are provided to monitor the Hartford Public School System.								
Hartford Public School Monitors	2	200,000	2	200,000	2	200,000	2	200,000
Total - General Fund	**2**	**200,000**	**2**	**200,000**	**2**	**200,000**	**2**	**200,000**
Fund Science and Technology (Jason Project) - (B)								
-(L) Funds totaling $150,000 in SFY 1998-99 are provided for the Jason Project.								
Science and Technology - Jason Project	0	0	0	150,000	0	0	0	150,000
Total - General Fund	**0**	**0**	**0**	**150,000**	**0**	**0**	**0**	**150,000**
Provide for Increased Mastery Needs - (B)								
In order to monitor student achievement the state tests students in the Mastery Exams program.								
-(L) An additional $200,000 is provided for Mastery Exams in order to meet the projected needs in the program which have increased through enrollment increases.								
Development of Mastery Exams Grades 4, 6, and 8	0	200,000	0	200,000	0	200,000	0	200,000
Total - General Fund	**0**	**200,000**	**0**	**200,000**	**0**	**200,000**	**0**	**200,000**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Adjust Adult Education Grants - (B)								
The Adult Education grant is a sliding scale reimbursement program based on wealth.								
-(L) Based on revised estimates of need the Adult Education grant is reduced by $300,000 in each year of the biennium.								
Adult Education	0	-300,000	0	-300,000	0	-300,000	0	-300,000
Total - General Fund	**0**	**-300,000**	**0**	**-300,000**	**0**	**-300,000**	**0**	**-300,000**
Initiate Lighthouse Schools Program - (B)								
Lighthouse schools act as models to attract students both in and out-of-district due to specialized curricula.								
-(L) Funding, in the amount of $100,000, in SFY 1997-98 and $400,000 in SFY 1998-99 is provided to establish the Lighthouse Schools program. PA 97-290, "An Act Enhancing Educational Choice and Opportunities" implements this program.								
Lighthouse Schools	0	100,000	0	400,000	0	100,000	0	400,000
Total - General Fund	**0**	**100,000**	**0**	**400,000**	**0**	**100,000**	**0**	**400,000**
Develop Resource Equity Assessments - (B)								
-(L) Funds totaling $150,000 in SFY 1997-98 are provided for the development of education standards as required in PA-290, "An Act Concerning Educational Opportunities and Choices".								
Resource Equity Assessments	0	150,000	0	0	0	150,000	0	0
Total - General Fund	**0**	**150,000**	**0**	**0**	**0**	**150,000**	**0**	**0**
Provide for State Agency Placement Overburden - (B)								
-(L) Funds totaling $225,000 are provided to meet special education overburden costs in Meriden, Middletown and Bloomfield caused by state agency placements. Each town is to receive $75,000 each in the grant program in each year of the biennium.								
Additionally PA 97-318 (Sections 10 and 11) provides $100,000 each to Colchester and Stratford in SFY 1997-98 in this program. These funds are transferred from OPM's Contingency Reserve Account.								
Special Education Overburden	0	425,000	0	225,000	0	425,000	0	225,000
Total - General Fund	**0**	**425,000**	**0**	**225,000**	**0**	**425,000**	**0**	**225,000**
Budget Totals - GF	**1,634**	**1,562,707,454**	**1,634**	**1,608,772,979**	**70**	**28,900,278**	**70**	**52,967,903**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Equalize the Ability of Towns to Finance Public School Programs								
Maximum/Minimum ECS Grant per Pupil($)	4679/20	4735/17	4782/17	4829/17	4875/17	4864/15	4875/17	4864/15
Average ECS Grant per Pupil($)	2,320	2,265	2,255	2,255	2,295	2,268	2,295	2,268
State Share Total per Pupil Cost of Regular Pgm Expenditures (RPE) (%/$)	40.63/2320	39.67/2266	39.4/2295	38.94/2268	39.4/2295	38.94/2268	39.4/2295	38.94/2268
Poorest Quintile Towns (%/$)	75.09/4288	74.07/4230	74.05/4313	73.86/4302	74.05/4313	73.86/4302	74.05/4313	73.86/4302
Wealthiest Quintile Towns (%/$)	3.87/221	4.07/232	3.67/214	3.17/185	3.67/214	3.17/185	3.67/214	3.17/185
Ratio of Wealthiest to Poorest Quintile Towns (%)	0.0515	0.0548	0.0473	0.0473	0.0496	0.043	0.0496	0.043
Reduce Disparities in Expenditures and Tax Rates by Distributing Funds in accordance w/ Equalizing Formula								
Regular Program Expenditures (RPE) Per Need Pupil								
Poorest Quintile Towns ($)	4,975	4,928	5,142	5,296	4,928	4,928	4,928	4,928
Wealthiest Quintile Towns ($)	6,905	6,984	7,254	7,472	6,984	6,984	6,984	6,984
Ratio of Wealthiest to Poorest Quintile Towns (%)	1.39	1.42	1.41	1.41	1.42	1.42	1.42	1.42
Local Share of Minimum ECS Expenditure Requirement (MER) as a Percentage of								
(MER) as a Percentage of Town Wealth (School Tax Rate)								
Poorest Quintile Towns (%)	2.312	2.74	2.7	2.7	2.74	2.74	2.74	2.74

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Wealthiest Quintile Towns (%)	0.958	0.983	0.99	0.99	0.983	0.983	0.983	0.983
Ratio of Wealthiest to Poorest Quintile Towns (%)	0.41	0.36	0.37	0.37	0.36	0.36	0.36	0.36
Student Mastery Testing								
Students at or Above State CMT Goals in One or More Subtest in:								
Grade 4 (%)	72.4	73	74	75	74	75	74	75
Grade 6 (%)	70.5	71	72	73	72	73	72	73
Grade 8 (%)	69.8	70	71	72	71	72	71	72
Average Teacher Salary Disparity								
Poorest Quintile Towns ($)	48,206	49,170	50,399	51,659	50,399	51,659	50,399	51,659
Wealthiest Quintile Towns ($)	50,854	51,871	53,116	54,391	53,116	54,391	53,116	54,391
Ratio of Wealthiest to Poorest Quintile Towns (%)	1.06	1.05	1.05	1.05	1.05	1.05	1.05	1.05
Graduation Rate/High School Completion Rate (%)								
Poorest Quintile Towns (%)	58	60	62	64	62	64	62	64
Wealthiest Quintile Towns (%)	92	92	93	94	93	94	93	94
Ratio of Wealthiest to Poorest Quintile Towns (%)	1.6	1.5	1.5	1.5	1.5	1.5	1.5	1.5
Project Application Category								
Priority	128	130	130	130	130	130	130	130
Code and Health Violation	63	50	50	50	50	50	50	50
Schools Closed/New Schools Opened	5-May	5-May	5-May	5-May	5-May	5-May	5-May	5-May
Districts with Pupils Attending								
Non-Public Schools/Non-Public Schools Enrollment	65/73200	65/74400	65/75600	65/76800	65/75600	65/76800	65/75600	65/76800
Omnibus Education Grants								
Special Education ($000)	500	500	500	500	500	500	500	500
Child Nutrition ($000)	2,354	2,354	2,354	2,354	2,354	2,354	2,354	2,354
State Share of Total Reimbursable School District Expenditures(%)	31.46	30.42	30.42	30.42	30.4	30.4	30.4	30.4
Total Transported Pupils*	393,400	399,200	406,100	410,300	24,900	25,200	24,900	25,200
Total Pupils*	591,200	600,700	610,200	616,400	74,000	74,500	74,000	74,500
State Grant per Transported Pupil ($)*	100	100	100	100	170	190	170	190
Total Cost of Transportation per Transported Pupil ($)*	321	329	340	351	560	630	560	630
Students Tested in 4, 6, 8 Grade	108,511	110,000	112,000	114,000	112,000	114,000	112,000	114,000
Students tested in Grades 9-12 (CAPT) including retests	48,418	52,000	53,000	54,000	53,000	54,000	53,000	54,000
Assessments of Curriculum Areas	3	3	3	3	3	3	3	3
Students in Grades 4, 6 and 8 at or Above the State CMT Goals in:								
Reading (%)	55.2	56	57	58	57	58	57	58
Mathematics (%)	51.6	52	53	54	53	54	53	54
Writing (%)	43.8	44	45	46	45	46	45	46
School Lunch Program								
Children Participating Daily	216,667	217,000	223,500	231,205	223,500	231,205	223,500	231,205
School Breakfast Program Severe Need								
Total Eligible Schools	339	369	369	369	369	369	369	369
Participating Schools	282	285	285	285	285	285	285	285
Total Eligible Districts	41	47	47	47	47	47	47	47
Participating Districts	34	36	36	36	36	36	36	36
Total Eligible Children	132,603	138,000	142,000	146,000	142,000	146,000	142,000	146,000
Total Children Participating	42,000	42,600	43,878	45,194	43,878	45,194	43,878	45,194
Child Care Food Program Children Participating Daily	21,016	21,500	22,145	22,809	22,145	22,809	22,145	22,809
Summer Food Service Program Children Participating Daily	20,560	20,600	21,218	21,855	21,218	21,855	21,218	21,855
Percent of Lunches Served Free or at Reduced Prices	48	48	49	49	49	49	49	49
Bilingual Education Programs								
Districts	13+VT	15+VT	15+VT	15+VT	15+VT	15+VT	15+VT	15+VT
Schools	117	121	121	121	121	121	121	121
Eligible Students	14,682	14,730	14,780	14,830	14,780	14,830	14,780	14,830
Total Students Enrolled								
Grades K-5	9,880	9,913	9,947	9,981	9,947	9,981	9,947	9,981
Grades 6-8	2,396	2,401	2,409	2,417	2,409	2,417	2,409	2,417
Grades 9-12	2,406	2,416	2,424	2,432	2,424	2,432	2,424	2,432
Participation by Dominant Language								
Spanish (%)	95	95	95	95	95	95	95	95
Other (%)	5	5	5	5	5	5	5	5
State Cost per Eligible Student($)	150	150	149	149	149	149	149	149
State Share of Support(%)	7	7	6	6	6	6	6	6
Students Identified as Limited English Proficient (#/%)	19935/100	20932/100	21979/100	23078/100	21979/100	23078/100	21979/100	23078/100
Enrolled in Bilingual Education Programs (#/%)	12902/65	13606/65	14286/65	15001/65	14286/65	15001/65	14286/65	15001/65
Enrolled in Other Programs (ESOL, Remedial, etc.) (#/%)	6426/32	6698/32	7033/32	7385/32	7033/32	7385/32	7033/32	7385/32
Vocational Agriculture Centers:								
Centers	18	19	19	19	19	19	19	19

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Total Districts Participating	147	147	147	147	147	147	147	147
Total Enrollment	2,278	2,500	2,500	2,500	2,500	2,500	2,500	2,500
Adults in Classes (Number/%)	55600/100	55600/100	57000/100	57000/100	57000/100	57000/100	57000/100	57000/100
Citizenship	600/1.0	600/1.0	700/1.2	700/1.2	700/1.2	700/1.2	700/1.2	700/1.2
Limited English Proficiency	19000/34.0	19,000/34.0	19,600/34.4	19,600/34.4	19,600/34.4	19,600/34.4	19,600/34.4	19,600/34.4
High School Completion	27000/49.0	27,000/49.0	27,600/48.4	27,600/48.4	27,600/48.4	27,600/48.4	27,600/48.4	27,600/48.4
Basic Skills	9000/16.0	9,000/16.0	10,000/17.5	10,000/17.5	10,000/17.5	10,000/17.5	10,000/17.5	10,000/17.5
Percent of Funding:								
Local(%)	42	42.1	42.1	42.1	42.1	42.1	42.1	42.1
State(%)	43.5	41.4	41.4	41.4	41.4	41.4	41.4	41.4
Federal(%)	12	11	11	11	11	11	11	11
Other Grants and Revenues(%)	2	7	7	7	7	7	7	7
GED Tests Given	7,700	7,800	7,800	7,900	7,800	7,900	7,800	7,900
GED Tests Passed	5,152	5,200	5,200	5,300	5,200	5,300	5,200	5,300
Age Ranges Served (#/%)								
Ages 16-24	23600/42.4	23900/42.4	24196/42.4	24196/42.4	24196/42.4	24196/42.4	24196/42.4	24196/42.4
Ages 25-44	24000/43.2	24000/43.2	24648/43.2	24648/43.2	24648/43.2	24648/43.2	24648/43.2	24648/43.2
Ages 45-59	5700/10.3	5700/10.3	5871/10.3	5871/10.3	5871/10.3	5871/10.3	5871/10.3	5871/10.3
Over 60	2300/4.0	2300/4.0	2280/4.0	2280/4.0	2280/4.0	2280/4.0	2280/4.0	2280/4.0
Minority Enrollment (#/%)	32890/62.0	33000/62.0	35910/63.0	35910/63.0	35910/63.0	35910/63.0	35910/63.0	35910/63.0
State/Local Diplomas Awarded	6,400	6,600	6,700	6,700	6,700	6,700	6,700	6,700
Average State Expenditure per Person Served ($)	387	400	450	500	450	500	450	500
Total Special Education Expend from All Sources ($M/%)	718.6	748	772	780	772	780	772	780
Students	71422	73500	75500	77500	75500	77500	75500	77500
Teachers	4,203	4,400	4,500	4,600	4,500	4,600	4,500	4,600
Special Education Percent - All Students								
Mentally Retarded (%)	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7
Learning Disabled (%)	6.6	6.6	6.6	6.6	6.6	6.6	6.6	6.6
Socially/emotionally maladjusted (%)	2.2	2.2	2.2	2.2	2.2	2.2	2.2	2.2
Gifted and Talented (%)	2.3	2.3	2.3	2.3	2.3	2.3	2.3	2.3
Speech Handicapped (%)	2.4	2.4	2.4	2.4	2.4	2.4	2.4	2.4
Other Handicapped (%)	1.9	1.9	1.9	1.9	1.9	1.9	1.9	1.9
Students-Private Special Educ Facilities	3,654	3,900	4,050	4,150	4,050	4,150	4,050	4,150
Placed by School Districts (%)	77.5	77.5	77.5	77.5	77.5	77.5	77.5	77.5
Placed by Other Agencies (DCYS, COURTS) (%)	22.5	22.5	22.5	22.5	22.5	22.5	22.5	22.5
Special Education Placements								
In District (%)	91.2	91.2	91.2	91.2	91.2	91.2	91.2	91.2
RESC (%)	1.7	1.7	1.7	1.7	1.7	1.7	1.7	1.7
Private School (%)	3.4	3.4	3.4	3.4	3.4	3.4	3.4	3.4
Other Public Schools (%)	1.7	1.7	1.7	1.7	1.7	1.7	1.7	1.7
Other (%)	2	2	2	2	2	2	2	2
Primary Mental Health Program								
Total Participating School Districts	17	17	17	17	17	17	17	17
Children Screened	7,500	7,500	7,500	7,500	7,500	7,500	7,500	7,500
Children Served	1,300	1,300	1,300	1,300	1,300	1,300	1,300	1,300
Young Parents Program								
Estimate of Student Parents Needing Services	3,757	3,500	3,500	3,500	3,500	3,500	3,500	3,500
Student Parents Served	1,200	1,200	1,200	1,200	1,200	1,200	1,200	1,200
Student Parents Served Staying in School (one or more years)	450	500	500	550	500	550	500	550
12th Grade Student Parents Eligible for Graduation	150	160	160	170	160	170	160	170
Average Cost-Student Parents Served($)	2,602	2,602	2,602	2,602	2,602	2,602	2,602	2,602
Average State Contribution per Student Parent Served ($)	518	518	518	518	518	518	518	518
Pre-kindergarten Enrollment (Over 160 Days per Year)	8,950	9,220	9,220	9,220	9,220	9,220	9,220	9,220
Children entering kindergarten with preschool experience (%)	69.1	70	70	70	70	70	70	70
Teaching Certificates Issued New	8,602	9,000	9,000	9,000	9,000	9,000	9,000	9,000
Renewal, Reissues	7,038	6,000	6,000	6,000	6,000	6,000	6,000	6,000
Cooperating Teachers Mentors Trained	800	400	1000	1000	1000	1000	1000	1000
Cooperating Teachers Placed	2300	2500	2700	2800	2700	2800	2700	2800
Beginning Teachers(LEA) 1st and 2nd year	2,052	3,200	3,700	4,000	3,700	4,000	3,700	4,000
Mentors Placed	1,800	1,900	2,000	2,200	2,000	2,200	2,000	2,200
PRAXIS I Participants	2,829	3,000	3,500	4,000	3,500	4,000	3,500	4,000
Waived	1,615	1,800	2,000	2,200	2,000	2,200	2,000	2,200
Successfully Completing	4,000	4,350	5,045	5,800	5,045	5,800	5,045	5,800
Subject Knowledge Program New Participants PRAXIS II	3,093	3,200	3,700	4,200	3,700	4,200	3,700	4,200
Successfully Completing Exam	2,288	2,500	2,900	3,300	2,900	3,300	2,900	3,300
CONNECT Program New Participants	2,494	2,600	2,750	2,900	2,750	2,900	2,750	2,900
Successfully Completing Exam	1,820	1,872	2,035	2,175	2,035	2,175	2,035	2,175

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
BEST Assessment (CCI)-successfully completing								
1st yr(%)	85	85	85	85	85	85	85	85
CCI only-% needing a second year	15	15	15	15	15	15	15	15
CCI & Portfolio-% successfully completing	N/A	N/A	78	80	78	80	78	80
CCI& Portfolio-% needing third year	N/A	N/A	22	20	22	20	22	20
Assessors Trained	500	700	900	1,000	900	1,000	900	1,000
Hours programmed for Instructional TV	320	320	320	320	320	320	320	320
Celebration of Excellence								
Applications Received	224	234	240	250	240	250	240	250
Teachers Selected	91	109	115	120	115	120	115	120
New Curriculum Guides and Monographs Developed	2	8	0	0	0	0	0	0
Staff Days of Assistance per Year								
Urban Schools	100	200	200	200	200	200	200	200
Suburban Schools	140	120	120	120	120	120	120	120
Rural Schools	80	160	160	160	160	160	160	160
Celebration of Excellence Projects	50	50	50	50	50	50	50	50
Interdistrict Cooperative Grants								
Planning Grants								
Grants Requested	16	20	20	15	20	15	20	15
Districts Requesting	13	12	12	10	12	10	12	10
Grants Awarded	16	18	20	15	20	15	20	15
Districts Participating	88	79	79	60	79	60	79	60
Districts with Integrated Programs	18	15	15	15	15	15	15	15
Implementation Grants								
Grants Requested	69	101	125	150	125	150	125	150
District Requesting	31	41	50	60	50	50	50	50
Students to be Served	31,451	51,340	75,000	100,000	75,000	75,000	75,000	75,000
Grants Awarded	66	98	125	150	125	150	125	150
Districts Participating	145	153	158	166	158	166	158	166
Districts with Integrated Programs	113	113	153	153	153	153	153	153
Intercommunity Programs (Project Concern)								
Students Participating	566	575	600	650	2,000	2,000	2,000	2,000
Average Annual Aid per Student ($)	1,590	1,652	1,583	1,462	4,000	4,000	4,000	4,000
Enrollment	9,312	9,500	9,600	9,700	9,100	9,200	9,100	9,200
Applications	4,965	5,100	5,100	5,100	5,100	5,100	5,100	5,100
Admissions	3,622	3,369	3,450	3,550	2,950	3,000	2,950	3,000
Graduates	1,960	2,000	2,100	2,200	2,100	2,200	2,100	2,200
Percent Continuing Education(%)	27	28	29	30	29	30	29	30
Faculty (G.F)	970	970	970	970	970	970	970	970
Average Teacher Salary ($)	39,900	40,000	40,000	40,000	40,000	40,000	40,000	40,000
Special Education Expenditures ($)	350,000	450,000	470,000	500,000	470,000	500,000	470,000	500,000
Percent of Enrollment Minority (%)	33	34	35	35	35	35	35	35
Female (%)	33	34	35	35	35	35	35	35
Special Education (%)	17	17	17	17	17	17	17	17
Limited English Proficiency (%)	14.6	15	15	15	15	15	15	15
State Grants Processed	2,000	2,000	2,000	2,000	2000	2000	2000	2000
Federal Grants Processed	2,500	2,500	2,500	2,500	2500	2500	2500	2500
Payment Invoices Processed	28,000	28,000	28,000	28,000	28000	28000	28000	28000
Contracts Processed	250	250	250	250	250	250	250	250

1997 BOND AUTHORIZATIONS

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	2(j)(1)	21(i)(1)	Instructional technology/computer networking at all Regional Vocational-Technical Schools	1,500,000	1,000,000	1,000,000
SA 97-1 (J5 SS)	2(j)(2)	-	Fire, safety and handicapped code improvements in accordance with current codes	12,800,000	1,000,000	-
SA 97-1 (J5 SS)	2(j)(3)	21(i)(2)	Alterations and improvements to buildings and grounds, including roof replacement, utilities and mechanical systems and replacement of underground storage tanks	19,855,000	2,500,000	2,500,000
SA 97-1 (J5 SS)	2(j)(4)	21(i)(3)	Replace and update shop equipment for trades program	38,500,000	2,000,000	2,000,000

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
SA 97-1 (J5 SS)	2(j)(5)(A)	-	American School for the Deaf: Alterations, renovations and improvements to the Butterworth Building	-	700,000	-
SA 97-1 (J5 SS)	2(j)(5)(B)	-	American School for the Deaf: Cottage Dormitory	-	1,213,000	-
SA 97-1 (J5 SS)	-	21(i)(4)	American School for the Deaf: Various site improvements, including roads, parking, sidewalks and lighting	-	-	2,890,000
SA 97-1 (J5 SS)	13(h)	32(e)	Grants-in-aid to municipalities and regional school districts, and regional education service centers for technology wiring of schools (at least $3 million of the total for Bridgeport, Hartford and New Haven)	10,400,000	10,000,000	10,000,000
PA 97-265	81	81	School construction principal and interest	1,446,100,000	176,750,000	189,810,000
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	68	-	At HH Ellis Regional Vocational-Technical School in Danielson, planning and design for improvements and an addition (SA 88-77 2(q)(1)(E))	250,000	(53,550)	-
SA 97-1 (J5 SS)	106	-	Planning for handicapped access improvements (SA 90-34 2(l)(1)(B))	300,000	(300,000)	-
SA 97-1 (J5 SS)	177	-	Grant to the town and city of Hartford for the leasing of a temporary facility for the magnet Language Immersion School (SA 93-21 13)	793,000	(793,000)	-
PA 97-1 (J5 SS)	9	-	Grants-in-aid to municipalities, regional school districts and regional education service centers for local school construction, rehabilitation and improvement projects (CGS Sec. 10-265d)	15,000,000	(100,000)	-
			1997 Language Changes			
SA 97-1 (J5 SS)	78	-	Grants-in-aid to [municipalities] PUBLIC LIBRARIES, AS DEFINED IN SUBDIVISION (2) OF SECTION 11-24a OF THE GENERAL STATUTES, for construction of public libraries (SA 88-77 23(d)(2))	4,000,000	-	-

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $11,258,812 in Personal Services from the early retirement incentive program, and $836,655 in Personal Services and $511,561 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $750,147 for FY '98.

Board of Education and Services for the Blind
7101

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	63	63	72	72	70	70
	Others Equated to Full-Time	2	1	1	1	1	1
	Additional Funds Available						
	Permanent Full-Time	56	56	56	56	56	56
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	2,668,094	2,697,761	3,545,253	3,668,662	3,445,253	3,568,662
002	Other Expenses	357,688	354,572	1,143,977	1,098,977	943,977	898,977
005	Equipment	0	47,300	2,000	2,000	0	0
6XX	Grant Payments - Other Than Towns	11,468,384	7,779,757	8,509,691	8,630,651	8,509,691	8,630,651
7XX	Grant Payments - To Towns	1,410,000	1,498,668	899,160	913,892	899,160	913,892
	Agency Total - General Fund[1][2]	**15,904,166**	**12,378,058**	**14,100,081**	**14,314,182**	**13,798,081**	**14,012,182**
	Agency Total - Appropriated Funds	**15,904,166**	**12,378,058**	**14,100,081**	**14,314,182**	**13,798,081**	**14,012,182**
	Additional Funds Available						
	Carry Forward - FY 97 Surplus Appropriations	0	0	0	0	60,371	0
	Special Funds, Non-Appropriated	1,298,574	775,000	675,000	675,000	675,000	675,000
	Private Contributions	4,221,257	2,623,850	3,321,884	3,321,884	3,321,884	3,321,884
	Federal Contributions	3,467,765	3,712,354	3,549,480	2,107,422	3,549,480	2,107,422
	Agency Grand Total	**24,891,762**	**19,489,262**	**21,646,445**	**20,418,488**	**21,404,816**	**20,116,488**
	BUDGET BY PROGRAM						
	Special Education of Visually Handicapped Children						
	Permanent Full-Time Positions GF/OF	20/8	20/8	23/8	23/8	22/8	22/8
	General Fund						
	Personal Services	1,073,716	1,056,542	1,348,894	1,382,041	1,298,894	1,332,041
	Other Expenses	62,927	56,991	57,403	57,403	57,403	57,403
	Equipment	0	0	0	0	0	0
	Grant Payments - Other Than Towns						
	Education of Handicapped Blind Children	9,987,459	6,295,182	7,023,100	7,138,166	7,023,100	7,138,166
	Education of Pre-School Blind Children	24,100	27,750	24,486	24,887	24,486	24,887
	Grant Payments - To Towns						
	Services for Persons with Impaired Vision	440,000	490,326	238,760	242,672	238,760	242,672
	Tuition and Services-Public School Children	970,000	1,008,342	660,400	671,220	660,400	671,220
	Total - General Fund	**12,558,202**	**8,935,133**	**9,353,043**	**9,516,389**	**9,303,043**	**9,466,389**
	Federal Contributions						
	Education Handicapped Child State School	479,701	572,595	523,941	523,941	523,941	523,941
	Additional Funds Available						
	Private Contributions	16,421	3,000	900	900	900	900
	Total - All Funds	**13,054,324**	**9,510,728**	**9,877,884**	**10,041,230**	**9,827,884**	**9,991,230**
	Vocational Rehabilitation						
	Permanent Full-Time Positions /OF	/19	/19	/19	/19	/19	/19
	General Fund						
	Personal Services	25,036	0	0	0	0	0

	Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
Grant Payments - Other Than Towns						
Vocational Rehabilitation	962,309	959,055	964,615	964,565	964,615	964,565
Total - General Fund	**987,345**	**959,055**	**964,615**	**964,565**	**964,615**	**964,565**
Federal Contributions						
Education Handicapped Child State School	0	78,845	0	0	0	0
SSA Voc Rehab Program	1,284,833	1,433,720	1,358,263	0	1,358,263	0
Voc Rehabilitation - In Service Trng	0	21,316	19,795	0	19,795	0
Supported Employment	45,422	68,710	64,000	0	64,000	0
Social Security-Disability Insurance	0	275,000	0	0	0	0
Total - Federal Contributions	**1,330,255**	**1,877,591**	**1,442,058**	**0**	**1,442,058**	**0**
Additional Funds Available						
Carry Forward - FY 97 Surplus Appropriations	0	0	0	0	60,371	0
Total - All Funds	**2,317,600**	**2,836,646**	**2,406,673**	**964,565**	**2,467,044**	**964,565**
Orientation and Mobility						
Permanent Full-Time Positions GF/OF	1/4	1/4	1/4	1/4	1/4	1/4
General Fund						
Personal Services	48,971	51,615	56,168	56,732	56,168	56,732
Other Expenses	0	0	3,000	3,000	3,000	3,000
Total - General Fund	**48,971**	**51,615**	**59,168**	**59,732**	**59,168**	**59,732**
Federal Contributions						
SSA Voc Rehab Program	421,403	345,000	354,805	354,805	354,805	354,805
Total - All Funds	**470,374**	**396,615**	**413,973**	**414,537**	**413,973**	**414,537**
Adult Services						
Permanent Full-Time Positions GF/OF	16/6	16/6	16/6	16/6	16/6	16/6
General Fund						
Personal Services	648,657	615,389	719,520	749,862	719,520	749,862
Other Expenses	41,272	34,898	37,649	37,649	37,649	37,649
Equipment	0	0	0	0	0	0
Grant Payments - Other Than Towns						
Supplementary Relief and Services	128,560	118,560	118,560	118,560	118,560	118,560
Vocational Rehabilitation	3,206	6,460	900	950	900	950
Special Training for the Deaf Blind	320,000	330,000	335,280	340,773	335,280	340,773
Total - General Fund	**1,141,695**	**1,105,307**	**1,211,909**	**1,247,794**	**1,211,909**	**1,247,794**
Federal Contributions						
SSA Voc Rehab Program	303,345	165,000	418,857	418,857	418,857	418,857
Independent Living (Part A)	13,449	64,606	27,000	27,000	27,000	27,000
Social Services Block Grant	99,325	87,562	79,400	79,400	79,400	79,400
Total - Federal Contributions	**416,119**	**317,168**	**525,257**	**525,257**	**525,257**	**525,257**
Additional Funds Available						
Private Contributions	3,657	1,000	2,000	2,000	2,000	2,000
Total - All Funds	**1,561,471**	**1,423,475**	**1,739,166**	**1,775,051**	**1,739,166**	**1,775,051**
Workshop Programs						
Permanent Full-Time Positions GF/OF	14/4	14/4	16/4	16/4	16/4	16/4
General Fund						
Personal Services	459,261	489,491	671,835	702,036	671,835	702,036
Other Expenses	226,418	238,633	383,882	383,882	383,882	383,882
Total - General Fund	**685,679**	**728,124**	**1,055,717**	**1,085,918**	**1,055,717**	**1,085,918**
Federal Contributions						
SSA Voc Rehab Program	152,375	137,000	146,872	146,872	146,872	146,872
Additional Funds Available						
Private Contributions	4,187,275	2,548,000	3,301,184	3,301,184	3,301,184	3,301,184
Total - All Funds	**5,025,329**	**3,413,124**	**4,503,773**	**4,533,974**	**4,503,773**	**4,533,974**
Small Business Enterprises						
Permanent Full-Time Positions /OF	/3	/3	/3	/3	/3	/3
Additional Funds Available						
Special Funds, Non-Appropriated	1,298,074	775,000	675,000	675,000	675,000	675,000
Private Contributions	77	0	0	0	0	0
Total - Additional Funds Available	**1,298,151**	**775,000**	**675,000**	**675,000**	**675,000**	**675,000**
Total - All Funds	**1,298,151**	**775,000**	**675,000**	**675,000**	**675,000**	**675,000**
Management Services						
Permanent Full-Time Positions GF/OF	12/12	12/12	16/12	16/12	15/12	15/12
General Fund						
Personal Services	412,453	484,724	778,836	807,991	728,836	757,991
Other Expenses	27,071	24,050	662,043	617,043	462,043	417,043
Equipment	0	47,300	2,000	2,000	0	0
Grant Payments - Other Than Towns						
Connecticut Radio Information Service	42,750	42,750	42,750	42,750	42,750	42,750
Total - General Fund	**482,274**	**598,824**	**1,485,629**	**1,469,784**	**1,233,629**	**1,217,784**
Federal Contributions						
SSA Voc Rehab Program	475,542	463,000	476,547	476,547	476,547	476,547
Social Security-Disability Insurance	192,370	0	80,000	80,000	80,000	80,000
Total - Federal Contributions	**667,912**	**463,000**	**556,547**	**556,547**	**556,547**	**556,547**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Additional Funds Available						
	Special Funds, Non-Appropriated	500	0	0	0	0	0
	Private Contributions	13,827	71,850	17,800	17,800	17,800	17,800
	Total - Additional Funds Available	**14,327**	**71,850**	**17,800**	**17,800**	**17,800**	**17,800**
	Total - All Funds	**1,164,513**	**1,133,674**	**2,059,976**	**2,044,131**	**1,807,976**	**1,792,131**
	Less: Turnover - Personal Services - GF	0	0	-30,000	-30,000	-30,000	-30,000
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
604	Supplementary Relief and Services	128,560	118,560	118,560	118,560	118,560	118,560
605	Education of Handicapped Blind Children	9,987,459	6,295,182	7,023,100	7,138,166	7,023,100	7,138,166
606	Vocational Rehabilitation	965,515	965,515	965,515	965,515	965,515	965,515
607	Education of Pre-School Blind Children	24,100	27,750	24,486	24,887	24,486	24,887
609	Special Training for the Deaf Blind	320,000	330,000	335,280	340,773	335,280	340,773
610	Connecticut Radio Information Service	42,750	42,750	42,750	42,750	42,750	42,750
	GRANT PAYMENTS - TO TOWNS (Recap)						
701	Services for Persons with Impaired Vision	440,000	490,326	238,760	242,672	238,760	242,672
702	Tuition and Services-Public School Children	970,000	1,008,342	660,400	671,220	660,400	671,220
	EQUIPMENT						
005	Equipment	0	47,300	2,000	2,000	0	0
	Agency Grand Total	**24,891,762**	**19,489,262**	**21,646,445**	**20,418,488**	**21,404,816**	**20,116,488**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**63**	**13,595,603**	**63**	**13,595,603**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	289,941	0	413,350	0	0	0	0
Other Expenses	0	94,499	0	107,915	0	0	0	0
Equipment	0	42,500	0	30,000	0	0	0	0
Grant Payments - Other Than Towns	0	204,231	0	407,434	0	0	0	0
Grant Payments To Towns	0	21,580	0	43,096	0	0	0	0
Total - General Fund	**0**	**652,751**	**0**	**1,001,795**	**0**	**0**	**0**	**0**
Eliminate Inflation Increase - (B)								
-(G) The elimination of inflation increases in various accounts is recommended.								
-(L)Same as Governor								
Other Expenses	0	-74,151	0	-87,567	0	0	0	0
Supplementary Relief and Services	0	-2,845	0	-5,637	0	0	0	0
Education of Handicapped Blind Children	0	-168,554	0	-336,609	0	0	0	0
Vocational Rehabilitation	0	-23,172	0	-45,912	0	0	0	0
Education of Pre-School Blind Children	0	-587	0	-1,173	0	0	0	0
Special Training for the Deaf Blind	0	-8,047	0	-16,070	0	0	0	0
Connecticut Radio Information Service	0	-1,026	0	-2,033	0	0	0	0
Services for Persons with Impaired Vision	0	-5,730	0	-11,443	0	0	0	0
Tuition and Services-Public School Children	0	-15,850	0	-31,653	0	0	0	0
Total - General Fund	**0**	**-299,962**	**0**	**-538,097**	**0**	**0**	**0**	**0**

Fund Various Equipment Items from the CEPF - (B)

The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least 3 years. It is financed through the sale of bonds and is administered by the Office of Policy and Management.

-(G) Funding for various equipment items totaling $40,500 in year 1 and $28,000 in year 2 are recommended to be removed from the General Fund and is to be provided by the CEPF (Bond Funds). A portion of funding will continue to be

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
provided through the General Fund ($2,000 in both years of the biennium.) -(L) Funding for all equipment items is removed from the General Fund and is to be provided by the CEPF.								
Equipment	0	-42,500	0	-30,000	0	-2,000	0	-2,000
Total - General Fund	**0**	**-42,500**	**0**	**-30,000**	**0**	**-2,000**	**0**	**-2,000**
Reorganize Agency and Adjust Funding - (B) The agency incurred a $3.6 million deficiency in FY 1995-96 and a $1.245 million deficiency in FY 1996-97.[3] -(G) Funding is recommended to enable the agency to restructure operations. This includes new Personal Services funding of $419,425 for nine positions; $642,338 for various expenses ($460,000 for data processing expenses, $137,338 for unbudgeted rent costs in West Haven and West Hartford, and $45,000 for moving expenses in year 1) and changes in the structure of the Children's Services accounts for a net reduction in these grant accounts of $869,574. -(L) Funding is provided for seven positions ($319,425) and various expenses ($442,338 in year 1, $397,338 in year 2) to restructure operations. The Children's Services adjustments remain at $869,574. PA 97-2, JSS, "An Act Concerning Welfare Reform and the Expenditures of the Department of Social Services," implements several reorganizational initiatives for the agency including: specifying that the cost of health insurance coverage for the blind in agency workshops be paid through BESB's revolving fund; establishing time-frames for local boards of education to submit bills to BESB for reimbursement; changing the maximum expenditure per handicapped blind child from $14,000 to $11,000 in line with administrative directives; clarifying adaptive equipment ownership; establishing an order of selection process for vocational rehabilitation services; allowing the establishment of training facilities at the location of blind-operated food service facilities and various other changes.								
Personal Services	7	319,425	7	319,425	-2	-100,000	-2	-100,000
Other Expenses	0	442,338	0	397,338	0	-200,000	0	-200,000
Education of Handicapped Blind Children	0	-389,400	0	-274,334	0	0	0	0
Vocational Rehabilitation	0	0	0	0	0	0	0	0
Education of Pre-School Blind Children	0	386	0	787	0	0	0	0
Special Training for the Deaf Blind	0	5,280	0	10,773	0	0	0	0
Services for Persons with Impaired Vision	0	-196,240	0	-192,328	0	0	0	0
Tuition and Services-Public School Children	0	-289,600	0	-278,780	0	0	0	0
Total - General Fund	**7**	**-107,811**	**7**	**-17,119**	**-2**	**-300,000**	**-2**	**-300,000**
Carry Forward Vocational Rehabilitation Funds - (B) -(L) Section 53(1) of PA 97-11, "An Act Concerning Computerized Information Sharing...", allows the agency to carry forward up to $1,000,000 in unexpended funds from FY 1996-97 in the Vocational Rehabilitation account into FY 1997-98. An estimated $60,371 is to be carried forward for the purpose of developing a client case management system.								
Carry Forward - FY 97 Surplus Appropriations	0	60,371	0	0	0	60,371	0	0
Total - Carry Forward - FY 97 Surplus Appropriations	**0**	**60,371**	**0**	**0**	**0**	**60,371**	**0**	**0**
Budget Totals - GF	**70**	**13,798,081**	**70**	**14,012,182**	**-2**	**-302,000**	**-2**	**-302,000**
Budget Totals - OF	**0**	**60,371**	**0**	**0**	**0**	**60,371**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Teacher/Student ratio - Agency teachers	Jan-32	Jan-33	Jan-33	Jan-34	Jan-33	Jan-34	Jan-33	Jan-34
Teacher/ Student ratio-Teachers employed by towns	19-Jan	20-Jan	20-Jan	21-Jan	20-Jan	21-Jan	20-Jan	21-Jan

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Blind and Visually Impaired Children Served:								
Oak Hill School	10	10	10	10	10	10	10	10
Perkins	9	4	4	3	4	3	4	3
Public Schools	1,141	1,163	1,181	1,198	1,181	1,198	1,181	1,198
Average BESB subsidy per student:								
Oak Hill School ($)	14,000	11,000	11,000	11,000	11,000	11,000	11,000	11,000
Perkins ($)	14,000	11,000	11,000	11,000	11,000	11,000	11,000	11,000
Public Schools ($)	6,669	6,725	6,859	6,996	6,725	6,725	6,725	6,725
Counselor/Client ratio	1/124	1/105	1/110	1/115	1/110	1/115	1/110	1/115
Employment Placements:								
Competitive	93	100	105	110	100	100	100	100
Sheltered Workshop	29	30	30	30	30	30	30	30
Homemaker	64	70	70	70	65	65	65	65
Counselor/ Placement ratio	22-Jan	23-Jan	24-Jan	24-Jan	24-Jan	24-Jan	24-Jan	24-Jan
Clients Served/New Clients	548/519	555/525	560/530	565/535	555/525	555/525	555/525	555/525
Instructor/Client ratio	Jan-91	Jan-92	Jan-93	Jan-94	Jan-93	Jan-94	Jan-93	Jan-94
Waiting List	31	42	45	45	45	45	45	45
Clients Served	3,004	3,200	3400	3550	3200	3,200	3200	3,200
New Referrals	1,150	1,250	1,350	1,500	1,250	1,250	1,250	1,250
Rehabilitation Teachings-Independent Living Skills	723	850	850	850	800	800	800	800
Rehabilitation - Teachers/Clients	1/120	1/210	1/210	1/210	1/210	1/210	1/210	1/210
In-service training sessions	119	110	130	150	110	110	110	110
Certificates of Legal Blindness	2,757	2,800	2,900	3,000	2,900	3,000	2,900	3,000
Supplementary Relief	40	45	43	40	40	40	40	40
Low Vision Services	621	635	665	690	635	635	635	635
Number of Clients:								
Home Industries	118	60	60	60	60	60	60	60
Work Activity Center	36	36	37	38	36	36	36	36
Sheltered Workshop	29	29	30	30	29	29	29	29
Production Program	22	25	26	27	25	25	25	25
Small Business Enterprises - Operators	40	36	40	40	36	36	36	36
Public education programs presented	228	230	250	260	230	230	230	230

1997 BOND AUTHORIZATIONS

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	89	-	At Oak Hill School for the Blind, removal of asbestos and roof replacement (SA 89-52 2(m)(2))	460,000	(360,000)	-

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $308,139 in Personal Services from the early retirement incentive program, and $34,453 in Personal Services and $44,839 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $66,396 for FY '98.

[2] In addition to the agency's total General Fund appropriation of $12,131,403 in FY 1996-97, the agency received a deficiency appropriation of $90,000 in Personal Services, $155,000 in Other Expenses, $500,000 in the Education of Handicapped Blind Children account, $200,000 in the Services for Persons with Impaired Vision account and $300,000 in the Tuition and Services - Public School Children account. These funds (a total of $1,245,000) were provided through SA 97-8, "An Act Making Deficiency Appropriations for the Fiscal Year Ending June 30, 1997".

Commission on the Deaf and Hearing Impaired
7102

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	11	12	12	12	12	12
	Others Equated to Full-Time	10	20	27	27	27	27
	Additional Funds Available						
	Permanent Full-Time	4	4	4	4	4	4
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	534,684	536,882	670,328	716,408	670,328	716,408
002	Other Expenses	124,245	128,839	157,799	157,799	157,799	157,799
02X	Other Current Expenses[1]	201,704	248,873	263,247	0	263,247	0
	Agency Total - General Fund[2]	**860,633**	**914,594**	**1,091,374**	**874,207**	**1,091,374**	**874,207**
	Agency Total - Appropriated Funds	**860,633**	**914,594**	**1,091,374**	**874,207**	**1,091,374**	**874,207**
	Additional Funds Available						
	Special Funds, Non-Appropriated	3,345	0	0	0	0	0
	Private Contributions	38,331	10,746	3,240	3,250	3,240	3,250
	Federal Contributions	285,486	221,908	220,212	220,212	220,212	220,212
	Agency Grand Total	**1,187,795**	**1,147,248**	**1,314,826**	**1,097,669**	**1,314,826**	**1,097,669**
	BUDGET BY PROGRAM						
	Interpreting Services						
	Permanent Full-Time Positions GF/OF	11/4	12/4	12/4	12/4	12/4	12/4
	General Fund						
	Personal Services	534,684	536,882	670,328	716,408	670,328	716,408
	Other Expenses	124,245	128,839	157,799	157,799	157,799	157,799
011	Part-Time Interpreters	201,704	248,873	263,247	0	263,247	0
	Total - General Fund	**860,633**	**914,594**	**1,091,374**	**874,207**	**1,091,374**	**874,207**
	Federal Contributions						
	Social Services Block Grant	285,486	221,908	220,212	220,212	220,212	220,212
	Additional Funds Available						
	Special Funds, Non-Appropriated	3,345	0	0	0	0	0
	Private Contributions	38,331	10,746	3,240	3,250	3,240	3,250
	Total - Additional Funds Available	**41,676**	**10,746**	**3,240**	**3,250**	**3,240**	**3,250**
	Total - All Funds	**1,187,795**	**1,147,248**	**1,314,826**	**1,097,669**	**1,314,826**	**1,097,669**
	Agency Grand Total	**1,187,795**	**1,147,248**	**1,314,826**	**1,097,669**	**1,314,826**	**1,097,669**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**12**	**968,764**	**12**	**968,764**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	60,350	0	106,430	0	0	0	0
Other Expenses	0	3,925	0	7,668	0	0	0	0
Other Current Expenses	0	0	0	76,567	0	0	0	0
Total - General Fund	**0**	**64,275**	**0**	**190,665**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Eliminate Inflation Increase - (B)								
-(G) The elimination of the inflation increase in Other Expenses is recommended.								
-(L)Same as Governor								
Other Expenses	0	-3,925	0	-7,668	0	0	0	0
Total - General Fund	**0**	**-3,925**	**0**	**-7,668**	**0**	**0**	**0**	**0**
Reduce Funding Due to State Data Center Rate Reductions - (B)								
Many state agencies obtain computer services to support their business functions from the State Data Center, operated by the Department of Administrative Services through the Technical Services Revolving Fund.								
-(G) Due to a reorganization of the operation of the State Data Center, there is a significant reduction in the rates for services charged to state agencies (CATER and BOSS).								
-(L)Same as Governor								
Other Expenses	0	-987	0	-987	0	0	0	0
Total - General Fund	**0**	**-987**	**0**	**-987**	**0**	**0**	**0**	**0**
Adjust Funding for Part-Time Interpreters - (B)								
-(G) An increase in funding of $63,247 in the first year of the biennium is recommended for Part-Time Interpreters. In addition, the elimination of these funds is recommended in the second year and a licensing system is to be established for all interpreters in Connecticut on January 1, 1998.								
-(L) A task force on deaf services was provided in order to determine the efficiency of this proposal. Funding levels were unchanged pending the task force recommendations. PA 97-310, "AAC Legislative Task Forces," established a task force to study issues related to state services for the deaf and hearing impaired. The task force was to finalize a report by November 17, 1997. This legislation was vetoed by the Governor on July 8, 1997.								
Part-Time Interpreters	0	63,247	0	-276,567	0	0	0	0
Total - General Fund	**0**	**63,247**	**0**	**-276,567**	**0**	**0**	**0**	**0**
Budget Totals - GF	**12**	**1,091,374**	**12**	**874,207**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Administrative Support Services								
Community focus groups	15	15	15	20	15	15	15	15
Total client contact and services	121,697	122,000	125,000	125,000	125,000	125,000	125,000	125,000
Community Education and Outreach								
Individuals provided service	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000
Newsletter subscribers	5,500	5,500	6,000	6,000	6,000	6,000	6,000	6,000
Deaf culture training and seminars	51	60	65	65	60	60	60	60
Ratio of clients contact to total target population(%)	20	25	25	25	25	25	25	25
Interpreting Services								
Ratio/completed requests to requests(%)	95	95	95	95	95		95	
Interpreting appointments provided	14,111	14,500	14,500	14,500	7,250		7,250	
Major types of interpreting service(%)								
Educational	49	40	40	40	40		40	
General	34	40	40	40	40		40	
Medical	17	20	20	20	20		20	
Emergency interpreting requests during and after business hours	150	150	100	100	50		50	
Percent of time interpreter arrives on site within one hour for emergency	98	98	98	98	98		98	
Secondary consumers who use services	78,295	80,000	80,000	85,000	40,000		40,000	
Interpreters participating in professional development (%)	95	95	95	95	95		95	
Job Development and Placement								
Average number of active clients annually	168	175	170	170	170	170	170	170
Placements and on the job assistance	2,851	2,900	2,900	2,900	2,900	2,900	2,900	2,900

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Job placements holding job one or more years (%)	45	50	50	50	50	50	50	50
Counseling								
Average number of active clients seen annually	1,549	1,550	1,550	1,550	1,550	1,550	1,550	1,550
Services provided	5,433	5,450	5,450	5,450	5,450	5,450	5,450	5,450
Requests scheduled within one week(%)	90	95	95	98	95	95	95	95

[1] Sec. 4-89(g) of the Connecticut General Statutes allows the carry forward of unexpended funds in the Part-Time Interpreters account. An estimated $52,287 is to be carried forward in this account from FY 1996-97 to FY 1997-98.

[2] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $123,830 in Personal Services from the early retirement incentive program, and $7,495 in Other Expenses as part of the general reduction in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $1,734 for FY '98.

State Library
7104

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	97	94	93	93	95	95
	Others Equated to Full-Time	19	19	19	19	20	20
	Additional Funds Available						
	Permanent Full-Time	19	19	19	19	19	19
	Others Equated to Full-Time	4	8	2	2	2	2
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	4,473,845	4,611,937	4,569,950	4,784,662	4,853,974	5,068,686
002	Other Expenses	806,374	804,283	834,861	840,681	851,361	857,181
005	Equipment	0	0	25,000	25,000	25,000	25,000
008	Equipment-Law Department	307,382	340,964	371,695	404,930	371,695	404,930
02X	Other Current Expenses	838,368	838,368	870,047	901,552	970,047	901,552
6XX	Grant Payments - Other Than Towns	3,368,524	3,868,024	3,253,134	3,253,134	3,908,524	3,858,524
7XX	Grant Payments - To Towns	1,151,611	1,151,611	1,106,233	1,106,233	1,151,611	1,151,611
	Agency Total - General Fund[1]	**10,946,104**	**11,615,187**	**11,030,920**	**11,316,192**	**12,132,212**	**12,267,484**
	Agency Total - Appropriated Funds	**10,946,104**	**11,615,187**	**11,030,920**	**11,316,192**	**12,132,212**	**12,267,484**
	Additional Funds Available						
	Special Funds, Non-Appropriated	660,840	569,700	639,700	639,700	639,700	639,700
	Private Contributions	1,432,763	1,138,431	386,870	87,300	386,870	87,300
	Federal Contributions	2,411,213	2,268,854	2,268,854	2,272,510	2,268,854	2,272,510
	Agency Grand Total	**15,450,920**	**15,592,172**	**14,326,344**	**14,315,702**	**15,427,636**	**15,266,994**
	BUDGET BY PROGRAM						
	Information Services						
	Permanent Full-Time Positions GF/OF	39/7	38/7	38/7	38/7	39/7	39/7
	General Fund						
	Personal Services	1,785,290	2,034,983	2,221,291	2,332,063	2,273,291	2,384,063
	Other Expenses	274,611	298,254	271,037	271,037	271,037	271,037
	Equipment	0	0	25,000	0	25,000	0
	Equipment-Law Department	307,382	340,964	371,695	404,930	371,695	404,930
022	Library & Educational Materials	303,452	303,381	327,650	353,860	327,650	353,860
	Total - General Fund	**2,670,735**	**2,977,582**	**3,216,673**	**3,361,890**	**3,268,673**	**3,413,890**
	Federal Contributions						
	Humanities-Preservation/Access	161,937	164,050	164,050	167,706	164,050	167,706
	Public Library Services	333,333	340,000	340,000	340,000	340,000	340,000
	Interlibrary Coop/Resource Share	28,999	13,000	13,000	13,000	13,000	13,000
	Total - Federal Contributions	**524,269**	**517,050**	**517,050**	**520,706**	**517,050**	**520,706**
	Additional Funds Available						
	Special Funds, Non-Appropriated	119,503	139,700	139,700	139,700	139,700	139,700
	Private Contributions	31,652	33,000	33,500	34,000	33,500	34,000
	Total - Additional Funds Available	**151,155**	**172,700**	**173,200**	**173,700**	**173,200**	**173,700**
	Total - All Funds	**3,346,159**	**3,667,332**	**3,906,923**	**4,056,296**	**3,958,923**	**4,108,296**
	Library Services						
	Permanent Full-Time Positions GF/OF	17/12	17/12	17/12	17/12	17/12	17/12
	General Fund						
	Personal Services	634,193	601,321	741,824	775,287	755,324	788,787
	Other Expenses	192,408	185,814	196,523	202,343	213,023	218,843
022	Library & Educational Materials	83,887	83,887	90,595	95,130	90,595	95,130
025	Statewide Data Base Program	442,327	442,327	442,327	442,327	542,327	442,327

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Grant Payments - Other Than Towns						
	Support Cooperating Library Service Units	807,829	807,829	767,439	767,439	807,829	807,829
	Grant Payments - To Towns						
	Grants to Public Libraries	453,776	453,776	408,398	408,398	453,776	453,776
	Connecticard Payments	697,835	697,835	697,835	697,835	697,835	697,835
	Total - General Fund	**3,312,255**	**3,272,789**	**3,344,941**	**3,388,759**	**3,560,709**	**3,504,527**
	Federal Contributions						
	Public Library Services	791,005	860,000	860,000	860,000	860,000	860,000
	Interlibrary Coop/Resource Share	420,915	219,304	219,304	219,304	219,304	219,304
	Public Library Construction	197,601	215,000	215,000	215,000	215,000	215,000
	Library Literacy	34,375	0	0	0	0	0
	Total - Federal Contributions	**1,443,896**	**1,294,304**	**1,294,304**	**1,294,304**	**1,294,304**	**1,294,304**
	Additional Funds Available						
	Private Contributions	850	1,000	1,000	1,000	1,000	1,000
	Total - All Funds	**4,757,001**	**4,568,093**	**4,640,245**	**4,684,063**	**4,856,013**	**4,799,831**
	Historical Services						
	Permanent Full-Time Positions GF	10	10	10	10	10	10
	General Fund						
	Personal Services	485,978	511,711	551,999	581,391	551,999	581,391
	Other Expenses	79,264	64,281	69,281	69,281	69,281	69,281
022	Library & Educational Materials	6,247	6,298	6,800	7,345	6,800	7,345
	Total - General Fund	**571,489**	**582,290**	**628,080**	**658,017**	**628,080**	**658,017**
	Additional Funds Available						
	Private Contributions	545,344	50,000	50,800	50,800	50,800	50,800
	Total - All Funds	**1,116,833**	**632,290**	**678,880**	**708,817**	**678,880**	**708,817**
	Administrative Services						
	Permanent Full-Time Positions GF	18	17	16	16	17	17
	General Fund						
	Personal Services	1,057,272	944,389	860,449	890,439	890,449	920,439
	Other Expenses	158,691	160,010	202,096	202,096	202,096	202,096
	Equipment	0	0	0	25,000	0	25,000
022	Library & Educational Materials	2,455	2,475	2,675	2,890	2,675	2,890
	Total - General Fund	**1,218,418**	**1,106,874**	**1,065,220**	**1,120,425**	**1,095,220**	**1,150,425**
	Additional Funds Available						
	Private Contributions	9,919	6,650	0	0	0	0
	Total - All Funds	**1,228,337**	**1,113,524**	**1,065,220**	**1,120,425**	**1,095,220**	**1,150,425**
	Commission on the Arts						
	Permanent Full-Time Positions GF	13	12	12	12	12	12
	General Fund						
	Personal Services	511,112	519,533	543,271	570,326	543,271	570,326
	Other Expenses	101,400	95,924	95,924	95,924	95,924	95,924
	Grant Payments - Other Than Towns						
	Basic Cultural Resources Grant	1,835,695	2,210,195	1,835,695	1,835,695	2,210,695	2,160,695
	Grants-Local Institutions in Humanities	75,000	0	0	0	40,000	40,000
	Connecticut Educational Telecommunications Corporation	650,000	850,000	650,000	650,000	850,000	850,000
	Total - General Fund	**3,173,207**	**3,675,652**	**3,124,890**	**3,151,945**	**3,739,890**	**3,716,945**
	Federal Contributions						
	Arts in Education	43,789	97,500	97,500	97,500	97,500	97,500
	Promote Arts-State/Reg Programs	343,736	360,000	360,000	360,000	360,000	360,000
	Promote Arts-Expansion Arts	55,523	0	0	0	0	0
	Total - Federal Contributions	**443,048**	**457,500**	**457,500**	**457,500**	**457,500**	**457,500**
	Additional Funds Available						
	Special Funds, Non-Appropriated	541,337	430,000	500,000	500,000	500,000	500,000
	Private Contributions	844,998	1,047,781	301,570	1,500	301,570	1,500
	Total - Additional Funds Available	**1,386,335**	**1,477,781**	**801,570**	**501,500**	**801,570**	**501,500**
	Total - All Funds	**5,002,590**	**5,610,933**	**4,383,960**	**4,110,945**	**4,998,960**	**4,675,945**
	Personal Services Savings						
	General Fund						
	Personal Services	0	0	-188,524	-188,524	0	0
	Less: Turnover - Personal Services - GF	0	0	-160,360	-176,320	-160,360	-176,320
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
601	Basic Cultural Resources Grant	1,835,695	2,210,195	1,835,695	1,835,695	2,210,695	2,160,695
602	Support Cooperating Library Service Units	807,829	807,829	767,439	767,439	807,829	807,829
604	Grants-Local Institutions in Humanities	75,000	0	0	0	40,000	40,000
605	Connecticut Educational Telecommunications Corporation	650,000	850,000	650,000	650,000	850,000	850,000

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	GRANT PAYMENTS - TO TOWNS (Recap)						
701	Grants to Public Libraries	453,776	453,776	408,398	408,398	453,776	453,776
702	Connecticard Payments	697,835	697,835	697,835	697,835	697,835	697,835
	EQUIPMENT						
005	Equipment	0	0	25,000	25,000	25,000	25,000
008	Equipment-Law Department	307,382	340,964	371,695	404,930	371,695	404,930
	Agency Grand Total	**15,450,920**	**15,592,172**	**14,326,344**	**14,315,702**	**15,427,636**	**15,266,994**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**94**	**11,653,467**	**94**	**11,653,467**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	155,321	0	370,033	0	0	0	0
Other Expenses	0	26,081	0	52,024	0	0	0	0
Equipment	0	185,731	0	238,966	0	0	0	0
Library & Educational Materials	0	31,679	0	63,184	0	0	0	0
Statewide Data Base Program	0	10,618	0	21,038	0	0	0	0
Basic Cultural Resources Grant	0	51,855	0	102,745	0	0	0	0
Support Cooperating Library Service Units	0	19,386	0	38,411	0	0	0	0
Connecticut Educational Telecommunications Corporation	0	20,400	0	40,420	0	0	0	0
Grants to Public Libraries	0	10,890	0	21,579	0	0	0	0
Connecticard Payments	0	16,750	0	33,185	0	0	0	0
Total - General Fund	**0**	**528,711**	**0**	**981,585**	**0**	**0**	**0**	**0**
Remove Inflation and Reduce Personal Services - (B)								
-(G) It is proposed that inflation be removed in both years of the biennium and that there be a personal services reduction of $188,524.								
-(L) Inflation is removed in both years of the biennium.								
Personal Services	0	0	0	0	0	188,524	0	188,524
Other Expenses	0	-20,372	0	-40,495	0	0	0	0
Statewide Data Base Program	0	-10,618	0	-21,038	0	0	0	0
Basic Cultural Resources Grant	0	-51,855	0	-102,745	0	0	0	0
Support Cooperating Library Service Units	0	-19,386	0	-38,411	0	0	0	0
Connecticut Educational Telecommunications Corporation	0	-20,400	0	-40,420	0	0	0	0
Grants to Public Libraries	0	-10,890	0	-21,579	0	0	0	0
Connecticard Payments	0	-16,750	0	-33,185	0	0	0	0
Total - General Fund	**0**	**-150,271**	**0**	**-297,873**	**0**	**188,524**	**0**	**188,524**
Adjust Library Grants - (B)								
The State Library through the Commission on the Arts provides aid to various artists, arts organizations and others.								
-(G) It is proposed that the CETC Grant be returned to its SFY 1995-1996 level and that one time grants within Basic Cultural Resources Account in the amount of $325,000 be eliminated.								
-(L) Funding for the Basic Cultural Resources grant is reduced by $100,000. Additionally, $225,000 of the total available in both years of the biennium in this account is for a grant to the International Festival of Arts and Ideas.								
Basic Cultural Resources Grant	0	-100,000	0	-100,000	0	225,000	0	225,000
Connecticut Educational Telecommunications Corporation	0	0	0	0	0	200,000	0	200,000
Total - General Fund	**0**	**-100,000**	**0**	**-100,000**	**0**	**425,000**	**0**	**425,000**
Reduce Administrative Costs - (B)								
-(G) It is proposed that savings be effected from the elimination of state cars and administrative cost reductions.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L) A total of $19,695 is eliminated to reflect the elimination of state cars.								
Personal Services	0	0	0	0	1	52,000	1	52,000
Other Expenses	0	-19,695	0	-19,695	0	0	0	0
Total - General Fund	**0**	**-19,695**	**0**	**-19,695**	**1**	**52,000**	**1**	**52,000**
Fund Equipment Items from the CEPF - (B) The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least 3 years. It is financed through the sale of bonds and is administered by the Office of Policy and Management. -(G) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds). -(L)Same as Governor								
Equipment	0	-130,000	0	-150,000	0	0	0	0
Total - General Fund	**0**	**-130,000**	**0**	**-150,000**	**0**	**0**	**0**	**0**
Reduce Local Library Support - (B) The State Library provides subsidies to local libraries through various grant programs. -(G) It is proposed that funding for local libraries be reduced in both years of the biennium. -(L) Support for Grants to Public Libraries and Cooperating Library Service Units are maintained at current levels.								
Support Cooperating Library Service Units	0	0	0	0	0	40,390	0	40,390
Grants to Public Libraries	0	0	0	0	0	45,378	0	45,378
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**85,768**	**0**	**85,768**
Provide Van Services - (B) The Connecticard Program provides for transfers of materials between libraries throughout the state. -(L) Funds, totaling $30,000 in both years of the biennium, are provided for three leased vans and a part-time driver.								
Personal Services	0	13,500	0	13,500	0	13,500	0	13,500
Other Expenses	0	16,500	0	16,500	0	16,500	0	16,500
Total - General Fund	**0**	**30,000**	**0**	**30,000**	**0**	**30,000**	**0**	**30,000**
Provide Funding to the Humanities Council - (B) The Humanities Council provides funds to subsidize humanities organizations across the state. -(L) Funds totaling $40,000 are provided to the Humanities Council to minimize the effects of recent cuts in Federal funds.								
Grants-Local Institutions in Humanities	0	40,000	0	40,000	0	40,000	0	40,000
Total - General Fund	**0**	**40,000**	**0**	**40,000**	**0**	**40,000**	**0**	**40,000**
Create Demonstration Project - (B) The Statewide Data Base Program is a comprehensive database used by Connecticut's public libraries. -(L) A total of $100,000 is provided in SFY 1997-98 for a demonstration project to provide computers, software, and training to develop interconnectiveness among Bolton, Hebron, Manchester and Glastonbury.								
Statewide Data Base Program	0	100,000	0	0	0	100,000	0	0
Total - General Fund	**0**	**100,000**	**0**	**0**	**0**	**100,000**	**0**	**0**
Provide Funding for HOT Schools - (B) The Basic Cultural Grant provides funding to artists, arts organizations and others. -(L) A total of $100,000 is provided in each year of the biennium for HOT Schools. This will expand the program to an additional 2 schools with no required local match. HOT schools or Higher Order Thinking schools use various								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
methodologies involving the arts to increase academic achievement.								
Basic Cultural Resources Grant	0	100,000	0	100,000	0	100,000	0	100,000
Total - General Fund	**0**	**100,000**	**0**	**100,000**	**0**	**100,000**	**0**	**100,000**
Provide Funding for Portable Stage - (B) The Basic Cultural Resources Grant provides funding to artists, arts organizations and others. -(L) Funds totaling $50,000 are provided in the Basic Cultural Resources Grant in order to provide for a portable stage in the City of West Haven. These funds are provided in PA 97-11 JSS, "An Act Concerning Computerized Information Sharing, the Mashantucket and Mohegan Fund, Early Retirement, School Construction, State Buildings, Nursing Home Loans, Executive and Legislative Councils and Commissions, and Appropriations for the Fiscal Years Ending June 30, 1997, 1998 and 1999."								
Basic Cultural Resources Grant	0	50,000	0	0	0	50,000	0	0
Total - General Fund	**0**	**50,000**	**0**	**0**	**0**	**50,000**	**0**	**0**
Provide for Transferred Position - (B) -(L) A total of $30,000 and an additional one position are provided in both years of the biennium to reflect the transfer of one position from the Department of Special Revenue in SFY 1996-97. These funds are provided in PA 97-11, JSS, "An Act Concerning Computerized Information Sharing, the Mashantucket and Mohegan Fund, Early Retirement, School Construction, State Buildings, Nursing Home Loans, Executive and Legislative Councils and Commissions, and Appropriations for the Fiscal Years Ending June 30, 1997, 1998 and 1999."								
Personal Services	1	30,000	1	30,000	1	30,000	1	30,000
Total - General Fund	**1**	**30,000**	**1**	**30,000**	**1**	**30,000**	**1**	**30,000**
Budget Totals - GF	**95**	**12,132,212**	**95**	**12,267,484**	**2**	**1,101,292**	**2**	**951,292**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Information systems analyses undertaken/evaluated-internal	0	1	1	1	1	1	1	1
undertaken/evaluated-external	0	1	1	1	1	1	1	1
Training sessions/total hours	57/344	58/349	60/350	62/360	60/350	62/360	60/350	62/360
Percent of staff trained(%)	60	70	70	80	75	85	75	85
Publications	236	235	250	250	235	235	235	235
Sheets printed(000)	595,175	600,000	575,000	575,000	575,000	575,000	575,000	575,000
Copies made for patrons	1,000,000	1,100,000	1,100,000	1,100,000	1,100,000	1,100,000	1,100,000	1,100,000
Serials maintained	9,579	9,729	9,879	10,029	9,729	9,729	9,729	9,729
Items added to the collection	55,965	56,465	56,965	57,465	56,465	56,465	56,465	56,465
Titles cataloged	7,474	10,000	10,300	10,609	10,000	10,000	10,000	10,000
Bibliographic records converted for computer catalog Title	4,576	5,000	5,000	5,000	5,000	5,000	5,000	5,000
Volumes	8,604	9,000	9,000	9,000	9,000	9,000	9,000	9,000
Patrons visits	164,616	169,554	174,641	179,880	174,641	179,880	174,641	179,880
Questions answered	71,190	73,326	75,525	77,791	75,000	75,000	75,000	75,000
Materials circulated by the Library for Blind & Physically Handicapped	235,253	230,000	231,500	233,000	230,000	230,000	230,000	230,000
Libraries-CT Union List Serials (CULS)	344	350	350	350	350	350	350	350
Holdings in CT Union List Serials (000)	172,007	175,000	178,000	181,000	175,000	175,000	175,000	175,000
Interlibrary loan requests								
Communities receiving consultant assistance	169	169	169	169	169	169	169	169
Towns participating in continuing education activities	155	155	155	155	155	155	155	155
Gross Transactions - Connecticard(000)								
Federal Funds projects awarded in($000)	488	203	203	203	203	203	203	203

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Agencies/Municipalities-Destruction of Records								
Requests Approved	941	800	800	800	800	800	800	800
Archival Records appraised(Cubic Feet)	800	200	200	200	200	200	200	200
Archival Records acquired(Cubic Feet)	243	150	150	150	150	150	150	150
Documents Handled (Reference/Returns)	30,958	20,500	20,500	20,500	21,200	21,900	21,200	21,900
Museum								
Visitors	23,000	25,000	25,000	25,000	25,000	25,000	25,000	25,000
Collections Inventoried	2	2	2	2	2	2	2	2
Items Acquired	443	500	500	500	500	500	500	500
Artists assisted	18,295	18,400	18,550	18,750	18550	18,750	18550	18,750
Art sponsors participating	1,350	1,400	1,423	1,450	1,423	1,450	1,423	1,450
Audiences of commission programs	5,000,000	4,750,000	4,800,000	4,900,000	4,800,000	4,900,000	4,800,000	4,900,000
Applications evaluated	711	869	750	825	750	825	750	825
Consultations & technical assistance	3,380	3,500	3,650	3,700	3650	3,700	3650	3,700
Community funds generated ($M)	21.1	22.5	25	27	25	27	25	27
Percent-for-Art projects (%)	40	44	47	52	47	52	47	52

1997 BOND AUTHORIZATIONS

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	13(i)(1)	32(f)(1)	Grants-in-aid to the Connecticut Arts Endowment Fund for Section 501(c)(3) tax-exempt nonprofit organizations to be matched with private contributions	9,000,000	1,000,000	1,000,000
SA 97-1 (J5 SS)	13(i)(2)	32(f)(2)	Grants-in-aid for public libraries for construction, renovations, expansions, energy conservation and handicapped accessibility	28,797,182	2,500,000	2,500,000
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	190	-	Code compliance improvements at the State Library in accordance with the American's with Disabilities Act (SA 95-20 2(l)(3))	140,000	(140,000)	-

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $445,133 in Personal Services from the early retirement incentive program, and $48,240 in Personal Services and $40,440 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $124,321 for FY '98.

Department of Higher Education
7250

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	30	29	29	29	29	29
	Additional Funds Available						
	Permanent Full-Time	11	11	11	11	11	11
	Others Equated to Full-Time	3	3	3	3	3	3
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	1,620,772	1,737,231	1,704,396	1,823,193	1,704,396	1,823,193
002	Other Expenses	329,665	289,582	150,350	150,350	157,559	164,634
005	Equipment	0	0	1,000	1,000	1,000	1,000
02X	Other Current Expenses	1,636,693	1,700,936	1,835,936	1,835,936	2,391,758	2,431,816
6XX	Grant Payments - Other Than Towns	20,059,821	20,071,332	20,071,771	20,089,380	26,545,013	27,027,030
	Agency Total - General Fund[1]	**23,646,951**	**23,799,081**	**23,763,453**	**23,899,859**	**30,799,726**	**31,447,673**
	Agency Total - Appropriated Funds	**23,646,951**	**23,799,081**	**23,763,453**	**23,899,859**	**30,799,726**	**31,447,673**
	Additional Funds Available						
	Private Contributions	294,500	653,500	316,500	325,000	316,500	325,000
	Federal Contributions	4,466,046	5,550,150	5,271,231	5,282,231	5,271,231	5,282,231
	Agency Grand Total	**28,407,497**	**30,002,731**	**29,351,184**	**29,507,090**	**36,387,457**	**37,054,904**
	BUDGET BY PROGRAM						
	Coordination of Higher Education						
	Permanent Full-Time Positions GF/OF	30/11	29/11	29/11	29/11	29/11	29/11
	General Fund						
	Personal Services	1,620,772	1,737,231	1,823,002	1,941,799	1,823,002	1,941,799
	Other Expenses	329,665	289,582	150,350	150,350	157,559	164,634
	Equipment	0	0	1,000	1,000	1,000	1,000
027	Minority Advancement Program	1,413,771	1,420,721	1,420,721	1,420,721	1,704,818	1,738,278
028	Alternate Route to Certification	10,000	10,000	10,000	10,000	10,240	10,475
029	Student Community Services	5,954	15,000	0	0	15,360	15,713
030	National Service Act	206,968	255,215	255,215	255,215	511,340	517,350
032	International Initiatives	0	0	150,000	150,000	150,000	150,000
	Total - General Fund	**3,587,130**	**3,727,749**	**3,810,288**	**3,929,085**	**4,373,319**	**4,539,249**
	Federal Contributions						
	Employment Service	52,919	73,762	73,762	73,762	73,762	73,762
	Job Training Partnership	94,993	102,588	102,588	102,588	102,588	102,588
	All-Volunteer Force Educ Assist	165,553	178,000	190,000	201,000	190,000	201,000
	National Professional Development	8,485	0	32,326	32,326	32,326	32,326
	Citizens In Community	2,539,659	3,845,980	3,730,000	3,730,000	3,730,000	3,730,000
	Program Development Assistance & Training	0	84,000	0	0	0	0
	Total - Federal Contributions	**2,861,609**	**4,284,330**	**4,128,676**	**4,139,676**	**4,128,676**	**4,139,676**
	Additional Funds Available						
	Private Contributions	294,500	653,500	316,500	325,000	316,500	325,000
	Total - All Funds	**6,743,239**	**8,665,579**	**8,255,464**	**8,393,761**	**8,818,495**	**9,003,925**
	Student Financial Assistance						
	General Fund						
	Grant Payments - Other Than Towns						
	Scholastic Achievement Grant	2,091,333	2,100,000	2,100,000	2,100,000	3,150,400	3,199,859
	Awards to Children of Deceased/ Disabled Veterans	2,400	4,000	6,000	6,000	6,000	6,000

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Connecticut Independent College Student Grant	12,055,530	12,055,530	12,055,530	12,055,530	14,344,863	14,628,795
	High Technology Scholarships	40,000	0	0	0	0	0
	Connecticut Aid for Public College Students	5,562,888	5,562,888	5,562,888	5,562,888	8,696,397	8,827,414
	New England Board of Higher Education	307,670	348,914	347,353	364,962	347,353	364,962
	Total - General Fund	**20,059,821**	**20,071,332**	**20,071,771**	**20,089,380**	**26,545,013**	**27,027,030**
	Federal Contributions						
	Gts for State Student Incentives	674,788	415,544	415,544	415,544	415,544	415,544
	Eisenhower Math & Sci Educ-St Gt	623,589	526,307	403,042	403,042	403,042	403,042
	Byrd Honors Scholarships	306,060	323,969	323,969	323,969	323,969	323,969
	Total - Federal Contributions	**1,604,437**	**1,265,820**	**1,142,555**	**1,142,555**	**1,142,555**	**1,142,555**
	Total - All Funds	**21,664,258**	**21,337,152**	**21,214,326**	**21,231,935**	**27,687,568**	**28,169,585**
	Personal Services Savings						
	General Fund						
	Personal Services	0	0	-89,705	-89,705	-89,705	-89,705
	Less: Turnover - Personal Services - GF	0	0	-28,901	-28,901	-28,901	-28,901
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
606	Scholastic Achievement Grant	2,091,333	2,100,000	2,100,000	2,100,000	3,150,400	3,199,859
607	Awards to Children of Deceased/ Disabled Veterans	2,400	4,000	6,000	6,000	6,000	6,000
609	Connecticut Independent College Student Grant	12,055,530	12,055,530	12,055,530	12,055,530	14,344,863	14,628,795
616	High Technology Scholarships	40,000	0	0	0	0	0
621	Connecticut Aid for Public College Students	5,562,888	5,562,888	5,562,888	5,562,888	8,696,397	8,827,414
623	New England Board of Higher Education	307,670	348,914	347,353	364,962	347,353	364,962
	EQUIPMENT						
005	Equipment	0	0	1,000	1,000	1,000	1,000
	Agency Grand Total	**28,407,497**	**30,002,731**	**29,351,184**	**29,507,090**	**36,387,457**	**37,054,904**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**29**	**23,749,190**	**29**	**23,749,190**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	119,529	0	238,326	0	0	0	0
Other Expenses	0	7,209	0	14,284	0	0	0	0
Equipment	0	25,000	0	25,000	0	0	0	0
Minority Advancement Program	0	34,097	0	67,557	0	0	0	0
Alternate Route to Certification	0	240	0	475	0	0	0	0
Student Community Services	0	360	0	713	0	0	0	0
National Service Act	0	6,125	0	12,135	0	0	0	0
Scholastic Achievement Grant	0	50,400	0	99,859	0	0	0	0
Connecticut Independent College Student Grant	0	289,333	0	573,265	0	0	0	0
Connecticut Aid for Public College Students	0	133,509	0	264,526	0	0	0	0
New England Board of Higher Education	0	-1,561	0	16,048	0	0	0	0
Total - General Fund	**0**	**664,241**	**0**	**1,312,188**	**0**	**0**	**0**	**0**
Remove Inflation and Reduce Personal Services - (B)								
-(G) Current services increases are provided in both years of the biennium.								
-(L) Current services increases are provided in both years of the biennium, excluding personal services.								
Personal Services	0	-89,705	0	-89,705	0	0	0	0
Other Expenses	0	0	0	0	0	7,209	0	14,284
Minority Advancement Program	0	0	0	0	0	34,097	0	67,557
Alternate Route to Certification	0	0	0	0	0	240	0	475
Student Community Services	0	0	0	0	0	360	0	713
National Service Act	0	0	0	0	0	6,125	0	12,135
Scholastic Achievement Grant	0	0	0	0	0	50,400	0	99,859
Connecticut Independent College Student Grant	0	0	0	0	0	289,333	0	573,265
Connecticut Aid for Public College Students	0	0	0	0	0	133,509	0	264,526
Total - General Fund	**0**	**-89,705**	**0**	**-89,705**	**0**	**521,273**	**0**	**1,032,814**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Fund Equipment Items from the CEPF - (B)								
-(G) Funding for various equipment items is removed from the General Fund and is to be provided by bond funds associated with the Capital Equipment Purchase Fund.								
-(L)Same as Governor								
Equipment	0	-24,000	0	-24,000	0	0	0	0
Total - General Fund	**0**	**-24,000**	**0**	**-24,000**	**0**	**0**	**0**	**0**
Transfer Funds From Other Expenses - (B)								
The Department of Higher Education's International programs include Baden-Wurttenberg, Germany - Connecticut Higher Education Exchanges; Central Connecticut State University - Siracusa, Sicily Program; and Central Connecticut State University - the Caribbean Studies Center. These programs provide opportunities for students from various disciplines to receive credit for studies at institutions in the partner - states. In addition, they promote the larger goals of economic development and cooperation through faculty exchanges and cooperative research and internships.								
-(G) It is proposed that funding for DHE International Programs within the agency's Other Expenses account be transferred to a separate account.								
-(L)Same as Governor								
Other Expenses	0	-150,000	0	-150,000	0	0	0	0
International Initiatives	0	150,000	0	150,000	0	0	0	0
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**
Eliminate Student Community Services - (B)								
The Student Community Service Program was created by PA 88-252, "An Act Concerning Student Community Service," to promote community service leadership and community service activities for Connecticut's college and high school students. Each year, within available appropriations, DHE awards fellowships to one state resident undergraduate and one faculty/administrator of a public or private college. The recipients are required to work throughout the state carrying out various community service projects.								
-(G) It is proposed that funding for the Student Community Service Program be discontinued.								
-(L) Funding for the Student Community Services Fellowship Program is continued.								
Student Community Services	0	0	0	0	0	15,000	0	15,000
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**15,000**	**0**	**15,000**
Provide Additional Funds for Student Financial Aid - (B)								
The three major student financial aid programs for Connecticut residents are as follows:								
o The Scholastic Achievement Grant Program (SAG) awards grants to financially needy students who meet Board of Governors approved academic screening criteria. The maximum award for students attending in-state institutions is $2,000. Recipients attending out-of-state institutions may take with them a maximum award of $500 provided the state of attendance allows its residents to bring awards to Connecticut.								
o Connecticut Aid for Public College Students (CAPS) allocates funds to Connecticut public colleges according to a Board approved formula. The institutions provide grants/or campus employment to full and part-time undergraduate students in amounts up to the recipient's unmet financial need. A portion of these funds is targeted to needy Connecticut minority students.								
o The Connecticut Independent College Student Grant Program (CICSG) provides grant assistance to Connecticut residents attending private institutions in the state. The annual appropriation and the maximum annual grant per student are linked to actual expenditures per student at								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Connecticut's public four-year institutions under statutory formulas. -(L) Additional funds are provided for various state student financial aid programs. Specifically, funds in the amount of $1 million provided for the SAG program will afford grant opportunities to students attending in-state institutions of higher education.								
Scholastic Achievement Grant	0	1,000,000	0	1,000,000	0	1,000,000	0	1,000,000
Connecticut Independent College Student Grant	0	2,000,000	0	2,000,000	0	2,000,000	0	2,000,000
Connecticut Aid for Public College Students	0	3,000,000	0	3,000,000	0	3,000,000	0	3,000,000
Total - General Fund	**0**	**6,000,000**	**0**	**6,000,000**	**0**	**6,000,000**	**0**	**6,000,000**
Provide Funds for CONNCAP - (B) The Connecticut Collegiate Awareness and Preparation Program (CONNCAP) is one of the three programmatic initiatives under the Minority Advancement Program. This competitive grant program is aimed at expanding the pool of students who are adequately prepared for college through interventions targeting underachieving students who possess the potential for success at the middle school and high school levels. -(L) Additional funding is provided for the Connecticut Collegiate Awareness and Preparation Program (CONNCAP).								
Minority Advancement Program	0	250,000	0	250,000	0	250,000	0	250,000
Total - General Fund	**0**	**250,000**	**0**	**250,000**	**0**	**250,000**	**0**	**250,000**
Increase Funding for National Service - (B) Americorps is the centerpiece of President Clinton's National and Community Service Trust Act of 1993. In exchange for a year of hands-on community service, the members earn a living allowance and an education award to help pay for post secondary education or student loans. In FY '96, over 400 people worked in the state's Americorps programs bringing approximately $4 million federal dollars into the state. -(L) Additional funding in the amount of $250,000 is provided for federal matching purposes.								
National Service Act	0	250,000	0	250,000	0	250,000	0	250,000
Total - General Fund	**0**	**250,000**	**0**	**250,000**	**0**	**250,000**	**0**	**250,000**
Budget Totals - GF	**29**	**30,799,726**	**29**	**31,447,673**	**0**	**7,036,273**	**0**	**7,547,814**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Coordination of Higher Education								
Licensure and Accreditation								
Direct institutional evaluations	5	7	8	8	8	8	8	8
Direct program evaluation	32	36	34	36	34	36	34	36
Interim evaluations	15	12	12	12	12	12	12	12
Consultations and preliminary reviews	18	22	20	20	20	20	20	20
Out of State institutions/programs	4	3	4	4	4	4	4	4
Progress reports received & reviewed	20	18	20	20	20	20	20	20
Mediating complaints	9	6	15	15	15	15	15	15
Minority Advancement Program Minority Faculty & Professionals	1,000	1,050	1,100	1,150	1,100	1,150	1,100	1,150
Minority Enrollment Incentive Awards	18	18	18	18	18	18	18	18
Employment & Educ Info Ctr (EEIC) Number of Inquiries	20,261	21,000	21,000	21,000	21,000	21,000	21,000	21,000
Alternate Route to Certification								
Number of Applications	370	450	500	550	500	550	500	550
Number of Students	131	130	135	140	135	140	135	140
National Service Act								
Members	359	370	370	370	370	370	370	370
Member hours served	124,283	400,000	400,000	400,000	400,000	400,000	400,000	400,000

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Volunteers generated	1,635	2,000	2,000	2,000	2,000	2,000	2,000	2,000
Volunteer hours served	8,119	10,000	10,000	10,000	10,000	10,000	10,000	10,000
Partner agencies/sites	68	60	60	60	60	60	60	60
Student Financial Assistance								
Awards to Children of Deceased/Disabled Veterans								
Number of Students	6	15	15	15	15	15	15	15
Average Grant/Student ($)	400	400	400	400	400	400	400	400
High Technology Scholarships								
Number of Students	4	0	0	0	0	0	0	0
Average Grant/Student ($)	10,000	0	0	0	0	0	0	0
State Scholastic Achievement Grant (SAG)								
Number of Grant Recipients	2,599	2,600	2,600	2,600	2,600	2,600	2,600	2,600
Average Award ($)	1,064	1,100	1,100	1,100	1,100	1,100	1,100	1,100
CT Independent Colleges Student Grant (CICSG)								
Number of Grant Recipients	3,826	3,826	3,826	3,826	3,826	3,826	3,826	3,826
Average Award ($)	3,151	3,151	3,151	3,151	3,151	3,151	3,151	3,151
Ct Aid to Public College Student (CAPCS)								
Number of Grant Recipients	7,165	7,165	7,165	7,165	7,165	7,165	7,165	7,165
Average Award ($)	776	776	776	776	776	776	776	776

OTHER SIGNIFICANT 1997 LEGISLATION AFFECTING THE AGENCY'S BUDGET

PA 97-285, "An Act Concerning Oversight of Private Occupational Schools by the Department of Higher Education" - Permits the continued use of interest from the Private Occupational School Student Protection account for certain related personnel and administrative expenditures in DHE up to $150,000.

---

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $19,131 in Personal Services from the early retirement incentive program, and $17,044 in Personal Services and $7,484 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

University of Connecticut
7301

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	2,589	2,559	2,349	2,349	2,553	2,553
	Additional Funds Available						
	Permanent Full-Time	1,604	1,526	1,626	1,627	1,626	1,627
	Others Equated to Full-Time	825	839	839	842	839	842
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
02X	Other Current Expenses	139,405,668	144,809,616	135,044,906	136,797,143	147,137,354	153,852,425
	Agency Total - General Fund[1][2]	**139,405,668**	**144,809,616**	**135,044,906**	**136,797,143**	**147,137,354**	**153,852,425**
	Agency Total - Appropriated Funds	**139,405,668**	**144,809,616**	**135,044,906**	**136,797,143**	**147,137,354**	**153,852,425**
	Additional Funds Available						
	Carry Forward - FY 97 Surplus Appropriations	0	0	0	0	9,112,794	0
	Tuition Fund	185,510,296	197,066,725	197,066,725	203,257,772	197,066,725	203,257,772
	Research Foundation	24,304,055	22,532,849	22,532,849	22,646,897	22,532,849	22,646,897
	Federal Contributions	35,495,150	35,783,655	35,783,655	35,773,572	35,783,655	35,773,572
	Agency Grand Total	**384,715,169**	**400,192,845**	**390,428,135**	**398,475,384**	**411,633,377**	**415,530,666**
	BUDGET BY PROGRAM						
	Instruction						
	Permanent Full-Time Positions GF/OF	1,241/278	0/0	0/0	0/0	0/0	0/0
	General Fund						
020	Operating Expenses	80,520,714	0	0	0	0	0
	Federal Contributions						
	Nutrition Education and Training Program	51,652	0	0	0	0	0
	Commodity Supplemental Food Prog	12,860	0	0	0	0	0
	Dept. of Defense	17,002	0	0	0	0	0
	Dept. of Health And Human Service	24,914	0	0	0	0	0
	National Institute of Justice	7,300	0	0	0	0	0
	Drug Control & System Imrpr Gt	706	0	0	0	0	0
	Unemployment Insurance	4,436	0	0	0	0	0
	Employ & Trng-Dislocated Workers	85,489	0	0	0	0	0
	Mine Health & Safety Grants	19,758	0	0	0	0	0
	State and Community Highway Safety	64,876	0	0	0	0	0
	Nsf Support	216,760	0	0	0	0	0
	Mathematical and Physical Science	100,314	0	0	0	0	0
	Small Business Development	97,463	0	0	0	0	0
	Department of Veterans' Affairs	17,148	0	0	0	0	0
	EPA Training	414	0	0	0	0	0
	Educ Exchange-Univ Lecturers	33,478	0	0	0	0	0
	Dept. of Education	4,994	0	0	0	0	0
	Educ Deprived Child-State Admin	15,642	0	0	0	0	0
	Spec Educ Personnel Development	551,256	0	0	0	0	0
	Student Support Services	189,212	0	0	0	0	0
	Talent Search	248,075	0	0	0	0	0
	Upward Bound	235,056	0	0	0	0	0
	Law School Clinical Experience	232,488	0	0	0	0	0
	Improv of Post-secondary Educ	174,525	0	0	0	0	0
	Agri Needs Grad Fellowship Gts	22,404	0	0	0	0	0
	Business & Internat'l Education	52,280	0	0	0	0	0

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Sec Ed & Serv/Youth W/Disability	74,460	0	0	0	0	0
	Eisenhower Math & Sci Educ-St Gt	49,897	0	0	0	0	0
	Bilingual Education Train Grants	283,951	0	0	0	0	0
	Ctrs for International Business	47,014	0	0	0	0	0
	Technology Related Assistance	68,179	0	0	0	0	0
	Dwight Eisenhower Leader Devel	41,564	0	0	0	0	0
	Alcohol&Drug Abuse Clinical/Srv	93,353	0	0	0	0	0
	CDC-Investigations & Tech Assist	20,360	0	0	0	0	0
	Professional Nurse Traineeships	60,509	0	0	0	0	0
	Child Welfare Research/Demonstrn	77,705	0	0	0	0	0
	Development Disabil-Support/Advo	3,178	0	0	0	0	0
	Develop Disabilities Univ. Aff	285,776	0	0	0	0	0
	Rsrc & Manpower Dev Env Hlth	57,753	0	0	0	0	0
	Other Federal Assistance	16,073	0	0	0	0	0
	Federal Contributions	407,790	0	0	0	0	0
	Total - Federal Contributions	**4,068,064**	**0**	**0**	**0**	**0**	**0**
	Additional Funds Available						
	Tuition Fund	31,537,301	0	0	0	0	0
	Research Foundation	566,953	0	0	0	0	0
	Total - Additional Funds Available	**32,104,254**	**0**	**0**	**0**	**0**	**0**
	Total - All Funds	**116,693,032**	**0**	**0**	**0**	**0**	**0**
	Research						
	Permanent Full-Time Positions GF/OF	145/261	0/0	0/0	0/0	0/0	0/0
	General Fund						
020	Operating Expenses	8,712,854	0	0	0	0	0
	Federal Contributions						
	Federal Contributions	116,796	0	0	0	0	0
	Agricultural Research	81,018	0	0	0	0	0
	Gts for Agricultural Research	160,199	0	0	0	0	0
	Cooperative Forestry Research	63,235	0	0	0	0	0
	Agricultural Experiment-Hatch	803,033	0	0	0	0	0
	Agric-Competitive Research Gts	463,817	0	0	0	0	0
	Animal Health & Disease Research	21,579	0	0	0	0	0
	Higher Education Challenge Grant	1,072	0	0	0	0	0
	Technical Assistance to Coops	7,675	0	0	0	0	0
	Food Stamps	135,808	0	0	0	0	0
	Forestry Research	30,464	0	0	0	0	0
	Geodetic Surveys & Services	5,621	0	0	0	0	0
	National Undersea Research Ctr	1,868,014	0	0	0	0	0
	Calibration Program	31,439	0	0	0	0	0
	National Center for Standards &	977,103	0	0	0	0	0
	Dept. of Defense	1,064,903	0	0	0	0	0
	Protection of Essential Highways	1,971	0	0	0	0	0
	Basic & Applied Scientific Resea	699,691	0	0	0	0	0
	Military Construction	6,803	0	0	0	0	0
	Basic Scientific Research	3,300	0	0	0	0	0
	Air Force Defense Research Science	196,188	0	0	0	0	0
	Asst to St. Water Resources Inst	76,033	0	0	0	0	0
	Geological Survey-Research/Data	26,095	0	0	0	0	0
	Historic Preserv-Grants-in-Aid	7,503	0	0	0	0	0
	Criminal Justice Block Grant	5,451	0	0	0	0	0
	Employ & Trng-Dislocated Workers	7,084	0	0	0	0	0
	Federal Highway Administration	4,712	0	0	0	0	0
	Highway Use Tax Evasion Tax	378,431	0	0	0	0	0
	State and Community Highway Safety	83,514	0	0	0	0	0
	University Transportation Ctrs	22,959	0	0	0	0	0
	National Aeronautics & Space Adm	51,402	0	0	0	0	0
	Promotion of the Humanities-Scho	34,184	0	0	0	0	0
	Higher Education in Humanities	12,324	0	0	0	0	0
	National Foundation for Arts & H	17,920	0	0	0	0	0
	Nsf Support	4,885,471	0	0	0	0	0
	Biological Sciences	21,918	0	0	0	0	0
	EPA Training	124,866	0	0	0	0	0
	National Estuary Program	2,187	0	0	0	0	0
	Nonpoint Source Implementation	69,253	0	0	0	0	0
	Wetlands Protection - State Dev	2,220	0	0	0	0	0
	Nat'L Pollutant Discharge Elimin	355	0	0	0	0	0
	Solid Waste Disposal Research	165,853	0	0	0	0	0
	Underground Storage Tank Tr Fd	257,765	0	0	0	0	0
	Pollution Prev Incentives-States	10,517	0	0	0	0	0
	Department of Energy	572,771	0	0	0	0	0
	Priorities & Allocations- Energy	33,098	0	0	0	0	0
	Basic Energy Sciences-Univ & Sci	76,604	0	0	0	0	0
	Fossil Energy Research & Devel	73,535	0	0	0	0	0
	Dept. of Education	151,344	0	0	0	0	0

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Trans. to Supported Employ. & Day Support	5,418	0	0	0	0	0
	Eisenhower Math & Sci Educ-St Gt	4,348	0	0	0	0	0
	Javits Gifted & Talented Student	894,582	0	0	0	0	0
	Secretary's Fd-Innovations in Ed	15	0	0	0	0	0
	Miscellaneous Programs	655,233	0	0	0	0	0
	Bio Response Environ Hlth Hazard	82,924	0	0	0	0	0
	Emergency Medical Serv- Children	18,870	0	0	0	0	0
	Toxic Subst & Disease Registry	9,864	0	0	0	0	0
	Human Genome Research	140,303	0	0	0	0	0
	Bio Models & Materials Research	76,677	0	0	0	0	0
	Mental Health Research Grants	601,718	0	0	0	0	0
	Occup Safety-Hlth Research Grant	36,267	0	0	0	0	0
	Alcohol Research Programs	748	0	0	0	0	0
	Drug Abuse Research Programs	758,908	0	0	0	0	0
	CDC-Investigations & Tech Assist	42,958	0	0	0	0	0
	Biomedical Research Support	22,370	0	0	0	0	0
	Social Services Block Grant	21,397	0	0	0	0	0
	Biophysics and Physiological	21,939	0	0	0	0	0
	Heart and Vascular Diseases	65,549	0	0	0	0	0
	Diabetes/Endocrin/Metabolism	73,337	0	0	0	0	0
	Biological Basis Research	319,066	0	0	0	0	0
	Microbiology / Disease Research	39,905	0	0	0	0	0
	Pharmacological Sciences	118,583	0	0	0	0	0
	Health & Human Svcs-Public Hlth	245,159	0	0	0	0	0
	Population Research	81,446	0	0	0	0	0
	Research for Mothers & Children	186,499	0	0	0	0	0
	Retinal and Choroidal Research	23,187	0	0	0	0	0
	NCRR Science Education Award	149,626	0	0	0	0	0
	HIV Prevention Activities-	3,508	0	0	0	0	0
	Programs - Reduce Diabetes	4,016	0	0	0	0	0
	Federal Contributions	47,323	0	0	0	0	0
	Total - Federal Contributions	**18,666,841**	**0**	**0**	**0**	**0**	**0**
	Additional Funds Available						
	Tuition Fund	3,846,371	0	0	0	0	0
	Research Foundation	22,051,986	0	0	0	0	0
	Total - Additional Funds Available	**25,898,357**	**0**	**0**	**0**	**0**	**0**
	Total - All Funds	**53,278,052**	**0**	**0**	**0**	**0**	**0**
	Public Service						
	Permanent Full-Time Positions GF/OF	77/165	0/0	0/0	0/0	0/0	0/0
	General Fund						
020	Operating Expenses	3,485,142	0	0	0	0	0
	Federal Contributions						
	Federal Contributions	14,227	0	0	0	0	0
	Plant & Animal Disease/Pest Cntl	37,734	0	0	0	0	0
	Gts for Agricultural Research	6,886	0	0	0	0	0
	Cooperative Extension Service	12,413	0	0	0	0	0
	Forestry Research	26,000	0	0	0	0	0
	Smith-Lever Fund	2,816,546	0	0	0	0	0
	National Undersea Research Ctr	959	0	0	0	0	0
	Dept. of Defense	831	0	0	0	0	0
	Historic Preserv-Grants-in-Aid	5,046	0	0	0	0	0
	Unemployment Insurance	327	0	0	0	0	0
	Job Training Partnership	18,204	0	0	0	0	0
	Fed Transit Managerial Trng Gts	10,470	0	0	0	0	0
	Human Resource Programs	15,334	0	0	0	0	0
	State and Community Highway Safety	37,235	0	0	0	0	0
	Nsf Support	140,384	0	0	0	0	0
	Bus Develop Asst-Small Business	33,036	0	0	0	0	0
	Small Business Development Ctr	980,204	0	0	0	0	0
	Epa Training	752	0	0	0	0	0
	Nonpoint Source Implementation	54,831	0	0	0	0	0
	Nat'L Pollutant Discharge Elimin	135	0	0	0	0	0
	Department of Energy	273,250	0	0	0	0	0
	Miscellaneous Programs	467	0	0	0	0	0
	Housing Ombudsman	1,878,992	0	0	0	0	0
	Job Ops and Basic Skills Train	337,200	0	0	0	0	0
	Child Care Development Block Grant	406,369	0	0	0	0	0
	Ct Department of Public Health	27,438	0	0	0	0	0
	Federal Contributions	371,455	0	0	0	0	0
	Total - Federal Contributions	**7,506,725**	**0**	**0**	**0**	**0**	**0**
	Additional Funds Available						
	Tuition Fund	6,564,440	0	0	0	0	0
	Research Foundation	60,650	0	0	0	0	0
	Total - Additional Funds Available	**6,625,090**	**0**	**0**	**0**	**0**	**0**
	Total - All Funds	**17,616,957**	**0**	**0**	**0**	**0**	**0**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Academic Support						
	Permanent Full-Time Positions GF/OF	254/116	0/0	0/0	0/0	0/0	0/0
	General Fund						
020	Operating Expenses	13,048,371	0	0	0	0	0
	Additional Funds Available						
	Tuition Fund	17,849,301	0	0	0	0	0
	Total - All Funds	**30,897,672**	**0**	**0**	**0**	**0**	**0**
	Library						
	Permanent Full-Time Positions GF/OF	116/19	0/0	0/0	0/0	0/0	0/0
	General Fund						
020	Operating Expenses	5,492,583	0	0	0	0	0
	Additional Funds Available						
	Tuition Fund	5,613,077	0	0	0	0	0
	Total - All Funds	**11,105,660**	**0**	**0**	**0**	**0**	**0**
	Student Service						
	Permanent Full-Time Positions GF/OF	59/587	0/0	0/0	0/0	0/0	0/0
	General Fund						
020	Operating Expenses	2,286,253	0	0	0	0	0
	Federal Contributions						
	Higher Education-Institution Aid	1,518	0	0	0	0	0
	Pell Grant Program	10,730	0	0	0	0	0
	Total - Federal Contributions	**12,248**	**0**	**0**	**0**	**0**	**0**
	Additional Funds Available						
	Tuition Fund	60,490,147	0	0	0	0	0
	Total - All Funds	**62,788,648**	**0**	**0**	**0**	**0**	**0**
	Institutional Support						
	Permanent Full-Time Positions GF/OF	373/131	0/0	0/0	0/0	0/0	0/0
	General Fund						
020	Operating Expenses	15,697,078	0	0	0	0	0
	Additional Funds Available						
	Tuition Fund	23,938,265	0	0	0	0	0
	Research Foundation	867,194	0	0	0	0	0
	Total - Additional Funds Available	**24,805,459**	**0**	**0**	**0**	**0**	**0**
	Total - All Funds	**40,502,537**	**0**	**0**	**0**	**0**	**0**
	Physical Plant						
	Permanent Full-Time Positions GF/OF	324/45	0/0	0/0	0/0	0/0	0/0
	General Fund						
020	Operating Expenses	10,162,673	0	0	0	0	0
	Additional Funds Available						
	Tuition Fund	16,643,392	0	0	0	0	0
	Research Foundation	16	0	0	0	0	0
	Total - Additional Funds Available	**16,643,408**	**0**	**0**	**0**	**0**	**0**
	Total - All Funds	**26,806,081**	**0**	**0**	**0**	**0**	**0**
	Scholarships and Fellowships						
	Permanent Full-Time Positions /OF	/2	/0	/0	/0	/0	/0
	Federal Contributions						
	Nsf Support	42,208	0	0	0	0	0
	Department of Energy	1,095	0	0	0	0	0
	Fossil Energy Research & Devel	585	0	0	0	0	0
	Supplemental Educ Opport Gts	620,479	0	0	0	0	0
	College Work-Study Program	1,139,094	0	0	0	0	0
	Pell Grant Program	3,434,121	0	0	0	0	0
	CDC-Investigations & Tech Assist	1,027	0	0	0	0	0
	Social Services Block Grant	2,663	0	0	0	0	0
	Total - Federal Contributions	**5,241,272**	**0**	**0**	**0**	**0**	**0**
	Additional Funds Available						
	Tuition Fund	19,028,002	0	0	0	0	0
	Research Foundation	757,256	0	0	0	0	0
	Total - Additional Funds Available	**19,785,258**	**0**	**0**	**0**	**0**	**0**
	Total - All Funds	**25,026,530**	**0**	**0**	**0**	**0**	**0**
	University of Connecticut Block Grant						
	Permanent Full-Time Positions GF/OF	0/0	2,559/1,526	2,349/1,626	2,349/1,627	2,553/1,626	2,553/1,627
	General Fund						
020	Operating Expenses	0	144,809,616	135,044,906	136,797,143	147,137,354	153,852,425
	Federal Contributions						
	Federal Contributions	0	124,080	124,080	123,928	124,080	123,928
	Agricultural Research	0	74,799	74,799	75,190	74,799	75,190
	Plant & Animal Disease/Pest Cntl	0	43,099	43,099	41,202	43,099	41,202
	Gts for Agricultural Research	0	155,596	155,596	156,067	155,596	156,067
	Cooperative Forestry Research	0	71,156	71,156	79,153	71,156	79,153
	Agricultural Experiment-Hatch	0	714,244	714,244	673,707	714,244	673,707

	Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
Agric-Competitive Research Gts	0	428,212	428,212	430,454	428,212	430,454
Animal Health & Disease Research	0	17,394	17,394	15,616	17,394	15,616
Higher Education Challenge Grant	0	990	990	995	990	995
Technical Assistance to Coops	0	7,086	7,086	7,123	7,086	7,123
Cooperative Extension Service	0	25,108	25,108	25,108	25,108	25,108
Food Stamps	0	125,382	125,382	126,039	125,382	126,039
Nutrition Education and Training Program	0	58,996	58,996	56,399	58,996	56,399
Commodity Supplemental Food Prog	0	14,689	14,689	14,042	14,689	14,042
Forestry Research	0	57,821	57,821	56,662	57,821	56,662
Smith-Lever Fund	0	2,775,443	2,775,443	3,111,827	2,775,443	3,111,827
Geodetic Surveys & Services	0	5,189	5,189	5,217	5,189	5,217
National Undersea Research Ctr	0	1,725,498	1,725,498	1,734,532	1,725,498	1,734,532
Calibration Program	0	29,025	29,025	29,177	29,025	29,177
National Center for Standards &	0	902,094	902,094	906,817	902,094	906,817
Dept. of Defense	0	1,003,522	1,003,522	1,007,772	1,003,522	1,007,772
Protection of Essential Highways	0	1,820	1,820	1,829	1,820	1,829
Basic & Applied Scientific Resea	0	645,978	645,978	649,360	645,978	649,360
Military Construction	0	6,281	6,281	6,314	6,281	6,314
Basic Scientific Research	0	3,047	3,047	3,063	3,047	3,063
Air Force Defense Research Science	0	181,127	181,127	182,076	181,127	182,076
Dept. of Health And Human Service	0	28,456	28,456	27,203	28,456	27,203
Asst to St. Water Resources Inst	0	70,196	70,196	70,564	70,196	70,564
Geological Survey-Research/Data	0	24,092	24,092	24,218	24,092	24,218
Historic Preserv-Grants-in-Aid	0	12,691	12,691	12,473	12,691	12,473
National Institute of Justice	0	8,338	8,338	7,971	8,338	7,971
Criminal Justice Block Grant	0	5,033	5,033	5,059	5,033	5,059
Drug Control & System Imrpr Gt	0	806	806	771	806	771
Unemployment Insurance	0	5,439	5,439	5,200	5,439	5,200
Employ & Trng-Dislocated Workers	0	104,183	104,183	99,920	104,183	99,920
Job Training Partnership	0	20,792	20,792	19,877	20,792	19,877
Mine Health & Safety Grants	0	22,567	22,567	21,574	22,567	21,574
Federal Highway Administration	0	4,350	4,350	4,373	4,350	4,373
Highway Use Tax Evasion Tax	0	349,380	349,380	351,209	349,380	351,209
Fed Transit Managerial Trng Gts	0	11,958	11,958	11,432	11,958	11,432
Human Resource Programs	0	17,514	17,514	16,743	17,514	16,743
State and Community Highway Safety	0	193,732	193,732	189,003	193,732	189,003
University Transportation Ctrs	0	21,197	21,197	21,308	21,197	21,308
National Aeronautics & Space Adm	0	47,456	47,456	47,704	47,456	47,704
Promotion of the Humanities-Scho	0	31,560	31,560	31,725	31,560	31,725
Higher Education in Humanities	0	11,378	11,378	11,437	11,378	11,437
National Foundation for Arts & H	0	16,544	16,544	16,631	16,544	16,631
Nsf Support	0	4,962,941	4,962,941	4,968,603	4,962,941	4,968,603
Mathematical and Physical Science	0	114,577	114,577	109,534	114,577	109,534
Biological Sciences	0	20,236	20,236	20,342	20,236	20,342
Small Business Development	0	111,320	111,320	106,421	111,320	106,421
Bus Develop Asst-Small Business	0	37,734	37,734	36,073	37,734	36,073
Small Business Development Ctr	0	1,119,568	1,119,568	1,070,293	1,119,568	1,070,293
Department of Veterans Affairs	0	19,586	19,586	18,724	19,586	18,724
EPA Training	0	116,447	116,447	117,034	116,447	117,034
National Estuary Program	0	2,019	2,019	2,030	2,019	2,030
Nonpoint Source Implementation	0	126,563	126,563	124,141	126,563	124,141
Wetlands Protection - State Dev	0	2,049	2,049	2,060	2,049	2,060
Nat'L Pollutant Discharge Elimin	0	482	482	478	482	478
Solid Waste Disposal Research	0	153,121	153,121	153,923	153,121	153,923
Underground Storage Tank Tr Fd	0	237,978	237,978	239,223	237,978	239,223
Pollution Prev Incentives-States	0	9,709	9,709	9,760	9,709	9,760
Department of Energy	0	842,059	842,059	831,091	842,059	831,091
Priorities & Allocations- Energy	0	30,557	30,557	30,717	30,557	30,717
Basic Energy Sciences-Univ & Sci	0	70,723	70,723	71,093	70,723	71,093
Fossil Energy Research & Devel	0	68,508	68,508	68,863	68,508	68,863
Educ Exchange-Univ Lecturers	0	38,237	38,237	36,555	38,237	36,555
Dept. of Education	0	145,430	145,430	145,910	145,430	145,910
Supplemental Educ Opport Gts	0	626,409	626,409	643,818	626,409	643,818
Educ Deprived Child-State Admin	0	17,867	17,867	17,080	17,867	17,080
Trans. to Supported Employ. & Day Support	0	5,002	5,002	5,028	5,002	5,028
Spec Educ Personnel Development	0	629,633	629,633	601,921	629,633	601,921
Higher Education-Institution Aid	0	1,734	1,734	1,657	1,734	1,657
College Work-Study Program	0	1,114,229	1,114,229	1,089,612	1,114,229	1,089,612
Student Support Services	0	216,114	216,114	206,602	216,114	206,602
Talent Search	0	283,346	283,346	270,875	283,346	270,875
Upward Bound	0	268,476	268,476	256,660	268,476	256,660
Pell Grant Program	0	3,271,617	3,271,617	3,278,286	3,271,617	3,278,286
Law School Clinical Experience	0	265,543	265,543	253,855	265,543	253,855
Improv of Post-secondary Educ	0	199,339	199,339	190,566	199,339	190,566

	Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
Agri Needs Grad Fellowship Gts	0	22,500	22,500	22,500	22,500	22,500
Business & Internat'l Education	0	59,713	59,713	57,085	59,713	57,085
Sec Ed & Serv/Youth W/Disability	0	85,047	85,047	81,304	85,047	81,304
Eisenhower Math & Sci Educ-St Gt	0	65,214	65,214	62,967	65,214	62,967
Bilingual Education Train Grants	0	324,323	324,323	310,048	324,323	310,048
Javits Gifted & Talented Student	0	825,908	825,908	830,232	825,908	830,232
Secretary's Fd-Innovations in Ed	0	14	14	14	14	14
Ctrs for International Business	0	53,698	53,698	51,335	53,698	51,335
Technology Related Assistance	0	77,873	77,873	74,446	77,873	74,446
Dwight Eisenhower Leader Devel	0	47,473	47,473	45,384	47,473	45,384
Miscellaneous Programs	0	605,364	605,364	608,534	605,364	608,534
Housing Ombudsman	0	2,146,146	2,146,146	2,051,689	2,146,146	2,051,689
Bio Response Environ Hlth Hazard	0	76,558	76,558	76,959	76,558	76,959
Emergency Medical Serv- Children	0	17,422	17,422	17,513	17,422	17,513
Toxic Subst & Disease Registry	0	9,107	9,107	9,155	9,107	9,155
Human Genome Research	0	129,532	129,532	130,210	129,532	130,210
Bio Models & Materials Research	0	70,791	70,791	71,162	70,791	71,162
Mental Health Research Grants	0	555,526	555,526	558,435	555,526	558,435
Occup Safety-Hlth Research Grant	0	33,483	33,483	33,659	33,483	33,659
Alcohol Research Programs	0	691	691	694	691	694
Alcohol&Drug Abuse Clinical/Srv	0	106,625	106,625	101,932	106,625	101,932
Drug Abuse Research Programs	0	700,649	700,649	704,318	700,649	704,318
CDC-Investigations & Tech Assist	0	63,999	63,999	63,183	63,999	63,183
Biomedical Research Support	0	20,653	20,653	20,761	20,653	20,761
Professional Nurse Traineeships	0	69,112	69,112	66,070	69,112	66,070
Job Ops and Basic Skills Train	0	682,086	682,086	682,086	682,086	682,086
Child Care Development Block Grant	0	821,999	821,999	821,999	821,999	821,999
Child Welfare Research/Demonstrn	0	88,753	88,753	84,847	88,753	84,847
Development Disabil-Support/Advo	0	3,630	3,630	3,470	3,630	3,470
Develop Disabilities Univ. Aff	0	326,408	326,408	312,042	326,408	312,042
Social Services Block Grant	0	22,567	22,567	22,671	22,567	22,671
Biophysics and Physiological	0	20,255	20,255	20,361	20,255	20,361
Heart and Vascular Diseases	0	60,517	60,517	60,833	60,517	60,833
Diabetes/Endocrin/Metabolism	0	67,707	67,707	68,061	67,707	68,061
Biological Basis Research	0	294,572	294,572	296,114	294,572	296,114
Microbiology / Disease Research	0	36,841	36,841	37,034	36,841	37,034
Pharmacological Sciences	0	109,480	109,480	110,053	109,480	110,053
Health & Human Svcs-Public Hlth	0	226,339	226,339	227,524	226,339	227,524
Population Research	0	75,193	75,193	75,587	75,193	75,587
Research for Mothers & Children	0	172,182	172,182	173,084	172,182	173,084
Retinal and Choroidal Research	0	21,407	21,407	21,519	21,407	21,519
Rsrc & Manpower Dev Env Hlth	0	65,964	65,964	63,061	65,964	63,061
NCRR Science Education Award	0	138,139	138,139	138,863	138,139	138,863
HIV Prevention Activities-	0	3,238	3,238	3,255	3,238	3,255
Ct Department of Public Health	0	31,339	31,339	29,960	31,339	29,960
Programs - Reduce Diabetes	0	3,707	3,707	3,727	3,707	3,727
Other Federal Assistance	0	18,359	18,359	17,550	18,359	17,550
Federal Contributions	0	991,061	991,061	955,390	991,061	955,390
Total - Federal Contributions	**0**	**35,783,655**	**35,783,655**	**35,773,572**	**35,783,655**	**35,773,572**
Additional Funds Available						
Carry Forward - FY 97 Surplus Appropriations	0	0	0	0	9,112,794	0
Tuition Fund	0	197,066,725	197,066,725	203,257,772	197,066,725	203,257,772
Research Foundation	0	22,532,849	22,532,849	22,646,897	22,532,849	22,646,897
Total - Additional Funds Available	**0**	**219,599,574**	**219,599,574**	**225,904,669**	**228,712,368**	**225,904,669**
Total - All Funds	**0**	**400,192,845**	**390,428,135**	**398,475,384**	**411,633,377**	**415,530,666**
Agency Grand Total	**384,715,169**	**400,192,845**	**390,428,135**	**398,475,384**	**411,633,377**	**415,530,666**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**2,559**	**154,601,298**	**2,559**	**154,601,298**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Operating Expenses	0	2,081,699	0	3,144,065	0	0	0	0
Total - General Fund	**0**	**2,081,699**	**0**	**3,144,065**	**0**	**0**	**0**	**0**

Carry-Forward of Appropriations from SFY 1997 Surplus - (B)
-(L) Funds totaling $9,112,794 are provided from SFY 1996-97 surplus fund, for the Endowment Matching Grant. These funds are provided in PA 97-11, JSS, "An Act Concerning Computerized Information Sharing, the Mashantucket and

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Mohegan Fund, Early Retirement, School Construction, State Buildings, Nursing Home Loans, Executive and Legislative Councils and Commissions, and Appropriations for the Fiscal Years Ending June 30, 1997, 1998 and 1999".								
Carry Forward - FY 97 Surplus Appropriations	0	9,112,794	0	0	0	9,112,794	0	0
Total - Carry Forward - FY 97 Surplus Appropriations	**0**	**9,112,794**	**0**	**0**	**0**	**9,112,794**	**0**	**0**
Provide for New Facilities - (B) The University of Connecticut is a land grant university located in Storrs with branches in Avery Point, Hartford, Waterbury, Stamford and Torrington. -(G) Funds totaling $264,725 and $954,596 are provided for 25 positions related to new facilities openings. -(L)Same as Governor								
Operating Expenses	25	264,725	25	954,596	0	0	0	0
Total - General Fund	**25**	**264,725**	**25**	**954,596**	**0**	**0**	**0**	**0**
Reflect Early Payment of UConn 2000 Match - (B) Under state statute funds are to be paid to the University to match certain private donations. -(G) Funds totaling $8,446,000 are removed to reflect payment of the UConn 2000 match from the state surplus in SFY 1996-97 for SFY 1997-98 and the bonding of the SFY 1998-99 match. -(L) Funds totaling $8,446,000 are removed to reflect payment of the UConn 2000 match from state surplus in SFY 1996-97 for SFY 1997-98, see footnote [2]. Additionally, funds are reduced by $3,446,000 to reflect the provision of $5,000,000 for the SFY 1998-99 match.								
Operating Expenses	0	-8,446,000	0	-3,446,000	0	0	0	5,000,000
Total - General Fund	**0**	**-8,446,000**	**0**	**-3,446,000**	**0**	**0**	**0**	**5,000,000**
Reduce Block Grant - (B) The University of Connecticut offers to qualified students, both breadth (liberal arts through the professions) and depth (bachelor's through doctoral degree) of instructional programs. The University conducts research which contributes to knowledge and research which helps solve problems for Connecticut's business, industry, agriculture and governmental agencies. The University also provides to citizens and groups throughout the state a broad range of services through conferences, training sessions, specialized materials, technical assistance and continuing education opportunities. -(G) It is proposed that current services be reduced to 10% of FY 97 appropriation. -(L) The University of Connecticut's block grant is reduced by $1,798,618 below current services in SFY 1997-98 and by $1,818,034 in SFY 1998-99 within this reduction the University is to provide current services to the State Museum of Natural History. Additionally $150,000 in each year of the biennium is provided for the Teenage Minority Entrepreneurial Program operated by the Connecticut Small Business Development Center, and $284,250 in SFY 1997-98 and $276,500 in SFY 1998-99 is provided for the Connecticut Urban Legal Initiative.								
Operating Expenses	-31	-1,364,368	-31	-1,401,534	204	12,092,448	204	12,055,282
Total - General Fund	**-31**	**-1,364,368**	**-31**	**-1,401,534**	**204**	**12,092,448**	**204**	**12,055,282**
Budget Totals - GF	**2,553**	**147,137,354**	**2,553**	**153,852,425**	**204**	**12,092,448**	**204**	**17,055,282**
Budget Totals - OF	**0**	**9,112,794**	**0**	**0**	**0**	**9,112,794**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Average scores on national academic tests: (self reported)								
GRE	155	155	155	155	155	155	155	155
Student ratings of instruction - overall	8.4	8.4	8.4	8.4	8.75	8.75	8.75	8.75
Results on a 1-10 range for fall	8.4	8.4	8.4	8.4	8.75	8.75	8.75	8.75
Semester, 100s,200s, 300s level courses	8.4	8.4	8.4	8.4	8.75	8.75	8.75	8.75
Percent of alumni who would recommend UConn to others (recent graduate survey; 40% return rate) (%)	85.8	85.8	85.8	85.8	86	86	86	86
Percent of freshmen graduating within six years (%)	70	70	70	70	70	72.5	70	72.5
Graduation rate for graduate students(%)	95	95	95	95	85	85	85	85
Graduation rate Masters and Doctoral (%)	70	70	70	70	70	70	70	70
Percent of alumni employed or enrolled in graduate school (%)	93	93	93	93	93	93	93	93
Within one year of receiving degree(%)	93	93	93	93	93	93	93	93
Creative productivity (Publication equivalent per faculty)	3.45	3.45	3.45	3.45	3.5	3.6	3.5	3.6
Scholarly reputation (activities/roles per faculty member)	6.8	6.8	6.8	6.8	6.9	7	6.9	7
Average amount of external funding generated per full-time faculty member ($)	61,000	61,000	61,000	61,000	61,610	62,225	61,610	62,225
Professional consultation activity (events per faculty members)	1.1	1.1	1.1	1.1	1.1	1.1	1.1	1.1
(Continuing Education and Cooperative Extension Service)								
General Fund (Block Grant) ($000)	139,406	144,810	148,237	149,299	135,045	136,797	135,045	136,797
Student Tuition & Fee Revenue ($000)	100,192	104,273	109,532	115,001	109,532	115,001	109,532	115,001
External Source (grants & gifts) Revenue ($000)	59,553	58,074	58,602	58,593	59,188	59,780	59,188	59,780
Total Unit Revenues ($000)	370,773	388,836	406,335	429,120	393,729	417,805	393,729	417,805
Total Unit Expenditures ($000)	384,715	396,476	402,366	410,484	393,729	410,484	393,729	410,484
Percent General Fund Support (includes fringe support in %)	37.6	37.2	36.5	34.8	46	44.7	46	44.7
Percent General Fund Support BGHE Tuition Policy (%)	66	66	63.9	63.9	63.9	63.9	63.9	63.9
Percent Student Tuition & Fee Support BGHE Tuition Policy (%)	34	34	36.1	36.1	36.1	36.1	36.1	36.1
Fringe Expenditures - Comptroller ($000)	47,398	40,815	45,953	46,283	45,915	46,510	45,915	46,510
Fringe Expenditures - Unit Support ($000)	19,311	19,464	19,456	20,182	19456	20,182	19456	20,182
Tuition Costs per Year (In-state Undergraduate) ($)	3,900	4,036	4,158	4,284	4158	4,284	4158	4,284
Percentage increase over prior year (%)	2	3.5	3	3	3	3	3	3
Tuition Costs per Year (Out-of-state Undergraduate) ($)	11,890	12,306	12,676	13,056	12,676	13,056	12,676	13,056
Percentage increase over prior year (%)	1	3.5	3	3	3	3	3	3
Total Tuition & Fees per Year (In-state Undergraduate) ($)	9,934	10,276	10,704	11,072	10704	11,072	10704	11,072
Percentage increase over prior year (%)	1.5	3.4	4.2	3.4	4.2	3.4	4.2	3.4
Physical Plant number of campuses (sites)	10	10	10	10	10	10	10	10
Physical Plant number of buildings	513	514	518	532	518	532	518	532
Physical Plant gross square footage	7,684,506	7,698,652	7,933,652	8,270,652	7,933,652	8,270,652	7,933,652	8,270,652

1997 BOND AUTHORIZATIONS

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Bond Authorizations			
PA 97-5	1	-	Renovations and improvements to Babbidge Library and adjoining plaza deck	29,650,000	9,400,000	-
PA 95-230	7(a)(1)	7(a)(1)	Capital infrastructure improvement program, UConn 2000	-	93,146,000	64,311,000
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	69	-	Access road and related improvements to proposed Connecticut Technology Park (SA 88-77 2(s)(3)(D))	900,000	(900,000)	-

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
SA 97-1 (J5 SS)	107	-	Alterations and improvements to buildings and grounds, including utilities, roads and code compliance projects and planning for alterations, renovations, improvements and an addition to the William Benton State Art Museum, Storrs (SA 90-34 2(n)(1)(A))	8,775,000	(250,000)	-
SA 97-1 (J5 SS)	108	-	Planning for alterations, renovations, improvements and an addition to the William Benton Museum (SA 90-34 2(n)(3)(G))	250,000	(115,750)	-
SA 97-1 (J5 SS)	125	-	Deferred maintenance, renovations and improvements to buildings and grounds, including energy conservation and code compliance (SA 91-7 JSS 13(a)(1)(A))	2,400,000	(2,400,000)	-
SA 97-1 (J5 SS)	134	-	Planning for alterations and improvements to plaza deck including water proofing at the Gant Complex (SA 92-3 MSS 2(l)(2)(D))	303,000	(58,000)	-
SA 97-1 (J5 SS)	161	-	Renovations and improvements to the heating & power plant, including replacement of boilers (SA 93-2 JSS 30(j)(2)(D))	1,000,000	(800,000)	-
SA 97-1 (J5 SS)	170	-	Renovations and additions to the Williams Health Services Building (SA 93-2 JSS 41(a)(1))	3,096,000	(3,096,000)	-
SA 97-1 (J5 SS)	171	-	Deferred maintenance, renovations and improvements to facilities including energy conservation and code compliance (SA 93-2 JSS 41(a)(2))	2,125,000	(2,125,000)	-
SA 97-1 (J5 SS)	180	-	Access road and related improvements to Connecticut Technology Park (PA 94-2 MSS 17(c)(2)(B))	3,300,000	(3,300,000)	-

[1] It should be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $5,004,830 for FY '98.

[2] PA 97-11, JSS, "An Act Concerning Computerized Information Sharing, the Mashantucket and Mohegan Fund, Early Retirement, School Construction, State Buildings, Nursing Home Loans, Executive and Legislative Councils and Commissions, and Appropriations for the Fiscal Years Ending June 30, 1997, 1998 and 1999", provides $9.1 million to the University of Connecticut from the SFY 1996-97 surplus for use in SFY 1997-98. These funds are payments made through the University of Connecticut Endowment Match.

University of Connecticut Health Center
7302

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	838	838	708	729	781	814
	Others Equated to Full-Time	42	42	42	42	42	42
	Additional Funds Available						
	Permanent Full-Time	1,995	1,995	1,995	1,995	1,995	1,995
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
02X	Other Current Expenses	56,719,193	59,090,229	56,185,992	57,730,649	62,445,188	64,731,067
	Agency Total - General Fund[1]	**56,719,193**	**59,090,229**	**56,185,992**	**57,730,649**	**62,445,188**	**64,731,067**
	Agency Total - Appropriated Funds	**56,719,193**	**59,090,229**	**56,185,992**	**57,730,649**	**62,445,188**	**64,731,067**
	Additional Funds Available						
	Tuition Fund	105,106,557	113,801,387	117,527,313	121,854,047	117,527,313	121,854,047
	Research Foundation	50,364,677	40,584,429	42,207,806	43,896,118	42,207,806	43,896,118
	Clinical Programs Fund	138,546,413	136,677,084	141,264,168	146,034,734	141,264,168	146,034,734
	Federal Contributions	0	1,500,000	0	0	0	0
	Agency Grand Total	**350,736,840**	**351,653,129**	**357,185,279**	**369,515,548**	**363,444,475**	**376,515,966**
	BUDGET BY PROGRAM						
	School of Medicine						
	Permanent Full-Time Positions GF/OF	350/803	350/803	0/0	0/0	0/0	0/0
	General Fund						
020	Operating Expenses	29,200,529	30,124,225	0	0	0	0
021	Geriatric Training	400,000	0	0	0	0	0
	Total - General Fund	**29,600,529**	**30,124,225**	**0**	**0**	**0**	**0**
	Additional Funds Available						
	Tuition Fund	28,317,731	35,852,563	0	0	0	0
	Research Foundation	39,847,018	32,177,096	0	0	0	0
	Total - Additional Funds Available	**68,164,749**	**68,029,659**	**0**	**0**	**0**	**0**
	Total - All Funds	**97,765,278**	**98,153,884**	**0**	**0**	**0**	**0**
	School of Dental Medicine						
	Permanent Full-Time Positions GF/OF	148/101	148/101	0/0	0/0	0/0	0/0
	General Fund						
020	Operating Expenses	9,191,049	9,762,410	0	0	0	0
	Federal Contributions						
	Scholarships/Exc.Financial Need	0	1,500,000	0	0	0	0
	Additional Funds Available						
	Tuition Fund	3,091,338	3,102,966	0	0	0	0
	Research Foundation	4,854,411	4,155,062	0	0	0	0
	Clinical Programs Fund	3,797,280	4,671,644	0	0	0	0
	Total - Additional Funds Available	**11,743,029**	**11,929,672**	**0**	**0**	**0**	**0**
	Total - All Funds	**20,934,078**	**23,192,082**	**0**	**0**	**0**	**0**
	Institutional Support						
	Permanent Full-Time Positions GF/OF	340/189	340/189	0/0	0/0	0/0	0/0
	General Fund						
020	Operating Expenses	17,927,615	19,203,594	0	0	0	0
	Additional Funds Available						
	Tuition Fund	23,462,874	24,986,165	0	0	0	0
	Research Foundation	5,657,955	4,252,271	0	0	0	0
	Total - Additional Funds Available	**29,120,829**	**29,238,436**	**0**	**0**	**0**	**0**
	Total - All Funds	**47,048,444**	**48,442,030**	**0**	**0**	**0**	**0**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	UConn Health System						
	Permanent Full-Time Positions /OF	/902	/902	/0	/0	/0	/0
	Additional Funds Available						
	Tuition Fund	50,234,614	49,859,693	0	0	0	0
	Research Foundation	5,293	0	0	0	0	0
	Clinical Programs Fund	134,749,133	132,005,440	0	0	0	0
	Total - Additional Funds Available	**184,989,040**	**181,865,133**	**0**	**0**	**0**	**0**
	Total - All Funds	**184,989,040**	**181,865,133**	**0**	**0**	**0**	**0**
	University of Connecticut Health Center Block Grant						
	Permanent Full-Time Positions GF/OF	0/0	0/0	708/1,995	729/1,995	781/1,995	814/1,995
	General Fund						
020	Operating Expenses	0	0	56,185,992	57,730,649	62,445,188	64,731,067
	Additional Funds Available						
	Tuition Fund	0	0	117,527,313	121,854,047	117,527,313	121,854,047
	Research Foundation	0	0	42,207,806	43,896,118	42,207,806	43,896,118
	Clinical Programs Fund	0	0	141,264,168	146,034,734	141,264,168	146,034,734
	Total - Additional Funds Available	**0**	**0**	**300,999,287**	**311,784,899**	**300,999,287**	**311,784,899**
	Total - All Funds	**0**	**0**	**357,185,279**	**369,515,548**	**363,444,475**	**376,515,966**
	Agency Grand Total	**350,736,840**	**351,653,129**	**357,185,279**	**369,515,548**	**363,444,475**	**376,515,966**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**757**	**59,555,683**	**757**	**59,555,683**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Operating Expenses	33	3,250,195	66	5,794,852	0	0	0	0
Total - General Fund	**33**	**3,250,195**	**66**	**5,794,852**	**0**	**0**	**0**	**0**

Reduce Support of Strategic Initiatives and Block Grant - (B)
The University of Connecticut Health Center (located in Farmington) houses the University's School of Medicine, the School of Dental Medicine, the John N. Dempsey Hospital and related ambulatory clinical facilities, research laboratories, a health sciences library and administrative and other support services. The Health Center also is the administrative base for the Health Center-operated, community-based clinical sites.
-(G) A reduction in funding is proposed to reflect a 10% decrease from the FY '97 appropriation. There is an additional reduction in FY '99 of $1 million.
-(L) Current services are reduced to effect economies. In addition, it is proposed that (1) the UCONN Health Center provide $200,000 from existing resources for the establishment of a contract between the Travelers Center for the Aging located at the Health Center and the Hebrew Home of West Hartford for the purposes of geriatric training for healthcare professionals; (2) the Health Center maintain a separate account for members of the United Healthcare Professionals bargaining unit (UHP) who may be laid off during the biennium, but subsequently obtain employment in other state agencies; and (3) additional funds, in the amount of $150,000, be provided for an Area Health Education Center in Bridgeport.

The University of Connecticut School of Medicine, in conjunction with the UCONN Schools of Nursing, Dentistry, Allied Health and Pharmacy, and various community partners, have established the Area Health and Education Center program (AHEC) in order to address the health needs of both urban and rural underserved areas of Connecticut, as well as provide a means of training physicians in primary health care. The State's investment in this concept has helped to create an AHEC program office at the medical school and the first AHEC in Eastern Connecticut. It is anticipated

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
that federal funding will allow for the expansion of these efforts, such as that which is proposed in this budget.								
Operating Expenses	-9	-360,690	-9	-619,468	73	6,259,196	85	7,000,418
Total - General Fund	**-9**	**-360,690**	**-9**	**-619,468**	**73**	**6,259,196**	**85**	**7,000,418**
Budget Totals - GF	**781**	**62,445,188**	**814**	**64,731,067**	**73**	**6,259,196**	**85**	**7,000,418**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Undergraduate Enrollment	335	336	336	336	336	336	336	336
M.D. Degrees Granted	83	84	84	84	84	84	84	84
University Physicians Visits	152,000	142,000	142,000	142,000	142,000	142,000	142,000	142,000
Family Medicine Visits	17,904	18,254	18,254	18,254	18,254	18,254	18,254	18,254
Graduate Student Enrollment	114	114	114	114	114	114	114	114
Ph.D. Degrees Granted	12	11	11	11	11	11	11	11
Undergraduate Enrollment	163	174	174	174	174	174	174	174
DMD Degrees Granted	33	39	39	39	39	39	39	39
Postdoctoral Students	94	87	87	87	87	87	87	87
Program Graduates	37	21	21	21	21	21	21	21
Library Bound Volumes	178,000	179,000	179,000	179,000	179,000	179,000	179,000	179,000
Library Journal Subscriptions	2,175	2,175	2,175	2,175	2,175	2,175	2,175	2,175
Interlibrary Loans	14,300	14,300	14,300	14,300	14,300	14,300	14,300	14,300
Library Circulation	43,200	43,200	43,200	43,200	43,200	43,200	43,200	43,200
Facilities-service orders processed	29,800	31,000	31,000	31,000	31,000	31,000	31,000	31,000
Payroll checks produced	95,937	95,000	95,000	95,000	95,000	95,000	95,000	95,000
Payments to vendor	52,645	52,500	52,500	52,500	52,500	52,500	52,500	52,500
Commitment transactions	41,515	42,000	42,000	42,000	42,000	42,000	42,000	42,000
Police response to calls for assistance	53,000	57,000	57,000	57,000	57,000	57,000	57,000	57,000
Purchase orders processed	40,296	41,000	41,000	41,000	41,000	41,000	41,000	41,000
Dempsey Hospital In-Patient Days	56,310	53,006	53,006	53,006	53,006	53,006	53,006	53,006
Out-Patient Procedures	365,000	379,350	379,350	379,350	379,350	379,350	379,350	379,350
Other Dental Clinic Visits	49,224	51,500	51,500	51,500	51,500	51,500	51,500	51,500
Burgdorf Dental Clinic Visits	4,819	5,800	5,800	5,800	5,800	5,800	5,800	5,800
Poison Control Center Calls	55,861	56,000	56,000	56,000	56,000	56,000	56,000	56,000

1997 BOND AUTHORIZATIONS

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	2(k)(1)	-	Installation of backflow prevention devices	-	2,000,000	-
SA 97-1 (J5 SS)	2(k)(2)	21(j)(1)	New and replacement instruction, research & laboratory equipment	8,800,000	1,100,000	1,100,000
SA 97-1 (J5 SS)	2(k)(3)	21(j)(2)	Devel of additional areas for the library and related improvements	-	143,000	781,000
SA 97-1 (J5 SS)	2(k)(4)	21(j)(3)	Code improvements including fire, safety and handicapped code improvements	-	350,000	4,000,000
SA 97-1 (J5 SS)	2(k)(5)	21(j)(4)	Alterations and improvements to buildings and grounds including utilities and roads and code compliance projects	-	2,000,000	2,000,000

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	162	-	Modifications and improvements to create program in developmental and molecular genetics (SA 93-2 JSS 30(k)(1)(E)	5,400,000	(83,000)	-
SA 97-1 (J5 SS)	163	-	Integrated heating & cooling system (SA 93-2 JSS 30(k)(1)(H))	2,900,000	(500,275)	-
SA 97-1 (J5 SS)	206	-	Planning for alterations and improvements to multidiscipline labs (SA 95-20 22(m)(3))	238,700	(238,700)	-
SA 97-1 (J5 SS)	215	-	Alterations and improvements to multidiscipline laboratories (PA 96-181 2(c))	3,700,000	(1,761,300)	-
			1997 Language Changes			
SA 97-1 (J5 SS)	191	-	Alterations and improvements for [clinical and medical school] ACADEMIC AND RESEARCH programs (SA 95-20 2(m)(1))	3,575,000	-	-

[1] It should be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $567,830 for FY '98.

Charter Oak College
7401

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	16	16	0	0	16	16
	Additional Funds Available						
	Permanent Full-Time	7	7	0	0	7	7
	Others Equated to Full-Time	3	0	0	0	0	0
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
02X	Other Current Expenses	719,730	797,330	0	0	888,227	965,643
	Agency Total - General Fund	**719,730**	**797,330**	**0**	**0**	**888,227**	**965,643**
	Agency Total - Appropriated Funds	**719,730**	**797,330**	**0**	**0**	**888,227**	**965,643**
	Additional Funds Available						
	Special Funds, Non-Appropriated	586,916	720,200	0	0	720,200	720,200
	Agency Grand Total	**1,306,646**	**1,517,530**	**0**	**0**	**1,608,427**	**1,685,843**
	BUDGET BY PROGRAM						
	Charter Oak College						
	Permanent Full-Time Positions GF/OF	16/7	16/7	0/0	0/0	16/7	16/7
	General Fund						
020	Operating Expenses	719,730	797,330	0	0	888,227	965,643
	Additional Funds Available						
	Special Funds, Non-Appropriated	586,916	720,200	0	0	720,200	720,200
	Total - All Funds	**1,306,646**	**1,517,530**	**0**	**0**	**1,608,427**	**1,685,843**
	Agency Grand Total	**1,306,646**	**1,517,530**	**0**	**0**	**1,608,427**	**1,685,843**

BUDGET CHANGES

	Legislative 98		Legislative 99		Diff. from Gov. 97-98		Diff. from Gov. 98-99	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
FY97 Governor's Estimated Expenditure - GF	**16**	**807,330**	**16**	**807,330**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Operating Expenses	0	100,897	0	198,313	0	0	0	0
Total - General Fund	**0**	**100,897**	**0**	**198,313**	**0**	**0**	**0**	**0**

Merge Charter Oak with the Connecticut State University System (CSU) - (B)
Charter Oak State College provides a valid alternative to the traditional system of instruction-based collegiate programs for adults. The Board for State Academic Awards, through its faculty which are appointed from other Connecticut colleges and universities, provides the oversight for those pursuing degrees.
-(G) It is proposed that the services provided by Charter Oak be merged into the state college system.

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L) Charter Oak College is to continue operating at its current services level and as a separate entity to ensure visibility and a high level of service.								
Operating Expenses	0	-20,000	0	-40,000	15	745,727	15	757,703
Total - General Fund	**0**	**-20,000**	**0**	**-40,000**	**15**	**745,727**	**15**	**757,703**
Special Funds, Non-Appropriated	0	0	0	0	7	720,200	7	720,200
Total - Special Funds, Non-Appropriated	**0**	**0**	**0**	**0**	**7**	**720,200**	**7**	**720,200**
Reduce Block Grant - (B) Charter Oak State College provides a valid alternative to the traditional system of instruction-based collegiate programs for adults. The Board of State Academic Awards, through its faculty which are appointed from other Connecticut colleges and universities, provides the oversight for those pursuing degrees. -(G) It is proposed that within the Charter Oak merger with CSU, funding be reduced and a vice-president position be eliminated. -(L) Charter Oak College is to continue operating at a current services level and as a separate entity in order to ensure visibility and a high level of service.								
Operating Expenses	0	0	0	0	1	142,500	1	207,940
Total - General Fund	**0**	**0**	**0**	**0**	**1**	**142,500**	**1**	**207,940**
Budget Totals - GF	**16**	**888,227**	**16**	**965,643**	**16**	**888,227**	**16**	**965,643**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Six-year graduation rate of newly enrolled baccalaureate students (%)	57	57	57	58	58	58	58	58
Persistence Rate (%)	63	64	65	66	66	66	66	66
Percent of students continuing on to graduate school within one year of receiving degree (%)	35	35	36	36	36	36	36	36
Percent of graduates showing professional advancement within one year of earning a degree (%)	45	45	45	45	45	45	45	45
Percent of students passing licensure & certification exams related to their Charter Oak concentrations (%)	96	97	97	97	97	97	97	97
Percent favorable rating of program by students (%)	98	98	98	98	98	98	98	98
Percent of students continuing their education within one year of earning a degree (%)	43	44	44	44	44	44	44	44

[1] It should be noted that the agency budgets do not contain funding to pay the costs of settled collective bargaining contracts. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by the Office of Policy and Management. The amount estimated for this agency, as of June, 1996, is $77,298.

Teachers' Retirement Board
7601

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	30	30	30	30	30	30
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	1,108,693	1,260,762	1,292,000	1,382,000	1,292,000	1,382,000
002	Other Expenses	426,365	680,000	439,551	444,261	439,551	444,261
005	Equipment	1,600	1,600	6,900	3,200	6,900	3,200
6XX	Grant Payments - Other Than Towns	142,671,181	151,266,700	182,865,000	192,159,000	182,865,000	192,159,000
	Agency Total - General Fund[1][2]	**144,207,839**	**153,209,062**	**184,603,451**	**193,988,461**	**184,603,451**	**193,988,461**
	Agency Total - Appropriated Funds	**144,207,839**	**153,209,062**	**184,603,451**	**193,988,461**	**184,603,451**	**193,988,461**
	Agency Grand Total	**144,207,839**	**153,209,062**	**184,603,451**	**193,988,461**	**184,603,451**	**193,988,461**
	BUDGET BY PROGRAM						
	Management Services						
	Permanent Full-Time Positions GF	30	30	30	30	30	30
	General Fund						
	Personal Services	1,108,693	1,260,762	1,375,535	1,461,078	1,375,535	1,461,078
	Other Expenses	426,365	680,000	439,551	444,261	439,551	444,261
	Equipment	1,600	1,600	6,900	3,200	6,900	3,200
	Total - General Fund	**1,536,658**	**1,942,362**	**1,821,986**	**1,908,539**	**1,821,986**	**1,908,539**
	Funding the System						
	General Fund						
	Grant Payments - Other Than Towns						
	Retirement Contributions	139,953,000	147,884,700	179,365,000	188,334,000	179,365,000	188,334,000
	Retirees Health Service Cost	2,718,181	3,382,000	3,500,000	3,825,000	3,500,000	3,825,000
	Total - General Fund	**142,671,181**	**151,266,700**	**182,865,000**	**192,159,000**	**182,865,000**	**192,159,000**
	Personal Services Savings						
	General Fund						
	Personal Services	0	0	-68,000	-68,000	-68,000	-68,000
	Less: Turnover - Personal Services - GF	0	0	-15,535	-11,078	-15,535	-11,078
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
601	Retirement Contributions	139,953,000	147,884,700	179,365,000	188,334,000	179,365,000	188,334,000
602	Retirees Health Service Cost	2,718,181	3,382,000	3,500,000	3,825,000	3,500,000	3,825,000
	EQUIPMENT						
005	Equipment	1,600	1,600	6,900	3,200	6,900	3,200
	Agency Grand Total	**144,207,839**	**153,209,062**	**184,603,451**	**193,988,461**	**184,603,451**	**193,988,461**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**153,220,831**	**0**	**153,220,831**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	130,561	0	220,561	0	0	0	0
Other Expenses	0	-238,649	0	-227,509	0	0	0	0
Equipment	0	5,300	0	1,600	0	0	0	0
Grant Payments - Other Than Towns	0	63,252,055	0	74,127,993	0	0	0	0
Total - General Fund	**0**	**63,149,267**	**0**	**74,122,645**	**0**	**0**	**0**	**0**
Remove Funding for Inflation - (B)								
-(G) Funding for inflation is removed to effect economies.								
-(L)Same as Governor								
Other Expenses	0	-6,551	0	-12,981	0	0	0	0
Total - General Fund	**0**	**-6,551**	**0**	**-12,981**	**0**	**0**	**0**	**0**
Continue to Fund Retirement Contribution at 85% - (B)								
According to C.G.S. 10-1832, the state funds the Teachers' Retirement System on an actuarial reserve basis. Historically, the state has contributed 85% of the amount certified on an actuarial reserve basis.								
-(G) Funding is reduced to reflect a contribution at the 85% level.								
-(L)Same as Governor								
Retirement Contributions	0	-31,653,755	0	-33,235,693	0	0	0	0
Total - General Fund	**0**	**-31,653,755**	**0**	**-33,235,693**	**0**	**0**	**0**	**0**
Reduce Funding Due to State Data Center Rate Reductions - (B)								
Many state agencies obtain computer services to support their business functions from the State Data Center, operated by the Department of Administrative Services through the Technical Services Revolving Fund.								
-(G) Due to a reorganization of the operation of the State Data Center, there is a significant reduction in the rates for services charged to state agencies (CATER and BOSS).								
-(L)Same as Governor								
Other Expenses	0	-38,341	0	-38,341	0	0	0	0
Total - General Fund	**0**	**-38,341**	**0**	**-38,341**	**0**	**0**	**0**	**0**
Reduce Personal Services - (B)								
-(G) Funding for Personal Services is reduced to effect economies.								
-(L)Same as Governor								
Personal Services	0	-68,000	0	-68,000	0	0	0	0
Total - General Fund	**0**	**-68,000**	**0**	**-68,000**	**0**	**0**	**0**	**0**
Budget Totals - GF	**0**	**184,603,451**	**0**	**193,988,461**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Total active members as of 6/30	41,867	42,000	42,100	42,200	42,100	42,200	42,100	42,200
Average base salary ($)	54,007	55,000	56,000	57,000	56,000	57,000	56,000	57,000
New and former entrants to system	2,305	2,400	2,500	2,600	2,500	2,600	2,500	2,600
Service credit computations performed	309	315	320	325	320	325	320	325
Counseling sessions held	190	210	220	240	220	240	220	240
Computations-potential retire benefits	600	630	660	695	660	695	660	695
Retirements during year	1,089	1,100	1,200	1,300	1,200	1,300	1,200	1,300
Average age at retirement	60	60	60	60	60	60	60	60
Average length of service at retirement	29	29	29	29	29	29	29	29
Average annual retirement benefit ($)	31,379	32,006	32,646	33,300	32,646	33,300	32,646	33,300
Total retirees/beneficiaries as of 6/30	17,974	18,600	19,400	20,200	19,400	20,200	19,400	20,200
Average annual retirement benefit ($)	23,098	24,000	24,800	25,600	24,800	25,600	24,800	25,600
Total benefit payments ($)	439,211,963	481,136,232	517,824,636	556,870,248	517,824,636	556,870,248	517,824,636	556,870,248
Remittances submitted by employing units ($)	164,108,864	169,032,000	174,103,000	179,400,000	174,103,000	179,400,000	174,103,000	179,400,000
Staff hours devoted to legislative proposals	105	110	115	120	115	120	115	120

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Members/beneficiaries covered by state health insurance plan	9,131	9,500	10,000	10,250	10,000	10,250	10,000	10,250
Health insurance payments from:								
Retired Teacher Hlth Insurance Premium funds ($)	23,377,046	26,064,844	29,041,230	31,219,323	30,989,952	36,243,557	30,989,952	36,243,557
General Fund ($)	2,718,181	3,382,000	3,813,600	4,041,750	3,500,000	3,825,000	3,500,000	3,825,000

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $116,641 in Personal Services from the early retirement incentive program, and $12,920 in Personal Services and $20,879 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $5,551 for FY '98.

[2] Section 49 of SA 97-21, the State Budget 1997-99, permits the Teachers' Retirement Board to carry forward $200,000 for computer systems re-engineering costs.

Regional Community - Technical Colleges
7700

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	1,765	1,765	1,610	1,613	1,740	1,740
	Others Equated to Full-Time	33	38	33	33	33	33
	Additional Funds Available						
	Permanent Full-Time	157	157	157	157	157	157
	Others Equated to Full-Time	431	415	431	431	431	431
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
02X	Other Current Expenses	89,618,628	92,118,278	87,161,949	88,219,412	92,984,494	93,954,201
	Agency Total - General Fund[1]	**89,618,628**	**92,118,278**	**87,161,949**	**88,219,412**	**92,984,494**	**93,954,201**
	Agency Total - Appropriated Funds	**89,618,628**	**92,118,278**	**87,161,949**	**88,219,412**	**92,984,494**	**93,954,201**
	Additional Funds Available						
	Tuition Fund	60,587,633	64,222,374	66,206,784	68,275,915	66,206,784	68,275,915
	Federal Contributions	14,463,941	14,096,541	13,451,507	13,561,277	13,451,507	13,561,277
	Agency Grand Total	**164,670,202**	**170,437,193**	**166,820,240**	**170,056,604**	**172,642,785**	**175,791,393**
	BUDGET BY PROGRAM						
	Instruction						
	Permanent Full-Time Positions GF/OF	792/12	792/12	0/0	0/0	0/0	0/0
	General Fund						
020	Operating Expenses	42,452,360	44,682,084	0	0	0	0
021	Wilcox College of Nursing	0	500,000	0	0	0	0
	Total - General Fund	**42,452,360**	**45,182,084**	**0**	**0**	**0**	**0**
	Federal Contributions						
	Employ & Trng-Dislocated Workers	41,937	40,875	0	0	0	0
	Employment Serv & Job Training	39,238	38,244	0	0	0	0
	Job Training Partnership	151,265	147,231	0	0	0	0
	State and Community Highway Safety	49,340	48,089	0	0	0	0
	Engineering Grants	6,440	6,277	0	0	0	0
	Education And Human Resources	2,556	2,491	0	0	0	0
	Higher Education-Institution Aid	22,429	21,861	0	0	0	0
	Student Support Services	242,114	235,980	0	0	0	0
	Upward Bound	160,292	156,230	0	0	0	0
	Voc Educ-Basic Grants to States	763,376	744,034	0	0	0	0
	Sec Ed & Serv/Youth W/Disability	702	684	0	0	0	0
	Drug Free Program-Higher Educ	405	395	0	0	0	0
	Tech-Prep Education	393,783	383,806	0	0	0	0
	Train-Early Childhood Violence	23,628	23,029	0	0	0	0
	Child Develop. Assoc. Scholarship	69,832	68,083	0	0	0	0
	Corp. for National & Comm. Serv.-Americorps	1,336	1,302	0	0	0	0
	Total - Federal Contributions	**1,968,673**	**1,918,611**	**0**	**0**	**0**	**0**
	Additional Funds Available						
	Tuition Fund	17,151,689	16,874,759	0	0	0	0
	Total - All Funds	**61,572,722**	**63,975,454**	**0**	**0**	**0**	**0**
	Public Service						
	Permanent Full-Time Positions GF/OF	2/3	2/3	0/0	0/0	0/0	0/0
	General Fund						
020	Operating Expenses	193,410	91,079	0	0	0	0
	Federal Contributions						
	Rural Economic Development	11,345	11,058	0	0	0	0

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Engineering Grants	938	914	0	0	0	0
	Voc Educ-Basic Grants to States	950	926	0	0	0	0
	Sec Ed & Serv/Youth W/Disability	487	474	0	0	0	0
	Total - Federal Contributions	**13,720**	**13,372**	**0**	**0**	**0**	**0**
	Additional Funds Available						
	Tuition Fund	885,663	971,013	0	0	0	0
	Total - All Funds	**1,092,793**	**1,075,464**	**0**	**0**	**0**	**0**
	Academic Support						
	Permanent Full-Time Positions GF/OF	205/43	205/43	0/0	0/0	0/0	0/0
	General Fund						
020	Operating Expenses	10,927,390	10,546,990	0	0	0	0
	Federal Contributions						
	Engineering Grants	279	272	0	0	0	0
	Higher Education-Institution Aid	23,257	22,667	0	0	0	0
	College Work-Study Program	1,835	1,788	0	0	0	0
	Voc Educ-Basic Grants to States	135,889	132,445	0	0	0	0
	Higher Ed-Cooperative Education	18,988	18,507	0	0	0	0
	Sec Ed & Serv/Youth W/Disability	444	433	0	0	0	0
	Training Interpreters-Deaf Indiv	200,776	195,689	0	0	0	0
	Drug Free Program-Higher Educ	565	551	0	0	0	0
	Tech-Prep Education	113,021	110,157	0	0	0	0
	Total - Federal Contributions	**495,054**	**482,509**	**0**	**0**	**0**	**0**
	Additional Funds Available						
	Tuition Fund	3,965,214	5,504,725	0	0	0	0
	Total - All Funds	**15,387,658**	**16,534,224**	**0**	**0**	**0**	**0**
	Library						
	Permanent Full-Time Positions GF/OF	71/1	71/1	0/0	0/0	0/0	0/0
	General Fund						
020	Operating Expenses	3,288,759	3,281,041	0	0	0	0
	Federal Contributions						
	Rural Economic Development	933	909	0	0	0	0
	Employ & Trng-Dislocated Workers	4,064	3,961	0	0	0	0
	College Work-Study Program	6,008	5,856	0	0	0	0
	Total - Federal Contributions	**11,005**	**10,726**	**0**	**0**	**0**	**0**
	Additional Funds Available						
	Tuition Fund	1,859,407	1,686,733	0	0	0	0
	Total - All Funds	**5,159,171**	**4,978,500**	**0**	**0**	**0**	**0**
	Student Services						
	Permanent Full-Time Positions GF/OF	235/68	235/68	0/0	0/0	0/0	0/0
	General Fund						
020	Operating Expenses	12,130,036	11,629,810	0	0	0	0
	Federal Contributions						
	Employ & Trng-Dislocated Workers	104,673	102,021	0	0	0	0
	Engineering Grants	29,682	28,930	0	0	0	0
	Supplemental Educ Opport Gts	1,042	1,016	0	0	0	0
	Higher Education-Institution Aid	3,379	3,293	0	0	0	0
	College Work-Study Program	20,551	20,031	0	0	0	0
	Student Support Services	179,636	175,084	0	0	0	0
	Voc Educ-Basic Grants to States	330,290	321,920	0	0	0	0
	Higher Ed-Cooperative Education	16,341	15,197	0	0	0	0
	Sec Ed & Serv/Youth W/Disability	3,349	3,264	0	0	0	0
	Drug Free Program-Higher Educ	9,169	8,936	0	0	0	0
	Tech-Prep Education	9,545	9,303	0	0	0	0
	Train-Early Childhood Violence	14,627	14,256	0	0	0	0
	Total - Federal Contributions	**722,284**	**703,251**	**0**	**0**	**0**	**0**
	Additional Funds Available						
	Tuition Fund	8,222,107	9,520,053	0	0	0	0
	Total - All Funds	**21,074,427**	**21,853,114**	**0**	**0**	**0**	**0**
	Institutional Support						
	Permanent Full-Time Positions GF/OF	332/26	332/26	0/0	0/0	0/0	0/0
	General Fund						
020	Operating Expenses	16,783,748	17,751,356	0	0	0	0
	Federal Contributions						
	Rural Economic Development	127	123	0	0	0	0
	Employ & Trng-Dislocated Workers	5,591	5,449	0	0	0	0
	Higher Education-Institution Aid	45,257	44,110	0	0	0	0
	College Work-Study Program	3,771	3,675	0	0	0	0
	Voc Educ-Basic Grants to States	10,095	9,839	0	0	0	0
	Pell Grant Program	1,824	1,778	0	0	0	0
	Total - Federal Contributions	**66,665**	**64,974**	**0**	**0**	**0**	**0**
	Additional Funds Available						
	Tuition Fund	8,611,846	11,818,492	0	0	0	0
	Total - All Funds	**25,462,259**	**29,634,822**	**0**	**0**	**0**	**0**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Physical Plant						
	Permanent Full-Time Positions GF/OF	128/4	128/4	0/0	0/0	0/0	0/0
	General Fund						
020	Operating Expenses	3,842,925	3,635,918	0	0	0	0
	Federal Contributions						
	Employ & Trng-Dislocated Workers	2,650	2,583	0	0	0	0
	College Work-Study Program	4,095	3,992	0	0	0	0
	Voc Educ-Basic Grants to States	2,900	2,826	0	0	0	0
	Total - Federal Contributions	**9,645**	**9,401**	**0**	**0**	**0**	**0**
	Additional Funds Available						
	Tuition Fund	8,145,393	7,011,256	0	0	0	0
	Total - All Funds	**11,997,963**	**10,656,575**	**0**	**0**	**0**	**0**
	Scholarships and Fellowships						
	Federal Contributions						
	Rural Economic Development	3,698	3,604	0	0	0	0
	Supplemental Educ Opport Gts	689,175	671,713	0	0	0	0
	College Work-Study Program	651,036	634,540	0	0	0	0
	Perkins Loan Program	145,906	142,209	0	0	0	0
	Voc Educ-Basic Grants to States	9,549	9,307	0	0	0	0
	Pell Grant Program	9,484,791	9,244,468	0	0	0	0
	Tech-Prep Education	8,653	8,433	0	0	0	0
	Train-Early Childhood Violence	132,956	129,587	0	0	0	0
	Child Develop. Assoc. Scholarship	50,131	48,861	0	0	0	0
	Scholarships-Disadv.Students	1,000	975	0	0	0	0
	Total - Federal Contributions	**11,176,895**	**10,893,697**	**0**	**0**	**0**	**0**
	Additional Funds Available						
	Tuition Fund	11,746,314	10,835,343	0	0	0	0
	Total - All Funds	**22,923,209**	**21,729,040**	**0**	**0**	**0**	**0**
	Community-Tech Colleges Block Grant						
	Permanent Full-Time Positions GF/OF	0/0	0/0	1,610/157	1,613/157	1,740/157	1,740/157
	General Fund						
020	Operating Expenses	0	0	87,161,949	88,219,412	92,984,494	93,954,201
	Federal Contributions						
	Rural Economic Development	0	0	14,976	15,098	14,976	15,098
	Employ & Trng-Dislocated Workers	0	0	147,793	148,998	147,793	148,998
	Employment Serv & Job Training	0	0	36,491	36,789	36,491	36,789
	Job Training Partnership	0	0	140,676	141,825	140,676	141,825
	State and Community Highway Safety	0	0	45,886	46,260	45,886	46,260
	Engineering Grants	0	0	34,727	35,010	34,727	35,010
	Education And Human Resources	0	0	2,377	2,397	2,377	2,397
	Supplemental Educ Opport Gts	0	0	641,904	647,142	641,904	647,142
	Higher Education-Institution Aid	0	0	87,719	88,434	87,719	88,434
	College Work-Study Program	0	0	639,188	644,402	639,188	644,402
	Perkins Loan Program	0	0	135,693	136,801	135,693	136,801
	Student Support Services	0	0	392,229	395,430	392,229	395,430
	Upward Bound	0	0	149,072	150,288	149,072	150,288
	Voc Educ-Basic Grants to States	0	0	1,165,342	1,174,848	1,165,342	1,174,848
	Higher Ed-Cooperative Education	0	0	32,856	33,124	32,856	33,124
	Pell Grant Program	0	0	8,822,593	8,894,575	8,822,593	8,894,575
	Sec Ed & Serv/Youth W/Disability	0	0	4,634	4,671	4,634	4,671
	Training Interpreters-Deaf Indiv	0	0	186,723	188,246	186,723	188,246
	Drug Free Program-Higher Educ	0	0	9,429	9,507	9,429	9,507
	Tech-Prep Education	0	0	488,234	492,239	488,234	492,239
	Train-Early Childhood Violence	0	0	159,227	160,526	159,227	160,526
	Child Develop. Assoc. Scholarship	0	0	111,566	112,477	111,566	112,477
	Scholarships-Disadv.Students	0	0	930	938	930	938
	Corp. for National & Comm. Serv.-Americorps	0	0	1,242	1,252	1,242	1,252
	Total - Federal Contributions	**0**	**0**	**13,451,507**	**13,561,277**	**13,451,507**	**13,561,277**
	Additional Funds Available						
	Tuition Fund	0	0	66,206,784	68,275,915	66,206,784	68,275,915
	Total - All Funds	**0**	**0**	**166,820,240**	**170,056,604**	**172,642,785**	**175,791,393**
	Agency Grand Total	**164,670,202**	**170,437,193**	**166,820,240**	**170,056,604**	**172,642,785**	**175,791,393**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**1,765**	**92,118,278**	**1,765**	**92,118,278**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Operating Expenses	0	3,146,800	0	4,204,263	0	0	0	0
Total - General Fund	**0**	**3,146,800**	**0**	**4,204,263**	**0**	**0**	**0**	**0**
Reduce Faculty and Staff - (B)								
The twelve Regional Community-Technical Colleges promote open access to higher education for students who might otherwise be excluded by economic, academic or geographic barriers. Educational programs are offered in the following areas: 1) occupational, vocational, technological and career education designed to train, retrain or upgrade skills to meet individual, community and state human resource needs; 2) general study programs; and 3) college transfer programs.								
-(G) It is recommended that current services be reduced by 10% of FY '97 appropriation.								
-(L) Current services are reduced by 1.4% to effect economies.								
Operating Expenses	-25	-1,176,716	-25	-1,119,442	121	5,419,361	118	5,331,605
Total - General Fund	**-25**	**-1,176,716**	**-25**	**-1,119,442**	**121**	**5,419,361**	**118**	**5,331,605**
Eliminate Wilcox Nursing Program - (B)								
The Wilcox College of Nursing is a non-profit, independent college located in Middletown. Wilcox offers one Associate's Degree program in nursing and now serves about 17 full-time and 187 part-time students. Support provided by Middlesex Hospital will no longer be available to the college and a separate account was created within the Community-Technical College system budget in order to continue the program.								
-(G) Primarily due to the existence of other nursing programs in the state and limited resources, it is recommended that no state support be provided for the Wilcox Nursing program.								
-(L)Same as Governor								
Wilcox College of Nursing	0	-1,103,868	0	-1,248,898	0	0	0	0
Total - General Fund	**0**	**-1,103,868**	**0**	**-1,248,898**	**0**	**0**	**0**	**0**
Eliminate Intercollegiate Athletics - (B)								
-(G) It is recommended that resources be reduced by 9 positions and $403,184 in order to reflect the elimination of intercollegiate athletics within the Community-Technical system.								
-(L) Intercollegiate athletics within the Community-Technical system are maintained.								
Operating Expenses	0	0	0	0	9	403,184	9	403,184
Total - General Fund	**0**	**0**	**0**	**0**	**9**	**403,184**	**9**	**403,184**
Budget Totals - GF	**1,740**	**92,984,494**	**1,740**	**93,954,201**	**130**	**5,822,545**	**127**	**5,734,789**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Percent favorable rating of faculty performance by graduates (%)	94	94	94	94	94	94	94	94
Percent favorable rating of instructional laboratories by science, allied health and engineering graduates(%)	83	83	83	83	83	83	83	83
Percent favorable rating of CTC preparation by transfer students (%)	95	95	95	95	95	95	95	95
Percent of transfer student credits accepted (%)	93	93	93	93	93	93	93	93
Percent of employed graduates reporting CTC education has advanced career (%)	25	25	25	25	25	25	25	25
Percent of students passing licensure & certification exams in occupationally specific programs (%)	94.5	94.5	94.5	94.5	94.5	94.5	94.5	94.5

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Percent of first-time, full-time freshmen graduating within three years (%)	16	16	16	16	16	16	16	16
Number of employers utilizing business and industry extension services	12,360	12,360	12,360	12,360	12,360	12,360	12,360	12,360

1997 BOND AUTHORIZATIONS

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	2(l)(1)(A)	21(k)(1)(A)	All Community-Technical Colleges: New and replacement instruction, research and/or laboratory equipment	13,500,000	6,420,000	6,420,000
SA 97-1 (J5 SS)	2(l)(1)(B)	21(k)(1)(B)	All Community-Technical Colleges: Deferred maintenance, renovations and improvements to facilities including fire, safety, energy conservation and code compliance	3,725,000	4,000,000	4,000,000
SA 97-1 (J5 SS)	2(l)(1)(C)	21(k)(1)(C)	All Community-Technical Colleges: Alterations and improvements to buildings and grounds in accordance with the Americans with Disabilities Act code requirements	2,000,000	500,000	500,000
SA 97-1 (J5 SS)	2(l)(1)(D)	21(k)(1)(D)	All Community-Technical Colleges: Alterations and improvements to instruction and support space used for technical instruction, including faculty office improvements	1,500,000	500,000	500,000
SA 97-1 (J5 SS)	2(l)(2)	21(k)(2)	Norwalk Community-Technical College: Alterations and improvements to grounds for instructional and support space in accordance with the master plan including deferred maintenance improvements	2,400,000	3,000,000	3,000,000
SA 97-1 (J5 SS)	2(l)(3)	21(k)(3)	Northwestern Community-Technical College: Alterations and improvements, heating, ventilating and air conditioning systems, including energy conservation and code compliance	-	2,000,000	2,000,000
SA 97-1 (J5 SS)	2(l)(4)	21(k)(6)	Three Rivers Community-Technical College: Planning for renovations to existing buildings and additional facilities, including land acquisition for a consolidated campus	400,000	1,600,000	1,000,000
SA 97-1 (J5 SS)	2(l)(5)	-	Manchester Community-Technical College: Planning for Phase II development in accordance with the master plan	1,400,000	1,500,000	-
SA 97-1 (J5 SS)	-	21(k)(4)	Manchester Community-Technical College: Phase I development of facilities and planning for Phase II	same as above	-	24,200,000
SA 97-1 (J5 SS)	-	21(k)(5)	Capitol Community-Technical College: Relocation of instructional and academic support functions from the Flatbush Avenue Campus to the Woodland Street Campus	525,000	-	6,445,000
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	192	-	Northwestern Community-Technical College: Roof repairs and/or replacement and exterior renovations to Founders Hall (SA 95-20 2(n)(4))	880,000	(179,000)	-

[1] It should be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $788,007 for FY '98.

Connecticut State University
7800

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	2,018	2,018	1,806	1,806	1,930	1,930
	Others Equated to Full-Time	40	40	40	40	40	40
	Additional Funds Available						
	Permanent Full-Time	904	932	953	953	953	953
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
02X	Other Current Expenses	100,861,184	107,021,051	98,610,934	100,334,254	106,202,662	107,686,183
	Agency Total - General Fund[1]	**100,861,184**	**107,021,051**	**98,610,934**	**100,334,254**	**106,202,662**	**107,686,183**
	Agency Total - Appropriated Funds	**100,861,184**	**107,021,051**	**98,610,934**	**100,334,254**	**106,202,662**	**107,686,183**
	Additional Funds Available						
	Tuition Fund	116,762,831	112,309,907	136,281,170	140,570,801	136,281,170	140,570,801
	Federal Contributions	12,523,549	13,296,172	12,863,059	13,453,496	12,863,059	13,453,496
	Agency Grand Total	**230,147,564**	**232,627,130**	**247,755,163**	**254,358,551**	**255,346,891**	**261,710,480**
	BUDGET BY PROGRAM						
	Instruction						
	Permanent Full-Time Positions GF/OF	927/480	927/480	0/0	0/0	0/0	0/0
	General Fund						
020	Operating Expenses	54,079,192	57,396,517	0	0	0	0
021	Long Island Sound Council	0	75,000	0	0	75,000	0
	Total - General Fund	**54,079,192**	**57,471,517**	**0**	**0**	**75,000**	**0**
	Federal Contributions						
	Mathematical and Physical Science	59,295	78,354	0	0	0	0
	Social Behav & Economic Sciences	39,711	34,768	0	0	0	0
	Spec Educ Personnel Development	48,588	125,000	0	0	0	0
	College Work-Study Program	133,453	730,007	0	0	0	0
	Urban Community Services	133,947	0	0	0	0	0
	Train-Early Childhood Violence	419,733	0	0	0	0	0
	Professional Nurse Traineeships	9,098	0	0	0	0	0
	Total - Federal Contributions	**843,825**	**968,129**	**0**	**0**	**0**	**0**
	Additional Funds Available						
	Tuition Fund	25,209,020	28,455,683	0	0	0	0
	Total - All Funds	**80,132,037**	**86,895,329**	**0**	**0**	**75,000**	**0**
	Research						
	Permanent Full-Time Positions GF/OF	2/4	2/4	0/0	0/0	0/0	0/0
	General Fund						
020	Operating Expenses	90,509	95,981	0	0	0	0
	Federal Contributions						
	National Historic Landmark	12,602	105,000	0	0	0	0
	Mathematical and Physical Science	96,260	47,654	0	0	0	0
	Biological Sciences	62,993	66,375	0	0	0	0
	Education And Human Resources	121,930	121,930	0	0	0	0
	Supplemental Educ Opport Gts	136,618	139,244	0	0	0	0
	National Professional Development	145,691	260,000	0	0	0	0
	Secretary's Fd-Innovations in Ed	412,208	415,000	0	0	0	0
	Adolescent Family Life Research	33,195	0	0	0	0	0
	Community Prevention Coalitions	23,879	0	0	0	0	0
	Mental Health Research Grants	51,460	20,920	0	0	0	0
	Develop Disabilities Univ. Aff	22,884	0	0	0	0	0
	Population Research	18,229	56,050	0	0	0	0
	Total - Federal Contributions	**1,137,949**	**1,232,173**	**0**	**0**	**0**	**0**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Additional Funds Available						
	Tuition Fund	-345,599	-803,872	0	0	0	0
	Total - All Funds	**882,859**	**524,282**	**0**	**0**	**0**	**0**
	Public Service Program						
	Permanent Full-Time Positions GF/OF	6/14	6/14	0/0	0/0	0/0	0/0
	General Fund						
020	Operating Expenses	291,409	307,639	0	0	0	0
	Federal Contributions						
	International Training-Foreign Participation	114,461	0	0	0	0	0
	Community Outreach Partnership	0	151,000	0	0	0	0
	Educ Exchange-Univ Lecturers	171,723	227,000	0	0	0	0
	Spec Educ Personnel Development	76,449	0	0	0	0	0
	Voc Educ-Basic Grants to States	24,104	15,000	0	0	0	0
	Pell Grant Program	1,113,023	1,135,000	0	0	0	0
	Prevent & Treat Substance Abuse	103,260	103,600	0	0	0	0
	Learn and Serve Higher Education	7,264	0	0	0	0	0
	Total - Federal Contributions	**1,610,284**	**1,631,600**	**0**	**0**	**0**	**0**
	Additional Funds Available						
	Tuition Fund	1,551,005	1,686,182	0	0	0	0
	Total - All Funds	**3,452,698**	**3,625,421**	**0**	**0**	**0**	**0**
	Academic Support						
	Permanent Full-Time Positions GF/OF	181/67	181/68	0/0	0/0	0/0	0/0
	General Fund						
020	Operating Expenses	4,770,290	5,079,390	0	0	0	0
	Federal Contributions						
	Drug Free Program-Higher Educ	35,926	54,528	0	0	0	0
	Adolescent Family Life	15,757	0	0	0	0	0
	Total - Federal Contributions	**51,683**	**54,528**	**0**	**0**	**0**	**0**
	Additional Funds Available						
	Tuition Fund	5,808,634	6,410,697	0	0	0	0
	Total - All Funds	**10,630,607**	**11,544,615**	**0**	**0**	**0**	**0**
	Library						
	Permanent Full-Time Positions GF/OF	41/26	41/26	0/0	0/0	0/0	0/0
	General Fund						
020	Operating Expenses	3,873,690	4,120,128	0	0	0	0
	Federal Contributions						
	Train-Early Childhood Violence	388,308	0	0	0	0	0
	Additional Funds Available						
	Tuition Fund	4,497,696	5,915,022	0	0	0	0
	Total - All Funds	**8,759,694**	**10,035,150**	**0**	**0**	**0**	**0**
	Student Services						
	Permanent Full-Time Positions GF/OF	233/227	233/233	0/0	0/0	0/0	0/0
	General Fund						
020	Operating Expenses	11,032,490	11,724,226	0	0	0	0
	Federal Contributions						
	Summer Food Service Program Children	15,598	39,100	0	0	0	0
	Perkins Loan Program	0	4,476	0	0	0	0
	Upward Bound	0	220,000	0	0	0	0
	Higher Ed-Cooperative Education	10,579	0	0	0	0	0
	Higher Ed-Veterans Educ Outreac	112,007	0	0	0	0	0
	Drug Free Program-Higher Educ	37,507	0	0	0	0	0
	Social Security-Disability Insurance	4,499	0	0	0	0	0
	Total - Federal Contributions	**180,190**	**263,576**	**0**	**0**	**0**	**0**
	Additional Funds Available						
	Tuition Fund	12,468,740	13,471,317	0	0	0	0
	Total - All Funds	**23,681,420**	**25,459,119**	**0**	**0**	**0**	**0**
	Institutional Support						
	Permanent Full-Time Positions GF/OF	358/77	358/97	0/0	0/0	0/0	0/0
	General Fund						
020	Operating Expenses	16,302,515	17,236,119	0	0	0	0
021	Long Island Sound Council	54,225	0	0	0	0	0
	Total - General Fund	**16,356,740**	**17,236,119**	**0**	**0**	**0**	**0**
	Federal Contributions						
	Urban Community Services	14,872	149,688	0	0	0	0
	Additional Funds Available						
	Tuition Fund	19,601,300	23,951,093	0	0	0	0
	Total - All Funds	**35,972,912**	**41,336,900**	**0**	**0**	**0**	**0**
	Physical Plant Operations and Operations						
	Permanent Full-Time Positions GF/OF	270/9	270/10	0/0	0/0	0/0	0/0
	General Fund						
020	Operating Expenses	8,810,804	9,337,964	0	0	0	0

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Additional Funds Available						
	Tuition Fund	16,313,476	0	0	0	0	0
	Total - All Funds	**25,124,280**	**9,337,964**	**0**	**0**	**0**	**0**
	Scholarships and Fellowships						
	Federal Contributions						
	Educational Exchange - Graduate	0	29,700	0	0	0	0
	Supplemental Educ Opport Gts	523,279	504,345	0	0	0	0
	College Work-Study Program	459,088	598,346	0	0	0	0
	Pell Grant Program	4,233,023	3,984,687	0	0	0	0
	Gts for State Student Incentives	487,600	504,400	0	0	0	0
	Federal Direct Student Loan Pgm	2,566,359	3,375,000	0	0	0	0
	Nurse Anesthetist Traineeship	27,089	0	0	0	0	0
	Total - Federal Contributions	**8,296,438**	**8,996,478**	**0**	**0**	**0**	**0**
	Additional Funds Available						
	Tuition Fund	6,642,114	7,785,625	0	0	0	0
	Total - All Funds	**14,938,552**	**16,782,103**	**0**	**0**	**0**	**0**
	Connecticut State University Block Grant						
	Permanent Full-Time Positions GF/OF	0/0	0/0	1,806/953	1,806/953	1,930/953	1,930/953
	General Fund						
020	Operating Expenses	0	0	98,610,934	100,334,254	106,127,662	107,686,183
	Federal Contributions						
	Community Outreach Partnership	0	0	127,000	90,000	127,000	90,000
	National Historic Landmark	0	0	75,600	0	75,600	0
	Mathematical and Physical Science	0	0	30,700	0	30,700	0
	Biological Sciences	0	0	57,595	51,012	57,595	51,012
	Education And Human Resources	0	0	7,610	0	7,610	0
	Educational Exchange - Graduate	0	0	13,900	0	13,900	0
	Supplemental Educ Opport Gts	0	0	863,244	979,204	863,244	979,204
	College Work-Study Program	0	0	967,000	1,069,000	967,000	1,069,000
	Pell Grant Program	0	0	6,180,000	6,518,000	6,180,000	6,518,000
	Gts for State Student Incentives	0	0	505,000	505,000	505,000	505,000
	National Professional Development	0	0	160,000	100,000	160,000	100,000
	Urban Community Services	0	0	157,910	52,530	157,910	52,530
	Federal Direct Student Loan Pgm	0	0	3,712,500	4,083,750	3,712,500	4,083,750
	Social Security-Disability Insurance	0	0	5,000	5,000	5,000	5,000
	Total - Federal Contributions	**0**	**0**	**12,863,059**	**13,453,496**	**12,863,059**	**13,453,496**
	Additional Funds Available						
	Tuition Fund	0	0	136,281,170	140,570,801	136,281,170	140,570,801
	Total - All Funds	**0**	**0**	**247,755,163**	**254,358,551**	**255,271,891**	**261,710,480**
	Auxiliary Enterprises						
	General Fund						
020	Operating Expenses	1,556,060	1,648,087	0	0	0	0
	Additional Funds Available						
	Tuition Fund	25,016,445	25,438,160	0	0	0	0
	Total - All Funds	**26,572,505**	**27,086,247**	**0**	**0**	**0**	**0**
	Agency Grand Total	**230,147,564**	**232,627,130**	**247,755,163**	**254,358,551**	**255,346,891**	**261,710,480**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**1,952**	**107,021,051**	**1,952**	**107,021,051**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Operating Expenses	0	331,844	0	2,023,188	0	0	0	0
Total - General Fund	**0**	**331,844**	**0**	**2,023,188**	**0**	**0**	**0**	**0**

Reduce Faculty and Staff - (B)

The Connecticut State University system consists of four full-service institutions: Central Connecticut State University in New Britain, Eastern Connecticut State University in Willimantic, Southern Connecticut State University in New Haven, and Western Connecticut State University in Danbury. The universities give primary emphasis to instruction and learning. Scholarly and creative activities and public service are essential factors integrated with instruction and learning in ways that differentiate Connecticut State University institutions from traditional research universities.

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(G) It is recommended that current services be reduced to 10% of FY '97 appropriation. -(L) Current services are reduced by 1.2% to effect economies. In addition, it is proposed that $150,000 be provided for the initial stages of the collection and arrangement of the official papers of former Governor William O'Neill.								
Operating Expenses	-22	-1,150,233	-22	-1,283,056	138	8,282,455	138	8,149,632
Total - General Fund	**-22**	**-1,150,233**	**-22**	**-1,283,056**	**138**	**8,282,455**	**138**	**8,149,632**
Eliminate Long Island Sound Council - (B) The Long Island Sound Council was established in 1989 in order to prepare reports and make recommendations on the use and preservation of the sound. -(G) It is recommended that state funding for this council be eliminated. -(L) State funding for this council is continued through FY '98 only.								
Long Island Sound Council	0	0	0	-75,000	0	75,000	0	0
Total - General Fund	**0**	**0**	**0**	**-75,000**	**0**	**75,000**	**0**	**0**
Merge with Charter Oak - (B) Charter Oak State College provides a valid alternative to the traditional system of instruction-based collegiate programs for adults. The Board for State Academic Awards, through its faculty which are appointed from other Connecticut colleges and universities, provides the oversight for those pursuing degrees. -(G) It is recommended that the services provided by Charter Oak be merged into the state college system. -(L) Charter Oak shall remain a separate entity.								
Operating Expenses	0	0	0	0	-14	-765,727	-14	-797,703
Total - General Fund	**0**	**0**	**0**	**0**	**-14**	**-765,727**	**-14**	**-797,703**
Budget Totals - GF	**1,930**	**106,202,662**	**1,930**	**107,686,183**	**124**	**7,591,728**	**124**	**7,351,929**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Connecticut State University (beginning in Fall 1989)								
% of first-time full-time freshmen graduating within six years								
Central CSU (%)	48	48	48	48	48	48	48	48
Eastern CSU (%)	45	45	45	45	45	45	45	45
Southern CSU (%)	45	45	45	45	45	45	45	45
Western CSU (%)	39	39	39	39	39	39	39	39
Percent of students passing licensure and certification exams in								
occupationally specific programs (System Range):								
Education Programs (%)								
CCSU: pass rate for CONNCEPT for 1st time takers	80.2	80.2	80.2	80.2	80.2	80.2	80.2	80.2
ECSU: CONNCEPT	88	88	88	88	88	88	88	88
SCSU: Connect exam for certification of elem. ed. majors	78.4	78.4	78.4	78.4	78.4	78.4	78.4	78.4
WCSU: CONNCEPT (Praxis I)	78.5	78.5	78.5	78.5	78.5	78.5	78.5	78.5
Nursing programs (%)								
WCSU	95.8	95.8	95.8	95.8	95.8	95.8	95.8	95.8
SCSU	95	95	95	95	95	95	95	95
Average amount of external funding generated per full-time faculty member								
Central CSU ($)	9,904	9,904	9,904	9,904	9,904	9,904	9,904	9,904
Eastern CSU ($)	68,925	10,000	10,000	10,000	10,000	10,000	10,000	10,000
Southern CSU ($)	5,773	5,773	5,773	5,773	5,773	5,773	5,773	5,773
Western CSU ($)	5,767	5,767	5,767	5,767	5,767	5,767	5,767	5,767
Percent of full-time faculty involved in activities funded by external grants								
Central CSU (%)	21.5	21.5	21.5	21.5	21.5	21.5	21.5	21.5
Eastern CSU (%)	6.3	6.3	6.3	6.3	6.3	6.3	6.3	6.3

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Southern CSU (%)	13.3	13.3	13.3	13.3	13.3	13.3	13.3	13.3
Western CSU (%)	23	23	23	23	23	23	23	23
Percent of full-time faculty receiving grant funding								
Central CSU (%)	7	7	7	7	7	7	7	7
Eastern CSU (%)	6.3	6.3	6.3	6.3	6.3	6.3	6.3	6.3
Southern CSU (%)	4.6	4.6	4.6	4.6	4.6	4.6	4.6	4.6
Western CSU (%)	8	8	8	8	8	8	8	8
Connecticut State University								
Percent of students participating in co-op and internship programs								
Central CSU (%)	10	10	10	10	10	10	10	10
Eastern CSU (%)	4.3	4.3	4.3	4.3	4.3	4.3	4.3	4.3
Southern CSU (%)	10	10	10	10	10	10	10	10
Western CSU (%)	5	5	5	5	5	5	5	5
Percent of students admitted to CSU through Disadvantaged Students Pgm								
Central CSU (%)	5.3	5.3	5.3	5.3	5.3	5.3	5.3	5.3
Eastern CSU (%)	10	10	10-	10	10	10	10	10
Southern CSU (%)	5.5	5.5	5.5	5.5	5.5	5.5	5.5	5.5
Western CSU (%)	2	2	2	2	2	2	2	2
Six-year graduation rate of newly enrolled baccalaureate students (%)	57	57	57	58	57	58	57	58
Persistence Rate (%)	63	64	65	66	65	66	65	66
Students continuing on to graduate school within one year of receiving degree (%)	35	35	36	36	36	36	36	36
Graduates showing professional advancement within one year of earning degree (%)	45	45	45	45	45	45	45	45
Students passing licensure & certification exams related to their Charter Oak concentrations (%)	96	97	97	97	97	97	97	97
97Favorable rating of program by students (%)	98	98	98	98	98	98	98	98
Students continuing their education within one year of earning a degree (%)	43	43	43	43	43	43	43	43

1997 BOND AUTHORIZATIONS

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	2(m)(1)	21(l)(1)(A)	All Universities: New and replacement instruction, research, laboratory and physical plant and administrative equipment	8,000,000	8,000,000	8,000,000
SA 97-1 (J5 SS)	-	21(l)(1)(B)	All Universities: Alterations, repairs and replacement to auxiliary service buildings	-	-	5,000,000
SA 97-1 (J5 SS)	2(m)(2)(A)	-	SCSU: New physical plant building, including demolition of the existing temporary buildings and the main physical plant building	600,000	7,682,000	-
SA 97-1 (J5 SS)	2(m)(2)(B)	-	SCSU: Renovations and improvements to Jennings Hall	1,310,000	2,770,000	-
SA 97-1 (J5 SS)	2(m)(2)(C)	-	SCSU: Demolition of Prescott Street Building and development of a vehicle parking area	-	555,000	-
SA 97-1 (J5 SS)	-	21(l)(2)	SCSU: Alterations and improvements to steam and condensate and electrical lines	535,000	-	3,410,000
SA 97-1 (J5 SS)	2(m)(3)(A)	-	WCSU: Planning for alterations, improvements and an addition to Higgins Hall	797,000	1,403,000	-
SA 97-1 (J5 SS)	-	21(l)(3)(A)	WCSU: Alterations, renovations and improvements to facilities, including fire, safety, energy conservation and code compliance	-	-	584,000

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
SA 97-1 (J5 SS)	2(m)(3)(B)	21(l)(3)(B)	WCSU: Alterations and improvements to utilities, including steam lines, storm water mains, water and tunnel systems	2,996,000	221,000	1,357,000
SA 97-1 (J5 SS)	2(m)(3)(C)	-	WCSU: Alterations and improvements to the Ruth Haas Library	-	2,200,000	-
SA 97-1 (J5 SS)	2(m)(4)(A)	21(l)(4)(A)	ECSU: Alterations, renovations and improvements to facilities, including fire, safety, energy conservation and code compliance	-	807,000	1,429,000
SA 97-1 (J5 SS)	2(m)(4)(B)	-	ECSU: North Campus steam and condensate line replacement	665,000	1,535,000	-
SA 97-1 (J5 SS)	2(m)(4)(C)	-	ECSU: Perimeter road and development of additional parking	150,000	1,080,000	-
SA 97-1 (J5 SS)	2(m)(4)(D)	-	ECSU: Acquisition of a building and planning for renovations for use as a learning resource center	-	500,000	-
SA 97-1 (J5 SS)	-	21(l)(4)(B)	ECSU: Campus Security System	98,000	-	550,000
SA 97-1 (J5 SS)	2(m)(5)(A)	21(l)(5)(A)	CCSU: Alterations, renovations and improvements to facilities, including fire, safety, energy conservation and code compliance	44,000	4,199,000	3,293,000
SA 97-1 (J5 SS)	2(m)(5)(B)	-	CCSU: Closure of Wells Street, including the relocation of utilities in order to create a pedestrian walkway	545,000	2,350,000	-
SA 97-1 (J5 SS)	2(m)(5)(C)	-	CCSU: Planning for alterations and improvements to Copernicus Hall	-	840,000	-
SA 97-1 (J5 SS)	-	21(l)(5)(B)	CCSU: Planning for alterations and improvements to Emma Willard Hall	506,000	-	4,533,000
SA 97-1 (J5 SS)	-	21(l)(5)(C)	CCSU: Planning for alterations and improvements to Maria Sanford Hall	-	-	440,000
SA 97-1 (J5 SS)	-	21(l)(5)(D)	CCSU: Planning for alterations and improvements to Frank DiLoreto Hall	530,000	-	5,080,000
SA 97-1 (J5 SS)	-	21(l)(5)(E)	CCSU: Planning for alterations and improvements to Harrison Kaiser Hall	-	-	622,000
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	70	-	Copernicus Hall, enclosed main deck with one story roofed structure and interior renovations for additional classroom and office space (SA 88-77 2(w)(2)(C))	2,213,000	(368,150)	-
SA 97-1 (J5 SS)	90	-	CCSU: School of Business, planning for classroom and office building and parking facility (SA 89-52 2(s)(2)(E))	2,000,000	(319,900)	-
SA 97-1 (J5 SS)	138	-	Renovations and additions to Memorial Hall (SA 92-3 MSS 13(b)(4)(A))	6,253,000	(544,600)	-
SA 97-1 (J5 SS)	193	-	Development of a new library facility, including acquisition of property (SA 95-20 2(o)(4)(B))	25,300,000	(233,792)	-
			1997 Language Changes			
SA 97-1 (J5 SS)	135	-	ECSU: [Chemical storage facility at Goddard Hall] DEVELOPMENT OF ADMISSIONS BUILDING (SA 92-3 MSS 2(p)(4)(a))	489,000	-	-
SA 97-1 (J5 SS)	207	-	ECSU: [Planning for the replacement] REPLACEMENT of prefabricated, buried high temperature hot water distribution system located on the North Campus (SA 95-20 22(o)(4)(B))	472,000	-	-

[1] It should be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $4,095,980 for FY '98.

Department of Correction
8000

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	7,708	7,410	7,181	7,181	7,269	7,269
	Others Equated to Full-Time	74	57	64	64	64	64
	Additional Funds Available						
	Permanent Full-Time	28	30	29	29	29	29
	Others Equated to Full-Time	1	0	1	1	1	1
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	266,937,627	276,620,230	274,941,276	277,123,951	276,058,285	279,339,602
002	Other Expenses	78,338,362	81,988,249	84,172,158	81,322,604	84,034,001	81,674,001
005	Equipment	1,288,298	2,330,180	2,698,773	3,363,496	2,698,773	3,363,496
02X	Other Current Expenses	12,573,663	12,696,790	15,630,020	17,396,260	10,610,180	10,610,180
6XX	Grant Payments - Other Than Towns	13,578,773	13,573,880	13,818,687	13,816,192	13,821,183	13,821,183
	Agency Total - General Fund[1]	**372,716,723**	**387,209,329**	**391,260,914**	**393,022,503**	**387,222,422**	**388,808,462**
	Agency Total - Appropriated Funds	**372,716,723**	**387,209,329**	**391,260,914**	**393,022,503**	**387,222,422**	**388,808,462**
	Additional Funds Available						
	Bond Funds	436,224	0	0	0	0	0
	Private Contributions	913,964	1,040,425	0	0	0	0
	Federal Contributions	3,210,020	2,489,034	2,310,302	1,734,909	2,310,302	1,734,909
	Agency Grand Total	**377,276,931**	**390,738,788**	**393,571,216**	**394,757,412**	**389,532,724**	**390,543,371**
	BUDGET BY PROGRAM						
	Care and Custody						
	Permanent Full-Time Positions GF/OF	7,529/28	7,231/30	7,037/29	7,037/29	7,125/29	7,125/29
	General Fund						
	Personal Services	260,368,063	266,104,239	283,431,569	285,816,640	284,548,578	288,032,291
	Other Expenses	74,192,704	75,810,215	77,449,062	74,989,577	77,310,905	75,340,974
	Equipment	240,042	330,180	1,134,977	1,310,956	1,134,977	1,310,956
032	Stress Management	38,446	0	0	0	0	0
033	Private Prison Beds	0	0	5,019,840	6,786,080	0	0
039	Workers' Compensation Claims	7,915,045	9,523,375	8,047,721	8,066,916	8,047,721	8,066,916
	Grant Payments - Other Than Towns						
	Aid to Paroled and Discharged Inmates	149,280	89,471	149,168	146,673	151,664	151,664
	Volunteer Services	126,565	168,600	189,885	189,885	189,885	189,885
	Total - General Fund	**343,030,145**	**352,026,080**	**375,422,222**	**377,306,727**	**371,383,730**	**373,092,686**
	Federal Contributions						
	Drug Control & System Imrpr Gt	1,121,394	800,000	578,606	0	578,606	0
	Adult Ed-State Administered Pgm	187,452	196,565	196,565	196,565	196,565	196,565
	Education Handicapped Child State School	126,810	133,365	133,365	133,365	133,365	133,365
	Neglected & Delinquent Children	418,243	649,785	649,785	649,785	649,785	649,785
	Public Library Services	28,724	3,500	3,500	3,500	3,500	3,500
	Voc Educ-Basic Grants to States	84,125	0	0	0	0	0
	Fed/St/Loc Partnerships-Ed Impr	44,836	134,797	134,797	134,797	134,797	134,797
	Tuberculosis Control Program	46,708	47,259	89,921	93,134	89,921	93,134
	AIDS Activity	487,347	523,763	523,763	523,763	523,763	523,763
	Criminal Justice Treatment Pgm	167,511	0	0	0	0	0
	Capacity Expansion Program	496,870	0	0	0	0	0
	Total - Federal Contributions	**3,210,020**	**2,489,034**	**2,310,302**	**1,734,909**	**2,310,302**	**1,734,909**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Additional Funds Available						
	Bond Funds	436,224	0	0	0	0	0
	Private Contributions	567,567	1,040,425	0	0	0	0
	Total - Additional Funds Available	**1,003,791**	**1,040,425**	**0**	**0**	**0**	**0**
	Total - All Funds	**347,243,956**	**355,555,539**	**377,732,524**	**379,041,636**	**373,694,032**	**374,827,595**
	Field Services						
	Permanent Full-Time Positions GF	49	49	49	49	49	49
	General Fund						
	Personal Services	1,863,231	1,994,968	2,023,140	2,095,757	2,023,140	2,095,757
	Other Expenses	455,449	445,300	371,109	364,864	371,109	364,864
	Equipment	0	0	42,164	14,081	42,164	14,081
	Grant Payments - Other Than Towns						
	Legal Services to Prisoners	750,000	683,590	750,000	750,000	750,000	750,000
	Community Residential Services	11,547,599	11,626,571	11,723,986	11,723,986	11,723,986	11,723,986
	Community Non-Residential Services	1,005,329	1,005,648	1,005,648	1,005,648	1,005,648	1,005,648
	Total - General Fund	**15,621,608**	**15,756,077**	**15,916,047**	**15,954,336**	**15,916,047**	**15,954,336**
	Additional Funds Available						
	Private Contributions	346,397	0	0	0	0	0
	Total - All Funds	**15,968,005**	**15,756,077**	**15,916,047**	**15,954,336**	**15,916,047**	**15,954,336**
	Management Services						
	Permanent Full-Time Positions GF	130	130	95	95	95	95
	General Fund						
	Personal Services	4,706,333	8,521,023	6,041,223	5,851,431	6,041,223	5,851,431
	Other Expenses	3,690,209	5,732,734	6,351,987	5,968,163	6,351,987	5,968,163
	Equipment	1,048,256	2,000,000	1,521,632	2,038,459	1,521,632	2,038,459
039	Workers' Compensation Claims	4,620,172	3,173,415	2,562,459	2,543,264	2,562,459	2,543,264
	Total - General Fund	**14,064,970**	**19,427,172**	**16,477,301**	**16,401,317**	**16,477,301**	**16,401,317**
	Personal Services Savings						
	General Fund						
	Personal Services	0	0	-5,827,384	-5,827,384	-5,827,384	-5,827,384
	Less: Turnover - Personal Services - GF	0	0	-10,727,272	-10,812,493	-10,727,272	-10,812,493
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
601	Aid to Paroled and Discharged Inmates	149,280	89,471	149,168	146,673	151,664	151,664
604	Legal Services to Prisoners	750,000	683,590	750,000	750,000	750,000	750,000
608	Volunteer Services	126,565	168,600	189,885	189,885	189,885	189,885
611	Community Residential Services	11,547,599	11,626,571	11,723,986	11,723,986	11,723,986	11,723,986
612	Community Non-Residential Services	1,005,329	1,005,648	1,005,648	1,005,648	1,005,648	1,005,648
	EQUIPMENT						
005	Equipment	1,288,298	2,330,180	2,698,773	3,363,496	2,698,773	3,363,496
	Agency Grand Total	**377,276,931**	**390,738,788**	**393,571,216**	**394,757,412**	**389,532,724**	**390,543,371**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**7,410**	**408,085,782**	**7,410**	**408,085,782**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	508,681	0	4,773,998	0	0	0	0
Other Expenses	0	2,023,456	0	4,202,832	0	0	0	0
Equipment	0	2,663,101	0	1,970,023	0	0	0	0
Workers' Compensation Claims	0	-270,854	0	568,824	0	0	0	0
Aid to Paroled and Discharged Inmates	0	18,044	0	21,608	0	0	0	0
Legal Services to Prisoners	0	18,000	0	35,664	0	0	0	0
Volunteer Services	0	4,557	0	9,029	0	0	0	0
Community Residential Services	0	281,376	0	557,499	0	0	0	0
Community Non-Residential Services	0	24,136	0	47,821	0	0	0	0
Total - General Fund	**0**	**5,270,497**	**0**	**12,187,298**	**0**	**0**	**0**	**0**

Expand Cook/Chill Method - (B)

Cook/chill was originally developed for NASA's Apollo program. In this process cooked food is prepared in bulk, trayed, and rapidly chilled. Trays are placed in special refrigeration units and heated for serving at mealtimes. DOC facilities in District 3 have been recently converted

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
and are saving approximately $600,000 in the current fiscal year. -(G) It is proposed that this process be expanded throughout all of DOC food services to induce more efficient use of staff and lower preparation costs. -(L)Same as Governor								
Personal Services	-16	-706,200	-16	-706,200	0	0	0	0
Other Expenses	0	-1,584,773	0	-3,234,773	0	0	0	0
Equipment	0	684,773	0	1,369,546	0	0	0	0
Total - General Fund	**-16**	**-1,606,200**	**-16**	**-2,571,427**	**0**	**0**	**0**	**0**
Decrease Rented State Vehicles - (B) In FY '96, the department rented approximately 460 cars on a monthly basis from the State Motor Pool. As part of a state initiative, DOC returned 39 vehicles. -(G) It is proposed that leased fleet vehicles be returned and more employees be reimbursed for use of their own vehicles. -(L)Same as Governor								
Other Expenses	0	-120,276	0	-120,276	0	0	0	0
Total - General Fund	**0**	**-120,276**	**0**	**-120,276**	**0**	**0**	**0**	**0**
Reduce Health Services Funding - (B) The department's Health Services unit supplies offenders with a continuum of quality health care, maximizing individual wellness within a managed-care environment. -(G) Recent restructuring has resulted in efficiencies. It is proposed that resources be reduced to reflect savings. It is not anticipated that such a reduction will affect staff, inmate safety or federal regulations compliance. -(L) In addition to the Governor's proposal, the department shall make every effort to hold harmless the area of Health Services in regard to any additional reductions later implemented by the Governor during the biennium and that the filling of remaining vacant health-related positions remain a priority. Also during the biennium, the monthly report of the status of health services positions already submitted to the Legislature's Office of Fiscal Analysis, shall include information on developments related to the transfer of services to the University of Connecticut Health Center.								
Personal Services	-60	-3,000,000	-60	-3,000,000	0	0	0	0
Total - General Fund	**-60**	**-3,000,000**	**-60**	**-3,000,000**	**0**	**0**	**0**	**0**
Close DOC Fire Department - (B) Although DOC relies on local fire departments for coverage and assistance in case of fire emergencies for all facilities throughout the state, those facilities in Enfield/Somers maintain their own fire services. -(G) It is proposed that the DOC fire department be closed following successful negotiations with community fire departments to assume coverage responsibilities. Although DOC may need to provide initial support, the State will eventually be able to take advantage of local services that also maintain high professional standards. -(L)Same as Governor								
Personal Services	-6	-240,000	-6	-240,000	0	0	0	0
Total - General Fund	**-6**	**-240,000**	**-6**	**-240,000**	**0**	**0**	**0**	**0**
Consolidate Support Units - (B) The department's central office operations recently relocated from 3 Hartford locations to a single facility in Wethersfield. -(G) It is proposed that, provided there is ample space and Public Works approves, the business and human resources offices co-locate so as to create greater efficiencies in staffing, allow for the elimination of duplicate files and records, and insure standardization of various procedures. -(L)Same as Governor								
Personal Services	-35	-1,250,000	-35	-1,250,000	0	0	0	0
Total - General Fund	**-35**	**-1,250,000**	**-35**	**-1,250,000**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Restructure the Unified School District - (B)								
The DOC Educational Services Unit offers inmates a wide variety of instructional services: high school equivalency preparation, English as a second language, post-secondary opportunities, special education and technical, trade and vocational instruction.								
-(G) It is proposed that the department reduce the number of positions associated with these services through a restructuring of the programs provided.								
-(L)Same as Governor								
Personal Services	-24	-950,000	-24	-950,000	0	0	0	0
Total - General Fund	**-24**	**-950,000**	**-24**	**-950,000**	**0**	**0**	**0**	**0**
Transfer Health Services to UConn Health Center - (B)								
-(G) It is proposed that the responsibility for managing the health services operation at DOC be transferred to the University of Connecticut Health Center. Such would include a full continuum of services from inmate sickle cell to acute inpatient care. DOC would maintain programmatic and managerial oversight, but rely on the Center's expertise in managing the provision of health care services in order to achieve savings.								
-(L)Same as Governor								
Personal Services	0	-500,000	0	-1,000,000	0	0	0	0
Other Expenses	0	-2,000,000	0	-2,500,000	0	0	0	0
Total - General Fund	**0**	**-2,500,000**	**0**	**-3,500,000**	**0**	**0**	**0**	**0**
Reduce Inflation Increases and Personal Services - (B)								
-(G) It is proposed that current services in the Department of Correction not be increased in either year of the biennium.								
-(L)Same as Governor								
Personal Services	0	-5,827,384	0	-5,827,384	0	0	0	0
Other Expenses	0	-2,017,191	0	-4,196,567	0	0	0	0
Workers' Compensation Claims	0	-884,461	0	-1,724,139	0	0	0	0
Aid to Paroled and Discharged Inmates	0	-3,286	0	-6,850	0	0	0	0
Legal Services to Prisoners	0	-18,000	0	-35,664	0	0	0	0
Volunteer Services	0	-4,557	0	-9,029	0	0	0	0
Community Residential Services	0	-281,376	0	-557,499	0	0	0	0
Community Non-Residential Services	0	-24,136	0	-47,821	0	0	0	0
Total - General Fund	**0**	**-9,060,391**	**0**	**-12,404,953**	**0**	**0**	**0**	**0**
Transfer Training Funds to County Sheriffs - (B)								
For FY 1996-97, a portion of the agency's funds for testing and training of staff was transferred to the Department of Correction (DOC) in order to eliminate inefficiency and provide effective training and management of the agency's training funds. (Prevention Program).								
-(G) Funds which were initially transferred to DOC for training purposes will be returned to the County Sheriffs.								
-(L)Same as Governor								
Other Expenses	0	-167,000	0	-167,000	0	0	0	0
Total - General Fund	**0**	**-167,000**	**0**	**-167,000**	**0**	**0**	**0**	**0**
Transfer to Capital Equipment Purchase Fund - (B)								
This fund is used for the purchase of equipment with a useful life of at least 3 years. It is financed through the sale of bonds and is administered by OPM.								
-(G) Funding for various equipment items is removed from the General Fund and is to be provided by CEPF.								
-(L)Same as Governor								
Equipment	0	-2,979,209	0	-2,306,181	0	0	0	0
Total - General Fund	**0**	**-2,979,209**	**0**	**-2,306,181**	**0**	**0**	**0**	**0**
Reallocate Funding for Old Montville Facility - (B)								
The Montville Correctional facility, which was closed several years ago, is Level 3 with 150 beds.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(G) It is proposed that funds be reallocated within the agency to operate the renovated old Montville Facility through efficiencies related to reductions in Workers' Compensation claims. -(L) The full operation of the renovated Montville facility is delayed until such time as the commissioner determines that these costs can be absorbed within the Department's existing resources. In addition, funds related to Workers' Compensation Claims are reduced to effect economies.								
Personal Services	0	0	0	0	-58	-2,000,000	-58	-2,000,000
Other Expenses	0	0	0	0	0	-500,000	0	-500,000
Workers' Compensation Claims	0	-2,500,000	0	-2,500,000	0	0	0	0
Total - General Fund	**0**	**-2,500,000**	**0**	**-2,500,000**	**-58**	**-2,500,000**	**-58**	**-2,500,000**
Reduce Revolving Fund Rates - (B) -(G) It is proposed that other expenses be reduced to reflect reductions in CATER/BOSS rates for the state data center. -(L)Same as Governor								
Other Expenses	0	-760,781	0	-760,781	0	0	0	0
Total - General Fund	**0**	**-760,781**	**0**	**-760,781**	**0**	**0**	**0**	**0**
Close Northeast Prison - (B) Northeast Correctional Institution is a level-2 facility located in Storrs which provides housing for up to 350 inmates. -(G) In order to achieve savings through sending CT inmates to private out-of-state beds, it is proposed that Northeast be closed and that a new use for the facility be found. -(L) Northeast will remain open and a separate account for private beds will not be established. In addition, funds to operate this facility will be reduced to effect economies.								
Personal Services	0	-880,000	0	-1,364,000	146	3,117,009	146	4,215,651
Other Expenses	0	-120,000	0	-330,000	0	361,843	0	851,397
Private Prison Beds	0	0	0	0	0	-5,019,840	0	-6,786,080
Aid to Paroled and Discharged Inmates	0	0	0	0	0	2,496	0	4,991
Total - General Fund	**0**	**-1,000,000**	**0**	**-1,694,000**	**146**	**-1,538,492**	**146**	**-1,714,041**
Budget Totals - GF	**7,269**	**387,222,422**	**7,269**	**388,808,462**	**88**	**-4,038,492**	**88**	**-4,214,041**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
MANAGEMENT SERVICES								
Workers' Compensation Claims	1,929	2,000	2,000	2,000	1,600	1,600	1,600	1,600
Payouts on Claims ($000)	12,547,907	13,150,000	13,250,000	13,250,000	10,610,180	10,610,180	10,610,180	10,610,180
Offender to staff ratio	3.85:1	3.69:1	3.69:1	3.69:1	3.70:1	3.70:1	3.70:1	3.70:1
Cost of custody per inmate ($)/year	23,889	23,500	23,500	23,500	23,500	23,500	23,500	23,500
Incidents investigated	49	50	50	50	50	50	50	50
Movements between facilities	33,083	33,000	33,000	33,000	33,000	33,000	33,000	33,000
EDUCATION								
Students Enrolled in Educational Svs	13,200	13,900	14,700	15,000	14,700	15,000	14,700	15,000
General Education Development Diplomas	982	1,040	1,100	1,170	1,100	1,170	1,100	1,170
Vocational Education Certificates	595	630	668	708	668	708	668	708
Special Education-Parent,Pupil,Teacher Meetings	654	693	735	779	735	779	735	779
COUNSELING SERVICES								
Substance abusers served (%)	13	13	13	13	13	13	13	13
Total individual counseling sessions	15,408	15,408	15,408	15,408	15,408	15,408	15,408	15,408
Total group counseling sessions	11,012	11,012	11,012	11,012	11,012	11,012	11,012	11,012
Total number of participants	29,643	29,643	29,643	29,643	29,643	29,643	29,643	29,643
A.A.-Annual Meetings	2,271	2,271	2,271	2,271	2,271	2,271	2,271	2,271
A.A.-Number Admitted	16,142	16,142	16,142	16,142	16,142	16,142	16,142	16,142

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
N.A.-Annual Meetings	1,749	1,749	1,749	1,749	1,749	1,749	1,749	1,749
N.A.-Number Admitted	13,501	13,501	13,501	13,501	13,501	13,501	13,501	13,501
VOLUNTEER SERVICES								
Hours of Service by Volunteers	136,485	142,000	142,000	142,000	142,000	142,000	142,000	142,000
Number of Volunteers	2,503	2,750	2,750	2,750	2,750	2,750	2,750	2,750
Total number of inmates involved	15,463	15,500	15,500	15,500	15,500	15,500	15,500	15,500
Number of new volunteers	1,800	1,500	1,500	1,500	1,500	1,500	1,500	1,500
RELIGIOUS SERVICES								
Worship services and studies	10,867	10,975	11,085	11,196	11,085	11,196	11,085	11,196
Religious counseling	42,560	42,986	43,416	43,850	43,416	43,850	43,416	43,850
Total participants	153,383	154,917	156,466	158,031	156,466	158,031	156,466	158,031
RECREATION SERVICES								
Exercise/general recreation	72,000	75,000	75,000	75,000	75,000	75,000	75,000	75,000
(# Hours Structured Exercise/Recreation)								
# Inmates involved in Activities	15,000	15,500	15,500	15,500	15,500	15,500	15,500	15,500
# Inmates Involved in Community Service Projects	300	350	350	350	350	350	350	350
Art, Music, Drama, Writing, Crafts	500	600	600	600	600	600	600	600
(# Inmates Involved)								
STAFF TRAINING & DEVELOPMENT								
Orientation training graduates	296	700	700	700	700	700	700	700
In-service training hours	211,218	325,000	325,000	325,000	325,000	325,000	325,000	325,000
Ratio Full-Time Training Officers/Staff	0.284722222	0.284722222	0.284722222	0.284722222	0.284722222	0.284722222	0.284722222	0.284722222
HEALTH SERVICES								
Physicals (Intake)	10,669	11,736	17,261	17,261	17,261	17,261	17,261	17,261
Total Sick Call	316,087	347,696	382,465	382,465	382,465	382,465	382,465	382,465
Doctors Sick Call	94,565	104,322	114,424	114,424	114,424	114,424	114,424	114,424
Number of Prescriptions	283,692	312,061	327,664	327,664	327,664	327,664	327,664	327,664
Dental Sick Call	39,563	43,519	47,870	47,870	47,870	47,870	47,870	47,870
Psychiatric Evaluations	31,754	34,929	38,421	38,421	38,421	38,421	38,421	38,421
DMH-Whiting Forensic Admissions	7	10	13	13	13	13	13	13
Community Services	3,300	4,000	4,400	4,400	4,400	4,400	4,400	4,400
COMMUNITY SERVICES								
TRANSITIONAL SUPERVISION								
Community resident supervised	2,100	3,500	4,400	5,000	4,400	5,000	4,400	5,000
Violators returned to institutions	138	230	290	335	290	335	290	335
RESIDENTIAL PROGRAM								
Budgeted Beds	604	604	712	712	712	712	712	712
On line Beds	564	604	712	712	712	712	712	712
Job Placement	1,135	1,220	1,430	1,430	1,430	1,430	1,430	1,430
Counseling Hours	146,640	157,050	185,120	185,120	185,120	185,120	185,120	185,120
Prison Beds Saved	564	604	712	712	712	712	712	712
NON-RESIDENTIAL								
Clients	1,500	1,600	1,750	1,900	1,750	1,900	1,750	1,900
Job placement	625	665	725	790	725	790	725	790
Counseling hours	9,000	9,600	10,500	11,400	10,500	11,400	10,500	11,400
CORRECTIONAL INDUSTRIES								
Sales ($000)	4,000	4,500	5,000	5,750	5,000	5,750	5,000	5,750
Inmates Employed	400	455	500	525	500	525	500	525
CORRECTIONAL COMMISSARIES								
Inmates Served, weekly opportunities	15,000	15,000	15,000	15,000	15,000	15,000	15,000	15,000
Goods sold (000)	8,283	8,374	8,457	8,541	8,457	8,541	8,457	8,541
Inmates Employed	60	60	60	60	60	60	60	60

OTHER SIGNIFICANT 1997 LEGISLATION AFFECTING THE AGENCY'S BUDGET

PA-245, "An Act Concerning The Payment of Hospitalization Costs of Inmates" - Requires inmates with health insurance to have such insurance pay for covered expenses and/or pay for expenses when insurance indemnifies covered persons.

1997 BOND AUTHORIZATIONS

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	2(n)	-	Renovations and improvements to existing state-owned buildings for inmate housing, programming and staff training space and additional inmate capacity, including support facilities and off-sites improvements	658,138,000	5,000,000	-
SA 97-1 (J5 SS)	136	-	Increase in SA 92-3 MSS 2(q): Renovations and improvements to existing state-owned buildings for inmate housing, programming and staff training space, and additional inmate capacity, including support facilities and demolition (Sec. 44 reduces a 1985 authorization of $2,800,000 by $1,913,580 for a similar purpose.)	455,602,284	1,913,580	-
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	44	-	At Cheshire Correctional Institution, improvements, alterations and renovations (SA 85-102 2(u)(3))	2,800,000	(1,913,580)	-
SA 97-1 (J5 SS)	52	-	Renovations and improvements to existing state-owned buildings for inmate housing, programming and staff training space, and additional inmate capacity, including support facilities (SA 87-77 2(o)(2))	36,250,000	(93,308)	-
SA 97-1 (J5 SS)	71	-	Renovations and improvements to existing state-owned buildings for inmate housing, programming and staff training space, and additional inmate capacity, including support facilities, various projects at various locations (SA 88-77 2(x)(4))	45,250,000	(166,411)	-
SA 97-1 (J5 SS)	79	-	Grants-in-aid for community residential facilities for alterations, repairs and improvements (SA 88-77 23(e))	1,000,000	(54,117)	-
SA 97-1 (J5 SS)	91	-	Replace existing cells with new units, support facilities including a gymnasium and various life, safety and other improvements (SA 89-52 2(u)(5)(A))	29,200,000	(2,768,000)	-
SA 97-1 (J5 SS)	118	-	Grants-in-aid for community residential facilities for planning, design, land acquisition, construction, renovations, alterations, repairs and improvements (SA 90-34 23(m)(2))	3,800,000	(470,000)	-

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $5,030,803 in Personal Services from the early retirement incentive program, and $2,760,583 in Personal Services and $3,991,615 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $15,624,723 for FY '98.

Board of Pardons
8090

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
002	Other Expenses	22,041	31,601	34,141	34,141	34,141	34,141
	Agency Total - General Fund	**22,041**	**31,601**	**34,141**	**34,141**	**34,141**	**34,141**
	Agency Total - Appropriated Funds	**22,041**	**31,601**	**34,141**	**34,141**	**34,141**	**34,141**
	Agency Grand Total	**22,041**	**31,601**	**34,141**	**34,141**	**34,141**	**34,141**
	BUDGET BY PROGRAM						
	Board of Pardons						
	General Fund						
	Other Expenses	22,041	31,601	34,141	34,141	34,141	34,141
	Agency Grand Total	**22,041**	**31,601**	**34,141**	**34,141**	**34,141**	**34,141**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**31,601**	**0**	**31,601**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Other Expenses	0	6,458	0	7,330	0	0	0	0
Equipment	0	5,000	0	5,000	0	0	0	0
Total - General Fund	**0**	**11,458**	**0**	**12,330**	**0**	**0**	**0**	**0**
Reduce Hearing Costs and Inflation - (B)								
The Board of Pardons executes its authority to grant pardons to convicted persons and commute sentences of persons sentenced to death by conducting a number of hearings throughout the year.								
-(G) It is proposed that there be no current services increases, as well as a reduction in hearing costs to achieve savings.								
-(L)Same as Governor								
Other Expenses	0	-3,918	0	-4,790	0	0	0	0
Total - General Fund	**0**	**-3,918**	**0**	**-4,790**	**0**	**0**	**0**	**0**
Transfer of Capital Equipment Purchase Fund - (B)								
This fund is used for the purchase of equipment with a useful life of at least 3 years. It is financed through the sale of bonds and is administered by OPM.								
-(G) Funding for various equipment items is removed from the General Fund and is to be provided by CEPF.								
-(L)Same as Governor								
Equipment	0	-5,000	0	-5,000	0	0	0	0
Total - General Fund	**0**	**-5,000**	**0**	**-5,000**	**0**	**0**	**0**	**0**
Budget Totals - GF	**0**	**34,141**	**0**	**34,141**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Applicants for consideration Inmates/Non-Inmates	225/218	580/320	580/320	580/320	580/320	580/320	580/320	580/320
Pardons granted Inmates/Non-Inmates	0/80	228/80	228/80	228/80	Mar-80	Mar-80	Mar-80	Mar-80
Number of Hearings Conducted Inmates/Non-Inmates	3/2	7/8	4/8	4/8	4/5	4/5	4/5	4/5
Request for applications	670	890	890	890	890	890	890	890

Board of Parole
8091

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	74	74	74	74	74	74
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	2,460,966	2,897,242	2,918,523	3,020,204	2,918,523	3,020,204
002	Other Expenses	621,575	766,443	742,363	742,363	742,363	742,363
6XX	Grant Payments - Other Than Towns	2,409,363	2,921,164	2,591,064	2,591,064	2,591,064	2,591,064
	Agency Total - General Fund[1]	**5,491,904**	**6,584,849**	**6,251,950**	**6,353,631**	**6,251,950**	**6,353,631**
	Agency Total - Appropriated Funds	**5,491,904**	**6,584,849**	**6,251,950**	**6,353,631**	**6,251,950**	**6,353,631**
	Additional Funds Available						
	Private Contributions	8,811	48,906	0	0	0	0
	Federal Contributions	26,432	146,718	0	0	0	0
	Agency Grand Total	**5,527,147**	**6,780,473**	**6,251,950**	**6,353,631**	**6,251,950**	**6,353,631**
	BUDGET BY PROGRAM						
	Board of Parole						
	Permanent Full-Time Positions GF	74	74	74	74	74	74
	General Fund						
	Personal Services	2,460,966	2,899,845	3,084,862	3,186,543	3,084,862	3,186,543
	Other Expenses	621,575	766,443	742,363	742,363	742,363	742,363
	Grant Payments - Other Than Towns						
	Community Residential Services	1,020,000	1,020,000	1,020,000	1,020,000	1,020,000	1,020,000
	Community Non Residential Services	1,389,363	1,901,164	1,571,064	1,571,064	1,571,064	1,571,064
	Total - General Fund	**5,491,904**	**6,587,452**	**6,418,289**	**6,519,970**	**6,418,289**	**6,519,970**
	Federal Contributions						
	Drug Control & System Imrpr Gt	26,432	146,718	0	0	0	0
	Additional Funds Available						
	Private Contributions	8,811	48,906	0	0	0	0
	Total - All Funds	**5,527,147**	**6,783,076**	**6,418,289**	**6,519,970**	**6,418,289**	**6,519,970**
	Personal Services Savings						
	General Fund						
	Personal Services	0	0	-153,606	-153,606	-153,606	-153,606
	Less: Turnover - Personal Services - GF	0	-2,603	-12,733	-12,733	-12,733	-12,733
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
611	Community Residential Services	1,020,000	1,020,000	1,020,000	1,020,000	1,020,000	1,020,000
612	Community Non Residential Services	1,389,363	1,901,164	1,571,064	1,571,064	1,571,064	1,571,064
	Agency Grand Total	**5,527,147**	**6,780,473**	**6,251,950**	**6,353,631**	**6,251,950**	**6,353,631**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**74**	**6,792,285**	**74**	**6,792,285**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	145,334	0	247,015	0	0	0	0

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Other Expenses	0	-86,931	0	-66,958	0	0	0	0
Equipment	0	153,490	0	123,000	0	0	0	0
Community Residential Services	0	24,480	0	48,503	0	0	0	0
Community Non Residential Services	0	47,377	0	93,870	0	0	0	0
Total - General Fund	**0**	**283,750**	**0**	**445,430**	**0**	**0**	**0**	**0**

Reduce Personal Services and Inflation - (B)
-(G) It is proposed that there be a general agency personal services reduction as well as the elimination of inflation increases for both years of the biennium.
-(L)Same as Governor

Personal Services	0	-153,606	0	-153,606	0	0	0	0
Other Expenses	0	-20,353	0	-40,326	0	0	0	0
Community Residential Services	0	-24,480	0	-48,503	0	0	0	0
Community Non Residential Services	0	-47,377	0	-93,870	0	0	0	0
Total - General Fund	**0**	**-245,816**	**0**	**-336,305**	**0**	**0**	**0**	**0**

Reflect Reduction in Revolving Fund Rates - (B)
-(G) It is proposed that the Other Expenses account be reduced to reflect the changes in Technical Services Revolving Fund rates for the State Data Center.
-(L)Same as Governor

Other Expenses	0	-21,779	0	-21,779	0	0	0	0
Total - General Fund	**0**	**-21,779**	**0**	**-21,779**	**0**	**0**	**0**	**0**

Fund Equipment Items from the CEPF - (B)
The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least 3 years. It is financed through the sale of bonds and is administered by the Office of Policy and Management.
-(G) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds).
-(L)Same as Governor

Equipment	0	-153,490	0	-123,000	0	0	0	0
Total - General Fund	**0**	**-153,490**	**0**	**-123,000**	**0**	**0**	**0**	**0**

Adjust Caseloads - (B)
The Board of Parole determines whether to release or continue confinement of offenders or to return to prison any parolee who fails to comply with the conditions of parole. Oftentimes conditions of parole include ongoing participation in treatment programs with residential and non-residential.
-(G) In accordance with the request for the 10% reduction option, it is proposed that caseloads associated with community non-residential services be adjusted to effect economies.
-(L)Same as Governor

Community Non Residential Services	0	-403,000	0	-403,000	0	0	0	0
Total - General Fund	**0**	**-403,000**	**0**	**-403,000**	**0**	**0**	**0**	**0**
Budget Totals - GF	**74**	**6,251,950**	**74**	**6,353,631**	**0**	**0**	**0**	**0**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Granted parole	1,750	2,000	2,000	2,000	2,000	2,000	2,000	2,000
Denied parole	1,252	1,400	1,400	1,400	1,400	1,400	1,400	1,400
Rescinded parole	133	150	150	150	150	150	150	150
Revoked parole	297	300	300	300	300	300	300	300
Successful completion of parole	961	1,200	1,200	1,200	1,200	1,200	1,200	1,200
Other actions	2,481	2,500	2,500	2,500	2,500	2,500	2,500	2,500
Parolees supervised in community	1,269	1,500	1,500	1,500	1,350	1,370	1,350	1,370

OTHER SIGNIFICANT 1997 LEGISLATION AFFECTING THE AGENCY'S BUDGET

PA 97-256, "An Act Concerning the Board of Parole" - Requires the Board of Parole to enter an agreement with the U.S. Immigration and Naturalization Service (INS) to deport alien parolees and allows certain employees of the Board to retire with full benefits after 20 years of service (hazardous duty retirement).

---

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $230,473 in Personal Services from the early retirement incentive program, and $29,185 in Personal Services and $35,262 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $164,459 for FY '98.

Department of Children and Families
8100

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	2,902	2,916	2,952	2,924	2,952	2,924
	Others Equated to Full-Time	174	167	137	137	137	137
	Additional Funds Available						
	Permanent Full-Time	2	2	48	48	48	48
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services[1]	117,744,491	127,475,138	137,359,673	144,222,308	137,359,673	144,222,308
002	Other Expenses[1]	23,588,307	25,295,369	22,101,121	19,663,688	21,201,121	18,763,688
005	Equipment	70,776	0	0	0	0	0
02X	Other Current Expenses	3,211,608	4,034,861	4,314,861	4,314,861	5,354,861	5,354,861
6XX	Grant Payments - Other Than Towns	150,672,725	183,073,572	182,017,673	183,443,511	183,411,814	184,837,652
7XX	Grant Payments - To Towns	3,885	0	0	0	0	0
	Agency Total - General Fund[2][3]	**295,291,792**	**339,878,940**	**345,793,328**	**351,644,368**	**347,327,469**	**353,178,509**
	Agency Total - Appropriated Funds	**295,291,792**	**339,878,940**	**345,793,328**	**351,644,368**	**347,327,469**	**353,178,509**
	Additional Funds Available						
	Carry Forward Funding	0	0	0	0	5,640,233	0
	Private Contributions	214,619	235,424	150,800	162,800	150,800	162,800
	Federal Contributions	12,179,382	14,715,022	13,197,080	11,628,989	13,197,080	11,628,989
	Agency Grand Total	**307,685,793**	**354,829,386**	**359,141,208**	**363,436,157**	**366,315,582**	**364,970,298**
	BUDGET BY DIVISION						
	DCF - Youth and Community Development Services						
	Agency Total - General Fund	**3,080,263**	**2,932,424**	**2,700,123**	**2,700,023**	**2,700,123**	**2,700,023**
	Private Contributions	0	1,755	0	0	0	0
	Federal Contributions	109,540	274,942	26,665	264,859	26,665	264,859
	Total All Funds	**3,189,803**	**3,209,121**	**2,726,788**	**2,964,882**	**2,726,788**	**2,964,882**
	DCF - Support Services						
	Agency Total - General Fund	**107,553,153**	**122,504,980**	**135,139,726**	**139,292,774**	**135,553,727**	**139,706,775**
	Private Contributions	139,000	166,422	150,800	162,800	150,800	162,800
	Federal Contributions	3,689,568	4,761,469	6,378,124	2,748,986	6,378,124	2,748,986
	Total All Funds	**111,381,721**	**127,432,871**	**141,668,650**	**142,204,560**	**142,082,651**	**142,618,561**
	DCF - Supplementary Services						
	Agency Total - General Fund	**3,752,378**	**3,985,895**	**4,301,557**	**4,301,351**	**4,413,343**	**4,413,137**
	DCF - Substitute Services						
	Agency Total - General Fund	**152,435,358**	**181,785,735**	**177,789,780**	**181,208,555**	**179,698,134**	**183,116,909**
	Federal Contributions	6,444,735	4,887,442	4,500,630	4,557,001	4,500,630	4,557,001
	Total All Funds	**158,880,093**	**186,673,177**	**182,290,410**	**185,765,556**	**184,198,764**	**187,673,910**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	DCF - Management Services						
	Agency Total - General Fund	**28,470,640**	**28,669,906**	**28,380,048**	**26,811,226**	**27,480,048**	**25,911,226**
	Carry Forward Funding	0	0	0	0	5,640,233	0
	Private Contributions	75,619	67,247	0	0	0	0
	Federal Contributions	1,935,539	4,791,169	2,291,661	4,058,143	2,291,661	4,058,143
	Total All Funds	**30,481,798**	**33,528,322**	**30,671,709**	**30,869,369**	**35,411,942**	**29,969,369**
	Less: Turnover - Personal Services - GF	0	0	-2,517,906	-2,669,561	-2,517,906	-2,669,561
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
602	Grants for Psychiatric Clinics for Children	9,862,453	10,123,055	10,206,671	10,206,671	10,206,671	10,206,671
603	Day Treatment Centers for Children	3,977,939	4,298,690	4,614,352	4,614,352	4,726,138	4,726,138
607	Treatment and Prevention of Child Abuse	3,622,053	4,333,669	4,506,230	4,506,218	4,506,230	4,506,218
610	Community Emergency Services	992,141	1,010,822	753,978	753,978	776,597	776,597
611	Community Preventive Services	2,883,146	2,725,542	2,545,814	2,545,714	2,545,814	2,545,714
612	Aftercare for Children	74,862	74,862	87,862	87,862	87,862	87,862
613	Family Violence Services	356,774	356,774	352,367	352,367	352,367	352,367
614	Health and Community Services	1,428,388	1,418,479	1,154,136	1,154,136	1,154,136	1,154,136
616	No Nexus Special Education	2,472,000	3,769,318	1,975,871	1,975,871	3,275,871	3,275,871
617	Family Preservation Services	4,821,306	5,378,480	5,375,515	5,375,515	5,375,515	5,375,515
618	Substance Abuse Treatment	1,655,937	1,236,929	1,636,053	1,635,941	1,636,053	1,635,941
619	Child Welfare Support Services	2,014,370	1,860,563	1,873,811	1,873,193	1,873,811	1,873,193
620	Juvenile Case Management Collaborative	470,055	547,600	547,600	547,600	547,600	547,600
624	Board and Care for Children-Adoption	16,192,388	18,083,695	20,771,113	21,015,913	20,122,495	20,367,295
625	Board and Care for Children-Foster	35,288,549	56,818,364	51,400,761	51,582,641	59,905,233	60,087,113
626	Board and Care for Children-Residential	64,560,364	71,036,730	74,215,539	75,215,539	66,319,421	67,319,421
	GRANT PAYMENTS - TO TOWNS (Recap)						
701	Youth Service Bureaus	3,885	0	0	0	0	0
	OTHER CURRENT EXPENSES (Recap)						
011	Short Term Residential Treatment	0	180,000	360,000	360,000	360,000	360,000
035	Wilderness School Program	50,645	81,250	96,250	96,250	96,250	96,250
036	Children's Trust Fund	384,500	585,000	670,000	670,000	1,710,000	1,710,000
038	Substance Abuse Screening	1,107,375	1,600,000	1,600,000	1,600,000	1,600,000	1,600,000
039	Workers' Compensation Claims	1,669,088	1,588,611	1,588,611	1,588,611	1,588,611	1,588,611
	EQUIPMENT						
005	Equipment	70,776	0	0	0	0	0
	Agency Grand Total	**307,685,793**	**354,829,386**	**359,141,208**	**363,436,157**	**366,315,582**	**364,970,298**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Parent Education and Support Centers	22	22	22	22	22	22	22	22
Parent Contacts	44,600	44,600	44,600	44,600	44,600	44,600	44,600	44,600
FWSN School-Home Liaison Projects	11	11	11	11	11	11	11	11
Students Served	7,795	7,795	7,795	7,795	7,795	7,795	7,795	7,795
Schools Receiving Service	14	14	14	14	14	14	14	14
Child abuse, neglect and at risk investigations	24,654	25,000	25,000	25,000	25,000	25,000	25,000	25,000
Mandated investigations	13,952	13,000	13,000	13,000	13,000	13,000	13,000	13,000
Percent children with abuse, neglect at risk substantiated (%)	70	55	55	55	55	55	55	55
Calls received by CARELINE	54,000	54,000	54,000	54,000	54,100	54,100	54,100	54,100
Calls that were Central Registry Inquiry	600	600	600	600	600	600	600	600
Family cases average daily served	7,000	7,000	7,000	7,000	7,000	7,000	7,000	7,000
Individual cases closed regional offices only	2,450	2,400	2,400	2,400	2,400	2,400	2,400	2,400
Cases reviewed by child protection case consultation teams	450	400	400	400	400	400	400	400
Families served by parent aides	2,692	3,242	3,242	3,242	3,242	3,242	3,242	3,242
Children receiving therapeutic day care	1,290	1,335	1,335	1,335	1,335	1,335	1,335	1,335
Families receiving home-based services	700	700	700	700	700	700	700	700

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Family violence outpatient projects	7	7	7	7	7	7	7	7
Children and youth receiving family violence outpatient services	1,000	1,000	1,000	1,000	1,000	1,000	1,000	1,000
Related adults receiving family violence outpatient services	600	600	600	600	600	600	600	600
Adoptions finalized for children for whom DCF is statutory parent	54	200	200	200	200	200	200	200
Investigations completed by DCF for stepparent and relative adoptions	220	226	226	226	226	226	226	226
Interstate adoption activities	400	400	400	400	400	400	400	400
Adoption services purchased from Connecticut private agencies	75	75	75	75	75	75	75	75
Children in subsidized adoption(monthly)	2,100	2,100	2,100	2,100	2,106	2,106	2,106	2,106
Subsidized adoptions finalized	48	190	190	190	190	190	190	190
Children with financial subsidies only	500	500	500	500	500	500	500	500
Children with medical subsidies only	320	320	320	320	320	320	320	320
Children with both medical and financial subsidies	1,538	1,538	1,538	1,538	1,538	1,538	1,538	1,538
Adoption homes reviewed and licensed	80	175	175	175	178	178	178	178
Children and youth receiving individual or group therapy	15,000	15,000	15,000	15,000	15,000	15,000	15,000	15,000
For those children discharged from Individual or Group Therapy								
Average number of sessions	22	22	22	22	22	22	22	22
Average duration of service (days)	300	300	300	300	300	300	300	300
Percent not requiring further svs (%)	30	30	30	30	30	30	30	30
Children/Youth receiving Emergency Psychiatric Services	2,166	2,746	2,746	2,746	3,388	3,388	3,388	3,388
Children and youth diverted	3,000	3,000	3,000	3,000	3,000	3,000	3,000	3,000
Total diversions	6,600	6,600	6,600	6,600	6,600	6,600	6,600	6,600
Children and youth receiving counseling and other services	23,000	23,000	23,000	23,000	23,000	23,000	23,000	23,000
Individuals receiving large group services								
Average children in care (monthly)	30	30	30	30	30	30	30	30
Youth served by the Wilderness School (annual)	750	750	750	750	750	750	750	750
Youth under supervision of Parole (at end of month, single day)	548	548	548	548	548	548	548	548
Youth in independent living (monthly)	150	150	150	150	150	150	150	150
Youth receiving crisis intervention services (monthly)	102	102	102	102	102	102	102	102
Average daily census of community placements	13	13	13	13	13	13	13	13
Average length of stay for community placements (days)	16	16	16	16	16	16	16	16
DCF children receiving day treatment services (yearly)	623	803	803	803	803	803	803	803
Average daily census	2,921	3,594	3,594	3,594	4,265	4,265	4,265	4,265
Average months in current foster family placement	19	19	19	19	19	19	19	19
Average total time in placement(monthly)	30	30	30	30	30	30	30	30
Children returned home	825	825	825	825	825	825	825	825
Licensed Foster Family Homes	1,250	1,250	1,250	1,250	1,585	1,585	1,585	1,585
Average daily Temporary Shelters census	117	136	136	136	136	136	136	136
Admissions	900	900	900	900	900	900	900	900
Discharged	900	900	900	900	900	900	900	900
Average length of stay (days)	29	29	29	29	29	29	29	29
Average per diem rate ($)	144	144	144	144	144	144	144	144
Average Daily Group Homes census	180	180	180	180	180	180	180	180
Admissions	225	225	225	225	225	225	225	225
Discharges	223	223	223	223	223	223	223	223
Average length of stay (months)	9	9	9	9	9	9	9	9
Average per diem rate ($)	132	132	132	132	132	132	132	132
Average Daily Residential census	1,150	1,162	1,162	1,162	1,162	1,162	1,162	1,162
Admissions	789	789	789	789	789	789	789	789
Discharges	795	795	795	795	795	795	795	795
Average length of stay (months)	15	15	15	15	12	12	12	12
Average per diem rate ($)	122	128	134	134	128	128	128	128
Average daily enrollment in School District #2	115	115	115	115	115	115	115	115
Planning/Placement Team Conferences & Individual	200	200	200	200	200	200	200	200
Riverview								
Licensed beds	83	98	98	98	98	98	98	98
Referrals	548	548	548	548	548	548	548	548
Admissions	268	268	268	268	268	268	268	268
Planning/Placement Team conferences & Individual Education Plans	250	250	250	250	250	250	250	250
Average daily census	78	78	78	78	92	92	92	92
Average length of stay (days)	102	102	102	102	102	102	102	102

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Discharges	345	345	345	345	345	345	345	345
High Meadows								
Licensed beds	48	48	48	48	48	48	48	48
Referrals	126	126	126	126	126	126	126	126
Admissions	46	46	46	46	46	46	46	46
Planning/Placement Team conference & Individual Education Plans	50	50	50	50	50	50	50	50
Average daily census	44	44	44	44	44	44	44	44
Average length of stay (days)	290	290	290	290	290	290	290	290
Discharges								
Long Lane School								
Licensed beds/Rated bed capacity	192	130	130	130	130	130	130	130
Admissions								
New Commitments to LLS	293	199	199	199	199	199	199	199
New Commitments to Parole	154	105	105	105	105	105	105	105
Admissions from Direct Placement	121	82	82	82	82	82	82	82
Returns to LLS	166	128	128	128	128	128	128	128
Planning/Placement Team conferences & Individual Education Plans	914	622	622	622	622	622	622	622
Treatment Plans Completed	2,025	1,377	1,377	1,377	1,377	1,377	1,377	1,377
Average daily census	246	167	167	167	167	167	167	167
Average length of stay (days)	177	177	177	177	177	177	177	177
Discharges								
Commitment Expired	232	158	158	158	158	158	158	158
Commissioner Discharges	242	165	165	165	165	165	165	165
CT Children's Place								
Licensed beds	54	54	54	54	54	54	54	54
Referrals	494	494	494	494	494	494	494	494
Admissions	183	183	183	183	183	183	183	183
Planning/Placement Team conferences & Individual Education Plans	170	170	170	170	170	170	170	170
Average daily census	51	51	51	51	51	51	51	51
Average length of stay (days)	101	101	101	101	101	101	101	101
Fiscal audits	15	15	15	15	15	15	15	15
Quality assurance studies, monitoring reports, evaluations & program reviews	145	145	145	145	145	145	145	145

1997 BOND AUTHORIZATIONS

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	2(o)	21(m)	Alterations, renovations and improvements to buildings and grounds including the completion of the development of the State Receiving Home, East Windsor	7,250,000	3,500,000	2,000,000
SA 97-1 (J5 SS)	164	-	Increase in SA 93-2 JSS 30(n)(1): Long Lane School, Middletown - Alterations, renovations and improvements to buildings and grounds, including utilities and mechanical systems, additions, new construction and demolition (Sec. 72 reduces a 1988 authorization of $3,000,000 by $1,300,000 for this purpose.)	8,700,000	1,300,000	-
SA 97-1 (J5 SS)	13(j)(1)	32(g)(1)	Grants-in-aid to private nonprofit mental health clinics for children for fire, safety and environmental improvements, including expansion	2,850,000	500,000	500,000
SA 97-1 (J5 SS)	13(j)(2)	32(g)(2)	Grants-in-aid for construction, alterations, repairs and improvements to residential facilities, group homes, shelters and permanent family residences	13,460,000	1,000,000	1,000,000
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	72	-	At Long Lane School: Planning for alterations, renovations and improvements to buildings and grounds including utilities and mechanical systems, additions, new construction and demolition (SA 88-77 2(y)(2))	3,000,000	(1,300,000)	-

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $7,542,339 in Personal Services from the early retirement incentive program, and $1,373,597 in Personal Services and $1,007,053 in Other Expenses as part of the general reductions in SFY 1997-98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the SFY 1998-99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $1,038,243 for SFY 1997-98.

[2] Section 33 of PA 97-8 of the June Special Session, "An Act Concerning Expenditures for the Programs and Services of the Department of Public Health", authorizes the Secretary of the Office of Policy and Management to distribute funds appropriated for the Private Provider account to the Departments of Mental Health and Addiction Services, Mental Retardation, Social Services and Children and Families. These funds shall be used for supplemental payments to private providers, except, not more than $4.5 million shall be distributed in SFY 1997-98 to: (1) agencies that settle wage and benefit contracts with unionized employees between April 1, 1997 and August 1, 1997, for the otherwise unreimbursed costs of collective bargaining agreements incurred in SFY 1997-98, and (2) proportional increases in personnel costs for such agencies' non-unionized employees. Also, not more than $4.5 million shall be distributed in SFY 1997-98 to: (A)(1) agencies that do not settle wage and benefit contracts with unionized employees between April 1, 1997 and August 1, 1997 for otherwise unreimbursed costs of collective bargaining agreements incurred in SFY 1997-98, and (2) proportional increases in personnel costs for such agencies' non-unionized employees and (B) non-unionized private provider agencies for increases in personnel costs. The exact amount to be distributed to the Department of Children and Families was not yet determined as of July 31, 1997.

[3] A total of $291,284,121 was originally appropriated for SFY 1996-97 expenditures of the Department of Children and Families within SA 96-8, "An Act Making Adjustments to the State Budget for the Biennium Ending June 30, 1997". These funds were supplemented by a deficiency appropriation of $35,526,000 within SA 97-8, "An Act Making Deficiency Appropriations for the Fiscal Year Ending June 30, 1997", as follows: Personal Services, $875,000; Other Expenses, $4,842,000; Board and Care for Children-Adoption, $1,368,000; Board and Care for Children-Foster, $23,016,000; Board and Care for Children-Residential, $5,425,000. In addition, funds, in the amount of $11,533,258, were distributed to the agency from the Office of Policy and Management's Reserve for Salary Adjustment account.

DCF - Youth and Community Development Services
8121

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
6XX	Grant Payments - Other Than Towns	3,076,378	2,932,424	2,700,123	2,700,023	2,700,123	2,700,023
7XX	Grant Payments - To Towns	3,885	0	0	0	0	0
	Agency Total - General Fund	**3,080,263**	**2,932,424**	**2,700,123**	**2,700,023**	**2,700,123**	**2,700,023**
	Agency Total - Appropriated Funds	**3,080,263**	**2,932,424**	**2,700,123**	**2,700,023**	**2,700,123**	**2,700,023**
	Additional Funds Available						
	Private Contributions	0	1,755	0	0	0	0
	Federal Contributions	109,540	274,942	26,665	264,859	26,665	264,859
	Agency Grand Total	**3,189,803**	**3,209,121**	**2,726,788**	**2,964,882**	**2,726,788**	**2,964,882**
	BUDGET BY PROGRAM						
	Youth and Community Development Services						
	General Fund						
	Grant Payments - Other Than Towns						
	Community Preventive Services	2,883,146	2,725,542	2,545,814	2,545,714	2,545,814	2,545,714
	Health and Community Services	193,232	206,882	154,309	154,309	154,309	154,309
	Grant Payments - To Towns						
	Youth Service Bureaus	3,885	0	0	0	0	0
	Total - General Fund	**3,080,263**	**2,932,424**	**2,700,123**	**2,700,023**	**2,700,123**	**2,700,023**
	Federal Contributions						
	Juvenile Justice&Delinq Prevent	20,000	20,000	20,000	20,000	20,000	20,000
	Drug Free Schools	89,540	254,942	6,665	244,859	6,665	244,859
	Total - Federal Contributions	**109,540**	**274,942**	**26,665**	**264,859**	**26,665**	**264,859**
	Additional Funds Available						
	Private Contributions	0	1,755	0	0	0	0
	Total - All Funds	**3,189,803**	**3,209,121**	**2,726,788**	**2,964,882**	**2,726,788**	**2,964,882**
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
611	Community Preventive Services	2,883,146	2,725,542	2,545,814	2,545,714	2,545,814	2,545,714
614	Health and Community Services	193,232	206,882	154,309	154,309	154,309	154,309
	GRANT PAYMENTS - TO TOWNS (Recap)						
701	Youth Service Bureaus	3,885	0	0	0	0	0
	Agency Grand Total	**3,189,803**	**3,209,121**	**2,726,788**	**2,964,882**	**2,726,788**	**2,964,882**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**3,527,809**	**0**	**3,527,809**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Grant Payments-Other Than Towns	0	73,771	0	156,509	0	0	0	0
Total - General Fund	**0**	**73,771**	**0**	**156,509**	**0**	**0**	**0**	**0**

Achieve Efficiencies/Community Based Service Contracts - (B)
The Department funds a variety of community-based service contracts providing support to children and their families. In many cases, the children served by these programs are not currently on the agency's active caseload. (Prevention Program)

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(G) A reduction in funding, in the amount of $1,690,981 in each of SFY 1997-98 and SFY 1998-99, is recommended to reflect the annualization of discontinued support for various community-based service programs that have traditionally been financed by grant awards to private providers. These cuts were first initiated by the agency in the 1996-97 fiscal year. The remainder of this reduction has been reflected under the agency's Substitute Services, Support Services and Management Services programs. -(L)Same as Governor								
Community Preventive Services	0	-772,688	0	-772,688	0	0	0	0
Health and Community Services	0	-44,098	0	-44,098	0	0	0	0
Total - General Fund	**0**	**-816,786**	**0**	**-816,786**	**0**	**0**	**0**	**0**
Expenditure Update/Reductions in Inflationary Increases - (B)								
-(G) A reduction in funding, in the amount of $3,694,444, is recommended in SFY 1997-98 to reflect the Governor's proposal to uniformly eliminate inflation for all accounts. The sum of $3,694,444 would have been necessary to provide an inflationary increase of 2.4 percent in SFY 1997-98. A further reduction of $4,864,206 is recommended to reflect the continued impact of inflation in SFY 1998-99. The total second year reduction of $8,558,650 is necessary to represent the cumulative impact of these changes on the current services base over time. The balance of this reduction has been prorated throughout the Department's other programs. -(L)Same as Governor								
Community Preventive Services	0	-79,906	0	-158,069	0	0	0	0
Health and Community Services	0	-4,765	0	-9,440	0	0	0	0
Total - General Fund	**0**	**-84,671**	**0**	**-167,509**	**0**	**0**	**0**	**0**
Budget Totals - GF	**0**	**2,700,123**	**0**	**2,700,023**	**0**	**0**	**0**	**0**

DCF - Support Services
8122

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	1,602	1,753	1,737	1,737	1,737	1,737
	Others Equated to Full-Time	53	51	21	21	21	21
	Additional Funds Available						
	Permanent Full-Time	1	1	1	1	1	1
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	57,724,245	67,893,023	78,469,859	82,404,804	78,469,859	82,404,804
002	Other Expenses	7,894,633	8,318,160	7,510,555	7,480,146	7,510,555	7,480,146
02X	Other Current Expenses	1,539,536	2,266,250	2,366,250	2,366,250	3,406,250	3,406,250
6XX	Grant Payments - Other Than Towns	40,394,739	44,027,547	46,793,062	47,041,574	46,167,063	46,415,575
	Agency Total - General Fund	**107,553,153**	**122,504,980**	**135,139,726**	**139,292,774**	**135,553,727**	**139,706,775**
	Agency Total - Appropriated Funds	**107,553,153**	**122,504,980**	**135,139,726**	**139,292,774**	**135,553,727**	**139,706,775**
	Additional Funds Available						
	Private Contributions	139,000	166,422	150,800	162,800	150,800	162,800
	Federal Contributions	3,689,568	4,761,469	6,378,124	2,748,986	6,378,124	2,748,986
	Agency Grand Total	**111,381,721**	**127,432,871**	**141,668,650**	**142,204,560**	**142,082,651**	**142,618,561**
	BUDGET BY PROGRAM						
	Children's and Protective Services						
	Permanent Full-Time Positions GF	1,581	1,732	1,716	1,716	1,716	1,716
	General Fund						
	Personal Services	56,269,108	67,101,640	77,540,638	81,428,986	77,540,638	81,428,986
	Other Expenses	7,661,827	8,195,947	7,332,393	7,303,455	7,332,393	7,303,455
	Total - General Fund	**63,930,935**	**75,297,587**	**84,873,031**	**88,732,441**	**84,873,031**	**88,732,441**
	Federal Contributions						
	Children's Justice Grants	92,846	0	0	0	0	0
	Child Welfare	451,030	0	0	0	0	0
	Total - Federal Contributions	**543,876**	**0**	**0**	**0**	**0**	**0**
	Additional Funds Available						
	Private Contributions	6,313	15,942	0	0	0	0
	Total - All Funds	**64,481,124**	**75,313,529**	**84,873,031**	**88,732,441**	**84,873,031**	**88,732,441**
	Community Child Protective Services						
	General Fund						
036	Children's Trust Fund	384,500	585,000	670,000	670,000	1,710,000	1,710,000
038	Substance Abuse Screening	1,107,375	1,600,000	1,600,000	1,600,000	1,600,000	1,600,000
	Grant Payments - Other Than Towns						
	Treatment and Prevention of Child Abuse	3,622,053	4,333,669	4,506,230	4,506,218	4,506,230	4,506,218
	Community Emergency Services	0	297,994	225,313	225,326	225,313	225,326
	Family Violence Services	356,774	356,774	352,367	352,367	352,367	352,367
	Health and Community Services	438,350	459,036	408,822	408,827	408,822	408,827
	Total - General Fund	**5,909,052**	**7,632,473**	**7,762,732**	**7,762,738**	**8,802,732**	**8,802,738**
	Federal Contributions						
	Family & Community Alliance	94,778	34,730	0	0	0	0
	C.A.S.S.P.	0	259,494	80,000	80,000	80,000	80,000
	Family Preservation	368,080	0	0	0	0	0
	Children's Justice Grants	0	45,772	0	0	0	0
	Child Welfare	322,956	0	0	0	0	0
	Child Abuse & Neglect	355,653	684,017	170,017	170,017	170,017	170,017

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Child Abuse/Neglect Discret.Gts	9,519	0	194,620	64,874	194,620	64,874
	Children's Trust Fund	25,620	3,002	0	0	0	0
	HIV Prevention Activities-	0	119,250	0	0	0	0
	Comm Mental Hlth Serv Block Grt	65,000	914,502	970,000	0	970,000	0
	Total - Federal Contributions	**1,241,606**	**2,060,767**	**1,414,637**	**314,891**	**1,414,637**	**314,891**
	Additional Funds Available						
	Private Contributions	26,362	34,480	23,800	23,800	23,800	23,800
	Total - All Funds	**7,177,020**	**9,727,720**	**9,201,169**	**8,101,429**	**10,241,169**	**9,141,429**
	Adoption Services						
	Permanent Full-Time Positions GF	14	14	14	14	14	14
	General Fund						
	Personal Services	1,196,558	531,290	643,177	675,430	643,177	675,430
	Other Expenses	146,667	36,074	96,306	95,612	96,306	95,612
	Grant Payments - Other Than Towns						
	Child Welfare Support Services	281,372	201,753	308,063	308,022	308,063	308,022
	Board and Care for Children-Adoption	16,192,388	18,083,695	20,771,113	21,015,913	20,122,495	20,367,295
	Total - General Fund	**17,816,985**	**18,852,812**	**21,818,659**	**22,094,977**	**21,170,041**	**21,446,359**
	Community Child Psychiatric Services						
	General Fund						
	Grant Payments - Other Than Towns						
	Grants for Psychiatric Clinics for Children	9,862,453	10,123,055	10,206,671	10,206,671	10,206,671	10,206,671
	Day Treatment Centers for Children	225,561	225,561	225,561	225,771	225,561	225,771
	Total - General Fund	**10,088,014**	**10,348,616**	**10,432,232**	**10,432,442**	**10,432,232**	**10,432,442**
	Federal Contributions						
	C.A.S.S.P.	116,470	145,924	0	0	0	0
	Comm Mental Hlth Serv Block Grt	704,365	177,905	0	979,502	0	979,502
	Total - Federal Contributions	**820,835**	**323,829**	**0**	**979,502**	**0**	**979,502**
	Total - All Funds	**10,908,849**	**10,672,445**	**10,432,232**	**11,411,944**	**10,432,232**	**11,411,944**
	Community Living						
	Permanent Full-Time Positions GF/OF	7/1	7/1	7/1	7/1	7/1	7/1
	General Fund						
	Personal Services	258,579	260,093	286,044	300,388	286,044	300,388
	Other Expenses	86,139	86,139	81,856	81,079	81,856	81,079
035	Wilderness School Program	47,661	81,250	96,250	96,250	96,250	96,250
	Grant Payments - Other Than Towns						
	Community Emergency Services	992,141	712,828	528,665	528,652	551,284	551,271
	Aftercare for Children	74,862	74,862	87,862	87,862	87,862	87,862
	Health and Community Services	305,545	413,474	362,524	362,522	362,524	362,522
	Family Preservation Services	4,821,306	5,378,480	5,375,515	5,375,515	5,375,515	5,375,515
	Substance Abuse Treatment	1,018,881	1,159,956	992,491	992,544	992,491	992,544
	Child Welfare Support Services	1,732,998	1,658,810	1,565,748	1,565,171	1,565,748	1,565,171
	Juvenile Case Management Collaborative	470,055	547,600	547,600	547,600	547,600	547,600
	Board and Care for Children-Residential	0	0	328,517	332,593	328,517	332,593
	Total - General Fund	**9,808,167**	**10,373,492**	**10,253,072**	**10,270,176**	**10,275,691**	**10,292,795**
	Federal Contributions						
	Maternal and Child Health	30,000	0	0	0	0	0
	Family Preservation	280,105	1,279,000	3,574,236	628,000	3,574,236	628,000
	Children's Justice Grants	424,570	334,182	606,593	606,953	606,593	606,953
	Independent Living	348,576	763,691	782,658	219,640	782,658	219,640
	Total - Federal Contributions	**1,083,251**	**2,376,873**	**4,963,487**	**1,454,593**	**4,963,487**	**1,454,593**
	Additional Funds Available						
	Private Contributions	106,325	116,000	127,000	139,000	127,000	139,000
	Total - All Funds	**10,997,743**	**12,866,365**	**15,343,559**	**11,863,769**	**15,366,178**	**11,886,388**
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
602	Grants for Psychiatric Clinics for Children	9,862,453	10,123,055	10,206,671	10,206,671	10,206,671	10,206,671
603	Day Treatment Centers for Children	225,561	225,561	225,561	225,771	225,561	225,771
607	Treatment and Prevention of Child Abuse	3,622,053	4,333,669	4,506,230	4,506,218	4,506,230	4,506,218
610	Community Emergency Services	992,141	1,010,822	753,978	753,978	776,597	776,597
612	Aftercare for Children	74,862	74,862	87,862	87,862	87,862	87,862
613	Family Violence Services	356,774	356,774	352,367	352,367	352,367	352,367
614	Health and Community Services	743,895	872,510	771,346	771,349	771,346	771,349
617	Family Preservation Services	4,821,306	5,378,480	5,375,515	5,375,515	5,375,515	5,375,515
618	Substance Abuse Treatment	1,018,881	1,159,956	992,491	992,544	992,491	992,544
619	Child Welfare Support Services	2,014,370	1,860,563	1,873,811	1,873,193	1,873,811	1,873,193
620	Juvenile Case Management Collaborative	470,055	547,600	547,600	547,600	547,600	547,600
624	Board and Care for Children-Adoption	16,192,388	18,083,695	20,771,113	21,015,913	20,122,495	20,367,295

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
626	Board and Care for Children-Residential	0	0	328,517	332,593	328,517	332,593
	Agency Grand Total	**111,381,721**	**127,432,871**	**141,668,650**	**142,204,560**	**142,082,651**	**142,618,561**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**1,753**	**123,418,914**	**1,753**	**123,418,914**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	11,208,610	0	15,167,433	0	0	0	0
Other Expenses	0	146,896	0	191,110	0	0	0	0
Other Current Expenses	0	58,701	0	112,164	0	0	0	0
Grant Payments-Other Than Towns	0	2,275,271	0	3,307,964	0	0	0	0
Total - General Fund	**0**	**13,689,478**	**0**	**18,778,671**	**0**	**0**	**0**	**0**

Expenditure Update/Overtime - (B)
In SFY 1996-97, the Department of Children and Families is anticipated to incur overtime costs totaling $5,329,071.
-(G) A reduction in funding, in the amount of $139,576 in SFY 1997-98, is recommended to reflect the impact of an agency-wide effort to restrict the utilization of overtime. In SFY 1998-99, an additional reduction of $44,646 (for a cumulative total of $184,222) is recommended. The remainder of this reduction has been prorated over the agency's other programs.
-(L)Same as Governor

Personal Services	0	-74,647	0	-98,525	0	0	0	0
Total - General Fund	**0**	**-74,647**	**0**	**-98,525**	**0**	**0**	**0**	**0**

Redefine Caseload to Exclude Clients Over Eighteen - (B)
Pursuant to the Juan F. v. O'Neill Consent Decree, the Department must maintain caseloads per worker according to established ratios. To date, compliance with these caseload standards has been determined using client counts regardless of age.
-(G) A reduction in funding, in the amount of $622,912 in each of SFY 1997-98 and SFY 1998-99, is recommended to reflect the elimination of 16 Social Work staff made possible by redefining the agency's caseload for purposes of determining compliance with the Juan F. v. O'Neill Consent Decree to exclude clients over age eighteen.
-(L)Same as Governor

Personal Services	-16	-590,460	-16	-590,460	0	0	0	0
Other Expenses	0	-32,452	0	-32,452	0	0	0	0
Total - General Fund	**-16**	**-622,912**	**-16**	**-622,912**	**0**	**0**	**0**	**0**

Implement Recommendations of Healthy Families Task Force - (B)
The Healthy Families model consists of an early intervention program which offers systematic screenings of families at or near the time of birth of a newborn. Families identified as having high risk factors for child abuse and neglect are offered extended home visitation services and linkages to primary health care services, a medical home and other community resources. This model of intervention promotes family functioning with the ultimate goal of prevention of child abuse and neglect. (Prevention Program)
-(L) Funding, in the amount of $1,040,000 in each of SFY 1997-98 and SFY 1998-99, is provided to implement recommendations of the Healthy Families Task Force. Included in this sum is: $110,000 each for five existing Healthy Families sites to support service expansion; $40,000 to support a grant award to the Connecticut Center for the Prevention of Child Abuse; and $150,000 each for three new Healthy Families pilot sites serving eastern Connecticut, western Connecticut, and the Greater New Haven area. PA 97-288,

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
"An Act Implementing the Recommendations of the Healthy Families Task Force and Designating Family Day", implements these changes.								
Children's Trust Fund	0	1,040,000	0	1,040,000	0	1,040,000	0	1,040,000
Total - General Fund	**0**	**1,040,000**	**0**	**1,040,000**	**0**	**1,040,000**	**0**	**1,040,000**
Consolidate Day Treatment Program Funding - (B) Original funding of $382,500 was appropriated under the Treatment and Prevention of Child Abuse account in SFY 1996-97 to support the three-quarter year costs of enhanced Extended Day Treatment Program services included within the 1996 Resource Development Plan (RDP), as mandated by the Juan F. O'Neill Consent Decree. -(G) A transfer of $382,500 from the Treatment and Prevention of Child Abuse account to the Day Treatment Centers for Children account is recommended in each year of the biennium to reflect the consolidation of Day Treatment Program services under one account. The remainder of this transfer has been reflected under the agency's Supplementary Services program. -(L)Same as Governor								
Treatment and Prevention of Child Abuse	0	-382,500	0	-382,500	0	0	0	0
Total - General Fund	**0**	**-382,500**	**0**	**-382,500**	**0**	**0**	**0**	**0**
Enhance Intensive Family Preservation Program Services/ Consent Decree - (B) Intensive Family Preservation Services are short-term services provided to families in their own homes. In most cases, the target population is comprised of families designated as high risk, especially those with children under five years of age. The goal is to avoid the removal of children. (Prevention Program) -(G) Funding, in the amount of $65,000, is recommended in each of SFY 1997-98 and SFY 1998-99 to reflect enhanced support for Intensive Family Preservation services. -(L)Same as Governor								
Family Preservation Services	0	65,000	0	65,000	0	0	0	0
Total - General Fund	**0**	**65,000**	**0**	**65,000**	**0**	**0**	**0**	**0**
Remove One-Time Adoptive Home Recruitment Funding/Consent Decree - (B) The Department of Children and Families anticipates expending the sum of $90,000 in SFY 1996-97 to support contracted services aimed at increasing the number of adoptive homes for special needs children. This initiative was undertaken in compliance with the 1996 Resource Development Plan, as mandated by the Juan F. vs. O'Neill Consent Decree. -(G) A reduction in funding, in the amount of $90,000 in each of SFY 1997-98 and SFY 1998-99, is recommended to reflect the elimination of one-time funding for adoptive home recruitment which is anticipated to be expended in SFY 1996-97. -(L)Same as Governor								
Board and Care for Children-Adoption	0	-90,000	0	-90,000	0	0	0	0
Total - General Fund	**0**	**-90,000**	**0**	**-90,000**	**0**	**0**	**0**	**0**
Increase Subsidized Adoption Rates - (B) The Department of Children and Families provides subsidy payments to parents adopting a special needs child. A special needs child is one which is difficult to place in adoption because of the presence of one or more conditions including, but not limited to, physical or mental disability, serious emotional maladjustment, high risk of physical or mental disability, age, racial, ethnic, or other factors. -(L) Funding, in the amount of $939,000 in SFY 1997-98, is provided to increase rates paid to families that care for adopted children. This will result in SFY 1997-98 reimbursement of approximately 95% of the United States Department of Agriculture's (USDA) costs of raising a								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
child in the Northeast. An equivalent sum is provided in SFY 1998-99 to reflect maintaining the rate increase in the second year of the biennium.								
Board and Care for Children-Adoption	0	939,000	0	939,000	0	939,000	0	939,000
Total - General Fund	**0**	**939,000**	**0**	**939,000**	**0**	**939,000**	**0**	**939,000**
Expand Subsidized Adoption Placements/Consent Decree - (B) Pursuant to a Resource Development Plan developed in accordance with the Juan F. vs. O'Neill Consent Decree, the Department will make efforts to recruit additional adoptive homes and place a greater emphasis on the recruitment of African-American adoptive parents.								
-(G) Funding, in the amount of $1,189,800 in SFY 1997-98, is recommended to reflect support for additional subsidized adoption placements resulting from enhanced recruitment efforts in compliance with an agreement reached with the court pursuant to the Juan F. vs. O'Neill Consent Decree. Additional funding of $244,800 (for a cumulative total of $1,434,600) is recommended in SFY 1998-99 to reflect further expansion of the number of children in subsidized adoption in the second year of the biennium. -(L)Same as Governor								
Board and Care for Children-Adoption	0	1,189,800	0	1,434,600	0	0	0	0
Total - General Fund	**0**	**1,189,800**	**0**	**1,434,600**	**0**	**0**	**0**	**0**
Expenditure Update/Board and Care for Children - (B) The Department of Children and Families maintains a checking account for the payment of costs associated with the board and care of children. Expenditures from the checking account are attributed to the Day Treatment Centers for Children, Board and Care for Children-Adoption, Board and Care for Children-Foster, and Board and Care for Children-Residential accounts. Due to delays in implementing the financial reporting capacity under the agency's new LINK System, an accurate distribution of these payments across the budget accounts was not available at the time the Governor's Recommended Budget was developed. -(L) A transfer of funds between budget accounts is provided to more accurately reflect current expenditures for the board and care of children. The remainder of this transfer has been reflected under the agency's Substitute Services and Supplementary Services programs. 6								
Board and Care for Children-Adoption	0	-1,587,618	0	-1,587,618	0	-1,587,618	0	-1,587,618
Total - General Fund	**0**	**-1,587,618**	**0**	**-1,587,618**	**0**	**-1,587,618**	**0**	**-1,587,618**
Provide Inflationary Increase for Group Homes, Temporary Shelters, and Transitional Living Programs - (B) The Department of Children and Families places children and youth in a variety of privately operated out-of-home programs, including: Group Homes, which provide community-oriented care, including counseling, education and recreation; Temporary Shelters, which provide emergency and short-term care for children and youth in crisis situations; and Transitional Living programs, which provide assistance to all youth in foster care to make the transition from foster care to self reliance and independent living. -(L) Funding, in the amount of $555,364, is provided in each of SFY 1997-98 and SFY 1998-99 to reflect a three percent inflationary increase to be granted to Group Homes, Temporary Shelters, and Transitional Living programs, effective July 1, 1997. The remainder of this funding has been reflected under the agency's Substitute Services program.								
Community Emergency Services	0	22,619	0	22,619	0	22,619	0	22,619
Total - General Fund	**0**	**22,619**	**0**	**22,619**	**0**	**22,619**	**0**	**22,619**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Expenditure Update/Community Based Service Contracts - (B)								
The Department funds a variety of community-based service contracts providing support to children and their families. In many cases, the children served by these programs are not currently on the agency's active caseload.								
-(G) A reduction in funding, in the amount of $1,690,981 in each of SFY 1997-98 and SFY 1998-99, is recommended to reflect the annualization of discontinued support for various community-based service programs that have traditionally been financed by grant awards to private providers. These cuts were first initiated by the agency in the 1996-97 fiscal year. The remainder of this reduction has been reflected under the agency's Substitute Services, Youth and Community Development Services and Management Services programs.								
-(L)Same as Governor								
Community Emergency Services	0	-256,844	0	-256,844	0	0	0	0
Health and Community Services	0	-234,875	0	-234,875	0	0	0	0
Child Welfare Support Services	0	-330,763	0	-330,763	0	0	0	0
Total - General Fund	**0**	**-822,482**	**0**	**-822,482**	**0**	**0**	**0**	**0**
Expenditure Update/Reduction in Inflationary Increases - (B)								
-(G) A reduction in funding, in the amount of $3,694,444, is recommended in SFY 1997-98 to reflect the Governor's proposal to uniformly eliminate inflation for all accounts. The sum of $3,694,444 would have been necessary to provide an inflationary increase of 2.4 percent in SFY 1997-98. A further reduction of $4,864,206 is recommended to reflect the continued impact of inflation in SFY 1998-99. The total second year reduction of $8,558,650 is necessary to represent the cumulative impact of these changes on the current services base over time. The balance of this reduction has been prorated throughout the Department's other programs.								
-(L)Same as Governor								
Other Expenses	0	-68,724	0	-143,347	0	0	0	0
Children's Trust Fund	0	-16,080	0	-31,860	0	0	0	0
Substance Abuse Screening	0	-38,400	0	-76,083	0	0	0	0
Grants for Psychiatric Clinics for Children	0	-244,960	0	-485,348	0	0	0	0
Day Treatment Centers for Children	0	-35,200	0	-41,000	0	0	0	0
Treatment and Prevention of Child Abuse	0	-102,848	0	-203,739	0	0	0	0
Community Emergency Services	0	-24,260	0	-48,067	0	0	0	0
Aftercare for Children	0	-2,109	0	-4,178	0	0	0	0
Family Violence Services	0	-8,457	0	-16,756	0	0	0	0
Health and Community Services	0	-23,816	0	-47,190	0	0	0	0
Family Preservation Services	0	-127,452	0	-252,525	0	0	0	0
Substance Abuse Treatment	0	-24,109	0	-47,497	0	0	0	0
Child Welfare Support Services	0	-53,087	0	-105,013	0	0	0	0
Juvenile Case Management Collaborative	0	-13,142	0	-26,039	0	0	0	0
Board and Care for Children-Adoption	0	-410,072	0	-812,489	0	0	0	0
Board and Care for Children-Residential	0	-38,209	0	-46,861	0	0	0	0
Total - General Fund	**0**	**-1,230,925**	**0**	**-2,387,992**	**0**	**0**	**0**	**0**
Budget Totals - GF	**1,737**	**135,553,727**	**1,737**	**139,706,775**	**0**	**414,001**	**0**	**414,001**

DCF - Supplementary Services
8123

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
6XX	Grant Payments - Other Than Towns	3,752,378	3,985,895	4,301,557	4,301,351	4,413,343	4,413,137
	Agency Total - General Fund	**3,752,378**	**3,985,895**	**4,301,557**	**4,301,351**	**4,413,343**	**4,413,137**
	Agency Total - Appropriated Funds	**3,752,378**	**3,985,895**	**4,301,557**	**4,301,351**	**4,413,343**	**4,413,137**
	Agency Grand Total	**3,752,378**	**3,985,895**	**4,301,557**	**4,301,351**	**4,413,343**	**4,413,137**
	BUDGET BY PROGRAM						
	Private Day Treatment Programs						
	General Fund						
	Grant Payments - Other Than Towns						
	Day Treatment Centers for Children	3,752,378	3,985,895	4,301,557	4,301,351	4,413,343	4,413,137
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
603	Day Treatment Centers for Children	3,752,378	3,985,895	4,301,557	4,301,351	4,413,343	4,413,137
	Agency Grand Total	**3,752,378**	**3,985,895**	**4,301,557**	**4,301,351**	**4,413,343**	**4,413,137**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**3,791,557**	**0**	**3,791,557**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Grant Payments-Other Than Towns	0	71,290	0	180,919	0	0	0	0
Total - General Fund	**0**	**71,290**	**0**	**180,919**	**0**	**0**	**0**	**0**

Eliminate Day Treatment/Community Supervision Program - (B)
The Juvenile Justice Reorganization Plan called for the development of 45 new Day Treatment/ Community Supervision Program placements for clients referred to the Department of Children and Families by the courts. The sum of $600,000 would have been necessary in SFY 1997-98 to reflect the full-year costs of these new slots which were provided three-quarter funding in the Revised SFY 1996-97 Appropriations Act. Due to delays in implementation, it is anticipated that this program enhancement will not be initiated during the current fiscal year.
-(G) A reduction in funding, in the amount of $600,000 in each of SFY 1997-98 and SFY 1998-99, is recommended to reflect the elimination of support for additional Day Treatment/Community Supervision Program placements originally funded within the Revised SFY 1996-97 Appropriations Act.
(L)Same as Governor

Day Treatment Centers for Children	0	-600,000	0	-600,000	0	0	0	0
Total - General Fund	**0**	**-600,000**	**0**	**-600,000**	**0**	**0**	**0**	**0**

Consolidate and Annualize Day Treatment Program Funding - (B)
Original funding of $450,000 was appropriated under the Board and Care for Children-Residential account in SFY

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
1996-97 to support the three-quarter year costs of 45 new Day Treatment/Community Supervision Program placements for juvenile justice clients.								
Original funding of $382,500 was appropriated under the Treatment and Prevention of Child Abuse account in SFY 1996-97 to support the three-quarter year costs of enhanced Extended Day Treatment Program services included within the 1996 Resource Development Plan (RDP), as mandated by the Juan F. v. O'Neill Consent Decree. -(G) Funding, in the amount of $1,110,000 in each of SFY 1997-98 and SFY 1998-99, is recommended to reflect the consolidation of Day Treatment Services program funding and the annualization of partially funded service enhancements which were initiated in SFY 1996-97. -(L)Same as Governor								
Day Treatment Centers for Children	0	1,110,000	0	1,110,000	0	0	0	0
Total - General Fund	**0**	**1,110,000**	**0**	**1,110,000**	**0**	**0**	**0**	**0**
Expenditure Update/Board and Care for Children - (B) The Department of Children and Families maintains a checking account for the payment of costs associated with the board and care of children. Expenditures from the checking account are attributed to the Day Treatment Centers for Children, Board and Care for Children-Adoption, Board and Care for Children-Foster, and Board and Care for Children-Residential accounts. Due to delays in implementing the financial reporting capacity under the agency's new LINK System, an accurate distribution of these payments across the budget accounts was not available at the time the Governor's Recommended Budget was developed. -(L) A transfer of funds between budget accounts is provided to more accurately reflect current expenditures for the board and care of children. The remainder of this transfer has been reflected under the agency's Support Services and Substitute Services programs.								
Day Treatment Centers for Children	0	111,786	0	111,786	0	111,786	0	111,786
Total - General Fund	**0**	**111,786**	**0**	**111,786**	**0**	**111,786**	**0**	**111,786**
Expenditure Update/Reductions in Inflationary Increases - (B) -(G) A reduction in funding, in the amount of $3,694,444, is recommended in SFY 1997-98 to reflect the Governor's proposal to uniformly eliminate inflation for all accounts. The sum of $3,694,444 would have been necessary to provide an inflationary increase of 2.4 percent in SFY 1997-98. A further reduction of $4,864,206 is recommended to reflect the continued impact of inflation in SFY 1998-99. The total second year reduction of $8,558,650 is necessary to represent the cumulative impact of these changes on the current services base over time. The balance of this reduction has been prorated throughout the Department's other programs. -(L)Same as Governor								
Day Treatment Centers for Children	0	-71,290	0	-181,125	0	0	0	0
Total - General Fund	**0**	**-71,290**	**0**	**-181,125**	**0**	**0**	**0**	**0**
Budget Totals - GF	**0**	**4,413,343**	**0**	**4,413,137**	**0**	**111,786**	**0**	**111,786**

DCF - Substitute Services
8124

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	973	836	896	868	896	868
	Others Equated to Full-Time	95	90	90	90	90	90
	Additional Funds Available						
	Permanent Full-Time	1	1	47	47	47	47
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	44,447,771	44,960,726	44,758,627	47,003,088	44,758,627	47,003,088
002	Other Expenses	5,025,249	4,856,390	4,626,180	4,622,859	4,626,180	4,622,859
005	Equipment	4,369	0	0	0	0	0
02X	Other Current Expenses	0	180,000	360,000	360,000	360,000	360,000
6XX	Grant Payments - Other Than Towns	102,957,969	131,788,619	128,044,973	129,222,608	129,953,327	131,130,962
	Agency Total - General Fund	**152,435,358**	**181,785,735**	**177,789,780**	**181,208,555**	**179,698,134**	**183,116,909**
	Agency Total - Appropriated Funds	**152,435,358**	**181,785,735**	**177,789,780**	**181,208,555**	**179,698,134**	**183,116,909**
	Additional Funds Available						
	Federal Contributions	6,444,735	4,887,442	4,500,630	4,557,001	4,500,630	4,557,001
	Agency Grand Total	**158,880,093**	**186,673,177**	**182,290,410**	**185,765,556**	**184,198,764**	**187,673,910**
	BUDGET BY PROGRAM						
	Foster Family Care						
	Permanent Full-Time Positions GF	88	88	88	88	88	88
	General Fund						
	Personal Services	2,535,547	3,707,627	4,008,475	4,209,483	4,008,475	4,209,483
	Other Expenses	290,961	346,940	199,415	197,963	199,415	197,963
	Grant Payments - Other Than Towns						
	Board and Care for Children-Foster	35,288,549	56,818,364	51,400,761	51,582,641	59,905,233	60,087,113
	Total - General Fund	**38,115,057**	**60,872,931**	**55,608,651**	**55,990,087**	**64,113,123**	**64,494,559**
	Federal Contributions						
	Family Preservation/Support Serv	49,651	68,347	0	0	0	0
	Refugee Services	68,234	65,710	55,215	55,215	55,215	55,215
	Independent Living	1,373	3,627	0	0	0	0
	Total - Federal Contributions	**119,258**	**137,684**	**55,215**	**55,215**	**55,215**	**55,215**
	Total - All Funds	**38,234,315**	**61,010,615**	**55,663,866**	**56,045,302**	**64,168,338**	**64,549,774**
	Private Facilities						
	General Fund						
011	Short Term Residential Treatment	0	180,000	360,000	360,000	360,000	360,000
	Grant Payments - Other Than Towns						
	Day Treatment Centers for Children	0	87,234	87,234	87,230	87,234	87,230
	Health and Community Services	0	0	50,523	50,523	50,523	50,523
	No Nexus Special Education	2,472,000	3,769,318	1,975,871	1,975,871	3,275,871	3,275,871
	Substance Abuse Treatment	637,056	76,973	643,562	643,397	643,562	643,397
	Board and Care for Children-Residential[1]	64,560,364	71,036,730	73,887,022	74,882,946	65,990,904	66,986,828
	Total - General Fund	**67,669,420**	**75,150,255**	**77,004,212**	**77,999,967**	**70,408,094**	**71,403,849**
	Federal Contributions						
	Crime Victim Assistance	170	17,000	0	0	0	0
	Social Services Block Grant	4,059,645	3,336,433	3,503,185	3,503,185	3,503,185	3,503,185
	Critical Populations Model Pgm	596,487	808,852	680,202	710,202	680,202	710,202
	Criminal Justice Treatment Pgm	239,775	311,178	0	0	0	0
	Total - Federal Contributions	**4,896,077**	**4,473,463**	**4,183,387**	**4,213,387**	**4,183,387**	**4,213,387**
	Total - All Funds	**72,565,497**	**79,623,718**	**81,187,599**	**82,213,354**	**74,591,481**	**75,617,236**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Children and Youth Services Operated Institutions						
	Permanent Full-Time Positions GF/OF	885/1	748/1	808/47	780/47	808/47	780/47
	General Fund						
	Personal Services	41,912,224	41,253,099	40,750,152	42,793,605	40,750,152	42,793,605
	Other Expenses	4,734,288	4,509,450	4,426,765	4,424,896	4,426,765	4,424,896
	Equipment	4,369	0	0	0	0	0
	Total - General Fund	**46,650,881**	**45,762,549**	**45,176,917**	**47,218,501**	**45,176,917**	**47,218,501**
	Federal Contributions						
	Neglected & Delinquent Children	251,706	158,908	196,028	224,399	196,028	224,399
	Trans. to Supported Employ. & Day Support	66,515	117,387	66,000	64,000	66,000	64,000
	Voc Educ-Basic Grants to States	9,793	0	0	0	0	0
	Infants/Families W/Disability	42,535	0	0	0	0	0
	Child Welfare Services-St Gts	1,058,851	0	0	0	0	0
	Total - Federal Contributions	**1,429,400**	**276,295**	**262,028**	**288,399**	**262,028**	**288,399**
	Total - All Funds	**48,080,281**	**46,038,844**	**45,438,945**	**47,506,900**	**45,438,945**	**47,506,900**
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
603	Day Treatment Centers for Children	0	87,234	87,234	87,230	87,234	87,230
614	Health and Community Services	0	0	50,523	50,523	50,523	50,523
616	No Nexus Special Education	2,472,000	3,769,318	1,975,871	1,975,871	3,275,871	3,275,871
618	Substance Abuse Treatment	637,056	76,973	643,562	643,397	643,562	643,397
625	Board and Care for Children-Foster	35,288,549	56,818,364	51,400,761	51,582,641	59,905,233	60,087,113
626	Board and Care for Children-Residential	64,560,364	71,036,730	73,887,022	74,882,946	65,990,904	66,986,828
	EQUIPMENT						
005	Equipment	4,369	0	0	0	0	0
	Agency Grand Total	**158,880,093**	**186,673,177**	**182,290,410**	**185,765,556**	**184,198,764**	**187,673,910**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**836**	**178,383,150**	**836**	**178,383,150**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	-1,046,598	0	2,146,603	0	0	0	0
Other Expenses	0	-229,858	0	-189,067	0	0	0	0
Equipment	0	126,246	0	19,954	0	0	0	0
Other Current Expenses	0	8,640	0	17,119	0	0	0	0
Grant Payments - Other Than Towns	0	880,463	0	4,157,239	0	0	0	0
Total - General Fund	**0**	**-261,107**	**0**	**6,151,848**	**0**	**0**	**0**	**0**

Expenditure Update/Overtime - (B)
In SFY 1996-97, the Department of Children and Families is anticipated to incur overtime costs totaling $5,329,071.
-(G) A reduction in funding, in the amount of $139,576 in SFY 1997-98, is recommended to reflect the impact of an agency-wide effort to restrict the utilization of overtime.
In SFY 1998-99, an additional reduction of $44,646 (for a cumulative total of $184,222) is recommended. The remainder of this reduction has been prorated over the agency's other programs.
-(L)Same as Governor

Personal Services	0	-47,758	0	-63,034	0	0	0	0
Total - General Fund	**0**	**-47,758**	**0**	**-63,034**	**0**	**0**	**0**	**0**

Eliminate Engineering Staff at Altobello Complex - (B)
Staff and clients of the Henry D. Altobello Children and Youth Center in Meriden were relocated to the grounds of Riverview Hospital (Middletown) in 1993. The Meriden site is now used as office space by both a DCF regional sub-office and the Department of Public Safety.
-(G) A reduction in funding, in the amount of $250,000 in each of SFY 1997-98 and SFY 1998-99, is recommended to reflect eliminating four positions (1 Lead Stationary Engineer and 3 Stationary Engineers) formerly assigned to maintaining the heating plant on the grounds of the Altobello Children and Youth Center.

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L)Same as Governor								
Personal Services	-4	-250,000	-4	-250,000	0	0	0	0
Total - General Fund	**-4**	**-250,000**	**-4**	**-250,000**	**0**	**0**	**0**	**0**

Revise Long Lane School's Staff Level - (B)
Long Lane School in Middletown is Connecticut's only institution for adjudicated delinquent adolescents, ages 11 to 16, who require custody, education and treatment. The school is currently in the midst of a phased-in reduction in the average daily census from 230 to 130. A reduction of 150 staff was originally budgeted within SA 95-12, the 1995-97 Biennial Appropriations Act, to reflect this downsizing. However, these position cuts were dependent upon the construction of a new secure facility at Long Lane. Delays in this project have resulted in its opening date being postponed until 1999. It is estimated that only 86 positions will have been eliminated at Long Lane School as of June 30, 1997.
-(G) Funding, in the amount of $1,908,762, is recommended in SFY 1997-98 to reflect a revised estimate of needed resources at Long Lane School.

Included in this sum is: $2,644,337 to support the costs of 64 existing staff;

$502,660 to support associated Other Expenses costs;

savings of $16,660 in Equipment;

and savings of $1,221,575 in averted costs for private residential placements.

Net funding, in the amount of $975,298, is recommended in SFY 1998-99 to reflect a reduction of 28 positions from the anticipated June 30, 1998 authorized count of 339 and an equivalent adjustment in the Other Expenses, Equipment and Board and Care for Children-Residential accounts.
-(L)Same as Governor

Personal Services	64	2,644,337	36	1,710,873	0	0	0	0
Other Expenses	0	502,660	0	502,660	0	0	0	0
Equipment	0	-16,660	0	-16,660	0	0	0	0
Board and Care for Children-Residential	0	-1,221,575	0	-1,221,575	0	0	0	0
Total - General Fund	**64**	**1,908,762**	**36**	**975,298**	**0**	**0**	**0**	**0**

Reduce Fleet Vehicle Utilization - (B)
-(G) A reduction in funding, in the amount of $37,000 in each of SFY 1997-98 and SFY 1998-99, is recommended to reflect reducing the number of state vehicles assigned to the Department of Children and Families by fifteen. The remainder of this reduction has been reflected under the agency's Management Services program.
-(L)Same as Governor

Other Expenses	0	-18,500	0	-18,500	0	0	0	0
Total - General Fund	**0**	**-18,500**	**0**	**-18,500**	**0**	**0**	**0**	**0**

Obtain Equipment Funding from the Capital Equipment Purchase Fund - (B)
The Capital Equipment Purchase Fund (CEPF) is authorized by Section 4a-9 of the Connecticut General Statutes and is used for the purchase of capital equipment with a useful life of at least three years. It is financed through the sale of bonds, and the funds are distributed jointly through the Department of Administrative Services and the Office of Policy and Management.
-(G) A reduction in funding is recommended to reflect the use of bond funds for the purchase of equipment in lieu of a General Fund appropriation. Budget equipment funding levels of $482,970 in SFY 1997-98 and $140,540 in SFY 1998-99, respectively, will be supported through bond funds. The remainder of this reduction has been reflected under the agency's Management Services program.

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L)Same as Governor								
Equipment	0	-109,586	0	-3,294	0	0	0	0
Total - General Fund	**0**	**-109,586**	**0**	**-3,294**	**0**	**0**	**0**	**0**

Expenditure Update/No-Nexus Special Education - (B)
Per statute, in any case in which special education is being provided at a private residential institution, including the residential components of regional educational service centers, to a child for whom no local or regional board of education can be found responsible, the Department of Children and Families pays the costs of special education to such institution.
-(L) Funding, in the amount of $1,300,000 in each of SFY 1997-98 and SFY 1998-99, is provided to more accurately reflect anticipated costs and caseloads.

No Nexus Special Education	0	1,300,000	0	1,300,000	0	1,300,000	0	1,300,000
Total - General Fund	**0**	**1,300,000**	**0**	**1,300,000**	**0**	**1,300,000**	**0**	**1,300,000**

Limit Special Education Payments for Private Psychiatric Hospital Placements - (B)
According to State Department of Education regulations regarding Homebound and Hospitalized Instruction, special educational services shall be provided to eligible students at home or in a hospital setting beginning no later than two weeks from the first day of absence from school. In the case of no-nexus students, the Department of Children and Families has historically assumed the cost of special education services for students in private psychiatric hospitals from their onset. In many cases, this has occurred prior to the elapsing of two weeks.
-(G) A reduction in funding, in the amount of $40,250 in each of SFY 1997-98 and SFY 1998-99, is requested to reflect the discontinuation of payment by the agency for the special education costs of no-nexus students placed in private psychiatric hospitals for educational services provided during the first two weeks of placement.
-(L)Same as Governor

No Nexus Special Education	0	-40,250	0	-40,250	0	0	0	0
Total - General Fund	**0**	**-40,250**	**0**	**-40,250**	**0**	**0**	**0**	**0**

Implement Auditor's Recommendations Regarding Clothing and Transportation - (B)
The Department of Children and Families' (DCF) Policy Manual authorizes the purchase of a change of clothing for a child with no clothes or without an adequate supply of clothes at the time of the child's initial placement, in an amount not to exceed $300. An October 6, 1996, report of the Auditors of Public Accounts indicated that expenditures for clothing are being made which are not in accordance with the established policy. The Auditors estimate that approximately $350,000 to $400,000 in excessive clothing purchases are made annually.

Further, the Department's policy states that an allowance for basic transportation expenses of children in foster care is included within the monthly maintenance payment made to the foster parent. The Auditors found that the Department has issued separate payments for transportation to foster parents. Many of these were for monthly bus passes, at a cost of approximately $38 each. The Auditors estimate that at least $84,000 in unnecessary payments are made annually.
-(G) A reduction in funding, in the amount of $464,000 in each of SFY 1997-98 and SFY 1998-99, is recommended to reflect savings resulting from implementation of an Auditor's recommendation to enforce agency reimbursement policy regarding clothing and transportation.
-(L)Same as Governor

Board and Care for Children-Foster	0	-464,000	0	-464,000	0	0	0	0
Total - General Fund	**0**	**-464,000**	**0**	**-464,000**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Increase Foster Care Rates/Consent Decree - (B) Pursuant to the DCF Consent Decree, the Department is required to develop and implement schedules for reimbursement to foster parents at 100% of the United States Department of Agriculture's (USDA) cost of raising a child in the Northeast. -(G) Funding, in the amount of $4,600,446 in SFY 1997-98, is recommended to increase rates paid to families that care for foster children. This will result in SFY 1997-98 reimbursement of approximately 100% of the United States Department of Agriculture's (USDA) cost of raising a child in the Northeast. An equivalent sum is recommended in SFY 1998-99 to reflect maintaining the rate increase in the second year of the biennium. -(L) Funding, in the amount of $2,300,223 in SFY 1997-98, is provided to increase rates paid to families that care for foster children. This will result in SFY 1997-98 reimbursement of approximately 95% of the United States Department of Agriculture's (USDA) cost of raising a child in the Northeast. An equivalent sum is provided in SFY 1998-99 to reflect maintaining the rate increase in the second year of the biennium.								
Board and Care for Children-Foster	0	2,300,223	0	2,300,223	0	-2,300,223	0	-2,300,223
Total - General Fund	**0**	**2,300,223**	**0**	**2,300,223**	**0**	**-2,300,223**	**0**	**-2,300,223**
Achieve Efficiencies/Board and Care Payments - (B) The Department of Children and Families has established a direct disbursement checking account to disburse board and care payments to foster care, subsidized adoptive families and privately operated facilities. This account issued approximately 49,500 checks, representing $123.3 million in board and care payments made to foster or adoptive families and private providers during SFY 1995-96. Payments are made for either the monthly board and care of children or weekly payments for miscellaneous expenses not covered by the monthly payment. -(G) A reduction in funding, in the amount of $250,000 in each of SFY 1997-98 and SFY 1998-99, is recommended to reflect savings achieved from restructuring the approval process for miscellaneous board and care services, capping unspecified categories of service, and other efficiencies from more stringent monitoring of child welfare accounting processes. -(L)Same as Governor								
Board and Care for Children-Foster	0	-250,000	0	-250,000	0	0	0	0
Total - General Fund	**0**	**-250,000**	**0**	**-250,000**	**0**	**0**	**0**	**0**
Expand Foster Care Placements/Consent Decree - (B) Pursuant to a Resource Development Plan developed in compliance with the Juan F. v. O'Neill Consent Decree, the Department will develop a foster home recruitment and retention plan. The plan, to begin implementation by April 15, 1997, will include detailed strategies to maximize recruitment and retention of foster families. -(G) Funding, in the amount of $2,915,679 in SFY 1997-98, is recommended to reflect Department efforts to expand the number of foster care placements in compliance with agreements reached with the court pursuant to the Juan F. v. O'Neill Consent Decree. Additional funding of $299,880 (for a cumulative total of $3,215,559) is recommended in SFY 1998-99 to reflect further expansion of the number of foster care placements in the second year of the biennium. -(L)Same as Governor								
Board and Care for Children-Foster	0	2,915,679	0	3,215,559	0	0	0	0
Total - General Fund	**0**	**2,915,679**	**0**	**3,215,559**	**0**	**0**	**0**	**0**
Remove One-Time Foster Home Recruitment Funding/Consent Decree - (B) The Department of Children and Families anticipates awarding contracts totaling $300,000 in SFY 1996-97 to support services aimed at increasing the number of foster homes. This multi-year initiative was undertaken in compliance with the 1996 Resource Development Plan, as mandated by the Juan F. v. O'Neill Consent Decree.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(G) A reduction in funding, in the amount of $182,000 in SFY 1997-98, is recommended to reflect the removal of one-time funding devoted to foster home recruitment efforts. A further reduction of $118,000 (for a cumulative total of $300,000) is recommended in SFY 1998-99 to reflect the complete elimination of funding for this initiative. -(L)Same as Governor								
Board and Care for Children-Foster	0	-182,000	0	-300,000	0	0	0	0
Total - General Fund	**0**	**-182,000**	**0**	**-300,000**	**0**	**0**	**0**	**0**
Expenditure Update/Board and Care for Children - (B) The Department of Children and Families maintains a checking account for the payment of costs associated with the board and care of children. Expenditures from the checking account are attributed to the Day Treatment Centers for Children, Board and Care for Children-Adoption, Board and Care for Children-Foster, and Board and Care for Children-Residential accounts. Due to delays in implementing the financial reporting capacity under the agency's new LINK System, an accurate distribution of these payments across the budget accounts was not available at the time the Governor's Recommended Budget was developed. -(L) A transfer of funds between budget accounts is provided to more accurately reflect current expenditures for the board and care of children. The remainder of this program has been reflected under the agency's Support Services and Supplementary Services programs.								
Board and Care for Children-Foster	0	10,804,695	0	10,804,695	0	10,804,695	0	10,804,695
Board and Care for Children-Residential	0	-9,328,863	0	-9,328,863	0	-9,328,863	0	-9,328,863
Total - General Fund	**0**	**1,475,832**	**0**	**1,475,832**	**0**	**1,475,832**	**0**	**1,475,832**
Provide Inflationary Increase for Group Homes, Temporary Shelters, and Transitional Living Programs - (B) The Department of Children and Families places children and youth in a variety of privately operated out-of-home programs, including: Group Homes, which provide community-oriented care, including counseling, education and recreation; Temporary Shelters, which provide emergency and short-term care for children and youth in crisis situations; and Transitional Living programs, which provide assistance to all youth in foster care to make the transition from foster care to self reliance and independent living. -(L) Funding, in the amount of $555,364, is provided in each of SFY 1997-98 and SFY 1998-99 to reflect a three percent inflationary increase to be granted to Group Homes, Temporary Shelters and Transitional Living programs, effective July 1, 1997. The remainder of the funding has been reflected under the agency's Support Services programs.								
Board and Care for Children-Residential	0	532,745	0	532,745	0	532,745	0	532,745
Total - General Fund	**0**	**532,745**	**0**	**532,745**	**0**	**532,745**	**0**	**532,745**
Expenditure Update/Private Facility Placements - (B) Private residential facilities give intensive and comprehensive care for youngsters placed by the Department or the courts. Children and youth are placed according to their specific needs and the treatment and expertise available at each facility. Education services are administered by the Department of Children and Families' Unified School District #2 when no other school district is identified as having jurisdiction. -(G) Funding, in the amount of $717,410 in each of SFY 1997-98 and SFY 1998-99, is recommended to more accurately reflect anticipated costs and caseloads under the Board and Care for Children-Residential account.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L)Same as Governor								
Board and Care for Children-Residential	0	717,410	0	717,410	0	0	0	0
Total - General Fund	**0**	**717,410**	**0**	**717,410**	**0**	**0**	**0**	**0**

Enter into Administrative Services Only Contract - (B)
An Administrative Services Only (ASO) contractor would develop a managed care infrastructure to support residential placement decisions made by agency social work staff and conduct utilization monitoring to ensure that each child is stepped down to the next level of care according to benchmarked national standards of service.
-(G) It is recommended that the sum of $900,000 be transferred from the Board and Care for Children - Residential account to the Other Expenses account in each of SFY 1997-98 and SFY 1998-99 to allow for the establishment of a contract with an Administrative Services Only (ASO) organization. Savings would result from more rapid step-down of children and youth from high cost residential placements to lower cost, less restrictive levels of care in response to more aggressive utilization management. The remainder of this transfer has been reflected under the agency's Management Services program.

A further reduction, in the amount of $6,000,000 in each of SFY 1997-98 and SFY 1998-99 is recommended to reflect a reduction in the number of children and youth in private residential treatment programs by 120 and a reduction in the average length of stay in each year of the biennium in response to the implementation of the ASO process.
-(L) A reduction in funding, in the amount of $6,000,000 in each of SFY 1997-98 and SFY 1998-99, is provided to reflect a reduction in the number of children and youth in private residential treatment by 120 and a reduction in the average length of stay in each year of the biennium in response to more aggressive utilization management. This process will be implemented within existing staffing levels.

Board and Care for Children-Residential	0	-6,000,000	0	-6,000,000	0	900,000	0	900,000
Total - General Fund	**0**	**-6,000,000**	**0**	**-6,000,000**	**0**	**900,000**	**0**	**900,000**

Expenditure Update/Single Cost Accounting Rate Increases - (B)
Pursuant to regulation, the Department of Children and Families (DCF) shall reimburse on a per diem basis for residential care each treatment center for the residential care of children under the supervision of the commissioner. For each private residential treatment center licensed by the DCF, the system for determining per diem payment rates is known as the Single Cost Accounting System. Under the system, increases in the allowable residential care cost components over the previous contract year are limited to the increase in the consumer price index plus two percent or the actual increase in audited allowable costs.
-(G) Funding, in the amount of $1,000,000 in SFY 1997-98, is recommended to provide funding necessary to pay increased per diem reimbursement costs to private residential treatment facilities whose rates are established in accordance with the DCF's Single Cost Accounting regulations. An additional $1,000,000 is recommended in SFY 1998-99 (for a cumulative total of $2,000,000) to reflect the second year costs of compliance with these regulations.
-(L)Same as Governor

Board and Care for Children-Residential	0	1,000,000	0	2,000,000	0	0	0	0
Total - General Fund	**0**	**1,000,000**	**0**	**2,000,000**	**0**	**0**	**0**	**0**

Restrict Non-Committed Treatment Program Eligibility - (B)
The Non-Committed Treatment Program (NCTP) is designed to serve children in need of mental health treatment. Requests for NCTP services are initiated by parents or guardians. Eligibility for the program is restricted to those children/youth who cannot be served in their own home, who have been diagnosed as in need of out-of-home

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
placement, and who can be expected to return home when the treatment plan is completed. In most cases, services are limited to eighteen months. -(G) A reduction in funding, in the amount of $1,000,000 in each of SFY 1997-98 and SFY 1998-99, is recommended to reflect savings from the imposition of a nine-month time limit upon services to children/youth in the Non-Committed Treatment Program. -(L)Same as Governor								
Board and Care for Children-Residential	0	-1,000,000	0	-1,000,000	0	0	0	0
Total - General Fund	**0**	**-1,000,000**	**0**	**-1,000,000**	**0**	**0**	**0**	**0**
Consolidate Day Treatment Program Funding - (B) Original funding of $450,000 was appropriated under the Board and Care for Children-Residential account in SFY 1996-97 to support the three-quarter year costs of 45 new Day Treatment/Community Supervision Program placements for juvenile justice clients. -(G) A transfer of funding, in the amount of $450,000 in each of SFY 1997-98 and SFY 1998-99, is recommended from the Board and Care for Children - Residential account to the Day Treatment Centers for Children account to reflect the consolidation of Day Treatment Program services. The remainder of this transfer has been reflected under the agency's Supplementary Services program. -(L)Same as Governor							
Board and Care for Children-Residential	0	-450,000	0	-450,000	0	0	0	0
Total - General Fund	**0**	**-450,000**	**0**	**-450,000**	**0**	**0**	**0**	**0**
Expenditure Update/Annualization/Juvenile Justice Reorganization - (B) Original funding of $675,585 was appropriated in SFY 1996-97 for the following program enhancements for juvenile justice clients: $229,875 to support the three-quarter year costs of 25 new Outreach and Tracking program placements; $120,000 to support the half-year costs of 20 new Independent Living placements; and $325,710 to support the three-quarter year costs of expanding the number of specialized foster care placements by 20. (An additional $210,000 in existing funds was devoted to the three quarter year cost of the specialized foster care placements.) -(G) Funding, in the amount of $375,195 in each of SFY 1997-98 and SFY 1998-99, is recommended to reflect the annualization of various Juvenile Justice Reorganization initiatives which received partial year support in SFY 1996-97. -(L)Same as Governor							
Board and Care for Children-Foster	0	178,570	0	178,570	0	0	0	0
Board and Care for Children-Residential	0	196,625	0	196,625	0	0	0	0
Total - General Fund	**0**	**375,195**	**0**	**375,195**	**0**	**0**	**0**	**0**
Expenditure Update/Reductions in Inflationary Increases - (B) -(G) A reduction in funding, in the amount of $3,694,444, is recommended in SFY 1997-98 to reflect the Governor's proposal to uniformly eliminate inflation for all accounts. The sum of $3,694,444 would have been necessary to provide an inflationary increase of 2.4 percent in SFY 1997-98. A further reduction of $4,864,206 is recommended to reflect the continued impact of inflation in SFY 1998-99. The total second year reduction of $8,558,650 is necessary to represent the cumulative impact of these changes on the current services base over time. The balance of this reduction has been prorated throughout the Department's other programs. -(L)Same as Governor								
Other Expenses	0	-40,622	0	-84,734	0	0	0	0
Short Term Residential Treatment	0	-8,640	0	-17,119	0	0	0	0
Day Treatment Centers for Children	0	-13,614	0	-15,841	0	0	0	0
Health and Community Services	0	-1,560	0	-3,090	0	0	0	0
No Nexus Special Education	0	-48,387	0	-95,871	0	0	0	0

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Substance Abuse Treatment	0	-15,634	0	-30,789	0	0	0	0
Board and Care for Children-Foster	0	0	0	-1,191,082	0	0	0	0
Board and Care for Children-Residential	0	-2,009,204	0	-4,032,747	0	0	0	0
Total - General Fund	**0**	**-2,137,661**	**0**	**-5,471,273**	**0**	**0**	**0**	**0**
Budget Totals - GF	**896**	**179,698,134**	**868**	**183,116,909**	**0**	**1,908,354**	**0**	**1,908,354**

INSTITUTIONAL DATA - GENERAL FUND

	POPULATION Average Actual '96-97	POPULATION Average Est. '97-98	POPULATION Average Proj. '98-99	POSITIONS Permanent Full-Time Actual '96-97	POSITIONS Permanent Full-Time Est. '97-98	POSITIONS Permanent Full-Time Proj. '98-99	OPERATING BUDGET Actual '96-97	OPERATING BUDGET Est. '97-98	OPERATING BUDGET Proj. '98-99
High Meadows	39	43	45	114	111	111	5,056,432	6,371,053	6,662,266
Riverview	77	87	92	269	265	265	16,108,483	15,614,940	16,320,224
Long Lane School	189	170	170	429	339	339	18,363,799	16,463,702	17,216,591
CT Children's Place	54	54	54	88	84	84	5,018,144	5,168,230	5,394,636
Totals:	359	354	361	900	799	799	44,546,858	43,617,925	45,593,716

DCF - Management Services
8125

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	327	327	319	319	319	319
	Others Equated to Full-Time	26	26	26	26	26	26
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	15,572,475	14,621,389	16,649,093	17,483,977	16,649,093	17,483,977
002	Other Expenses	10,668,425	12,120,819	9,964,386	7,560,683	9,064,386	6,660,683
005	Equipment	66,407	0	0	0	0	0
02X	Other Current Expenses	1,672,072	1,588,611	1,588,611	1,588,611	1,588,611	1,588,611
6XX	Grant Payments - Other Than Towns	491,261	339,087	177,958	177,955	177,958	177,955
	Agency Total - General Fund	**28,470,640**	**28,669,906**	**28,380,048**	**26,811,226**	**27,480,048**	**25,911,226**
	Agency Total - Appropriated Funds	**28,470,640**	**28,669,906**	**28,380,048**	**26,811,226**	**27,480,048**	**25,911,226**
	Additional Funds Available						
	Carry Forward Funding	0	0	0	0	5,640,233	0
	Private Contributions	75,619	67,247	0	0	0	0
	Federal Contributions	1,935,539	4,791,169	2,291,661	4,058,143	2,291,661	4,058,143
	Agency Grand Total	**30,481,798**	**33,528,322**	**30,671,709**	**30,869,369**	**35,411,942**	**29,969,369**
	BUDGET BY PROGRAM						
	Management Services						
	Permanent Full-Time Positions GF	327	327	319	319	319	319
	General Fund						
	Personal Services	15,572,475	14,621,389	16,649,093	17,483,977	16,649,093	17,483,977
	Other Expenses	10,668,425	12,120,819	9,964,386	7,560,683	9,064,386	6,660,683
	Equipment	66,407	0	0	0	0	0
035	Other Current Expenses	2,984	0	0	0	0	0
039	Workers' Compensation Claims	1,669,088	1,588,611	1,588,611	1,588,611	1,588,611	1,588,611
	Grant Payments - Other Than Towns						
	Health and Community Services	491,261	339,087	177,958	177,955	177,958	177,955
	Total - General Fund	**28,470,640**	**28,669,906**	**28,380,048**	**26,811,226**	**27,480,048**	**25,911,226**
	Federal Contributions						
	Juvenile Justice&Delinq Prevent	52,196	0	0	0	0	0
	Crime Victim Assistance	49,576	104,110	99,153	99,153	99,153	99,153
	Drug Control & System Imrpr Gt	0	72,246	75,000	75,000	75,000	75,000
	Trans. to Supported Employ. & Day Support	119,465	77,867	129,956	129,956	129,956	129,956
	Voc Educ-Basic Grants to States	4,531	10,515	10,515	10,515	10,515	10,515
	Goals	905	0	0	0	0	0
	Infants/Families W/Disability	8,190	0	0	0	0	0
	Safe & Drug Free Schools	238,363	0	238,194	0	238,194	0
	Goals 2000	30,163	63,820	40,000	40,000	40,000	40,000
	ESEA Title VI	2,060	2,060	2,060	2,060	2,060	2,060
	Family Preservation/Support Serv	509,948	2,412,650	6,000	1,314,480	6,000	1,314,480
	Children's Justice Grants to St	72,575	94,457	129,261	134,815	129,261	134,815
	Child Welfare	656,230	1,454,121	1,538,658	2,051,544	1,538,658	2,051,544
	Child Abuse & Neglect-St Gts	6,350	16,863	16,864	0	16,864	0
	Community-Based Prevention Pgm	20,279	248,140	0	194,620	0	194,620
	Independent Living	6,980	18,763	6,000	6,000	6,000	6,000
	Criminal Justice Treatment Pgm	157,728	215,557	0	0	0	0
	Total - Federal Contributions	**1,935,539**	**4,791,169**	**2,291,661**	**4,058,143**	**2,291,661**	**4,058,143**
	Additional Funds Available						
	Carry Forward - General Fund	0	0	0	0	5,640,233	0

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Private Contributions	75,619	67,247	0	0	0	0
	Total - Additional Funds Available	**75,619**	**67,247**	**0**	**0**	**5,640,233**	**0**
	Total - All Funds	**30,481,798**	**33,528,322**	**30,671,709**	**30,869,369**	**35,411,942**	**29,969,369**
	adj						
	General Fund						
	Other Expenses	0	0	0	0	0	0
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
614	Health and Community Services	491,261	339,087	177,958	177,955	177,958	177,955
	EQUIPMENT						
005	Equipment	66,407	0	0	0	0	0
	Agency Grand Total	**30,481,798**	**33,528,322**	**30,671,709**	**30,869,369**	**35,411,942**	**29,969,369**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**327**	**29,724,493**	**327**	**29,724,493**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	1,517,419	0	2,357,795	0	0	0	0
Other Expenses	0	934,263	0	966,171	0	0	0	0
Equipment	0	373,384	0	137,246	0	0	0	0
Other Current Expenses	0	48,072	0	101,801	0	0	0	0
Grant Payments - Other Than Towns	0	5,495	0	10,884	0	0	0	0
Total - General Fund	**0**	**2,878,633**	**0**	**3,573,897**	**0**	**0**	**0**	**0**
Expenditure Update/Overtime - (B)								
In SFY 1996-97, the Department of Children and Families is anticipated to incur overtime costs totaling $5,329,071. -(G) A reduction in funding, in the amount of $139,576 in SFY 1997-98, is recommended to reflect the impact of an agency-wide effort to restrict the utilization of overtime. In SFY 1998-99, an additional reduction of $44,646 (for a cumulative total of $184,222) is recommended. The remainder of this reduction has been prorated over the agency's other programs. -(L)Same as Governor								
Personal Services	0	-17,171	0	-22,663	0	0	0	0
Total - General Fund	**0**	**-17,171**	**0**	**-22,663**	**0**	**0**	**0**	**0**
Eliminate Nine Management Positions - (B)								
-(G) A reduction in funding, in the amount of $519,127 in SFY 1997-98, is recommended to reflect the full-year savings associated with eliminating nine unspecified managerial staff. An equivalent reduction is recommended in SFY 1998-99 to reflect the continuation of this downsizing effort. -(L)Same as Governor								
Personal Services	-9	-519,127	-9	-519,127	0	0	0	0
Total - General Fund	**-9**	**-519,127**	**-9**	**-519,127**	**0**	**0**	**0**	**0**
Assume Former State Lottery Staff - (B)								
PA 96-212, "An Act Establishing the Connecticut Lottery Corporation", created the Connecticut Lottery Corporation, a quasi-public entity that assumed administrative duties formerly performed by Division of Special Revenue (DSR) staff. The Act specified that any DSR employee covered by a collective bargaining contract who lost his/her job because of the lottery transfer would be eligible for reassignment to another state agency at his/her former job classification and pay. -(G) Funding, in the amount of $43,330 in each of SFY 1997-98 and SFY 1998-99 is recommended to reflect the annualization of the cost associated with an Accountant position transferred from the Division of Special Revenue to the Department of Children and Families (DCF) in SFY 1996-97.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
A position is added to the DCF's authorized count to reflect this transfer. -(L)Same as Governor								
Personal Services	1	43,330	1	43,330	0	0	0	0
Total - General Fund	**1**	**43,330**	**1**	**43,330**	**0**	**0**	**0**	**0**
Expenditure Update/Annualization/Eliminate Security Guard Service at Altobello Complex - (B) Staff and clients of the Henry D. Altobello Children and Youth Center in Meriden were relocated to the grounds of Riverview Hospital (Middletown) in 1993. The Meriden site is now used as office space by both a DCF regional sub-office and the Department of Public Safety. It also provides space for State Police storage and periodic training sessions. -(G) A reduction in funding, in the amount of $66,000, is recommended in each of SFY 1997-98 and SFY 1998-99 to reflect the annualization of savings from elimination of contracted guard service on the grounds of the former Altobello Children and Youth Center. This contract was terminated in SFY 1996-97. -(L)Same as Governor								
Other Expenses	0	-66,000	0	-66,000	0	0	0	0
Total - General Fund	**0**	**-66,000**	**0**	**-66,000**	**0**	**0**	**0**	**0**
Reduce Fleet Vehicle Utilization - (B) -(G) A reduction in funding, in the amount of $37,000 in each of SFY 1997-98 and SFY 1998-99, is recommended to reflect reducing the number of state vehicles assigned to the Department of Children and Families by fifteen. The remainder of this reduction has been reflected under the agency's Substitute Services program. -(L)Same as Governor								
Other Expenses	0	-18,500	0	-18,500	0	0	0	0
Total - General Fund	**0**	**-18,500**	**0**	**-18,500**	**0**	**0**	**0**	**0**
Enter Into Administrative Services Only Contract - (B) An Administrative Services Only (ASO) contractor would develop a managed care infrastructure to support residential placement decisions made by agency social work staff, and conduct utilization monitoring to ensure that each child is stepped down to the next level of care according to benchmarked national standards of service. -(G) It is recommended that the sum of $900,000 be transferred from the Board and Care for Children - Residential account to the Other Expenses account in each of SFY 1997-98 and SFY 1998-99 to allow for the establishment of a contract with an Administrative Services Only (ASO) organization. Savings would result from more rapid step-down of children and youth from high-cost residential placements to lower cost, less restrictive levels of care in response to more aggressive utilization management. The remainder of this transfer has been reflected under the agency's Substitute Services program. -(L) A transfer of funds to the Other Expenses account to support establishment of a contract with an Administrative Services Only (ASO) organization is not provided to reflect legislative intent that the agency perform utilization management functions within existing staffing levels.								
Other Expenses	0	0	0	0	0	-900,000	0	-900,000
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**-900,000**	**0**	**-900,000**
Reduce Funding Due to State Data Center Rate Reduction - (B) Many state agencies obtain computer services to support their business functions from the State Data Center, operated by the Department of Administrative Services through the Technical Services Revolving Fund. -(G) Due to a reorganization of the operation of the State Data Center, there is a significant reduction in the rates for services charged to state agencies (CATER and BOSS). A reduction, in the amount of $651,672, is recommended in								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
each year of the biennium for the Department of Children and Families. -(L)Same as Governor								
Other Expenses	0	-651,672	0	-651,672	0	0	0	0
Total - General Fund	**0**	**-651,672**	**0**	**-651,672**	**0**	**0**	**0**	**0**
Expenditure Update/LINK System - (B) In July, 1996, the Department began operation of the LINK System, an integrated statewide database encompassing intake, case management, resource availability, vendor payment, fiscal quality assurance and other information pertinent to the agency's operation. -(G) A reduction in funding, in the amount of $2,878,944 in SFY 1997-98, is recommended to reflect the removal of one-time funding for the Department of Children and Families' LINK System from the agency's current services level. A further reduction of $2,313,878 (for a cumulative total of $5,192,822) is recommended in the second year of the biennium to reflect the continuation of this funding update. -(L)Same as Governor								
Other Expenses	0	-2,878,944	0	-5,192,822	0	0	0	0
Total - General Fund	**0**	**-2,878,944**	**0**	**-5,192,822**	**0**	**0**	**0**	**0**
Carry Forward Funding for LINK System - (B) -(L) Pursuant to Section 50 of SA 97-21, "An Act Concerning the State Budget for the Biennium Ending June 30, 1999, and making Appropriations Therefor", funding, in the amount of $5,640,233, is estimated to be carried forward from SFY 1996-97 into SFY 1997-98 to support the costs of development of the Department of Children and Families' statewide computer system (LINK).								
Carry Forward - General Fund	0	5,640,233	0	0	0	5,640,233	0	0
Total - Carry Forward Funding	**0**	**5,640,233**	**0**	**0**	**0**	**5,640,233**	**0**	**0**
Expenditure Update/Utilization of State Data Center Services - (B) It is anticipated that the Department of Children and Families will purchase approximately $3.25 million in computer services from the Department of Administrative Services' Data Center in SFY 1996-97. -(G) A reduction in funding, in the amount of $320,000 in each of SFY 1997-98 and SFY 1998-99, is recommended to reflect utilization of fewer services and less CPU time provided by the Department of Administrative Services' Data Center. Only essential computer services required for operation of the agency's LINK System will be purchased. -(L)Same as Governor								
Other Expenses	0	-320,000	0	-320,000	0	0	0	0
Total - General Fund	**0**	**-320,000**	**0**	**-320,000**	**0**	**0**	**0**	**0**
Obtain Equipment Funding from the Capital Equipment Purchase Fund - (B) The Capital Equipment Purchase Fund (CEPF) is authorized by Section 4a-9 of the Connecticut General Statutes and is used for the purchase of capital equipment with a useful life of at least three years. It is financed through the sale of bonds, and the funds are distributed jointly through the Department of Administrative Services and the Office of Policy and Management. -(G) A reduction in funding is recommended to reflect the use of bond funds for the purchase of equipment in lieu of a General Fund appropriation. Budget equipment funding levels of $482,970 in SFY 1997-98 and $140,540 in SFY 1998-99 respectively will be supported through bond funds. The remainder of this reduction has been reflected under the agency's Substitute Services program. -(L)Same as Governor								
Equipment	0	-373,384	0	-137,246	0	0	0	0
Total - General Fund	**0**	**-373,384**	**0**	**-137,246**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Expenditure Update/Workers' Compensation Claims - (B)								
PA 90-327, "An Act Concerning Appropriations for Workers' Compensation Payments", required the appropriations of Workers' Compensation (WC) claims funding under the budgets of the six agencies having the largest WC claim costs. Prior to SFY 1990-91, funding for WC claims paid on behalf of Department of Children and Families' employees was included under the budget of the Department of Administrative Services.								
-(G) A reduction in funding, in the amount of $100,000 in SFY 1997-98, is recommended to reflect a revised estimate of Workers' Compensation Claims costs. An equivalent reduction is recommended in SFY 1998-99.								
-(L)Same as Governor								
Workers' Compensation Claims	0	-100,000	0	-100,000	0	0	0	0
Total - General Fund	**0**	**-100,000**	**0**	**-100,000**	**0**	**0**	**0**	**0**
Expenditure Update/Community Based Service Contracts - (B)								
The Department funds a variety of community-based service contracts providing support to children and their families. In many cases, the children served by these programs are not currently on the agency's active caseload.								
-(G) A reduction in funding, in the amount of $1,690,981 in each of SFY 1997-98 and SFY 1998-99, is recommended to reflect the annualization of discontinued support for various community-based service programs that have traditionally been financed by grant awards to private providers. These cuts were first initiated by the agency in the 1996-97 fiscal year. The remainder of this reduction has been reflected under the agency's Substitute Services and Support Services programs.								
-(L)Same as Governor								
Health and Community Services	0	-51,713	0	-51,713	0	0	0	0
Total - General Fund	**0**	**-51,713**	**0**	**-51,713**	**0**	**0**	**0**	**0**
Expenditure Update/Reductions in Inflationary Increases - (B)								
-(G) A reduction in funding, in the amount of $3,694,444, is recommended in SFY 1997-98 to reflect the Governor's proposal to uniformly eliminate inflation for all accounts. The sum of $3,694,444 would have been necessary to provide an inflationary increase of 2.4 percent in SFY 1997-98. A further reduction of $4,864,206 is recommended to reflect the continued impact of inflation in SFY 1998-99. The total second year reduction of $8,558,650 is necessary to represent the cumulative impact of these changes on the current services base over time. The balance of this reduction has been prorated throughout the Department's other programs.								
-(L)Same as Governor								
Other Expenses	0	-112,109	0	-233,842	0	0	0	0
Other Current Expenses	0	-2,310	0	-4,577	0	0	0	0
Workers' Compensation Claims	0	-49,983	0	-101,445	0	0	0	0
Health and Community Services	0	-5,495	0	-10,887	0	0	0	0
Total - General Fund	**0**	**-169,897**	**0**	**-350,751**	**0**	**0**	**0**	**0**
Budget Totals - GF	**319**	**27,480,048**	**319**	**25,911,226**	**0**	**-900,000**	**0**	**-900,000**
Budget Totals - OF	**0**	**5,640,233**	**0**	**0**	**0**	**5,640,233**	**0**	**0**

OTHER SIGNIFICANT 1997 LEGISLATION AFFECTING THE AGENCY'S BUDGET

PA 97-237, "An Act Concerning the Children's Trust Fund and an Out-of-Home Placements Advisory Council" - This Act places the Children's Trust Fund Council within the Department of Children and Families (DCF) for administrative purposes only, effective July 1, 1997. The Council was formerly a component of the DCF. It also increases the membership of the Council from nine to sixteen.

Finally, the Act establishes an Out-of-Home Placements Advisory Council, which shall advise and make recommendations regarding DCF's placement processes and policies; needed placement resources; the geographic availability of culturally competent services and appropriate services for children with complex medical needs or physical or developmental disabilities; eligibility and utilization standards; the impact of DCF's policies and processes on the availability of timely and appropriate access; quality assurance measures; family input with respect to placement; client and family grievance procedures; coordination with state and local agencies; and other appropriate issues. The membership of the council shall serve without compensation. The DCF shall provide clerical support. On or before January 1, 1998 and annually thereafter the council shall report to the Human Services and Judiciary Committees and the Select Committee on Children.

PA 97-272, "An Act Concerning the Mental Health Mandate of the Department of Children and Families and Establishing a Program of Subsidized Guardianship" - This Act authorizes the creation of child specific teams, which may be developed by the family of a mentally ill or emotionally disturbed child or youth who is at risk of placement out of his home for the primary purpose of receiving mental health treatment. Such team may include, but not be limited to, family members, the child or adolescent, clergy, school personnel, representatives of service providers, a family advocate, and other community or family representatives. The team shall make decisions affecting the implementation of an individual system of care plan - a written plan developed by the Commissioner of Children and Families when a child or youth needs services from at least two public agencies, and which is designed to meet the needs of the child or youth and his/her family.

It also authorizes the establishment of case review committees by each DCF regional office. These committees shall be comprised of at least three parents of children or adolescents with serious emotional disturbance and representatives of agencies and service providers, including, but not limited to, the DCF regional administrator, a superintendent of schools, a director of a local children's mental health agency, the district director of the Department of Social Services (DSS), or their designees, and representatives from the Departments of Mental Health and Addiction Services (DMHAS) and Mental Retardation (DMR), a local housing authority and the court system. The functions of the case review committees shall include, but not be limited to: (A) The determination of whether or not a child or adolescent meets the definition of a child or adolescent at placement risk; (B) assisting children or families without a child specific team in the formation of such a team; and (C) resolution of the development or implementation of an individual system of care plan not developed, implemented or agreed upon by a child specific team. Such functions shall be completed in one hundred twenty days or less from the date of event of the need for an individual system of care plan for a child or adolescent with no identifiable community, a representative of the child or adolescent shall make a referral to a state coordinated care committee, which shall designate responsibility for the development of an individual system of care plan to a case review committee. The case review committee shall also monitor the implementation of an individual system of care plan when appropriate. The Department of Children and Families may assign a system coordinator to each case review committee.

A coordinated care committee shall be developed by the DCF and shall be comprised of a parent who is currently serving or has served on a case review committee, a person who is now or has been a recipient of services for a child or adolescent at placement risks, representatives of the DCF, DMHAS, DSS, DMR, State Department of Education, and the Office of Protection and Advocacy for Persons with Disabilities.

The DCF shall submit findings and recommendations of programs for children and youth at placement risk, including recommendations for budget options or programmatic changes to the Select Committee on Children by January 1, 1998 and annually thereafter.

The Act requires the DCF to establish a liaison to the DSS to ensure that Medicaid eligible children and youth receive mental health services in accordance with federal laws.

The Act establishes a procedure whereby certain children or youth may be voluntarily placed with the DCF in order to receive intensive mental health care. It creates a probate court determination process for these placements and requires action by the court within 150 days, in most cases, of admittance to DCF care and every twelve months thereafter, if needed. An appeals process is also authorized.

Finally, the Act establishes a subsidized guardianship program for children in the care or custody of the DCF who are living with relative caregivers, and who have been in foster care or certified relative care for at least eighteen months. The DCF shall inform individuals requesting the subsidized guardianship about the pros and cons of adoption versus subsidized guardianship.

The subsidy shall encompass a one-time lump sum payment for expenses resulting from the assumption of care of the child when no other resource is available; a medical subsidy comparable to the medical subsidy to children in the subsidized adoption program if the child lacks private health insurance; and a monthly subsidy equal to the prevailing foster care rate. DCF may establish an unspecified asset test for the program. Subsidies shall be provided to age eighteen or to twenty-one if the youth is attending a higher education or job training program.

The DCF shall adopt regulations by January 1, 1998, to implement the subsidized guardianship program. The regulations shall establish a procedure by which subsidies are determined, as well as an appeals process. A home study must be conducted and filed with the court by either the DCF or a child placing agency within fifteen days of the receipt of a request for a subsidy if no such home study has been conducted before or the requester has been determined to be a relative caregiver.

The guardianship subsidy shall not be included in the calculation of household income for the purposes of determining eligibility for benefit programs.

The DCF shall attempt to maximize federal reimbursement for the costs of the subsidy program. Relative caregivers shall be exempted from work requirements and time limits as a condition for the receipt of benefits, and the DCF shall seek all waivers as are necessary to implement the revenue maximization plan.

PA 97-319, "An Act Concerning the Office of the Child Advocate, the Reporting of Child Abuse and Disclosure of Records Regarding Child Abuse and Appointment of Counsel for Transfer of Indigent Child to Regular Criminal Docket" - This Act requires the Department of Children and Families to record all oral reports of suspected child abuse or neglect. The Department shall announce to the person making a report that the call is being recorded and advise the individual regarding the penalty for knowingly making a false report.

County Sheriffs
8200

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	35	34	34	34	39	39
	Others Equated to Full-Time	1	0	0	0	0	0
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	960,108	1,029,638	1,081,418	1,133,579	1,206,418	1,258,579
002	Other Expenses	1,020,921	1,022,320	1,067,332	1,260,415	998,353	998,353
005	Equipment	27,636	0	0	0	0	0
02X	Other Current Expenses	17,882,933	18,198,837	18,519,171	20,514,501	19,132,715	19,932,715
	Agency Total - General Fund[1][2]	**19,891,598**	**20,250,795**	**20,667,921**	**22,908,495**	**21,337,486**	**22,189,647**
	Agency Total - Appropriated Funds	**19,891,598**	**20,250,795**	**20,667,921**	**22,908,495**	**21,337,486**	**22,189,647**
	Additional Funds Available						
	Private Contributions	9,776	0	0	0	0	0
	Agency Grand Total	**19,901,374**	**20,250,795**	**20,667,921**	**22,908,495**	**21,337,486**	**22,189,647**
	BUDGET BY PROGRAM						
	Courthouse Security						
	General Fund						
	Other Expenses	483,096	511,160	485,724	649,613	446,745	417,551
012	Special Deputy Sheriffs	15,308,904	15,893,045	15,929,186	17,805,167	16,581,130	17,444,781
	Total - General Fund	**15,792,000**	**16,404,205**	**16,414,910**	**18,454,780**	**17,027,875**	**17,862,332**
	Prisoner Transportation						
	General Fund						
	Other Expenses	306,175	327,142	368,727	391,634	368,727	391,634
012	Special Deputy Sheriffs	2,418,978	2,305,792	2,408,750	2,345,099	2,408,750	2,345,099
	Total - General Fund	**2,725,153**	**2,632,934**	**2,777,477**	**2,736,733**	**2,777,477**	**2,736,733**
	Support Services						
	Permanent Full-Time Positions GF	35	34	34	34	39	39
	General Fund						
	Personal Services	960,108	1,029,638	1,162,500	1,214,661	1,287,500	1,339,661
	Other Expenses	231,650	184,018	212,881	219,168	182,881	189,168
	Equipment	27,636	0	0	0	0	0
011	Sheriffs Training	63,405	0	101,805	284,805	63,405	63,405
013	Vaccination and Testing	91,646	0	79,430	79,430	79,430	79,430
	Total - General Fund	**1,374,445**	**1,213,656**	**1,556,616**	**1,798,064**	**1,613,216**	**1,671,664**
	Additional Funds Available						
	Private Contributions	9,776	0	0	0	0	0
	Total - All Funds	**1,384,221**	**1,213,656**	**1,556,616**	**1,798,064**	**1,613,216**	**1,671,664**
	Personal Services Savings						
	General Fund						
	Personal Services	0	0	-81,082	-81,082	-81,082	-81,082
	EQUIPMENT						
005	Equipment	27,636	0	0	0	0	0
	Agency Grand Total	**19,901,374**	**20,250,795**	**20,667,921**	**22,908,495**	**21,337,486**	**22,189,647**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**34**	**20,261,174**	**34**	**20,261,174**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	107,041	0	159,202	0	0	0	0
Other Expenses	0	21,840	0	43,270	0	0	0	0
Equipment	0	91,800	0	68,000	0	0	0	0
Total - General Fund	**0**	**220,681**	**0**	**270,472**	**0**	**0**	**0**	**0**
Eliminate Inflation Increases - (B)								
-(G) The elimination of inflation increases in Other Expenses is recommended.								
-(L)Same as Governor								
Other Expenses	0	-21,840	0	-43,270	0	0	0	0
Total - General Fund	**0**	**-21,840**	**0**	**-43,270**	**0**	**0**	**0**	**0**
Reduce Funding Due to State Data Center Rate Reductions - (B)								
Many state agencies obtain computer services to support their business functions from the State Data Center, operated by the Department of Administrative Services through the Technical Services Revolving Fund.								
-(G) Due to a reorganization of the operation of the State Data Center, there is a significant reduction in the rates for services charged to state agencies (CATER and BOSS).								
-(L)Same as Governor								
Other Expenses	0	-11,647	0	-11,647	0	0	0	0
Total - General Fund	**0**	**-11,647**	**0**	**-11,647**	**0**	**0**	**0**	**0**
Fund Equipment Items from the CEPF - (B)								
The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least 3 years. It is financed through the sale of bonds and is administered by the Office of Policy and Management.								
-(G) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds).								
-(L)Same as Governor								
Equipment	0	-91,800	0	-68,000	0	0	0	0
Total - General Fund	**0**	**-91,800**	**0**	**-68,000**	**0**	**0**	**0**	**0**
Transfer Back Training and Testing Funds - (B)								
For FY 1996-97, a portion of the agency's funds for testing and training of staff was transferred to the Department of Correction (DOC) in order to eliminate inefficiency and provide effective training and management of the agency's training funds. (Prevention Program)								
-(G) The transfer back of training and testing funds from DOC is recommended.								
-(L)Same as Governor								
Personal Services	0	24,165	0	24,165	0	0	0	0
Sheriffs Training	0	63,405	0	63,405	0	0	0	0
Vaccination and Testing	0	79,430	0	79,430	0	0	0	0
Total - General Fund	**0**	**167,000**	**0**	**167,000**	**0**	**0**	**0**	**0**
Reduce Personal Services - (B)								
-(G) A general reduction of $81,082 is recommended in Personal Services.								
-(L)Same as Governor								
Personal Services	0	-81,082	0	-81,082	0	0	0	0
Total - General Fund	**0**	**-81,082**	**0**	**-81,082**	**0**	**0**	**0**	**0**

Provide Special Deputy Sheriffs for New Courthouses - (B)
The Judicial Department anticipates the following courthouse openings dates:
Waterbury - 4/1/98
New Britain - 10/1/98
Stamford - 4/1/99
Danielson - 1/1/99
Bridgeport Juvenile - 4/1/99

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(G) Funding is recommended to provide Special Deputy Sheriffs for new courthouse openings during the biennium. Funding for training is also included. -(L) This funding is not provided since staff will be transferred from the replaced courthouses.								
Other Expenses	0	0	0	0	0	-38,979	0	-232,062
Sheriffs Training	0	0	0	0	0	-38,400	0	-221,400
Special Deputy Sheriffs	0	0	0	0	0	-148,056	0	-1,960,386
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**-225,435**	**0**	**-2,413,848**
Provide Pay Increase for Special Deputy Sheriffs - (B) -(L) Funding is provided for a $5 increase in the per diem rate of Special Deputy Sheriffs in both years of the biennium. PA 97-294, "An Act Concerning the Number of Judges of the Superior Court, the Use of Bond Forfeiture Funds, the Appointment of Per Diem Prosecutors and the Compensation of Judges, Prosecutors, Public Defenders, State Referees, Family Support Magistrates, Deputy and Special Deputy Sheriffs and Certain Personnel of the Division of Criminal Justice", implements this change, but was vetoed by the Governor on July 2, 1997. Subsequently, this provision was included in PA 97-1 (July Special Session), "An Act Concerning the Justice System," which was signed by the Governor on July 23, 1997.								
Special Deputy Sheriffs	0	800,000	0	1,600,000	0	800,000	0	1,600,000
Total - General Fund	**0**	**800,000**	**0**	**1,600,000**	**0**	**800,000**	**0**	**1,600,000**
Provide Funds For Central Office Reorganization - (B) -(L) Funding is provided for five additional positions to assist in the reorganization of the agency's central administration. These positions relate to a review of the agency's staffing needs by the Department of Administrative Services (DAS) Human Resource and Organizational Analysis Division. The level and implementation of this funding is dependent on the final staffing recommendations of DAS.								
Personal Services	5	125,000	5	125,000	5	125,000	5	125,000
Total - General Fund	**5**	**125,000**	**5**	**125,000**	**5**	**125,000**	**5**	**125,000**
Change Stress Test Requirements for New Hires - (B) -(L) A reduction in funding is provided in order to reflect a reduction in the number of stress tests that are performed. PA 97-294, "An Act Concerning the Number of Judges of the Superior Court, the Use of Bond Forfeiture Funds, the Appointment of Per Diem Prosecutors and the Compensation of Judges, Prosecutors, Public Defenders, State Referees, Family Support Magistrates, Deputy and Special Deputy Sheriffs and Certain Personnel of the Division of Criminal Justice", implements this change, but was vetoed by the Governor on July 2, 1997. Subsequently, this provision was included in PA 97-1 (July Special Session), "An Act Concerning the Justice System," which was signed by the Governor on July 23, 1997.								
Other Expenses	0	-30,000	0	-30,000	0	-30,000	0	-30,000
Total - General Fund	**0**	**-30,000**	**0**	**-30,000**	**0**	**-30,000**	**0**	**-30,000**
Budget Totals - GF	**39**	**21,337,486**	**39**	**22,189,647**	**5**	**669,565**	**5**	**-718,848**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Criminal and Civil Court cases disposed	192,272	195,100	198,100	201,100	198,100	201,100	198,100	201,100
Family and Juvenile Court cases disposed	44,597	45,300	45,900	46,600	45,900	46,600	45,900	46,600
Prisoner Transportation Cost								
High Security Vehicles-Leased ($)	266,755	278,750	285,440	314,361	292,950	322,950	292,950	322,950
New Recruits trained	90	150	100	259	100	259	100	259
New Recruit training classes	4	6	4	10	4	10	4	10
In-service training classes	251	250	250	250	250	250	250	250
Special Deputy Sheriffs trained	700	700	700	700	700	700	700	700

OTHER 1997 LEGISLATION POTENTIALLY AFFECTING THE AGENCY'S BUDGET

PA 97-148, "An Act Concerning Collective Bargaining Rights and Retirement Benefits of Deputy Sheriffs, Special Deputy Sheriffs and Other Employees of the High Sheriffs" - Allows Special Deputy Sheriffs to collectively bargain and extends many state employee fringe benefits to these employees on 7/1/99.

PA 97-199, "An Act Concerning Community Service and the Community Court Pilot Program" - Requires the Chief Court Administrator to establish a community court pilot program in one court location on 7/1/97. The community court must have a docket separate from other criminal matters to hear (1) misdemeanors, (2) misdemeanor cases transferred by the housing court, and 3) violations of municipal ordinances referred by municipalities. The act requires the Judicial Department to report to the Judiciary Committee 1) by 1/1/98 recommendations for possible expansion to two additional community court pilot sites and 2) by 2/1/98 various statistics on the number of individuals who perform community service through the court. It should be noted that PA 97-11, JSS "An Act Concerning Computerized Information Sharing . . ", changed the effective date of PA 97-199 from 10/1/97 to 7/1/97.

PA 97-248, "An Act Concerning Substance Abuse Education and Treatment Programs and Establishing a Connecticut Alcohol and Drug Policy Council" - Allows the establishment of a drug court in any geographical area or juvenile matters court location; establishes a pre-trial drug education program; requires that drug education be a component of the community service labor program and makes other changes.

PA 97-253, "An Act Concerning Truancy" - Requires the Chief Court Administrator to establish a truancy court in one juvenile matters location.

---

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $229,025 in Personal Services from the early retirement incentive program, and $12,064 in Personal Services and $47,422 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $2,436 for FY '98.

[2] In addition to the agency's total General Fund appropriation of $19,954,601 in FY 1996-97, the agency received a deficiency appropriation of $110,000 in Other Expenses and $70,000 in the Special Deputy Sheriffs account. These funds (a total of $180,000) were provided through SA 97-8, "An Act Making Deficiency Appropriations for the Fiscal Year Ending June 30, 1997."

Judicial Department
9001

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	2,794	2,794	2,834	2,860	2,828	2,842
	Others Equated to Full-Time	50	48	28	28	28	28
	Additional Funds Available						
	Permanent Full-Time	25	25	29	24	29	24
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	121,960,922	131,711,001	137,335,504	147,645,034	141,960,477	153,331,909
002	Other Expenses	34,327,207	38,514,101	42,283,029	43,180,761	41,581,634	41,708,741
005	Equipment	790,000	924,600	0	0	15,000	0
02X	Other Current Expenses	23,667,574	27,538,304	33,279,042	33,867,220	33,279,042	33,867,220
	Agency Total - General Fund[1][2]	**180,745,703**	**198,688,006**	**212,897,575**	**224,693,015**	**216,836,153**	**228,907,870**
	Criminal Injuries Compensation Fund						
02X	Other Current Expenses	1,500,000	1,500,000	1,500,000	1,500,000	1,900,000	1,900,000
	Agency Total - Criminal Injuries Compensation Fund	**1,500,000**	**1,500,000**	**1,500,000**	**1,500,000**	**1,900,000**	**1,900,000**
	Agency Total - Appropriated Funds	**182,245,703**	**200,188,006**	**214,397,575**	**226,193,015**	**218,736,153**	**230,807,870**
	Additional Funds Available						
	Special Funds, Non-Appropriated	244,666	0	0	0	0	0
	Bond Funds	619,921	0	0	0	0	0
	Private Contributions	2,705,727	44,180	2,850,746	2,753,996	2,850,746	2,753,996
	Federal Contributions	4,198,336	6,787,383	6,031,765	5,514,562	6,031,765	5,514,562
	Agency Grand Total	**190,014,353**	**207,019,569**	**223,280,086**	**234,461,573**	**227,618,664**	**239,076,428**
	BUDGET BY PROGRAM						
	Office of the Chief Court Admin.						
	Permanent Full-Time Positions GF	131	131	131	131	132	132
	General Fund						
	Personal Services	7,459,892	6,341,298	7,304,964	7,657,292	7,349,299	7,701,627
	Other Expenses	3,718,058	3,550,250	4,322,678	4,195,736	4,322,678	4,195,736
	Equipment	0	0	0	0	0	0
	Total - General Fund	**11,177,950**	**9,891,548**	**11,627,642**	**11,853,028**	**11,671,977**	**11,897,363**
	Federal Contributions						
	Juvenile Justice&Delinq Prevent	30	0	0	0	0	0
	Natl Crime History Improvement	2,430	68,988	70,000	0	70,000	0
	Drug Control & System Imrpr Gt	6,407	300	0	0	0	0
	Total - Federal Contributions	**8,867**	**69,288**	**70,000**	**0**	**70,000**	**0**
	Additional Funds Available						
	Special Funds, Non-Appropriated	95,772	0	0	0	0	0
	Private Contributions	5,248	0	0	0	0	0
	Total - Additional Funds Available	**101,020**	**0**	**0**	**0**	**0**	**0**
	Total - All Funds	**11,287,837**	**9,960,836**	**11,697,642**	**11,853,028**	**11,741,977**	**11,897,363**
	Appellate System						
	Permanent Full-Time Positions GF	106	106	106	106	106	106
	General Fund						
	Personal Services	6,232,755	6,526,023	6,964,931	7,164,005	6,964,931	7,164,005
	Other Expenses	646,633	673,607	677,449	660,916	677,449	660,916
	Total - General Fund	**6,879,388**	**7,199,630**	**7,642,380**	**7,824,921**	**7,642,380**	**7,824,921**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Courts						
	Permanent Full-Time Positions GF/OF	1,180/15	1,180/15	1,180/20	1,182/20	1,191/20	1,193/20
	General Fund						
	Personal Services	55,522,216	59,138,235	68,008,024	72,546,452	68,626,362	73,925,810
	Other Expenses	20,770,650	23,904,637	24,416,408	25,311,851	23,561,398	23,480,422
	Equipment	790,000	876,600	0	0	15,000	0
	Total - General Fund	**77,082,866**	**83,919,472**	**92,424,432**	**97,858,303**	**92,202,760**	**97,406,232**
	Federal Contributions						
	Juvenile Justice&Delinq Prevent	904	0	0	0	0	0
	Natl Crime History Improvement	0	160,716	150,000	0	150,000	0
	Drug Control & System Imrpr Gt	403,958	559,207	850,001	850,001	850,001	850,001
	Total - Federal Contributions	**404,862**	**719,923**	**1,000,001**	**850,001**	**1,000,001**	**850,001**
	Additional Funds Available						
	Special Funds, Non-Appropriated	10,995	0	0	0	0	0
	Bond Funds	619,921	0	0	0	0	0
	Donated Law Library-Equipment	0	17,682	0	0	0	0
	State Justice Institute	0	19,900	0	0	0	0
	Carstensen Memorial Fund	0	4,247	0	0	0	0
	JC Penney Grant	0	2,351	0	0	0	0
	Private Contributions	137,354	0	209,057	209,057	209,057	209,057
	Total - Additional Funds Available	**768,270**	**44,180**	**209,057**	**209,057**	**209,057**	**209,057**
	Total - All Funds	**78,255,998**	**84,683,575**	**93,633,490**	**98,917,361**	**93,411,818**	**98,465,290**
	Bail Commission						
	Permanent Full-Time Positions GF/OF	88/1	88/1	88/0	88/0	88/0	88/0
	General Fund						
	Personal Services	3,328,674	3,485,297	4,100,048	4,370,654	4,100,048	4,370,654
	Other Expenses	178,585	186,034	184,382	179,892	184,382	179,892
011	Alternative Incarceration Program	630,517	610,897	636,368	636,368	636,368	636,368
	Total - General Fund	**4,137,776**	**4,282,228**	**4,920,798**	**5,186,914**	**4,920,798**	**5,186,914**
	Federal Contributions						
	Criminal Justice Discretionary	0	43,605	0	0	0	0
	Drug Control & System Improvement	2,819	0	0	0	0	0
	Total - Federal Contributions	**2,819**	**43,605**	**0**	**0**	**0**	**0**
	Total - All Funds	**4,140,595**	**4,325,833**	**4,920,798**	**5,186,914**	**4,920,798**	**5,186,914**
	Family Division						
	Permanent Full-Time Positions GF	157	157	157	157	157	157
	General Fund						
	Personal Services	7,357,579	7,703,777	8,365,279	8,928,967	8,365,279	8,928,967
	Other Expenses	1,457,180	1,517,912	1,672,404	1,704,577	1,672,404	1,704,577
011	Alternative Incarceration Program	295,436	286,270	298,206	298,206	298,206	298,206
014	Children Impacted by Domestic Violence	140,247	150,000	193,577	193,577	193,577	193,577
017	Juvenile Services Enhancement	308	0	0	0	0	0
	Total - General Fund	**9,250,700**	**9,657,959**	**10,529,466**	**11,125,327**	**10,529,466**	**11,125,327**
	Federal Contributions						
	Drug Control & System Imrpr Gt	122,906	0	0	0	0	0
	Additional Funds Available						
	Private Contributions	63,219	0	0	0	0	0
	Total - All Funds	**9,436,825**	**9,657,959**	**10,529,466**	**11,125,327**	**10,529,466**	**11,125,327**
	Juvenile Probation						
	Permanent Full-Time Positions GF	244	244	244	244	244	244
	General Fund						
	Personal Services	7,272,692	9,827,317	12,124,263	13,021,741	12,124,263	13,021,741
	Other Expenses	1,466,737	1,708,235	2,333,694	2,513,265	2,333,694	2,513,265
017	Juvenile Services Enhancement	1,205,829	0	0	0	0	0
	Total - General Fund	**9,945,258**	**11,535,552**	**14,457,957**	**15,535,006**	**14,457,957**	**15,535,006**
	Federal Contributions						
	Natl Crime History Improvement	47,139	0	47,131	0	47,131	0
	Criminal Justice Discretionary	0	525,000	0	0	0	0
	Drug Control & System Imrpr Gt	215,480	0	700,000	700,000	700,000	700,000
	State Court Improvement Grant	82,114	88,263	150,806	150,806	150,806	150,806
	Total - Federal Contributions	**344,733**	**613,263**	**897,937**	**850,806**	**897,937**	**850,806**
	Additional Funds Available						
	Special Funds, Non-Appropriated	10,710	0	0	0	0	0
	Private Contributions	71,755	0	175,000	175,000	175,000	175,000
	Total - Additional Funds Available	**82,465**	**0**	**175,000**	**175,000**	**175,000**	**175,000**
	Total - All Funds	**10,372,456**	**12,148,815**	**15,530,894**	**16,560,812**	**15,530,894**	**16,560,812**
	Adult Probation						
	Permanent Full-Time Positions GF/OF	385/5	385/5	385/5	385/0	385/5	385/0
	General Fund						
	Personal Services	17,327,585	18,179,544	21,012,228	22,432,826	21,012,228	22,432,826
	Other Expenses	2,139,334	2,228,573	3,132,179	2,952,406	3,132,179	2,952,406
011	Alternative Incarceration Program	1,348,750	1,403,578	1,462,099	1,470,321	1,462,099	1,470,321
	Total - General Fund	**20,815,669**	**21,811,695**	**25,606,506**	**26,855,553**	**25,606,506**	**26,855,553**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Federal Contributions						
	National Institute of Justice	0	20,000	10,000	0	10,000	0
	Criminal Justice Discretionary	3,533	73,000	0	0	0	0
	Drug Control & System Imrpr Gt	169,020	196,750	351,572	0	351,572	0
	Drug Control & System Improvement	81,776	11,000	0	0	0	0
	Victims and Women's Act	10,819	67,500	43,500	0	43,500	0
	Total - Federal Contributions	**265,148**	**368,250**	**405,072**	**0**	**405,072**	**0**
	Additional Funds Available						
	Private Contributions	87,344	0	96,750	0	96,750	0
	Total - All Funds	**21,168,161**	**22,179,945**	**26,108,328**	**26,855,553**	**26,108,328**	**26,855,553**
	Support Enforcement						
	Permanent Full-Time Positions GF	257	257	297	321	277	289
	General Fund						
	Personal Services	8,652,112	9,059,218	12,657,265	13,895,915	12,029,565	12,884,097
	Other Expenses	989,259	1,030,524	1,884,436	2,005,007	1,778,051	1,854,416
	Total - General Fund	**9,641,371**	**10,089,742**	**14,541,701**	**15,900,922**	**13,807,616**	**14,738,513**
	Comm. on Official Legal Publications						
	Permanent Full-Time Positions GF	24	24	24	24	24	24
	General Fund						
	Personal Services	992,259	1,038,948	1,114,124	1,166,062	1,114,124	1,166,062
	Other Expenses	979,146	1,019,990	1,160,457	1,128,914	1,160,457	1,128,914
	Total - General Fund	**1,971,405**	**2,058,938**	**2,274,581**	**2,294,976**	**2,274,581**	**2,294,976**
	Alternative Incarceration Program						
	Permanent Full-Time Positions GF/OF	20/1	20/1	20/1	20/1	20/1	20/1
	General Fund						
	Personal Services	797,685	1,142,886	1,113,069	1,177,365	1,113,069	1,177,365
	Other Expenses	55,240	57,544	57,031	55,639	57,031	55,639
011	Alternative Incarceration Program	15,779,781	16,718,739	17,415,811	17,407,589	17,415,811	17,407,589
012	Justice Education Center, Inc.	225,000	225,000	225,000	225,000	225,000	225,000
015	Juvenile Alternative Incarceration	2,229,801	5,480,820	10,384,981	10,973,159	10,384,981	10,973,159
016	Post-Release Non-Residential Services	1,299,900	1,300,000	1,300,000	1,300,000	1,300,000	1,300,000
017	Juvenile Services Enhancement	124,982	0	0	0	0	0
018	Juvenile Justice Centers	0	1,363,000	1,363,000	1,363,000	1,363,000	1,363,000
	Total - General Fund	**20,512,389**	**26,287,989**	**31,858,892**	**32,501,752**	**31,858,892**	**32,501,752**
	Federal Contributions						
	Criminal Justice Discretionary	0	379,850	0	0	0	0
	Drug Control & System Imrpr Gt	972,422	2,039,566	1,469,755	1,419,755	1,469,755	1,419,755
	Drug Control & System Improvement	621,485	12,323	0	0	0	0
	Total - Federal Contributions	**1,593,907**	**2,431,739**	**1,469,755**	**1,419,755**	**1,469,755**	**1,419,755**
	Additional Funds Available						
	Private Contributions	2,340,807	0	2,369,939	2,369,939	2,369,939	2,369,939
	Total - All Funds	**24,447,103**	**28,719,728**	**35,698,586**	**36,291,446**	**35,698,586**	**36,291,446**
	Office of Victim Services						
	Permanent Full-Time Positions GF/OF	22/3	22/3	22/3	22/3	24/3	24/3
	General Fund						
	Personal Services	724,162	863,989	1,033,483	1,101,309	1,123,483	1,191,309
	Other Expenses	245,872	256,128	257,471	248,003	517,471	758,003
	Total - General Fund	**970,034**	**1,120,117**	**1,290,954**	**1,349,312**	**1,640,954**	**1,949,312**
	Criminal Injuries Compensation Fund						
011	Criminal Injuries Compensation	1,500,000	1,500,000	1,500,000	1,500,000	1,900,000	1,900,000
	Federal Contributions						
	Natl Crime History Improvement	0	200,000	0	175,000	0	175,000
	Crime Victim Assistance	951,167	1,749,315	1,639,000	1,639,000	1,639,000	1,639,000
	Crime Victim Compensation	501,127	592,000	550,000	580,000	550,000	580,000
	Total - Federal Contributions	**1,452,294**	**2,541,315**	**2,189,000**	**2,394,000**	**2,189,000**	**2,394,000**
	Total - All Funds	**3,922,328**	**5,161,432**	**4,979,954**	**5,243,312**	**5,729,954**	**6,243,312**
	Juvenile Detention						
	Permanent Full-Time Positions GF	180	180	180	180	180	180
	General Fund						
	Personal Services	6,293,311	8,404,469	9,130,510	9,775,130	9,130,510	9,775,130
	Other Expenses	1,680,563	2,380,667	2,184,440	2,224,555	2,184,440	2,224,555
	Equipment	0	48,000	0	0	0	0
017	Juvenile Services Enhancement	387,023	0	0	0	0	0
	Total - General Fund	**8,360,897**	**10,833,136**	**11,314,950**	**11,999,685**	**11,314,950**	**11,999,685**
	Federal Contributions						
	Arts in Education	2,800	0	0	0	0	0
	Additional Funds Available						
	Special Funds, Non-Appropriated	127,189	0	0	0	0	0
	Total - All Funds	**8,490,886**	**10,833,136**	**11,314,950**	**11,999,685**	**11,314,950**	**11,999,685**

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	Personal Services Savings						
	General Fund						
	Personal Services	0	0	-8,483,584	-8,483,584	-3,983,584	-3,298,584
	Less: Turnover - Personal Services - GF	0	0	-7,109,100	-7,109,100	-7,109,100	-7,109,100
	EQUIPMENT						
005	Equipment	790,000	924,600	0	0	15,000	0
	Agency Grand Total	**190,014,353**	**207,019,569**	**223,280,086**	**234,461,573**	**227,618,664**	**239,076,428**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**2,794**	**198,723,170**	**2,794**	**198,723,170**	**0**	**0**	**0**	**0**
FY97 Governor's Estimated Expenditure - CF	**0**	**1,500,000**	**0**	**1,500,000**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	11,801,695	0	21,012,307	0	0	0	0
Other Expenses	0	776,606	0	1,557,663	0	0	0	0
Equipment	0	1,830,842	0	1,508,164	0	0	0	0
Other Current Expenses	0	672,920	0	1,389,298	0	0	0	0
Total - General Fund	**0**	**15,082,063**	**0**	**25,467,432**	**0**	**0**	**0**	**0**
Criminal Injuries Compensation	0	36,000	0	71,328	0	0	0	0
Total - Criminal Injuries Compensation Fund	**0**	**36,000**	**0**	**71,328**	**0**	**0**	**0**	**0**
Provide Salary Increase for Law Clerks - (B)								
CGS, Section 51-12 allows the Judicial Department to set and change the compensation levels of its employees.								
-(G) Funding is recommended to implement a 2.5% increase for Law Clerks as specified in a judges' vote.								
-(L)Same as Governor								
Personal Services	0	48,881	0	48,881	0	0	0	0
Total - General Fund	**0**	**48,881**	**0**	**48,881**	**0**	**0**	**0**	**0**
Provide Increases for Various Trainees - (B)								
-(G) Funding is recommended to provide increases to various department trainees upon achieving their target classes.								
-(L)Same as Governor								
Personal Services	0	1,435,840	0	1,435,840	0	0	0	0
Total - General Fund	**0**	**1,435,840**	**0**	**1,435,840**	**0**	**0**	**0**	**0**
Provide Salary Increase for Permanent Part-Time Positions - (B)								
-(G) Funding is recommended for a 4% salary increase for various permanent part-time positions.								
-(L)Same as Governor								
Personal Services	0	266,081	0	542,806	0	0	0	0
Total - General Fund	**0**	**266,081**	**0**	**542,806**	**0**	**0**	**0**	**0**
Reduce Personal Services - (B)								
-(G) A reduction in funding in Personal Services of $8,483,584 is recommended. There are no position reductions included in this recommendation.								
-(L) A reduction in Personal Services of $3,983,584 in year 1 and $3,298,584 in year 2 is provided. This represents an increase of $4,500,000 in Year 1 and $5,185,000 in year 2 over the Governor's recommended level.								
Personal Services	0	-3,983,584	0	-3,298,584	0	4,500,000	0	5,185,000
Total - General Fund	**0**	**-3,983,584**	**0**	**-3,298,584**	**0**	**4,500,000**	**0**	**5,185,000**
Fund Support Enforcement to Comply with Federal Legislation - (B)								
-(G) Funding is recommended for additional positions in Support Enforcement to comply with federal legislation.								
-(L) Funding is provided for additional positions and expenses and law librarians in the Support Enforcement Division								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
related to federal welfare requirements. PA 97-7 (JSS), "An Act Concerning Child Support Reform," implements this change.								
Personal Services	20	627,700	32	1,011,819	-20	-627,700	-32	-1,011,818
Other Expenses	0	106,385	0	150,591	0	-106,385	0	-150,591
Total - General Fund	**20**	**734,085**	**32**	**1,162,410**	**-20**	**-734,085**	**-32**	**-1,162,409**
Provide Additional Funds for Children Impacted by Domestic Violence - (B) (Prevention Program) -(G) Funding is recommended to reflect increased costs in the Children Impacted by Domestic Violence program. -(L)Same as Governor								
Children Impacted by Domestic Violence	0	43,577	0	43,577	0	0	0	0
Total - General Fund	**0**	**43,577**	**0**	**43,577**	**0**	**0**	**0**	**0**
Provide Funds for Increased Leases - (B) -(G) Funding is recommended for lease proposal cost increases at various Judicial Department facilities. -(L)Same as Governor								
Other Expenses	0	3,563,095	0	2,601,414	0	0	0	0
Total - General Fund	**0**	**3,563,095**	**0**	**2,601,414**	**0**	**0**	**0**	**0**
Reduce Funds for Rented Fleet Vehicles - (B) -(G) Funding is recommended to be reduced for the agency's use of fleet vehicles. -(L)Same as Governor								
Other Expenses	0	-84,816	0	-84,816	0	0	0	0
Total - General Fund	**0**	**-84,816**	**0**	**-84,816**	**0**	**0**	**0**	**0**
Provide Funds for New Courthouses - (B) The Judicial Department anticipates the following courthouse openings during the biennium: Waterbury - 4/1/98 New Britain - 10/1/98 Danielson - 1/1/99 Stamford - 4/1/99 Bridgeport - 10/1/99 -(G) Funding is recommended for expenses for new courthouses. This includes funds for two law librarians for the New Britain and Stamford Courthouses. -(L) Funding is provided for maintenance expenses and law librarians for new courthouses based upon revised opening dates. The following dates are anticipated: Waterbury 6/1/98 New Britain 11/1/98 Danielson 5/1/99 Stamford 7/1/99 Bridgeport 11/1/99 In addition, it is provided that the Waterbury courthouse project be delayed for one month until the second year of the biennium.								
Personal Services	0	0	2	35,970	0	0	0	-17,986
Other Expenses	0	0	0	1,174,035	0	-208,610	0	-769,629
Total - General Fund	**0**	**0**	**2**	**1,210,005**	**0**	**-208,610**	**0**	**-787,615**
Adjust Funding Related to FY 1996-97 Deficiency - (B) The agency received deficiency funding of $3.8 million in FY 1996-97, primarily related to increased attorney costs in juvenile matters, the rehiring of laid off positions and the temporary juvenile detention facility in Enfield. -(G) A roll-out of the FY 1996-97 deficiency into the biennium is recommended. This includes an additional $142,000 for the rehiring of positions, an additional $365,722 related to attorney costs in juvenile and a reduction of $662,717 for the temporary detention facility in Enfield which is anticipated to be transferred to the Department of Correction during FY 1996-97.								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
-(L)Same as Governor								
Personal Services	0	-520,717	0	-520,717	0	0	0	0
Other Expenses	0	365,722	0	365,722	0	0	0	0
Total - General Fund	**0**	**-154,995**	**0**	**-154,995**	**0**	**0**	**0**	**0**
Eliminate Inflation Increases - (B)								
-(G) The elimination of inflation increases in various accounts is recommended.								
-(L)Same as Governor								
Other Expenses	0	-776,606	0	-1,557,663	0	0	0	0
Alternative Incarceration Program	0	-456,468	0	-922,654	0	0	0	0
Justice Education Center, Inc.	0	-5,400	0	-10,699	0	0	0	0
Children Impacted by Domestic Violence	0	-3,600	0	-8,135	0	0	0	0
Juvenile Alternative Incarceration	0	-143,540	0	-321,179	0	0	0	0
Post-Release Non-Residential Services	0	-31,200	0	-61,818	0	0	0	0
Juvenile Justice Centers	0	-32,712	0	-64,813	0	0	0	0
Total - General Fund	**0**	**-1,449,526**	**0**	**-2,946,961**	**0**	**0**	**0**	**0**
Criminal Injuries Compensation	0	-36,000	0	-71,328	0	0	0	0
Total - Criminal Injuries Compensation Fund	**0**	**-36,000**	**0**	**-71,328**	**0**	**0**	**0**	**0**
Reduce Funding Due to State Data Center Rate Reductions - (B)								
Many state agencies obtain computer services to support their business functions from the State Data Center, operated by the Department of Administrative Services through the Technical Services Revolving Fund.								
-(G) Due to a reorganization of the operation of the State Data Center, there is a significant reduction in the rates for services charged to state agencies (CATER and BOSS).								
-(L)Same as Governor								
Other Expenses	0	-210,710	0	-210,710	0	0	0	0
Total - General Fund	**0**	**-210,710**	**0**	**-210,710**	**0**	**0**	**0**	**0**
Fund Equipment Items from the CEPF - (B)								
The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least 3 years. It is financed through the sale of bonds and is administered by the Office of Policy and Management.								
-(G) Funding for various equipment items ($2,755,442 in year 1; $2,432,764 in year 2) is removed from the General Fund and is to be provided by the CEPF (Bond Funds).								
-(L) Funding for various equipment items ($3,016,492 in year 1; $2,458,864 in year 2) is removed from the General Fund and is to be provided by the CEPF.								
Equipment	0	-3,016,492	0	-2,458,864	0	-261,050	0	-26,100
Total - General Fund	**0**	**-3,016,492**	**0**	**-2,458,864**	**0**	**-261,050**	**0**	**-26,100**
Provide Funds for Additional Alternative Incarceration Programs - (B)								
(Prevention Program)								
-(G) Funding is recommended for additional alternative incarceration programs for juveniles and adults. These funds include $1,599,061 for the annualization of partial-year costs for 147 slots for juveniles in Year 1 and $3,393,278 in Year 2 of the biennium. Also included is $793,000 in funds for annualization of partial-year costs for the alternative incarceration program for adults.								
-(L)Same as Governor								
Other Expenses	0	0	0	35,947	0	0	0	0
Alternative Incarceration Program	0	793,000	0	793,000	0	0	0	0
Juvenile Alternative Incarceration	0	4,404,161	0	4,992,339	0	0	0	0
Total - General Fund	**0**	**5,197,161**	**0**	**5,821,286**	**0**	**0**	**0**	**0**
Implement Victim Services Report - (B)								
-(L) Funding is provided to implement the Office of Victim Services' (OVS) report entitled "Serving the Crime Victims of Connecticut." Partial-year funding is included for the								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
first year. PA 97-257, "An Act Concerning Crime Victims," contains authorization for this purpose.								
Other Expenses	0	250,000	0	500,000	0	250,000	0	500,000
Total - General Fund	**0**	**250,000**	**0**	**500,000**	**0**	**250,000**	**0**	**500,000**
Provide Funds for New Judges - (B) -(L) Funding is provided for three new judges. This includes funds for three Assistant Clerks (@ $46,638) and three Court Recording Monitors (@ $28,733), as well as associated expenses and equipment. These would be allocated to the juvenile court and for expansion of truancy sessions, drug sessions, domestic violence sessions and community courts. PA 97-257, An Act Concerning Crime Victims", contains the necessary increase in the statutory number of judges.								
Personal Services	9	263,673	9	527,346	9	263,673	9	527,346
Other Expenses	0	21,600	0	43,200	0	21,600	0	43,200
Equipment	0	13,050	0	26,100	0	13,050	0	26,100
Total - General Fund	**9**	**298,323**	**9**	**596,646**	**9**	**298,323**	**9**	**596,646**
Reduce Other Expenses - (B) -(L) A reduction in Other Expenses is provided through various cost savings measures. This reduction requires the addition of $248,000 in equipment.								
Other Expenses	0	-978,000	0	-1,415,000	0	-978,000	0	-1,415,000
Equipment	0	248,000	0	0	0	248,000	0	0
Total - General Fund	**0**	**-730,000**	**0**	**-1,415,000**	**0**	**-730,000**	**0**	**-1,415,000**
Provide Funds for Judges, Family Support Magistrates and Senior Judges Pay Increases - (B) -(L) Funding is provided for a 2% salary increase in October of each year of the biennium for judges and family support magistrates. Funding is also provided for an increase in the per diem rate of Senior Judges to $170 per day and $175 per day in October of each year of the biennium. PA 97-294, "An Act Concerning the Number of Judges of the Superior Court, the Use of Bond Forfeiture Funds, the Appointment of Per Diem Prosecutors and the Compensation of Judges, Prosecutors, Public Defenders, State Referees, Family Support Magistrates, Deputy and Special Deputy Sheriffs and Certain Personnel of the Division of Criminal Justice", implements this change, but was vetoed by the Governor on July 2, 1997. Subsequently, these changes were included in PA 97-1 (July Special Session), "An Act Concerning the Justice System," which was signed by the Governor on July 23, 1997.								
Personal Services	0	349,000	0	814,333	0	349,000	0	814,333
Total - General Fund	**0**	**349,000**	**0**	**814,333**	**0**	**349,000**	**0**	**814,333**
Provide Funds for Victim Compensation - (B) -(L) Funding is provided for staffing for increased contract administration duties and the recovery of funds owed to the Criminal Injuries Compensation Fund (CICF). An increase in the appropriation of the CICF is also provided in order to leverage additional federal funds.								
Personal Services	2	90,000	2	90,000	2	90,000	2	90,000
Other Expenses	0	10,000	0	10,000	0	10,000	0	10,000
Total - General Fund	**2**	**100,000**	**2**	**100,000**	**2**	**100,000**	**2**	**100,000**
Criminal Injuries Compensation	0	400,000	0	400,000	0	400,000	0	400,000
Total - Criminal Injuries Compensation Fund	**0**	**400,000**	**0**	**400,000**	**0**	**400,000**	**0**	**400,000**
Provide Funds to Implement Juror List Reorganization - (B) -(L) Funding for three positions and computerization expenses and equipment is provided to assist in the implementation of juror list reorganization under the provisions of PA 200, "An Act Concerning Jurors."								
Personal Services	3	50,000	3	100,000	3	50,000	3	100,000

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Other Expenses	0	310,000	0	310,000	0	310,000	0	310,000
Equipment	0	15,000	0	0	0	15,000	0	0
Total - General Fund	**3**	**375,000**	**3**	**410,000**	**3**	**375,000**	**3**	**410,000**
Budget Totals - GF	**2,828**	**216,836,153**	**2,842**	**228,907,870**	**-6**	**3,938,578**	**-18**	**4,214,855**
Budget Totals - CF	**0**	**1,900,000**	**0**	**1,900,000**	**0**	**400,000**	**0**	**400,000**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Requisitions processed	8,000	8,000	8,000	8,000	8,000	8,000	8,000	8,000
Days from srvs requisition to delivery	35	35	35	35	35	35	35	35
Personnel	8,190	8,200	8,200	8,200	8,200	8,200	8,200	8,200
Payroll changes	26,481	27,000	27,000	27,000	27,000	27,000	27,000	27,000
Locations having criminal system on line	154	154	154	154	154	154	154	154
Total revenues collected ($000)	88,109	92,020	89,808	87,623	89,808	87,623	89,808	87,623
New cases-Supreme Crt (incl. transfers from Appellate)	175	200	200	200	200	200	200	200
Petitions for certification filed for Supreme Court	284	300	300	300	300	300	300	300
Cases disposed-Supreme Court	226	250	250	250	250	250	250	250
Cases disposed by opinion-Supreme Court	178	200	200	200	200	200	200	200
Pending end of period-Supreme Court	183	180	180	180	180	180	180	180
Screening Reports-Supreme Court	173	200	200	200	200	200	200	200
Cases transferred to Appellate Court from Supreme	27	30	30	30	30	30	30	30
Supreme Court written opinions published	170	200	200	200	200	200	200	200
Appellate Court written opinions published	543	550	550	550	550	550	550	550
Superior Court written opinions published	24	35	35	35	35	35	35	35
Published pages of opinions	6,700	6,300	6,300	6,300	6,300	6,300	6,300	6,300
Days between decision & written publication-Appellate	15.47	15.47	15.47	15.47	15.47	15.47	15.47	15.47
New cases-Appellate (incl. transfers from Superior)	1,179	1,200	1,200	1,200	1,200	1,200	1,200	1,200
Cases disposed-Appellate Court	1,153	1,200	1,200	1,200	1,200	1,200	1,200	1,200
Cases disposed by Opinion-Appellate Court	549	550	550	550	550	550	550	550
Cases transferred to Supreme Court from Appellate	81	90	90	90	90	90	90	90
Cases pending at end of period-Appellate Court	1,015	1,000	1,000	1,000	1,000	1,000	1,000	1,000
Cases Screened-Supreme Court	586	600	600	600	600	600	600	600
Petition for Certificates filed for Appellate Court	37	40	40	40	40	40	40	40
Percent of transcripts delivered within time standard (%)	86	86	86	86	86	86	86	86
Judges' requests for legal research	4,223	4,400	4,450	4,550	4,450	4,550	4,450	4,550
Requests for interpreters	44,445	45,500	46,250	47,000	46,250	47,000	46,250	47,000
Criminal cases at Geographical Areas pending over	4,077	4,325	4,500	4,500	4,500	4,500	4,500	4,500
Criminal cases at Judicial Districts pending over	659	750	825	840	825	840	825	840
Civil cases at Judicial Districts pending over	4,457	4,200	4,000	4,150	4,000	4,150	4,000	4,150
Total cases filed (excl. juvenile & housing) (000)	736	745	750	751	750	751	750	751
Total number of trials	1,240	1,300	1,300	1,300	1,300	1,300	1,300	1,300
Housing cases filed	22,501	23,000	23,250	23,500	23,250	23,500	23,250	23,500
Days to dispose of housing session cases	51	50	50	54	50	54	50	54
Delinquency cases filed-juvenile	15,372	15,500	15,850	16,000	15,850	16,000	15,850	16,000
Non-delinquency cases filed-juvenile	5,705	6,000	6,150	6,250	6,150	6,250	6,150	6,250
Days to process delinquency cases-juvenile	104	110	115	118	115	118	115	118
Days to process non-delinquency cases-juvenile	158	165	170	172	170	172	170	172
Pretrial Alcohol Education Program Applicants	6,537	7,191	7,191	7,191	7,191	7,191	7,191	7,191
Conditional releases supervised	12,654	16,450	16,450	16,450	16,450	16,450	16,450	16,450
Bed days saved by releases	23,600	23,600	23,600	23,600	23,600	23,600	23,600	23,600
Defendants released on written promise to appear/nonsurety	32,013	30,092	30,092	30,092	30,092	30,092	30,092	30,092
Interviews conducted	61,563	64,333	64,333	64,333	64,333	64,333	64,333	64,333
Field Office Quality Ctr Perform Audits Conducted	0	15	25	35	25	35	25	35
Days of In-Service Training Provided	100	125	125	125	125	125	125	125
Criminal cases resolved through Mediation	413	420	425	425	425	425	425	425
Criminal cases referred to Mediation Program	642	650	650	650	650	650	650	650
Case study reports completed	1,237	1,250	1,250	1,250	1,250	1,250	1,250	1,250
Cases resolved by mediation	2,091	2,100	2,200	2,300	2,200	2,300	2,200	2,300
Cases referred for mediation	3,592	3,600	3,700	3,800	3,700	3,800	3,700	3,800
Pre-trial negotiations conducted	12,302	12,500	12,500	12,500	12,500	12,500	12,500	12,500
Criminal cases referred	29,144	29,000	29,000	29,000	29,000	29,000	29,000	29,000
Juveniles placed in residential care	1,540	1,500	1,500	1,500	1,506	1,520	1,506	1,520

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
Restitution and Community Service orders entered	2,193	2,200	2,200	2,300	2,200	2,300	2,200	2,300
Family With Service Needs referrals	3,361	3,500	3,500	3,500	3,500	3,500	3,500	3,500
Juveniles on probation/supervision	4,294	4,300	4,300	4,500	4,300	4,500	4,300	4,500
Pre-dispositional studies completed	4,719	5,000	5,000	5,000	5,000	5,000	5,000	5,000
Delinquency cases disposed of non-judicially	9,555	10,000	10,000	10,000	10,000	10,000	10,000	10,000
Youthful offender cases referred	2,008	2,034	2,061	2,088	2,061	2,088	2,061	2,088
Community service labor program cases referred	3,938	4,568	5,299	6,147	5,299	6,147	5,299	6,147
Accelerated rehabilitation cases referred	7,374	7,035	6,712	6,404	6,712	6,404	6,712	6,404
Felony cases referred	13,362	13,626	13,896	14,171	13,896	14,171	13,896	14,171
Regular cases referred	18,381	19,300	20,265	21,278	20,265	21,278	20,265	21,278
Misdemeanor cases referred	18,657	19,842	21,102	22,442	21,102	22,442	21,102	22,442
Cases referred	32,019	33,468	34,998	36,613	34,998	36,613	34,998	36,613
Restitution collected	3,429,382	3,566,557	3,709,220	3,857,588	3,709,220	3,857,588	3,709,220	3,857,588
Alternative incarceration program assessments	1,401	1,387	1,373	1,360	1,373	1,360	1,373	1,360
Drug dependency investigations	1,794	1,711	1,632	1,557	1,632	1,557	1,632	1,557
Pre-sentence investigations completed	3,457	3,400	3,344	3,289	3,344	3,289	3,344	3,289
Youthful offender eligibility investigations	3,982	3,974	3,966	3,958	3,966	3,958	3,966	3,958
Community service labor program assessments	4,754	5,229	5,752	6,327	5,752	6,327	5,752	6,327
Violation of probation detection	4,932	4,957	4,981	5,006	4,981	5,006	4,981	5,006
Invest/assessments/eligibility ordered	15,713	15,965	16,202	16,464	16,202	16,464	16,202	16,464
Total successful discharged	21,903	22,768	23,667	24,603	23,667	24,603	23,667	24,603
Total cases discharged	28,781	29,990	31,249	32,562	31,249	32,562	31,249	32,562
Total hours contributed by volunteers (000)	127	127	127	127	127	127	127	127
Value of volunteered time ($000)	1,588	1,588	1,588	1,588	1,588	1,588	1,588	1,588
Review and modification(Non AFDC)	10,100	12,000	12,000	12,000	12,000	12,000	12,000	12,000
Review and Modification (AFDC)	10,500	13,000	11,000	13,000	11,000	13,000	11,000	13,000
Formal enforcement actions	40,840	44,000	44,000	44,000	44,000	44,000	44,000	44,000
Inquiries Received	48,000	45,000	45,000	45,000	45,000	45,000	45,000	45,000
Active caseload	110,000	114,400	119,000	123,750	119,000	123,750	119,000	123,750
AFDC support collected ($000)	58,119	62,188	66,542	71,200	66,542	71,200	66,542	71,200
Non-AFDC support collected ($000)	83,410	91,750	100,925	111,017	100,925	111,017	100,925	111,017
Revenue collected through sale of official legal publications ($000)	767	800	840	850	840	850	840	850
Short calendars pages published (000)	3,045	3,500	3,600	3,800	3,600	3,800	3,600	3,800
Official forms pages published (000)	8,640	8,000	7,500	7,100	7,500	7,100	7,500	7,100
No. of Orders for forms/publications processed	10,979	8,500	8,700	8,700	8,700	8,700	8,700	8,700
CT Law Journal pages published (000)	38,376	39,000	40,000	40,000	40,000	40,000	40,000	40,000
Juvenile clients - early intervention	228	508	1,222	1,610	1,399	1,679	1,399	1,679
Contractual slots - Juvenile	350	1,058	1,729	2,438	1,909	2,636	1,909	2,636
Juvenile clients - pre-trial & sentenced supervision	513	2,431	2,803	3,295	3,352	3,815	3,352	3,815
Sentenced Offenders in Res. Slots	532	560	879	879	879	879	879	879
Juvenile clients - statewide coordinated svcs.	891	1,239	1,972	2,539	2,521	3,088	2,521	3,088
Contractual slots - Adult Post Release	983	926	926	926	926	926	926	926
Parole Offenders	1,574	1,655	1,655	1,655	1,350	1,350	1,350	1,350
Sentenced Offenders in AIC & DIC Slots	2,108	2,210	2,310	2,310	2,310	2,310	2,310	2,310
Contractual slots - Adult	2,198	2,224	2,386	2,386	2,386	2,386	2,386	2,386
Pretrial Clients in Other AIP Slots	3,436	3,610	3,610	3,610	3,610	3,610	3,610	3,610
Pretrial Clients in AIC Slots	4,964	5,210	5,210	5,210	5,210	5,210	5,210	5,210
Sentenced offenders in other AIP slots	5,918	6,215	6,215	6,365	6,215	6,365	6,215	6,365
Victims provided notification	569	600	700	800	700	800	700	800
Claims evaluated	1,048	1,050	1,150	1,250	1,150	1,250	1,150	1,250
Victims provided court based advocacy	2,125	3,000	3,500	4,000	3,500	4,000	3,500	4,000
Total compensation payments to victims (000)	2,001	2,100	2,195	2,386	2,195	2,386	2,195	2,386
Average Daily Population (% Capacity)		118	118	118	118	118	118	118
Location where occupancy exceeds 100%	1	1	1	1	1	1	1	1
Children admitted	2,996	3,100	3,100	3,100	3,100	3,100	3,100	3,100
Juveniles transported for court hearings, exams, etc.	3,218	3,200	3,200	3,200	3,200	3,200	3,200	3,200
Child days in detention	43,055	43,000	43,000	43,000	43,000	43,000	43,000	43,000
Meals served (000)	162	162	162	162	162	162	162	162

OTHER 1997 LEGISLATION POTENTIALLY AFFECTING THE AGENCY'S BUDGET

PA 97-4, "An Act Concerning the Detention of Juveniles Transferred to the Regular Criminal Docket of the Superior Court" - Transfers the responsibility of custody (and custody costs) from the Judicial Department to the Department of Correction for juveniles transferred from juvenile to adult court as soon as the transfer is finalized. The savings to the Judicial Department by eliminating the cost of temporary custody staff and expenses is estimated to be $1.055 million for all of FY 1996-97.

PA 97-16, "An Act Concerning Judicial Districts" - Keeps Cromwell in the Middlesex Judicial District instead of transferring it to the Hartford Judicial District when it is established on 7/1/98. On that date, the Hartford-New Britain Judicial District will be replaced by separate Hartford and New Britain districts.

PA 97-59, "An Act Concerning Victim Services" - Allows deposit into the Criminal Injuries Compensation Fund of restitution that has not been allocated after five years. This is estimated to result in a first year revenue gain of $160,000 and $20,000 annually thereafter .

PA 97-151, "An Act Concerning Supervision of Persons on Probation" - Allows the court to impose conditions of release for violations of probation in cases where a warrant or notice is issued; potentially affecting the amount of supervision that is required by the Office of Adult Probation.

PA 97-199, "An Act Concerning Community Service and the Community Court Pilot Program" - Requires the Chief Court Administrator to establish a community court pilot program in one court location on 7/1/97. The community court must have a docket separate from other criminal matters to hear (1) misdemeanors, (2) misdemeanor cases transferred by the housing court, and (3) violations of municipal ordinances referred by municipalities. The act requires the Judicial Department to report to the Judicial Committee (1) by 1/1/98 recommendations for possible expansion to two additional community court pilot sites and (2) by 2/1/98 various statistics on the number of individuals who perform community service through the court. It should be noted that PA 97-11 (JSS,), "An Act Concerning Computerized Information Sharing . . .", changed the effective date of PA 97-199 from 10/1/97 to 7/1/97.

PA 97-248, "An Act Concerning Substance Abuse Education and Treatment Programs and Establishing a Connecticut Alcohol and Drug Policy Council" - Allows the establishment of a Drug Policy Council" - Allows the establishment of a drug court in any geographical area or juvenile matters court locations; establishes a pre-trial drug education program; requires that drug education be a component of the community service labor program and makes other changes.

PA 97-253, "An Act Concerning Truancy" - Requires the Chief Court Administrator to establish a truancy court in one juvenile matters location.

PA 97-257, "An Act Concerning Crime Victims" - Increases the number of judges by three; requires victim advocates to provide a training program for judges, prosecutors, police, probation and parole personnel, bail commissioners, correction officers and special deputy sheriffs to inform them of victims' rights and available services; requires the Office of Victim Services (OVS) to provide the cost of medical care of victims who do not have insurance coverage beginning on 7/1/98 (with a study by OVS and the Department of Social Services to be completed by 1/1/98); defines crime victim for use in the General Statutes; allows the court and prosecutors to consider contributions to the Criminal injuries Compensation Fund as part of a plea arrangement; and requires OVS to develop and implement a plan based upon the recommendations of its report entitled, "Serving the Crime Victims of Connecticut".

PA 97-267, "An Act Concerning Attorneys and the Client Security Fund" - Establishes a client security fund handled by the Judicial Department and allows the Department to collect administrative costs from the fund.

1997 BOND AUTHORIZATIONS

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	-	21(n)(1)	Planning for alterations, renovations and improvements to a facility located in Bridgeport for offices for adult probation	-	-	500,000
SA 97-1 (J5 SS)	-	21(n)(2)	Alterations, renovations and improvements to the Courthouse located at 7 Kendrick Avenue, Waterbury for use as a superior court for juvenile matters and juvenile probation	-	-	1,000,000
SA 97-1 (J5 SS)	2(p)(1)	21(n)(3)	Alterations, renovations and improvements to buildings and grounds at state-owned and maintained facilities, including Americans with Disabilities Act code compliance and other code improvements and energy conservation measures	21,522,758	5,000,000	5,000,000
SA 97-1 (J5 SS)	2(p)(2)	-	Additions and improvements to Juvenile Matters and Detention Facility, Hartford, including acquisition of adjacent property	812,000	11,748,000	-
SA 97-1 (J5 SS)	2(p)(3)	-	Feasibility study for the reuse of the former Mystic Oral School site as a Juvenile Matters and Detention Center for the Southeast Regional	-	100,000	-
SA 97-1 (J5 SS)	2(p)(4)	21(n)(4)	Purchase and installation of capital equipment	-	5,000,000	5,000,000
SA 97-1 (J5 SS)	2(p)(5)	-	Planning for a new criminal court facility, including parking in New Haven	200,000	2,000,000	-
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	92	-	Acquisition, renovation and enlargement at Vernon-Rockville Courthouse Complex (SA 89-52 2(w)(1))	1,000,000	(540,000)	-
SA 97-1 (J5 SS)	165	-	Planning for new addition to Bridgeport Criminal Court Complex, improvements and renovations to existing facility (SA 93-2 JSS 30(o)(6))	5,100,000	(2,910,000)	-
SA 97-1 (J5 SS)	166	-	Planning for new criminal court complex, New Haven (SA 93-2 JSS 30(o)(9))	200,000	(200,000)	-

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $11,388,882 in Personal Services from the early retirement incentive program, and $1,419,605 in Personal Services and $1,975,128 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

It should also be noted that the agency budgets do not contain funding to pay the costs of collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM based upon the requirement to fund recently approved contracts. This agency is anticipated to receive $591,500 for FY '98.

[2] In addition to the agency's total General Fund appropriation of $182,688,313 in FY 1996-97, the agency received a deficiency appropriation of $220,000 in Personal Services and $2,890,000 in Other Expenses. These funds (a total of $3,110,000) were provided through SA 97-8, "An Act Making Deficiency Appropriations for the Fiscal Year ending June 30, 1997."

Public Defender Services Commission
9007

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	280	280	280	280	300	300
	Others Equated to Full-Time	3	3	3	3	3	3
	Additional Funds Available						
	Permanent Full-Time	8	13	13	13	13	13
	Others Equated to Full-Time	1	2	1	1	1	1
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	15,005,074	16,191,127	17,205,944	17,767,650	18,305,944	18,867,650
002	Other Expenses	621,617	696,210	574,736	574,736	824,736	824,736
02X	Other Current Expenses	2,221,800	2,836,000	2,846,000	2,875,000	2,846,000	2,875,000
	Agency Total - General Fund[1][2]	**17,848,491**	**19,723,337**	**20,626,680**	**21,217,386**	**21,976,680**	**22,567,386**
	Agency Total - Appropriated Funds	**17,848,491**	**19,723,337**	**20,626,680**	**21,217,386**	**21,976,680**	**22,567,386**
	Additional Funds Available						
	Special Funds, Non-Appropriated	87,015	85,000	85,000	85,000	85,000	85,000
	Private Contributions	141,890	231,483	231,483	231,483	231,483	231,483
	Federal Contributions	387,348	745,930	1,123,892	1,123,892	1,123,892	1,123,892
	Agency Grand Total	**18,464,744**	**20,785,750**	**22,067,055**	**22,657,761**	**23,417,055**	**24,007,761**
	BUDGET BY PROGRAM						
	Legal Services						
	Permanent Full-Time Positions GF/OF	249/8	249/13	249/13	249/13	269/13	269/13
	General Fund						
	Personal Services	13,180,108	14,303,573	15,661,497	16,173,323	16,761,497	17,273,323
	Other Expenses	471,668	529,120	436,170	436,161	686,170	686,161
012	Special Public Defenders-Contractual	1,130,000	1,210,000	1,239,000	1,268,000	1,239,000	1,268,000
013	Special Public Defenders-Non-Contractual	565,000	929,000	910,000	910,000	910,000	910,000
014	Expert Witnesses	499,800	670,000	670,000	670,000	670,000	670,000
015	Training and Education	10,052	27,000	14,850	14,786	14,850	14,786
	Total - General Fund	**15,856,628**	**17,668,693**	**18,931,517**	**19,472,270**	**20,281,517**	**20,822,270**
	Federal Contributions						
	Part E-State Challenge Activities	10,767	0	0	0	0	0
	Drug Control & System Imrpr Gt	376,581	0	1,123,892	1,123,892	1,123,892	1,123,892
	Federal Contributions	0	745,930	0	0	0	0
	Total - Federal Contributions	**387,348**	**745,930**	**1,123,892**	**1,123,892**	**1,123,892**	**1,123,892**
	Additional Funds Available						
	Special Funds, Non-Appropriated	87,015	85,000	85,000	85,000	85,000	85,000
	Private Contributions	141,890	231,483	231,483	231,483	231,483	231,483
	Total - Additional Funds Available	**228,905**	**316,483**	**316,483**	**316,483**	**316,483**	**316,483**
	Total - All Funds	**16,472,881**	**18,731,106**	**20,371,892**	**20,912,645**	**21,721,892**	**22,262,645**
	Management Services						
	Permanent Full-Time Positions GF	31	31	31	31	31	31
	General Fund						
	Personal Services	1,824,966	1,887,554	1,698,447	1,748,327	1,698,447	1,748,327
	Other Expenses	149,949	167,090	138,566	138,575	138,566	138,575
015	Training and Education	16,948	0	12,150	12,214	12,150	12,214
	Total - General Fund	**1,991,863**	**2,054,644**	**1,849,163**	**1,899,116**	**1,849,163**	**1,899,116**
	Less: Turnover - Personal Services - GF	0	0	-154,000	-154,000	-154,000	-154,000
	Agency Grand Total	**18,464,744**	**20,785,750**	**22,067,055**	**22,657,761**	**23,417,055**	**24,007,761**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**280**	**19,870,570**	**280**	**19,870,570**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	744,131	0	1,305,837	0	0	0	0
Other Expenses	0	12,937	0	25,669	0	0	0	0
Equipment	0	342,583	0	220,248	0	0	0	0
Other Current Expenses	0	96,608	0	192,621	0	0	0	0
Total - General Fund	**0**	**1,196,259**	**0**	**1,744,375**	**0**	**0**	**0**	**0**
Eliminate Inflation Increases - (B)								
-(G) The elimination of inflation increases in various accounts is recommended.								
-(L)Same as Governor								
Other Expenses	0	-12,937	0	-25,669	0	0	0	0
Special Public Defenders-Contractual	0	-29,040	0	-58,205	0	0	0	0
Special Public Defenders-Non-Contractual	0	-21,840	0	-43,272	0	0	0	0
Expert Witnesses	0	-16,080	0	-31,860	0	0	0	0
Training and Education	0	-648	0	-1,284	0	0	0	0
Total - General Fund	**0**	**-80,545**	**0**	**-160,290**	**0**	**0**	**0**	**0**
Fund Equipment Items from the CEPF - (B)								
The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least 3 years. It is financed through the sale of bonds and is administered by the Office of Policy and Management.								
-(G) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds).								
-(L)Same as Governor								
Equipment	0	-342,583	0	-220,248	0	0	0	0
Total - General Fund	**0**	**-342,583**	**0**	**-220,248**	**0**	**0**	**0**	**0**
Reduce Funding Due to State Data Center Rate Reductions - (B)								
Many state agencies obtain computer services to support their business functions from the State Data Center, operated by the Department of Administrative Services through the Technical Services Revolving Fund.								
-(G) Due to a reorganization of the operation of the State Data Center, there is a significant reduction in the rates for services charged to state agencies (CATER and BOSS).								
-(L)Same as Governor								
Other Expenses	0	-17,021	0	-17,021	0	0	0	0
Total - General Fund	**0**	**-17,021**	**0**	**-17,021**	**0**	**0**	**0**	**0**
Fund Bond Forfeiture Proposal from General Fund - (B)								
Beginning in FY 1994-95, the Division of Criminal Justice (DCJ) is eligible to receive up to one-third of all funds collected from bond forfeitures according to PA 94-164. One-third of these funds is currently estimated at $2.4 million. The Public Defender Services Commission proposed during FY 1996-97 that it receive two-thirds of the amount allocated to the DCJ.								
-(L) The agency's proposed allocation of bond forfeiture funds is provided through the General Fund. The current bond forfeiture funding for the DCJ is also to be transferred to the General Fund. See write-up entitled, "Transfer Bond Forfeiture Funded Expenses to General Fund," under the DCJ.								
Personal Services	20	1,100,000	20	1,100,000	20	1,100,000	20	1,100,000
Other Expenses	0	250,000	0	250,000	0	250,000	0	250,000
Total - General Fund	**20**	**1,350,000**	**20**	**1,350,000**	**20**	**1,350,000**	**20**	**1,350,000**
Budget Totals - GF	**300**	**21,976,680**	**300**	**22,567,386**	**20**	**1,350,000**	**20**	**1,350,000**

PROGRAM MEASURES

	Actual 1995-96	Estimate 1996-97	Request 1997-98	Request 1998-99	Governor 1997-98	Governor 1998-99	Appropriation 1997-98	Appropriation 1998-99
New Cases Added								
New Cases appointed J.D. offices	2,547	2,600	2,730	2,860	2,730	2,860	2,730	2,860
New Cases appointed G.A. offices	66,494	68,000	71,400	74,800	71,400	74,800	71,400	74,800
Major and Minor Felonies								
Major felonies J.D. offices	2,079	2,125	2,231	2,338	2,231	2,338	2,231	2,338
Minor felonies J.D. offices	43	50	53	55	53	55	53	55
Major felonies G.A. offices	9,481	10,000	10,500	11,000	10,500	11,000	10,500	11,000
Minor felonies G.A. offices	14,803	15,000	15,750	16,500	15,750	16,500	15,750	16,500
Misdemeanors								
Misdemeanors J.D. offices	21	25	26	28	26	28	26	28
Misdemeanors G.A. offices	28,607	30,000	31,500	33,000	31,500	33,000	31,500	33,000
Violation of probation J.D. offices	386	400	420	440	420	440	420	440
Violation of probation G.A. offices	3,615	4,000	4,200	4,400	4,200	4,400	4,200	4,400
Motor vehicle G.A. offices	7,436	7,500	7,875	8,250	7,875	8,250	7,875	8,250
Transfer to Part A (J.D.)	1,427	1,500	1,575	1,650	1,575	1,650	1,575	1,650
Juvenile								
New cases appointed Juvenile Matters	4,887	5,500	5,775	6,050	5,775	6,050	5,775	6,050
Serious juvenile offenses	848	1,000	1,050	1,100	1,050	1,100	1,050	1,100
Other felonies Juvenile Matters	1,182	1,300	1,365	1,430	1,365	1,430	1,365	1,430
Misdemeanors Juvenile Matters	2,774	3,000	3,150	3,300	3,150	3,300	3,150	3,300
Other Juvenile Matters	106	100	105	110	105	110	105	110
Cases transferred to adult court	65	100	105	110	105	110	105	110
Cases Disposed								
Cases disposed J.D. offices	1,875	2,000	2,100	2,200	2,100	2,200	2,100	2,200
Cases disposed G.A. offices	53,627	60,000	63,000	66,000	63,000	66,000	63,000	66,000
Cases disposed Juvenile Matters	4,006	4,500	4,725	4,950	4,725	4,950	4,725	4,950
Appeals Added/Disposed per Year	147/160	150/150	158/155	165/160	158/155	165/160	158/155	165/160
Habeas Corpus petitions Filed/Disposed per Year	165/135	165/135	175/150	180/160	175/150	180/160	175/150	180/160
Average Caseload per Attorney								
Average caseload per attorney J.D. offices	76	78	82	86	82	86	82	86
Average caseload per attorney G.A. offices	763	778	817	856	817	856	817	856
Average caseload per attorney Juvenile Matters	648	725	761	798	761	798	761	798
Social Service referrals per Year	4,445	5,000	5,000	5,000	5,000	5,000	5,000	5,000
Special Public Defenders Provided per year	4,428	4,500	4,725	4,950	4,725	4,950	4,725	4,950
Special Public Defenders Contracts Negotiated per year	173	261	275	275	275	275	275	275
Training Programs	30	30	45	45	45	45	45	45
Legal Publications	5	5	5	5	5	5	5	5

OTHER SIGNIFICANT 1997 LEGISLATION POTENTIALLY AFFECTING THE AGENCY'S BUDGET

PA 97-199, " An Act Concerning Community Service and the Community Court Pilot Program" - Requires the Chief Court Administrator to establish a community court pilot program in one court location on 7/1/97. The community court must have a docket separate from other criminal matters to hear (1) misdemeanors, (2) misdemeanor cases transferred by the housing court, and (3) violations of municipal ordinances referred by municipalities. The act requires the Judicial Department to report to the Judiciary Committee (1) by 1/1/98 concerning recommendations for possible expansion to two additional community court pilot sites, and (2) by 2/1/98 concerning various statistics on the number of individuals who perform community service through the court. It should be noted that PA 97-11 (JSS) "An Act Concerning Computerized Information Sharing...", changed the effective date of PA 97-199 from 10/1/97 to 7/1/97.

PA 97-248, "An Act Concerning Substance Abuse Education and Treatment Programs and Establishing a Connecticut Alcohol and Drug Policy Council" - Allows the establishment of a drug court in any Geographical Area or juvenile matters court location; establishes a pre-trial drug education program; requires that drug education be a component of the community service labor program and makes other changes.

PA 97-253, "An Act Concerning Truancy" - Requires the Chief Court Administrator to establish a truancy court in one juvenile matters location.

---

[1] In order to achieve the budget reductions required by the budget from the early retirement incentive program and from Personal Services and Other Expenses reductions, the Office of Policy and Management (OPM) has programmed allotment reductions for this agency to save $1,667,166 in Personal Services from the early retirement incentive program, and $183,059 in Personal Services and $39,175 in Other Expenses as part of the general reductions in FY '98. OPM has accomplished this by withholding these amounts from the agency's allotments. These reductions could have a potentially significant effect on the agency's programs. As the FY '99 appropriations have not been programmed for allotments, specific reductions by agency will not be available until next year.

[2] In addition to the agency's total General Fund appropriation of $17,122,227 in FY 1996-97, the agency received a deficiency appropriation of $50,000 in Other Expenses , $345,000 in the Special Public Defenders - Non-Contractual account and $170,000 in the Expert Witnesses account. These funds (a total of $565,000) were provided through SA 97-8, "An Act Making Deficiency Appropriations for the Fiscal Year Ending June 30, 1997."

Miscellaneous Appropriations to the Governor[1]
9110

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
006	Governor's Contingency Account	0	18,000	18,000	18,000	18,000	18,000
	Agency Total - General Fund	**0**	**18,000**	**18,000**	**18,000**	**18,000**	**18,000**
	Agency Total - Appropriated Funds	**0**	**18,000**	**18,000**	**18,000**	**18,000**	**18,000**
	Agency Grand Total	**0**	**18,000**	**18,000**	**18,000**	**18,000**	**18,000**
	BUDGET BY PROGRAM						
	Miscellaneous Appropriations to the Governor						
	General Fund						
	Governor's Contingency Account	0	18,000	18,000	18,000	18,000	18,000
	Agency Grand Total	**0**	**18,000**	**18,000**	**18,000**	**18,000**	**18,000**

BUDGET CHANGES

	Legislative 98		Legislative 99		Diff. from Gov. 97-98		Diff. from Gov. 98-99	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**18,000**	**0**	**18,000**	**0**	**0**	**0**	**0**

OTHER SIGNIFICANT 1997 LEGISLATION AFFECTING THE AGENCY'S BUDGET

PA 97-52, "An Act Increasing the Maximum Reward for Information Leading to the Arrest and Conviction of Felony Offenders" - increases from $20,000 to $50,000 the maximum reward the Governor may offer in criminal cases under Section 54-48 of the General Statutes.

---

[1] Under the provisions of Section 4-84 of the Connecticut General Statutes, the annual budget shall include a recommended appropriation for contingencies in an amount not to exceed $100,000. Whenever an emergency exists, the Governor may authorize an expenditure from this appropriation if it is deemed necessary and in the best interest of the public. Typically, the expenditures are made to pay for rewards in criminal cases in accordance with Section 54-48 of the General Statutes.

Debt Service - State Treasurer
9120

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
6XX	Grant Payments - Other Than Towns	645,660,450	733,206,860	799,405,775	880,974,803	777,505,775	857,774,803
	Agency Total - General Fund	**645,660,450**	**733,206,860**	**799,405,775**	**880,974,803**	**777,505,775**	**857,774,803**
	Regional Market Operation Fund						
6XX	Grant Payments - Other Than Towns	214,076	207,189	203,000	194,000	203,000	194,000
	Agency Total - Regional Market Operation Fund	**214,076**	**207,189**	**203,000**	**194,000**	**203,000**	**194,000**
	Special Transportation Fund						
6XX	Grant Payments - Other Than Towns	345,501,850	361,276,977	388,194,092	413,145,110	388,899,269	414,876,710
	Agency Total - Special Transportation Fund	**345,501,850**	**361,276,977**	**388,194,092**	**413,145,110**	**388,899,269**	**414,876,710**
	Agency Total - Appropriated Funds	**991,376,376**	**1,094,691,026**	**1,187,802,867**	**1,294,313,913**	**1,166,608,044**	**1,272,845,513**
	Additional Funds Available						
	Carry Forward - FY 97 Surplus Appropriations	0	0	0	0	22,000,000	22,000,000
	Special Funds, Non-Appropriated	11,956,017	11,844,941	12,508,179	13,287,638	12,508,179	13,287,638
	Economic Recovery Fund	92,190,375	89,500,000	85,800,000	80,900,000	85,800,000	80,900,000
	Agency Grand Total	**1,095,522,768**	**1,196,035,967**	**1,286,111,046**	**1,388,501,551**	**1,286,916,223**	**1,389,033,151**
	BUDGET BY PROGRAM						
	Debt Service						
	General Fund						
	Grant Payments - Other Than Towns						
	Debt Service	645,660,450	726,305,530	778,739,140	848,082,978	756,839,140	824,882,978
	UConn 2000 - Debt Service	0	6,901,330	20,666,635	32,891,825	20,666,635	32,891,825
	Total - General Fund	**645,660,450**	**733,206,860**	**799,405,775**	**880,974,803**	**777,505,775**	**857,774,803**
	Regional Market Operation Fund						
	Debt Service	214,076	207,189	203,000	194,000	203,000	194,000
	Special Transportation Fund						
	Debt Service	345,501,850	361,276,977	388,194,092	413,145,110	388,899,269	414,876,710
	Total - Special Transportation Fund	**345,501,850**	**361,276,977**	**388,194,092**	**413,145,110**	**388,899,269**	**414,876,710**
	Additional Funds Available						
	Carry Forward - FY 97 Surplus Appropriations	0	0	0	0	22,000,000	22,000,000
	Grant Payments - Other Than Towns						
	Sinking Funds - Debt Service	11,956,017	11,844,941	12,508,179	13,287,638	12,508,179	13,287,638
	Economic Recovery Fund	92,190,375	89,500,000	85,800,000	80,900,000	85,800,000	80,900,000
	Total - Additional Funds Available	**104,146,392**	**101,344,941**	**98,308,179**	**94,187,638**	**120,308,179**	**116,187,638**
	Total - All Funds	**1,095,522,768**	**1,196,035,967**	**1,286,111,046**	**1,388,501,551**	**1,286,916,223**	**1,389,033,151**
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
601	Debt Service	645,660,450	726,305,530	778,739,140	848,082,978	756,839,140	824,882,978
603	UConn 2000 - Debt Service	0	6,901,330	20,666,635	32,891,825	20,666,635	32,891,825
601	Debt Service	214,076	207,189	203,000	194,000	203,000	194,000
601	Debt Service	345,501,850	361,276,977	388,194,092	413,145,110	388,899,269	414,876,710
	Agency Grand Total	**1,095,522,768**	**1,196,035,967**	**1,286,111,046**	**1,388,501,551**	**1,286,916,223**	**1,389,033,151**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**755,287,393**	**0**	**755,287,393**	**0**	**0**	**0**	**0**
FY97 Governor's Estimated Expenditure - RF	**0**	**207,122**	**0**	**207,122**	**0**	**0**	**0**	**0**
FY97 Governor's Estimated Expenditure - TF	**0**	**361,320,000**	**0**	**361,320,000**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Debt Service	0	37,125,301	0	110,244,612	0	0	0	0
UConn 2000 - Debt Service	0	10,955,406	0	23,669,971	0	0	0	0
Total - General Fund	**0**	**48,080,707**	**0**	**133,914,583**	**0**	**0**	**0**	**0**
Debt Service	0	-5,243	0	-14,340	0	0	0	0
Total - Regional Market Operation Fund	**0**	**-5,243**	**0**	**-14,340**	**0**	**0**	**0**	**0**
Debt Service	0	31,753,070	0	57,946,469	0	0	0	0
Total - Special Transportation Fund	**0**	**31,753,070**	**0**	**57,946,469**	**0**	**0**	**0**	**0**
Revise General Fund Debt Service - (B)								
-(G) Funding to service general obligation debt has been reduced to reflect lower interest rates achieved on 1996 issues and revised issuance assumptions.								
-(L) Funding to service general obligation debt has been reduced to reflect lower interest rates achieved on 1996 and 1997 issues and revised issuance assumptions.								
Debt Service	0	-4,920,700	0	-9,893,416	0	-1,000,000	0	-2,200,000
Total - General Fund	**0**	**-4,920,700**	**0**	**-9,893,416**	**0**	**-1,000,000**	**0**	**-2,200,000**
Increase Tax Incremental Financing Costs - (B)								
-(G) Reflect increase in debt service costs due to new TIF bonds issued to the Lake Compounce developer by Connecticut Development Authority.								
-(L) Same as Governor								
Debt Service	0	543,375	0	540,618	0	0	0	0
Total - General Fund	**0**	**543,375**	**0**	**540,618**	**0**	**0**	**0**	**0**
Revise UConn 2000 Debt Service - (B)								
PA 95-30, "An Act to Enhance the Infrastructure of the University of Connecticut" requires the amount of annual debt service commitment to be paid by the State Treasurer when due to meet the infrastructure program's commitments.								
-(G) Funding for UConn 2000 debt service has been reduced to reflect revised issuance and interest rate assumptions for FY 98 and FY 99.								
-(L) Same as Governor								
UConn 2000 - Debt Service	0	-585,000	0	-1,074,375	0	0	0	0
Total - General Fund	**0**	**-585,000**	**0**	**-1,074,375**	**0**	**0**	**0**	**0**
Carry-Forward of Appropriations from FY 97 Surplus for General Fund Debt Service - (B)								
-(L) The General Fund debt service appropriations for FY 98 and FY 99 have been reduced to reflect the appropriation of $20 million for each year (a total of $40 million), from the FY 97 General Fund surplus.								
Section 6 of PA 97-11 (June 18 Special Session) appropriates $40 million to the State Treasurer, from the FY 97 General Fund surplus, for payment of General Fund debt service. Of the total, $20 million will be used for FY 98 debt service and $20 million will be used for FY 99 debt service.								
Debt Service	0	-20,000,000	0	-20,000,000	0	-20,000,000	0	-20,000,000
Total - General Fund	**0**	**-20,000,000**	**0**	**-20,000,000**	**0**	**-20,000,000**	**0**	**-20,000,000**
Carry Forward - FY 97 Surplus Appropriations	0	20,000,000	0	20,000,000	0	20,000,000	0	20,000,000
Total - Carry Forward - FY 97 Surplus Appropriations	**0**	**20,000,000**	**0**	**20,000,000**	**0**	**20,000,000**	**0**	**20,000,000**
Use of FY 97 Surplus for Economic Recovery Fund Debt Service - (B)								
-(L) Section 59 of SA 97-21 instructs the Comptroller to transfer up to $166.7 million of any FY 97 surplus to the State Treasurer for repayment of principal and interest on Economic Recovery Fund (ERF) Notes. Of this total, $85.8								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
million will be used for FY 98 ERF payments and $80.9 million will be used for FY 99 ERF payments. By utilizing funds from the FY 97 surplus, it will not be necessary to intercept General Fund revenues in FY 98 and FY 99.								
Carry-Forward of Appropriations from FY 97 Surplus for the Nursing Home Loan Program - (B) -(L) Section 7 of PA 97-11 (June 18 Special Session) appropriates $4 million to the State Treasurer, from the FY 97 General Fund surplus. The funds will be used for payment of principal and interest on nursing home bonds issued by the Connecticut Health and Educational Facilities Authority (CHEFA) and secured by a special capital reserve fund, during FY 98 and FY 99. Sections 39 through 42 of the public act establish the Nursing Home Loan Program in the Office of the State Treasurer, to administer the funds appropriated for this purpose.								
Carry Forward - FY 97 Surplus Appropriations	0	2,000,000	0	2,000,000	0	2,000,000	0	2,000,000
Total - Carry Forward - FY 97 Surplus Appropriations	**0**	**2,000,000**	**0**	**2,000,000**	**0**	**2,000,000**	**0**	**2,000,000**
Reduce Debt Service for Short-Term Borrowing - (B) -(L) A reduction in short-term borrowing is anticipated due to the extent of the FY 1996-97 surplus and its positive effect on cash flow.								
Debt Service	0	-900,000	0	-1,000,000	0	-900,000	0	-1,000,000
Total - General Fund	**0**	**-900,000**	**0**	**-1,000,000**	**0**	**-900,000**	**0**	**-1,000,000**
Revise Regional Marketing Debt Service - (B) The Regional Market Program provides a central location where farmers can sell and distribute local agricultural products. Debt service for capital costs is paid from fees and rents deposited into the Regional Marketing Operation Fund. -(G) Adjust debt service cost to reflect current estimates. -(L)Same as Governor								
Debt Service	0	1,121	0	1,218	0	0	0	0
Total - Regional Market Operation Fund	**0**	**1,121**	**0**	**1,218**	**0**	**0**	**0**	**0**
Revise Transportation Debt Service - (B) -(G) Funding for transportation related debt service has been reduced. -(L) Same as Governor								
Debt Service	0	-1,197,666	0	-1,917,700	0	0	0	0
Total - Special Transportation Fund	**0**	**-1,197,666**	**0**	**-1,917,700**	**0**	**0**	**0**	**0**
STO Debt Service Reduction - (B) -(G) Decrease debt service costs for STO bonds by issuing $55.5 million less in FY 1997-98 and $17.3 million in FY 1998-99 by (1) using previously authorized and allocated Transportation Fund GO bonds in FY 1997-98, (2) increasing the appropriation for the Pay-As-You-Go program in each year, and 3) reducing the bonding for the Bureau of Finance and Administration in each year. -(L) Decrease debt service costs for STO bonds by issuing $46.5 million less in FY 1997-98 and $6.3 million in FY 1998-99 by: 1) using previously authorized and allocated Transportation Fund GO bonds in FY 1997-98, and 2) reducing the bonding for the Bureau of Finance and Administration in each year. The increase in debt service over the Governor's recommended levels is due to maintaining $9 million in FY 1997-98 and $11 million in FY 1998-99 in STO bonds for highway and bridge maintenance projects.								
Debt Service	0	-3,594,823	0	-4,668,400	0	705,177	0	1,731,600
Total - Special Transportation Fund	**0**	**-3,594,823**	**0**	**-4,668,400**	**0**	**705,177**	**0**	**1,731,600**
GO Debt Service Increase - (B) -(G) A total of approximately $40 million in previously authorized and allocated Transportation Fund GO bonds will								

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
be used to replace a part of the $55 million reduction in STO bond sales in FY 1997-98. Of the $40 million in GO debt, $20 million has already been issued. GO debt service will increase in both FY 1997-98 and FY 1998-99 to cover the additional $19.79 million that will be issued in the fall of 1998. HB 7029, "An Act Concerning the Authorization of Bonds for Transportation Purposes", implements this change. -(L)Same as Governor								
Debt Service	0	618,688	0	2,196,341	0	0	0	0
Total - Special Transportation Fund	**0**	**618,688**	**0**	**2,196,341**	**0**	**0**	**0**	**0**
Budget Totals - GF	**0**	**777,505,775**	**0**	**857,774,803**	**0**	**-21,900,000**	**0**	**-23,200,000**
Budget Totals - RF	**0**	**203,000**	**0**	**194,000**	**0**	**0**	**0**	**0**
Budget Totals - TF	**0**	**388,899,269**	**0**	**414,876,710**	**0**	**705,177**	**0**	**1,731,600**
Budget Totals - OF	**0**	**22,000,000**	**0**	**22,000,000**	**0**	**22,000,000**	**0**	**22,000,000**

Reserve for Salary Adjustments
9201

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
006	Reserve for Salary Adjustments	0	0	62,622,200	109,930,100	62,622,200	109,930,100
	Agency Total - General Fund	**0**	**0**	**62,622,200**	**109,930,100**	**62,622,200**	**109,930,100**
	Special Transportation Fund						
006	Reserve for Salary Adjustments	0	0	10,442,000	15,846,000	10,442,000	15,846,000
	Agency Total - Special Transportation Fund	**0**	**0**	**10,442,000**	**15,846,000**	**10,442,000**	**15,846,000**
	Agency Total - Appropriated Funds	**0**	**0**	**73,064,200**	**125,776,100**	**73,064,200**	**125,776,100**
	Agency Grand Total [1][2]	**0**	**0**	**73,064,200**	**125,776,100**	**73,064,200**	**125,776,100**
	BUDGET BY PROGRAM						
	Reserve for Salary Adjustments						
	General Fund						
	Reserve for Salary Adjustments	0	0	62,622,200	109,930,100	62,622,200	109,930,100
	Special Transportation Fund						
	Reserve for Salary Adjustments	0	0	10,442,000	15,846,000	10,442,000	15,846,000
	Total - Special Transportation Fund	**0**	**0**	**10,442,000**	**15,846,000**	**10,442,000**	**15,846,000**
	Agency Grand Total	**0**	**0**	**73,064,200**	**125,776,100**	**73,064,200**	**125,776,100**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Provide Funding for Collective Bargaining Contracts/Arbitration Awards - (B)								
-(G) The recommended level of funding reflects estimated spending requirements necessary to provide for salary increases and other costs associated with unsettled contracts, based on the Clerical model.								
-(L)Same as Governor								
Reserve for Salary Adjustments	0	62,873,200	0	112,146,100	0	0	0	0
Total - General Fund	**0**	**62,873,200**	**0**	**112,146,100**	**0**	**0**	**0**	**0**
Reserve for Salary Adjustments	0	10,463,000	0	16,061,000	0	0	0	0
Total - Special Transportation Fund	**0**	**10,463,000**	**0**	**16,061,000**	**0**	**0**	**0**	**0**
Limit Workweek Increase - (B)								
-(G) Funding is reduced to reflect savings associated with freezing the workweek increase at 37.5 hours and extending the Clerical General Wage Increase to Managers and Confidential employees. (The workweek increase was subsequently approved by the Governor.)								
-(L)Same as Governor								
Reserve for Salary Adjustments	0	-251,000	0	-2,216,000	0	0	0	0
Total - General Fund	**0**	**-251,000**	**0**	**-2,216,000**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98		Legislative 99		Diff. from Gov. 97-98		Diff. from Gov. 98-99	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Reserve for Salary Adjustments	0	-21,000	0	-215,000	0	0	0	0
Total - Special Transportation Fund	**0**	**-21,000**	**0**	**-215,000**	**0**	**0**	**0**	**0**
Budget Totals - GF	**0**	**62,622,200**	**0**	**109,930,100**	**0**	**0**	**0**	**0**
Budget Totals - TF	**0**	**10,442,000**	**0**	**15,846,000**	**0**	**0**	**0**	**0**

[1] No expenditures are shown in the "Actual" and "Estimated" columns since the funds in this account are transferred to the agencies, by OPM, for purposes of expenditure.

[2] For 1997-98, in addition to the amounts shown, funds will be carried forward from FY 97, totaling $67.7 million in the General Fund and $12.9 million in the Transportation Fund. A large portion of these funds will be used for accrued vacation and sick time paid to employees participating in the Early Retirement Incentive Plan. The remainder of the carry-forward represents the estimated costs associated with six unsettled contracts and three potential wage reopeners.

Workers' Compensation Claims - Department of Administrative Services
9403

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
02X	Other Current Expenses	12,366,399	11,862,111	11,862,111	11,862,111	11,862,111	11,862,111
	Agency Total - General Fund	**12,366,399**	**11,862,111**	**11,862,111**	**11,862,111**	**11,862,111**	**11,862,111**
	Agency Total - Appropriated Funds	**12,366,399**	**11,862,111**	**11,862,111**	**11,862,111**	**11,862,111**	**11,862,111**
	Agency Grand Total	**12,366,399**	**11,862,111**	**11,862,111**	**11,862,111**	**11,862,111**	**11,862,111**
	BUDGET BY PROGRAM						
	Workers' Compensation Claims						
	General Fund						
039	Workers' Compensation Claims	12,366,399	11,862,111	11,862,111	11,862,111	11,862,111	11,862,111
	Agency Grand Total	**12,366,399**	**11,862,111**	**11,862,111**	**11,862,111**	**11,862,111**	**11,862,111**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**11,862,111**	**0**	**11,862,111**	**0**	**0**	**0**	**0**
Inflationary and Non-Program Changes - (B)								
Workers' Compensation Claims	0	347,852	0	688,737	0	0	0	0
Total - General Fund	**0**	**347,852**	**0**	**688,737**	**0**	**0**	**0**	**0**
Eliminate Inflationary Increase - (B)								
This account funds the Workers' Compensation claims costs for state employees in General Fund agencies that do not receive direct Workers' Compensation appropriations. Agencies that receive direct appropriations are the Departments of Public Safety, Mental Retardation, Mental Health and Addiction Services, Correction, Children and Families, and Transportation. -(G) The inflationary increase for workers' compensation claims is eliminated to effect economy. -(L)Same as Governor								
Workers' Compensation Claims	0	-347,852	0	-688,737	0	0	0	0
Total - General Fund	**0**	**-347,852**	**0**	**-688,737**	**0**	**0**	**0**	**0**
Budget Totals - GF	**0**	**11,862,111**	**0**	**11,862,111**	**0**	**0**	**0**	**0**

Judicial Review Council
9601

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	POSITION SUMMARY						
	Appropriated Funds						
	General Fund						
	Permanent Full-Time	1	1	1	1	1	1
	Others Equated to Full-Time	1	1	1	1	1	1
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
001	Personal Services	92,250	98,063	106,843	109,519	106,843	109,519
002	Other Expenses	24,124	61,696	92,200	92,200	92,200	92,200
005	Equipment	0	1,000	0	1,000	0	1,000
	Agency Total - General Fund	**116,374**	**160,759**	**199,043**	**202,719**	**199,043**	**202,719**
	Agency Total - Appropriated Funds	**116,374**	**160,759**	**199,043**	**202,719**	**199,043**	**202,719**
	Agency Grand Total	**116,374**	**160,759**	**199,043**	**202,719**	**199,043**	**202,719**
	BUDGET BY PROGRAM						
	Judicial Review Council						
	Permanent Full-Time Positions GF	1	1	1	1	1	1
	General Fund						
	Personal Services	92,250	98,063	106,843	109,519	106,843	109,519
	Other Expenses	24,124	61,696	92,200	92,200	92,200	92,200
	Equipment	0	1,000	0	1,000	0	1,000
	Total - General Fund	**116,374**	**160,759**	**199,043**	**202,719**	**199,043**	**202,719**
	EQUIPMENT						
005	Equipment	0	1,000	0	1,000	0	1,000
	Agency Grand Total	**116,374**	**160,759**	**199,043**	**202,719**	**199,043**	**202,719**

BUDGET CHANGES

	Legislative 98		Legislative 99		Diff. from Gov. 97-98		Diff. from Gov. 98-99	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
FY97 Governor's Estimated Expenditure - GF	**1**	**191,263**	**1**	**191,263**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Personal Services	0	8,780	0	11,456	0	0	0	0
Other Expenses	0	2,120	0	4,289	0	0	0	0
Equipment	0	4,000	0	0	0	0	0	0
Total - General Fund	**0**	**14,900**	**0**	**15,745**	**0**	**0**	**0**	**0**
Fund Equipment Items from the CEPF - (B)								
The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least 3 years. It is financed through the sale of bonds and is administered by the Office of Policy and Management.								
Equipment	0	-5,000	0	0	0	0	0	0
Total - General Fund	**0**	**-5,000**	**0**	**0**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Remove Funds for Inflation - (B)								
-(G) Funding for inflation is removed to effect economies.								
-(L)Same as Governor								
Other Expenses	0	-2,120	0	-4,289	0	0	0	0
Total - General Fund	**0**	**-2,120**	**0**	**-4,289**	**0**	**0**	**0**	**0**
Budget Totals - GF	**1**	**199,043**	**1**	**202,719**	**0**	**0**	**0**	**0**

Refunds of Payments
9605

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
002	Other Expenses	272,055	360,000	450,000	450,000	450,000	450,000
	Agency Total - General Fund	**272,055**	**360,000**	**450,000**	**450,000**	**450,000**	**450,000**
	Special Transportation Fund						
002	Other Expenses	1,470,447	1,784,000	1,810,000	1,810,000	1,810,000	1,810,000
	Agency Total - Special Transportation Fund	**1,470,447**	**1,784,000**	**1,810,000**	**1,810,000**	**1,810,000**	**1,810,000**
	Agency Total - Appropriated Funds	**1,742,502**	**2,144,000**	**2,260,000**	**2,260,000**	**2,260,000**	**2,260,000**
	Agency Grand Total	**1,742,502**	**2,144,000**	**2,260,000**	**2,260,000**	**2,260,000**	**2,260,000**
	BUDGET BY PROGRAM						
	Refunds of Payments						
	General Fund						
	Other Expenses	272,055	360,000	450,000	450,000	450,000	450,000
	Special Transportation Fund						
	Other Expenses	1,470,447	1,784,000	1,810,000	1,810,000	1,810,000	1,810,000
	Total - Special Transportation Fund	**1,470,447**	**1,784,000**	**1,810,000**	**1,810,000**	**1,810,000**	**1,810,000**
	Agency Grand Total	**1,742,502**	**2,144,000**	**2,260,000**	**2,260,000**	**2,260,000**	**2,260,000**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**450,000**	**0**	**450,000**	**0**	**0**	**0**	**0**
FY97 Governor's Estimated Expenditure - TF	**0**	**1,810,000**	**0**	**1,810,000**	**0**	**0**	**0**	**0**
Inflation and Non-Program Changes - (B)								
Other Expenses	0	10,800	0	21,400	0	0	0	0
Total - General Fund	**0**	**10,800**	**0**	**21,400**	**0**	**0**	**0**	**0**
Other Expenses	0	35,000	0	68,000	0	0	0	0
Total - Special Transportation Fund	**0**	**35,000**	**0**	**68,000**	**0**	**0**	**0**	**0**
Remove Funds for Inflation - (B)								
-(G) Funding for inflation is removed to effect economies.								
-(L)Same as Governor								
Other Expenses	0	-10,800	0	-21,400	0	0	0	0
Total - General Fund	**0**	**-10,800**	**0**	**-21,400**	**0**	**0**	**0**	**0**
Other Expenses	0	-35,000	0	-68,000	0	0	0	0
Total - Special Transportation Fund	**0**	**-35,000**	**0**	**-68,000**	**0**	**0**	**0**	**0**
Budget Totals - GF	**0**	**450,000**	**0**	**450,000**	**0**	**0**	**0**	**0**
Budget Totals - TF	**0**	**1,810,000**	**0**	**1,810,000**	**0**	**0**	**0**	**0**

Fire Training Schools
9701

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
6XX	Grant Payments - Other Than Towns	309,300	309,300	309,300	309,300	334,300	334,300
	Agency Total - General Fund	**309,300**	**309,300**	**309,300**	**309,300**	**334,300**	**334,300**
	Agency Total - Appropriated Funds	**309,300**	**309,300**	**309,300**	**309,300**	**334,300**	**334,300**
	Agency Grand Total	**309,300**	**309,300**	**309,300**	**309,300**	**334,300**	**334,300**
	BUDGET BY PROGRAM						
	Fire Training Schools						
	General Fund						
	Grant Payments - Other Than Towns						
	Willimantic	65,400	65,400	65,400	65,400	65,400	65,400
	Torrington	48,100	48,100	48,100	48,100	48,100	48,100
	New Haven	32,200	32,200	32,200	32,200	32,200	32,200
	Derby	32,200	32,200	32,200	32,200	32,200	32,200
	Wolcott	42,200	42,200	42,200	42,200	42,200	42,200
	Fairfield	32,200	32,200	32,200	32,200	32,200	32,200
	Hartford	57,000	57,000	57,000	57,000	57,000	57,000
	Middletown	0	0	0	0	25,000	25,000
	Total - General Fund	**309,300**	**309,300**	**309,300**	**309,300**	**334,300**	**334,300**
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
601	Willimantic	65,400	65,400	65,400	65,400	65,400	65,400
602	Torrington	48,100	48,100	48,100	48,100	48,100	48,100
603	New Haven	32,200	32,200	32,200	32,200	32,200	32,200
604	Derby	32,200	32,200	32,200	32,200	32,200	32,200
606	Wolcott	42,200	42,200	42,200	42,200	42,200	42,200
607	Fairfield	32,200	32,200	32,200	32,200	32,200	32,200
608	Hartford	57,000	57,000	57,000	57,000	57,000	57,000
609	Middletown	0	0	0	0	25,000	25,000
	Agency Grand Total	**309,300**	**309,300**	**309,300**	**309,300**	**334,300**	**334,300**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**309,300**	**0**	**309,300**	**0**	**0**	**0**	**0**
Inflation and Non-Program Changes - (B)								
Willimantic	0	1,600	0	3,100	0	0	0	0
Torrington	0	1,200	0	2,300	0	0	0	0
New Haven	0	800	0	1,600	0	0	0	0
Derby	0	800	0	1,600	0	0	0	0
Wolcott	0	1,000	0	2,000	0	0	0	0
Fairfield	0	800	0	1,600	0	0	0	0
Hartford	0	1,400	0	2,700	0	0	0	0
Total - General Fund	**0**	**7,600**	**0**	**14,900**	**0**	**0**	**0**	**0**
Remove Funding for Inflation - (B)								
-(G) Funding for inflation is removed to effect economies.								
-(L)Same as Governor								
Willimantic	0	-1,600	0	-3,100	0	0	0	0
Torrington	0	-1,200	0	-2,300	0	0	0	0

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
New Haven	0	-800	0	-1,600	0	0	0	0
Derby	0	-800	0	-1,600	0	0	0	0
Wolcott	0	-1,000	0	-2,000	0	0	0	0
Fairfield	0	-800	0	-1,600	0	0	0	0
Hartford	0	-1,400	0	-2,700	0	0	0	0
Total - General Fund	**0**	**-7,600**	**0**	**-14,900**	**0**	**0**	**0**	**0**
Provide Funding for Middletown Fire Training School - (B)								
-(L) Funding is provided for the Middletown Fire Training School.								
Middletown	0	25,000	0	25,000	0	25,000	0	25,000
Total - General Fund	**0**	**25,000**	**0**	**25,000**	**0**	**25,000**	**0**	**25,000**
Budget Totals - GF	**0**	**334,300**	**0**	**334,300**	**0**	**25,000**	**0**	**25,000**

Maintenance of County Base Fire Radio Network
9702

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
6XX	Grant Payments - Other Than Towns	21,000	21,000	21,000	21,000	21,000	21,000
	Agency Total - General Fund	**21,000**	**21,000**	**21,000**	**21,000**	**21,000**	**21,000**
	Agency Total - Appropriated Funds	**21,000**	**21,000**	**21,000**	**21,000**	**21,000**	**21,000**
	Agency Grand Total	**21,000**	**21,000**	**21,000**	**21,000**	**21,000**	**21,000**
	BUDGET BY PROGRAM						
	Maintenance of County Base Fire Radio Network						
	General Fund						
	Grant Payments - Other Than Towns						
	Maintenance of County Base Fire Radio Network	21,000	21,000	21,000	21,000	21,000	21,000
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
601	Maintenance of County Base Fire Radio Network	21,000	21,000	21,000	21,000	21,000	21,000
	Agency Grand Total	**21,000**	**21,000**	**21,000**	**21,000**	**21,000**	**21,000**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**21,000**	**0**	**21,000**	**0**	**0**	**0**	**0**
Inflation and Non-Program Changes - (B)								
Maintenance of County Base Fire Radio Network	0	500	0	1,000	0	0	0	0
Total - General Fund	**0**	**500**	**0**	**1,000**	**0**	**0**	**0**	**0**
Remove Funding For Inflation - (B)								
-(G) Funding for inflation is removed to effect economies.								
-(L)Same as Governor								
Maintenance of County Base Fire Radio Network	0	-500	0	-1,000	0	0	0	0
Total - General Fund	**0**	**-500**	**0**	**-1,000**	**0**	**0**	**0**	**0**
Budget Totals - GF	**0**	**21,000**	**0**	**21,000**	**0**	**0**	**0**	**0**

Maintenance of Statewide Fire Radio Network
9703

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
6XX	Grant Payments - Other Than Towns	14,000	14,000	14,000	14,000	14,000	14,000
	Agency Total - General Fund	**14,000**	**14,000**	**14,000**	**14,000**	**14,000**	**14,000**
	Agency Total - Appropriated Funds	**14,000**	**14,000**	**14,000**	**14,000**	**14,000**	**14,000**
	Agency Grand Total	**14,000**	**14,000**	**14,000**	**14,000**	**14,000**	**14,000**
	BUDGET BY PROGRAM						
	Maintenance of Statewide Fire Radio Network						
	General Fund						
	Grant Payments - Other Than Towns						
	Maintenance of Statewide Fire Radio Network	14,000	14,000	14,000	14,000	14,000	14,000
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
601	Maintenance of Statewide Fire Radio Network	14,000	14,000	14,000	14,000	14,000	14,000
	Agency Grand Total	**14,000**	**14,000**	**14,000**	**14,000**	**14,000**	**14,000**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**14,000**	**0**	**14,000**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Maintenance of Statewide Fire Radio Network	0	300	0	600	0	0	0	0
Total - General Fund	**0**	**300**	**0**	**600**	**0**	**0**	**0**	**0**
~~**Remove Funding for Inflation - (B)**~~								
-(G) Funding for inflation is removed to effect economies.								
-(L)Same as Governor								
Maintenance of Statewide Fire Radio Network	0	-300	0	-600	0	0	0	0
Total - General Fund	**0**	**-300**	**0**	**-600**	**0**	**0**	**0**	**0**
Budget Totals - GF	**0**	**14,000**	**0**	**14,000**	**0**	**0**	**0**	**0**

Equal Grants to Thirty-Four Non Profit General Hospitals
9704

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
6XX	Grant Payments - Other Than Towns	34	34	34	34	34	34
	Agency Total - General Fund	**34**	**34**	**34**	**34**	**34**	**34**
	Agency Total - Appropriated Funds	**34**	**34**	**34**	**34**	**34**	**34**
	Agency Grand Total	**34**	**34**	**34**	**34**	**34**	**34**
	BUDGET BY PROGRAM						
	Equal Grants to Thirty-Four Non Profit General Hospitals						
	General Fund						
	Grant Payments - Other Than Towns						
	Equal Grants to Thirty-Four Non Profit General Hospitals	34	34	34	34	34	34
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
601	Equal Grants to Thirty-Four Non Profit General Hospitals	34	34	34	34	34	34
	Agency Grand Total	**34**	**34**	**34**	**34**	**34**	**34**

Connecticut State Police Association
9706

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
6XX	Grant Payments - Other Than Towns	50,120	47,212	63,000	63,000	63,000	63,000
	Agency Total - General Fund	**50,120**	**47,212**	**63,000**	**63,000**	**63,000**	**63,000**
	Agency Total - Appropriated Funds	**50,120**	**47,212**	**63,000**	**63,000**	**63,000**	**63,000**
	Agency Grand Total	**50,120**	**47,212**	**63,000**	**63,000**	**63,000**	**63,000**
	BUDGET BY PROGRAM						
	Connecticut Police State Association						
	General Fund						
	Grant Payments - Other Than Towns						
	Connecticut State Police Association Association	50,120	47,212	63,000	63,000	63,000	63,000
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
601	Connecticut State Police Association Association	50,120	47,212	63,000	63,000	63,000	63,000
	Agency Grand Total	**50,120**	**47,212**	**63,000**	**63,000**	**63,000**	**63,000**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**64,180**	**0**	**64,180**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Connecticut State Police Association Association	0	-1,180	0	-1,180	0	0	0	0
Total - General Fund	**0**	**-1,180**	**0**	**-1,180**	**0**	**0**	**0**	**0**
Budget Totals - GF	**0**	**63,000**	**0**	**63,000**	**0**	**0**	**0**	**0**

Connecticut State Firemen's Association
9707

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
6XX	Grant Payments - Other Than Towns	44,232	46,000	72,040	72,040	100,000	100,000
	Agency Total - General Fund	**44,232**	**46,000**	**72,040**	**72,040**	**100,000**	**100,000**
	Agency Total - Appropriated Funds	**44,232**	**46,000**	**72,040**	**72,040**	**100,000**	**100,000**
	Agency Grand Total	**44,232**	**46,000**	**72,040**	**72,040**	**100,000**	**100,000**
	BUDGET BY PROGRAM						
	Connecticut State Firemen's Association						
	General Fund						
	Grant Payments - Other Than Towns						
	Connecticut State Firemen's Association	44,232	46,000	72,040	72,040	100,000	100,000
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
601	Connecticut State Firemen's Association	44,232	46,000	72,040	72,040	100,000	100,000
	Agency Grand Total	**44,232**	**46,000**	**72,040**	**72,040**	**100,000**	**100,000**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**72,040**	**0**	**72,040**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Connecticut State Firemen's Association	0	1,700	0	3,400	0	0	0	0
Total - General Fund	**0**	**1,700**	**0**	**3,400**	**0**	**0**	**0**	**0**
Remove Funding for Inflation - (B)								
-(G) Funding for inflation is removed to effect economies.								
-(L)Same as Governor								
Connecticut State Firemen's Association	0	-1,700	0	-3,400	0	0	0	0
Total - General Fund	**0**	**-1,700**	**0**	**-3,400**	**0**	**0**	**0**	**0**
Increase Funding for Death Benefits - (B)								
-(L) Funding is provided for increased death benefits.								
Connecticut State Firemen's Association	0	27,960	0	27,960	0	27,960	0	27,960
Total - General Fund	**0**	**27,960**	**0**	**27,960**	**0**	**27,960**	**0**	**27,960**
Budget Totals - GF	**0**	**100,000**	**0**	**100,000**	**0**	**27,960**	**0**	**27,960**

Emergency Communications
9708

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
6XX	Grant Payments - Other Than Towns	1,017,920	1,017,920	977,920	907,920	0	0
	Agency Total - General Fund	**1,017,920**	**1,017,920**	**977,920**	**907,920**	**0**	**0**
	Agency Total - Appropriated Funds	**1,017,920**	**1,017,920**	**977,920**	**907,920**	**0**	**0**
	Agency Grand Total	**1,017,920**	**1,017,920**	**977,920**	**907,920**	**0**	**0**
	BUDGET BY PROGRAM						
	Emergency Communications						
	General Fund						
	Grant Payments - Other Than Towns						
	Tolland County Fire Service Mutual Aid, Inc.	164,000	164,000	164,000	164,000	0	0
	Quinebaug Valley Emergency Communications, Inc.	115,200	115,200	115,200	115,200	0	0
	Litchfield County Dispatch, Inc.	119,360	119,360	119,360	119,360	0	0
	Colchester Emergency Center	138,960	138,960	138,960	138,960	0	0
	Willimantic Switchboard	90,400	90,400	90,400	90,400	0	0
	NWC/PS Communication Center Inc.	167,280	167,280	167,280	167,280	0	0
	Westbrook	112,720	112,720	112,720	112,720	0	0
	Emergency Medical Communications Center, Inc.	110,000	110,000	70,000	0	0	0
	Total - General Fund	**1,017,920**	**1,017,920**	**977,920**	**907,920**	**0**	**0**
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
601	Tolland County Fire Service Mutual Aid, Inc.	164,000	164,000	164,000	164,000	0	0
602	Quinebaug Valley Emergency Communications, Inc.	115,200	115,200	115,200	115,200	0	0
603	Litchfield County Dispatch, Inc.	119,360	119,360	119,360	119,360	0	0
604	Colchester Emergency Center	138,960	138,960	138,960	138,960	0	0
605	Willimantic Switchboard	90,400	90,400	90,400	90,400	0	0
606	NWC/PS Communication Center Inc.	167,280	167,280	167,280	167,280	0	0
607	Westbrook	112,720	112,720	112,720	112,720	0	0
608	Emergency Medical Communications Center, Inc.	110,000	110,000	70,000	0	0	0
	Agency Grand Total	**1,017,920**	**1,017,920**	**977,920**	**907,920**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**1,017,920**	**0**	**1,017,920**	**0**	**0**	**0**	**0**
Inflation and Non-Program Changes - (B)								
Tolland County Fire Service Mutual Aid, Inc.	0	3,940	0	7,800	0	0	0	0
Quinebaug Valley Emergency Communications, Inc.	0	2,760	0	5,480	0	0	0	0
Litchfield County Dispatch, Inc.	0	2,860	0	5,680	0	0	0	0
Colchester Emergency Center	0	3,340	0	6,620	0	0	0	0
Willimantic Switchboard	0	2,180	0	4,300	0	0	0	0
NWC/PS Communication Center Inc.	0	4,020	0	7,960	0	0	0	0
Westbrook	0	2,700	0	5,360	0	0	0	0
Total - General Fund	**0**	**21,800**	**0**	**43,200**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Eliminate Funding Gradually for Emergency Medical Center - (B)								
-(G) Funding for the Emergency Medical Center is phased out to effect economies.								
-(L)Same as Governor								
Emergency Medical Communications Center, Inc.	0	-40,000	0	-110,000	0	0	0	0
Total - General Fund	**0**	**-40,000**	**0**	**-110,000**	**0**	**0**	**0**	**0**
Remove Funding for Inflation - (B)								
-(G) Funding for inflation is removed to effect economies.								
-(L)Same as Governor								
Tolland County Fire Service Mutual Aid, Inc.	0	-3,940	0	-7,800	0	0	0	0
Quinebaug Valley Emergency Communications, Inc.	0	-2,760	0	-5,480	0	0	0	0
Litchfield County Dispatch, Inc.	0	-2,860	0	-5,680	0	0	0	0
Colchester Emergency Center	0	-3,340	0	-6,620	0	0	0	0
Willimantic Switchboard	0	-2,180	0	-4,300	0	0	0	0
NWC/PS Communication Center Inc.	0	-4,020	0	-7,960	0	0	0	0
Westbrook	0	-2,700	0	-5,360	0	0	0	0
Total - General Fund	**0**	**-21,800**	**0**	**-43,200**	**0**	**0**	**0**	**0**
Eliminate Emergency Communications Expenses From the General Fund - (B)								
PA 96-150, "An Act Implementing the Recommendations of the Task Force to Study Enhanced 9-1-1 Telecommunications Services Established by Public Act 95-318", established a new funding mechanism for E 9-1-1 services. The Department of Public Utility Control established a monthly assessment on each subscriber of local and cellular telephone service. The new assessment goes into the Enhanced 9-1-1 Telecommunications Fund.								
-(L) Funding is removed to reflect a new funding mechanism established by PA 96-150.								
Tolland County Fire Service Mutual Aid, Inc.	0	-164,000	0	-164,000	0	-164,000	0	-164,000
Quinebaug Valley Emergency Communications, Inc.	0	-115,200	0	-115,200	0	-115,200	0	-115,200
Litchfield County Dispatch, Inc.	0	-119,360	0	-119,360	0	-119,360	0	-119,360
Colchester Emergency Center	0	-138,960	0	-138,960	0	-138,960	0	-138,960
Willimantic Switchboard	0	-90,400	0	-90,400	0	-90,400	0	-90,400
NWC/PS Communication Center Inc.	0	-167,280	0	-167,280	0	-167,280	0	-167,280
Westbrook	0	-112,720	0	-112,720	0	-112,720	0	-112,720
Emergency Medical Communications Center, Inc.	0	-70,000	0	0	0	-70,000	0	0
Total - General Fund	**0**	**-977,920**	**0**	**-907,920**	**0**	**-977,920**	**0**	**-907,920**
Budget Totals - GF	**0**	**0**	**0**	**0**	**0**	**-977,920**	**0**	**-907,920**

Interstate Sanitation Commission
9710

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
6XX	Grant Payments - Other Than Towns	65,000	3,333	3,333	3,333	3,333	3,333
	Agency Total - General Fund	**65,000**	**3,333**	**3,333**	**3,333**	**3,333**	**3,333**
	Agency Total - Appropriated Funds	**65,000**	**3,333**	**3,333**	**3,333**	**3,333**	**3,333**
	Agency Grand Total	**65,000**	**3,333**	**3,333**	**3,333**	**3,333**	**3,333**
	BUDGET BY PROGRAM						
	Interstate Sanitation Commission						
	General Fund						
	Grant Payments - Other Than Towns						
	Interstate Sanitation Commission	65,000	3,333	3,333	3,333	3,333	3,333
	GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
601	Interstate Sanitation Commission	65,000	3,333	3,333	3,333	3,333	3,333
	Agency Grand Total	**65,000**	**3,333**	**3,333**	**3,333**	**3,333**	**3,333**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**3,333**	**0**	**3,333**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Interstate Sanitation Commission	0	67	0	167	0	0	0	0
Total - General Fund	**0**	**67**	**0**	**167**	**0**	**0**	**0**	**0**
Remove Funding for Inflation - (B)								
-(G) Funding for inflation is removed to effect economies.								
-(L)Same as Governor								
Interstate Sanitation Commission	0	-67	0	-167	0	0	0	0
Total - General Fund	**0**	**-67**	**0**	**-167**	**0**	**0**	**0**	**0**
Budget Totals - GF	**0**	**3,333**	**0**	**3,333**	**0**	**0**	**0**	**0**

Reimbursements to Towns for Loss of Taxes on State Property
9801

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
7XX	Grant Payments - To Towns	27,898,866	30,372,807	30,360,283	30,360,283	33,341,007	35,008,000
	Agency Total - General Fund	**27,898,866**	**30,372,807**	**30,360,283**	**30,360,283**	**33,341,007**	**35,008,000**
	Agency Total - Appropriated Funds	**27,898,866**	**30,372,807**	**30,360,283**	**30,360,283**	**33,341,007**	**35,008,000**
	Agency Grand Total	**27,898,866**	**30,372,807**	**30,360,283**	**30,360,283**	**33,341,007**	**35,008,000**
	BUDGET BY PROGRAM						
	Reimbursements to Towns for Loss of Taxes on State Property						
	General Fund						
	Grant Payments - To Towns						
	Reimbursements to Towns for Loss of Taxes on State Property	27,898,866	30,372,807	30,360,283	30,360,283	33,341,007	35,008,000
	GRANT PAYMENTS - TO TOWNS (Recap)						
701	Reimbursements to Towns for Loss of Taxes on State Property	27,898,866	30,372,807	30,360,283	30,360,283	33,341,007	35,008,000
	Agency Grand Total	**27,898,866**	**30,372,807**	**30,360,283**	**30,360,283**	**33,341,007**	**35,008,000**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**30,360,283**	**0**	**30,360,283**	**0**	**0**	**0**	**0**
Inflation and Non-Program Changes - (B)								
Grant Payments To Towns	0	2,980,724	0	4,647,717	0	0	0	0
Total - General Fund	**0**	**2,980,724**	**0**	**4,647,717**	**0**	**0**	**0**	**0**
Adjust Formula Grant to the FY 97 Appropriation - (B)								
The amount of reimbursement is determined in accordance with the formula set forth in the Connecticut General Statutes, Sec. 12-19a and 12-19b. The current formula calls for a 20% reimbursement for loss of taxes on State property and a 100% reimbursement on all correctional facilities. -(G) The grant is funded at the FY 1997 expenditure level. The limiting of this formula grant to the FY 1997 expenditure will reduce the percentage municipalities are reimbursed for the loss of taxes on state property. For FY 1998 municipalities will be reimbursed approximately 18.2% for state property and 91% for correctional facilities. -(L) Funding is restored to provide the full amount anticipated to be required by statute.								
Reimbursements to Towns for Loss of Taxes on State Property	0	0	0	0	0	2,980,724	0	4,647,717
Total - General Fund	**0**	**0**	**0**	**0**	**0**	**2,980,724**	**0**	**4,647,717**
Budget Totals - GF	**0**	**33,341,007**	**0**	**35,008,000**	**0**	**2,980,724**	**0**	**4,647,717**

OTHER SIGNIFICANT LEGISLATION AFFECTING THE AGENCY'S BUDGET

PA 97-11, June 18, Special Session, "An Act Concerning Computerized Information Sharing, the Mashantucket and Mohegan Fund, Early Retirement, School Construction, State Buildings, Nursing Home Loans, Executive and Legislative Councils and Commissions, and Appropriations for the Fiscal Years Ending June 30, 1997, 1998 and 1999, Spring and Well Water Collection, Project Concern, Notice Requirements for Psychiatric Admissions, the Tax on Net Direct Subscriber Charges of Health Care Centers, Elimination of Certain Wage Inequities, Sheriffs' Fees and Expenses of the Connecticut Siting Council". This act, in Sec. 27, makes any town in which 50% or more of the land is state property eligible for a PILOT for State-owned property reimbursement at 100%. This change currently impacts only Voluntown and increases its FY 1997-98 PILOT grant by approximately $57,000. No additional funds were provided for this change.

Grants to Towns
9802

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	Mashantucket Pequot & Mohegan Fund						
7XX	Grant Payments - To Towns	85,000,000	85,000,000	85,000,000	85,000,000	135,000,000	135,000,000
	Agency Total - Mashantucket Pequot & Mohegan Fund	**85,000,000**	**85,000,000**	**85,000,000**	**85,000,000**	**135,000,000**	**135,000,000**
	Agency Total - Appropriated Funds	**85,000,000**	**85,000,000**	**85,000,000**	**85,000,000**	**135,000,000**	**135,000,000**
	Agency Grand Total	**85,000,000**	**85,000,000**	**85,000,000**	**85,000,000**	**135,000,000**	**135,000,000**
	BUDGET BY PROGRAM						
	Grants to Towns						
	Mashantucket Pequot & Mohegan Fund						
	Grant Payments - To Towns						
	Grants to Towns	85,000,000	85,000,000	85,000,000	85,000,000	135,000,000	135,000,000
	GRANT PAYMENTS - TO TOWNS (Recap)						
701	Grants to Towns	85,000,000	85,000,000	85,000,000	85,000,000	135,000,000	135,000,000
	Agency Grand Total	**85,000,000**	**85,000,000**	**85,000,000**	**85,000,000**	**135,000,000**	**135,000,000**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Provide Additional Funding to Towns - (B)								
-(L) Additional funds, in the amount of $50 million, are provided for distribution to municipalities.								
Grants to Towns	0	50,000,000	0	50,000,000	0	50,000,000	0	50,000,000
Total - Mashantucket Pequot & Mohegan Fund	**0**	**50,000,000**	**0**	**50,000,000**	**0**	**50,000,000**	**0**	**50,000,000**
Budget Totals - MF	**0**	**50,000,000**	**0**	**50,000,000**	**0**	**50,000,000**	**0**	**50,000,000**

OTHER SIGNIFICANT LEGISLATION AFFECTING THE AGENCY'S BUDGET

PA 97-11, JSS, "Act Concerning Computerized Information Sharing, the Mashantucket and Mohegan Fund, Early Retirement, School Construction, State Buildings, Nursing Home Loans, Executive and Legislative Councils and Commissions, and Appropriations for the Fiscal Years Ending June 30, 1997, 1998 and 1999, Spring and Well Water Collection, Project Concern, Notice Requirements for Psychiatric Admissions, the Tax on Net Direct Subscriber Charges of Health Care Centers, Elimination of Certain Wage Inequities, Sheriffs' Fees and Expenses of the Connecticut Siting Council". Section 2 of this act increased a portion of the Grants to Towns to Ledyard, North Stonington and Preston from $25,000 to $175,000 and specifies that Montville is to receive an additional $150,000. The grants of all other towns are minimally reduced to provide for the increase to these four towns.

Reimbursements to Towns for Loss of Taxes on Private Tax-Exempt Property
9804

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
7XX	Grant Payments - To Towns	65,020,906	68,690,081	68,520,537	68,520,537	82,320,537	85,120,537
	Agency Total - General Fund	**65,020,906**	**68,690,081**	**68,520,537**	**68,520,537**	**82,320,537**	**85,120,537**
	Agency Total - Appropriated Funds	**65,020,906**	**68,690,081**	**68,520,537**	**68,520,537**	**82,320,537**	**85,120,537**
	Agency Grand Total	**65,020,906**	**68,690,081**	**68,520,537**	**68,520,537**	**82,320,537**	**85,120,537**
	BUDGET BY PROGRAM						
	Reimbursements to Towns - Private Tax Exempt Property						
	General Fund						
	Grant Payments - To Towns						
	Reimbursements to Towns for Loss of Taxes on Private Tax-Exempt Property	65,020,906	68,690,081	68,520,537	68,520,537	82,320,537	85,120,537
	GRANT PAYMENTS - TO TOWNS (Recap)						
701	Reimbursements to Towns for Loss of Taxes on Private Tax-Exempt Property	65,020,906	68,690,081	68,520,537	68,520,537	82,320,537	85,120,537
	Agency Grand Total	**65,020,906**	**68,690,081**	**68,520,537**	**68,520,537**	**82,320,537**	**85,120,537**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**68,520,537**	**0**	**68,520,537**	**0**	**0**	**0**	**0**
Inflation and Non-Program Changes - (B)								
Reimbursements to Towns for Loss of Taxes on Private Tax-Exempt Property	0	6,692,866	0	10,213,463	0	0	0	0
Total - General Fund	**0**	**6,692,866**	**0**	**10,213,463**	**0**	**0**	**0**	**0**

Adjust Formula Grant Appropriation - (B)
Under the current formula, municipalities are partially reimbursed (60%) for loss of taxes resulting from the exemption (under Connecticut General Statutes (CGS) Sec. 12-81), for private non-profit institutions of higher education and non-profit general hospital facilities. PA 94-175, expanded reimbursement to boroughs and districts that contain a higher education or hospital facility eligible for PILOT payments.
-(G) Funding is reduced as a result of funding the grant at the FY 1997 expenditure level. The limiting of this formula grant to the FY 1997 expenditure would reduce the percentage municipalities are reimbursed for the loss of taxes on private tax-exempt property. For fiscal 1998, municipalities will be reimbursed approximately 55% for private tax-exempt property.
-(L) Funding for the PILOT is increased by $13.8 million in FY 1998 and $16.6 million in FY 1999. The funding level provided will provide eligible municipalities with a reimbursement of approximately 65%. PA 97-11, June 18,

632 - Reimbursements to Towns for Loss of Taxes on Private Tax-Exempt Property

Non-Functional

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Special Session permits the increased level of reimbursement.								
Reimbursements to Towns for Loss of Taxes on Private Tax-Exempt Property	0	7,107,134	0	6,386,537	0	13,800,000	0	16,600,000
Total - General Fund	**0**	**7,107,134**	**0**	**6,386,537**	**0**	**13,800,000**	**0**	**16,600,000**
Budget Totals - GF	**0**	**82,320,537**	**0**	**85,120,537**	**0**	**13,800,000**	**0**	**16,600,000**

OTHER SIGNIFICANT LEGISLATION AFFECTING THE AGENCY'S BUDGET

PA 97-11, June 18, Special Session, "An Act Concerning Computerized Information Sharing, the Mashantucket and Mohegan Fund, Early Retirement, School Construction, State Buildings, Nursing Home Loans, Executive and Legislative Councils and Commissions, and Appropriations for the Fiscal Years Ending June 30, 1997, 1998 and 1999, Spring and Well Water Collection, Project Concern, Notice Requirements for Psychiatric Admissions, the Tax on Net Direct Subscriber Charges of Health Care Centers, Elimination of Certain Wage Inequities, Sheriffs' Fees and Expenses of the Connecticut Siting Council". This act allows grants to towns under the PILOT for Tax-Exempt Property (Private Colleges and Hospitals) to exceed the statutory 60% reimbursement if the appropriation permits in Sec. 52(k).

Unemployment Compensation
9903

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
002	Other Expenses	5,726,881	6,370,000	8,409,000	7,113,000	8,409,000	7,113,000
	Agency Total - General Fund	**5,726,881**	**6,370,000**	**8,409,000**	**7,113,000**	**8,409,000**	**7,113,000**
	Special Transportation Fund						
002	Other Expenses	291,373	300,000	460,000	475,000	460,000	475,000
	Agency Total - Special Transportation Fund	**291,373**	**300,000**	**460,000**	**475,000**	**460,000**	**475,000**
	Agency Total - Appropriated Funds	**6,018,254**	**6,670,000**	**8,869,000**	**7,588,000**	**8,869,000**	**7,588,000**
	Agency Grand Total	**6,018,254**	**6,670,000**	**8,869,000**	**7,588,000**	**8,869,000**	**7,588,000**
	BUDGET BY PROGRAM						
	Unemployment Compensation						
	General Fund						
	Other Expenses	5,726,881	6,370,000	8,409,000	7,113,000	8,409,000	7,113,000
	Special Transportation Fund						
	Other Expenses	291,373	300,000	460,000	475,000	460,000	475,000
	Total - Special Transportation Fund	**291,373**	**300,000**	**460,000**	**475,000**	**460,000**	**475,000**
	Agency Grand Total	**6,018,254**	**6,670,000**	**8,869,000**	**7,588,000**	**8,869,000**	**7,588,000**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**6,600,000**	**0**	**6,600,000**	**0**	**0**	**0**	**0**
FY97 Governor's Estimated Expenditure - TF	**0**	**650,000**	**0**	**650,000**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Other Expenses	0	109,000	0	353,000	0	0	0	0
Total - General Fund	**0**	**109,000**	**0**	**353,000**	**0**	**0**	**0**	**0**
Other Expenses	0	-190,000	0	-175,000	0	0	0	0
Total - Special Transportation Fund	**0**	**-190,000**	**0**	**-175,000**	**0**	**0**	**0**	**0**
Adjust Funding to Reflect Position Changes - (B)								
-(G) Funding for unemployment compensation is increased in FY 98 for anticipated layoffs. Funding in this account is reduced in FY 99 to reflect position changes.								
-(L)Same as Governor								
Other Expenses	0	1,700,000	0	160,000	0	0	0	0
Total - General Fund	**0**	**1,700,000**	**0**	**160,000**	**0**	**0**	**0**	**0**
Budget Totals - GF	**0**	**8,409,000**	**0**	**7,113,000**	**0**	**0**	**0**	**0**
Budget Totals - TF	**0**	**460,000**	**0**	**475,000**	**0**	**0**	**0**	**0**

State Employees Retirement Contributions
9909

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
002	Other Expenses	223,115,971	228,072,482	236,609,630	256,161,788	236,609,630	256,161,788
	Agency Total - General Fund	**223,115,971**	**228,072,482**	**236,609,630**	**256,161,788**	**236,609,630**	**256,161,788**
	Special Transportation Fund						
002	Other Expenses	31,300,000	33,469,400	25,740,000	31,700,000	25,740,000	31,700,000
	Agency Total - Special Transportation Fund	**31,300,000**	**33,469,400**	**25,740,000**	**31,700,000**	**25,740,000**	**31,700,000**
	Agency Total - Appropriated Funds	**254,415,971**	**261,541,882**	**262,349,630**	**287,861,788**	**262,349,630**	**287,861,788**
	Agency Grand Total	**254,415,971**	**261,541,882**	**262,349,630**	**287,861,788**	**262,349,630**	**287,861,788**
	BUDGET BY PROGRAM						
	State Employees Retirement Contributions						
	General Fund						
	Other Expenses	223,115,971	228,072,482	236,609,630	256,161,788	236,609,630	256,161,788
	Special Transportation Fund						
	Other Expenses	31,300,000	33,469,400	25,740,000	31,700,000	25,740,000	31,700,000
	Total - Special Transportation Fund	**31,300,000**	**33,469,400**	**25,740,000**	**31,700,000**	**25,740,000**	**31,700,000**
	Agency Grand Total	**254,415,971**	**261,541,882**	**262,349,630**	**287,861,788**	**262,349,630**	**287,861,788**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**228,072,482**	**0**	**228,072,482**	**0**	**0**	**0**	**0**
FY97 Governor's Estimated Expenditure - TF	**0**	**33,469,400**	**0**	**33,469,400**	**0**	**0**	**0**	**0**
Inflation and Non-Program Changes - (B)								
Other Expenses	0	30,669,148	0	114,275,306	0	0	0	0
Total - General Fund	**0**	**30,669,148**	**0**	**114,275,306**	**0**	**0**	**0**	**0**
Other Expenses	0	-5,261,400	0	6,244,600	0	0	0	0
Total - Special Transportation Fund	**0**	**-5,261,400**	**0**	**6,244,600**	**0**	**0**	**0**	**0**
Reduce Contribution to Reflect SEBAC V Agreement - (B)								
The State Employees' Retirement System (SERS) covers the majority of state employees. As of June 30, 1996, the actuarial value of assets was $4.6 billion and the system's liabilities were valued at $8.3 billion. -(G) Funds are reduced to reflect the ratification of SEBAC V. This agreement makes changes to the actuarial methodologies which restructure the payments resulting in lower payments in FY '98 and FY '99. -(L)Same as Governor								
Other Expenses	0	-22,132,000	0	-86,186,000	0	0	0	0
Total - General Fund	**0**	**-22,132,000**	**0**	**-86,186,000**	**0**	**0**	**0**	**0**
Other Expenses	0	-2,468,000	0	-8,014,000	0	0	0	0
Total - Special Transportation Fund	**0**	**-2,468,000**	**0**	**-8,014,000**	**0**	**0**	**0**	**0**
Budget Totals - GF	**0**	**236,609,630**	**0**	**256,161,788**	**0**	**0**	**0**	**0**
Budget Totals - TF	**0**	**25,740,000**	**0**	**31,700,000**	**0**	**0**	**0**	**0**

OTHER SIGNIFICANT 1997 LEGISLATION AFFECTING THE AGENCY'S BUDGET

PA-97-11, June 18, Special Session "An Act Concerning Computerized Information Sharing, the Mashantucket and Mohegan Fund, Early Retirement, School Construction, State Buildings, Nursing Home Loans, Executive and Legislative Councils and Commissions and Appropriations for the Fiscal Years Ending June 30, 1997, 1998 and 1999, Spring and Well Water Collection, Project Concern, Notice Requirements for Psychiatric Admissions, the Tax on Net Direct Subscriber Charges of Health Care Centers, Elimination of Certain Wage Inequities, Sheriffs' Fees and Expenses of the Connecticut Siting Council" - This act makes qualifying members of the State Employees Retirement System who are employed by state-aided institutions and E.O. Smith School eligible for the 1997 Early Retirement Incentive Program (ERIP), in Sec. 3. It also permits $250,000 to be used from the State Employee Retirement Fund for expenses related to the ERIP in Sec. 11.

Higher Education Alternative Retirement System
9910

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
002	Other Expenses	9,505,987	10,878,000	11,100,000	11,415,000	11,100,000	11,415,000
	Agency Total - General Fund	**9,505,987**	**10,878,000**	**11,100,000**	**11,415,000**	**11,100,000**	**11,415,000**
	Agency Total - Appropriated Funds	**9,505,987**	**10,878,000**	**11,100,000**	**11,415,000**	**11,100,000**	**11,415,000**
	Agency Grand Total	**9,505,987**	**10,878,000**	**11,100,000**	**11,415,000**	**11,100,000**	**11,415,000**
	BUDGET BY PROGRAM						
	Higher Education Alternative Retirement System						
	General Fund						
	Other Expenses	9,505,987	10,878,000	11,100,000	11,415,000	11,100,000	11,415,000
	Agency Grand Total	**9,505,987**	**10,878,000**	**11,100,000**	**11,415,000**	**11,100,000**	**11,415,000**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**10,950,000**	**0**	**10,950,000**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Other Expenses	0	150,000	0	465,000	0	0	0	0
Total - General Fund	**0**	**150,000**	**0**	**465,000**	**0**	**0**	**0**	**0**
Budget Totals - GF	**0**	**11,100,000**	**0**	**11,415,000**	**0**	**0**	**0**	**0**

Pensions and Retirements-Other Statutory
9911

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
002	Other Expenses	1,291,917	1,300,000	1,450,000	1,500,000	1,450,000	1,500,000
	Agency Total - General Fund	**1,291,917**	**1,300,000**	**1,450,000**	**1,500,000**	**1,450,000**	**1,500,000**
	Agency Total - Appropriated Funds	**1,291,917**	**1,300,000**	**1,450,000**	**1,500,000**	**1,450,000**	**1,500,000**
	Agency Grand Total	**1,291,917**	**1,300,000**	**1,450,000**	**1,500,000**	**1,450,000**	**1,500,000**
	BUDGET BY PROGRAM						
	Pensions and Retirements-Other Statutory						
	General Fund						
	Other Expenses	1,291,917	1,300,000	1,450,000	1,500,000	1,450,000	1,500,000
	Agency Grand Total	**1,291,917**	**1,300,000**	**1,450,000**	**1,500,000**	**1,450,000**	**1,500,000**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**1,300,000**	**0**	**1,300,000**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Other Expenses	0	150,000	0	200,000	0	0	0	0
Total - General Fund	**0**	**150,000**	**0**	**200,000**	**0**	**0**	**0**	**0**
Budget Totals - GF	**0**	**1,450,000**	**0**	**1,500,000**	**0**	**0**	**0**	**0**

Judges and Compensation Commissioners Retirement
9912

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
002	Other Expenses	9,207,566	9,341,737	10,199,708	11,198,113	9,308,947	11,198,113
	Agency Total - General Fund	**9,207,566**	**9,341,737**	**10,199,708**	**11,198,113**	**9,308,947**	**11,198,113**
	Agency Total - Appropriated Funds	**9,207,566**	**9,341,737**	**10,199,708**	**11,198,113**	**9,308,947**	**11,198,113**
	Agency Grand Total	**9,207,566**	**9,341,737**	**10,199,708**	**11,198,113**	**9,308,947**	**11,198,113**
	BUDGET BY PROGRAM						
	Judges and Compensation Commissioner Retirement						
	General Fund						
	Other Expenses	9,207,566	9,341,737	10,199,708	11,198,113	9,308,947	11,198,113
	Agency Grand Total	**9,207,566**	**9,341,737**	**10,199,708**	**11,198,113**	**9,308,947**	**11,198,113**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**9,341,737**	**0**	**9,341,737**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Other Expenses	0	857,971	0	1,856,376	0	0	0	0
Total - General Fund	**0**	**857,971**	**0**	**1,856,376**	**0**	**0**	**0**	**0**
Adjust Funding For Judges and Compensation Commission Retirement System - (B)								
The actuary for the system submits an annual valuation of the pension fund which includes the calculation of the State's required contribution.								
-(L) Funding is reduced based upon the submission of the actuarial certification which determined a state contribution lower than originally estimated.								
Other Expenses	0	-890,761	0	0	0	-890,761	0	0
Total - General Fund	**0**	**-890,761**	**0**	**0**	**0**	**-890,761**	**0**	**0**
Budget Totals - GF	**0**	**9,308,947**	**0**	**11,198,113**	**0**	**-890,761**	**0**	**0**

Insurance - Group Life
9913

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
002	Other Expenses	2,257,880	2,392,530	2,500,000	2,575,000	2,500,000	2,575,000
	Agency Total - General Fund	**2,257,880**	**2,392,530**	**2,500,000**	**2,575,000**	**2,500,000**	**2,575,000**
	Special Transportation Fund						
002	Other Expenses	121,011	129,000	131,000	133,000	131,000	133,000
	Agency Total - Special Transportation Fund	**121,011**	**129,000**	**131,000**	**133,000**	**131,000**	**133,000**
	Agency Total - Appropriated Funds	**2,378,891**	**2,521,530**	**2,631,000**	**2,708,000**	**2,631,000**	**2,708,000**
	Agency Grand Total	**2,378,891**	**2,521,530**	**2,631,000**	**2,708,000**	**2,631,000**	**2,708,000**
	BUDGET BY PROGRAM						
	Insurance - Group Life						
	General Fund						
	Other Expenses	2,257,880	2,392,530	2,500,000	2,575,000	2,500,000	2,575,000
	Special Transportation Fund						
	Other Expenses	121,011	129,000	131,000	133,000	131,000	133,000
	Total - Special Transportation Fund	**121,011**	**129,000**	**131,000**	**133,000**	**131,000**	**133,000**
	Agency Grand Total	**2,378,891**	**2,521,530**	**2,631,000**	**2,708,000**	**2,631,000**	**2,708,000**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**2,392,530**	**0**	**2,392,530**	**0**	**0**	**0**	**0**
FY97 Governor's Estimated Expenditure - TF	**0**	**129,000**	**0**	**129,000**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Other Expenses	0	107,470	0	182,470	0	0	0	0
Total - General Fund	**0**	**107,470**	**0**	**182,470**	**0**	**0**	**0**	**0**
Other Expenses	0	2,000	0	4,000	0	0	0	0
Total - Special Transportation Fund	**0**	**2,000**	**0**	**4,000**	**0**	**0**	**0**	**0**
Budget Totals - GF	**0**	**2,500,000**	**0**	**2,575,000**	**0**	**0**	**0**	**0**
Budget Totals - TF	**0**	**131,000**	**0**	**133,000**	**0**	**0**	**0**	**0**

Administrative and Residual - Licensing Fees
9914

BUDGET CHANGES

	Legislative 98		Legislative 99		Diff. from Gov. 97-98		Diff. from Gov. 98-99	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**2,500**	**0**	**2,500**	**0**	**0**	**0**	**0**
Inflation and Non-Program Changes - (B)								
Other Current Expenses	0	-2,500	0	-2,500	0	0	0	0
Total - General Fund	**0**	**-2,500**	**0**	**-2,500**	**0**	**0**	**0**	**0**
Budget Totals - GF	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**

Tuition Reimbursement - Training and Travel
9916

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
006	Other Current Expense	1,704,285	2,134,744	925,625	925,625	925,625	925,625
	Agency Total - General Fund	**1,704,285**	**2,134,744**	**925,625**	**925,625**	**925,625**	**925,625**
	Agency Total - Appropriated Funds	**1,704,285**	**2,134,744**	**925,625**	**925,625**	**925,625**	**925,625**
	Agency Grand Total[1]	**1,704,285**	**2,134,744**	**925,625**	**925,625**	**925,625**	**925,625**
	BUDGET BY PROGRAM						
	Tuition Reimbursement - Training and Travel						
	General Fund						
	Other Current Expense	1,704,285	2,134,744	925,625	925,625	925,625	925,625
	Agency Grand Total	**1,704,285**	**2,134,744**	**925,625**	**925,625**	**925,625**	**925,625**

BUDGET CHANGES

	Legislative 98		Legislative 99		Diff. from Gov. 97-98		Diff. from Gov. 98-99	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**2,547,625**	**0**	**2,547,625**	**0**	**0**	**0**	**0**
Inflation and Non-Program Changes - (B)								
Other Current Expense	0	-1,622,000	0	-1,622,000	0	0	0	0
Total - General Fund	**0**	**-1,622,000**	**0**	**-1,622,000**	**0**	**0**	**0**	**0**
Budget Totals - GF	**0**	**925,625**	**0**	**925,625**	**0**	**0**	**0**	**0**

[1] It should be noted that the agency budgets do not contain funding to pay the costs of settled collective bargaining contracts settled during the 1997 Session. Funds for this purpose were budgeted in the Reserve for Salary Adjustments account and will be distributed to the agency by OPM. The amount estimated for this account for FY 1997-98 is $1,477,000.

Employers Social Security Tax
9926

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
002	Other Expenses	113,797,651	119,900,000	123,515,000	127,891,800	126,677,729	131,343,529
	Agency Total - General Fund	**113,797,651**	**119,900,000**	**123,515,000**	**127,891,800**	**126,677,729**	**131,343,529**
	Special Transportation Fund						
002	Other Expenses	12,730,483	12,445,000	13,100,000	13,400,000	13,100,000	13,400,000
	Agency Total - Special Transportation Fund	**12,730,483**	**12,445,000**	**13,100,000**	**13,400,000**	**13,100,000**	**13,400,000**
	Agency Total - Appropriated Funds	**126,528,134**	**132,345,000**	**136,615,000**	**141,291,800**	**139,777,729**	**144,743,529**
	Agency Grand Total	**126,528,134**	**132,345,000**	**136,615,000**	**141,291,800**	**139,777,729**	**144,743,529**
	BUDGET BY PROGRAM						
	Employers Social Security Tax						
	General Fund						
	Other Expenses	113,797,651	119,900,000	123,515,000	127,891,800	126,677,729	131,343,529
	Special Transportation Fund						
	Other Expenses	12,730,483	12,445,000	13,100,000	13,400,000	13,100,000	13,400,000
	Total - Special Transportation Fund	**12,730,483**	**12,445,000**	**13,100,000**	**13,400,000**	**13,100,000**	**13,400,000**
	Agency Grand Total	**126,528,134**	**132,345,000**	**136,615,000**	**141,291,800**	**139,777,729**	**144,743,529**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**120,840,413**	**0**	**120,840,413**	**0**	**0**	**0**	**0**
FY97 Governor's Estimated Expenditure - TF	**0**	**12,845,000**	**0**	**12,845,000**	**0**	**0**	**0**	**0**
Inflation and Non-Program Changes - (B)								
Other Expenses	0	5,079,587	0	9,704,387	0	0	0	0
Total - General Fund	**0**	**5,079,587**	**0**	**9,704,387**	**0**	**0**	**0**	**0**
Other Expenses	0	255,000	0	555,000	0	0	0	0
Total - Special Transportation Fund	**0**	**255,000**	**0**	**555,000**	**0**	**0**	**0**	**0**
Adjust Funding to Reflect Position Changes - (B)								
-(G) Funding is reduced to reflect a net reduction in the state work force.								
-(L) Funding is provided to reflect a net increase in positions from the Governor's Proposed Budget.								
Other Expenses	0	757,729	0	798,729	0	3,162,729	0	3,451,729
Total - General Fund	**0**	**757,729**	**0**	**798,729**	**0**	**3,162,729**	**0**	**3,451,729**
Budget Totals - GF	**0**	**126,677,729**	**0**	**131,343,529**	**0**	**3,162,729**	**0**	**3,451,729**
Budget Totals - TF	**0**	**13,100,000**	**0**	**13,400,000**	**0**	**0**	**0**	**0**

State Employees Health Service Cost
9932

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
002	Other Expenses	158,989,835	162,250,000	175,362,500	175,973,500	180,507,300	181,214,300
	Agency Total - General Fund[1]	**158,989,835**	**162,250,000**	**175,362,500**	**175,973,500**	**180,507,300**	**181,214,300**
	Special Transportation Fund						
002	Other Expenses	18,484,995	18,393,000	19,623,000	19,723,000	19,623,000	19,723,000
	Agency Total - Special Transportation Fund	**18,484,995**	**18,393,000**	**19,623,000**	**19,723,000**	**19,623,000**	**19,723,000**
	Agency Total - Appropriated Funds	**177,474,830**	**180,643,000**	**194,985,500**	**195,696,500**	**200,130,300**	**200,937,300**
	Additional Funds Available						
	Carry Forward - FY 97 Surplus Appropriations	0	0	0	0	9,000,000	0
	Agency Grand Total	**177,474,830**	**180,643,000**	**194,985,500**	**195,696,500**	**209,130,300**	**200,937,300**
	BUDGET BY PROGRAM						
	State Employees Health Service Cost						
	General Fund						
	Other Expenses	158,989,835	162,250,000	175,362,500	175,973,500	180,507,300	181,214,300
	Special Transportation Fund						
	Other Expenses	18,484,995	18,393,000	19,623,000	19,723,000	19,623,000	19,723,000
	Total - Special Transportation Fund	**18,484,995**	**18,393,000**	**19,623,000**	**19,723,000**	**19,623,000**	**19,723,000**
	Additional Funds Available						
	Carry Forward - FY 97 Surplus Appropriations	0	0	0	0	9,000,000	0
	Total - All Funds	**177,474,830**	**180,643,000**	**194,985,500**	**195,696,500**	**209,130,300**	**200,937,300**
	Agency Grand Total	**177,474,830**	**180,643,000**	**194,985,500**	**195,696,500**	**209,130,300**	**200,937,300**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**169,260,600**	**0**	**169,260,600**	**0**	**0**	**0**	**0**
FY97 Governor's Estimated Expenditure - TF	**0**	**18,843,000**	**0**	**18,843,000**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Other Expenses	0	1,729,900	0	2,609,900	0	0	0	0
Total - General Fund	**0**	**1,729,900**	**0**	**2,609,900**	**0**	**0**	**0**	**0**
Other Expenses	0	107,000	0	207,000	0	0	0	0
Total - Special Transportation Fund	**0**	**107,000**	**0**	**207,000**	**0**	**0**	**0**	**0**
Increase Funding as a Result of SEBAC V. - (B)								
State employee health benefits are an issue of collective bargaining. SEBAC IV had a provision specifying a fixed premium until June, 1999.								
-(G) Funding is required to reflect the SEBAC V agreement.								
-(L)Same as Governor								
Other Expenses	0	6,537,000	0	6,537,000	0	0	0	0
Total - General Fund	**0**	**6,537,000**	**0**	**6,537,000**	**0**	**0**	**0**	**0**
Other Expenses	0	673,000	0	673,000	0	0	0	0
Total - Special Transportation Fund	**0**	**673,000**	**0**	**673,000**	**0**	**0**	**0**	**0**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
Adjust Funding to Reflect Position Changes - (B)								
-(G) Funding is reduced to reflect the anticipated position decreases.								
-(L) Funding is provided to reflect a net increase in positions from the Governor's Proposed Budget.								
Other Expenses	0	2,979,800	0	2,806,800	0	5,144,800	0	5,240,800
Total - General Fund	**0**	**2,979,800**	**0**	**2,806,800**	**0**	**5,144,800**	**0**	**5,240,800**
Carry-Forward of Appropriations from FY 97 Surplus - (B)								
-(L) The sum of $9,000,000 is appropriated to the State Employees Health Service Cost account, from the General Fund, for FY '97 for M.D. Health Plan. Payment of these funds shall not be made by the State Comptroller until the completion of an audit which determines the amount owed by the State to M.D. Health Plan. PA 97-11, June 18, Special Session, Sec. 10, implements this appropriation.								
Carry Forward - FY 97 Surplus Appropriations	0	9,000,000	0	0	0	9,000,000	0	0
Total - Carry Forward - FY 97 Surplus Appropriations	**0**	**9,000,000**	**0**	**0**	**0**	**9,000,000**	**0**	**0**
Budget Totals - GF	**0**	**180,507,300**	**0**	**181,214,300**	**0**	**5,144,800**	**0**	**5,240,800**
Budget Totals - TF	**0**	**19,623,000**	**0**	**19,723,000**	**0**	**0**	**0**	**0**
Budget Totals - OF	**0**	**9,000,000**	**0**	**0**	**0**	**9,000,000**	**0**	**0**

[1] Funding in the amount of $8,885,000 for Other Expenses was provided in SA 97-8, the Deficiency Bill, for FY 1996-97. The funds are for a partial payment to M.D. Health Plan for claims incurred but not paid on 6/30/95. This debt occurred when the state coverage with M.D. Health Plan switched from a self-insured to an uninsured basis beginning in FY 1996. The total amount owed is subject to an audit of the plan. The current unaudited liability is estimated to be $22.3 million. This deficiency appropriation was excluded from the "Estimated 1996-97" column.

Retired State Employees Health Service Cost
9933

		Actual Expenditure 1995-96	Estimated Expenditure 1996-97	Governor's Recommended 1997-98	Governor's Recommended 1998-99	Appropriated 1997-98	Appropriated 1998-99
	OPERATING BUDGET						
	Appropriated Funds						
	General Fund						
002	Other Expenses	84,229,003	95,200,000	90,850,000	94,150,000	93,550,000	96,850,000
	Agency Total - General Fund[1]	**84,229,003**	**95,200,000**	**90,850,000**	**94,150,000**	**93,550,000**	**96,850,000**
	Agency Total - Appropriated Funds	**84,229,003**	**95,200,000**	**90,850,000**	**94,150,000**	**93,550,000**	**96,850,000**
	Agency Grand Total	**84,229,003**	**95,200,000**	**90,850,000**	**94,150,000**	**93,550,000**	**96,850,000**
	BUDGET BY PROGRAM						
	Retired State Employees Health Service Cost						
	General Fund						
	Other Expenses	84,229,003	95,200,000	90,850,000	94,150,000	93,550,000	96,850,000
	Agency Grand Total	**84,229,003**	**95,200,000**	**90,850,000**	**94,150,000**	**93,550,000**	**96,850,000**

BUDGET CHANGES

	Legislative 98 Pos.	Legislative 98 Amount	Legislative 99 Pos.	Legislative 99 Amount	Diff. from Gov. 97-98 Pos.	Diff. from Gov. 97-98 Amount	Diff. from Gov. 98-99 Pos.	Diff. from Gov. 98-99 Amount
FY97 Governor's Estimated Expenditure - GF	**0**	**95,200,000**	**0**	**95,200,000**	**0**	**0**	**0**	**0**
Inflation and Other Non-Program Changes - (B)								
Other Expenses	0	-5,950,000	0	-2,650,000	0	0	0	0
Total - General Fund	**0**	**-5,950,000**	**0**	**-2,650,000**	**0**	**0**	**0**	**0**
Increase Funds as a Result of SEBAC V - (B)								
-(G) Funds are provided to reflect changes made under the SEBAC V agreement.								
-(L) Additional funds in the amount of $2.7 million are provided to reflect revised costs associated with the coverage of current retirees under the SEBAC V agreement.								
Other Expenses	0	4,300,000	0	4,300,000	0	2,700,000	0	2,700,000
Total - General Fund	**0**	**4,300,000**	**0**	**4,300,000**	**0**	**2,700,000**	**0**	**2,700,000**
Budget Totals - GF	**0**	**93,550,000**	**0**	**96,850,000**	**0**	**2,700,000**	**0**	**2,700,000**

[1] Funding in the amount of $5,000,000 for Other Expenses was provided in SA 97-8, the Deficiency Bill, for FY 1996-97. These funds were required because a premium rate calculation error made by the Office of the State Comptroller resulted in an underpayment to Blue Cross/Blue Shield in FY '96 and FY '97. This deficiency appropriation was excluded from the "Estimated 1996-97" column.

Section III

CAPITAL BUDGET (Bonding)

Summary of Capital Budget - Net of Reductions	649
FY 98 & FY 99 Capital Budget Summary	650
FY 98 & FY 99 Capital Budget	651
Bond Authorizations Available for Allocation in 1997-99	670

SUMMARY OF CAPITAL BUDGET FY 81 - FY 97
NET OF REDUCTIONS

Fiscal Year	General Obligation Bonds	Special Tax Bonds	Revenue Bonds	UConn Bonds	Total
1980-81	$89,515,000	$0	$100,000,000	$0	$189,515,000
1981-82	227,212,000	0	0	0	227,212,000
1982-83	186,501,642	0	0	0	186,501,642
1983-84	318,710,000	0	0	0	318,710,000
1984-85	208,655,000	198,110,000	0	0	406,765,000
1985-86	226,532,500	415,400,000	0	0	641,932,500
1986-87	363,141,580	278,600,000	0	0	641,741,580
1987-88	540,520,810	344,971,000	100,000,000	0	985,491,810
1988-89	777,236,986	429,760,000	0	0	1,206,996,986
1989-90	887,215,672	655,390,000	0	0	1,542,605,672
1990-91	1,155,156,004	451,265,000	100,000,000	0	1,706,421,004
1991-92	590,943,684	419,465,000	104,000,000	0	1,114,408,684
1992-93	616,187,483	244,135,000	30,000,000	0	890,322,483
1993-94	1,045,791,809	172,267,104	93,800,000	0	1,311,858,913
1994-95	766,636,562	190,575,000	43,100,000	0	1,000,311,562
1995-96	209,833,857	173,150,000	125,400,000	112,542,000	620,925,857
1996-97	545,803,268	189,800,000	41,000,000	112,542,000	620,925,857
1997-98	558,891,555	144,825,000	151,300,000	93,146,000	948,162,555

FY 98 & FY 99 CAPITAL BUDGET SUMMARY

Bill	Act		FY 97-98 Authorizations	FY 98-99 Authorizations
HB 7501	SA 97-1* June 5 Special Session	Authorizations	$304,109,293	$215,534,000
		Reductions	(95,144,638)	0
		Net	$208,964,655	$215,534,000
HB 7502	PA 97-1 June 5 Special Session	Authorizations	$164,400,000	$115,200,000
		Reductions	(1,104,400)	0
		Net	$163,295,600	$115,200,000
HB 7503	PA 97-2 June 5 Special Session	Authorizations	$144,825,000	$186,500,000
sSB 1050	PA 97-5	Authorizations	$9,400,000	0
sHB 5461	PA 97-259	Authorizations	$750,000	0
sHB 6707	PA 97-265	Authorizations	$176,750,000	$189,810,000
sHB 7029	PA 97-9**	Authorizations	0	0
sHB 6785	PA 95-230***	Authorizations	$93,146,000	$64,311,000
		Total Authorizations	$893,380,293	$771,355,000
		Total Reductions	(96,249,038)	0
		Net Total	$797,131,255	$771,355,000

*Total appropriations do not include Department of Environmental Protection/Clean Water Fund bonds.

** PA 97-9 permits the DOT to use approximately $11.3 million in previously authorized and allocated Special Transportation Fund General Obligation bonds, for infrastructure projects.

***PA 95-230 contains annual General Fund bond authorizations for the University of Connecticut's infrastructure renewal program (UCONN 2000), from FY 1995-96 to FY 2004-05.

FY 98 & 99 CAPITAL BUDGET

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			Legislative Management			
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	216	-	Add PA 96-181 2(f): State Capitol and Legislative Office Building and related structures, site and facility improvements. (Sec. 48 reduces a 1987 authorization of $1,945,190 by $185,200 for this purpose.)	1,759,990	185,200	-
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	48	-	State capitol and legislative office building and related structures, site and facility improvements (SA 87-77 2(a)(1))	1,945,190	(185,200)	-
			Secretary of the State			
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	2(a)	21(a)	Development and implementation of statewide automated voter registration system	2,954,000	900,000	750,000
			Office of Policy and Management			
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	2(b)(1)	-	Long-range capital planning and space utilization studies	-	2,000,000	-
SA 97-1 (J5 SS)	2(b)(2)	-	Purchase and/or upgrade of equipment for the century date change and related costs	-	15,000,000	-
SA 97-1 (J5 SS)	2(b)(3)	21(b)	Development of an offender based tracking system for use by state and local criminal justice agencies	11,150,000	3,825,000	3,000,000
SA 97-1 (J5 SS)	13(a)	-	Grants-in-aid to municipalities for development of a computer assisted mass appraisal system	2,545,000	313,000	-
PA 97-1 (J5 SS)	2(b)(6)(B)	2(b)(6)(B)	Grants-in-aid to municipalities, municipal entities or non-profit organizations for urban development projects including housing, economic development, public safety, environmental protection, transportation and social service projects and programs	170,300,000	50,000,000	50,000,000
PA 97-1 (J5 SS)	3(a)	3(a)	Capital Equipment Purchase Fund	114,500,000	16,200,000	10,800,000
PA 97-1 (J5 SS)	8	8	Grants-in-aid for local capital improvement projects (LOCIP)	290,000,000	30,000,000	30,000,000
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	179	-	Purchase of emission reduction credits (PA 94-2 MSS 17(a)(1))	1,000,000	(500,000)	-
SA 97-1 (J5 SS)	183	-	Assets management and related costs (SA 95-20 2(a)(1))	250,000	(250,000)	-
SA 97-1 (J5 SS)	199	-	Assets management and related costs (SA 95-20 22(a)(1))	250,000	(250,000)	-
			Department of Veterans' Affairs			
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	2(c)	21(c)	Repairs and improvements to buildings and grounds	-	1,000,000	500,000
			1997 Language Changes			
SA 97-1 (J5 SS)	59	-	Long-range capital planning and space utilization studies AND FOR VARIOUS IMPROVEMENTS AND RENOVATIONS TO BUILDINGS AND GROUNDS (SA 88-77 2(d))	100,000	-	-

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
SA 97-1 (J5 SS)	100	-	[Long-range capital planning] RENOVATIONS AND IMPROVEMENTS TO BUILDINGS AND GROUNDS (SA 90-34 2(b))	25,000	-	-
			Department of Public Works			
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	2(d)(1)	21(d)(1)	Removal or encapsulation of asbestos in state-owned buildings	88,000,000	5,000,000	5,000,000
SA 97-1 (J5 SS)	2(d)(2)	21(d)(2)	Infrastructure repairs and improvements, including fire, safety and compliance with Americans with Disabilities Act improvements to state-owned buildings and grounds including energy conservation and preservation of unoccupied buildings	92,825,000	10,000,000	10,000,000
SA 97-1 (J5 SS)	2(d)(3)	21(d)(3)	Removal or replacement of underground storage tanks	35,500,000	12,000,000	4,000,000
SA 97-1 (J5 SS)	2(d)(4)	21(d)(4)	Site remediation, asbestos removal or abatement and other costs associated with the reuse or closure of Norwich Hospital	-	2,000,000	2,000,000
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	101	-	At the University of Connecticut, Storrs: Construction of an access road and utility improvements in the area of The University of Connecticut Educational Properties, Inc. development (SA 90-34 2(c)(6))	4,240,000	(3,150,000)	-
SA 97-1 (J5 SS)	120	-	Development of state-owned office facilities through land acquisition of land and/or buildings (SA 91-7 JSS 2(b)(4))	20,000,000	(291,823)	-
SA 97-1 (J5 SS)	123	-	Planning for alterations and improvements to the White Building including new equipment (SA 91-7 JSS 2(h)(2)(A))	370,000	(156,261)	-
SA 97-1 (J5 SS)	130	-	Development of state-owned office facilities, including acquisition of land or buildings or both (SA 92-3 MSS 2(c)(1))	45,200,000	(50,774)	-
SA 97-1 (J5 SS)	131	-	Development of a governmental office center, New Britain (SA 92-3 MSS 2(c)(4))	1,000,000	(1,000,000)	-
SA 97-1 (J5 SS)	142	-	Access road and related improvements to proposed Connecticut	360,000	(360,000)	-
			1997 Language Changes			
SA 97-1 (J5 SS)	141	-	Development of state-owned office facilities [through] INCLUDING land and/or building acquisition (SA 93-2 JSS 2(b)(1))	30,100,000	-	-
SA 97-1 (J5 SS)	153	-	Development of state-owned office facilities [through] INCLUDING land and/or building acquisition, including at least $2,200,000 for the development of a new facility for Charter Oak State College (SA 93-2 JSS 30(a)(1))	68,100,000	-	-
			Department of Public Safety			
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	-	21(e)(1)	Alterations and improvements to buildings and grounds, including utilities, mechanical systems, energy conservation and removal and replacement of fuel storage tanks	9,000,000	-	500,000
SA 97-1 (J5 SS)	2(e)	21(e)(2)	Statewide telecommunications system including two-way radio system, land acquisition, site improvement, construction and equipment, including communications command center	68,192,000	8,500,000	5,900,000

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
SA 97-1 (J5 SS)	200	-	Increase in SA 95-20 22(d)(1): Statewide telecommunications system including two-way radio system, land acquisition, site improvement, construction and equipment, including communications command center. (Sec. 82 reduces a 1989 authorization of $19,100,000 by $2,029,680 for this purpose.)	same as above	2,029,680	-
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	82	-	Statewide telecommunications system including two-way radio system, land acquisition, site improvement, construction and equipment, including communications command center (SA 89-52 2(c)(1))	19,100,000	(2,029,680)	-
SA 97-1 (J5 SS)	185	-	Renovations and improvements to telephone systems, including new equipment (SA 95-20 2(d)(4))	875,000	(155,710)	-
SA 97-1 (J5 SS)	186	-	Alterations and improvements to facilities for the removal and disposal lead (SA 95-20 2(d)(7))	50,000	(50,000)	-
SA 97-1 (J5 SS)	187	-	Improvements to E-911 systems at various barracks facilities (SA 95-20 2(d)(8))	605,000	(205,000)	-
			1997 Language Changes			
SA 97-1 (J5 SS)	154	-	Alterations, renovations and improvements to State Police Training Academy Buildings at [the Mulcahy Complex] PRESTON AVENUE, Meriden (SA 93-2 JSS 30(b)(3))	800,000	-	-
SA 97-1 (J5 SS)	184	-	Planning for renovations and improvements for an Eastern District Headquarters [at Mansfield Training School] for an Eastern District Headquarters facility SA 95-20 2(d)(3)	180,000	-	-
SA 97-1 (J5 SS)	201	-	Eastern District Headquarters Facility: Renovations and improvements to a building [at Mansfield Training School] SA 95-20 22(d)(3)	1,630,000	-	-
			Department of Motor Vehicles			
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	202	-	Increase in SA 95-20 22(e): Renovations and improvements to the headquarters building, Wethersfield	3,000,000	3,100,000	-
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	60	-	Planning, design, land and/or building acquisition, construction or improvements to motor vehicle facilities (SA 88-77 2(f))	11,000,000	(1,346,825)	-
			Military Department			
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	2(f)(1)	21(f)(1)	State matching funds for anticipated federal reimbursable projects	3,837,674	300,000	300,000
SA 97-1 (J5 SS)	2(f)(2)	21(f)(2)	Improvements, alterations and renovations to buildings, including site improvements and exterior building repairs	3,350,000	500,000	500,000
SA 97-1 (J5 SS)	2(f)(3)	-	Alterations, renovations and improvements to buildings and grounds at Camp Rowland, including new construction	-	6,500,000	-
SA 97-1 (J5 SS)	2(f)(4)	21(f)(3)	Improvements to and replacement of roofs at various National Guard armories throughout the state	-	250,000	250,000

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	155	-	Renovate aviation buildings for military use at Bradley International Airport (SA 93-2 JSS 30(c)(3))	150,000	(150,000)	-
SA 97-1 (J5 SS)	213	-	Planning for various alterations, improvements and expansion at Camp Hartell, Windsor Locks (PA 96-181 2(a))	1,400,000	(1,000,000)	-
			1997 Language Changes			
SA 97-1 (J5 SS)	121	-	Camp [Weicker] ROWLAND: Two consolidated barracks facilities AND/OR AIR NATIONAL GUARD FACILITY, GROTON (SA 91-7 JSS 2(c)(1))	2,242,150	-	-
SA 97-1 (J5 SS)	122	-	Camp [Weicker] ROWLAND: General purpose buildings and maintenance supply building AND/OR AIR NATIONAL GUARD FACILITY, GROTON (SA 91-7 JSS 2(c)(2))	379,000	-	-
			Department of Agriculture			
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	13(b)	32(a)	State matching grants-in-aid to farmers for environmental compliance, including waste management facilities, compost, soil and erosion control, pesticide reduction, storage and disposal	1,000,000	400,000	400,000
PA 97-1 (J5 SS)	14	14	Farm land preservation	78,250,000	1,000,000	1,000,000
			Department of Environmental Protection			
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	2(g)(1)	21(g)(1)	Recreation and national heritage trust program for recreation, open space, resource protection and resource management	71,870,012	1,000,000	1,000,000
SA 97-1 (J5 SS)	2(g)(2)	21(g)(2)	Modernization and improvements to state-owned recreational and conservation areas, including code improvements and renovations to and replacement of departmental-owned bridges	26,512,342	500,000	500,000
SA 97-1 (J5 SS)	2(g)(3)	21(g)(3)	Various flood control improvements, flood repair, erosion damage repairs and municipal dam repairs	26,106,063	1,000,000	1,000,000
SA 97-1 (J5 SS)	2(g)(4)	21(g)(4)	Dam repairs, including state-owned dams	27,248,260	3,000,000	3,000,000
SA 97-1 (J5 SS)	2(g)(5)	21(g)(5)	Americans with Disabilities Act improvements at state recreation areas	4,000,000	500,000	500,000
SA 97-1 (J5 SS)	2(g)(6)	21(g)(6)	Alterations, renovations and new construction at state parks and other recreation facilities	18,270,000	1,500,000	1,500,000
SA 97-1 (J5 SS)	2(g)(7)	-	Alterations, repairs and improvements to state-owned facilities for the installation of or upgrade to sewage treatment facilities, including septic systems	-	3,000,000	-
SA 97-1 (J5 SS)	2(g)(8)	-	Improvements to sewer systems at Sherwood State Park, including the connection to the municipal system	3,000,000	2,500,000	-
SA 97-1 (J5 SS)	214	-	Add PA 96-181 2(b)(3): Island Brook Flood Control project in Bridgeport (Sec. 51 reduces a 1987 authorization of $5,800,000 by $4,597,583 for this purpose.)	1,202,417	4,597,583	-
SA 97-1 (J5 SS)	214	-	Add PA 96-181 2(b)(4): East-West Connector Road and state park development, Milford (Sec. 63 reduces a 1988 authorization of $2,000,000 by $1,752,000 for this purpose.)	248,000	1,752,000	-

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
SA 97-1 (J5 SS)	13(c)(1)	32(b)(1)	Grants-in-aid to state agencies, regional planning agencies and municipalities for water pollution control projects	35,000,000	3,000,000	4,000,000
SA 97-1 (J5 SS)	13(c)(2)	32(b)(2)	Grants-in-aid to municipalities for the purpose of providing potable water	15,750,000	1,000,000	2,000,000
SA 97-1 (J5 SS)	13(c)(3)	32(b)(3)	Containment, removal or mitigation of identified hazardous waste disposal sites	42,000,000	5,000,000	5,000,000
SA 97-1 (J5 SS)	-	32(b)(4)	Grants-in-aid to municipalities for acquisition and development of open space for conservation or recreation purposes	36,495,250	-	500,000
SA 97-1 (J5 SS)	13(c)(4)	32(b)(5)	Grants-in-aid to municipalities for acquisition of land, for public parks, recreational and water quality improvements, water mains and water pollution control facilities, including sewer projects	12,400,000	8,000,000	8,000,000
SA 97-1 (J5 SS)	13(c)(5)	-	Identification, investigation, containment, removal or mitigation of contaminated industrial sites in urban areas	25,000,000	500,000	-
SA 97-1 (J5 SS)	13(c)(6)	-	Grants-in-aid to municipalities for landfill closure and related expenses	-	6,000,000	-
PA 97-1 (J5 SS)	15	15	Grants-in-aid under the Clean Water Fund (General Fund GO Bonds)	576,330,000	45,000,000	14,000,000
PA 97-1 (J5 SS)	16	16	Low interest revolving fund loans under the Clean Water Fund (Revenue Bonds)	633,300,000	151,300,000	83,300,000
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	40	-	Dam repairs including state-owned dams (SA 84-54 2(d)(3))	4,000,000	(31,386)	-
SA 97-1 (J5 SS)	49	-	Various improvements including bathhouse at Sherwood Island State Park (SA 87-77 2(d)(5))	1,150,000	(294,986)	-
SA 97-1 (J5 SS)	50	-	At West Rock Ridge State Park, land acquisition (SA 87-77 2(d)(5))	1,500,000	(744,400)	-
SA 97-1 (J5 SS)	51	-	Island Brook flood control project in Bridgeport (SA 87-77 2(d)(27))	5,800,000	(4,597,583)	-
SA 97-1 (J5 SS)	61	-	Dam repairs including state-owned dams (SA 88-77 2(j)(2))	1,000,000	(9,328)	-
SA 97-1 (J5 SS)	62	-	Sewer connection at Sherwood Island Park (SA 88-77 2(j)(5))	3,000,000	(2,431,500)	-
SA 97-1 (J5 SS)	63	-	East-west connector road and state park development, Silver Sands State Park, Milford (SA 88-77 2(j)(7))	2,000,000	(1,752,000)	-
SA 97-1 (J5 SS)	64	-	Farm Brook flood control project in Hamden, land acquisition and construction related to brook diversion (SA 88-77 2(j)(11))	100,000	(67,300)	-
SA 97-1 (J5 SS)	83	-	Various flood control and shore and erosion control projects (SA 89-52 2(g)(4))	1,200,000	(1,358)	-
SA 97-1 (J5 SS)	84	-	The application for permits associated with dredging of the Salmon River or the purchase of a hydraulic dredge for use on the Salmon River (SA 89-52 2(g)(16))	200,000	(150,000)	-
SA 97-1 (J5 SS)	85	-	Development of Windsor Locks Canal Park, including land acquisition (SA 89-52 2(g)(18))	2,500,000	(2,153,500)	-
SA 97-1 (J5 SS)	97	-	Grant-in-aid to the town and city of New Haven for park development or acquisition of abandoned rights-of-way on the Farmington Canal Line (SA 89-52 23(a)(10))	125,000	(125,000)	-

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
SA 97-1 (J5 SS)	110	-	Grant-in-aid to the town of Hamden for storm drainage and flood control at Colonial Drive (SA 90-34 23(d)(20))	150,000	(150,000)	-
SA 97-1 (J5 SS)	111	-	Grant-in-aid to the town and city of Derby for renovations to the green (SA 90-34 23(d)(40))	300,000	(300,000)	-
SA 97-1 (J5 SS)	132	-	Land acquisition for development of a Scantic River State Park (SA 92-3 MSS 2(g)(6))	400,000	(95,000)	-
			Connecticut Historical Commission			
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	13(d)	32(c)	Grants-in-aid for the restoration and preservation of historic structures and landmarks	600,000	150,000	150,000
			Department of Economic and Community Development			
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	9	28	Housing development and rehabilitation, including moderate cost housing, moderate rental, congregate and elderly housing, urban homesteading, community housing development corporations, housing purchase and rehabilitation (no more than $10 million of the total), housing for the homeless, housing for low income persons, limited equity cooperatives and mutual housing projects, removal of hazardous materials including asbestos and lead-based paint in residential structures (no more than $2,500,000 of the total), emergency repair assistance for senior citizens, housing land bank and land trust, housing and community development, predevelopment grants and loans, reimbursement for state and federal surplus property, private rental investment mortgage and equity program, housing infrastructure, septic system repair loan program, acquisition and related rehabilitation and participation in federal programs, including administrative expenses those programs eligible under the general statutes	665,900,000	18,000,000	15,000,000
PA 97-1 (J5 SS)	2(b)(1)	2(b)(1)	Economic and community development projects	58,500,000	4,400,000	4,400,000
PA 97-1 (J5 SS)	18	18	Economic Assistance Revolving Loan Fund	302,500,000	17,800,000	5,000,000
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	112	-	Grant-in-aid to the Town of East Hartford for development of Bicentennial Square Park, a veterans memorial, and other economic development projects (SA 90-34 23(e)(4))	600,000	(50,000)	-
SA 97-1 (J5 SS)	113	-	Grant-in-aid to the town and city of New Haven for improvement and expansion of the Long Wharf Visitors and Information Center (SA 90-34 23(e)(15))	100,000	(100,000)	-
SA 97-1 (J5 SS)	114	-	Grant-in-aid to the town and city of Hartford for land acquisition and development of a Maria Sanchez Memorial (SA 90-34 23(e)(19))	50,000	(50,000)	-
SA 97-1 (J5 SS)	115	-	Grant-in-aid to the town and city of Waterbury for land acquisition and construction of public parking and related infrastructure improvements at North Square (SA 90-34 23(e)(26))	650,000	(650,000)	-
SA 97-1 (J5 SS)	116	-	Grant-in-aid to the town and city of New Haven for vocational training for project More (SA 90-34 23(e)(32))	400,000	(400,000)	-
SA 97-1 (J5 SS)	148	-	Grant-in-aid for UCEP Inc. for development of a building for the research park (SA 93-2 JSS 22(c)(3))	8,900,000	(8,554,662)	-

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
SA 97-1 (J5 SS)	168		Housing development and rehabilitation, including moderate cost housing , moderate rental, congregate and elderly housing, urban homesteading, community housing development corporations, housing purchase and rehabilitation, housing for the homeless, housing for low income persons, limited equity cooperatives and mutual housing projects, removal of hazardous materials including asbestos and lead-based paint in residential structures (at least $5 million of the total for grants and loans, including technical assistance, for a lead-based paint abatement program, including acquisition and related costs of lead-free housing), emergency repair assistance for senior citizens, housing land bank and land trust, housing and community development, predevelopment grants and loans, reimbursement for state and federal surplus property, private rental investment mortgage and equity program, construction, acquisition and related rehabilitation, funding under various programs with respect to projects of the Corporation for Supportive Housing (at least $10 million of the total), funding under various federal programs, together with administrative expenses of the Department of Economic and Community Development associated with those programs that are eligible under the general statutes (SA 93-2 JSS 37)	36,000,000	(22,494)	-
			1997 Language Changes			
SA 97-1 (J5 SS)	194		Housing development and rehabilitation, including moderate cost housing , moderate rental, congregate and elderly housing, urban homesteading, community housing development corporations, housing purchase and rehabilitation (no more than $10,000,000 of the total), housing for the homeless, housing for low income persons, limited equity cooperatives and mutual housing projects, removal and abatement of hazardous material including asbestos and lead-based paint in residential structures, emergency repair assistance for senior citizens, housing land bank and land trust, housing and community development, [(at least $1,000,000 for accessible housing in accordance with sections 8-218 and 8-218a of the general statutes),] predevelopment grants and loans, reimbursement for state and federal surplus property, private rental investment mortgage and equity program, housing infrastructure, septic system repair loan program, construction, acquisition and related rehabilitation and participation in federal programs, including administrative expenses associated with those programs that are eligible under the general statutes (SA 95-209)	50,000,000	-	-
			Department of Public Health			
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	13(f)	-	Grants-in-aid to community health centers and primary care organizations for renovations, improvements and the purchase of equipment	9,500,000	1,000,000	-
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	57	-	Grant-in-aid to the Hill Health Center in New Haven for repair and improvement of facilities (SA 87-77 23(g)(2))	250,000	(250,000)	-
SA 97-1 (J5 SS)	80	-	Grant-in-aid to the Hill Health Center in New Haven for repair and improvement of facilities (SA 88-77 23(g))	250,000	(250,000)	-
SA 97-1 (J5 SS)	98	-	Grant-in-aid to Hill Health Center in New Haven for repair and improvements of facilities (SA 89-52 23(k))	1,500,000	(419,039)	-
			1997 Language Changes			
SA 97-1 (J5 SS)	181	-	Notwithstanding the provisions of section 3-20 of the general statutes, a grant-in-aid may be made for the Saint Jude's Congregate Elderly Housing Project in Norwich from funds authorized under section 37 of special act 93-2 of the June special session, in an amount not exceeding $150,000, INCLUDING ANY ACCRUED INTEREST, not withstanding the fact that funds have	150,000	-	-

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			been committed or expended prior to the authorization of such funds by the State Bond Commission (PA 94-2 MSS 180)			
			Department of Mental Retardation			
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	2(h)(1)	-	Additions, alterations, renovations and improvements to buildings and grounds, including utilities and mechanical systems, code compliance and energy conservation projects	23,140,000	4,000,000	-
SA 97-1 (J5 SS)	2(h)(2)	-	Land acquisition, construction or purchase of specialized group homes statewide	10,034,591	1,365,000	-
SA 97-1 (J5 SS)	157	-	Increase to SA 93-2 JSS 30(e)(2): Southbury Training School - Additions, alterations, renovations and improvements to buildings and grounds, including utilities and mechanical systems, code compliance and energy conservation. (Secs. 86 and 103 reduce 1989 and 1990 authorizations totaling $7,598,000 by $2,309,514 for these purposes.)	5,288,486	2,310,000	-
SA 97-1 (J5 SS)	203	-	Increase in SA 95-20 22(i)(2): Fire, safety and environmental improvements to statewide regional facilities for client and staff needs, including improvements in compliance with current codes, including intermediate care facility standards, site improvements, handicapped access improvements, utilities, repair or replacement of roofs, air conditioning and other interior and exterior building renovations (Secs. 102 and 156 reduce 1990 and 1993 authorizations totaling $4,410,000 by $181,143 for these purposes.)	4,228,857	182,000	-
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	65	-	At Southbury Training School: Replacement of hot water storage tanks at all cottages, improvements to the power plant system, renovations to two existing storage areas in accordance current codes, including intermediate care facility standards (SA 88-77 2(m)(2))	1,000,000	(81,000)	-
SA 97-1 (J5 SS)	86	-	At Southbury Training School: Renovate cottage 35 for interim living space, renovate cottage 31 in accordance with current codes, design renovations for two other cottages, renovate bathrooms at Roselle School for handicapped accessibility, and air conditioning and utility improvements at various buildings (SA 89-52 2(i)(2))	3,380,000	(1,285,200)	-
SA 97-1 (J5 SS)	102	-	Fire, safety and environmental improvements, including improvements in compliance with current codes, including intermediate care facility standards, site improvements, handicapped access improvements, utilities, repair or replacement of roofs, air conditioning and other interior and exterior building renovations at all state-facilities (SA 90-34 2(i)(1))	3,060,000	(15,200)	-
SA 97-1 (J5 SS)	103	-	At Southbury Training School: Renovate cottages 4 and 21, install elevator and replace front steps at Roselle School, repair entrance to Health Center, phase II air conditioning of client- occupied buildings and cottages, replace heating systems in cottages 40, 41 and 42, repair roof on administration building, repairs to water and sewer mains and sewage treatment plant, replace windows in cottages 18 and 34, and parking lot and powerhouse improvements (SA 90-34 2(i)(2))	4,218,000	(1,024,314)	-
SA 97-1 (J5 SS)	156	-	Fire, safety and environmental improvements including improvements in compliance with current codes, including intermediate care facility standards, site improvements, handicapped access improvements, utilities, repair or replacement of roofs, air conditioning, and other interior and exterior building renovations and additions at all state-owned facilities (SA 93-2 JSS 30(e)(1))	1,350,000	(165,943)	-

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			Department of Mental Health and Addiction Services			
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	2(i)(1)	21(h)(1)	Fire, safety and environmental improvements, including improvements in compliance with current codes, site improvements, repair and replacement of roofs and other exterior and interior building renovations	70,320,000	5,000,000	4,000,000
SA 97-1 (J5 SS)	2(i)(2)	21(h)(2)	Alterations, renovations, additions and improvements, including new construction in accordance with the Department of Mental Health and Additions Services master campus plan	18,605,000	11,100,000	4,000,000
SA 97-1 (J5 SS)	158	-	Increase in SA 93-2 JSS 30(f)(2): Air conditioning of various patient occupied and patient-related areas at various facilities (Secs. 104, 133 and 143 reduce 1990, 1992 and 1993 authorizations totaling $11,132,000 by $3,671,167 for this purpose.)	7,460,833	3,675,000	-
SA 97-1 (J5 SS)	204	-	Increase in SA 95-20 22(j)(1): Fire, safety and environmental improvements in compliance with current codes, site improvements, repair and replacement of roofs and other interior and exterior building renovations	5,500,000	2,268,000	-
SA 97-1 (J5 SS)	205	-	Increase in SA 95-20 22(j)(2): Alterations, renovations, additions and improvements, including new construction in accordance with the departmental master campus plan, [including new or replacement facility for the F.S. DuBois Center, Stamford,] (Secs. 159 and 188 reduce 1993 and 1995 authorizations totaling by $12,765,000 by $2,415,142 for this purpose.)	10,349,858	6,666,000	-
SA 97-1 (J5 SS)	176	-	Increase in SA 93-2 JSS 50(f)(2): Grants-in-aid to private non-profit organizations for new or expanded community residential out-patient [alcohol and drug abuse treatment] facilities for capital costs related to start-up (Sec. 151 reduces a 1993 authorization of $500,000 by $311,250 for this purpose.)	188,750	311,250	-
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	66	-	Planning for renovations, repairs and alterations to building for use by Berkshire Chemical Dependence Treatment Center, Fairfield Hills Hospital (SA 88-77 2(o)(3))	245,000	(245,000)	-
SA 97-1 (J5 SS)	67	-	At Blue Hills Hospital: Planning for additional program and recreational space (SA 88-77 2(o)(4))	340,500	(340,500)	-
SA 97-1 (J5 SS)	87	-	Fire, safety and environmental improvements, including improvements in compliance with current codes, air conditioning, site improvements, repair and replacement of roofs, and other exterior building renovations (SA 89-52 2(k)(1))	2,000,000	(18,080)	-
SA 97-1 (J5 SS)	88	-	At Fairfield Hills Hospital: Renovations, repairs and alterations for use by the Berkshire Woods Chemical Dependence Treatment Center (SA 89-52 2(k)(2))	2,280,000	(1,685,000)	-
SA 97-1 (J5 SS)	104	-	Air conditioning of various patient-occupied and patient-related areas in buildings at various facilities (SA 90-34 2(j)(2))	3,252,000	(72,779)	-
SA 97-1 (J5 SS)	105	-	Planning and design for repair, renovations, additions, and improvements, including new construction in accordance with campus master plans (SA 90-34 2(j)(3))	3,000,000	(3,000,000)	-
SA 97-1 (J5 SS)	133	-	Air conditioning of various patient-occupied and patient-related areas in buildings at various facilities (SA 92-3 MSS 2(i)(2))	1,880,000	(934,800)	-
SA 97-1 (J5 SS)	143	-	Air conditioning of various patient-occupied and patient-related areas in buildings at various facilities (SA 93-2 JSS 2(j)(2))	6,000,000	(2,663,588)	-

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
SA 97-1 (J5 SS)	144	-	Preservation of unoccupied departmental facilities or demolition in accordance with the Department of Mental Health Master Campus Plan (SA 93-2 JSS 2(j)(4))	350,000	(350,000)	-
SA 97-1 (J5 SS)	151	-	Grants-in-aid to private nonprofit organizations for new or expanded residential or out-patient alcohol & drug abuse treatment facilities for capital costs related to start-up (SA 93-2 JSS 22(g)(2))	500,000	(311,250)	-
SA 97-1 (J5 SS)	159	-	Planning and design for repairs, renovations, additions and improvements, including new construction in accordance with the campus master plan, including a new or replacement facility for the F. S. DuBois Center, Stamford (SA 93-2 JSS 30(f)(3))	10,000,000	(1,150,142)	-
SA 97-1 (J5 SS)	160	-	Preservation of unoccupied departmental facilities or demolition in accordance with the Master Campus Plan (SA 93-2 JSS 30(f)(5))	350,000	(350,000)	-
SA 97-1 (J5 SS)	188	-	Alterations, renovations, additions and improvements, including new construction in accordance with the departmental master plan for the F.S. DuBois Center, Stamford (SA 95-20 2(j)(2))	2,765,000	(1,265,000)	-
SA 97-1 (J5 SS)	189	-	Preservation of unoccupied departmental facilities or demolition in accordance with the departmental master campus plan (SA 95-20 2(j)(4))	1,500,000	(1,500,000)	-
			1997 Language Changes			
SA 97-1 (J5 SS)	217	-	Liens obligated from grants-in-aid through the Department of Mental Health and Addiction Services to Regional Network of programs of Bridgeport for renovations and repairs to 163 Maple Street in Bridgeport from SA 85-102; SA 86-54 and SA 87-77 in the amount of $33,295.70 shall be transferred to a replacement property at 74 Huntington Avenue in Bridgeport, owned by Regional Network of Programs of Bridgeport (SA 86-54 & SA 87-77)	33,296	-	-
			Department of Transportation			
			1997 Bond Authorizations			
			Bureau of Engineering and Highway Operations			
PA 97-2 (J5 SS)	2(a)(1)	8(a)(1)	Interstate Highway Program	188,550,000	11,500,000	11,500,000
PA 97-2 (J5 SS)	2(a)(2)	8(a)(2)	Urban Systems Projects	37,700,000	12,000,000	12,000,000
PA 97-2 (J5 SS)	2(a)(3)	8(a)(3)	Intrastate Highway Program	482,050,000	31,500,000	31,500,000
PA 97-2 (J5 SS)	2(a)(4)	8(a)(4)	Soil, water supply and groundwater remediation at or in the vicinity of various maintenance facilities and former disposal areas	104,355,700	6,000,000	6,000,000
PA 97-2 (J5 SS)	2(a)(5)	8(a)(5)	State bridge improvement, rehabilitation and replacement projects	1,334,600,000	20,000,000	20,000,000
PA 97-2 (J5 SS)	13	18	Capital resurfacing and related reconstruction projects	387,300,000	9,000,000	49,000,000
			Bureau of Aviation and Ports			
PA 97-2 (J5 SS)	2(b)(1)	8(b)(1)	Reconstruction and improvements to the warehouse and State Pier, New London including site improvements and improvements to ferry slips	17,316,000	200,000	300,000

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
PA 97-2 (J5 SS)	2(b)(2)	8(b)(2)	Development and improvements of general aviation airport facilities including grants-in-aid to municipal airports (excluding Bradley International Airport)	20,364,000	5,000,000	2,000,000
			Bureau of Public Transportation			
PA 97-2 (J5 SS)	2(c)	8(c)	Bus and rail facilities and equipment, including rights-of-way, other property acquisition and related projects	475,260,000	34,000,000	34,000,000
PA 97-2 (J5 SS)	2(d)	8(d)	Cost of issuance of Special Tax Obligation Bonds and Debt Service Reserve	-	15,625,000	20,200,000
			Department of Social Services			
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	13(g)	32(d)	Grants-in-aid for neighborhood facilities, child day care projects, elderly centers, multipurpose human resource centers, shelter facilities for victims of domestic violence, emergency shelters for the homeless, and food distribution facilities	34,325,000	4,000,000	4,000,000
PA 97-259	17	-	Child Care Facilities Direct Revolving Loan Fund	-	750,000	-
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	77	-	Grants-in-aid for neighborhood facilities, child day care projects, elderly centers, multipurpose human resource centers, shelter facilities for victims of domestic violence and emergency shelters and related facilities for the homeless (SA 88-77 23(c)(1))	4,000,000	(4,479)	-
SA 97-1 (J5 SS)	117	-	Grant-in-aid to the town and city of New Haven for a multi--service community center in the Hill section (SA 90-34 23(i)(25))	200,000	(200,000)	-
SA 97-1 (J5 SS)	128	-	Grants-in-aid for neighborhood facilities, child day care projects, elderly centers, multipurpose human resource centers, shelter facilities for victims of domestic violence and emergency shelters and related facilities for the homeless (SA 91-7 JSS 23(f))	8,000,000	(2,729)	-
PA 97-1 (J5 SS)	13	-	Financial assistance to nonprofit corporations to provide housing and related facilities for persons with AIDS (CGS Sec. 17b-803)	9,100,000	(1,000,000)	-
			Department of Education			
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	2(j)(1)	21(i)(1)	Instructional technology/computer networking at all Regional Vocational-Technical Schools	1,500,000	1,000,000	1,000,000
SA 97-1 (J5 SS)	2(j)(2)	-	Fire, safety and handicapped code improvements in accordance with current codes	12,800,000	1,000,000	-
SA 97-1 (J5 SS)	2(j)(3)	21(i)(2)	Alterations and improvements to buildings and grounds, including roof replacement, utilities and mechanical systems and replacement of underground storage tanks	19,855,000	2,500,000	2,500,000
SA 97-1 (J5 SS)	2(j)(4)	21(i)(3)	Replace and update shop equipment for trades program	38,500,000	2,000,000	2,000,000
SA 97-1 (J5 SS)	2(j)(5)(A)	-	American School for the Deaf: Alterations, renovations and improvements to the Butterworth Building	-	700,000	-
SA 97-1 (J5 SS)	2(j)(5)(B)	-	American School for the Deaf: Cottage Dormitory	-	1,213,000	-
SA 97-1 (J5 SS)	-	21(i)(4)	American School for the Deaf: Various site improvements, including roads, parking, sidewalks and lighting	-	-	2,890,000

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
SA 97-1 (J5 SS)	13(h)	32(e)	Grants-in-aid to municipalities and regional school districts, and regional education service centers for technology wiring of schools (at least $3 million of the total for Bridgeport, Hartford and New Haven)	10,400,000	10,000,000	10,000,000
PA 97-265	81	81	School construction principal and interest	1,446,100,000	176,750,000	189,810,000
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	68	-	At HH Ellis Regional Vocational-Technical School in Danielson, planning and design for improvements and an addition (SA 88-77 2(q)(1)(E))	250,000	(53,550)	-
SA 97-1 (J5 SS)	106	-	Planning for handicapped access improvements (SA 90-34 2(l)(1)(B))	300,000	(300,000)	-
SA 97-1 (J5 SS)	177	-	Grant to the town and city of Hartford for the leasing of a temporary facility for the magnet Language Immersion School (SA 93-21 13)	793,000	(793,000)	-
PA 97-1 (J5 SS)	9	-	Grants-in-aid to municipalities, regional school districts and regional education service centers for local school construction, rehabilitation and improvement projects (CGS Sec. 10-265d)	15,000,000	(100,000)	-
			1997 Language Changes			
SA 97-1 (J5 SS)	78	-	Grants-in-aid to [municipalities] PUBLIC LIBRARIES, AS DEFINED IN SUBDIVISION (2) OF SECTION 11-24a OF THE GENERAL STATUTES, for construction of public libraries (SA 88-77 23(d)(2))	4,000,000	-	-
			Board of Education and Services for the Blind			
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	89	-	At Oak Hill School for the Blind, removal of asbestos and roof replacement (SA 89-52 2(m)(2))	460,000	(360,000)	-
			State Library			
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	13(i)(1)	32(f)(1)	Grants-in-aid to the Connecticut Arts Endowment Fund for Section 501(c)(3) tax-exempt nonprofit organizations to be matched with private contributions	9,000,000	1,000,000	1,000,000
SA 97-1 (J5 SS)	13(i)(2)	32(f)(2)	Grants-in-aid for public libraries for construction, renovations, expansions, energy conservation and handicapped accessibility	28,797,182	2,500,000	2,500,000
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	190	-	Code compliance improvements at the State Library in accordance with the American's with Disabilities Act (SA 95-20 2(l)(3))	140,000	(140,000)	-
			University of Connecticut			
			1997 Bond Authorizations			
PA 97-5	1	-	Renovations and improvements to Babbidge Library and adjoining plaza deck	29,650,000	9,400,000	-
PA 95-230	7(a)(1)	7(a)(1)	Capital infrastructure improvement program, UConn 2000	-	93,146,000	64,311,000

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	69	-	Access road and related improvements to proposed Connecticut Technology Park (SA 88-77 2(s)(3)(D))	900,000	(900,000)	-
SA 97-1 (J5 SS)	107	-	Alterations and improvements to buildings and grounds, including utilities, roads and code compliance projects and planning for alterations, renovations, improvements and an addition to the William Benton State Art Museum, Storrs (SA 90-34 2(n)(1)(A))	8,775,000	(250,000)	-
SA 97-1 (J5 SS)	108	-	Planning for alterations, renovations, improvements and an addition to the William Benton Museum (SA 90-34 2(n)(3)(G))	250,000	(115,750)	-
SA 97-1 (J5 SS)	125	-	Deferred maintenance, renovations and improvements to buildings and grounds, including energy conservation and code compliance (SA 91-7 JSS 13(a)(1)(A))	2,400,000	(2,400,000)	-
SA 97-1 (J5 SS)	134	-	Planning for alterations and improvements to plaza deck including water proofing at the Gant Complex (SA 92-3 MSS 2(l)(2)(D))	303,000	(58,000)	-
SA 97-1 (J5 SS)	161	-	Renovations and improvements to the heating & power plant, including replacement of boilers (SA 93-2 JSS 30(j)(2)(D))	1,000,000	(800,000)	-
SA 97-1 (J5 SS)	170	-	Renovations and additions to the Williams Health Services Building (SA 93-2 JSS 41(a)(1))	3,096,000	(3,096,000)	-
SA 97-1 (J5 SS)	171	-	Deferred maintenance, renovations and improvements to facilities including energy conservation and code compliance (SA 93-2 JSS 41(a)(2))	2,125,000	(2,125,000)	-
SA 97-1 (J5 SS)	180	-	Access road and related improvements to Connecticut Technology Park (PA 94-2 MSS 17(c)(2)(B))	3,300,000	(3,300,000)	-
			University of Connecticut Health Center			
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	2(k)(1)	-	Installation of backflow prevention devices	-	2,000,000	-
SA 97-1 (J5 SS)	2(k)(2)	21(j)(1)	New and replacement instruction, research & laboratory equipment	8,800,000	1,100,000	1,100,000
SA 97-1 (J5 SS)	2(k)(3)	21(j)(2)	Devel of additional areas for the library and related improvements	-	143,000	781,000
SA 97-1 (J5 SS)	2(k)(4)	21(j)(3)	Code improvements including fire, safety and handicapped code improvements	-	350,000	4,000,000
SA 97-1 (J5 SS)	2(k)(5)	21(j)(4)	Alterations and improvements to buildings and grounds including utilities and roads and code compliance projects	-	2,000,000	2,000,000
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	162	-	Modifications and improvements to create program in developmental and molecular genetics (SA 93-2 JSS 30(k)(1)(E)	5,400,000	(83,000)	-
SA 97-1 (J5 SS)	163	-	Integrated heating & cooling system (SA 93-2 JSS 30(k)(1)(H))	2,900,000	(500,275)	-
SA 97-1 (J5 SS)	206	-	Planning for alterations and improvements to multidiscipline labs (SA 95-20 22(m)(3))	238,700	(238,700)	-
SA 97-1 (J5 SS)	215	-	Alterations and improvements to multidiscipline laboratories (PA 96-181 2(c))	3,700,000	(1,761,300)	-

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			1997 Language Changes			
SA 97-1 (J5 SS)	191	-	Alterations and improvements for [clinical and medical school] ACADEMIC AND RESEARCH programs (SA 95-20 2(m)(1))	3,575,000	-	-
			Regional Community-Technical Colleges			
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	2(l)(1)(A)	21(k)(1)(A)	All Community-Technical Colleges: New and replacement instruction, research and/or laboratory equipment	13,500,000	6,420,000	6,420,000
SA 97-1 (J5 SS)	2(l)(1)(B)	21(k)(1)(B)	All Community-Technical Colleges: Deferred maintenance, renovations and improvements to facilities including fire, safety, energy conservation and code compliance	3,725,000	4,000,000	4,000,000
SA 97-1 (J5 SS)	2(l)(1)(C)	21(k)(1)(C)	All Community-Technical Colleges: Alterations and improvements to buildings and grounds in accordance with the Americans with Disabilities Act code requirements	2,000,000	500,000	500,000
SA 97-1 (J5 SS)	2(l)(1)(D)	21(k)(1)(D)	All Community-Technical Colleges: Alterations and improvements to instruction and support space used for technical instruction, including faculty office improvements	1,500,000	500,000	500,000
SA 97-1 (J5 SS)	2(l)(2)	21(k)(2)	Norwalk Community-Technical College: Alterations and improvements to grounds for instructional and support space in accordance with the master plan including deferred maintenance improvements	2,400,000	3,000,000	3,000,000
SA 97-1 (J5 SS)	2(l)(3)	21(k)(3)	Northwestern Community-Technical College: Alterations and improvements, heating, ventilating and air conditioning systems, including energy conservation and code compliance	-	2,000,000	2,000,000
SA 97-1 (J5 SS)	2(l)(4)	21(k)(6)	Three Rivers Community-Technical College: Planning for renovations to existing buildings and additional facilities, including land acquisition for a consolidated campus	400,000	1,600,000	1,000,000
SA 97-1 (J5 SS)	2(l)(5)	-	Manchester Community-Technical College: Planning for Phase II development in accordance with the master plan	1,400,000	1,500,000	-
SA 97-1 (J5 SS)	-	21(k)(4)	Manchester Community-Technical College: Phase I development of facilities and planning for Phase II	same as above	-	24,200,000
SA 97-1 (J5 SS)	-	21(k)(5)	Capitol Community-Technical College: Relocation of instructional and academic support functions from the Flatbush Avenue Campus to the Woodland Street Campus	525,000	-	6,445,000
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	192	-	Northwestern Community-Technical College: Roof repairs and/or replacement and exterior renovations to Founders Hall (SA 95-20 2(n)(4))	880,000	(179,000)	-
			Connecticut State University			
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	2(m)(1)	21(l)(1)(A)	All Universities: New and replacement instruction, research, laboratory and physical plant and administrative equipment	8,000,000	8,000,000	8,000,000
SA 97-1 (J5 SS)	-	21(l)(1)(B)	All Universities: Alterations, repairs and replacement to auxiliary service buildings	-	-	5,000,000
SA 97-1 (J5 SS)	2(m)(2)(A)	-	SCSU: New physical plant building, including demolition of the existing temporary buildings and the main physical plant building	600,000	7,682,000	-

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
SA 97-1 (J5 SS)	2(m)(2)(B)	-	SCSU: Renovations and improvements to Jennings Hall	1,310,000	2,770,000	-
SA 97-1 (J5 SS)	2(m)(2)(C)	-	SCSU: Demolition of Prescott Street Building and development of a vehicle parking area	-	555,000	-
SA 97-1 (J5 SS)	-	21(l)(2)	SCSU: Alterations and improvements to steam and condensate and electrical lines	535,000	-	3,410,000
SA 97-1 (J5 SS)	2(m)(3)(A)	-	WCSU: Planning for alterations, improvements and an addition to Higgins Hall	797,000	1,403,000	-
SA 97-1 (J5 SS)	-	21(l)(3)(A)	WCSU: Alterations, renovations and improvements to facilities, including fire, safety, energy conservation and code compliance	-	-	584,000
SA 97-1 (J5 SS)	2(m)(3)(B)	21(l)(3)(B)	WCSU: Alterations and improvements to utilities, including steam lines, storm water mains, water and tunnel systems	2,996,000	221,000	1,357,000
SA 97-1 (J5 SS)	2(m)(3)(C)	-	WCSU: Alterations and improvements to the Ruth Haas Library	-	2,200,000	-
SA 97-1 (J5 SS)	2(m)(4)(A)	21(l)(4)(A)	ECSU: Alterations, renovations and improvements to facilities, including fire, safety, energy conservation and code compliance	-	807,000	1,429,000
SA 97-1 (J5 SS)	2(m)(4)(B)	-	ECSU: North Campus steam and condensate line replacement	665,000	1,535,000	-
SA 97-1 (J5 SS)	2(m)(4)(C)	-	ECSU: Perimeter road and development of additional parking	150,000	1,080,000	-
SA 97-1 (J5 SS)	2(m)(4)(D)	-	ECSU: Acquisition of a building and planning for renovations for use as a learning resource center	-	500,000	-
SA 97-1 (J5 SS)	-	21(l)(4)(B)	ECSU: Campus Security System	98,000	-	550,000
SA 97-1 (J5 SS)	2(m)(5)(A)	21(l)(5)(A)	CCSU: Alterations, renovations and improvements to facilities, including fire, safety, energy conservation and code compliance	44,000	4,199,000	3,293,000
SA 97-1 (J5 SS)	2(m)(5)(B)	-	CCSU: Closure of Wells Street, including the relocation of utilities in order to create a pedestrian walkway	545,000	2,350,000	-
SA 97-1 (J5 SS)	2(m)(5)(C)	-	CCSU: Planning for alterations and improvements to Copernicus Hall	-	840,000	-
SA 97-1 (J5 SS)	-	21(l)(5)(B)	CCSU: Planning for alterations and improvements to Emma Willard Hall	506,000	-	4,533,000
SA 97-1 (J5 SS)	-	21(l)(5)(C)	CCSU: Planning for alterations and improvements to Maria Sanford Hall	-	-	440,000
SA 97-1 (J5 SS)	-	21(l)(5)(D)	CCSU: Planning for alterations and improvements to Frank DiLoreto Hall	530,000	-	5,080,000
SA 97-1 (J5 SS)	-	21(l)(5)(E)	CCSU: Planning for alterations and improvements to Harrison Kaiser Hall	-	-	622,000
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	70	-	Copernicus Hall, enclosed main deck with one story roofed structure and interior renovations for additional classroom and office space (SA 88-77 2(w)(2)(C))	2,213,000	(368,150)	-
SA 97-1 (J5 SS)	90	-	CCSU: School of Business, planning for classroom and office building and parking facility (SA 89-52 2(s)(2)(E))	2,000,000	(319,900)	-

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
SA 97-1 (J5 SS)	138	-	Renovations and additions to Memorial Hall (SA 92-3 MSS 13(b)(4)(A))	6,253,000	(544,600)	-
SA 97-1 (J5 SS)	193	-	Development of a new library facility, including acquisition of property (SA 95-20 2(o)(4)(B))	25,300,000	(233,792)	-
			1997 Language Changes			
SA 97-1 (J5 SS)	135	-	ECSU: [Chemical storage facility at Goddard Hall] DEVELOPMENT OF ADMISSIONS BUILDING (SA 92-3 MSS 2(p)(4)(a))	489,000	-	-
SA 97-1 (J5 SS)	207	-	ECSU: [Planning for the replacement] REPLACEMENT of prefabricated, buried high temperature hot water distribution system located on the North Campus (SA 95-20 22(o)(4)(B))	472,000	-	-
			Department of Correction			
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	2(n)	-	Renovations and improvements to existing state-owned buildings for inmate housing, programming and staff training space and additional inmate capacity, including support facilities and off-sites improvements	658,138,000	5,000,000	-
SA 97-1 (J5 SS)	136	-	Increase in SA 92-3 MSS 2(q): Renovations and improvements to existing state-owned buildings for inmate housing, programming and staff training space, and additional inmate capacity, including support facilities and demolition (Sec. 44 reduces a 1985 authorization of $2,800,000 by $1,913,580 for a similar purpose.)	455,602,284	1,913,580	-
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	44	-	At Cheshire Correctional Institution, improvements, alterations and renovations (SA 85-102 2(u)(3))	2,800,000	(1,913,580)	-
SA 97-1 (J5 SS)	52	-	Renovations and improvements to existing state-owned buildings for inmate housing, programming and staff training space, and additional inmate capacity, including support facilities (SA 87-77 2(o)(2))	36,250,000	(93,308)	-
SA 97-1 (J5 SS)	71	-	Renovations and improvements to existing state-owned buildings for inmate housing, programming and staff training space, and additional inmate capacity, including support facilities, various projects at various locations (SA 88-77 2(x)(4))	45,250,000	(166,411)	-
SA 97-1 (J5 SS)	79	-	Grants-in-aid for community residential facilities for alterations, repairs and improvements (SA 88-77 23(e))	1,000,000	(54,117)	-
SA 97-1 (J5 SS)	91	-	Replace existing cells with new units, support facilities including a gymnasium and various life, safety and other improvements (SA 89-52 2(u)(5)(A))	29,200,000	(2,768,000)	-
SA 97-1 (J5 SS)	118	-	Grants-in-aid for community residential facilities for planning, design, land acquisition, construction, renovations, alterations, repairs and improvements (SA 90-34 23(m)(2))	3,800,000	(470,000)	-
			Department of Children and Families			
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	2(o)	21(m)	Alterations ,renovations and improvements to buildings and grounds including the completion of the development of the State Receiving Home, East Windsor	7,250,000	3,500,000	2,000,000

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
SA 97-1 (J5 SS)	164	-	Increase in SA 93-2 JSS 30(n)(1): Long Lane School, Middletown - Alterations, renovations and improvements to buildings and grounds, including utilities and mechanical systems, additions, new construction and demolition (Sec. 72 reduces a 1988 authorization of $3,000,000 by $1,300,000 for this purpose.)	8,700,000	1,300,000	-
SA 97-1 (J5 SS)	13(j)(1)	32(g)(1)	Grants-in-aid to private nonprofit mental health clinics for children for fire, safety and environmental improvements, including expansion	2,850,000	500,000	500,000
SA 97-1 (J5 SS)	13(j)(2)	32(g)(2)	Grants-in-aid for construction, alterations, repairs and improvements to residential facilities, group homes, shelters and permanent family residences	13,460,000	1,000,000	1,000,000
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	72	-	At Long Lane School: Planning for alterations, renovations and improvements to buildings and grounds including utilities and mechanical systems, additions, new construction and demolition (SA 88-77 2(y)(2))	3,000,000	(1,300,000)	-
			Judicial Department			
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	-	21(n)(1)	Planning for alterations, renovations and improvements to a facility located in Bridgeport for offices for adult probation	-	-	500,000
SA 97-1 (J5 SS)	-	21(n)(2)	Alterations, renovations and improvements to the Courthouse located at 7 Kendrick Avenue, Waterbury for use as a superior court for juvenile matters and juvenile probation	-	-	1,000,000
SA 97-1 (J5 SS)	2(p)(1)	21(n)(3)	Alterations, renovations and improvements to buildings and grounds at state-owned and maintained facilities, including Americans with Disabilities Act code compliance and other code improvements and energy conservation measures	21,522,758	5,000,000	5,000,000
SA 97-1 (J5 SS)	2(p)(2)	-	Additions and improvements to Juvenile Matters and Detention Facility, Hartford, including acquisition of adjacent property	812,000	11,748,000	-
SA 97-1 (J5 SS)	2(p)(3)	-	Feasibility study for the reuse of the former Mystic Oral School site as a Juvenile Matters and Detention Center for the Southeast Regional	-	100,000	-
SA 97-1 (J5 SS)	2(p)(4)	21(n)(4)	Purchase and installation of capital equipment	-	5,000,000	5,000,000
SA 97-1 (J5 SS)	2(p)(5)	-	Planning for a new criminal court facility, including parking in New Haven	200,000	2,000,000	-
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	92	-	Acquisition, renovation and enlargement at Vernon-Rockville Courthouse Complex (SA 89-52 2(w)(1))	1,000,000	(540,000)	-
SA 97-1 (J5 SS)	165	-	Planning for new addition to Bridgeport Criminal Court Complex, improvements and renovations to existing facility (SA 93-2 JSS 30(o)(6))	5,100,000	(2,910,000)	-
SA 97-1 (J5 SS)	166	-	Planning for new criminal court complex, New Haven (SA 93-2 JSS 30(o)(9))	200,000	(200,000)	-

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			Connecticut Innovations, Inc.			
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	13(e)	-	Financing for various projects, including the Connecticut Technology Partnership Program, Cooperative Higher Education/Economic Development Program, Advanced Technology Centers, Critical Technologies Program and Charles Goodyear Cooperative Research and Development Grants	-	8,000,000	-
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	149	-	Cooperative Higher Education/Economic Development Projects and programs (SA 93-2 JSS 22(d)(1))	7,000,000	(375,000)	-
SA 97-1 (J5 SS)	150	-	Funding for Connecticut small business innovation research assistance program (SA 93-2 JSS 22(d)(4))	1,000,000	(300,000)	-
SA 97-1 (J5 SS)	174	-	Cooperative Higher Education/Economic Development Projects and programs (SA 93-2 JSS 50(c)(1))	7,000,000	(1,000,000)	-
SA 97-1 (J5 SS)	175	-	Federal Research Leverage Grant Program to assist both universities and industries to provide a match to obtain Federal research funds (SA 93-2 JSS 50(c)(5))	2,500,000	(2,500,000)	-
SA 97-1 (J5 SS)	196	-	Grants-in-aid for the Connecticut Small Business Innovation Research Assistance Program (SA 95-20 13(c)(1))	1,000,000	(1,000,000)	-
SA 97-1 (J5 SS)	197	-	Cooperative Higher Education/Economic Development Projects and programs (SA 95-20 13(c)(4))	4,000,000	(325,000)	-
SA 97-1 (J5 SS)	209	-	Grants-in-aid for the Connecticut Small Business Innovation Research Assistance Program (SA 95-20 33(b)(1))	1,000,000	(1,000,000)	-
SA 97-1 (J5 SS)	210	-	Advanced Technology Centers: State matching funds for federal and/or private funds (SA 95-20 33(b)(2))	3,000,000	(1,500,000)	-
SA 97-1 (J5 SS)	211	-	Cooperative Higher Education/Economic Development Projects and programs (SA 95-20 33(b)(3))	4,000,000	(3,250,000)	-
PA 97-1 (J5 SS)	17	-	Royalty financing for high-technology products	7,450,000	(4,400)	-
			Connecticut Higher Education Supplemental Loan Authority (CHESLA)			
			1997 Bond Authorizations			
PA 97-1 (J5 SS)	12	-	Increase in authority to issue against a special capital reserve fund	110,000,000	60,000,000	-
			Connecticut Public Broadcasting, Incorporated			
			1997 Bond Authorizations			
SA 97-1 (J5 SS)	13(k)(1)	32(h)(1)	Construction and equipment for instructional television fixed service system, including interconnection with state agencies	6,627,200	550,000	550,000
SA 97-1 (J5 SS)	13(k)(2)	32(h)(2)	Equipment upgrades for Advanced Television, including transmitters and conversion to digital studio, including processing and transmission equipment	-	650,000	600,000

Act	Section FY 98	Section FY 99	Agency/Project	Prior Authorization	Authorization Change FY 98	Authorization Change FY 99
			Contingency Reserve			
			1997 Reductions to Bond Authorizations			
SA 97-1 (J5 SS)	42	-	Contingency Reserve (SA 84-54 13(c))	306,000	(211,727)	-
SA 97-1 (J5 SS)	46	-	Contingency Reserve (SA 85-102 13(c))	161,020	(161,020)	-
SA 97-1 (J5 SS)	53	-	Contingency Reserve (SA 85-102 13(c))	3,557,808	(100,808)	-
SA 97-1 (J5 SS)	55	-	Contingency Reserve (SA 87-77 13(d))	425,994	(374,294)	-
SA 97-1 (J5 SS)	73	-	Contingency Reserve (SA 88-77 2(bb))	2,606,010	(264,762)	-
SA 97-1 (J5 SS)	75	-	Contingency Reserve (SA 88-77 13(c))	576,000	(576,000)	-
SA 97-1 (J5 SS)	93	-	Contingency Reserve (SA 89-52 2(x))	2,096,579	(7,271)	-
SA 97-1 (J5 SS)	95	-	Contingency Reserve (SA 89-52 13(c))	329,510	(329,510)	-
SA 97-1 (J5 SS)	126	-	Contingency Reserve (SA 91-7 JSS 13(c))	128,000	(128,000)	-
SA 97-1 (J5 SS)	139	-	Contingency Reserve (SA 92-3 MSS 13(c))	204,000	(204,000)	-
SA 97-1 (J5 SS)	146	-	Contingency Reserve (SA 93-2 JSS 13(a)(4))	327,000	(327,000)	-
SA 97-1 (J5 SS)	172	-	Contingency Reserve (SA 93-2 JSS 41(b)(4))	429,000	(429,000)	-

Net General Obligation Bond Authorizations	559,160,255	520,544,000
Total Special Tax Obligation Bond Authorizations	144,825,000	186,500,000
Total Clean Water Fund Revenue Bond Authorizations	151,300,000	83,300,000
Total UConn 2000 Bond Authorizations	93,146,000	64,311,000
Total Bond Authorizations	948,431,255	854,655,000
Total General Obligation Contingent Liability Bonds	60,000,000	0

BOND AUTHORIZATIONS AVAILABLE FOR ALLOCATION DURING

THE FIRST FISCAL YEAR OF THE 1997-99 BIENNIUM

The following table lists bond authorizations with unallocated balances, which may be made available during FY 1997-98. It includes all bond projects authorized in previous years, which have at least one unallocated balance. It also lists new authorizations and changes to previous authorizations made by the 1997 General Assembly. The Public and Special Act numbers which authorize the bonds appear after each entry (e.g. SA 84-52), along with any subsequent amending acts. Continuing statutory programs are referenced by the section of the Connecticut General Statutes (CGS), revised to January 1, 1997, followed by amending legislation. The bond fund number is an accounting code established by the comptroller. The amount of bonds authorized also reflects any subsequent additions or reductions made by the legislature. The unallocated balance column indicates the remaining balance available for allocation by the Bond Commission during FY 1997-98. Once the Bond Commission approves an allocation for a project, the funds are recorded by the comptroller as an unallotted balance. The governor then must approve an allotment of these funds before they can be expended. For large construction projects, both the allocation and allotment process is often done in stages. Once a contract is awarded, funds may remain in the unallotted balance until actual expenditures are made. It should be noted that the legislature sometimes provides large authorizations which are intended to be used over a period of time. Such projects include water pollution control, highway construction, mass transportation programs and school construction. In such cases, substantial balances may remain unallocated for several years.

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
Legislative Management			
State Capitol Preservation and Restoration Commission structures, site and facility improvements, SA 87-77, Sec. State Capitol and Legislative Office Building and related structures, site and facility improvements, SA 87-77, Sec. 2(a)(1); SA 89-52,Sec.126; SA 91-7, (JSS), Sec. 102; SA 92-3, (MSS), Sec. 84; SA 97-1, (J5SS), Secs. 48 & 216	3871	1,945,190	185,200
Secretary of the State			
Development and implementation of state-wide automated voter registration system. SA 97-1, (J5 SS), Sec. 2(a)	3971	900,000	900,000
Office of Policy and Management			
Grants-in-aid to municipalities, municipal entities or nonprofit organizations which are exempt from taxation under section 501(c)(3) of the Internal Revenue Code of 1954 or any subsequent corresponding Internal Revenue Code of the United States for urban development projects including housing, economic development, transportation, environmental protection, public safety and human resources projects and programs, CGS 4-66c(b)(6)(B); PA 93-1,(JSS), Sec. 1(b)(6)(B) PA 95-272, Sec 1(b)(6)(B); PA 96-181, Sec. 104; PA 97-1 (J5 SS), Sec. 2(b)(6)(B)	3795	220,300,000	106,536,878
Local Capital Improvement Fund, CGS7-538(a); PA93-1, (JSS), Sec. 8(a), PA 95-272, Sec. 5(a); PA 97-1, (J5 SS), Sec. 8	1870	320,000,000	100,000,000
Grants-in-aid to municipalities, for development of a computer assisted mass appraisal system in accordance with section 12-62f of the general statutes, SA 88-77, Sec. 2(b);	3871 1873 1873	1,300,000 495,000 750,000	0 0 12,500

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
SA 92-3, (MSS), Sec.22(a), PA 94-2, (MSS), Secs. 32(a) & 127; SA 97-1, (J5 SS), Sec. 13(a)	3971	313,000	313,000
Purchase of emission reduction credits, PA 94-2, (MSS), Sec. 17(a)(1); SA 97-1, (J5 SS), Sec. 179;SA 95-20, Sec. 179	3931	500,000	390,000
Planning and Development of an offender based tracking system to be used by state and local criminal justice agencies. SA 95-20, Sec. 2(a)(3) & 22(a)(3); SA 97-1,(J5 SS), Sec. 2(b)(3)	3951 3961 3971	3,850,000 7,300,000 3,825,000	2,950,000 7,300,000 3,825,000
Development of an integrated computerized revenue management system for the Department of Revenue Services. SA 95-20, Sec.2(a)(3) & 22(a)(2)	3951 3961	850,000 18,000,000	350,000 17,300,000
Capital Equipment Purchase Fund. CGS 4a-10; PA 93-1, (JSS), Sec. 3(a), PA 95-272, Sec. 2(a) & 5(a); PA 97-1, (J5 SS), Sec. 3(a)	1872	130,700,000	19,710,645
Long-range capital planning and space utilization studies. SA 97-1, (J5 SS), Sec. 2(b)(1)	3971	2,000,000	2,000,000
Purchase and/or upgrade of equipment for the century date change and related costs. SA 97-1, (J5 SS), Sec. 2(b)(2)	3971	15,000,000	15,000,000
Department of Veterans' Affairs			
Long-range capital planning. SA 90-34, Sec. 2(b); PA 95-20, Sec. 50	3901	25,000	25,000
Alterations and renovations to buildings and grounds in compliance with the Americans with Disabilities Act. SA 95-20, Sec. 2(b)(1)	3951	143,000	112,500
Alterations and renovations to buildings and grounds in accordance with current codes. SA 95-20, Sec. 2(b)(2) & 22(c)(1)	3951 3961	500,000 500,000	0 400,800
Planning for the upgrading of electrical distribution system SA 95-20, Sec. 22(c)(2)	3951	135,000	135,000
Planning for the alterations and renovations to the residential and rehabilitation service clinic. SA 95-20, Sec. 22(c)(3)	3961	80,000	80,000
Planning for renovations for improvements to the water and steam distributions systems. SA 95-20, Sec. 22(c)(4)	3961	100,000	100,000
Repairs and improvements to buildings and grounds. SA 97-1, (J5 SS), Sec. 2(c)	3971	1,000,000	1,000,000

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
Department of Public Works			
Infrastructure repairs and improvements, including fire,	3861	16,500,000	0
safety and compliance with the American's with Disabilities	3901	14,000,000	0
Act, improvements to state-owned buildings and grounds	3911	15,000,000	0
including energy conservation and off-site improvements, and	3931	14,500,000	0
preservation of unoccupied buildings. SA 86-54, Sec. 2(b)(1);	3931	14,000,000	0
SA 90-34, Sec. 2(c)(1); SA 91-7, (JSS), Sec. 2(b)(1) & 183;	3951	12,000,000	0
SA 93-2, (JSS), Sec. 2(b)(3) & Sec. 30(a)(2); PA 94-2,	3961	12,000,000	6,272,309
(MSS), Sec. 153; SA 95-20, Sec. 2(c)(2) & 22(b)(2); SA 97-1,	3971	10,000,000	10,000,000
(J5 SS), Sec. 2(d)(2)			
Development of state-owned office facilities through	3911	19,708,177	0
acquisition of land and/or buildings, including at least	3921	45,149,226	0
$2,200,000 for the development of a new facility for Charter	3931	30,100,000	5,260,418
Oak State College. SA 91-7, (JSS), Sec. 2(b)(4); SA 92-3,	3931	68,100,000	2,439,851
(MSS), Sec. 2(c)(1); SA 93-2, (JSS), Sec. 2(b)(1) & Sec.	3951	10,000,000	4,647,452
30(a)(1); PA 94-2, (MSS), Sec. 152, SA 95-20, Sec. 2(c)(1);	3691	12,000,000	12,000,000
PA 96-181, Sec. 87			
Removal or encapsulation of asbestos in state-owned	3861	8,500,000	0
buildings. SA 86-54, Sec. 2(b)(2); SA 88-77, Sec. 2(e)(2);	3971	10,000,000	0
SA 98-52, Sec. 2(b)(2); SA 90-34, Sec. 2(c)(2); SA 91-7,	3891	10,000,000	0
Sec. 2(b)(2); SA 92-3, Sec. 2(c)(2); SA 93-2, Secs. 2(b)(4)	3901	10,000,000	0
and 30(a)(3); SA 95-20, Secs. 2(c)(3) & 22(b)(3); SA 97-1,	3911	10,000,000	0
(J5 SS), Sec. 2(d)(1)	3921	10,000,000	0
	3931	9,000,000	0
	3931	3,500,000	0
	3951	5,000,000	0
	3951	5,000,000	2,000,000
	3971	5,000,000	5,000,000
Removal or replacement of underground storage tanks.	3891	5,000,000	0
SA 89-52, Sec 2(b)(3); SA 90-34, Sec. 2(c)(3); SA 91-7,	3901	5,000,000	0
Sec. 2(b)(3); SA 92-3, Sec 2(c)(3); SA 93-2, Secs. 2(b)(5)	3911	5,000,000	0
and 30(a)(4); SA 95-20, Secs. 2(c)(4) & 22(b)(4); SA 97-1,	3921	8,000,000	0
(J5 SS), Sec. 2(d)(3)	3931	6,500,000	0
	3931	4,000,000	0
	3951	1,000,000	0
	3961	1,000,000	0
	3971	12,000,000	12,000,000
Site remediation, asbestos removal or abatement and other	3971	2,000,000	2,000,000
costs associated with the reuse or closure of Norwich Hospital.			
SA 97-1, (J5 SS), Sec. 2(d)(4)			

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
Department of Public Safety (DPS)			
Statewide telecommunications system, including two-way radio	3841	100,000	0
system, land acquisition, site improvements, construction	3871	3,150,000	0
and equipment including communications command center	3891	17,070,320	0
SA 82-46, Sec. 2(d)(2); SA 83-17, Sec.2(c)(2), (JSS); SA	3931	6,500,000	6,500,000
84-54, Sec. 2(c)(1); SA 87-77, Sec. 2(c)(3); SA 88-77, Sec.	3931	32,400,000	13,418,630
203; SA 89-52, Sec. 2(c)(1); SA 93-2, (JSS), Sec. 2(c)(1) &	3951	3,929,680	3,929,680
Sec. 30(b)(1); SA 95-20, Sec. 2(d)(1) & 22(d)(1); SA 97-1,	3961	5,100,000	5,100,000
(J5 SS), Secs. 2(e), 82 & 200	3971	8,500,000	8,500,000
Alterations and improvements to buildings and grounds,	3871	1,000,000	0
including utilities, mechanical systems, energy conservation	3871	500,000	0
and removal and replacement of fuel storage tanks. SA 87-77	3891	500,000	0
Sec. 2(c)(4); SA 88-77, Sec. 2(g)(2); SA 89-52, Sec. 2(c)(2)	3921	1,000,000	0
SA 90-34, Sec. 2(d)(3) & 206; SA 92-3, (MSS), Sec. 2(d);	3931	1,000,000	0
SA 93-2, (JSS), Sec. 30(b)(2) & 126; SA 95-20, Sec. 2(d)(5) &	3951	2,000,000	0
Sec. 22(d)(6)	3961	2,000,000	2,000,000
Planning for and construction of an emergency services facility at the Mulcahy Complex, Meriden, SA 93-2, (JSS), Sec. 2(c)(2)	3931	250,000	250,000
Alterations, renovations and improvements to the State Police Training Academy buildings at Preston Avenue, Meriden. SA 93-2, (JSS), Sec. 30(b)(3); SA 97-1, (J5 SS), Sec. 154	3931	800,000	800,000
Emergency Services Facility, including canine training and vehicle impound area. SA 95-20, Sec. 2(d)(2), PA 96-181, Sec. 71	3951	1,780,000	1,270,000
Planning for renovations and improvements for an Eastern	3951	180,000	180,000
District Headquarters facility. SA 95-20, Sec. 2(d)(3) &	3961	1,630,000	1,630,000
22(d)(3); SA 97-1, (J5 SS), Secs. 184 & 201			
Renovations to telephone systems. SA 95-20, Sec. 2(d)(4); SA 97-1, (J5 SS), Secs. 185	3951	719,290	148,758
Alterations and improvements to facilities in accordance with	3951	300,000	300,000
the Americans with Disabilities Act requirements. SA 95-20, Sec.	3961	525,000	525,000
2(d)(6) & 22(d)(7)			
Improvements to E-911 systems at various barracks. SA 95-20, Sec. 2(d)(8); SA 97-1, (J5 SS), Secs. 187	3951	400,000	400,000
Development of a firearms training complex. SA 95-20, Sec. 2(d)(9)	3951	950,000	950,000

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
Development of a forensic laboratory, Phase II, Meriden. SA 95-20, Sec. 22(d)(2), PA 96-181, Sec. 88.	3961	4,390,000	4,390,000
Planning for new barracks facility in East Hartford for Troop H and support facilities. SA 95-20, Sec. 22(d)(4)	3961	256,650	256,650
Planning for renovations and improvements to Troop I barracks in Bethany. SA 95-20, Sec. 22(d)(5)	3961	150,000	150,000
DPS: Municipal Police Training Council			
Darkroom conversion. SA 93-2, (JSS), Sec. 2(d)(1)	3931	80,000	80,000
Department of Motor Vehicles			
Planning, design, land and/or building acquisition, construction or improvements to motor vehicle facilities. SA 88-77, Sec. 2(f); SA 89-52, Sec. 2(d); PA 96-181, Secs. 9 & 19; SA 97-1, (J5 SS), Sec. 60	3871 3891	9,653,175 5,000,000	0 5,000,000
Alterations and improvements to regional branch offices statewide. SA 95-20, Sec. 2(e)(1)	3951	490,000	490,000
Code compliance at various locations in accordance with the American Disabilities Act. SA 95-20, Sec. 2(e)(2)	3951	340,000	340,000
Renovations and improvements to the headquarters building, Wethersfield. SA 95-20, Sec. 22(e); SA 97-1, (J5 SS), Sec. 202	3961	6,100,000	6,100,000
Military Department			
Improvements, alterations and renovations to buildings, including site improvements and exterior building repairs. SA 84-54, Sec.2(c)(3)(C); SA 85-102, Sec. 2(d)(5)(A); SA 87-77, Sec. 2(c)(9)(A); SA 88-77, Sec. 2(h)(1); SA 89-52, Sec. 2(e)(2); SA 90-34, Sec. 2(e)(1) & 121; SA 92-3, (MSS), Sec. 2(e)(3); SA 93-2, (JSS), Sec. 2(e)(2) & 30(c)(1); PA 94-2, (MSS), Sec. 120; SA 95-20, Sec. 2(f)(1) & 22(f)(1); SA 97-1, (J5 SS), Sec. 2(f)(2)	3871 3891 3901 3931 3951 3961 3971	650,000 250,000 500,000 500,000 500,000 500,000 500,000	0 0 0 0 0 500,000 500,000
Improvements and renovations to the New Haven Armory including renovations in accordance with current codes, SA 88-77. Sec. 2(h)(6); SA 90-34, Sec. 2(e)(3); PA 94-2, (MSS), Sec. 60	3871 3901	92,000 1,650,000	0 1,442,500
Renovate armory and training facility, 2nd Company Governor's Horse Guard, Newtown. SA 90-34, Sec. 2(e)(5)	3901	500,000	435,000

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
State matching funds for anticipated federal reimbursable projects. SA 82-46, Sec. 2(d)(4)(E); SA 85-102, Sec. 2(d)(5)(E); SA 86-54, Sec. 2(c)(4)(C); SA 87-77, Sec. 2(c)(9)(B); SA 88-77,Sec. 2(h)(2); SA 89-52, Sec. 2(e)(3); SA 90-34, Sec.2(e)(2); SA 92-3, (MSS), Sec. 2(e)(2); SA 93-2, (JSS), Sec.2(e)(3) & 30(c)(2); PA 94-2, (MSS), Secs. 83, 119 & 136; SA 95-20, Sec. 2(f)(2) & 22(f)(2); SA 97-1, (J5 SS), Sec. 2(f)(1)	3871 3891 3901 3931 3951 3961 3971	250,000 1,000,000 437,674 300,000 300,000 300,000 300,000	0 0 0 0 0 150,000 300,000
Code compliance improvements at various locations in accordance with the Americans with Disabilities Act, SA 93-2, (JSS), Sec.2(e)(4)& Sec. 30(c)(4); PA 94-2, (MSS), Sec. 156, SA 95-20, Sec. 2(f)(3) & 22(f)(3)	3931 3951 3961	180,000 1,000,000 1,000,000	180,000 800,000 1,000,000
Improvements to aviation facilities at the Groton/New London Airport and Bradley International Airport, PA 94-2, (MSS), Sec. 17(b)(1)	3931	1,320,000	449,600
Construction of new armory, 1st Governor's Horse Guard, Avon. SA 95-20, Sec. 22(f)(6)	3961	700,000	700,000
Planning for renovations, improvements and expansion at Camp Hartell. PA 96-181, Sec. 2(a); SA 97-1, (J5 SS), Sec. 213	3961	400,000	400,000
Alterations, renovations and improvements to buildings and grounds at Camp Rowland, including new construction. SA 97-1, (J5 SS), Sec. 2(f)(3)	3971	6,500,000	6,500,000
Improvements to and replacement of roofs at various National Guard armories throughout the state. SA 97-1, (J5 SS), Sec. 2(f)(4)	3971	250,000	250,000
Department of Agriculture Purchase of development rights to preserve agricultural lands. CGS 22-26hh; PA 97-1, (J5 SS), Sec. 14	3783	78,250,000	1,000,000
Development of facilities, including renovations and improvements at the Experiment Station. SA 95-20, Sec. 2(g)(2); PA 96-181, Sec. 72	3951	5,000,000	5,000,000
Department of Environmental Protection (DEP)			
DEP: Division of Central Office Acquisition of land for open space or recreation purposes, including acquisition of surplus water company lands and grants-in-aid to municipalities for open space land	3741 3851 3861	4,477,779 2,967,025 4,962,552	0 0 0

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
acquisition and development for conservation or recreation purposes and for the inventory of all state and municipal holdings in open space, SA 72-31, Sec. 2(c)(1); SA 74-90, Sec. 2(e)(2); SA 78-81, Sec. 2(g)(2); SA 81-71, Sec. 104; SA 83-17, Sec. 2(e)(8), (JSS); SA 85-102, Sec. 2(e)(1); SA 86-54, Sec. 2(e)(1); SA 87-77, Sec. 2(d)(2); SA 88-77, Sec. 23(j)(1); SA 89-52, Secs. 23(a)(2) & 46; SA 90-34, Secs. 23(d)(2) & 149; SA 91-7, (JSS), Sec. 89; SA 92-3, (MSS), Sec. 73; SA 93-2, (JSS), Secs. 75 & 95; SA 95-20, Sec. 2(h)(1)	3871	2,471,788	0
	1873(88)	4,527,221	0
	1873(89)	5,000,000	0
	1873(90)	5,000,000	3,855,304
	3951	2,000,000	2,000,000
Land acquisition and development at West Rock Ridge State Park, Hamden. SA 81-71, Sec. 2(c)(5); SA 82-46, Sec. 2(e)(9); SA 83-17, (JSS), Sec. 2(e)(5); SA 84-54, Sec. 2(d)(7); SA 85-102, Sec. 2(e)(10); SA 87-77, Sec. 2(d)(16); SA 88-77, Sec. 2(j)(10); SA 89-52, Sec. 2(g)(14); SA 92-3, (MSS), Sec. 64; PA 94-2, (MSS), Sec. 71; SA 97-1, (J5 SS), Sec. 50	3841	750,000	0
	3851	957,100	42,900
	3871(86)	755,600	21,000
	3871(87)	750,000	750,000
Recreation and natural heritage trust program for recreation, open space, resource protection and resource management, SA 87-77,Sec. 2(d)(1); SA 88-77, Sec. 2(j)(1); SA 89-52, Sec.2(g)(1); SA 90-34, Sec. 2(h)(1); SA 92-3, (MSS), Secs. 2(g)(1) & 103; SA 93-2, (JSS), Sec. 2(g)(1), 30(d)(1) & 104; PA 96-181, Sec. 2(b)(1) & 54; SA 97-1, (J5 SS), Sec. 2(g)(1)	3871	5,000,000	0
	3871(88)	14,907,460	0
	3901	15,000,000	18,177
	3921	4,000,000	85,000
	3931	5,000,000	3,151,500
	3961	3,000,000	3,000,000
	3971	1,000,000	1,000,000
Access roads, utilities, parking/bath house complex and beach development - Silver Sands State Park, Milford - Phase I, SA 86-54, Sec. 2(e)(3); SA 87-77, Sec. 2(d)(10)	3861	1,300,000	283,404
	3871(87)	3,000,000	0
East-west connector road and state park development, Milford SA 88-77, Sec. 2(j)(7); SA 97-1, (J5 SS), Secs. 63 & 214	3871	248,000	0
	3971	1,752,000	1,752,000
Modernization and improvements to state-owned recreational and conservation areas, and replacement of underground storage tanks. SA 84-54, Sec. 2(d)(1); SA 85-102, Sec. 2(e)(3); SA 86-54, Sec. 2(e)(2); SA 87-77, Sec. 2(d)(6); SA 88-77, Sec. 2(j)(6); SA 89-52, Sec. 2(g)(2); SA 90-34, Secs. 2(h)(3), 93 & 122; SA 92-3, (MSS), Sec. 120; SA 95-20, Secs. 2(h)(4) & 22(h)(3); SA 97-1, (J5 SS), 2(g)(2)	3841	984,635	0
	3851	2,886,531	0
	3861	2,000,000	49,680
	3871(87)	3,500,000	0
	3871(88)	5,900,000	0
	3891	2,969,575	0
	3901	5,000,000	56,185
	3951	1,000,000	0
	3961	1,000,000	0
	3971	500,000	500,000

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
Alterations, renovations and new-construction at state parks and other recreation facilities. SA 95-20, Secs. 2(h)(6) & 22(h)(6); SA 97-1, (J5 SS), Sec. 2(g)(6)	3951	1,000,000	424,700
	3961	1,000,000	1,000,000
	3971	1,500,000	1,500,000
American's with Disabilities Act improvements at state-owned recreational areas. SA 91-7, (JSS), Sec. 2(d)(2); SA 92-3, (MSS), Sec. 2(g)(5); SA 93-2, (JSS), Secs. 2(g)(3) & 30(d)(3); SA 95-20, Sec. 2(h)(5) & 22(h)(5); SA 97-1, (J5 SS), 2(g)(5)	3911	200,000	0
	3921	100,000	0
	3931(93)	1,000,000	0
	3931(94)	1,000,000	0
	3951	1,000,000	0
	3961	1,000,000	700,000
	3971	500,000	500,000
Modernization and improvements including a new bathhouse and entrance complex -Sherwood Island State Park. SA 85-102, Sec. 2(e)(2); SA 87-77, Sec. 2(d)(5); SA 97-1, (J5 SS), Sec. 49	3851	1,270,000	0
	3871	855,014	0
Three new bath houses and entrance improvements at Sherwood Island State Park. SA 90-34, Sec. 2(h)(6); SA 94-2, Sec. 84	3901	650,000	650,000
Improvements to sewer systems at Sherwood State Park, including the connection to the municipal system. SA 88-77, Sec. 2(j)(5), SA 97-1; (J5 SS), Secs. 2(g)(8) & 62	3871	568,500	0
	3971	2,500,000	2,500,000
Alterations and improvements, including improved water quality and new wells at Quinebaug Valley Fish Hatchery. SA 90-34, Sec. 2(h)(4); SA 91-7, (JSS), Sec. 2(d)(4); SA 92-3, (MSS), Sec. 2(g)(4); SA 93-2, (JSS), Sec. 2(g)(4) & 30(d)(4)	3901	350,000	0
	3911	2,000,000	0
	3921	3,100,000	0
	3931(93)	3,000,000	0
	3931(94)	3,500,000	2,799,187
Alterations, repairs and improvements to state-owned facilities for the installation of or upgrade to sewage treatment facilities, including septic systems. SA 97-1, (J5 SS), Sec. 2(g)(7)	3971	3,000,000	3,000,000
DEP: Division of Environmental Quality			
Rooster River Flood control project, completion of Phase II, initiation and construction of Phase III in Fairfield. SA 78-81, Sec. 2(g)(5); SA 81-71, Sec. 2(c)(3); SA 85-102, Sec. 2(e)(8); SA 86-54, Sec. 2(e)(14); SA 90-34, Sec. 150; SA 91-7, (JSS), Secs. 2(d)(5), 49 & 75; SA 91-41, Sec. 75	3911	3,000,000	2,962,914
Dam repairs, including state-owned dams. SA 78-81, Sec. 2(g)(4); SA 80-41, Sec. 2(d)(1); SA 81-71, Sec. 2(c)(1); SA 82-46, Sec. 2(e)(2); PA 82-1, Sec. 12(e)(2), (JSS); SA 83-17, Sec. 2(e)(2), (JSS); SA 84-54, Sec. 2(d)(3); SA 88-77, Sec. 2(j)(2); SA 89-52, Sec. 2(g)(3); SA 90-34, Sec. 2(h)(2); SA 91-7,(JSS), Sec. 2(d)(1); SA 92-3, (MSS), Sec.	3831	1,775,000	0
	3841	3,968,614	0
	3871(88)	990,672	0
	3891	1,000,000	0
	3901	1,500,000	0
	3911	2,000,000	3,911

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
2(g)(2); SA 93-2, (JSS), Secs. 2(g)(2) & 30(d)(2), SA 95-20,	3921	1,300,000	11,373
Sec. 2(h)(2) & 22(h)(2); SA 97-1, (J5 SS), Secs. 2(g)(4), 40	3931(93)	1,000,000	8,723
& 61	3931(94)	1,000,000	21,463
	3951	3,000,000	0
	3961	2,000,000	1,612,419
	3971	3,000,000	3,000,000
Island Brook flood control project in Bridgeport. SA 74-90,	3741	20,000	0
Sec. 2(e)(15(A); SA 83-17, (JSS), Sec. 146; SA 87-77, Sec.	3871	1,202,417	0
2(d)(27); SA 90-34, Sec. 46; SA 97-1, (J5 SS), Secs. 51 &	3971	4,597,583	4,597,583
214			
Grant-in-aid to the town and city of Bridgeport for design	1873(90)	1,000,000	580,579
and construction of the Ox Brook flood control project. SA			
90-34, Sec. 23(d)(34)			
Grant-in-aid to the town and city of Bridgeport for design	1873(90)	1,150,000	995,000
and construction of a flood control project in the northeast			
corner of said town and city. SA 90-34, Sec. 23(d)(33)			
Mill Brook - Piper Brook flood control project in Newington	3871(87)	2,207,050	0
and New Britain, including replacement of bridges over Piper	3911	815,000	440,000
Brook. SA 87-77, 2(d)(11), SA 91-7, (JSS), Sec. 2(d)(6) & 105			
Yantic River flood control project, Norwich and Franklin, SA	3921	2,700,000	1,200,000
92-3, (MSS), Sec. 2(g)(3)			
Various flood control and shore and erosion control	3871(88)	127,000	0
projects, SA 88-77, Sec. 2(j)(4); SA 89-52, Sec. 2(g)(4); SA	3891	1,198,642	0
90-34, Sec. 2(h)(5); SA 91-7, (JSS), Sec. 126; SA 93-2,	3901	1,000,000	7,781
(JSS), Secs. 2(g)(5) & 30(d)(5); SA 95-20, Secs. 2(h)(3) &	3931(93)	1,500,000	0
22(h)(4); SA 97-1, (J5 SS), Secs. 2(g)(3) & 83	3931(94)	1,500,000	0
	3951	1,500,000	1,479,357
	3961	1,500,000	1,500,000
	3971	1,000,000	1,000,000
Lakes restoration program in accordance with sections	3961	1,000,000	943,750
22a-339a through 22a-339e of the general statutes.			
PA 96-181, Sec. 2(b)(2)			
Grants-in-aid to state agencies, regional planning agencies and	1873(93)	7,000,000	0
municipalities for water pollution control projects. SA 93-2	1873(94)	3,000,000	0
(JSS), Secs. 22(a)(2) & 50(a)(2); SA 95-20, Secs.13(a)(2) &	1873(95)	3,000,000	728,456
33(a)(2); SA 97-1, (J5 SS), Sec. 13(c)(1)	1873(96)	3,000,000	1,500,000
	1873(97)	3,000,000	3,000,000

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
Grants-in-aid to municipalities for the purpose of providing potable water, SA 93-2, (JSS), Sec. 22(a)(1) & Sec. 50(a)(1); SA 95-20, Sec. 13(a)(1) & 33(a)(1);SA 97-1, (J5 SS), Sec. 13(c)(2)	1873(93)	950,000	23,267
	1873(94)	2,000,000	0
	1873(95)	3,000,000	1,284,265
	1873(96)	3,000,000	3,000,000
	1873(97)	1,000,000	1,000,000
Grants-in-aid to municipalities for solid waste recycling including the purchase of collection equipment and materials; SA 88-77, Sec. 23(j)(2); SA 89-52, Sec. 23(a)(1); SA 90-34, Sec. 23(d)(1) & Sec. 273; SA 91-7, (JSS), Sec. 23(a); SA 92-3, (MSS), Sec. 135, 167 & 189	1873(89)	2,800,000	353,145
	1873(90)	14,000,000	14,886
	1873(91)	5,400,000	203,514
Identification, investigation, containment, removal or mitigation of contaminated industrial sites in urban areas, SA 93-2, (JSS), Sec. 22(a)(3) & Sec. 50(a)(3), SA 95-20, Sec. 13(a)(4); SA 97-1, (J5 SS), Sec. 13(c)(5)	1873(93)	10,000,000	10,000
	1873(94)	10,000,000	3,025,000
	1873(95)	5,000,000	5,000,000
	1873(97)	500,000	500,000
Containment, removal or mitigation of identified hazardous waste disposal sites, and for grants-in-aid to municipalities for new water mains to replace water supplied from contaminated wells. SA 87-77, Sec. 29(a); SA 89-52, Sec. 29(a); SA 91-7,(JSS), Sec. 120; SA 92-3, (MSS), Sec. 22(b)(1); SA 93-2, (JSS), Sec. 50(a)(5), SA 95-20, Secs. 13(a)(3) & 33(a)(3); SA 97-1, (J5 SS), Sec. 13(c)(3)	1874(87)	10,000,000	0
	1874(89)	5,000,000	975,000
	1873(92)	4,000,000	0
	1873(93)	5,000,000	0
	1873(95)	9,000,000	4,755,000
	1873(96)	9,000,000	9,000,000
	1873(97)	5,000,000	5,000,000
Grants-in-aid to establish a regional household hazardous waste program, for collection and disposal management. SA 93-2, (JSS), Sec. 22(a)(4) & Sec. 50(a)(4)	1873(93)	1,000,000	360,235
	1873(94)	500,000	500,000
Grant-in-aid to the town of Wethersfield for drainage and flood control improvements. SA 88-77, Sec. 23(j)(33)	1873(88)	1,750,000	1,454,785
Grant-in-aid to the town and city of Meriden for the deepening or dredging of Harbor Brook. SA 89-52, Sec. 23(a)(8)	1873(89)	200,000	185,000
Grants-in-aid to municipalities for improvements to incinerators and landfills. SA 93-2, (JSS), Sec. 22(a)(5)	1873(93)	3,900,000	555,000
Grant-in-aid to the Town of East Hartford for the purchase of land for open space of which $750,000 will be used for acquisition of land for downtown revitalization. SA 90-34, Sec. 23(d)(5); SA 95-20, Sec. 56; PA 96-181, Sec. 26	1873(90)	2,000,000	500,000
Grants-in-aid to municipalities for acquisition of land for public parks, recreational and water quality improvements, water mains and water pollution control facilities,	1873(93)	12,400,000	155,000
	1873(95)	8,000,000	8,000,000

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
including sewer projects. SA 93-2, (JSS), Sec. 22(a)(6); PA 96-181, Sec. 49; SA 97-1, (J5 SS), Sec. 13(c)(4)			
Grants-in-aid to municipalities for landfill closure and related expenses. SA 97-1, (J5 SS), Sec. 13(c)(6)	3971	6,000,000	6,000,000
For capitalization of the Special Contaminated Property Remediation and Insurance Fund established under PA 95-190. PA 96-250, Sec. 3(a)	3961	5,000,000	5,000,000
DEP: General Obligation Bonds for Clean Water Fund Projects			
Clean Water Fund, CGS 22a-483(a). PA 86-420; PA 93-1, (JSS), Sec. 12(a); PA 94-2, (MSS), Sec. 10; PA 95-272, Secs.1(b)(1) & 11; PA 97-1, (J5 SS), Sec. 15	6864	621,600,000	125,404,652
DEP: Clean Water Fund Revenue Bonds			
Grants-in-aid and low interest revolving loans through the Clean Water Fund, CGS 22a-483(d). PA 93-1, (JSS), Sec. 13(d); PA 94-2, (MSS), Sec. 11, PA 95-272, Sec. 12; PA 97-1, (J5 SS), Sec. 16	6864	784,600,000	182,960,160
Historical Commission			
Repairs and improvements to the Kent Iron Furnace at the Sloane-Stanley Museum, Kent, SA 93-2, (JSS), Sec. 2(h)	3931	200,000	200,000
Grants-in-aid for restoration and preservation of historic structures and landmarks. SA 93-2, (JSS), Sec 22(b); SA 95-20, Secs. 13(f) & 33(c); SA 97-1, (J5 SS), Sec. 13(d)	1873(93) 1873(95) 1873(95) 1873(97)	300,000 150,000 150,000 150,000	0 0 0 150,000
Department of Economic and Community Development			
Housing development and rehabilitation, including moderate cost housing, moderate rental, congregate and elderly housing, urban homesteading, community housing development corporations, housing purchase and rehabilitation, housing for the homeless, housing for low income persons, limited equity cooperatives and mutual housing projects, removal and disposal of hazardous materials including asbestos and lead-based paint in public housing projects and residential structures (at least $5 million of the total for grants and loans, including technical assistance, for lead-based paint abatement program, including acquisition and related costs of lead-free housing), emergency repair assistance for senior citizens, housing land bank and land trust, housing and community development, predevelopment grants and loans, reimbursement for state and federal surplus property,	1800(93) 1800(93) 1800(95) 1800(96) 1800(97)	28,000,000 35,977,506 50,000,000 40,000,000 18,000,000	6,567,742 0 787,338 33,500,000 18,000,000

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
private rental investment mortgage and equity program, housing infrastructure, septic system repair loan program, construction, acquisition and related rehabilitation, funding under various programs with respect to projects of the Corporation for Supportive Housing funding under various programs with respect to participation in the Urbank Program, and participation in federal programs, together with administrative of the Department of Housing associated with those programs that are eligible under the General Statutes. SA 88-77, Sec. 9; SA 89-52,Sec.9 & Sec. 172; SA 90-34, Sec. 9(a)(1) & (2); SA 91-7, (JSS), Sec. 9; SA 92-3, (MSS), Sec. 9(a) & 157; SA 93-2,(JSS), Secs. 9 & 37; PA 94-2, (MSS), Secs. 142, 161 & 182; SA 95-20, Secs. 9, 29, 77 & 82; PA 96-181, Sec. 77; SA 97-1, (J5 SS), Secs. 9 & 168.			
Economic and community development projects, including administrative costs incurred by the Department of Economic and Community Development of which not more than two million dollars shall be used. PA 79-607, Sec. 21(b)(1); PA 93-1, (JSS), Sec. 1(b)(1), PA 95-272, Sec. 1(b)(1); PA 96-181, Sec. 104; PA 97-1, (J5 SS), Sec. 2(b)(1)	3795 3795(97)	58,500,000 4,400,000	2,680,453 4,400,000
Economic Development and Manufacturing Assistance Act of 1990 and the Connecticut job training finance demonstration program. CGS 32-235(a) & (b); PA 90-270, Sec. 33; PA 91-4, Sec. 22; PA 91-340; PA 92-7, Secs. 24(a) & 24(b); PA 93-433, Sec. 7; PA 93-1, (JSS), Sec. 20(a) & 20(b); PA 94-2, (MSS), Sec. 196(a), PA 95-272, Sec. 20; PA 97-1, (J5 SS), Sec. 18	1502 1502(97)	302,500,000 17,800,000	12,567,302 17,800,000
Grant-in-aid to the Science Museum for development of a new facility in East Hartford. SA 89-52, Sec. 23(b)(3); SA 90-34, Sec. 23(e)(3); SA 93-2, (JSS), Sec. 50(b)(3)	1873(93)	4,500,000	4,500,000
Environmental Assistance Revolving Fund. CGS Sec. 32-23ss(a); PA 96-181, Sec. 119	1502	2,000,000	2,000,000
Connecticut Development Authority			
Connecticut Works Fund. CGS 32-23ll; PA 91-319; PA 91-3, (JSS), Sec. 149; PA 92-7, Secs. 25 & 35; PA 93-433, Sec. 6; PA 93-1, (JSS), Secs. 1(a) & 31; PA 94-2, (MSS), Sec. 201; PA 95-272, Sec. 15	1504	128,000,000	33,000,000
Guarantees of loans and the reinvestments program. CGS 32-262; PA 92-236, Sec. 3; PA 93-1, (JSS), Sec. 27(a); PA 94-2, (MSS), Sec. 190	1501	39,000,000	9,000,000

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
Connecticut Innovations, Incorporated			
Grants-in-aid for Connecticut Small Business Innovation Research Assistance Program. SA 93-2, (JSS), Sec. 50(c)(4)	1873	1,000,000	1,000,000
Promote the research, development and marketing of new technologies and products - Innovation Capital Act of 1989. CGS 32-41; PA 93-1, (JSS), Sec. 18; PA 95-272, Sec. 17	6024	58,250,000	10,395,100
Loans for the development and marketing of products in the technology field within the state. CGS Sec 32-41b(10); PA 93-1, (JSS), Sec 19(l)	6024	44,000,000	10,000,000
Royalty financing for start-up costs and product development costs of high technology products and procedures in the state. CGS Sec. 32-41b(2)	6024	7,450,000	4,400
Charles Goodyear Cooperative Research and Development grants - Matching funds for cooperative high technology research and development projects and programs within Connecticut. CGS 10a-25b(b)(2)(A); SA 91-7, (JSS), Sec. 23(b)(4); SA 92-3, (MSS), Sec. 22(d)(3); SA 93-2, (JSS), Secs. 22(d)(2) & 50(c)(2); SA 95-20, Secs.13(c)(3) & 33(b)(4)	1873(93) 1873(93) 1873(95) 1873(96)	1,000,000 1,000,000 1,000,000 1,000,000	0 0 0 1,000,000
Department of Public Health			
Grants-in-aid to community health centers and primary care organizations for the purchase of equipment, renovations, improvements, and expansion of facilities, including acquisition of land and/or buildings. SA 91-7, (JSS), Sec. 23(c)(1); SA 93-2,(JSS), Secs. 22(e) & 50(d); PA 94-2, (MSS), Sec. 166; SA 97-1, (J5 SS), Sec. 13(f)	1873(91) 1873(93) 1873(93) 1873(97)	1,000,000 1,500,000 7,000,000 1,000,000	38,268 50,549 57,271 1,000,000
Grants-in-aid to nonprofit organizations for the purchase or renovation of buildings to provide nursing care for persons with acquired immune deficiency syndrome or AIDS-related complex. SA 91-7, (JSS), Sec. 23(c)(2); SA 94-2, (MSS), Sec. 116	1873	3,500,000	360,000
Department of Mental Retardation (DMR)			
Fire, safety and environmental improvements, including	3851	938,824	0
improvements in compliance with current codes, intermediate	3861	3,471,989	0
care facility standards, site improvements, handicapped	3871	7,000,000	0
access improvements, utilities, repair or replacement of	3871	2,875,000	0
roofs, air conditioning, and other interior and exterior	3901	3,044,800	0
building renovations and demolition at all state-owned	3921	5,230,000	0
facilities, and installation of sprinkler systems at	3931	4,050,000	0
Southbury Training School and various department facilities,	3931	1,184,057	0

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
SA 79-95, Sec.2(g)(2); SA 80-41, Sec. 2(g)(5); SA 85-102, Sec. 2(k)(1); SA 86-54, Sec. 2(g)(1); SA 87-77, Secs. 2(f)(1) & 2(m)(1); SA 89-52, Sec. 2(i)(1), Secs. 102, 116 & 138; SA 90-34, Sec. 2(i)(1); SA 92-3, (MSS), Sec. 2(h)(1); SA 93-2, (JSS), Secs. 2(i)(1), 30(e)(1) & 176; SA 95-20, Sec. 2(i)(2) & 22(i)(2); SA 97-1, (J5 SS), Secs. 102 & 156	3951 3961	7,000,000 4,000,000	1,423,387 4,000,000
Land acquisition, construction or purchase and renovation of specialized group homes, SA 80-41, Sec. 2(g)(2); SA 82-46, Sec. 2(i)(2); SA 84-54, Sec. 2(i)(1); SA 85-102, Sec. 141; SA 86-54, Sec.121; SA 90-34, Sec. 96; SA 91-7, (JSS), Sec. 2(e); SA 92-3, (MSS), Sec. 2(h)(3), 61 & 176; SA 93-2, (JSS), Sec. 177; SA 97-1, (J5 SS), Sec. 2(h)(2)	3841 3911 3921 3971	1,972,219 2,164,591 7,870,000 1,365,000	0 0 165,640 1,365,000
Renovations and improvements for compliance with the Americans with Disabilities Act at all regional facilities and at Southbury Training School. SA 95-20, Sec. 2(i)(3)	3951	1,100,000	1,100,000
Additions, alterations renovations, improvements to buildings and grounds including utilities and mechanical systems, code compliance and energy conservation. SA 95-20, Sec. 22(i)(1)	3961	500,000	500,000
Additions to the Community Residential Revolving Loan Fund created under section 17a-221 of the General Statutes for private non-profit providers for renovations and improvements to community-based residences, including life, safety, health and environmental improvements. SA 95-20, Sec. 33(d); PA 96-181, Sec. 97	1873	2,000,000	1,500,000
DMR: Southbury Training School			
Additions, alterations, renovations and improvements to buildings and grounds including utilities and mechanical systems, code compliance and energy conservation projects. SA 92-3, (MSS), Sec. 2(h)(2); SA 93-2, (JSS), Secs. 2(i)(2) & 30(e)(2); SA 95-20, Sec. 2(i)(1); SA 97-1, (J5 SS), Sec. 157	3921 3931 3931 3951	875,000 1,000,000 4,310,000 1,200,000	0 0 2,310,000 1,200,000
Department of Mental Health & Addiction Services			
Fire, safety and environmental improvements including improvements in compliance with current codes, site improvements, repair and replacement of roofs and other exterior and interior building renovations and demolition. SA 79-95, Sec.2(e); SA 84-54, Secs. 2(g) & 2(h)(1); SA 85-102, Sec 2(l); SA 86-54, Sec. 2(h)(1); SA 87-77, Secs. 2(g)(1), 2(h)(1) & 192; SA 88-77,Secs. 2(n), 2(o)(1) & 192; SA 89-52, Secs. 2(j) & 2(k)(1); SA 90-34, Secs. 2(j)(1) & 2(k); SA 92-3, (MSS), Secs. 2(i)(1) & 2(j); SA 93-2, (JSS), Secs.	3841 3851 3861 3871 3871 3871 3871 3891 3891	4,000,000 2,000,000 2,404,160 4,700,000 1,500,000 8,100,000 250,000 6,000,000 1,981,920	0 0 0 0 0 0 0 0 0

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
2(i)(1), 2(j)(1), 2(k), 30(f)(1) & 30(g); SA 95-20, Sec. 2(j)(1);	3901	6,000,000	0
PA 96-181, Secs. 37, 44, 55, 56 & 73; SA 97-1, (J5 SS),	3901	2,000,000	0
Secs. 2(i)(1), 87 & 204	3921	2,120,000	0
	3921	152,010	0
	3931	2,000,000	0
	3931	4,050,000	0
	3931	1,780,418	0
	3951	13,315,525	0
	3961	7,768,000	2,323,856
	3971	5,000,000	5,000,000
Grants-in-aid to private non-profit organizations for	3841	500,000	0
community residential and out patient facilities for	3841	350,000	0
development of facilities, acquisition. SA 84-54, Secs.	3851	498,999	0
2(j)(6) & 2(l); SA 85-102, Secs. 2(l)(1) & 2(m); SA 86-54,	3851	469,976	0
Sec. 23(d); SA 87-77, Sec. 23(b); SA 88-77,Sec. 23(b)(1);	1873(90)	500,000	0
SA 89-52, Secs. 23(d)(1), 104 & 105; SA 90-34, Sec.	1873(91)	1,000,000	0
23(h)(1); SA 91-7, Sec. 23(d); SA 92-3, (MSS), Secs. 22(e)(1)	1873(92)	500,000	0
& 22(f); SA 93-2, (JSS), Secs. 22(f), 22(g)(1), 50(e), 50(f)(1),	1873(92)	1,000,000	0
& 120; PA 94-2, Secs. 148 & 167; SA 95-20, Secs. 13(e) & 33(e);	1873(93)	750,000	0
PA 96-181, Secs. 50, 63 & 81; SA 97-1, (J5 SS), Sec. 176	1873(93)	592,713	0
	1873(93)	216,814	0
	1873(95)	2,100,000	383,498
	1873(96)	1,250,000	1,250,000
Grants-in-aid to private nonprofit organizations for new or	1873(90)	2,000,000	0
expanded community residential or outpatient alcohol and	1873(91)	1,000,000	0
drug abuse treatment facilities for capital costs related to	1873(93)	188,750	0
start-up. SA 88-77, Sec. 23(b)(2); SA 89-52, Sec. 23(d)(2);	1873(93)	811,250	724,760
SA 90-34, Sec. 23(h)(2) & 239; SA 91-7, (JSS), Sec. 23(e);			
SA 93-2, (JSS), Sec. 22(g)(2) & Sec. 50(f)(2); PA 94-2,			
Sec. 167; SA 97-1, (J5 SS), Secs. 151 & 176			
Air conditioning of various patient-occupied and patient	3871(87)	7,825,000	0
related areas in buildings at various facilities; SA 87-77,	3901	3,179,221	0
Sec. 2(g)(2); SA 90-34, Sec. 2(j)(2); SA 91-7, (JSS), Sec.	3911	3,100,000	0
2(f)(1); SA 92-3,(MSS), Sec. 2(i)(2); SA 93-2, (JSS), Secs.	3921	945,200	0
2(j)(2) & 30(f)(2); SA 97-1, (J5 SS), Secs. 104, 133, 143 & 158	3931	3,336,412	0
	3931	4,425,000	4,425,000
Alterations, renovations, additions and improvements,	3931	9,849,858	0
including new construction in accordance with the	3951	2,052,622	0
departmental master campus plan. SA 90-34, Sec. 2(j)(3);	3961	9,206,000	9,206,000
SA 93-2, (JSS), Sec. 30(f)(3); SA 95-20, Secs. 2(j)(2) &	3971	11,100,000	11,100,000
22(j)(2); SA 97-1, (J5 SS), Secs. 2(i)(2), 105, 159, 188 & 205			

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
Security improvements at various inpatient facilities, including renovations and improvements for an intermediate secure treatment unit. SA 90-34, Sec. 2(j)(4); SA 91-7, (JSS), Sec. 186	3901	2,000,000	856,950
Improvements to various mental health facilities in compliance with the American's with Disabilities Act. SA 93-2, (JSS), Secs. 2(j)(3) & 30(f)(4); SA 95-20, Sec. 2(j)(3)	3931	900,000	0
	3931	250,000	0
	3951	1,187,000	717,000
Design and installation of a sprinkler system in direct care patient buildings. SA 95-20, Sec. 22(j)(3)	3961	900,000	900,000
Department of Transportation (DOT) - Special Tax Obligation Bonds			
DOT: Bureau of Highways			
State bridge improvement, rehabilitation and replacement projects, SA 84-52,Sec.2(a)(2); SA 85-101, Sec. 2(a)(2); PA 86-391, Sec.2(b)(2);SA 87-76, Sec. 2(a)(2); SA 88-73, Sec. 2(a)(2); SA89-30,Sec. 2(a)(2); SA 90-1, (JSS), Sec. 2(a)(2); SA 92-1,(MSS), Sec. 2(b)(2); SA 93-1, (JSS), Sec. 2(b)(6) & 24(b)(5), PA 95-286, Sec. 2(b)(5), PA 95-286, Sec. 8(b)(5); PA 97-2, (J5 SS), Sec. 2(a)(5)	3842	36,400,000	0
	3842	38,000,000	0
	3842	20,000,000	0
	3842(96)	20,000,000	0
	3842(97)	20,000,000	20,000,000
Intrastate highway program, including the installation of concrete median barriers at various locations, SA 84-52, Sec. 2(a)(6); SA85-101,Sec.2(a)(6);PA86-391,Sec. 2(b)(6); SA 87-76,Sec. 2(a)(6); SA 88-73, Sec. 2(a)(5); SA 89-50, Sec. 2(a)(2);SA 90-1, (JSS), Sec. 2(a)(4); SA 92-1, (MSS), Sec. 2(b)(4); SA 93-1, (JSS), Sec. 2(b)(4) & 24(b)(3) PA 95-286, Sec. 2(b)(3), PA 96-286, Sec. 8(b)(3); PA 97-2, (J5 SS), Sec. 2(a)(3)	3842	30,300,000	0
	3842	26,200,000	0
	3842	8,750,000	0
	3842(96)	29,900,000	0
	3842(97)	31,500,000	31,500,000
Interstate highway program. SA 84-52, Sec. 2(a)(4); SA 85-101, Sec. 2(a)(4); PA 86-391, Sec. 2(b)(4); SA 87-76, Sec. 2(a)(4); SA 88-73, Sec. 2(a)(6); SA 89-50, Sec. 2(a)(3); SA 90-1,(JSS), Sec. 2(a)(5); SA 92-1, (MSS), Sec. 2(b)(5); SA 93-1, (JSS), Sec. 2(b)(1) & 24 (b)(1); PA 95-286 Sec. 2(b)(1); PA 95-286, Sec. 8(b)(1); PA 97-2, (J5 SS), Sec. 2(a)(1)	3842	4,500,000	0
	3842	4,500,000	0
	3842	11,500,000	0
	3842(96)	11,500,000	0
	3842(97)	11,500,000	11,500,000
Soil, water supply and groundwater remediation at and/or in the vicinity of various maintenance facilities and former disposal areas. SA 92-1, (MSS), Sec. 2(b)(6), SA 93-1, (JSS), Sec. 2(b)(5) & 24(b)(4), PA 95-286, Sec. 2(b)(4); PA 97-2, (J5 SS), Sec. 2(a)(4)	3842	6,000,000	0
	3842	6,000,000	0
	3842	6,000,000	0
	3842(96)	6,000,000	0
	3842(97)	6,000,000	6,000,000

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
Urban system program, SA 92-1, (MSS), Sec. 2(b)(7); SA 93-1,	3842	3,400,000	0
(JSS), Sec. 2(b)(3) & 24(b)(2), PA 95-286, Sec.2(b)(2), PA	3842	3,400,000	0
95-286, Sec. 8(b)(2); PA 97-2, (J5 SS), Sec. 2(a)(2)	3842	12,100,000	0
	3842(96)	12,000,000	0
	3842(97)	12,000,000	12,000,000
Capital resurfacing and related reconstruction projects. PA 97-2, (J5 SS), Sec. 13	3842(97)	9,000,000	9,000,000
DOT: Bureau of Aviation and Ports			
Improvements and renovations to ferry slips at various	3842	6,500,000	0
locations, deck repairs, additional warehouse space and site	3842	6,500,000	0
improvements at the State Pier, New London. SA 92-1, (MSS),	3842(96)	200,000	0
Sec. 2(c)(1); SA 93-1, (JSS), Sec. 2(d)(1) & 24(d)(1), PA 95-286 Sec. 2(c)(1); PA 97-2, (J5 SS), Sec. 2(b)(1)	3842(97)	200,000	0
Development and improvement of general aviation airport	3842	2,485,000	0
facilities, including grants-in-aid to municipal airports,	3842	2,000,000	0
excluding Bradley International Airport. SA 84-52, Sec.	3842	2,000,000	0
2(c); SA 85-101, Sec. 2(c); PA 86-391, Sec. 2(c)(1) & (2);	3842(96)	2,000,000	0
SA 87-76, Sec.2(c); SA 88-73, Sec. 2(c); SA 89-50, Sec.	3842(97)	5,000,000	5,000,000
2(c); SA 90-1, (JSS), Sec. 2(c); SA 92-1, (MSS), Sec. 2(c)(2); SA 93-1, (JSS), Sec. 2(d)(2) & 24(d)(2); PA 94-2, (MSS), Sec. 132, PA 95-286, Sec. 2(c)(2); PA 95-286, Sec. 8(c)(1); PA 97-2, (J5 SS), Sec. 2(b)(2)			
DOT: Bureau of Public Transportation			
Bus and rail facilities and equipment, including	3842	30,200,000	0
rights-of-way, other property acquisition and related	3842	26,300,000	0
projects. SA 84-52, Sec. 2(d); SA 85-101, Sec. 2(d); PA	3842	34,000,000	0
86-391, Sec. 2(d); SA 87-76, Sec. 2(d); SA 88-73, Sec. 2(d);	3842(96)	34,000,000	0
SA 89-50, Sec. 2(d); SA 90-1, (JSS), Sec. 2(d); SA 92-1,	3842(97)	34,000,000	34,000,000
(MSS), Sec. 2(d); SA 93-1, (JSS), Sec. 2(e) & 24(e); PA 95-286 Sec. 2(d) & 8(d); PA 97-2, (J5 SS), Sec. 2(c)			
Cost of issuance of special tax obligation bonds and debt	3842	21,175,000	0
service reserve. SA 85-101, Sec. 2(e); PA 86-391, Sec. 2(f);	3842	22,100,000	0
SA 87-76, Sec. 2(g); SA 88-73, Sec. 2(f); SA 89-50, Sec.	3842(96)	18,100,000	0
2(f); SA 90-1,(JSS), Sec. 2(f); SA 92-1, (MSS), Sec. 2(e);	3842(97)	15,625,000	15,625,000
SA 93-1, (JSS), Sec. 24(f); PA 95-286, Sec. 2(e) & 8(e); PA 97-2, (J5 SS), Sec. 2(c)			
Department of Social Services			
Child day care projects, elderly centers, shelter facilities	3795	39,100,000	2,136,779
for victims of domestic violence, emergency shelters and related facilities for the homeless, multi-purpose human resource centers, and food distribution facilities. CGS			

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
4-66c(b)(4); PA 79-607, Sec. 21(b)(4); PA 83-343, Sec. 3; PA 84-443, Sec. 1; PA 85-558, Sec. 2(b); PA 86-396, Sec. 3; PA 87-405, Sec. 1(b)(3); PA 922-7, Sec. 1; PA 93-1, (JSS), Sec. 1(b)(4)			
Grants to municipalities and state agencies for the purpose of planning, site preparation, construction, renovation or acquisition of facilities for use as child care facilities to be used primarily by the children of their employees, CGS 17-593(a); PA 84-443, Secs. 14-16; PA 85-558, Sec. 12; PA 86-396, Sec. 17; PA 88-343, Sec. 10, PA 89-331, Sec. 17; PA 90-927; PA 93-1, (JSS), Sec. 24(a)	1843	5,775,000	1,483,095
Financial assistance to nonprofit corporations to provide housing and related facilities for persons with AIDS, CGS 17-600(c). PA 87-553; PA 86-343, Secs. 25-26; PA 89-331, Sec 18; PA 90-297, Sec. 9; PA 91-4, Sec. 15; PA 92-7, Sec. 12; PA 93-1, (JSS), Sec. 25; PA 95-272, Sec. 9	1879	9,100,000	4,120,195
Grants-in-aid for neighborhood facilities, child day care	1873(85)	1,000,000	0
projects, elderly centers, multipurpose human resource	1873(87)	1,300,000	0
centers, shelter facilities for victims of domestic violence	1873(88)	3,995,521	0
and related facilities for victims of domestic violence,	1873(89)	2,200,000	39,500
emergency shelters and related facilities for the homeless	1873(90)	1,275,000	0
and food distribution facilities. SA 85-102 2(n)(1); SA 87-77, Sec. 23(c)(1);	1873(91)	7,997,271	0
SA 88-77, Sec.23(c)(1); SA 89-52, Sec. 23(e)(1); SA 90-34,	1873(92)	3,800,000	98,176
Sec. 23(i)(1); SA 91-7, (JSS), Sec. 23(f); SA 92-3, (MSS),	1873(93)	3,500,000	103,300
Sec. 22(g); SA 93-2, (JSS), Sec. 22(h)(1) & Sec. 50(g)(1)	1873(93)	1,500,000	130,000
SA 95-20, Sec. 13(g) & 33(f); SA 97-1, (J5 SS), Secs. 13(g),	1873(95)	3,000,000	126,649
77 & 128	1873(96)	3,000,000	2,375,000
	1873(97)	4,000,000	4,000,000
Department of Education (DOE)			
School building projects, CGS Sec. 10-287d. PA 90-297; PA 91-4, (JSS), Sec. 11; PA 92-7, Sec. 10; PA 93-1, (JSS), Sec. 10; PA 95-272, Sec. 8; PA 97-265, Sec. 81	3089	1,446,100,000	176,750,000
Grants to the town and city of Hartford for the purpose of a school building project for a facility for the Montessori Building Blocks magnet school. SA 89-52, Sec. 39(b); SA 93-21, Sec. 1(a); PA 94-2, Secs. 78, 79 & 80	3089	36,000,000	14,622,600
Grants to the town and city of Hartford for the purpose of a school building project for the magnet inter-district University School at the University of Hartford. SA 93-21, Sec. 4(a); PA 94-2, (MSS), Sec. 170	3089	16,650,000	15,817,500

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
Grant to the Capitol Region Education Council for the purpose of a school building project for a facility for the Early Childhood Regional Educational Center. SA 93-21, Sec. 6(a); PA 94-2, (MSS), Secs. 171 & 172	3089	14,500,000	13,935,000
Grants to the town and city of Hartford for the purpose of a school building project for the magnet science, math and technology resource high school. SA 93-21, Sec. 12(a); PA 94-2, (MSS), Secs. 175 & 176; SA 95-20, Secs. 88 & 89; PA 96-181, Secs. 65 & 66	3089	6,051,000	2,379,200
Grants-in-aid to municipalities and regional school districts and regional education service centers for technology wiring of schools (at least $3 million of the total for Bridgeport, Hartford and New Haven). SA 95-20, Sec. 42(a); SA 97-1, (J5 SS), Sec. 13(h)	3089	20,400,000	10,000,000
Grant-in-aid to project LEARN for the development of a Southeastern Connecticut Regional Multicultural Magnet School. SA 95-20, Secs. 40, 41 & 41(a)	3090	9,600,000	8,238,199
DOE: American School for the Deaf			
Infrastructure repairs and improvements, including fire,	3841	545,000	0
safety and related code improvements to buildings and	3871	244,200	0
grounds. SA 84-54, Sec. 2(n)(9); SA 87-77, 2(i)(5)(B);	3921	1,033,000	193,000
SA 92-3, (MSS), Sec. 2(k)(3)			
Implementation of master plan. SA 93-2, (JSS), Secs. 2(l)(7)	3931	1,500,000	750,000
& 30(h)(5)	3931	1,500,000	0
Alterations, renovations and improvements to the Butterworth Building. SA 97-1, (J5 SS), Sec. 2(j)(5)(A)	3971	700,000	700,000
Cottage Dormitory. SA 97-1, (J5 SS), Sec. 2(j)(5)(B)	3971	1,213,000	1,213,000
DOE: Regional Vocational-Technical Schools			
Replace and update shop equipment for the trades program.	3841	2,000,000	0
SA 78-81, Sec. 2(n)(1)(D); SA 79-95, Sec. 2(j)(3)(A);	3851	2,000,000	0
SA 80-41, Sec. 2(i)(1)(A); SA 81-71,Sec. 2(i)(1)(B);	3861	2,000,000	0
SA 82-46, Sec. 2(l)(4); SA 84-54, Sec. 2(n)(6); SA 85-102,	3871	2,000,000	0
Sec. 2(o)(1); SA 86-54, Sec. 2(j)(1); SA 87-77, Sec. 2(i)(1)(A);	3881	2,000,000	0
SA 88-77, Sec. 2(q)(1)(A); SA 89-52,Sec. 2(l)(1)(A); SA 90-34,	3891	2,000,000	0
Sec. 2(l)(1)(A); SA 91-7, (JSS), Sec. 2(g)(1); SA 92-3, (MSS),	3901	2,500,000	0
Sec. 2(k)(1); SA 93-2, (JSS), Secs. 2(l)(1) & 30(h)(1);	3911	2,000,000	0
SA 95-20, Sec. 2(k)(3) & 22(k)(5); SA 97-1, (J5 SS),	3921	2,000,000	0
Sec. 2(j)(4)	3931	2,000,000	0
	3931	2,000,000	0

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
	3951	2,000,000	0
	3961	2,000,000	2,000,000
	3971	2,000,000	2,000,000
Fire, safety and handicapped code improvements, including	3931	2,500,000	614,860
site and building improvements in accordance with current	3931	2,000,000	2,000,000
codes, SA 93-2, (JSS), Secs. 2(l)(4) & 30 (h)(4); SA 95-20	3951	3,000,000	3,000,000
Secs. 2(k)(2) & 22(k)(4); SA 97-1, (J5 SS), Sec. 2(j)(2)	3961	3,000,000	3,000,000
	3971	1,000,000	1,000,000
Installation of automatic sprinkler systems, SA 90-34, Sec. 2(l)(1)(D)	3901	2,000,000	2,000,000
Replace trucks and buses. SA 93-2, Secs. 2(l)(2) & 30(h)(2);	3931	500,000	0
SA 95-20, Sec. 22(k)(2)	3931	250,000	0
	3961	400,000	400,000
Instructional technology/computer networking at all regional	3961	1,500,000	1,500,000
vocational-technical schools. SA 95-20, Sec. 22(k)(1);	3971	1,000,000	1,000,000
SA 97-1, (J5 SS), Sec. 2(j)(1)			
Alterations and improvements to buildings and grounds,	3871(87)	520,231	0
including roof replacement, utilities and mechanical systems	3871(88)	1,000,000	0
and replacement of underground storage tanks. SA 87-77,	3891	2,000,000	0
Sec. 2(i)(1)(C); SA 88-77, Sec. 2(q)(1)(B); SA 89-52, Sec.	3901	3,000,000	0
2(l)(1)(B); SA 90-34, Sec. 2(l)(1)(C); SA 91-7 2(g)(2);	3911	1,000,000	0
SA 92-3, Sec. 86; SA 93-2, Secs. 2(l)(3) & 30(h)(3);	3931	3,000,000	0
SA 95-20, Secs. 2(k)(1) & 22(k)(3); SA 97-1, (J5 SS),	3931	3,000,000	0
Sec. 2(j)(3)	3951	3,000,000	0
	3961	3,000,000	0
	3971	2,500,000	2,500,000
Additions and renovations in accordance with current codes	3931	18,900,000	17,777,337
at Bullard-Havens Regional Vocational-Technical School,			
Bridgeport. SA 93-2, (JSS), Sec. 2(l)(5)			
Commission on the Arts			
Connecticut Arts Endowment Fund for 501(c)(3) tax-exempt	1873(91)	1,000,000	0
non-profit organizations to be matched with private contributions.	1873(92)	500,000	0
SA 88-77, Sec. 29(a)(6); SA 89-52, Sec 29(c); SA 91-7,	1873(93)	750,000	0
(JSS), Sec. 23(h); SA 92-3, (MSS), Sec. 22(h)(1); SA 93-2,	1873(93)	750,000	0
(JSS), Secs. 22(i) & 50(h); SA 95-20, Secs. 13(h)(1) &	1873(95)	1,000,000	0
33(g)(1); SA 96-181, Sec. 82; SA 97-1, (J5 SS), Sec. 13(i)(1)	1873(96)	1,000,000	0
	1873(97)	1,000,000	1,000,000

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
State Library			
Grants-in-aid to public libraries, as defined in subdivision (2)	1873(90)	3,500,000	0
of section 11-24(a) of the general statutes, for construction	1873(91)	2,000,000	0
of public libraries. SA 87-77, Sec. 23(d)(2); SA 88-77,	1873(93)	2,000,000	0
Sec. 23(d)(2); SA 88-77, Sec.212; SA 89-52, Sec. 23(g)(1);	1873(93)	2,000,000	0
SA 90-34, Sec. 23(k)(2); SA 91-7,(JSS),Sec.23(g)(2);SA 93-2,	1873(95)	2,000,000	0
(JSS), Sec. 22(j) & Sec. 50(i), SA 95-20, Sec. 13(h)(2) &	1873(96)	2,000,000	0
33(g)(2); SA 96-181, Secs. 30, 35, 51, 83, & 99; SA 97-1,	1873(97)	2,500,000	2,500,000
(J5 SS), Sec. 13(i)(2)			
Library automation, including the connection to Connecticut	3931	160,000	1,900
State University computer catalog and the Legislative	3961	150,000	150,000
Information network. SA 95-20, Sec. 2(l)(1) & 22(l)(1)			
Grants-in-aid to public libraries for National Information	1873	100,000	100,000
Infrastructure Readiness, including equipment and			
subscription costs. SA 95-20, Sec. 33(g)(2)			
University of Connecticut			
Renovations and code required improvements at the Waterbury	3901	2,800,000	592,054
Campus, SA 90-34, Sec. 2(n)(1)(D); SA 91-7, (JSS), Sec. 191			
Improvements and alterations to Music building and the	3871(87)	500,000	0
Drama/Music building - Storrs. SA 87-77, Sec. 2(k)(5)(H);	3921	331,200	0
SA 92-3, (MSS), Sec. 2(l)(2)(G); SA 93-2, (JSS), Sec.	3931	9,070,000	9,070,000
2(n)(2)(A) & 180			
Planning for alterations and improvements to the White	3911	370,000	156,261
Building including new equipment- Storrs, SA 91-7, (JSS),			
Sec. 2(h)(2)(A)			
Ice rink enclosure and related facilities complex, SA 91-7,	3911	170,000	0
(JSS), Sec. 2(h)(2)(B); SA 93-2, (JSS), Sec. 2(n)(2)(C)	3931	150,000	120,000
Alterations and improvements to plaza deck including	3921	245,000	0
waterproofing of the Gant Complex. SA 92-3, (MSS), Sec.	3931	1,697,000	1,697,000
2(l)(2)(D); SA 93-2, (JSS), Sec. 30(j)(2)(A)			
Renovations and improvements to the Mansfield Training	3931	3,500,000	3,500,000
School, including telecommunications equipment. SA 93-2,			
(JSS), Sec. 30(j)(2)(B)			
Central Warehouse Building, SA 93-2, Sec. 30(j)(2)(C)	3931	3,565,000	3,565,000
Renovations and improvements including demolition and	3931	1,318,000	1,318,000
additions at the Avery Point Campus. SA 87-77, Sec.			

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
2(k)(2)(A); SA 88-77,Sec. 2(s)(2)(A); SA 90-34, Sec. 181 & 214; SA 93-2, (JSS), Sec. 30(j)(3)			
UCONN 2000 Infrastructure Renewal Program. PA 95-230, Sec. 7	FY 1997-98	93,146,000	93,146,000
University of Connecticut Health Center			
New and replacement equipment, academic research equipment,	3841	500,000	0
excluding books, SA 84-54, Sec. 2(p)(15)(B); SA 86-54, Sec.	3861	150,000	0
2(l)(5)(C); SA 87-77, Sec. 2(k)(7)(J); SA 89-52, Sec.	3871(87)	150,000	0
2(p)(1)(D); SA 90-34, Sec. 2(n)(5)(A)(iii); SA 91-7, (JSS),	3891	500,000	0
Sec. 2(h)(3)(A)(II); SA 92-3, (MSS), Sec. 2(m)(1)(C); SA	3901	675,000	0
93-2, (JSS), Secs. 2(o)(1)(B) & 30(k)(1)(B), SA 95-20,	3911	750,000	0
Sec. 2(m)(3) & 22(m)(4); SA 97-1, (J5 SS), Sec. 2(k)(2)	3921	750,000	0
	3931	2,200,000	0
	3951	2,200,000	2,200,000
	3961	2,200,000	2,200,000
	3971	1,100,000	1,100,000
Improvements, alterations and renovations to buildings and	3871(87)	1,000,000	0
grounds including utilities and mechanical systems in	3871(88)	1,000,000	0
accordance with the current master plan, Phase I, SA 86-54,	3901	2,600,000	0
Sec. 2(l)(5)(B); SA87-77,Sec. 2(k)(7)(G); SA 88-77, Sec.	3921	500,000	0
2(t)(4); SA 90-34, Sec. 2(n)(5)(A)(iv); SA 91-7, (JSS), Sec.	3931	500,000	0
94; SA 92-3,(MSS),Sec.2(m)(1)(D); SA 93-2, (JSS), Sec.	3931	500,000	0
2(o)(1)(C) & Sec. 30(k)(1)(C), SA 95-20, Sec. 2(m)(4) &	3951	2,500,000	2,500,000
Sec. 22(m)(1)	3961	2,500,000	2,500,000
Alterations and improvements in accordance with current	3921	750,000	430,000
codes, SA 92-3, (MSS), Sec. 2(m)(1)(B); SA 93-2, (JSS),	3931	750,000	750,000
Secs. 2(o)(1)(A) & 30(k)(1)(A), SA 95-20, Sec. 2(m)(1); PA	3931	750,000	750,000
96-181, Sec. 75	3951	3,575,000	3,575,000
Alterations and improvements to buildings and grounds including utilities and roads and code compliance projects. SA 97-1, (J5 SS), Sec. 2(k)(5)	3971	2,000,000	2,000,000
Information systems improvements and modifications including	3931	3,000,000	0
infrastructure improvements, SA 93-2, (JSS), Secs. 2(o)(1)(J)	3931	3,000,000	0
& 30(k)(1)(G), SA 95-20, Secs. 2(m)(2) & 22(m)(2)	3951	750,000	750,000
	3961	750,000	750,000
Replacement and improvements to network wiring, SA 95-20	3951	500,000	0
Secs. 2(m)(5) & 22(m)(6)	3961	500,000	500,000
Installation of backflow prevention devices. SA 97-1, (J5 SS), Sec. 2(k)(1)	3971	2,000,000	2,000,000

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
Development of additional areas for the library and related improvements. SA 97-1, (J5 SS), Sec. 2(k)(3)	3971	143,000	143,000
Code improvements, including fire, safety and handicapped code improvements. SA 97-1, (J5 SS), Sec. 2(k)(4)	3971	350,000	350,000
Regional Community-Technical College System (RCTCS)			
All Community-Technical Colleges			
Alterations and improvements to buildings for technical	3931	500,000	0
instruction and support space renovations, all colleges, SA	3931	500,000	500,000
93-2, (JSS), Sec. 2(p)(1)(D) & Sec. 30(l)(1)(D). SA 95-20,	3951	500,000	500,000
Sec. 2(n)(1)(E) & 22(n)(1)(E); SA 97-1, (J5 SS), Sec. 2(l)(1)(D)	3961	500,000	500,000
	3971	500,000	500,000
Alterations and improvements to buildings and grounds,	3861	500,000	0
including fire, safety and handicapped code compliance	3871(87)	536,000	0
projects, and purchase of property and related costs.	3871(88)	3,981,570	0
SA 86-54, Sec. 2(o)(1)(A); SA 87-77, Sec. 2(m)(1)(A);	3871(88)	250,000	0
SA 88-77, Secs 2(u)(1), 2(v)(1)(A) & 2(v)(1)(B); SA 89-52,	3871(88)	650,000	0
Secs. 2(q)(1)(B), 2(r)(1)(A) & 162; SA 90-34, Secs. 2(o)(1)(A)(ii)	3891	2,000,000	0
& 2(o)(2)(A)(ii); SA 91-7, (JSS), Secs. 2(j)(1)(B), 2(j)(4)(B)	3891	275,000	0
& 2(j)(1)(C); SA 92-3, Secs. 2(o)(1)(B), 2(o)(3)(B), 2(o)(1)(C)	3901	375,000	0
& 108; SA 93-2, (JSS), Sec. 2(p)(1)(A), 30(l)(1)(A) & 168;	3901	1,400,000	0
PA 94-2, (MSS), Sec. 157; SA 95-20, Sec. 2(n)(1)(A),	3911	500,000	0
22(n)(1)(A) & 79; PA 96-181, Sec. 94	3911	750,000	0
	3911	500,000	0
	3921	500,000	0
	3921	500,000	0
	3921	800,000	0
	3931	1,500,000	0
	3931	3,385,130	959,880
	3951	4,000,000	1,059,000
	3961	5,000,000	4,118,000
Deferred maintenance, renovations and improvements to facilities including fire, safety, energy conservation and code compliance. SA 97-1, (J5 SS), Sec. 2(l)(1)(B)	3971	4,000,000	4,000,000
Alterations and improvements in compliance with the Americans	3951	750,000	620,000
with Disabilities Act including fire, safety, and energy	3961	750,000	750,000
conservation projects. SA 95-20, Sec. 2(n)(1)(B),	3971	500,000	500,000
& 22(n)(1)(B); SA 97-1, (J5 SS), Sec. 2(l)(1)(C)			
New and replacement of equipment, including instruction	3861	250,000	0
research and/or laboratory equipment. SA 86-52, Secs. 2(n)(2)	3861	800,000	0
& 2(o)(1)(B); SA 87-77, Sec. 2(m)(1)(B); SA 90-34, Secs.	3871	250,000	0

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
SA 89-52, Secs. 2(q)(1)(A) & 2(r)(1)(B); SA 90-34, Secs.	3891	750,000	0
2(o)(1)(A)(i) & 2(o)(1)(A)(iii); SA 91-7, Secs. 2(j)(1)(A) & 2(j)(4)(C);	3891	1,000,000	0
SA 92-3, Secs. 2(o)(3)(A); SA 93-2, Secs. 2(p)(1)(B) & 30(l)(1)(B);	3901	1,500,000	0
SA 95-20, Sec. 2(n)(1)(C) & 22(n)(1)(C); SA 97-1, (J5 SS), Sec. 2(l)(1)(A)	3901	1,250,000	0
	3911	900,000	0
	3911	600,000	0
	3921	1,400,000	0
	3931	1,500,000	0
	3931	1,500,000	0
	3951	4,000,000	0
	3961	4,000,000	0
	3971	6,420,000	6,420,000
Telecommunications and data processing equipment - Purchase	3931	850,000	0
and installation of new systems including network of	3931	700,000	0
computer labs and college offices, and a compressed video	3951	500,000	0
system. SA 95-20, Secs. 2(n)(1)(D) & 22(n)(1)(D)	3961	500,000	500,000
SA 93-2, Secs. 2(p)(1)(C) & 30(l)(1)(C)			
RCTCS: Capital Community-Technical College			
Planning and design for renovations and improvements to the	3911	275,000	275,000
Woodland Street campus. SA 91-7, (JSS), Sec. 2(j)(5)(A);			
SA 93-2, (JSS), Sec. 169 ; PA 94-2, Sec. 108;			
Alterations, renovations and improvements to the Woodland	3911	250,000	250,000
Street campus SA 91-7, (JSS), Sec. 2(j)(5)(B); SA 93-2, (JSS),			
Sec. 169; PA 94-2, Sec. 108			
Alterations and improvements for development of a consolidated	3951	750,000	750,000
community-technical college campus at the Woodland Street			
facility. SA 95-20, Sec. 2(n)(7)			
RCTCS: Naugatuck Valley Community-Technical College			
Multipurpose equipment, SA90-34,Sec.2(m)(2),SA 91-7,	3921	500,000	500,000
(JSS), Sec. 2(i)(1);SA 92-3, Sec. 2(n)(1), SA 93-2, (JSS),			
Sec. 164 & 183			
Deferred maintenance, renovations and improvements including	3911	275,000	0
fire, safety and code compliance improvements, and energy	3921	200,000	0
conservation projects, SA91-7,(JSS),Sec.2(i)(2);SA	3931	500,000	0
92-3, (MSS), Sec.2(n)(2);SA93-2,(JSS), Sec. 2(p)(4),	3931	500,000	500,000
Sec. 30(l)(2), 164 & 183			
Alterations and improvements in compliance with the Americans	3951	936,000	855,500
with Disabilities Act. SA 95-20, Sec. 2(n)(3) & 22(n)(2)	3961	750,000	750,000

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
RCTCS: Norwalk Community-Technical College			
Renovations and improvements in accordance with current codes. SA 95-20 Sec. 2(n)(8) & 22(n)(3)	3951	1,200,000	562,000
	3961	1,200,000	1,200,000
Alterations and improvements to grounds for instructional and support space in accordance with the master plan including deferred maintenance improvements. SA 97-1, (J5 SS), Sec. 2(l)(2)	3971	3,000,000	3,000,000
RCTCS: Gateway Community-Technical College			
Planning and development of additional parking. SA 95-20, Sec. 2(n)(5)	3951	350,000	350,000
RCTCS: Three Rivers Community-Technical College			
Planning for renovations to existing buildings and additional facilities, including land acquisition for a consolidated campus. SA 95-20, Sec. 2(n)(6); SA 97-1, (J5 SS), Sec. 2(l)(4)	3951	400,000	400,000
	3971	1,600,000	1,600,000
RCTCS: Northwestern Community-Technical College			
Alterations and improvements, heating, ventilating and air conditioning systems, including energy conservation and code compliance. SA 97-1, (J5 SS), Sec. 2(l)(3)	3971	2,000,000	2,000,000
RCTCS: Middlesex Community-Technical College			
Alterations and improvements to heating, ventilating and air conditioning systems, including energy conservation and code compliance. SA 95-20, Sec. 22(n)(4)	3961	2,100,000	2,100,000
RCTCS: Manchester Community-Technical College			
Planning for Phase II development in accordance with the master plan. SA 97-1, (J5 SS), Sec. 2(l)(5)	3971	1,500,000	1,500,000
Connecticut State University System (CSUS)			
CSUS: All Universities			
Land acquisition and development for academic needs and for additional parking, all universities. SA 87-77, Sec. 2(l)(1)(B); SA 90-34, Sec. 2(p)(1)(B), SA 95-20, Secs. 2(o)(1)(B) & 51; PA 96-181, Sec, 2(d)(1)(B)	3871(87)	400,000	0
	3901	4,992,770	0
	3951	1,000,000	0
	3961	800,000	473,971
New and replacement instruction, research, laboratory and physical plant and administrative equipment. SA 91-7, Sec. 2(k)(1)(B); SA 93-2, Secs. 2(q)(1) & 30(m)(1); SA 95-20, Secs. 2(o)(1)(A) & 22(o)(1); PA 96-181, Sec. 2(d)(1)(A); SA 97-1, (J5 SS), Sec. 2(m)(1)	3911	1,500,000	0
	3931	4,000,000	0
	3931	4,000,000	0
	3951	4,000,000	0
	3951	4,000,000	0
	3961	4,000,000	0
	3971	8,000,000	8,000,000

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
CSUS: Central Connecticut State University			
Renovations to Copernicus Hall for additional classroom space. PA 94-2, (MSS), Sec. 17(e)(1), SA 95-20 Sec. 22(o)(2)(F)	3931	1,783,600	1,523,680
	3961	2,145,000	2,145,000
Planning for alterations and improvements to Copernicus Hall. SA 97-1, (J5 SS), Sec. 2(m)(5)(C)	3971	840,000	840,000
Renovations and improvements to buildings and grounds, deferred maintenance, and fire, safety and handicapped code compliance projects. SA 90-34, 2(p)(2)(B); SA 92-3, (MSS), Secs. 2(p)(2) & 13(b)(2); SA 93-2, (JSS), Secs. 2(q)(2)(A), 13(a)(2)(A), 30(m)(2) & 135; SA 95-20, (JSS), Secs. 2(o)(2)(A) & 22(o)(2)(A); PA 96-181, Secs. 42 & 47; SA 97-1, (J5 SS), Sec. 2(m)(5)(A)	3901	2,224,850	0
	3921	2,980,000	0
	3921	1,732,030	0
	3931	2,000,000	0
	3931	2,000,000	0
	3951	2,000,000	195,724
	3961	3,000,000	2,164,530
	3971	4,199,000	4,199,000
Warehouse addition and various renovation to East Hall. SA 95-20, Sec. 2(o)(2)(B).	3951	4,890,000	4,890,000
Planning for the upgrade primary electrical systems and various site improvements associated with the closure of Wells Street. SA 95-20, Sec. 22(o)(2)(B); SA 97-1, (J5 SS), Sec. 2(m)(5)(B)	3961	545,000	545,000
	3971	2,350,000	2,350,000
Planning for renovations and improvements to DiLoreto Hall. SA 95-20, Sec. 22(o)(2)(C)	3961	530,000	480,000
Planning for renovations and improvements to Willard Hall including demolition, upgrade of HVAC systems, and fire safety, and handicapped accessibility improvements. SA 95-20, Sec. 22(o)(2)(E).	3961	506,000	456,000
Development of a facility for the school of business. SA 95-20, Sec. 22(o)(2)(G)	3961	26,996,000	26,996,000
CSUS: Western Connecticut State University			
Alterations and improvements, (provided $125,000 shall be used to provide a radio transmitter for the radio station), Ruth Haas Library, Midtown Campus. SA 89-52, Sec. 2(s)(3)(A)(1); SA 90-34, Sec. 2(p)(5)(G) & 265; SA 93-2, (JSS), Sec. 2(q)(5)(B); SA 97-1, (J5 SS), Sec. 2(m)(3(C)	3901	1,400,000	833,700
	3931	13,000,000	12,875,000
	3971	2,200,000	2,200,000
Renovations and improvements to buildings and grounds, deferred maintenance, and fire, safety and handicapped code compliance and energy conservation projects. SA 92-3, (MSS)	3876(92)	1,655,000	0
	3921	2,508,000	0
	3931	1,500,000	85,817

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
Secs. 2(p)(5)(A)(2) & 13(b)(4)(B); SA 93-2, (JSS), Secs.	3931	1,500,000	222,146
2(q)(5)(A), 13(a)(1), 30(m)(5)(A) & 41(b)(1); SA 95-20, Sec.	3876(93)	500,000	0
2(o)(5)(A) & 22(o)(5)	3876(93)	1,000,000	0
	3951	1,000,000	10,750
	3961	3,000,000	3,000,000
Interior and exterior renovations and improvements and an	3931	797,000	755,700
addition to Higgins Hall at the Midtown Campus. SA 93-2	3971	1,403,000	1,403,000
(JSS), Sec. 30(m)(5)(B); SA 97-1, (J5 SS), Sec. 2(m)(3)(A)			
Modifications to Route 6 intersections, including utility and	3951	705,000	705,000
drainage system improvements. SA 95-20, Sec. 2(o)(5)(B)			
Alterations and improvements to utility support systems.	3951	2,996,000	2,996,000
SA 95-20, Sec. 2(o)(5)(C); SA 97-1, (J5 SS), Sec. 2(m)(3)(B)	3971	221,000	221,000
Construction and development of a fieldhouse, playing fields	3901	15,765,808	975,000
and related parking and site work. SA 90-34, Sec. 2(p)(5)(B);			
SA 91-7, Sec. 203; SA 95-20, Sec. 22(s)			
CSUS: Southern Connecticut State University			
Renovations and improvements to buildings and grounds,	3921	6,403,000	0
deferred maintenance, and fire, safety and handicapped code	3921	6,096,000	0
compliance and energy conservation projects. SA 92-3, (MSS)	3931	500,000	0
Secs. 2(p)(3)(B) & 13(b((3)(B); SA 93-2, (JSS), Secs. 2(q)(3)(A),	3931	2,000,000	0
13(a)(3), 30(m)(3)(A) & 41(b)(3)(A), SA 95-20, Sec. 2(o)(3) &	3876(93)	550,000	0
22(o)(3)	3876(93)	2,000,000	0
	3951	2,000,000	321,707
	3961	4,000,000	2,645,880
Replacement of steam and electrical lines . SA 93-2, (JSS)	3931	535,000	535,000
Sec. 30(m)(3)(C)			
Planning for new physical plant building. PA 96-181, Sec.	3961	600,000	0
2(d)(3)(B); SA 97-1, (J5 SS), Sec. 2(m)(2)(A)	3971	7,682,000	7,682,000
Renovations and improvements to Jennings Hall. SA 86-54,	3861	500,000	0
2(m)(2)(B); SA 87-77, Sec. 2(l)(4)(E); SA 97-1, (J5 SS),	3871(87)	500,000	0
Sec. 2(m)(2)(B)	3971	2,770,000	2,770,000
Demolition of Prescott Street Building and development of a	3971	555,000	555,000
vehicle parking area. SA 97-1, (J5 SS), Sec. 2(m)(2)(C)			
CSUS: Eastern Connecticut State University			
Development of Admissions Building. SA 90-34,	3921	489,000	489,000
Sec. 2(p)(3)(D); SA 92-3, (MSS), Sec. 2(p)(4)(A);			
SA 97-1, (J5 SS), Sec. 135			

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
Alterations and improvements to buildings, deferred maintenance, and fire safety and code compliance improvements . SA 92-3, (MSS), Sec. 2(p)(4)(B), SA 95-20 Sec. 2(o)(4)(A); SA 97-1, (J5 SS), Sec. 2(m)(4)(A)	3921 3951 3971	1,532,000 3,500,000 807,000	0 0 807,000
Renovations and improvements to buildings and grounds and relocation and development of outdoor athletic complex and planning for a new library complex, SA 93-2, (JSS), Sec. 2(q)(4); SA 95-20, Sec. 22(o)(4)(A);	3931 3961	5,650,000 3,000,000	66,099 2,888,680
Planning for the replacement of a prefabricated, buried high temperature hot water distribution system located on the North Campus, and additional parking. SA 95-20, Sec. 22(o)(4)(c); SA 97-1, (J5 SS), Sec. 2(m)(4)(B)	3961 3971	472,000 1,535,000	472,000 1,535,000
Planning for a security monitoring system throughout the North and South Campus, and additional lighting. SA 95-20, Sec. 22(o)(4(C)	3961	98,000	98,000
Planing for perimeter road and additional parking. PA 96-181, Sec. 2(d)(2)(A); SA 97-1, (J5 SS), Sec. 2(m)(4)(C)	3961 3971	150,000 1,080,000	0 1,080,000
Purchase and installation of new equipment for the new library. PA 96-181, Sec. 2(d)(2)(B)	3961	800,000	800,000
Acquisition of a building and planning for renovations for use as a learning resource center. SA 97-1, (J5 SS), Sec. 2(m)(4)(D)	3971	500,000	500,000
CSUS: Central Connecticut State University Self Liquidating Bonds			
Renovations and improvements for energy conservation SA 93-2 (JSS), Sec. 13(a)(2)(B)	3876	850,000	758,400
CSUS: Eastern Connecticut State University Self Liquidating Bonds			
Planning for the installation of sprinkler systems in residence halls and alterations and improvements to Hurley Hall; SA 91-7, (JSS), Sec. 13(b)(2)(A); SA 92-3, (MSS), Sec. 186PA 94-2, (MSS), Sec. 115	3876(91)	946,000	946,000
Department of Correction (DOC)			
DOC: Correctional Centers and Institutions			
Renovations and improvements to existing state-owned buildings for inmate housing, programming and staff training space and additional inmate capacity, including support	3871 3871 3891	36,156,692 45,083,589 150,000,000	0 0 0

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
facilities and demolition, various projects at various	3901	142,200,000	0
locations. SA 87-77, Sec. 2(o)(2); SA 88-77, Sec. 2(x)(4);	3911	26,500,000	4,740,160
SA 89-52, Sec. 2(u)(3); SA 90-35, Sec. (a); SA 90-34, Sec.	3921	27,229,444	25,909,444
2(q)(1); SA 91-7,(JSS), Sec. 2(l)(A); SA 92-3, (MSS), Sec.	3971	5,000,000	5,000,000
2(q); PA 94-2, (MSS), Sec. 105; SA 95-20, Sec. 68;			
PA 96-181, Sec. 33; SA 97-1, (J5 SS), Secs. 2(n), 52,			
71 & 136			
Grants-in-aid for community residential facilities for	1873	945,883	0
alterations, repairs and improvements, SA 87-77, Sec. 23(e);	1873	1,300,000	221,338
SA 88-77, Sec.23(e);SA 89-52, Sec. 23(i); SA 90-34, Sec.	1873	3,330,000	1,735,767
23(m)(2); SA 97-1, (J5 SS), Secs. 79 & 118			
DOC - Cheshire			
Improvements, alterations and renovations to buildings. SA	3094	164,770	0
72-31, Sec. 2(n)(1)(B); SA 82-46, Sec. 2(r)(2)(A); SA 83-17,	3821	2,907,558	0
(JSS), Sec. 207; SA 85-102, Sec. 2(u)(3); SA 87-77, Sec.	3851	886,420	0
242; SA 89-52, Sec. 2(u)(5)(A); SA 91-7, (JSS), Sec. 55;	3891	26,432,000	5,000,000
SA 97-1, (J5 SS), Secs. 44 & 91			
Department of Children and Families (DCF)			
Grants-in-aid to private nonprofit mental health clinics for	1873	100,000	0
children for fire, safety and environmental improvements,	1873	500,000	0
including expansion of an existing clinic. SA 86-54, Sec.	1873	250,000	0
23(i)(2); SA 87-77,Sec. 23(f)(2); SA 88-77, Sec. 23(f)(2);	1873	250,000	0
SA 89-52, Sec.23(j)(2); SA 90-34, Sec. 23(n)(2); SA 91-7,	1873	250,000	0
(JSS), Sec. 23(i)(2) & 143; SA 92-3, (MSS), Sec. 22(i)(2);	1873	250,000	0
SA 93-2, (JSS), Sec. 50(j)(2); SA 95-20, Sec. 13(i)(2) &	1873	500,000	500,000
33(h)(2); SA 97-1, (J5 SS), Sec. 13(j)(1)			
For renovation of a building at or adjacent to Connecticut	3921	920,000	1,707
Valley Hospital for a training academy, SA 92-3, (MSS), Sec.			
2(r)(2); SA 93-2, (JSS), Sec. 187; PA 96-181, Sec. 38			
Alterations and improvements in accordance with the Americans	3951	1,300,000	509,500
with Disabilities Act. SA 95-20, Sec. 2(p)(2)			
DCF: Long Lane School			
Alterations, renovations and improvements to buildings and	3831	50,000	0
grounds including utilities and mechanical systems,	3871	1,700,000	0
additions, new construction and demolition. SA 83-17, (JSS),	3901	4,840,000	2,729,075
Sec. 2(p)(1); SA 88-77, Sec. 2(y)(2); SA 90-34, Sec. 2(r)(2);	3931	10,000,000	10,000,000
SA 92-3, (MSS), Sec.155; SA 93-2, (JSS), Sec. 30(n)(1);	3971	1,300,000	1,300,000
SA 97-1, (J5 SS), Sec. 72 & 164			
DCF: State Receiving Home			
Development of facilities, including site development,	3911	500,000	0

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
alterations and improvements to existing buildings and	3921	3,000,000	0
demolition at the State Receiving Home, East Windsor; SA	3931	3,189,000	0
91-7, (JSS), Sec. 2(m)(2); SA 92-3, (MSS), Sec. 2(r)(3); SA	3931	5,330,000	4,977,100
93-2, (JSS), Sec. 2(r) & Sec. 30(n)(2); SA 97-1, (J5 SS),	3971	3,500,000	3,500,000
Sec. 2(o)			
Judicial Department (JD)			
Renovations, repairs and improvements to state-owned	3861	500,000	0
buildings and grounds at state maintained facilities,	3871	1,000,000	0
including Americans with Disabilities code compliance and	3871	1,500,000	0
other code improvements and energy conservation measures.	3891	1,000,000	0
SA 86-54, Sec.2(r)(4); SA 87-77, Sec. 2(q)(6); SA 88-77, Sec.	3931	5,000,000	0
2(z)(1); SA 89-52, Secs. 2(w)(4), 118, 143 & 167; SA 91-7,	3931	2,000,000	0
(JSS), Sec. 164; SA 93-2, (JSS), Sec. 2(s)(3) & 30(o)(2);	3951	4,000,000	3,149,535
SA 95-20, Sec. 2(r)(2) & 22(r)(3); SA 97-1, (J5 SS), Sec. 2(p)(1)	3961	4,000,000	4,000,000
	3971	5,000,000	5,000,000
Security improvements to state-owned or leased judicial	3871	500,000	0
facilities including security equipment; SA 88-77, Sec.	3891	500,000	0
2(z)(4); SA 89-52, Sec. 2(w)(6) & 169; SA 90-34, Sec.	3901	500,000	0
2(s)(6); SA 91-7, (JSS), Sec. 2(n)(2), 166 & 206; SA 93-2,	3931	500,000	0
(JSS), Sec. 2(s)(4) & Sec. 30(o)(4); SA 95-20, Sec. 2(r)(3) &	3931	1,000,000	1,000,000
22(r)(4)	3951	500,000	500,000
	3951	500,000	500,000
Purchase and installation of capital equipment. SA 97-1, (J5 SS), Sec. 2(p)(4)	3971	5,000,000	5,000,000
Feasibility study for the reuse of the former Mystic Oral School site as a Juvenile Matters and Detention Center for the Southeast Regional. SA 97-1, (J5 SS), Sec. 2(p)(3)	3971	100,000	100,000
JD: Bridgeport			
Planning for addition for the Juvenile Matters and Detention	3901	500,000	375,500
Center Complex. SA 90-34, Sec. 2(s)(3); SA 93-2, (JSS), Sec.	3931	1,308,000	1,308,000
30(o)(3); SA 95-20, Sec. 2(r)(1); PA 96-181, Sec. 2(e)(1)	3951	17,592,000	17,592,000
	3961	4,500,000	4,500,000
JD: Danielson			
Development of a new courthouse complex, SA 92-3, (MSS),	3921	6,622,000	6,622,000
Sec. 2(s)(4), PA 96-181, Sec. 2(e)(2)	3961	2,500,000	2,500,000
JD: Hartford			
Planning for an addition to the Juvenile Matters Detention	3951	812,000	682,000
Center, including acquisition of adjacent property. SA 95-20,	3971	11,748,000	11,748,000
Sec. 2(r)(5); SA 97-1, (J5 SS), Sec. 2(p)(2)			

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
JD: Litchfield			
Planning for the development of a Litchfield JD/GA court complex, including acquisition of land. SA 95-20, Sec. 22(r)(2)	3961	4,000,000	4,000,000
JD: New Haven			
Planning for new criminal court complex, including parking. SA 93-2, (JSS), Sec. 30(o)(9); SA 97-1, (J5 SS), Sec. 2(p)(5) & 166 (repealed SA 93-2 authorization)	3971	2,000,000	2,000,000
JD: New London			
Exercise purchase option for courthouse. SA 95-20, Sec. 22(r)(6)	3961	250,000	250,000
JD: Stamford			
Parking for new courthouse, SA 90-34, Sec. 2(s)(1); SA 93-2, (JSS), Sec. 138	3901	8,000,000	8,000,000
New courthouse complex. SA 84-54, Sec. 2(v)(1); SA 85-102,	3841	2,986,399	0
Sec. 150; SA 86-54, Sec. 136; SA 87-77, Sec. 244; SA 92-3,	3921	47,700,000	14,835,035
(MSS), Sec. 2(s)(1); SA 93-2, (JSS), Sec. 62 & 188; PA 94-2,	3931	6,914,000	6,914,000
(MSS), Sec. 17(f)(1)			
Telecommunication equipment and wiring, furniture and movable equipment for new courthouse. SA 95-20, Sec. 22(r)(1)	3961	3,400,000	3,400,000
JD: Waterbury			
Development of new courthouse complex. PA 92-3, Sec. 2(s)(3);	3921	27,602,242	0
PA 94-2, Sec. 124; PA 96-181, Sec. 2(e)(3)	3961	1,500,000	1,500,000
Connecticut Public Broadcasting, Inc.			
Construction and equipment for instructional television	1873	450,000	450,000
fixed service system including interconnection with state	1873	505,000	0
agencies, SA 84-54,Sec.2(n)(8)(B);SA 85-102,Sec.	1873	550,000	550,000
2(x)(1);SA 88-77,Sec.2(q)(2);SA 92-3,(MSS),Sec.	1873	550,000	550,000
22(h)(2)(B); SA 93-2, (JSS), Sec. 22(m)(2) & Sec. 50(l)(2), SA 95-20, Sec. 13(j)(2) & 33(1)(2); SA 97-1, (J5 SS), Sec. 13(k)(1)			
Various improvements including color cameras for Stamford	1873	500,000	0
and transmission equipment for Hartford for high definition	1873	500,000	500,000
television, SA 93-2, (JSS), Sec. 22(m)(1) & Sec. 50(l)(1)			
Various improvements including color cameras for Trumbull	1873	660,000	0
and transmission equipment for Hartford for high definition	1873	620,000	620,000
television. SA 95-20, Sec. 13(j)(1) & 33(i)(1)			
Equipment upgrades for Advanced Television, including transmitters and conversion to digital studio, including procession and transmission equipment. SA 97-1, (J5 SS), Sec. 13(k)(2)	1873	650,000	650,000

Agency/Project/Legislative Reference	Bond Fund Number	Prior and FY 98 Authorizations	Unallocated Balance
Contingency Reserve			
Contingency Reserve. PA 95-20, Sec. 22(s); PA 96-181, Sec. 95	3961	6,603,900	4,731,770
G.O. Bond Total - Unallocated			**1,638,939,280**
S.T.O. Bond Total - Unallocated			**144,625,000**
Clean Water Fund Revenue Bond Total - Unallocated			**182,960,160**
Self Liquidating Bond Total - Unallocated			**1,704,400**
Grand Total - Unallocated			**1,968,228,840**

APPENDIX

The Budget Act for the 1997-99 Fiscal Years 705

Statutory Formula Grants to Towns 755

SPECIAL ACT NO. 97-21
(As "Amended" by PA 97-318, PA 97 322 and PA 97-11 of the June Special Session)

AN ACT CONCERNING THE STATE BUDGET FOR THE BIENNIUM ENDING JUNE 30, 1999, AND MAKING APPROPRIATIONS THEREFOR.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

Section 1. and 11 (98-99) The following sums are appropriated for the annual period as indicated and for the purposes described.

		1997-98	1998-99
		$	$
00000	**GENERAL FUND**		
	LEGISLATIVE		
1001	**LEGISLATIVE MANAGEMENT**		
001	Personal Services	22,751,272	24,798,459
002	Other Expenses	9,946,414	10,793,093
005	Equipment	1,882,246	1,985,480
017	Chamber Printers	160,000	0
032	Interim Committee Staffing	485,000	410,000
033	Interim Salary/Caucus Offices	425,000	350,000
038	Industrial Renewal Plan	180,000	180,000
040	Institute for Municipal Studies	195,000	125,000
042	Redistricting	25,000	75,000
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
601	Council of State Governments	85,400	90,000
602	National Conference of State Legislatures	100,056	105,100
603	National Conference of Commissioners on Uniform State Laws	18,050	19,000
604	Caucus of the New England State Legislatures	4,000	5,000
605	National Conference of Insurance of Insurance Legislators	2,500	3,000
606	Yankee Trader Institute	14,750	14,750
	AGENCY TOTAL	**36,274,688**	**38,953,882**
1005	**AUDITORS OF PUBLIC ACCOUNTS**		
001	Personal Services	6,418,224	6,754,876
002	Other Expenses	486,961	426,550
005	Equipment	91,459	92,800
	AGENCY TOTAL	**6,996,644**	**7,274,226**
1012	**COMMISSION ON THE STATUS OF WOMEN**		
001	Personal Services	301,700	348,660

		1997-98	1998-99
		$	$
002	Other Expenses	93,000	92,300
005	Equipment	5,000	1,750
	AGENCY TOTAL	**399,700**	**442,710**
1013	**COMMISSION ON CHILDREN**		
001	Personal Services	262,248	294,065
002	Other Expenses	50,125	52,300
005	Equipment	7,500	7,750
	AGENCY TOTAL	**319,873**	**354,115**
1014	**COMMISSION ON LATINO AND PUERTO RICAN AFFAIRS**		
001	Personal Services	145,910	162,055
002	Other Expenses	46,000	48,300
005	Equipment	5,000	5,250
	AGENCY TOTAL	**196,910**	**215,605**
1018	**AFRICAN-AMERICAN AFFAIRS COMMISSION**		
001	Personal Services	137,322	146,676
002	Other Expenses	16,500	42,325
005	Equipment	40,000	7,500
	AGENCY TOTAL	**193,822**	**196,501**
	TOTAL	**44,381,637**	**47,437,039**
	LEGISLATIVE		
	GENERAL GOVERNMENT		
1101	**GOVERNOR'S OFFICE**		
001	Personal Services	1,807,792	1,864,312
002	Other Expenses	230,571	232,023
005	Equipment	1,500	2,000
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
603	New England Governor's Conference	110,000	112,000
604	National Governor's Association	89,292	89,292
	AGENCY TOTAL	**2,239,155**	**2,299,627**

		1997-98 $	1998-99 $
1102	**SECRETARY OF THE STATE**		
001	Personal Services	1,992,958	2,103,201
002	Other Expenses	1,159,696	1,159,696
	AGENCY TOTAL	**3,152,654**	**3,262,897**
1103	**LIEUTENANT GOVERNOR'S OFFICE**		
001	Personal Services	208,726	213,356
002	Other Expenses	24,408	24,408
005	Equipment	3,000	0
	AGENCY TOTAL	**236,134**	**237,764**
1104	**ELECTIONS ENFORCEMENT COMMISSION**		
001	Personal Services	553,028	591,863
002	Other Expenses	59,961	56,851
	AGENCY TOTAL	**612,989**	**648,714**
1105	**ETHICS COMMISSION**		
001	Personal Services	539,695	560,871
002	Other Expenses	84,412	84,815
	AGENCY TOTAL	**624,107**	**645,686**
1106	**FREEDOM OF INFORMATION COMMISSION**		
001	Personal Services	785,656	821,872
002	Other Expenses	101,009	101,009
	AGENCY TOTAL	**886,665**	**922,881**
1107	**JUDICIAL SELECTION COMMISSION**		
001	Personal Services	51,826	55,073
002	Other Expenses	20,120	20,120
	AGENCY TOTAL	**71,946**	**75,193**
1162	**STATE PROPERTIES REVIEW BOARD**		
001	Personal Services	250,101	267,311
002	Other Expenses	134,796	134,796

		1997-98	1998-99
		$	$
005	Equipment	0	1,300
	AGENCY TOTAL	**384,897**	**403,407**
1201	**STATE TREASURER**		
001	Personal Services	2,659,569	2,796,488
002	Other Expenses	578,842	428,842
005	Equipment	1,000	4,700
	AGENCY TOTAL	**3,239,411**	**3,230,030**
1202	**STATE COMPTROLLER**		
001	Personal Services	12,861,019	13,483,494
002	Other Expenses	2,271,492	2,271,492
005	Equipment	5,000	5,000
015	Wellness Program	47,500	47,500
023	State Employees Retirement Data Base	617,500	617,500
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
602	Governmental Accounting Standards Board	19,570	19,570
	AGENCY TOTAL	**15,822,081**	**16,444,556**
1203	**DEPARTMENT OF REVENUE SERVICES**		
001	Personal Services	40,822,548	43,070,736
002	Other Expenses	9,631,290	9,581,290
005	Equipment	1,100	1,100
012	Collection and Litigation Contingency Fund	187,500	187,500
	AGENCY TOTAL	**50,642,438**	**52,840,626**
1204	**DIVISION OF SPECIAL REVENUE**		
001	Personal Services	8,012,534	8,435,752
002	Other Expenses	2,206,962	2,206,962
005	Equipment	2,600	2,600
	AGENCY TOTAL	**10,222,096**	**10,645,314**
1220	**STATE INSURANCE PURCHASING BOARD**		
001	Personal Services	96,081	101,189
002	Other Expenses	7,140,173	7,140,173
005	Equipment	1,500	1,500

		1997-98 $	1998-99 $
021	Surety Bonds for State Officials and Employees	147,220	152,800
	AGENCY TOTAL	**7,384,974**	**7,395,662**
1290	**GAMING POLICY BOARD**		
002	Other Expenses	4,000	4,000
1310	**OFFICE OF POLICY AND MANAGEMENT**		
001	Personal Services	11,033,039	11,655,904
002	Other Expenses	1,965,246	1,865,630
005	Equipment	15,000	8,000
024	Automated Budget System and Data Base Link	513,478	513,478
033	Leadership, Education, Athletics in Partnership (LEAP)	1,000,000	1,000,000
036	Children and Youth Program Development	750,000	750,000
038	Cash Management Improvement Act	100	100
043	Justice Assistance Grants	3,575,054	3,077,134
044	Neighborhood Youth Centers	1,774,080	1,774,080
045	Private Providers	9,000,000	9,000,000
046	Contingency Reserve	259,562	0
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
601	Regional Planning Agencies	600,000	600,000
602	Tax Relief for Elderly Renters	9,500,000	9,500,000
606	Drug Enforcement Program	976,749	976,749
	PAYMENTS TO LOCAL GOVERNMENTS		
703	Reimbursement Property Tax - Disability Exemption	409,600	419,021
704	Distressed Municipalities	3,200,000	3,850,000
705	Property Tax Relief Elderly Circuit Breaker	25,250,000	27,500,000
706	Property Tax Relief Elderly Freeze Program	7,200,000	6,000,000
707	Property Tax Relief for Veterans	9,100,000	10,100,000
710	Drug Enforcement Program	14,328,337	13,548,337
711	P.I.L.O.T. - New Manufacturing Machinery and Equipment	69,000,000	73,950,000
	AGENCY TOTAL	**169,450,245**	**176,088,433**
1312	**DEPARTMENT OF VETERANS AFFAIRS**		
001	Personal Services	20,899,783	21,402,995
002	Other Expenses	5,677,477	5,573,364
005	Equipment	2,000	2,000
	AGENCY TOTAL	**26,579,260**	**26,978,359**
1320	**DEPARTMENT OF ADMINISTRATIVE SERVICES**		
001	Personal Services	18,241,043	19,207,109

		1997-98	1998-99
		$	$
002	Other Expenses	3,456,500	3,457,486
005	Equipment	10,000	10,000
017	Loss Control Risk Management	705,000	705,000
018	Employees' Review Board	14,000	14,000
025	Refunds of Collections	45,000	45,000
035	W. C. Administrator	5,219,328	5,419,328
037	Automated Personnel System	1,803,103	1,803,103
038	New Collections Projects	200,000	300,000
	AGENCY TOTAL	**29,693,974**	**30,961,026**
1324	**DEPARTMENT OF INFORMATION TECHNOLOGY**		
001	Personal Services	1,913,492	2,021,050
002	Other Expenses	625,678	625,678
011	Small Agency IT Initiatives	0	1,000,000
	AGENCY TOTAL	**2,539,170**	**3,646,728**
1326	**DEPARTMENT OF PUBLIC WORKS**		
001	Personal Services	5,170,192	5,370,267
002	Other Expenses	16,110,613	15,715,313
005	Equipment	10,000	0
011	Thames River Campus Management	1,556,000	1,556,000
012	Fairfield Hills Campus Management	1,500,000	1,500,000
013	Norwich Hospital Campus Management	500,000	500,000
014	Minor Capital Improvements	2,000,000	2,000,000
026	Rents and Moving	6,000,000	6,000,000
027	Capitol Day Care Center	109,250	109,250
028	Facilities Design Expenses	1,940,000	1,940,000
	AGENCY TOTAL	**34,896,055**	**34,690,830**
1501	**ATTORNEY GENERAL**		
001	Personal Services	18,686,747	19,854,288
002	Other Expenses	986,031	986,031
005	Equipment	5,000	5,000
012	Sheff vs. O'Neill	100,000	100,000
013	Police Wiretap Case	1,100,000	1,100,000
	AGENCY TOTAL	**20,877,778**	**22,045,319**
1502	**OFFICE OF THE CLAIMS COMMISSIONER**		
001	Personal Services	178,853	183,811

		1997-98 $	1998-99 $
002	Other Expenses	32,903	32,903
005	Equipment	5,000	0
021	Adjudicated Claims	95,000	95,000
	AGENCY TOTAL	**311,756**	**311,714**
1504	**DIVISION OF CRIMINAL JUSTICE**		
001	Personal Services	26,520,217	26,464,138
002	Other Expenses	2,537,261	2,537,261
011	Forensic Sex Evidence Exams	160,000	160,000
013	Witness Protection	30,000	30,000
015	Training and Education	46,800	46,800
016	Expert Witnesses	175,600	176,188
	AGENCY TOTAL	**29,469,878**	**29,414,387**
1505	**CRIMINAL JUSTICE COMMISSION**		
002	Other Expenses	1,195	1,195
	TOTAL GENERAL GOVERNMENT	**409,342,858**	**423,194,348**
	REGULATION AND PROTECTION		
2000	**DEPARTMENT OF PUBLIC SAFETY**		
001	Personal Services	35,376,453	75,067,582
002	Other Expenses	9,121,492	11,977,907
005	Equipment	20,000	50,000
011	Stress Reduction	53,354	53,354
013	Trooper Training Class	2,251,860	1,876,550
014	Fleet Purchase	2,023,695	6,383,326
039	Workers' Compensation Claims	855,223	2,519,497
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
601	Civil Air Patrol	38,692	38,692
	AGENCY TOTAL	**49,740,769**	**97,966,908**
2003	**POLICE OFFICER STANDARDS AND TRAINING COUNCIL**		
001	Personal Services	1,378,592	1,461,954
002	Other Expenses	809,156	809,156
005	Equipment	10,000	10,000

		1997-98 $	1998-99 $
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
601	Alzheimer's Association	50,000	40,000
	AGENCY TOTAL	**2,247,748**	**2,321,110**
2004	**BOARD OF FIREARMS PERMIT EXAMINERS**		
001	Personal Services	74,519	79,545
002	Other Expenses	19,007	19,007
	AGENCY TOTAL	**93,526**	**98,552**
2201	**MILITARY DEPARTMENT**		
001	Personal Services	2,387,237	2,431,932
002	Other Expenses	2,139,570	2,113,054
005	Equipment	30,000	30,000
021	Firing Squads	195,000	195,000
	AGENCY TOTAL	**4,751,807**	**4,769,986**
2304	**COMMISSION ON FIRE PREVENTION AND CONTROL**		
001	Personal Services	1,044,051	1,064,033
002	Other Expenses	475,611	468,334
005	Equipment	20,000	20,000
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
610	Payments to Volunteer Fire Companies	220,000	220,000
	AGENCY TOTAL	**1,759,662**	**1,772,367**
2500	**DEPARTMENT OF CONSUMER PROTECTION**		
001	Personal Services	8,496,160	8,961,668
002	Other Expenses	1,061,578	1,062,078
	AGENCY TOTAL	**9,557,738**	**10,023,746**
2610	**DEPARTMENT OF LABOR**		
001	Personal Services	7,529,417	8,015,765
002	Other Expenses	984,880	984,976
005	Equipment	50,000	50,000
021	Vocational and Manpower Training	1,925,300	1,925,300
027	Displaced Homemakers	419,365	419,365
029	Regional Workforce Development Boards	450,000	450,000
031	Community Employment Incentive Program	3,665,978	3,684,828

		1997-98	1998-99
		$	$
032	Summer Youth Employment	800,314	800,314
033	One-Stop Employment Services	22,500,000	22,500,000
035	Non-Traditional Occupational Training	325,000	325,000
036	Machine Tool Job Training	125,000	125,000
	AGENCY TOTAL	**38,775,254**	**39,280,548**
2901	**COMMISSION ON HUMAN RIGHTS AND OPPORTUNITIES**		
001	Personal Services	4,507,158	4,812,881
002	Other Expenses	646,747	646,747
011	Martin Luther King, Jr. Commission	5,230	5,230
	AGENCY TOTAL	**5,159,135**	**5,464,858**
2902	**OFFICE OF PROTECTION AND ADVOCACY FOR PERSONS WITH DISABILITIES**		
001	Personal Services	1,817,912	1,945,181
002	Other Expenses	428,573	428,573
005	Equipment	3,000	0
	AGENCY TOTAL	**2,249,485**	**2,373,754**
2903	**OFFICE OF THE CHILD ADVOCATE**		
001	Personal Services	151,406	151,985
002	Other Expenses	15,600	15,600
	AGENCY TOTAL	**167,006**	**167,585**
	TOTAL REGULATION AND PROTECTION	**114,502,130**	**164,239,414**
	CONSERVATION AND DEVELOPMENT		
3002	**DEPARTMENT OF AGRICULTURE**		
001	Personal Services	2,822,250	2,960,523
002	Other Expenses	572,524	572,524
020	Oyster Program	0	100,000
021	CT Seafood Advisory Council	50,000	50,000
022	Food Council	50,000	50,000
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
601	WIC Program for Fresh Produce for Seniors	36,114	36,114

		1997-98 $	1998-99 $
603	Collection of Agricultural Statistics	1,200	1,200
604	Tuberculosis and Brucellosis Indemnity	1,000	1,000
606	Exhibits and Demonstrations	600	600
608	Connecticut Grown Product Promotion	15,000	15,000
609	WIC Coupon Program for Fresh Produce	88,886	88,886
	AGENCY TOTAL	**3,637,574**	**3,875,847**
3100	**DEPARTMENT OF ENVIRONMENTAL PROTECTION**		
001	Personal Services	25,976,796	26,849,913
002	Other Expenses	2,802,314	2,802,314
005	Equipment	2,500	6,300
011	Title V Permitting State Facilities	50,000	0
012	Mosquito Control	300,000	300,000
021	Laboratory Fees	280,076	280,076
029	Dam Maintenance	106,882	111,038
038	Emergency Spill Response	6,101,764	6,151,505
041	Long Island Sound Research Fund	1,000	1,000
042	Greenways	100,000	100,000
045	Emergency Response Commission	107,002	109,844
046	Beardsley Park and Zoo	450,000	450,000
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
602	Soil Conservation Districts	1,000	1,000
603	Agreement USGS-Geological Investigation	47,000	47,000
604	Agreement USGS - Hydrological Study	119,800	119,800
605	New England Interstate Water Pollution Commission	8,400	8,400
606	Northeast Interstate Forest Fire Compact	2,000	2,000
607	Connecticut River Valley Flood Control Commission	39,400	39,400
610	Thames River Valley Flood Control Commission	50,200	50,200
611	Environmental Review Teams	1,000	1,000
615	Agreement USGS Water Quality Stream Monitoring	166,000	166,000
	AGENCY TOTAL	**36,713,134**	**37,596,790**
3190	**COUNCIL ON ENVIRONMENTAL QUALITY**		
001	Personal Services	96,776	100,518
002	Other Expenses	6,470	6,470
	AGENCY TOTAL	**103,246**	**106,988**
3400	**CONNECTICUT HISTORICAL COMMISSION**		
001	Personal Services	774,044	811,095
002	Other Expenses	86,165	87,515

		1997-98 $	1998-99 $
005	Equipment	2,000	2,000
020	Freedom Trail	40,000	40,000
	AGENCY TOTAL	**902,209**	**940,610**
3500	**DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT**		
001	Personal Services	4,830,413	5,093,688
002	Other Expenses	3,177,532	3,162,172
022	Freedom Trail	100,000	100,000
026	Economic Information Systems	80,000	80,000
028	CT Science Park	70,000	70,000
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
602	Entrepreneurial Centers	215,000	215,000
606	Institute for Community and Regional Development	60,000	60,000
607	Independent Living Handicapped Persons	56,250	56,250
608	Congregate Facilities Operation Costs	3,819,153	3,819,490
609	Housing Assistance and Counseling Program	75,000	75,000
610	Elderly Congregate Rent Subsidy	942,549	942,549
	PAYMENTS TO LOCAL GOVERNMENTS		
702	Tax Abatement	2,243,276	2,243,276
703	Payment in Lieu of Taxes	2,900,000	2,900,000
	AGENCY TOTAL	**18,569,173**	**18,817,425**
3601	**AGRICULTURAL EXPERIMENT STATION**		
001	Personal Services	4,103,023	4,191,169
002	Other Expenses	390,300	390,300
005	Equipment	2,000	750
012	Mosquito Control	144,000	144,000
	AGENCY TOTAL	**4,639,323**	**4,726,219**
	TOTAL CONSERVATION AND DEVELOPMENT	**64,564,659**	**66,063,879**
	HEALTH AND HOSPITALS		
4001	**DEPARTMENT OF PUBLIC HEALTH**		
001	Personal Services	26,407,548	27,303,452
002	Other Expenses	5,596,345	5,064,144
005	Equipment	16,000	2,000

		1997-98 $	1998-99 $
012	Young Parents Program	201,250	201,250
014	Pregnancy Healthline	112,100	112,100
015	Needle and Syringe Exchange Program	404,700	404,700
016	Community Services Support for Persons with AIDS	207,223	207,223
017	Teen Pregnancy Prevention Campaign	50,000	50,000
018	Children's Health Initiatives	1,187,091	1,187,091
037	Childhood Lead Poisoning	255,450	255,450
039	AIDS Services	3,404,715	3,404,715
043	Liability Coverage for Volunteer Retired Physicians	4,500	4,500
044	Breast and Cervical Cancer Detection and Treatment	1,830,923	1,875,923
045	Services for Children Affected by AIDS	275,000	275,000
046	Children with Special Health Care Needs	450,000	450,000
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
607	Community Health Services	6,055,433	5,880,433
610	Emergency Medical Services Training	35,000	35,000
611	Emergency Medical Services Regional Offices	378,110	378,110
616	Rape Crisis	444,120	444,120
618	X-Ray Screening and Tuberculosis Care	597,392	597,392
620	Genetic Diseases Programs	677,357	677,357
625	Loan Repayment Program	194,500	194,500
626	Immunization Services	4,330,520	4,330,520
	PAYMENTS TO LOCAL GOVERNMENTS		
702	Local and District Departments of Health	2,823,774	2,956,077
703	Venereal Disease Control	222,275	222,275
705	School Based Health Clinics	3,837,129	3,662,129
	AGENCY TOTAL	**59,998,455**	**60,175,461**
4050	**OFFICE OF HEALTH CARE ACCESS**		
001	Personal Services	1,813,914	1,903,098
002	Other Expenses	162,631	512,631
005	Equipment	500	500
015	Administration-Uncompensated Care	200,000	200,000
	AGENCY TOTAL	**2,177,045**	**2,616,229**
4090	**OFFICE OF THE MEDICAL EXAMINER**		
001	Personal Services	2,728,800	2,879,789
002	Other Expenses	1,540,405	1,437,105
005	Equipment	10,500	2,000
	AGENCY TOTAL	**4,279,705**	**4,318,894**

		1997-98	1998-99
		$	$
4100	**DEPARTMENT OF MENTAL RETARDATION**		
001	Personal Services	229,155,107	233,625,407
002	Other Expenses	15,777,536	15,888,191
005	Equipment	2,000	2,000
011	Human Resource Development	385,600	385,600
013	Family Support Grants	969,000	969,000
014	Pilot Programs for Client Services	1,929,826	1,929,826
027	Clinical Services	4,750,800	4,938,193
028	Early Intervention	11,489,562	11,489,562
032	Temporary Support Services	200,000	200,000
033	Community Temporary Support Services	100,000	100,000
035	Community Respite Care Programs	400,000	400,000
039	Workers' Compensation Claims	9,719,258	9,719,258
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
608	Rent Subsidy Program	2,111,183	2,111,183
612	Respite Care	1,473,384	1,473,384
616	Family Reunion Program	140,000	140,000
617	Employment Opportunities and Day Services	79,489,835	80,657,482
618	Family Placements	1,619,797	1,619,797
619	Emergency Placements	3,175,349	3,175,349
620	Community Residential Services	169,894,521	172,973,535
		---	---
	AGENCY TOTAL	**532,782,758**	**541,797,767**
4400	**DEPARTMENT OF MENTAL HEALTH AND ADDICTION SERVICES**		
001	Personal Services	57,842,843	60,461,517
002	Other Expenses	8,499,783	8,499,783
005	Equipment	10,000	10,000
011	Corporation for Supportive Housing	1,000,000	1,000,000
020	PT Drug	1,288,000	2,588,000
021	Pre-Trial Alcohol Education System	1,240,738	1,240,738
022	Managed Service System	6,533,280	6,533,280
023	Drug Treatment for Schizophrenia	1,743,195	1,743,195
029	Legal Services	397,200	397,200
030	Connecticut Mental Health Center	7,172,564	7,172,564
031	Capitol Region Mental Health Center	363,781	363,781
032	Professional Services	5,390,371	5,390,371
034	Regional Action Councils	725,000	725,000
035	General Assistance Managed Care	35,656,694	34,956,694
036	Substance Abuse Treatment Programs	20,000	20,000
038	Chronic Gamblers Treatment and Rehabilitation	100,000	100,000
039	Workers' Compensation Claims	5,362,523	5,362,523

		1997-98	1998-99
		$	$
040	Eastern Region	2,000,000	2,000,000
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
601	Grants for Substance Abuse Services	21,025,835	21,025,835
602	Grants for Vocational Services	387,511	387,511
604	Governor's Partnership to Protect Connecticut's Workforce	450,000	450,000
606	Grants for Mental Health Services	63,059,862	63,059,862
608	Employment Opportunities	8,539,613	8,539,613
	AGENCY TOTAL	**228,808,793**	**232,027,467**
4430	**PSYCHIATRIC SECURITY REVIEW BOARD**		
001	Personal Services	191,219	198,389
002	Other Expenses	49,660	49,660
	AGENCY TOTAL	**240,879**	**248,049**
	TOTAL	**828,287,635**	**841,183,867**
	HEALTH AND HOSPITALS		
	HUMAN SERVICES		
6100	**DEPARTMENT OF SOCIAL SERVICES**		
001	Personal Services	93,819,620	100,258,631
002	Other Expenses	38,902,793	36,418,759
005	Equipment	500	500
011	Nursing Home Reform Act	1,318,437	1,325,090
013	Financial Management Reporting	625,000	25,000
017	Children's Health Initiative	2,440,359	2,440,359
027	Independent Living Center - Administration	23,441	23,441
028	Anti-Hunger Programs	18,201	18,201
036	Day Care Projects	496,300	496,300
037	Commission on Aging	200,000	200,000
038	Privatize Data Processing Services	28,747,369	28,639,201
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
601	Vocational Rehabilitation	6,794,000	6,794,000
602	Medicaid	1,936,360,300	1,872,286,000
607	Old Age Assistance	32,892,524	34,131,945
608	Aid to the Blind	599,762	653,275
609	Aid to the Disabled	69,400,908	70,713,168
610	Temporary Assistance to Families	28,655,752	24,258,319
611	Temporary Assistance to Families - TANF	250,000,000	150,000,000
612	Adjustment of Recoveries	150,000	150,000

		1997-98	1998-99
		$	$
613	Emergency Assistance	500	500
614	Food Stamp Training Expenses	130,800	130,800
615	Connecticut Pharmaceutical Assistance Contract to the Elderly	22,523,102	24,243,035
616	Maternal and Child Health Services	2,865,300	2,865,300
617	DMHAS-Disproportionate Share	191,000,000	191,000,000
618	Connecticut Home Care Program	14,601,835	14,601,835
619	Human Resource Development-Hispanic Programs	106,746	106,746
620	Services to the Elderly	3,758,674	3,698,074
622	Safety Net Services	2,500,000	5,000,000
623	Transportation for Employment Independence Program	2,200,000	2,205,000
625	Opportunity Industrial Centers	314,116	314,116
626	Transitionary Rental Assistance	5,000,000	5,000,000
627	Refunds of Collections	1,000,000	1,000,000
630	Energy Assistance	6,800,100	6,800,100
638	Services for Persons with Disabilities	7,586,366	7,586,366
640	Child Care Services	55,949,482	6,002,584
641	Child Care Services-TANF/CCDBG	51,592,848	151,592,848
642	Residences for Persons with AIDS	445,900	1,445,800
644	Supplemental Nutrition Assistance	91,904	91,904
649	Housing/Homeless Services	7,131,793	7,131,793
651	Employment Opportunities	881,376	881,376
659	Human Resource Development	3,897,963	3,897,963
661	Child Day Care	8,761,207	12,423,543
663	Independent Living Centers	593,845	593,845
665	AIDS Drug Assistance	592,000	592,000
666	Shelter Services for Victims of Household Abuse	27,000	27,000
667	Disproportionate Share-Medical Emergency Assistance	254,000,000	220,000,000
669	State Administered General Assistance	58,682,500	66,302,500
670	School Readiness	6,063,879	7,571,795
	PAYMENTS TO LOCAL GOVERNMENTS		
704	General Assistance	10,140,000	0
706	Child Day Care	5,710,200	5,862,659
708	Human Resource Development	239,385	239,385
710	Human Resource Development-Hispanic Programs	11,678	11,678
712	Teenage Pregnancy Prevention Block Grant	620,000	1,000,000
733	Vocational Rehabilitation Transition Plan	39,000	39,000
734	Traumatic Brain Injury	109,000	109,000
	AGENCY TOTAL	**3,217,413,765**	**3,079,200,734**
	TOTAL HUMAN SERVICES	**3,217,413,765**	**3,079,200,734**

		1997-98 $	1998-99 $
	EDUCATION MUSEUMS LIBRARIES		
7001	**DEPARTMENT OF EDUCATION**		
001	Personal Services	83,665,480	87,112,371
002	Other Expenses	10,769,699	10,769,699
011	Training Paraprofessionals for Teaching	372,000	372,000
012	Literacy Volunteers	20,860	20,860
013	Institutes for Educators	328,000	328,000
014	Basic Skills Exam Teachers in Training	1,190,000	1,190,000
015	Teachers' Standards Implementation Program	3,415,523	3,945,206
016	Early Childhood Program	10,000,000	19,780,000
024	Development of Mastery Exams Grades 4, 6, and 8	4,630,920	5,150,954
029	Primary Mental Health	294,000	294,000
034	Adult Education Action	300,000	300,000
039	Vocational Technical School Textbooks	500,000	500,000
040	Repair of Instructional Equipment	237,500	237,500
041	Minor Repairs to Plant	332,500	332,500
043	Connecticut Pre-Engineering Program	100,000	100,000
044	Contracting Instructional TV Services	209,000	209,000
045	Connecticut Writing Project	75,000	50,000
046	Jobs for Connecticut Graduates	240,000	240,000
048	Hartford Public School Monitors	200,000	200,000
050	Science and Technology - Jason Project	0	150,000
052	Resource Equity Assessments	150,000	0
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
602	American School for the Deaf	6,351,558	6,351,558
603	RESC Leases	650,000	950,000
607	Regional Education Services	2,572,384	2,872,384
608	Omnibus Education Grants State Supported Schools	2,854,000	2,854,000
615	Head Start Services	3,100,000	3,100,000
616	Head Start Enhancement	2,000,000	2,000,000
617	Family Resource Centers	4,032,500	6,032,500
618	Nutmeg Games	50,000	50,000
624	Charter Schools	6,000,000	9,069,000
	PAYMENTS TO LOCAL GOVERNMENTS		
701	School Building Grants and Interest Subsidy	42,500	25,500
704	Vocational Agriculture	2,567,800	2,621,700
709	Transportation of School Children	39,500,000	42,000,000
710	Adult Education	13,821,660	16,039,027
713	Health and Welfare Services Pupils Private Schools	3,200,000	3,400,000
719	Education Equalization Grants	1,262,860,000	1,277,170,000

		1997-98	1998-99
		$	$
720	Bilingual Education	2,226,000	2,252,000
723	Priority School Districts	18,500,000	19,000,000
724	Young Parents Program	238,592	244,080
726	Interdistrict Cooperation	21,219,600	22,082,301
732	School Breakfast Program	1,524,736	1,559,805
733	Excess Cost - Student Based	25,000,000	28,000,000
734	Excess Cost - Equity	11,500,000	11,500,000
735	Medicaid Coordination	6,000,000	6,500,000
736	Student Achievement Grant	500,000	500,000
737	Non-Public School Transportation	4,235,000	4,450,000
742	Celebration of Excellence	25,000	25,000
743	School to Work Opportunities	250,000	250,000
744	Extended School Hours and Support Programs	1,310,720	1,340,867
745	Youth Service Bureaus	2,748,398	2,748,398
746	Project Concern - Receiving District	271,524	277,769
747	OPEN Choice Program	0	1,600,000
748	Lighthouse Schools	100,000	400,000
749	Special Education Overburden	425,000	225,000
	AGENCY TOTAL	**1,562,707,454**	**1,608,772,979**
7101	**BOARD OF EDUCATION AND SERVICES FOR THE BLIND**		
001	Personal Services	3,445,253	3,568,662
002	Other Expenses	943,977	898,977
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
604	Supplementary Relief and Services	118,560	118,560
605	Education of Handicapped Blind Children	7,023,100	7,138,166
606	Vocational Rehabilitation	965,515	965,515
607	Education of Pre-School Blind Children	24,486	24,887
609	Special Training for the Deaf Blind	335,280	340,773
610	Connecticut Radio Information Service	42,750	42,750
	PAYMENTS TO LOCAL GOVERNMENTS		
701	Services for Persons with Impaired Vision	238,760	242,672
702	Tuition and Services-Public School Children	660,400	671,220
	AGENCY TOTAL	**13,798,081**	**14,012,182**
7102	**COMMISSION ON THE DEAF AND HEARING IMPAIRED**		
001	Personal Services	670,328	716,408
002	Other Expenses	157,799	157,799

		1997-98	1998-99
		$	$
011	Part-Time Interpreters	263,247	0
	AGENCY TOTAL	**1,091,374**	**874,207**
7104	**STATE LIBRARY**		
001	Personal Services	4,853,974	5,068,686
002	Other Expenses	851,361	857,181
005	Equipment	25,000	25,000
008	Equipment-Law Department	371,695	404,930
022	Library & Educational Materials	427,720	459,225
025	Statewide Data Base Program	542,327	442,327
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
601	Basic Cultural Resources Grant	2,210,695	2,160,695
602	Support Cooperating Library Service Units	807,829	807,829
604	Grants-Local Institutions in Humanities	40,000	40,000
605	Connecticut Educational Telecommunications Corporation	850,000	850,000
	PAYMENTS TO LOCAL GOVERNMENTS		
701	Grants to Public Libraries	453,776	453,776
702	Connecticard Payments	697,835	697,835
	AGENCY TOTAL	**12,132,212**	**12,267,484**
7250	**DEPARTMENT OF HIGHER EDUCATION**		
001	Personal Services	1,704,396	1,823,193
002	Other Expenses	157,559	164,634
005	Equipment	1,000	1,000
027	Minority Advancement Program	1,704,818	1,738,278
028	Alternate Route to Certification	10,240	10,475
029	Student Community Services	15,360	15,713
030	National Service Act	511,340	517,350
032	International Initiatives	150,000	150,000
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
606	Scholastic Achievement Grant	3,150,400	3,199,859
607	Awards to Children of Deceased/ Disabled Veterans	6,000	6,000
609	Connecticut Independent College Student Grant	14,344,863	14,628,795
621	Connecticut Aid for Public College Students	8,696,397	8,827,414
623	New England Board of Higher Education	347,353	364,962
	AGENCY TOTAL	**30,799,726**	**31,447,673**
7301	**UNIVERSITY OF CONNECTICUT**		
020	Operating Expenses	147,137,354	153,852,425

		1997-98 $	1998-99 $
7302	**UNIVERSITY OF CONNECTICUT HEALTH CENTER**		
020	Operating Expenses	62,445,188	64,731,067
7401	**CHARTER OAK COLLEGE**		
020	Operating Expenses	888,227	965,643
7601	**TEACHERS' RETIREMENT BOARD**		
001	Personal Services	1,292,000	1,382,000
002	Other Expenses	439,551	444,261
005	Equipment	6,900	3,200
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
601	Retirement Contributions	179,365,000	188,334,000
602	Retirees Health Service Cost	3,500,000	3,825,000
	AGENCY TOTAL	**184,603,451**	**193,988,461**
7700	**REGIONAL COMMUNITY - TECHNICAL COLLEGES**		
020	Operating Expenses	92,984,494	93,954,201
7800	**CONNECTICUT STATE UNIVERSITY**		
020	Operating Expenses	106,127,662	107,686,183
021	Long Island Sound Council	75,000	0
	AGENCY TOTAL	**106,202,662**	**107,686,183**
	TOTAL	**2,214,790,223**	**2,282,552,505**
	EDUCATION MUSEUMS LIBRARIES		
	CORRECTIONS		
8000	**DEPARTMENT OF CORRECTION**		
001	Personal Services	276,058,285	279,339,602
002	Other Expenses	84,034,001	81,674,001
005	Equipment	2,698,773	3,363,496
039	Workers' Compensation Claims	10,610,180	10,610,180
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
601	Aid to Paroled and Discharged Inmates	151,664	151,664
604	Legal Services to Prisoners	750,000	750,000
608	Volunteer Services	189,885	189,885
611	Community Residential Services	11,723,986	11,723,986

		1997-98 $	1998-99 $
612	Community Non-Residential Services	1,005,648	1,005,648
	AGENCY TOTAL	**387,222,422**	**388,808,462**
8090	**BOARD OF PARDONS**		
002	Other Expenses	34,141	34,141
8091	**BOARD OF PAROLE**		
001	Personal Services	2,918,523	3,020,204
002	Other Expenses	742,363	742,363
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
611	Community Residential Services	1,020,000	1,020,000
612	Community Non Residential Services	1,571,064	1,571,064
	AGENCY TOTAL	**6,251,950**	**6,353,631**
8100	**DEPARTMENT OF CHILDREN AND FAMILIES**		
001	Personal Services	137,359,673	144,222,308
002	Other Expenses	21,201,121	18,763,688
011	Short Term Residential Treatment	360,000	360,000
035	Wilderness School Program	96,250	96,250
036	Children's Trust Fund	1,710,000	1,710,000
038	Substance Abuse Screening	1,600,000	1,600,000
039	Workers' Compensation Claims	1,588,611	1,588,611
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
602	Grants for Psychiatric Clinics for Children	10,206,671	10,206,671
603	Day Treatment Centers for Children	4,726,138	4,726,138
607	Treatment and Prevention of Child Abuse	4,506,230	4,506,218
610	Community Emergency Services	776,597	776,597
611	Community Preventive Services	2,545,814	2,545,714
612	Aftercare for Children	87,862	87,862
613	Family Violence Services	352,367	352,367
614	Health and Community Services	1,154,136	1,154,136
616	No Nexus Special Education	3,275,871	3,275,871
617	Family Preservation Services	5,375,515	5,375,515
618	Substance Abuse Treatment	1,636,053	1,635,941
619	Child Welfare Support Services	1,873,811	1,873,193
620	Juvenile Case Management Collaborative	547,600	547,600
624	Board and Care for Children-Adoption	20,122,495	20,367,295
625	Board and Care for Children-Foster	59,905,233	60,087,113
626	Board and Care for Children- Residential	66,319,421	67,319,421
	AGENCY TOTAL	**347,327,469**	**353,178,509**

		1997-98 $	1998-99 $
8200	**COUNTY SHERIFFS**		
001	Personal Services	1,206,418	1,258,579
002	Other Expenses	998,353	998,353
011	Sheriffs Training	63,405	63,405
012	Special Deputy Sheriffs	18,989,880	19,789,880
013	Vaccination and Testing	79,430	79,430
	AGENCY TOTAL	**21,337,486**	**22,189,647**
	TOTAL	**762,173,468**	**770,564,390**
	CORRECTIONS		
	JUDICIAL		
9001	**JUDICIAL DEPARTMENT**		
001	Personal Services	141,960,477	153,331,909
002	Other Expenses	41,581,634	41,648,741
005	Equipment	15,000	0
011	Alternative Incarceration Program	19,812,484	19,812,484
012	Justice Education Center, Inc.	225,000	225,000
014	Children Impacted by Domestic Violence	193,577	193,577
015	Juvenile Alternative Incarceration	10,384,981	10,973,159
016	Post-Release Non-Residential Services	1,300,000	1,300,000
018	Juvenile Justice Centers	1,363,000	1,363,000
	AGENCY TOTAL	**216,836,153**	**228,847,870**
9007	**PUBLIC DEFENDER SERVICES COMMISSION**		
001	Personal Services	18,305,944	18,867,650
002	Other Expenses	824,736	824,736
012	Special Public Defenders-Contractual	1,239,000	1,268,000
013	Special Public Defenders-Non- Contractual	910,000	910,000
014	Expert Witnesses	670,000	670,000
015	Training and Education	27,000	27,000
	AGENCY TOTAL	**21,976,680**	**22,567,386**
	TOTAL	**238,812,833**	**251,415,256**
	JUDICIAL		

		1997-98 $	1998-99 $
	NON-FUNCTIONAL		
9110	**MISCELLANEOUS APPROPRIATIONS TO THE GOVERNOR**		
006	Governor's Contingency Account	18,000	18,000
9120	**DEBT SERVICE - STATE TREASURER**		
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
601	Debt Service	756,839,140	824,882,978
603	UConn 2000 - Debt Service	20,666,635	32,891,825
	AGENCY TOTAL	**777,505,775**	**857,774,803**
9201	**RESERVE FOR SALARY ADJUSTMENTS**		
006	Reserve for Salary Adjustments	62,622,200	109,930,100
9403	**WORKERS' COMPENSATION CLAIMS - DEPARTMENT OF ADMINISTRATIVE SERVICES**		
039	Workers' Compensation Claims	11,862,111	11,862,111
	MISCELLANEOUS APPROPRIATIONS ADMINISTERED BY THE COMPTROLLER		
9601	**JUDICIAL REVIEW COUNCIL**		
001	Personal Services	106,843	109,519
002	Other Expenses	92,200	92,200
005	Equipment	0	1,000
	AGENCY TOTAL	**199,043**	**202,719**
9605	**REFUNDS OF PAYMENTS**		
002	Other Expenses	450,000	450,000
9701	**FIRE TRAINING SCHOOLS**		
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
601	Willimantic	65,400	65,400
602	Torrington	48,100	48,100
603	New Haven	32,200	32,200
604	Derby	32,200	32,200
606	Wolcott	42,200	42,200
607	Fairfield	32,200	32,200
608	Hartford	57,000	57,000

		1997-98	1998-99
		$	$
609	Middletown	25,000	25,000
	AGENCY TOTAL	**334,300**	**334,300**
9702	**MAINTENANCE OF COUNTY BASE FIRE RADIO NETWORK**		
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
601	Maintenance of County Base Fire Radio Network	21,000	21,000
9703	**MAINTENANCE OF STATEWIDE FIRE RADIO NETWORK**		
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
601	Maintenance of Statewide Fire Radio Network	14,000	14,000
9704	**EQUAL GRANTS TO THIRTY-FOUR NON PROFIT GENERAL HOSPITALS**		
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
601	Equal Grants to Thirty-Four Non Profit General Hospitals	34	34
9706	**CONNECTICUT STATE POLICE ASSOCIATION**		
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
601	Connecticut State Police Association	63,000	63,000
9707	**CONNECTICUT STATE FIREMEN'S ASSOCIATION**		
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
601	Connecticut State Firemen's Association	100,000	100,000
9710	**INTERSTATE SANITATION COMMISSION**		
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
601	Interstate Sanitation Commission	3,333	3,333
9801	**REIMBURSEMENTS TO TOWNS FOR LOSS OF TAXES ON STATE PROPERTY**		
	PAYMENTS TO LOCAL GOVERNMENTS		
701	Reimbursements to Towns for Loss of Taxes on State Property	33,341,007	35,008,000
9804	**REIMBURSEMENTS TO TOWNS FOR LOSS OF TAXES ON PRIVATE TAX-EXEMPT PROPERTY**		
	PAYMENTS TO LOCAL GOVERNMENTS		
701	Reimbursements to Towns for Loss of Taxes on Private Tax-Exempt Property	82,320,537	85,120,537

		1997-98 $	1998-99 $
9903	**UNEMPLOYMENT COMPENSATION**		
002	Other Expenses	8,409,000	7,113,000
9909	**STATE EMPLOYEES RETIREMENT CONTRIBUTIONS**		
002	Other Expenses	236,609,630	256,161,788
9910	**HIGHER EDUCATION ALTERNATIVE RETIREMENT SYSTEM**		
002	Other Expenses	11,100,000	11,415,000
9911	**PENSIONS AND RETIREMENTS-OTHER STATUTORY**		
002	Other Expenses	1,450,000	1,500,000
9912	**JUDGES AND COMPENSATION COMMISSIONERS RETIREMENT**		
002	Other Expenses	9,308,947	11,198,113
9913	**INSURANCE - GROUP LIFE**		
002	Other Expenses	2,500,000	2,575,000
9916	**TUITION REIMBURSEMENT - TRAINING AND TRAVEL**		
006	Other Current Expense	925,625	925,625
9926	**EMPLOYERS SOCIAL SECURITY TAX**		
002	Other Expenses	126,677,729	131,343,529
9932	**STATE EMPLOYEES HEALTH SERVICE COST**		
002	Other Expenses	180,507,300	181,214,300
9933	**RETIRED STATE EMPLOYEES HEALTH SERVICE COST**		
002	Other Expenses	93,550,000	96,850,000
	TOTAL MISCELLANEOUS APPROPRIATIONS ADMINISTERED BY THE COMPTROLLER	**787,884,485**	**821,613,278**
	TOTAL NON-FUNCTIONAL	**1,639,892,571**	**1,801,198,292**

		1997-98	1998-99
		$	$
	TOTAL GENERAL FUND	**9,534,161,779**	**9,727,049,724**
	LESS:		
	Legislative Unallocated Lapses	-725,000	-725,000
	Estimated Unallocated Lapses	-63,525,000	-73,525,000
	General Personal Services Reduction	-11,000,000	-11,000,000
	General Other Expenses Reductions	-12,000,000	-12,000,000
	Early Retirement Incentive Program	-104,662,000	-83,908,000
	Statewide Agency Functional Consolidation	0	-50,000,000
	NET - GENERAL FUND	**9,342,249,779**	**9,495,891,724**

Sections 2 and 12 (98-99). The following sums are appropriated for the annual period as indicated and for the purposes described.

		1997-98	1998-99
		$	$
01201	**SPECIAL TRANSPORTATION FUND**		
	GENERAL GOVERNMENT		
1220	**STATE INSURANCE PURCHASING BOARD**		
002	Other Expenses	2,177,500	2,204,017
	TOTAL GENERAL GOVERNMENT	**2,177,500**	**2,204,017**
	REGULATION AND PROTECTION		
2000	**DEPARTMENT OF PUBLIC SAFETY**		
001	Personal Services	37,034,452	0
002	Other Expenses	3,062,597	0
005	Equipment	281,083	0
014	Fleet Purchase	3,000,442	0
039	Workers' Compensation Claims	1,664,274	0
	AGENCY TOTAL	**45,042,848**	**0**

		1997-98 $	1998-99 $
2101	**MOTOR VEHICLE DEPARTMENT**		
001	Personal Services	31,226,777	31,143,956
002	Other Expenses	12,553,407	12,833,407
005	Equipment	700,000	700,000
011	Graduated Licenses	200,000	200,000
	AGENCY TOTAL	**44,680,184**	**44,877,363**
	TOTAL	**89,723,032**	**44,877,363**
	REGULATION AND PROTECTION		
	TRANSPORTATION		
5000	**DEPARTMENT OF TRANSPORTATION**		
001	Personal Services	100,255,174	103,831,837
002	Other Expenses	33,865,470	35,770,234
005	Equipment	1,500,000	1,500,000
006	Highway and Planning Research	2,274,356	2,274,356
007	Minor Capital Projects	350,000	350,000
008	Highway & Bridge Renewal-Equipment	4,000,000	4,000,000
011	Handicapped Access Program	6,746,063	7,420,669
014	Hospital Transit for Dialysis	113,000	113,000
023	Rail Operations	56,190,642	55,085,264
024	Bus Operations	60,077,103	59,291,630
039	Workers' Compensation Claims	1,464,260	1,408,686
043	Eastern Connecticut Rail Service Study	75,000	0
096	Highway and Bridge Renewal	9,700,000	10,200,000
	PAYMENTS TO LOCAL GOVERNMENTS		
701	Aircraft Registration	1,454,000	873,000
714	Town Aid Road Grants	20,000,000	20,000,000
	AGENCY TOTAL	**298,065,068**	**302,118,676**
	TOTAL	**298,065,068**	**302,118,676**
	TRANSPORTATION		
	NON-FUNCTIONAL		
9120	**DEBT SERVICE - STATE TREASURER**		
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
601	Debt Service	388,899,269	414,876,710

		1997-98 $	1998-99 $
9201	**RESERVE FOR SALARY ADJUSTMENTS**		
006	Reserve for Salary Adjustments	10,442,000	15,846,000
	MISCELLANEOUS APPROPRIATIONS ADMINISTERED BY THE COMPTROLLER		
9605	**REFUNDS OF PAYMENTS**		
002	Other Expenses	1,810,000	1,810,000
9903	**UNEMPLOYMENT COMPENSATION**		
002	Other Expenses	460,000	475,000
9909	**STATE EMPLOYEES RETIREMENT CONTRIBUTIONS**		
002	Other Expenses	25,740,000	31,700,000
9913	**INSURANCE - GROUP LIFE**		
002	Other Expenses	131,000	133,000
9926	**EMPLOYERS SOCIAL SECURITY TAX**		
002	Other Expenses	13,100,000	13,400,000
9932	**STATE EMPLOYEES HEALTH SERVICE COST**		
002	Other Expenses	19,623,000	19,723,000
	TOTAL MISCELLANEOUS APPROPRIATIONS ADMINISTERED BY THE COMPTROLLER	**60,864,000**	**67,241,000**
	TOTAL NON-FUNCTIONAL	**460,205,269**	**497,963,710**
	TOTAL SPECIAL TRANSPORTATION FUND	**850,170,869**	**847,163,766**
	LESS:		
	Estimated Unallocated Lapses	-10,000,000	-10,000,000
	Early Retirement Incentive Program	-10,417,500	-8,315,000
	NET - SPECIAL TRANSPORTATION FUND	**829,753,369**	**828,848,766**

Sections 3 and 13 (98-99). The following sums are appropriated for the annual period as indicated and for the purposes described.

		1997-98	1998-99
		$	$
01114	**MASHANTUCKET PEQUOT & MOHEGAN FUND**		
	NON-FUNCTIONAL		
	MISCELLANEOUS APPROPRIATIONS ADMINISTERED BY THE COMPTROLLER		
9802	**GRANTS TO TOWNS**		
	PAYMENTS TO LOCAL GOVERNMENTS		
701	Grants to Towns	135,000,000	135,000,000
	TOTAL MISCELLANEOUS APPROPRIATIONS ADMINISTERED BY THE COMPTROLLER	**135,000,000**	**135,000,000**
	TOTAL NON-FUNCTIONAL	**135,000,000**	**135,000,000**
	TOTAL MASHANTUCKET PEQUOT & MOHEGAN FUND	**135,000,000**	**135,000,000**

Sections 4 and 14 (98-99). The following sums are appropriated for the annual period as indicated and for the purposes described.

		1997-98	1998-99
		$	$
01115	**SOLDIERS, SAILORS AND MARINES' FUND**		
	GENERAL GOVERNMENT		
1312	**DEPARTMENT OF VETERANS AFFAIRS**		
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
604	Burial Expenses	15,000	15,000
605	Headstones	255,000	275,000
	AGENCY TOTAL	**270,000**	**290,000**
	TOTAL GENERAL GOVERNMENT	**270,000**	**290,000**

		1997-98 $	1998-99 $
	HUMAN SERVICES		
6301	SOLDIERS', SAILORS', AND MARINES' FUND		
001	Personal Services	731,342	774,619
002	Other Expenses	383,211	403,229
005	Equipment	30,000	0
021	Award Payments to Veterans	2,000,000	2,000,000
	AGENCY TOTAL	**3,144,553**	**3,177,848**
	TOTAL HUMAN SERVICES	**3,144,553**	**3,177,848**
	TOTAL SOLDIERS, SAILORS AND MARINES' FUND	**3,414,553**	**3,467,848**

Sections 5 and 15 (98-99). The following sums are appropriated for the annual period as indicated and for the purposes described.

		1997-98 $	1998-99 $
01129	REGIONAL MARKET OPERATION FUND		
	CONSERVATION AND DEVELOPMENT		
3002	DEPARTMENT OF AGRICULTURE		
001	Personal Services	368,480	377,698
002	Other Expenses	255,850	261,705
005	Equipment	2,000	0
	AGENCY TOTAL	**626,330**	**639,403**
	TOTAL CONSERVATION AND DEVELOPMENT	**626,330**	**639,403**
	NON-FUNCTIONAL		

		1997-98	1998-99
		$	$
9120	**DEBT SERVICE - STATE TREASURER**		
	OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS		
601	Debt Service	203,000	194,000
	TOTAL	**203,000**	**194,000**
	NON-FUNCTIONAL		
	TOTAL	**829,330**	**833,403**
	REGIONAL MARKET OPERATION FUND		

Sections 6 and 16 (98-99). The following sums are appropriated for the annual period as indicated and for the purposes described.

		1997-98	1998-99
		$	$
01103	**BANKING FUND**		
	REGULATION AND PROTECTION		
2402	**DEPARTMENT OF BANKING**		
001	Personal Services	8,300,944	8,753,489
002	Other Expenses	3,355,485	2,200,726
005	Equipment	343,170	675,670
040	Fringe Benefits	3,154,198	3,326,198
045	Indirect Overhead	440,000	440,000
	AGENCY TOTAL	**15,593,797**	**15,396,083**
	TOTAL	**15,593,797**	**15,396,083**
	REGULATION AND PROTECTION		
	TOTAL	**15,593,797**	**15,396,083**
	BANKING FUND		

Sections 7 and 17 (98-99). The following sums are appropriated for the annual period as indicated and for the purposes described.

		1997-98 $	1998-99 $
01104	**INSURANCE FUND**		
	REGULATION AND PROTECTION		
2403	**DEPARTMENT OF INSURANCE**		
001	Personal Services	8,229,744	8,747,118
002	Other Expenses	1,312,880	1,330,587
005	Equipment	192,000	162,000
040	Fringe Benefits	3,127,303	3,323,905
045	Indirect Overhead	327,000	327,000
	AGENCY TOTAL	**13,188,927**	**13,890,610**
	TOTAL REGULATION AND PROTECTION	**13,188,927**	**13,890,610**
	TOTAL INSURANCE FUND	**13,188,927**	**13,890,610**

Sections 8 and 18 (98-99). The following sums are appropriated for the annual period as indicated and for the purposes described.

		1997-98 $	1998-99 $
01106	**CONSUMER COUNSEL AND PUBLIC UTILITY CONTROL FUND**		
	REGULATION AND PROTECTION		
2406	**OFFICE OF CONSUMER COUNSEL**		
001	Personal Services	849,177	899,679
002	Other Expenses	383,224	391,510
005	Equipment	14,953	15,293
040	Fringe Benefits	322,687	341,878
045	Indirect Overhead	73,111	73,111
	AGENCY TOTAL	**1,643,152**	**1,721,471**

		1997-98	1998-99
		$	$
2407	**DEPARTMENT OF PUBLIC UTILITY CONTROL**		
001	Personal Services	7,295,643	7,627,510
002	Other Expenses	1,867,331	1,906,758
005	Equipment	205,000	205,000
040	Fringe Benefits	2,772,384	2,898,494
045	Indirect Overhead	442,544	464,671
046	Nuclear Energy Advisory Council	15,000	15,000
	AGENCY TOTAL	**12,597,902**	**13,117,433**
	TOTAL	**14,241,054**	**14,838,904**
	REGULATION AND PROTECTION		
	TOTAL	**14,241,054**	**14,838,904**
	CONSUMER COUNSEL AND PUBLIC UTILITY CONTROL FUND		

Sections 9 and 19 (98-99). The following sums are appropriated for the annual period as indicated and for the purposes described.

		1997-98	1998-99
		$	$
01108	**WORKERS' COMPENSATION FUND**		
	REGULATION AND PROTECTION		
2610	**DEPARTMENT OF LABOR**		
028	Occupational Health Clinics	623,510	637,850
2904	**WORKERS' COMPENSATION COMMISSION**		
001	Personal Services	7,539,453	7,933,642
002	Other Expenses	3,833,570	3,507,555
005	Equipment	490,124	406,500
011	Criminal Justice Fraud Unit	414,720	424,259
012	Rehabilitative Services	4,909,075	5,021,984
040	Fringe Benefits	2,539,533	2,656,505
045	Indirect Overhead	1,209,344	1,238,369
	AGENCY TOTAL	**20,935,819**	**21,188,814**
	TOTAL	**21,559,329**	**21,826,664**
	REGULATION AND PROTECTION		
	TOTAL	**21,559,329**	**21,826,664**
	WORKERS' COMPENSATION FUND		

Sections 10 and 20 (98-99). The following sums are appropriated for the annual period as indicated and for the purposes described.

		1997-98	1998-99
		$	$
01139	**CRIMINAL INJURIES COMPENSATION FUND**		
	JUDICIAL		
9001	**JUDICIAL DEPARTMENT**		
011	Criminal Injuries Compensation	1,900,000	1,900,000
	TOTAL JUDICIAL	**1,900,000**	**1,900,000**
	TOTAL CRIMINAL INJURIES COMPENSATION FUND	**1,900,000**	**1,900,000**

Sec. 21. Funds received for any specific purpose authorized by statute shall be deemed to be appropriated for such purpose.

Sec. 22. Any appropriation, or portion thereof, made from the General Fund under sections 1 and 11 of this act to any agency, may be transferred at the request of said agency to any other agency by the ~~Governor, with the approval of the finance advisory committee,~~ to take full advantage of federal matching funds, provided both agencies shall certify that the expenditure of such transferred funds by the receiving agency will be for the same purpose as that of the original appropriation or portion thereof so transferred. Any federal funds generated through the transfer of appropriations between agencies may be used for reimbursing general fund expenditures or for expanding program services or a combination of both as determined by the Governor, with the approval of the finance advisory committee.

Sec. 23. The total number of positions which may be filled by any state agency shall not exceed the number of positions recommended by the joint standing committee on appropriations, including any revisions to such recommendations resulting from enactments of the General Assembly, as set forth in the report on the state budget published by the legislative office of fiscal analysis, except upon the recommendation of the Governor and the approval of the finance advisory committee. The provisions of ~~this section shall not apply to the constituent units of~~ The State System of Higher Education.

Sec. 24. The Secretary of the Office of Policy and Management shall monitor expenditures, for personal services, during the fiscal years ending June 30, 1998, and June 30, 1999, in order to reduce expenditures for such purpose during each fiscal year by $11,000,000.

Sec. 25. The Secretary of the Office of Policy and Management shall monitor expenditures, for other expenses, during the fiscal years ending June 30, 1998, and June 30, 1999, in order to reduce expenditures for such purpose during each fiscal year by $12,000,000.

Sec. 26. Notwithstanding the provisions of subsections (a) to (d), inclusive, of section 4-85 of the general statutes and subsection (f) of section 4-89 of the general statutes, the Governor may modify or reduce requisitions for allotments during the fiscal years ending June 30, 1998, and June 30, 1999, in order to achieve collective bargaining and related savings, including any savings resulting from an early

retirement incentive program, required under this act, any other public or special act, or any collectively bargained agreement.

Sec. 27. Appropriations for personal services in sections 1, 2, 11, and 12 of this act may be transferred from agencies to the reserve for salary adjustments account, to reflect a more accurate impact of collective bargaining and related costs, upon the recommendation of the Governor and the approval of the finance advisory committee.

Sec. 28. (a) The appropriations to the reserve for salary adjustments account in sections 1, 2, 11, and 12 of this act and any appropriations to said account made in any public or special act of the current session, and any amounts transferred to the account pursuant to section 27 of this act, may be transferred and necessary additions from the resources of special funds may be made by the Governor to give effect to salary increases, other employee benefits, agency costs related to staff reductions, including accrual payments, or other personal services adjustments authorized by this act, any other public or special act or other applicable statutes.

(b) Any appropriations to the reserve for salary adjustments account made in any public or special act of the current session shall not lapse on June 30, 1997, and such funds shall continue to be available for the purposes of subsection (a) of this section during the fiscal years ending June 30, 1998, and June 30, 1999.

Sec. 29. (a) That portion of unexpended funds, as determined by the Secretary of the Office of Policy and Management, appropriated in special act 95-12 and special act 96-8, which relate to collective bargaining agreements and related costs, shall not lapse on June 30, 1997, or June 30, 1998, and such funds shall continue to be available for such purpose during the fiscal years ending June 30, 1998, and June 30, 1999.

(b) That portion of unexpended funds, as determined by the Secretary of the Office of Policy and Management, appropriated in sections 1 and 2 of this act, which relate to collective bargaining agreements and related costs, shall not lapse on June 30, 1998, and such funds shall continue to be available for such purpose during the fiscal year ending June 30, 1999.

Sec. 30. The unexpended balance of the funds appropriated to the State Comptroller in section 1 of public act 93-80, as amended by section 1 of public act 94-1 of the May special session and section 1 of special act 95-12, for state employees retirement data base, and carried forward by section 29 of special act 95-12, as amended by section 11 of special act 96-8, shall not lapse on June 30, 1997, and such funds shall continue to be available for expenditure for development of payroll, time and attendance, accounting and database systems during the fiscal year ending June 30, 1998.

Sec. 31. Up to $599,000 appropriated to the Department of Public Works in section 11 of special act 95-12, as amended by section 1 of special act 96-8, for other expenses, shall not lapse on June 30, 1997, and such funds shall continue to be available for property disposition costs during the fiscal year ending June 30, 1998.

Sec. 32. The unexpended balance of the funds appropriated to the Office of Policy and Management in section 1 of public act 93-80, for social services initiatives, and carried forward by section 20 of public act 93-80, as amended by section 9 of public act 94-1 of the May special session, and section 31 of special act 95-12, as amended by section 13 of special act 96-8, shall not lapse on June 30, 1997, and such funds shall continue to be available for expenditure during the fiscal years ending June 30, 1998, and June 30, 1999.

Sec. 33. The unexpended balance of funds appropriated to the Office of Policy and Management in section 11 of special act 95-12, as amended by section 1 of special act 96-8, for payments to local governments, for the drug enforcement program, shall not lapse on June 30, 1997, and such funds shall continue to be available for expenditure for such purpose during the fiscal year ending June 30, 1998.

Sec. 34. The unexpended balance of funds appropriated to the Office of Policy and Management in section 11 of special act 95-12, as amended by section 1 of special act 96-8, for justice assistance grants, shall not

lapse on June 30, 1997, and such funds shall continue to be available for expenditure for such purpose during the fiscal year ending June 30, 1998.

Sec. 35. The unexpended balance of funds appropriated to the Office of Policy and Management in section 1 of public act 93-80, as amended by section 1 of public act 94-1 of the May special session, for the automated personnel system, and carried forward by section 33 of special act 95-12, as amended by section 14 of special act 96-8, shall not lapse on June 30, 1997, and such funds shall continue to be available for expenditure for such purpose during the fiscal year ending June 30, 1998.

Sec. 36. (a) The unexpended balance of the funds appropriated to the Department of Administrative Services in section 1 of public act 93-80, as amended by section 1 of public act 94-1 of the May special session, for development of a hospital billing system, and carried forward by section 34 of special act 95-12, as amended by section 15 of special act 96-8, shall not lapse on June 30, 1997, and such funds shall continue to be available for expenditure for such purpose during the fiscal years ending June 30, 1998, and June 30, 1999.

(b) The unexpended balance of the funds appropriated to the Department of Administrative Services in section 1 of special act 95-12, for development of a hospital billing system, and carried forward by section 34 of special act 95-12, as amended by section 15 of special act 96-8, shall not lapse on June 30, 1997, and such funds shall continue to be available for expenditure for such purpose during the fiscal years ending June 30, 1998, and June 30, 1999.

Sec. 37. Up to $142,000 appropriated to the Police Officer Standards and Training Council in section 11 of special act 95-12, as amended by section 1 of special act 96-8, for completion of the office automation project, shall not lapse on June 30, 1997, and such funds shall continue to be available for expenditure for such purpose during the fiscal year ending June 30, 1998.

Sec. 38. Up to $150,000 appropriated to the Department of Public Health in section 11 of special act 95-12, as amended by section 1 of special act 96-8, for other expenses, and the unexpended balances appropriated in section 1 of special act 95-12 and section 11 of special act 95-12, as amended by section 1 of special act 96-8, for the teen pregnancy prevention campaign, shall not lapse on June 30, 1997, and ~~shall continue to be available for a teen pregnancy prevention media campaign during the fiscal year~~ ending June 30, 1998.

Sec. 39. The sum of $400,000 of the amount appropriated to the Office of Health Care Access in section 11 of special act 95-12, as amended by section 1 of special act 96-8, for the health data institute, shall not lapse on June 30, 1997, and such funds shall continue to be available for expenditure during the fiscal year ending June 30, 1998, as follows: The sum of $350,000 shall be available to the Office of Health Care Access for expenses properly attributable to the other expenses account; the sum of $5,000 shall be available for health care data analysis activities of said office, and the sum of $45,000 shall be transferred to the Department of Public Health for the Breast and Cervical Cancer Detection and Treatment program.

~~Sec. 40. During the fiscal year ending June 30, 1998, and the fiscal year ending June 30, 1999, the sum of~~ $163,522 of the amount appropriated to the Department of Public Safety in section 1 and section 11 of this act shall be transferred to the Department of Public Health for support of three toxicology laboratory staff and associated other expenses.

Sec. 41. During the fiscal year ending June 30, 1998, and the fiscal year ending June 30, 1999, $1,000,000 of the federal funds received by the Department of Education from Part B of the Individuals with Disabilities Education Act shall be transferred to the Department of Mental Retardation for the Birth-to-Three program.

Sec. 42. All funds appropriated to the Department of Social Services for the Department of Mental Health and Addiction Services/Medicaid disproportionate share shall be expended by the Department of Social Services in such amounts and at such times as prescribed by the Office of Policy and Management. The

Department of Social Services shall make disproportionate share payments to hospitals in the Department of Mental Health and Addiction Services for operating expenses and for related fringe benefit expenses. Funds received by the hospitals in the Department of Mental Health and Addiction Services for fringe benefits shall be used to reimburse the Comptroller. All other funds received by the hospitals in the Department of Mental Health and Addiction Services shall be deposited to grants - other than federal accounts. All disproportionate share payments not expended in grants -other than federal accounts shall lapse at the end of the fiscal year.

Sec. 43. The unexpended balance of the funds appropriated to the Department of Social Services in section 11 of special act 95-12, as amended by section 1 of special act 96-8, for the supported employment/job connection program, that are determined by the Department of Social Services as necessary to cover prior year contractual obligations, shall not lapse on June 30, 1997, and such funds shall continue to be available for expenditure for such purpose during the fiscal year ending June 30, 1998.

Sec. 44. The unexpended balance of the funds appropriated to the Department of Social Services in section 1 of special act 95-12, and carried forward by section 33 of public act 96-268, for the hospital assistance program, shall not lapse on June 30, 1997, and such funds shall continue to be available for expenditure for such purpose during the fiscal years ending June 30, 1998, and June 30, 1999.

Sec. 45. The unexpended balance of the funds appropriated to the Department of Social Services in section 1 of public act 91-3 of the June special session, for financial management reporting, and carried forward by section 27 of special act 92-13, section 25 of public act 93-80, section 30 of public act 94-1 of the May special session, and section 41 of special act 95-12, as amended by section 16 of special act 96-8, shall not lapse on June 30, 1997, and such funds shall continue to be available for expenditure for such purpose during the fiscal years ending June 30, 1998, and June 30, 1999.

Sec. 46. The unexpended balance of the funds appropriated to the Department of Social Services in section 1 of public act 93-80, as amended by section 1 of public act 94-1 of the May special session, for development of a Medicaid management information system, and carried forward by section 24 of public act 93-80, and section 47 of special act 95-12, as amended by section 18 of special act 96-8, and the unexpended balance of the funds appropriated to said department for such purpose for the fiscal year ending June 30, 1995, and carried forward by section 47 of special act 95-12, as amended by section 18 of special act 96-8, shall not lapse on June 30, 1997, and such
funds shall continue to be available for expenditure for such purpose during the fiscal year ending June 30, 1998, provided $3,000,000 of such amount shall be available for the supported employment services/job connection program.

Sec. 47. Up to $5,200,000 of the funds appropriated to the Department of Social Services in section 11 of special act 95-12, as amended by section 1 of special act 96-8, for general assistance managed care, shall not lapse on June 30, 1997, and such funds shall continue to be available for expenditure during the fiscal year ending June 30, 1998, as follows: The sum of $1,000,000 shall be available to the Department of Social Services for general assistance managed care; the sum of $3,000,000 of such amount shall be available for the supported employment services/job connection program, and the sum of $1,200,000 shall be transferred to the Labor Department for customized job training programs.

Sec. 48. Notwithstanding the provisions of section 10-183z of the general statutes, the appropriation to the Teachers' Retirement Fund for the fiscal year ending June 30, 1998, and the fiscal year ending June 30, 1999, shall be at the level of the appropriation for such purpose in section 1 and section 11 of this act.

Sec. 49. Up to $250,000 of the funds appropriated to the Teachers' Retirement Board in section 11 of special act 95-12, as amended by section 1 of special act 96-8, for other expenses, shall not lapse on June 30, 1997, and such funds shall continue to be available for expenditure for computer-related costs during the fiscal year ending June 30, 1998.

Sec. 50. The unexpended balance of funds appropriated to the Department of Children and Families in section 11 of special act 95-12, as amended by section 1 of special act 96-8, for other expenses, for development of the single statewide computer system (LINK), shall not lapse on June 30, 1997, and such funds shall continue to be available for expenditure for such purpose during the fiscal year ending June 30, 1998.

Sec. 51. The unexpended balance of the funds appropriated to the Workers' Compensation Commission in section 9 of public act 93-80, as amended by section 6 of public act 94-1 of the May special session, for development of the management information system, and carried forward by section 43 of special act 95-12, as amended by section 17 of special act 96-8, shall not lapse on June 30, 1997, and such funds shall continue to be available for expenditure for such purpose during the fiscal year ending June 30, 1998.

Sec. 52. Up to $452,610 of the amount appropriated to the Workers' Compensation Commission in section 19 of special act 95-12, as amended by section 8 of special act 96-8, for other expenses, shall not lapse on June 30, 1997, and such funds shall continue to be available for expenditure for office automation costs during the fiscal year ending June 30, 1998.

Sec. 53. The unexpended balance of the funds transferred to Legislative Management, for fiscal reporting, by section 41 of special act 95-12, as amended by section 16 of special act 96-8, and carried forward by section 40 of special act 96-8, shall not lapse on June 30, 1997, and such funds shall continue to be available for expenditure for such purpose during the fiscal years ending June 30, 1998, and June 30, 1999.

Sec. 54. The sum of $25,000 of the amount appropriated to the Department of Public Safety in section 11 of special act 95-12, as amended by section 1 of special act 96-8, for stress reduction, shall not lapse on June 30, 1997, and such funds shall continue to be available for expenditure for such purpose during the fiscal year ending June 30, 1998.

Sec. 55. Notwithstanding the provisions of section 3-55i of the general statutes, if the appropriation in section 3 or section 13 of this act exceeds $85,000,000, the amount of the grant payable to each municipality in accordance with the provisions of section 3-55j of the general statutes shall be increased proportionately.

Sec. 56. On or before January 1, 1998, and quarterly thereafter, the Secretary of the Office of Policy and Management shall submit a report to the joint standing committee on appropriations, through the legislative office of fiscal analysis, concerning the actual and projected costs and savings associated with an early retirement incentive program for each agency and each appropriated fund from July 1, 1997, to June 30, 1999, inclusive. The report shall include the number of (1) employees eligible for retirement under such program, (2) employees who have retired, (3) positions which have been refilled, (4) positions which have been eliminated and (5) retirees who have been re-employed. The report which is submitted with, or due prior to, the Governor's recommended budget revisions for the fiscal year ending June 30, 1999, shall include recommendations for the distribution of the net savings, by agency, for said fiscal year.

Sec. 57. The Governor's recommended budget revisions for the fiscal year ending June 30, 1999, shall include a report which details the implementation plan for achieving the savings associated with statewide agency functional consolidations, including any state agency reorganizations or the privatization of information technology within state agencies. The report shall include recommendations for the distribution of the net savings, by agency, for said fiscal year.

Sec. 58. (a) For the fiscal years ending June 30, 1998, and June 30, 1999, system office expenditures for the Regional Community-Technical Colleges, exclusive of telecommunications center funds, capital equipment bond funds, funds for identified system-wide projects which benefit the individual campuses of the community-technical colleges, and funds for data center, shall not exceed 1.59% and 1.55% respectively, of the annual general fund appropriation and operating fund expenditures, exclusive of federal, private, capital bond and fringe benefit funds.

(b) For the fiscal years ending June 30, 1998, and June 30, 1999, system office expenditures for the Connecticut State University system, exclusive of telecommunications center funds, capital equipment bond funds, funds for identified system-wide projects which benefit the individual campuses of the State University system, and funds for data center, shall not exceed 1.13% and 1.1% respectively, of the annual general fund appropriation and operating fund expenditures, exclusive of federal, private, capital bond and fringe benefit funds.

(c) For the Regional Community-Technical Colleges, for the fiscal years ending June 30, 1998, and June 30, 1999, expenditures for institutional administration, defined as system office, executive management, fiscal operations, and general administration, exclusive of expenditures for logistical services, administrative computing, and development, shall not exceed 10.69% and 10.38% respectively, of the annual general fund appropriation and operating fund expenditures, exclusive of federal, private, capital bond and fringe benefit funds.

(d) For the Connecticut State University system, for the fiscal years ending June 30, 1998, and June 30, 1999, expenditures for institutional administration, defined as system office, executive management, fiscal operations, and general administration, exclusive of expenditures for logistical services, administrative computing, and development, shall not exceed 7.94% and 7.7% respectively, of the annual general fund appropriation and operating fund expenditures, exclusive of federal, private, capital bond and fringe benefit funds.

(e) For The University of Connecticut, for the fiscal years ending June 30, 1998, and June 30, 1999, expenditures for institutional administration, defined as system office, executive management, fiscal operations, and general administration, exclusive of expenditures for logistical services, administrative computing, and development, shall not exceed 3.58% and 3.47% respectively, of the annual general fund appropriation and operating fund expenditures, exclusive of federal, private, capital bond and fringe benefit funds.

(f) The Commissioner of Higher Education shall, within available appropriations, monitor compliance with the provisions of subsections (a) to (e), inclusive, of this section and shall report findings to the joint standing committees of the General Assembly having cognizance of matters relating to education and to appropriations not later than sixty days following the close of each quarter of the fiscal years ending June 30, 1998, and June 30, 1999.

Sec. 59. Notwithstanding the provisions of section 4-30a of the general statutes, after the accounts for the fiscal year ending June 30, 1997, are closed, if the Comptroller determines that there exists an unappropriated surplus in the General Fund, after any amounts required by provision of law to be transferred for other purposes have been deducted, the amount of such surplus, up to $166,700,000 shall be deemed to be appropriated to the Treasurer and shall be applied as follows: (1) The sum of $85,800,000 shall be applied to the fiscal year ending June 30, 1998, for payment of principal and interest on economic recovery notes which become due and payable in the fiscal year ending June 30, 1998. Said sum of $85,800,000 is authorized to be transferred to the economic recovery note debt retirement fund at such times as the principal of the economic recovery notes and the interest thereon shall become due and payable; (2) the balance of the amount so appropriated shall be applied to the fiscal year ending June 30, 1999, for payment of principal and interest on economic recovery notes which become due and payable in the fiscal year ending June 30, 1999. Such balance is authorized to be transferred to the economic recovery note debt retirement fund at such times as the principal of the economic recovery notes and the interest thereon shall become due and payable.

Sec. 60. The sum of $55,000 of the amount appropriated to the Department of Higher Education in section 11 of special act 95-12, as amended by section 1 of special act 96-8, for the National Service Act, shall not lapse on June 30, 1997, and such funds shall continue to be available for expenditure for such purpose during the fiscal year ending June 30, 1998.

Sec. 61. The sum of $215,000 of the amount appropriated to Legislative Management in section 11 of special act 95-12, as amended by section 1 of special act 96-8, for other expenses, shall not lapse on June 30, 1997, and such funds shall continue to be available for expenditure for such purpose during the fiscal year ending June 30, 1998.

Sec. 62. The sum of $60,000 appropriated to the Department of Economic and Community Development in section 1 of this act, for the Institute for Community and Regional Development, shall be made available to Central Connecticut State University, for the purposes of the institute, provide the university provides matching funds in the amount of $40,000.

Sec. 63. The sum of $350,000 of the amount appropriated to the Department of Mental Health and Addiction Services in section 11 of special act 95-12, as amended by section 1 of special act 96-8, for grants for substance abuse services, shall not lapse on June 30, 1997, and such funds shall continue to be available for expenditure by Amethyst House Crossroads during the fiscal years ending June 30, 1998, and June 30, 1999.

Sec. 64. The sum of $161,000 of the amount appropriated to the Department of Mental Retardation in section 11 of special act 95-12, as amended by section 1 of special act 96-8, for dental services, shall not lapse on June 30, 1997, and such funds shall continue to be available for expenditure for such purpose during the fiscal year ending June 30, 1998.

Sec. 65. The unexpended balance of the funds appropriated to the Department of Public Health in section 11 of special act 95-12, as amended by section 1 of special act 96-8, for services for children affected by AIDS, shall not lapse on June 30, 1997, and such funds shall continue to be available for expenditure for such purpose during the fiscal year ending June 30, 1998.

Sec. 66. Up to $100,000 of the funds appropriated to the Secretary of the State in section 11 of special act 95-12, as amended by section 1 of special act 96-8, for other expenses, shall not lapse on June 30, 1997, and such funds shall continue to be available for expenditure for office space upgrading during the fiscal year ending June 30, 1998.

Sec. 67. During the fiscal years ending June 30, 1998, and June 30, 1999, the Department of Transportation shall maintain the bus fare at one dollar, within existing budgetary resources.

Sec. 68. The funds appropriated to the Department of Public Utility Control in sections 8 and 18 of this act, for the Nuclear Energy Advisory Council, shall be used to reimburse the members of the council for necessary expenses incurred in the performance of their duties.

Sec. 69. The Division of Criminal Justice shall not move to new facilities unless such facilities are owned by the state on the effective date of this act.

Sec. 70. Up to $340,000 of the funds appropriated to the Department of Public Health in section 11 of special act 95-12, as amended by section 1 of special act 96-8, for other expenses, shall not lapse on June 30, 1997, and such funds shall continue to be available for expenditure for a microfilming project during the fiscal year ending June 30, 1998.

Sec. 71. The unexpended balance of the funds appropriated to the Department of Public Safety in section 11 of special act 95-12, as amended by section 1 of special act 96-8, the unexpended balance of the funds appropriated to the department in section 12 of special act 95-12, as amended by section 2 of special act 96-8, and the unexpended balance of the funds transferred by the Finance Advisory Committee, for fleet acquisition, shall not lapse on June 30, 1997, and such funds shall continue to be available for expenditure for such purpose during the fiscal year ending June 30, 1998.

Sec. 72. The unexpended balance of the funds transferred by the Finance Advisory Committee to the Children and Youth Program Development account within the Office of Policy and Management, shall not lapse on June 30, 1997, and such funds shall continue to be available for expenditure for such purpose during the fiscal year ending June 30, 1998.

Sec. 73. Notwithstanding the provisions of sections 24, 25 and 26 of this act, the appropriation to The University of Connecticut of $148,501,722 in Fiscal Year 1997-98 and $155,253,959 in Fiscal Year 1998-99 shall be the allotment for each such fiscal year, provided that in the event of an early retirement incentive program the Secretary of the Office of Policy and Management may secure in Fiscal Year 1997-98 total savings $1,677,899 with an allotment reduction of not more than $1,364,368 and the balance through fringe benefit savings, and may secure in Fiscal Year 1998-99 total savings of $1,723,606 with an allotment reduction of not more than $1,401,534 and the balance through fringe benefit savings. Accrual payments, including the faculty contract adjustment, resulting from the early retirement incentive program shall be funded by the Office of Policy and Management.

Sec. 74. Notwithstanding the provisions of sections 24, 25 and 26 of this act, the appropriation to the Connecticut State University System of $107,352,895 in Fiscal Year 1997-98 and $108,969,239 in Fiscal Year 1998-99 shall be the allotment for each such fiscal year, provided that in the event of an early retirement incentive program the Secretary of the Office of Policy and Management may secure in Fiscal Year 1997-98 total savings $1,322,322 with an allotment reduction of not more than $1,075,233 and the balance through fringe benefit savings, and may secure in Fiscal Year 1998-99 total savings of $1,577,902 with an allotment reduction of not more than $1,283,056 and the balance through fringe benefit savings.

Sec. 75. Notwithstanding the provisions of sections 24, 25 and 26 of this act, the appropriation to the Regional Community-Technical College System of $93,020,751 in Fiscal Year 1997-98 and $93,954,201 in Fiscal Year 1998-99 shall be the allotment for each such fiscal year, provided that in the event of an early retirement incentive program the Secretary of the Office of Policy and Management may secure in Fiscal Year 1997-98 total savings $1,402,536 with an allotment reduction of not more than $1,140,459 and the balance through fringe benefit savings, and may secure in Fiscal Year 1998-99 total savings of $1,376,690 with an allotment reduction of not more than $1,119,442 and the balance through fringe benefit savings.

Sec. 76. The sum of $750,000 appropriated to The University of Connecticut Health Center in section 1 and section 11 of this act may be used in the sole discretion of the health center to assist in the placement of University Health Professional bargaining unit members affected by position elimination in positions in other state agencies for retention of such employees by The University of Connecticut Health Center.

Sec. 77. The provisions of sections 24, 25, and 26 of this act shall not apply to the constituent units of the State System of Higher Education.

Sec. 78. The sum of $1,000,000 appropriated to the Department of Banking in section 16 of special act 95-12, for other expenses, shall not lapse on June 30, 1997 and such funds shall continue to be available for expenditure during the fiscal year ending June 30, 1998.

Sec. 79. The unexpended balance of the funds appropriated to the Department of Public Health in section 11 of special act 95-12, as amended by section 1 of special act 96-8, for Community Health Centers, shall not lapse on June 30, 1997, and such funds shall continue to be available for expenditure for such purpose during the fiscal year ending June 30, 1998.

Sec. 80. Not less than $400,000 appropriated to the Department of Economic and Community Development in section 1 of this act shall be allocated to the Connecticut Tourism Council established under section 32-301 of the general statutes. The council shall allocate not less than $150,000 of such amount to the Connecticut Film, Video and Media Office established under section 32-86a of the general statutes.

Sec. 81. EARLY RETIREMENT INCENTIVE PROGRAM

There shall be an Early Retirement Incentive Program (ERIP) offered to full-time and part-time state employees, as described below, in addition to the normal retirement program.

A. Eligibility Rules.

The following members of the State Employees Retirement System (SERS) shall be eligible to participate in the program:

1. All state employees who will be at least fifty-two years of age on or before July 31, 1997, and who retire directly from employment and begin immediately receiving normal or early retirement benefits under Tier I or Tier II effective from April 1, 1997 to August 1, 1997, inclusive;

2. Who have at least ten years of credited state service in the SERS; and
3. In the case of hazardous duty employees, a minimum of twenty years of credited state service in the SERS.

B. Effective Date of Retirement.

All retirements under the program shall be effective not earlier than April 1, 1997, nor later than August 1, 1997. At the state's option, the effective date of any retirement may be deferred on a case by case basis to not later than August 1, 1998, for hazardous duty members and members employed by the Office of the State Comptroller. Requests to defer retirement shall be made in writing to the member with copies to the appropriate bargaining unit representative. If the state requests a hazardous duty member or a member employed by the Office of the State Comptroller to stay beyond August 1, 1997, and the employee refuses to do so, he shall continue to be eligible
for the ERIP.

C. Incentive.

An individual who is eligible for the ERIP shall be permitted to add up to three years to his age or up to three years to his service, or any combination not to exceed three years in total. The credit shall first be added to age until it reaches age fifty-five. Those members who will have attained age fifty-five or more on or before July 31, 1997, shall use the incentive for service credit only. Hazardous duty members shall have the credit added to their service. Incentive years shall only be used in whole units of one month.

D. Medical Insurance.

Any employee who retires under this program, or under the Teachers' Retirement System (TRS) or the Alternate Retirement Program (ARP) versions of such program, shall be entitled to retiree health insurance under the provisions of the SEBAC V Agreement as if he had retired prior to June 1, 1997.

E. Restrictions.

For purposes of this plan, a full-time employee is one who works thirty-five or more hours per week or the applicable full-time work schedule and a part-time employee represented by SEBAC is one who works less than a full-time schedule. Actual age shall be used in calculation of all related benefits including, but not limited to, Plan B reductions and Group Life Insurance. Actual paid wages, not projected wages, shall be used in all benefit calculations. Accrued vacation days at the date of retirement shall be credited as increased service time. Disability retirement is excluded from consideration in this Incentive Program.

F. Payment for Unused Sick and Vacation Days.

Any employee participating in the Incentive Program shall be eligible for payment of accrued sick and vacation days in accordance with existing rules.

REFILLING POSITIONS

A. Applicability.

The Refill requirements shall apply only to positions represented by SEBAC and vacated as a result of retirements under the ERIP effective on April 1, May 1, June 1, July 1 or August 1, 1997.

B. Refill Amounts.

In the event that fewer than one thousand one hundred full-time employees in permanent positions elect to take advantage of the ERIP, the state shall not have an obligation to refill any of the one thousand one hundred positions vacated hereby. The state shall refill at least 37.5 per cent of the full-time permanent positions in excess of one thousand one hundred. If more than one thousand five hundred full-time permanent positions are vacated and unfilled as a result of the ERIP, the state shall refill the additional positions on a one-for-one basis. Nothing in this ERIP shall be interpreted to mean that the exact position must be refilled.

Any position which is being filled on a temporary basis by an employee under the one-hundred-twenty-day provision of the Pension Agreement, shall not count in the number of positions which have to be refilled until they are not filled on a temporary basis.

C. Excluded Positions.

The positions to be refilled shall only cover full-time permanent positions. The count of positions to be refilled shall not include those employees on leave of absence, including workers' compensation leaves, and those employees who are covered by the Teachers' Retirement System (TRS), or the Alternate Retirement Program (ARP).

D. Unit Based Protections.

The full-time permanent state employee membership of any SEBAC bargaining unit shall not be diminished more than 5.5 per cent on a state-wide basis as a result of the ERIP. Such bargaining unit membership shall be determined as of March 31, 1997. The state shall make such refills as necessary to assure that this requirement is met. This percentage shall apply only to those employees who are permanent full-time employees.

E. Calculation Period.

The Retirement Division shall provide a list of full-time employees in permanent positions by bargaining unit as of March 31, 1997, no later than July 1, 1997. Additionally, the Retirement Division shall provide a list of full-time employees in permanent positions by bargaining unit retiring under the ERIP no later than September 15, 1997, less any employees not subject to the counting provided for under this ERIP. The lists shall be provided to both SEBAC and the Office of Labor Relations in disk form. In addition, the state shall provide by September 15, 1997, a list of hazardous duty employees and employees of the Office of the State Comptroller who have elected to take advantage of the ERIP, but have agreed to remain beyond August 1, 1997. The parties shall meet and agree to the lists within two weeks of receipt of such lists from the
Retirement Division.

Except as provided below, the state shall make efforts to refill positions required to be filled under the provisions of this section no later than October 15, 1997. The state shall provide a list of positions which are scheduled to be refilled and monthly updates of the progress with refills.

F. Refills in Higher Education Professional Positions.

To the extent that General Fund savings from the ERIP in Higher Education unclassified bargaining units exceed the Block Grant Operating Expenses allotment reduction attributed to the ERIP savings from those unclassified positions set forth in the 1997/99 Biennial Budget, those savings shall be used to refill such positions vacated as a result of the ERIP, or comparable positions.

LAYOFFS

A. Reduction of Labor Force.

Layoffs subsequent to the effective date of the early retirement incentive plan shall be those necessary to achieve (1) the personal services position count provided in this act, including any program or service reduction or elimination, and (2) the personal services line item amount of any department or agency if the line item amount is less than the amount necessary to fund the budgeted position count for the department or agency.

1. A layoff shall not be deemed to have occurred if the employee bumps or is placed under the applicable bargaining unit contract in another comparable position.

2. Limitations on layoff shall not apply to appointments to positions with termination or end dates, or grant funded or contract funded positions, nor shall such limitations apply to non-renewals, which do not result in a net loss of comparable positions. Additionally, this ERIP shall not prevent the giving of notices of layoff during 1997-99 for actions to be effective in subsequent years.

3. Layoffs at the University of Connecticut Health Center.

Temporary layoffs of members of the University Health Professionals' bargaining unit lasting fewer than three months shall not be prohibited under this ERIP. Employees who are offered comparable positions at the Health Center or at other state agencies in reasonable proximity thereto shall not be considered laid off under this ERIP.

B. Training.

The parties shall conduct training for agency and union personnel regarding the Early Retirement Incentive Program, and cooperate in conducting informational meetings for interested employees.

C. Teachers' Retirement System.

A program comparable to the above shall be developed and offered to employees who are enrolled in the TRS rather than the SERS. Members of TRS shall not be included in the refill guarantee as provided above.

D. Alternate Retirement Program.

Members of the Alternate Retirement Program retiring on or after April 1, 1997, and not later than August 1, 1997, who would be eligible for the ERIP if they were SERS members, shall receive an additional twelve per cent of their Final Average Earnings, as defined under the SERS, paid out as five equal annual employer contributions to their retirement account, if such is allowable pursuant to applicable law and regulation, or as five equal annual lump sum payments, at the employee's discretion. Neither the costs of such program, nor any savings generated thereby, shall affect general fund appropriations to the institutions of Higher Education.

E. Disputes and Arbitration.

Any disputes under this ERIP shall be governed by the provisions of Section 14 of the Pension Agreement between SEBAC and the state of Connecticut, except that:

1. Any union claiming to have members laid off in violation of this ERIP may submit such claim to arbitration if the dispute is not resolved to such union's satisfaction by the Pension Grievance committee; and

2. Disputes claiming that the 5.5 per cent requirement of this ERIP has not been met or raising other bargaining unit specific issues under this ERIP shall be handled by the affected union and the state through that union's contractual grievance procedure, provided nothing in such unit's collective bargaining agreement shall diminish the protections in this ERIP; and

3. The impartial arbitrator under this ERIP shall be selected by mutual agreement or, absent such agreement, by the process of the American Arbitration.

RETIREE INSURANCE FOR TIER II EMPLOYEES AND EMPLOYEES COVERED BY THE ALTERNATE RETIREMENT PROGRAM

(1) The five year vesting provisions of SEBAC V shall not affect the retiree health insurance entitlement of Tier II employees, unless such employee transitions directly into retirement, or leaves state service because there is not a fair assurance of continued employment.

(2) Employees covered by the Alternate Retirement Program shall be entitled to health insurance upon retirement on the same basis as SERS-covered employees with the same date of hire.

Sec. 82. As used in sections 83, 84, and 85 of this act:

(1) "Information systems" means the combination of data processing hardware and software in the collection, processing and distribution of data to and from interactive computer-based systems to meet informational needs.

(2) "State agency" means each department, board, council, commission, institution or other agency of the executive department of the state government, provided each board, council, commission, institution or other agency included by law within any given department shall be deemed a division of that department. The term "state agency" shall include (A) the offices of the Governor, Lieutenant Governor, Treasurer, Attorney General, Secretary of the State and Comptroller and (B) all operations of an agency which are funded by either the General Fund or a special fund.

(3) "Telecommunication systems" means telephone equipment and transmission facilities, either alone or in combination with information systems, for the electronic distribution of all forms of information, including voice, data and images.

Sec. 83. (a) From July 1, 1997, to June 30, 1999, inclusive, no contracts or amendments to contracts for information system or telecommunication system facilities, equipment or services, which, in the aggregate, have a value in excess of ten million dollars, executed by any state agency shall be effective except as provided in this section and sections 84 and 85 of this act.

(b) Upon the execution of any contract or amendment which exceeds such aggregate amount, and upon the execution of any subsequent contract or amendment, the state agency shall promptly file the contract or amendment with the State Auditors.

(c) Not later than ninety days after any such contract or amendment is filed with the State Auditors, such auditors (1) shall conduct an independent evaluation of the contract or amendment to determine

whether the selection of the contractor and the provisions of the contract or amendment serve the best interests of the state, including, but not limited to, (A) efficiency, (B) economy, (C) contractor qualifications, including, but not limited to, capacity for performance and accountability, and (D) effective delivery of services, and (2) shall submit a report on their findings and conclusions, and the contract or amendment, to the General Assembly, through the clerks of the House of Representatives and the Senate. The State Auditors may execute contracts with independent consultants for assistance in conducting such evaluation and preparing such report.

(d) Upon receipt of a report and a contract or amendment from the State Auditors under subsection (c) of this section, the speaker of the House of Representatives and the president pro tempore of the Senate shall refer the report and contract or amendment to the joint standing committees of the General Assembly having cognizance of matters relating to appropriations and the budgets of state agencies and government administration, for their review. Such contract or amendment shall take effect sixty days after the State Auditors submit the report and contract or amendment to the General Assembly unless the General Assembly rejects such contract or amendment as a whole by a majority vote of both houses.

Sec. 84. (a) From July 1, 1997, to June 30, 1999, inclusive, no business entity or individual shall be awarded a contract or amendment to a contract which is subject to the provisions of section 83 of this act, if the business entity or individual directly or indirectly participates in any of the following activities on behalf of the state concerning such contract or any other contract for state agency information system or telecommunication system facilities, equipment or services: Preparation of the request for information or request for proposals, development of bid specifications or proposal requirements, evaluation of bids or proposals, or negotiations with potential contractors.

(b) No business entity or individual who is awarded any such contract may award a subcontract for any work under such contract to any business
entity or individual who has participated in any of such activities listed in subsection (a) of this section.

Sec. 85. From July 1, 1997, to June 30, 1999, inclusive, with respect to any state employee whose position is eliminated or who is laid off as a result of any contract or amendment to a contract which is subject to the provisions of section 84 of this act, (1) the contractor shall hire the employee, upon application by the employee, or (2) the employee may transfer to any vacant position in state service for which he is qualified, to the extent allowed under the provisions of existing collectively bargained agreements and the general statutes. If the contractor hires any such state employee and does not provide the employee with fringe benefits which are equivalent to, or greater than, the fringe benefits that the employee would have received in state service, the state shall, for two years after the employee terminates from state service, provide to the employee either (A) the same benefits that he received from the state or (B) compensation in an amount which represents the difference in the value of the fringe benefits that he received when in state service and the fringe benefits that he receives from the contractor.

Sec. 86. The appropriations in section 1 of this act are supported by revenue estimates as follows:

ESTIMATED REVENUE - GENERAL FUND

Taxes	1997-98
Personal Income	$ 3,135,100,000
Sales & Use	2,694,500,000
Corporation	626,100,000
Inheritance & Estate	193,500,000
Hospital Gross Receipts Tax	151,800,000
Public Service	175,000,000
Insurance Companies	179,600,000
Cigarettes	121,900,000
Oil Companies	75,200,000
Real Estate Conveyance	72,100,000
Alcoholic Beverages	39,400,000

Admissions, Dues & Cabaret	25,200,000
Miscellaneous	24,400,000
Total Taxes	7,513,800,000
Refunds of Taxes	(698,000,000)
Net General Fund Taxes	6,815,800,000
Other Revenue	
Transfers-Special Revenue	264,400,000
Indian Gaming Payments	230,000,000
Licenses, Permits & Fees	110,700,000
Sales of Commodities & Services	40,000,000
Rents, Fines & Escheats	32,000,000
Investment Income	37,000,000
Miscellaneous	109,000,000
Total Other Revenue	823,100,000
Other Sources	
Federal Grants	1,883,500,000
Transfers- To Other Funds	(180,000,000)
Total Other Sources	1,703,500,000
Total General Fund Revenue	9,342,400,000

Sec. 87. The appropriations in section 2 of this act are supported by revenue estimates as follows:

ESTIMATED REVENUE - SPECIAL TRANSPORTATION FUND

	1997-98
Motor Fuels Tax	$521,200,000
Motor Vehicle Receipts	176,000,000
Licenses, Permits, Fees	101,850,000
Interest Income	31,000,000
Federal Grants	3,000,000
Petroleum Products Gross	
Earnings Tax Transfer	0
Transfer to Conservation Fund	(250,000)
Total Revenue	832,800,000
Refunds of Taxes	(5,900,000)
Total Special Transportation Fund	826,900,000

Sec. 88. The appropriations in section 3 of this act are supported by revenue estimates as follows:

ESTIMATED REVENUE - MASHANTUCKET PEQUOT AND MOHEGAN FUND

	1997-98
Transfers from General Fund	$180,000,000
Total Mashantucket Pequot and Mohegan Fund	180,000,000

Sec. 89. The appropriations in section 4 of this act are supported by revenue estimates as follows:

ESTIMATED REVENUE - SOLDIERS, SAILORS AND MARINES' FUND

	1997-98
Investment Income	$3,500,000
Total Soldiers, Sailors and Marines' Fund	3,500,000

Sec. 90. The appropriations in section 5 of this act are supported by revenue estimates as follows:

ESTIMATED REVENUE - REGIONAL MARKET OPERATING FUND

	1997-98
Rentals	$ 850,000
Total Regional Market Operating Fund	850,000

Sec. 91. The appropriations in section 6 of this act are supported by revenue estimates as follows:

ESTIMATED REVENUE - BANKING FUND

	1997-98
Fees and Assessments	$15,600,000
Total Banking Fund	15,600,000

Sec. 92. The appropriations in section 7 of this act are supported by revenue estimates as follows:

ESTIMATED REVENUE - INSURANCE FUND

	1997-98
Assessments	$14,000,000
Total Insurance Fund	14,000,000

Sec. 93. The appropriations in section 8 of this act are supported by revenue estimates as follows:

ESTIMATED REVENUE - CONSUMER COUNSEL AND PUBLIC UTILITY CONTROL FUND

	1997-98
Fees and Assessments	$15,000,000
Total Consumer Counsel and Public Utility Control Fund	15,000,000

Sec. 94. The appropriations in section 9 of this act are supported by revenue estimates as follows:

ESTIMATED REVENUE - WORKERS' COMPENSATION FUND

	1997-98
Fees and Assessments	$22,000,000
Total Workers' Compensation Fund	22,000,000

Sec. 95. The appropriations in section 10 of this act are supported by revenue estimates as follows:

ESTIMATED REVENUE - CRIMINAL INJURIES COMPENSATION FUND

	1997-98
Fines	$1,900,000
Total Criminal Injuries Compensation Fund	1,900,000

Sec. 96. The appropriations in section 11 of this act are supported by revenue estimates as follows:

ESTIMATED REVENUE - GENERAL FUND

Taxes	1998-99
Personal Income	$ 3,142,700,000
Sales & Use	2,825,000,000
Corporation	576,200,000
Inheritance & Estate	181,100,000
Hospital Gross Receipts	131,800,000
Public Service	178,800,000
Insurance Companies	185,000,000
Cigarettes	118,900,000
Oil Companies	58,100,000
Real Estate Conveyance	72,100,000
Alcoholic Beverages	39,400,000
Admissions, Dues & Cabaret	26,100,000
Miscellaneous	24,900,000
Total Taxes	7,560,100,000
Refunds of Taxes	(747,000,000)
Net General Fund Taxes	6,813,100,000
Other Revenue	
Transfers-Special Revenue	273,400,000
Indian Gaming Payments	245,000,000
Licenses, Permits, Fees	116,200,000
Sales of Commodities	40,000,000
Rents, Fines, Escheats	33,300,000
Investment Income	34,000,000
Miscellaneous	188,000,000
Total Other Revenue	929,900,000
Other Sources	
Federal Grants	1,843,000,000
Transfers- To Other Funds	(90,000,000)
Total Other Sources	1,753,000,000
Total General Fund Revenues	9,496,000,000

Sec. 97. The appropriations in section 12 of this act are supported by revenue estimates as follows:

ESTIMATED REVENUE - SPECIAL TRANSPORTATION FUND

	1998-99
Motor Fuels Tax	$484,700,000
Motor Vehicle Receipts	176,500,000
Licenses, Permits, Fees	101,850,000
Interest Income	32,000,000
Federal Grants	2,800,000
Petroleum Products Gross	
Earnings Tax Transfer	20,000,000
Transfer to Conservation Fund	(250,000)
Total Revenue	817,600,000
Refunds of Taxes	(5,600,000)
Total Special Transportation Fund	812,000,000

Sec. 98. The appropriations in section 13 of this act are supported by revenue estimates as follows:

ESTIMATED REVENUE - MASHANTUCKET PEQUOT AND MOHEGAN FUND

	1998-99
Transfers from General Fund	$ 90,000,000
Total Mashantucket Pequot and Mohegan Fund	90,000,000

Sec. 99. The appropriations in section 14 of this act are supported by revenue estimates as follows:

ESTIMATED REVENUE - SOLDIERS, SAILORS AND MARINES' FUND

	1998-99
Investment Income	$3,500,000
Total Soldiers, Sailors and Marines' Fund	3,500,000

Sec. 100. The appropriations in section 15 of this act are supported by revenue estimates as follows:

ESTIMATED REVENUE - REGIONAL MARKET OPERATING FUND

	1998-99
Rentals	$ 850,000
Total Regional Market Operating Fund	850,000

Sec. 101. The appropriations in section 16 of this act are supported by revenue estimates as follows:

ESTIMATED REVENUE - BANKING FUND

	1998-99
Fees and Assessments	$15,600,000
Total Banking Fund	15,600,000

Sec. 102. The appropriations in section 17 of this act are supported by revenue estimates as follows:

ESTIMATED REVENUE - INSURANCE FUND

	1998-99
Assessments	$14,000,000
Total Insurance Fund	14,000,000

Sec. 103. The appropriations in section 18 of this act are supported by revenue estimates as follows:

ESTIMATED REVENUE - CONSUMER COUNSEL AND PUBLIC UTILITY CONTROL FUND

	1998-99
Fees and Assessments	$15,000,000
Total Consumer Counsel and Public Utility Control Fund	15,000,000

Sec. 104. The appropriations in section 19 of this act are supported by revenue estimates as follows:

ESTIMATED REVENUE - WORKERS' COMPENSATION FUND

	1998-99
Fees and Assessments	$22,000,000
Total Workers' Compensation Fund	22,000,000

Sec. 105. The appropriations in section 20 of this act are supported by revenue estimates as follows:

ESTIMATED REVENUE - CRIMINAL INJURIES COMPENSATION FUND

	1998-99
Fines	$1,900,000
Total Criminal Injuries Compensation Fund	1,900,000

Sec. 106. This act shall take effect July 1, 1997.

STATUTORY FORMULA GRANTS TO MUNICIPALITIES, BOROUGHS AND REGIONAL SCHOOL DISTRICTS

The following tables include statutory formula grant payments to municipalities, boroughs and regional school districts. Statutory formula grants constitute a major portion of all grants to towns. Although amounts for FY 1996-97 are actual payments, FY 1997-98 and FY 1998-99 amounts are estimates only and are subject to revision. Tables also include town-by-town rankings based on the estimated FY 1997-98 amount (a ranking of "1" represents the largest grant amount). Boroughs and regional school districts are ranked separately. The first table provides an overview of total statutory formula grants. Subsequent tables provide amounts by grant program. If a particular municipality, borough or regional school district does not receive funding from a specific grant program, it is not included in that grant's listing.

Total Statutory Formula Grants

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
124	Andover	$ 1,426,215	$ 1,464,877	$ 1,481,940
37	Ansonia	10,566,368	10,904,602	11,101,467
96	Ashford	2,875,977	2,978,444	3,009,379
144	Avon	555,234	580,051	578,428
128	Barkhamsted	1,355,085	1,336,156	1,301,768
95	Beacon Falls	2,883,630	2,993,743	3,030,092
74	Berlin	4,257,967	4,412,121	4,447,995
118	Bethany	1,766,889	1,741,509	1,728,446
46	Bethel	8,025,990	7,872,301	7,617,349
130	Bethlehem	1,123,769	1,143,330	1,151,075
80	Bloomfield	3,761,144	3,917,120	3,936,028
113	Bolton	2,124,328	2,177,105	2,207,174
125	Bozrah	1,505,949	1,442,123	1,413,450
112	Branford	2,081,730	2,257,539	2,285,300
3	Bridgeport	134,790,103	144,442,814	146,704,717
166	Bridgewater	152,386	149,577	143,354
8	Bristol	30,207,302	31,791,163	32,201,626
119	Brookfield	1,646,577	1,707,587	1,642,914
64	Brooklyn	5,861,374	6,054,491	6,174,190
88	Burlington	3,456,934	3,385,213	3,280,806
158	Canaan	286,727	309,784	320,771
71	Canterbury	4,642,706	4,669,844	4,714,580
111	Canton	2,212,297	2,269,386	2,290,747
116	Chaplin	1,976,453	2,028,268	1,997,030
36	Cheshire	11,114,981	11,270,512	11,056,446
136	Chester	731,260	772,755	777,559
59	Clinton	6,323,754	6,481,115	6,546,608
43	Colchester	8,220,534	8,511,736	8,650,000
156	Colebrook	317,586	326,401	308,092
114	Columbia	2,048,069	2,034,281	2,056,971
167	Cornwall	133,804	140,140	141,855
53	Coventry	6,998,243	7,188,162	7,308,462

Total Statutory Formula Grants

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
87	Cromwell	3,313,366	3,405,308	3,462,320
18	Danbury	18,526,890	19,629,937	19,779,181
150	Darien	373,334	382,587	382,260
121	Deep River	1,554,317	1,619,033	1,631,999
63	Derby	5,709,738	6,195,794	6,293,975
90	Durham	3,259,628	3,277,777	3,303,559
117	East Granby	1,596,716	1,945,752	2,039,078
97	East Haddam	2,841,427	2,918,973	2,947,602
62	East Hampton	6,062,330	6,241,547	6,330,989
15	East Hartford	20,131,895	23,401,833	23,669,072
27	East Haven	12,974,911	13,457,267	13,663,121
49	East Lyme	6,653,824	7,427,588	7,462,605
77	East Windsor	4,037,612	4,211,763	4,248,959
133	Eastford	1,012,226	1,041,916	1,059,192
160	Easton	268,245	261,893	250,991
54	Ellington	7,015,085	7,181,209	7,279,522
12	Enfield	25,430,879	26,114,761	26,434,965
152	Essex	355,465	380,145	372,367
69	Fairfield	4,386,561	4,918,655	4,952,588
83	Farmington	2,868,347	3,638,629	3,966,740
135	Franklin	861,161	850,181	831,329
91	Glastonbury	3,118,020	3,244,302	3,266,215
162	Goshen	240,368	253,119	243,913
94	Granby	3,077,832	3,147,679	3,172,054
106	Greenwich	2,060,407	2,436,881	2,321,743
38	Griswold	9,504,488	9,965,602	10,111,221
10	Groton (Town of)	27,333,089	29,835,891	30,106,886
85	Guilford	3,426,517	3,460,991	3,332,957
146	Haddam	482,041	510,011	526,912
21	Hamden	16,094,077	17,397,882	17,548,491
129	Hampton	1,165,948	1,217,699	1,246,431
1	Hartford	183,260,069	194,172,935	198,460,227
126	Hartland	1,348,169	1,401,538	1,427,642
109	Harwinton	2,310,816	2,308,322	2,338,117
68	Hebron	5,104,910	5,207,524	5,308,422
155	Kent	320,517	338,538	351,278
26	Killingly	14,358,093	14,480,067	14,331,851
115	Killingworth	1,967,322	2,032,483	2,060,011
70	Lebanon	4,764,391	4,869,620	4,941,600
33	Ledyard	11,325,615	11,428,223	11,573,727

Total Statutory Formula Grants

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
86	Lisbon	3,347,631	3,452,004	3,518,603
132	Litchfield	1,044,225	1,074,147	1,084,928
168	Lyme	132,346	138,613	138,679
120	Madison	1,471,324	1,631,801	1,668,138
13	Manchester	23,960,858	25,182,051	25,306,414
31	Mansfield	10,917,234	12,611,652	13,530,564
98	Marlborough	2,842,640	2,902,604	2,959,038
6	Meriden	36,823,659	38,809,134	39,656,948
141	Middlebury	585,647	628,363	618,433
123	Middlefield	1,426,130	1,475,528	1,500,727
19	Middletown	17,775,265	19,599,482	19,851,798
29	Milford	12,269,720	13,076,924	13,132,422
61	Monroe	6,353,356	6,248,981	6,022,898
30	Montville	11,521,992	12,654,729	12,757,947
138	Morris	634,037	660,666	665,473
14	Naugatuck	24,085,942	24,946,392	25,434,653
5	New Britain	52,452,771	57,650,823	58,276,577
157	New Canaan	319,020	317,469	315,243
76	New Fairfield	4,330,798	4,312,088	4,143,279
102	New Hartford	2,490,039	2,550,368	2,574,752
2	New Haven	137,822,326	152,005,063	157,606,585
11	New London	24,790,000	27,425,659	27,779,390
34	New Milford	11,021,396	11,316,137	11,406,403
39	Newington	8,937,109	9,476,376	9,601,569
58	Newtown	6,269,481	6,493,004	6,458,834
145	Norfolk	540,707	576,368	591,617
55	North Branford	6,880,377	7,031,462	7,107,640
110	North Canaan	2,111,300	2,294,468	2,318,569
65	North Haven	5,419,129	5,914,883	5,806,758
92	North Stonington	3,006,187	3,180,672	3,132,846
24	Norwalk	13,969,976	15,157,660	15,073,285
9	Norwich	29,187,268	30,755,814	31,304,281
154	Old Lyme	339,131	353,467	356,180
139	Old Saybrook	531,558	652,016	642,016
142	Orange	639,020	620,099	601,926
75	Oxford	4,401,254	4,394,478	4,308,715
25	Plainfield	14,193,042	14,746,895	14,823,391
47	Plainville	7,632,343	7,797,144	7,895,455
42	Plymouth	8,277,721	8,535,581	8,661,847
105	Pomfret	2,385,126	2,455,297	2,494,111
93	Portland	3,050,980	3,173,847	3,207,389

Total Statutory Formula Grants

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
79	Preston	3,634,911	4,024,611	3,973,216
78	Prospect	4,022,315	4,143,155	4,148,169
48	Putnam	7,300,842	7,638,291	7,715,373
151	Redding	353,258	382,314	395,257
131	Ridgefield	1,013,844	1,086,552	1,080,930
82	Rocky Hill	3,411,453	3,729,140	3,752,716
164	Roxbury	166,857	167,133	165,634
103	Salem	2,462,439	2,507,617	2,545,427
163	Salisbury	205,056	206,287	204,163
127	Scotland	1,308,941	1,338,517	1,354,784
51	Seymour	6,868,217	7,356,504	7,443,094
148	Sharon	339,263	461,654	466,052
66	Shelton	5,731,705	5,884,341	5,693,687
169	Sherman	116,194	120,159	118,676
101	Simsbury	2,556,462	2,584,627	2,502,593
60	Somers	5,827,612	6,304,869	6,400,103
44	South Windsor	8,186,062	8,366,955	8,419,651
122	Southbury	1,314,652	1,487,753	1,568,073
23	Southington	15,721,461	15,594,448	15,765,835
107	Sprague	2,304,795	2,433,303	2,475,009
41	Stafford	8,676,511	8,962,736	9,070,417
32	Stamford	9,917,577	11,647,196	11,581,465
100	Sterling	2,598,402	2,607,998	2,577,592
104	Stonington	2,413,374	2,484,090	2,501,175
28	Stratford	12,657,144	13,278,018	13,427,950
67	Suffield	5,136,945	5,280,754	5,351,214
73	Thomaston	4,200,681	4,419,021	4,411,131
57	Thompson	6,452,140	6,632,684	6,750,133
52	Tolland	7,096,247	7,315,136	7,479,509
20	Torrington	18,215,557	18,878,404	19,072,184
99	Trumbull	2,774,625	2,766,639	2,675,154
153	Union	325,818	361,387	377,992
22	Vernon	15,213,388	15,963,116	16,280,229
108	Voluntown	2,156,039	2,341,734	2,381,093
17	Wallingford	18,840,437	19,675,125	19,942,455
165	Warren	158,788	162,069	161,490
159	Washington	264,059	265,735	259,624
4	Waterbury	85,120,030	91,525,786	93,311,408
143	Waterford	542,913	599,688	768,337
35	Watertown	10,863,441	11,275,798	11,393,355

Total Statutory Formula Grants

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
56	West Hartford	6,291,113	6,844,595	6,973,184
7	West Haven	32,191,657	33,641,886	34,160,106
147	Westbrook	414,481	470,121	464,184
161	Weston	262,229	255,470	245,705
134	Westport	888,945	1,024,093	1,066,521
89	Wethersfield	3,167,562	3,292,345	3,259,376
84	Willington	3,437,704	3,517,703	3,563,071
137	Wilton	451,967	729,696	730,780
50	Winchester	7,458,004	7,362,598	7,285,718
16	Windham	22,160,610	23,146,032	23,402,005
45	Windsor	7,980,536	8,286,466	8,348,676
72	Windsor Locks	3,942,779	4,531,876	4,558,205
40	Wolcott	9,163,331	9,403,602	9,520,802
149	Woodbridge	398,836	399,264	383,955
140	Woodbury	619,135	642,431	643,265
81	Woodstock	3,748,071	3,833,138	3,877,522
	Subtotal	**$ 1,581,165,497**	**$ 1,678,888,973**	**$ 1,703,420,478**
	Boroughs			
10	Bantam	$ 385	$ 385	$ 385
5	Danielson	6,127	6,119	6,119
7	Fenwick	1,969	2,128	2,128
1	Groton (City of)	341,344	703,057	703,057
6	Groton Long Point	3,948	3,948	3,948
2	Jewett City	29,212	37,853	37,853
8	Litchfield	772	772	772
9	Newtown	631	631	631
3	Stonington	9,371	9,291	9,291
4	Woodmont	7,891	7,832	7,832
	Subtotal	**$ 401,651**	**$ 772,016**	**$ 772,016**
	Regional School Districts			
22	ACES	$ 80,688	$ -	$ -
21	CES	9,835	3,240	3,980
8	CREC	14,704	161,198	198,012
20	District No. 1	8,797	11,073	12,715
12	District No. 4	42,266	81,494	88,283
11	District No. 5	95,729	105,679	113,354
15	District No. 6	61,159	57,845	61,555
7	District No. 7	158,322	178,004	189,917
18	District No. 8	41,318	46,324	50,275

Total Statutory Formula Grants

Rank		FY 1996-97	FY 1997-98	FY 1998-99
19	District No. 9	12,799	12,785	13,595
1	District No. 10	308,145	348,622	370,826
Rank	**Regional School Districts**			
17	District No. 11	58,162	48,119	51,166
13	District No. 12	52,561	64,342	68,404
4	District No. 13	261,925	262,364	281,170
10	District No. 14	105,889	129,562	137,994
6	District No. 15	171,865	199,171	211,893
2	District No. 16	335,810	346,798	369,014
5	District No. 17	189,387	218,206	232,998
16	District No. 18	15,686	53,161	56,690
3	District No. 19	283,925	290,898	312,795
9	EASTCONN	154,872	154,599	189,905
14	Ed. Connection	58,050	62,583	76,875
	Subtotal	**$ 2,521,894**	**$ 2,836,067**	**$ 3,091,416**
	Total	**$ 1,584,089,042**	**$ 1,682,497,056**	**$ 1,707,283,910**
	Plus Excess Costs-Student Based*	$ 22,391,400	$ 25,000,000	$ 28,000,000
	Plus Excess Costs-Equity*	11,500,000	11,500,000	11,500,000
	Plus Medicaid Coordination*	6,441,781	6,000,000	6,500,000
	Grand Total:	**$ 1,624,422,223**	**$ 1,724,997,056**	**$ 1,753,283,910**

Town specific estimates for these Special Education Grants are not provided because the data on which such estimates must be based are not yet available

PILOT: State-Owned Property

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
102	Andover	$ 9,817	$ 9,635	$ 9,001
57	Ansonia	50,631	34,220	34,220
144	Ashford	3,134	2,875	2,986
62	Avon	25,749	27,828	28,629
112	Barkhamsted	5,142	7,645	7,869
81	Beacon Falls	17,744	17,923	18,820
119	Berlin	6,890	6,890	10,253
76	Bethany	19,060	19,060	19,770
84	Bethel	14,713	16,520	18,526
161	Bethlehem	520	520	540
50	Bloomfield	55,268	58,499	59,574
94	Bolton	11,716	12,067	12,733
155	Bozrah	1,283	1,646	1,646
70	Branford	24,152	24,152	24,994
8	Bridgeport	1,427,040	1,444,102	1,563,744
165	Bridgewater	56	56	56
58	Bristol	34,074	34,074	34,074
139	Brookfield	3,512	3,650	3,788
34	Brooklyn	181,925	191,499	199,543
72	Burlington	19,798	20,333	21,938
71	Canaan	20,226	21,724	22,848
117	Canterbury	6,299	7,072	7,308
154	Canton	1,651	1,686	1,720
53	Chaplin	40,560	43,564	46,126
2	Cheshire	2,294,431	2,348,418	2,438,396
138	Chester	3,737	3,817	3,896
96	Clinton	10,991	11,487	11,602
60	Colchester	32,151	32,809	33,058
158	Colebrook	913	1,173	1,206
136	Columbia	3,762	3,875	4,488
104	Cornwall	8,099	9,040	9,295
116	Coventry	6,418	7,282	7,432
105	Cromwell	5,749	8,850	9,209
20	Danbury	384,426	406,804	412,997
79	Darien	17,631	18,641	19,626
142	Deep River	2,920	2,987	2,987
47	Derby	60,542	66,960	68,346
120	Durham	6,639	6,849	6,928
23	East Granby	319,269	339,852	344,508
113	East Haddam	7,277	7,560	7,830
51	East Hampton	55,011	55,984	57,478
83	East Hartford	8,228	17,054	26,873
74	East Haven	18,831	19,347	19,258

PILOT: State-Owned Property

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
10	East Lyme	761,357	1,189,806	1,189,747
68	East Windsor	18,624	24,920	25,938
133	Eastford	3,854	3,966	4,004
159	Easton	713	752	774
149	Ellington	2,155	2,378	2,378
7	Enfield	1,688,424	1,755,144	1,755,818
127	Essex	4,917	5,047	5,162
134	Fairfield	3,853	3,949	4,076
14	Farmington	930,721	1,024,831	1,062,787
95	Franklin	10,713	11,538	11,986
90	Glastonbury	12,585	13,735	13,735
106	Goshen	4,178	8,764	6,918
137	Granby	3,803	3,875	4,006
122	Greenwich	6,420	6,776	6,889
77	Griswold	18,957	18,968	19,402
19	Groton (Town of)	434,509	432,611	442,386
107	Guilford	7,130	8,656	8,794
59	Haddam	18,649	33,179	40,015
22	Hamden	347,744	345,681	346,838
61	Hampton	23,611	28,693	32,082
1	Hartford	3,568,747	3,725,244	3,566,335
46	Hartland	61,711	67,111	64,663
132	Harwinton	3,990	4,075	4,118
146	Hebron	2,463	2,675	2,716
54	Kent	42,773	43,386	45,909
42	Killingly	84,169	84,174	84,169
49	Killingworth	63,228	62,625	62,625
99	Lebanon	12,058	10,773	11,449
125	Ledyard	4,756	5,479	5,479
150	Lisbon	2,191	2,191	2,191
56	Litchfield	49,598	42,764	42,240
100	Lyme	8,949	10,015	10,015
25	Madison	277,076	300,569	291,718
35	Manchester	167,805	172,644	170,723
4	Mansfield	2,065,533	2,104,575	2,119,614
108	Marlborough	7,853	8,345	8,548
27	Meriden	230,129	236,821	229,444
143	Middlebury	2,928	2,959	3,145
147	Middlefield	2,463	2,598	8,141
12	Middletown	1,080,721	1,092,676	1,096,769
31	Milford	181,846	218,116	217,780
11	Montville	474,191	1,184,671	1,164,042
162	Morris	418	430	430

PILOT: State-Owned Property

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
111	Naugatuck	8,080	8,022	8,022
15	New Britain	1,009,277	1,021,736	1,044,716
145	New Canaan	2,680	2,709	4,214
115	New Fairfield	6,994	7,310	7,532
135	New Hartford	3,812	3,891	4,288
3	New Haven	2,124,116	2,185,841	2,470,409
41	New London	87,587	88,881	85,625
98	New Milford	11,515	11,332	11,328
24	Newington	324,167	333,601	334,334
9	Newtown	1,132,119	1,223,807	1,268,192
86	Norfolk	14,533	15,889	17,231
101	North Canaan	8,990	9,689	9,689
38	North Haven	85,922	105,854	105,854
97	North Stonington	11,045	11,401	11,463
29	Norwalk	221,482	225,126	217,021
18	Norwich	473,798	450,767	455,306
82	Old Lyme	17,532	17,833	17,833
75	Old Saybrook	18,312	19,175	19,947
129	Orange	4,655	4,642	5,767
43	Oxford	78,704	82,422	84,976
87	Plainfield	14,296	15,598	15,568
163	Plainville	198	198	206
153	Plymouth	1,707	1,768	1,768
88	Pomfret	15,141	15,251	16,141
80	Portland	17,439	17,951	17,951
26	Preston	250,476	250,476	250,476
160	Prospect	619	662	700
64	Putnam	28,341	26,992	26,992
52	Redding	50,788	53,216	56,017
48	Ridgefield	63,799	63,799	64,601
28	Rocky Hill	227,841	236,341	255,788
157	Roxbury	1,429	1,429	2,059
89	Salem	12,976	13,903	14,300
140	Salisbury	3,467	3,568	3,644
126	Scotland	5,241	5,241	5,651
141	Seymour	3,519	3,473	3,473
121	Sharon	6,630	6,844	6,972
124	Shelton	5,959	5,959	6,276
166	Sherman	28	29	28
65	Simsbury	25,883	26,595	28,142
6	Somers	1,351,894	1,838,132	1,881,060
130	South Windsor	4,294	4,620	4,878
33	Southbury	190,403	198,879	207,971

PILOT: State-Owned Property

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
114	Southington	6,892	7,503	17,526
156	Sprague	1,618	1,618	1,882
85	Stafford	14,564	16,197	12,557
21	Stamford	330,868	393,257	395,744
148	Sterling	2,435	2,481	2,672
110	Stonington	8,654	8,101	8,101
39	Stratford	95,907	105,597	105,215
5	Suffield	1,809,489	1,880,078	1,908,089
67	Thomaston	24,580	25,871	27,306
152	Thompson	1,764	1,834	1,812
66	Tolland	23,828	25,876	26,873
40	Torrington	96,217	92,549	100,717
73	Trumbull	19,677	19,842	23,736
91	Union	10,097	13,185	17,553
36	Vernon	81,116	164,334	166,571
44	Voluntown	5,895	70,853	73,477
63	Wallingford	26,837	27,165	26,456
92	Warren	13,025	12,870	12,870
103	Washington	8,929	9,508	9,274
16	Waterbury	783,697	790,714	811,487
45	Waterford	63,346	68,719	128,052
109	Watertown	7,798	8,195	8,511
37	West Hartford	132,444	136,357	139,162
131	West Haven	4,813	4,469	5,234
93	Westbrook	12,846	12,846	12,847
30	Westport	223,033	224,955	223,033
32	Wethersfield	194,793	202,317	204,040
123	Willington	6,543	6,219	6,218
78	Wilton	20,708	18,854	19,558
55	Winchester	42,597	43,015	49,474
17	Windham	672,265	687,858	722,508
69	Windsor	24,001	24,321	25,694
13	Windsor Locks	1,000,878	1,032,898	1,083,804
151	Wolcott	1,872	1,933	1,901
118	Woodbridge	6,917	7,047	7,254
164	Woodbury	226	90	94
128	Woodstock	3,665	4,859	4,204
	Total	**$ 31,629,203**	**$ 34,449,816**	**$ 35,269,031**

PILOT: Colleges & Hospitals

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
46	Bethany	$ 18,184	$ 19,971	$ 21,238
28	Bloomfield	183,600	206,879	215,994
40	Branford	27,442	30,141	31,978
3	Bridgeport	6,406,627	7,201,078	7,291,098
19	Bristol	671,049	736,643	761,693
51	Canaan	4,436	5,233	5,642
33	Cromwell	91,133	100,886	110,325
14	Danbury	915,630	1,007,950	1,069,440
17	Derby	747,343	900,451	944,616
35	East Lyme	56,789	64,523	66,199
41	Enfield	19,104	25,919	26,572
47	Essex	16,399	19,097	20,024
8	Fairfield	1,867,907	2,134,413	2,258,829
43	Farmington	19,585	22,231	23,636
15	Greenwich	805,866	934,146	884,716
42	Groton (Town of)	23,367	25,550	26,723
48	Guilford	10,021	10,445	11,066
11	Hamden	1,051,325	1,367,874	1,408,912
2	Hartford	13,993,718	16,111,225	16,617,688
56	Lyme	159	195	200
12	Manchester	855,751	1,093,105	976,214
53	Marlborough	2,083	2,353	2,471
20	Meriden	620,551	684,831	767,117
39	Middlebury	31,119	34,537	36,979
7	Middletown	2,776,394	3,115,593	3,251,214
26	Milford	215,943	243,580	249,479
44	Morris	19,124	21,515	22,057
6	New Britain	2,013,145	3,666,983	3,398,088
37	New Fairfield	36,108	41,453	43,786
1	New Haven	17,566,417	22,599,395	24,382,626
4	New London	4,411,531	5,213,011	5,198,156
32	New Milford	143,281	171,764	176,028
24	Newington	365,286	412,812	401,345
38	Norfolk	31,949	38,366	42,654
54	North Branford	730	835	878
10	Norwalk	1,279,822	1,410,829	1,393,918
16	Norwich	768,844	920,043	953,321
49	Old Lyme	7,498	9,518	17,474
55	Orange	660	723	731
27	Putnam	205,952	237,169	243,150
31	Sharon	92,205	173,231	180,930
50	Sherman	4,854	5,410	5,465

PILOT: Colleges & Hospitals

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
30	Southington	156,635	187,281	194,019
29	Stafford	168,414	205,711	210,899
9	Stamford	1,859,370	2,076,451	2,062,598
36	Suffield	53,531	61,055	63,474
23	Torrington	375,439	446,213	439,784
25	Vernon	295,533	336,106	353,048
22	Wallingford	411,375	457,358	478,107
5	Waterbury	4,407,051	4,852,133	4,985,599
45	Waterford	17,711	20,708	20,638
13	West Hartford	933,813	1,054,847	1,110,391
21	West Haven	543,623	588,058	600,882
34	Winchester	72,001	79,081	93,250
18	Windham	716,875	793,406	824,028
52	Woodbridge	2,787	3,119	3,292
	Total	**$ 68,393,089**	**$ 82,183,435**	**$ 84,980,679**

Mashantucket Pequot And Mohegan Fund

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
138	Andover	$ 28,680	$ 45,963	$ 45,011
44	Ansonia	325,184	510,043	519,369
109	Ashford	40,145	85,432	74,840
119	Avon	42,605	67,584	74,321
146	Barkhamsted	22,137	39,925	40,776
104	Beacon Falls	60,332	105,105	106,909
89	Berlin	74,876	132,280	136,425
123	Bethany	40,495	64,866	70,415
76	Bethel	97,217	168,868	160,886
154	Bethlehem	15,580	26,418	25,392
49	Bloomfield	262,259	409,864	395,276
130	Bolton	37,042	57,887	59,736
148	Bozrah	20,999	35,119	33,091
69	Branford	136,676	211,360	213,025
3	Bridgeport	10,301,069	16,098,737	15,525,771
168	Bridgewater	4,177	7,014	6,399
18	Bristol	984,418	1,538,469	1,483,714
121	Brookfield	39,521	66,035	63,128
82	Brooklyn	87,299	148,351	134,765
107	Burlington	52,165	91,224	93,186
144	Canaan	25,600	41,580	48,865
96	Canterbury	71,028	122,864	119,500
132	Canton	34,575	54,478	52,765
67	Chaplin	138,954	217,160	209,431
71	Cheshire	120,968	204,421	195,748
150	Chester	18,216	32,098	31,197
83	Clinton	91,931	148,110	141,858
64	Colchester	135,201	235,106	223,948
162	Colebrook	8,476	13,621	12,193
136	Columbia	25,597	46,719	45,603
161	Cornwall	9,776	16,098	18,606
75	Coventry	99,573	172,540	166,666
77	Cromwell	103,503	167,021	163,907
11	Danbury	1,581,033	2,470,873	2,382,933
147	Darien	22,813	36,341	41,962
139	Deep River	26,053	45,860	43,556
35	Derby	423,712	662,186	638,618
122	Durham	41,043	65,848	61,904
42	East Granby	338,743	537,204	632,432
110	East Haddam	43,016	84,041	79,143
70	East Hampton	126,346	206,438	215,170
29	East Hartford	512,206	800,487	771,997

Mashantucket Pequot And Mohegan Fund

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
39	East Haven	342,802	566,650	545,333
31	East Lyme	478,615	747,990	721,368
74	East Windsor	102,563	184,922	167,159
153	Eastford	16,858	26,724	27,644
163	Easton	7,910	12,720	11,955
91	Ellington	87,424	128,341	122,086
28	Enfield	523,057	826,302	820,003
149	Essex	20,964	34,123	34,485
24	Fairfield	640,247	963,087	940,392
20	Farmington	986,799	1,512,629	1,811,784
151	Franklin	24,243	31,455	34,279
85	Glastonbury	83,897	145,660	140,537
157	Goshen	10,222	23,488	21,609
118	Granby	40,446	67,705	61,674
51	Greenwich	256,655	386,208	344,770
52	Griswold	215,623	364,692	324,953
8	Groton (Town of)	1,997,256	3,121,356	3,010,265
101	Guilford	69,343	114,441	107,748
117	Haddam	29,889	68,010	101,147
12	Hamden	1,561,136	2,439,777	2,352,944
116	Hampton	37,281	70,612	80,846
1	Hartford	11,995,980	18,168,335	18,751,470
94	Hartland	75,023	123,650	135,747
140	Harwinton	25,916	44,966	43,230
111	Hebron	44,197	82,196	74,214
113	Kent	52,003	79,582	93,480
40	Killingly	335,181	559,675	566,014
87	Killingworth	88,011	138,254	150,689
99	Lebanon	66,871	116,267	110,150
46	Ledyard	233,535	465,494	441,672
108	Lisbon	62,679	86,923	79,297
97	Litchfield	85,139	122,484	125,007
160	Lyme	11,228	19,161	21,687
45	Madison	309,098	479,110	558,573
17	Manchester	994,412	1,554,089	1,498,778
9	Mansfield	1,568,944	3,087,436	3,839,269
124	Marlborough	36,709	64,237	61,578
14	Meriden	1,507,829	2,356,468	2,272,600
145	Middlebury	26,330	40,390	40,282
141	Middlefield	25,567	43,964	52,150
7	Middletown	2,083,410	3,255,999	3,140,116
22	Milford	663,307	1,036,630	999,736
98	Monroe	67,675	122,205	110,327

Mashantucket Pequot And Mohegan Fund

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
43	Montville	341,796	522,690	502,432
155	Morris	16,517	25,897	25,981
33	Naugatuck	390,469	666,331	591,781
5	New Britain	3,821,226	5,971,896	5,759,352
164	New Canaan	7,676	11,737	14,317
105	New Fairfield	57,480	99,794	94,990
128	New Hartford	34,510	58,399	56,796
2	New Haven	10,174,774	16,802,914	17,614,571
6	New London	2,597,559	4,059,523	3,915,041
60	New Milford	155,365	271,381	255,858
25	Newington	609,441	942,292	1,000,339
37	Newtown	311,222	582,791	677,383
133	Norfolk	31,828	50,420	56,540
84	North Branford	86,729	147,581	135,800
120	North Canaan	36,954	66,963	70,620
48	North Haven	263,330	411,538	396,891
55	North Stonington	142,817	345,380	329,291
15	Norwalk	1,422,988	2,223,877	2,144,727
10	Norwich	1,629,647	2,546,847	2,456,203
134	Old Lyme	31,210	50,228	55,765
127	Old Saybrook	37,594	59,839	64,588
137	Orange	30,611	46,035	46,680
68	Oxford	128,159	213,054	232,175
41	Plainfield	311,255	545,954	490,920
63	Plainville	141,722	235,967	223,269
66	Plymouth	134,144	226,076	209,053
131	Pomfret	34,820	57,265	62,473
103	Portland	67,618	111,935	109,701
23	Preston	552,418	975,958	941,223
102	Prospect	60,367	114,342	104,594
53	Putnam	227,828	361,030	291,789
106	Redding	58,399	92,125	109,420
90	Ridgefield	84,698	129,942	144,660
32	Rocky Hill	468,605	732,345	706,281
169	Roxbury	4,041	6,739	7,134
125	Salem	36,353	62,125	63,416
165	Salisbury	7,869	11,482	12,937
152	Scotland	18,132	28,759	30,432
72	Seymour	119,532	202,391	192,901
112	Sharon	37,030	81,487	81,925
59	Shelton	166,313	272,942	254,581
166	Sherman	6,198	10,831	10,148
95	Simsbury	78,880	123,522	125,747

Mashantucket Pequot And Mohegan Fund

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
92	Somers	192,875	127,024	122,287
80	South Windsor	99,656	155,854	153,548
50	Southbury	242,151	393,435	460,826
47	Southington	261,398	437,385	446,169
114	Sprague	43,958	78,865	74,468
56	Stafford	220,941	316,854	291,332
13	Stamford	1,540,053	2,406,829	2,321,168
126	Sterling	34,571	61,740	63,360
100	Stonington	81,068	115,617	107,589
34	Stratford	401,280	665,766	664,780
86	Suffield	89,598	142,100	147,971
88	Thomaston	78,339	134,975	130,098
65	Thompson	138,489	229,865	207,845
79	Tolland	90,184	156,517	152,651
26	Torrington	576,660	907,849	885,552
78	Trumbull	100,128	160,583	163,364
129	Union	37,356	58,381	56,303
30	Vernon	420,002	793,829	814,103
62	Voluntown	153,834	240,415	231,859
36	Wallingford	420,159	646,975	624,647
158	Warren	15,257	22,753	25,807
159	Washington	13,964	22,323	23,378
4	Waterbury	5,078,376	7,936,597	7,654,128
81	Waterford	94,235	154,107	274,330
61	Watertown	163,203	260,855	255,932
27	West Hartford	579,979	880,943	909,984
19	West Haven	985,415	1,537,833	1,430,622
135	Westbrook	29,044	46,995	47,231
167	Weston	4,948	8,332	7,733
54	Westport	235,890	353,622	408,313
38	Wethersfield	364,362	569,434	549,167
93	Willington	68,342	126,263	121,964
142	Wilton	29,942	42,701	47,451
57	Winchester	179,660	298,151	318,947
16	Windham	1,282,399	2,004,161	1,932,831
58	Windsor	174,142	275,283	262,998
21	Windsor Locks	740,074	1,156,603	1,115,439
73	Wolcott	114,389	193,134	176,365
156	Woodbridge	14,840	24,189	24,630
143	Woodbury	26,471	42,505	40,892
115	Woodstock	42,733	75,690	71,728
	Total	**$ 84,971,320**	**$ 134,954,037**	**$ 134,954,989**

PILOT: Manufacturing

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
143	Andover	$ 1,121	$ 1,260	$ 1,260
48	Ansonia	202,396	254,846	254,846
66	Avon	172,528	178,654	178,654
123	Barkhamsted	5,857	9,531	9,531
99	Beacon Falls	32,628	39,644	39,644
20	Berlin	808,214	882,567	882,567
111	Bethany	9,990	19,673	19,673
79	Bethel	97,513	128,150	128,150
22	Bloomfield	816,635	814,218	814,218
128	Bolton	5,493	6,982	6,982
52	Bozrah	279,905	242,189	242,189
44	Branford	270,335	349,326	349,326
4	Bridgeport	2,647,188	3,249,562	3,249,562
149	Bridgewater	43	480	480
17	Bristol	616,008	1,193,661	1,193,661
67	Brookfield	76,380	164,486	164,486
121	Brooklyn	7,632	12,090	12,090
137	Burlington	2,310	2,767	2,767
114	Canton	10,886	18,973	18,973
150	Chaplin	-	373	373
23	Cheshire	485,585	809,275	809,275
91	Chester	55,675	73,132	73,132
64	Clinton	169,007	182,830	182,830
101	Colchester	27,359	35,848	35,848
117	Columbia	6,529	14,462	14,462
131	Coventry	6,261	6,229	6,229
74	Cromwell	118,133	141,062	141,062
15	Danbury	1,277,303	1,236,278	1,236,278
134	Darien	3,747	4,242	4,242
82	Deep River	98,789	120,641	120,641
94	Derby	54,055	60,935	60,935
75	Durham	105,988	137,935	137,935
38	East Granby	264,638	423,119	423,119
113	East Haddam	14,025	19,000	19,000
116	East Hampton	13,732	15,693	15,693
2	East Hartford	4,050,530	4,082,942	4,082,942
103	East Haven	18,832	28,746	28,746
110	East Lyme	31,245	20,873	20,873
55	East Windsor	204,448	212,062	212,062
97	Eastford	32,118	47,104	47,104
77	Ellington	120,542	132,427	132,427
28	Enfield	593,989	691,682	691,682

PILOT: Manufacturing

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
89	Essex	59,384	77,289	77,289
60	Fairfield	150,672	198,050	198,050
30	Farmington	465,442	604,707	604,707
108	Franklin	12,142	23,256	23,256
76	Glastonbury	99,270	137,131	137,131
144	Goshen	427	1,030	1,030
130	Granby	3,726	6,846	6,846
53	Greenwich	75,274	217,708	217,708
84	Griswold	38,733	119,052	119,052
5	Groton (Town of)	1,256,759	2,872,213	2,872,213
56	Guilford	160,146	204,597	204,597
129	Haddam	6,522	6,934	6,934
58	Hamden	172,066	203,356	203,356
43	Hartford	349,780	352,434	352,434
136	Harwinton	2,058	2,941	2,941
142	Hebron	468	1,472	1,472
153	Kent	14	13	13
34	Killingly	412,305	470,675	470,675
145	Killingworth	471	730	730
147	Lebanon	384	526	526
59	Ledyard	189,279	202,414	202,414
125	Lisbon	5,636	8,530	8,530
107	Litchfield	22,079	23,911	23,911
127	Madison	7,171	7,629	7,629
24	Manchester	631,031	804,969	804,969
151	Mansfield	-	328	328
135	Marlborough	327	4,117	4,117
13	Meriden	1,045,071	1,331,191	1,331,191
54	Middlebury	179,123	217,667	217,667
90	Middlefield	54,084	73,587	73,587
9	Middletown	1,339,908	1,474,257	1,474,257
8	Milford	1,356,705	1,614,954	1,614,954
62	Monroe	120,647	184,757	184,757
19	Montville	816,131	919,070	919,070
146	Morris	500	726	726
14	Naugatuck	1,126,958	1,260,011	1,260,011
11	New Britain	1,110,543	1,361,610	1,361,610
139	New Canaan	1,127	2,158	2,158
124	New Fairfield	3,968	8,687	8,687
95	New Hartford	43,043	56,049	56,049
27	New Haven	1,072,157	715,535	715,535
87	New London	53,030	95,229	95,229
16	New Milford	1,202,983	1,204,443	1,204,443

PILOT: Manufacturing

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
46	Newington	270,515	317,093	317,093
42	Newtown	265,689	365,120	365,120
115	Norfolk	11,624	18,489	18,489
80	North Branford	103,681	124,062	124,062
40	North Canaan	267,475	374,270	374,270
3	North Haven	2,908,945	3,309,973	3,309,973
100	North Stonington	34,083	39,023	39,023
7	Norwalk	1,886,914	2,133,401	2,133,401
51	Norwich	235,670	244,984	244,984
132	Old Lyme	-	4,562	4,562
61	Old Saybrook	99,554	190,251	190,251
96	Orange	64,568	49,916	49,916
106	Oxford	19,519	24,172	24,172
47	Plainfield	273,920	308,656	308,656
35	Plainville	399,714	467,914	467,914
72	Plymouth	121,722	150,134	150,134
86	Pomfret	96,688	109,186	109,186
81	Portland	75,624	121,316	121,316
140	Preston	168	2,112	2,112
93	Prospect	37,523	62,872	62,872
73	Putnam	130,696	143,763	143,763
148	Redding	-	517	517
41	Ridgefield	328,824	374,186	374,186
49	Rocky Hill	242,623	252,432	252,432
133	Salem	1,196	4,494	4,494
119	Salisbury	12,198	13,638	13,638
120	Scotland	13,374	12,431	12,431
36	Seymour	230,020	461,365	461,365
152	Sharon	102	93	93
31	Shelton	442,982	600,436	600,436
50	Simsbury	186,760	250,213	250,213
105	Somers	19,216	24,507	24,507
32	South Windsor	559,904	574,053	574,053
112	Southbury	9,709	19,222	19,222
33	Southington	459,594	558,490	558,490
78	Sprague	85,504	129,438	129,438
63	Stafford	160,669	184,022	184,022
12	Stamford	941,992	1,359,407	1,359,407
122	Sterling	5,991	11,188	11,188
71	Stonington	119,137	151,774	151,774
6	Stratford	2,447,492	2,594,424	2,594,424
88	Suffield	79,458	78,727	78,727
45	Thomaston	292,267	330,098	330,098

PILOT: Manufacturing

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
98	Thompson	47,795	44,014	44,014
85	Tolland	65,020	109,883	109,883
25	Torrington	707,331	734,236	734,236
68	Trumbull	154,323	158,964	158,964
69	Vernon	97,620	154,567	154,567
138	Voluntown	-	2,714	2,714
10	Wallingford	1,107,301	1,390,289	1,390,289
1	Waterbury	3,600,459	4,538,315	4,538,315
141	Waterford	1,451	1,983	1,983
21	Watertown	658,517	877,156	877,156
37	West Hartford	333,742	444,732	444,732
18	West Haven	724,828	989,441	989,441
70	Westbrook	114,298	154,158	154,158
104	Westport	713	25,583	25,583
118	Wethersfield	13,805	13,738	13,738
126	Willington	4,444	7,860	7,860
39	Wilton	137,744	400,690	400,690
65	Winchester	246,119	180,907	180,907
57	Windham	177,163	204,524	204,524
26	Windsor	594,591	715,846	715,846
29	Windsor Locks	540,494	669,656	669,656
83	Wolcott	90,592	119,583	119,583
109	Woodbridge	16,479	23,103	23,103
102	Woodbury	25,482	30,064	30,064
92	Woodstock	75,025	72,585	72,585
	Subtotal	**$ 50,940,652**	**$ 61,748,492**	**$ 61,748,492**
	Boroughs			
4	Danielson	$ 39	$ 30	$ 30
1	Groton (City of)	269,329	630,857	630,857
2	Jewett City	950	9,567	9,567
3	Stonington	627	588	588
	Subtotal	**$ 270,906**	**$ 641,012**	**$ 641,012**
	Total	**$ 51,211,558**	**$ 62,389,504**	**$ 62,389,504**

Town Aid Road

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
154	Andover	$ 56,243	$ 57,197	$ 57,197
63	Ansonia	107,667	107,466	107,466
93	Ashford	89,616	89,747	89,747
85	Avon	95,239	95,127	95,127
147	Barkhamsted	60,665	60,878	60,878
160	Beacon Falls	51,937	52,697	52,697
69	Berlin	104,398	104,572	104,572
137	Bethany	64,595	64,844	64,844
62	Bethel	107,829	107,758	107,758
132	Bethlehem	67,559	67,735	67,735
55	Bloomfield	114,199	112,859	112,859
140	Bolton	63,699	63,999	63,999
156	Bozrah	55,355	55,440	55,440
34	Branford	144,353	144,279	144,279
1	Bridgeport	508,523	508,864	508,864
157	Bridgewater	55,008	55,065	55,065
9	Bristol	250,588	248,496	248,496
77	Brookfield	98,123	98,681	98,681
120	Brooklyn	75,589	75,355	75,355
111	Burlington	79,251	79,581	79,581
164	Canaan	50,599	50,793	50,793
131	Canterbury	67,691	67,889	67,889
110	Canton	79,627	79,803	79,803
143	Chaplin	61,489	61,731	61,731
37	Cheshire	137,661	138,230	138,230
150	Chester	58,979	59,279	59,279
90	Clinton	91,568	91,797	91,797
67	Colchester	104,415	105,405	105,405
141	Colebrook	62,347	62,356	62,356
138	Columbia	64,542	64,534	64,534
135	Cornwall	66,859	67,083	67,083
81	Coventry	96,005	96,338	96,338
92	Cromwell	89,737	90,277	90,277
8	Danbury	273,798	276,827	276,827
56	Darien	111,771	111,973	111,973
146	Deep River	60,885	61,063	61,063
98	Derby	87,501	87,046	87,046
128	Durham	69,773	70,933	70,933
142	East Granby	62,012	62,077	62,077
59	East Haddam	109,978	109,608	109,608
80	East Hampton	96,613	96,931	96,931
17	East Hartford	211,560	209,606	209,606

Town Aid Road

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
38	East Haven	137,248	137,708	137,708
73	East Lyme	101,309	101,649	101,649
103	East Windsor	83,772	83,573	83,573
162	Eastford	52,515	52,403	52,403
129	Easton	70,558	70,527	70,527
65	Ellington	105,845	106,377	106,377
21	Enfield	204,204	202,590	202,590
133	Essex	67,403	67,459	67,459
13	Fairfield	226,328	226,532	226,532
50	Farmington	118,351	119,085	119,085
168	Franklin	38,441	38,507	38,507
32	Glastonbury	148,421	148,131	148,131
109	Goshen	79,715	79,834	79,834
102	Granby	84,290	84,313	84,313
11	Greenwich	242,349	242,033	242,033
148	Griswold	59,527	59,589	59,589
28	Groton (Town of)	152,948	153,609	153,609
49	Guilford	120,735	120,887	120,887
115	Haddam	78,467	78,566	78,566
15	Hamden	221,517	221,058	221,058
151	Hampton	58,099	58,291	58,291
2	Hartford	503,839	505,080	505,080
167	Hartland	43,483	43,507	43,507
127	Harwinton	70,979	71,017	71,017
123	Hebron	75,651	74,341	74,341
108	Kent	79,669	79,897	79,897
46	Killingly	122,783	121,295	121,295
117	Killingworth	77,303	77,633	77,633
84	Lebanon	94,850	95,155	95,155
76	Ledyard	99,959	99,542	99,542
159	Lisbon	52,882	53,062	53,062
57	Litchfield	111,161	111,109	111,109
158	Lyme	55,113	55,054	55,054
72	Madison	102,247	102,687	102,687
16	Manchester	218,211	220,630	220,630
51	Mansfield	120,556	118,496	118,496
134	Marlborough	67,263	67,263	67,263
10	Meriden	245,559	246,096	246,096
130	Middlebury	69,283	69,153	69,153
144	Middlefield	61,421	61,555	61,555
18	Middletown	206,672	208,080	208,080
20	Milford	206,106	204,591	204,591
54	Monroe	115,923	115,917	115,917

Town Aid Road

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
68	Montville	104,763	104,605	104,605
161	Morris	44,657	52,527	52,527
30	Naugatuck	151,727	152,029	152,029
7	New Britain	291,386	289,812	289,812
58	New Canaan	109,849	110,122	110,122
89	New Fairfield	91,755	91,911	91,911
106	New Hartford	81,895	82,124	82,124
3	New Haven	469,203	464,299	464,299
39	New London	137,821	137,651	137,651
24	New Milford	171,916	172,897	172,897
36	Newington	144,536	143,266	143,266
35	Newtown	143,985	144,007	144,007
121	Norfolk	74,929	75,050	75,050
88	North Branford	92,675	92,448	92,448
152	North Canaan	58,355	58,257	58,257
52	North Haven	118,763	118,411	118,411
114	North Stonington	78,858	79,050	79,050
6	Norwalk	314,289	315,096	315,096
26	Norwich	167,300	167,651	167,651
125	Old Lyme	72,672	72,841	72,841
113	Old Saybrook	79,149	79,131	79,131
94	Orange	89,957	89,678	89,678
105	Oxford	82,453	82,764	82,764
79	Plainfield	98,125	98,557	98,557
66	Plainville	105,981	105,650	105,650
95	Plymouth	87,907	87,993	87,993
124	Pomfret	74,078	74,275	74,275
116	Portland	77,983	77,914	77,914
139	Preston	64,586	64,516	64,516
122	Prospect	74,741	74,835	74,835
118	Putnam	77,210	77,385	77,385
101	Redding	84,132	84,372	84,372
47	Ridgefield	120,875	121,215	121,215
60	Rocky Hill	109,578	109,368	109,368
87	Roxbury	93,443	93,797	93,797
149	Salem	59,098	59,313	59,313
99	Salisbury	86,777	86,962	86,962
166	Scotland	46,024	45,956	45,956
82	Seymour	96,201	96,005	96,005
74	Sharon	99,877	100,170	100,170
25	Shelton	169,153	168,136	168,136
145	Sherman	61,345	61,514	61,514
44	Simsbury	122,971	123,116	123,116

Town Aid Road

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
96	Somers	86,424	87,581	87,581
43	South Windsor	124,704	124,274	124,274
53	Southbury	117,755	117,678	117,678
23	Southington	179,148	178,363	178,363
165	Sprague	47,743	47,768	47,768
42	Stafford	124,474	125,097	125,097
4	Stamford	418,145	420,668	420,668
153	Sterling	57,589	57,709	57,709
86	Stonington	95,575	95,093	95,093
19	Stratford	209,163	207,411	207,411
100	Suffield	85,521	84,851	84,851
126	Thomaston	71,849	72,034	72,034
107	Thompson	83,323	81,355	81,355
70	Tolland	103,457	103,031	103,031
27	Torrington	165,061	165,292	165,292
29	Trumbull	154,793	153,336	153,336
169	Union	37,871	37,887	37,887
31	Vernon	148,384	148,266	148,266
163	Voluntown	50,816	50,902	50,902
22	Wallingford	186,140	186,915	186,915
155	Warren	55,786	55,613	55,613
75	Washington	99,692	99,949	99,949
5	Waterbury	411,661	410,669	410,669
64	Waterford	106,892	106,573	106,573
45	Watertown	121,478	121,671	121,671
12	West Hartford	243,059	239,723	239,723
14	West Haven	225,183	224,375	224,375
136	Westbrook	65,536	65,736	65,736
112	Weston	79,515	79,512	79,512
41	Westport	130,658	129,605	129,605
40	Wethersfield	135,789	133,900	133,900
104	Willington	84,236	83,298	83,298
71	Wilton	102,760	102,702	102,702
78	Winchester	98,507	98,558	98,558
48	Windham	120,906	121,009	121,009
33	Windsor	144,910	144,603	144,603
97	Windsor Locks	88,335	87,495	87,495
83	Wolcott	95,217	95,540	95,540
119	Woodbridge	76,295	76,441	76,441
91	Woodbury	90,947	91,177	91,177
61	Woodstock	107,707	108,071	108,071
	Subtotal	**$ 19,834,783**	**$ 19,834,507**	**$ 19,834,507**

Town Aid Road

Rank	Boroughs	FY 1996-97	FY 1997-98	FY 1998-99
5	Fenwick	$ 1,616	$ 1,775	$ 1,775
1	Groton (City of)	42,607	42,791	42,791
2	Jewett City	24,971	24,994	24,994
4	Stonington	7,023	6,983	6,983
3	Woodmont	7,533	7,474	7,474
	Subtotal	**$ 82,134**	**$ 82,242**	**$ 82,242**
	Total	**$ 19,916,917**	**$ 19,916,749**	**$ 19,916,749**

Local Capital Improvement Program (LoCIP)

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
155	Andover	$ 28,487	$ 28,487	$ 28,487
42	Ansonia	176,999	176,999	176,999
118	Ashford	56,194	56,194	56,194
87	Avon	90,022	90,022	90,022
140	Barkhamsted	37,502	37,502	37,502
138	Beacon Falls	37,944	37,944	37,944
64	Berlin	115,390	115,390	115,390
131	Bethany	46,270	46,270	46,270
58	Bethel	121,969	121,969	121,969
143	Bethlehem	35,592	35,592	35,592
57	Bloomfield	124,590	124,590	124,590
134	Bolton	40,333	40,333	40,333
156	Bozrah	27,890	27,890	27,890
43	Branford	169,216	169,216	169,216
1	Bridgeport	2,405,901	2,405,901	2,405,901
161	Bridgewater	25,431	25,431	25,431
10	Bristol	519,004	519,004	519,004
76	Brookfield	102,577	102,577	102,577
108	Brooklyn	67,249	67,249	67,249
102	Burlington	71,937	71,937	71,937
165	Canaan	20,699	20,699	20,699
116	Canterbury	59,862	59,862	59,862
110	Canton	65,560	65,560	65,560
150	Chaplin	31,200	31,200	31,200
40	Cheshire	180,656	180,656	180,656
153	Chester	29,506	29,506	29,506
84	Clinton	95,401	95,401	95,401
69	Colchester	110,704	110,704	110,704
159	Colebrook	26,400	26,400	26,400
136	Columbia	39,646	39,646	39,646
145	Cornwall	35,178	35,178	35,178
78	Coventry	100,683	100,683	100,683
93	Cromwell	83,893	83,893	83,893
11	Danbury	457,854	457,854	457,854
72	Darien	108,954	108,954	108,954
147	Deep River	33,687	33,687	33,687
75	Derby	102,643	102,643	102,643
122	Durham	53,223	53,223	53,223
149	East Granby	33,069	33,069	33,069
88	East Haddam	88,278	88,278	88,278
89	East Hampton	88,198	88,198	88,198
13	East Hartford	411,549	411,549	411,549

Local Capital Improvement Program (LoCIP)

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
32	East Haven	230,285	230,285	230,285
61	East Lyme	119,102	119,102	119,102
97	East Windsor	78,803	78,803	78,803
162	Eastford	24,329	24,329	24,329
113	Easton	63,693	63,693	63,693
83	Ellington	97,139	97,139	97,139
19	Enfield	355,849	355,849	355,849
135	Essex	39,860	39,860	39,860
18	Fairfield	371,093	371,093	371,093
56	Farmington	125,342	125,342	125,342
168	Franklin	17,536	17,536	17,536
38	Glastonbury	188,129	188,129	188,129
133	Goshen	43,804	43,804	43,804
98	Granby	78,802	78,802	78,802
20	Greenwich	330,465	330,465	330,465
81	Griswold	98,017	98,017	98,017
25	Groton (Town of)	283,477	283,477	283,477
51	Guilford	142,415	142,415	142,415
106	Haddam	68,617	68,617	68,617
15	Hamden	387,698	387,698	387,698
152	Hampton	30,468	30,468	30,468
2	Hartford	2,017,046	2,017,046	2,017,046
167	Hartland	20,257	20,257	20,257
124	Harwinton	52,781	52,781	52,781
107	Hebron	68,511	68,511	68,511
132	Kent	44,469	44,469	44,469
49	Killingly	145,348	145,348	145,348
126	Killingworth	52,601	52,601	52,601
100	Lebanon	75,525	75,525	75,525
59	Ledyard	120,649	120,649	120,649
144	Lisbon	35,273	35,273	35,273
86	Litchfield	91,438	91,438	91,438
158	Lyme	26,798	26,798	26,798
71	Madison	109,897	109,897	109,897
14	Manchester	406,305	406,305	406,305
35	Mansfield	196,704	196,704	196,704
127	Marlborough	51,890	51,890	51,890
9	Meriden	543,872	543,872	543,872
121	Middlebury	53,394	53,394	53,394
148	Middlefield	33,470	33,470	33,470
23	Middletown	299,491	299,491	299,491
17	Milford	377,718	377,718	377,718
53	Monroe	137,473	137,473	137,473

Local Capital Improvement Program (LoCIP)

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
54	Montville	134,604	134,604	134,604
166	Morris	20,530	20,530	20,530
29	Naugatuck	261,519	261,519	261,519
5	New Britain	962,404	962,404	962,404
60	New Canaan	119,556	119,556	119,556
95	New Fairfield	80,873	80,873	80,873
115	New Hartford	61,709	61,709	61,709
3	New Haven	1,666,763	1,666,763	1,666,763
24	New London	299,379	299,379	299,379
36	New Milford	195,646	195,646	195,646
33	Newington	212,919	212,919	212,919
39	Newtown	183,476	183,476	183,476
141	Norfolk	37,136	37,136	37,136
85	North Branford	93,134	93,134	93,134
151	North Canaan	31,103	31,103	31,103
48	North Haven	148,653	148,653	148,653
123	North Stonington	52,841	52,841	52,841
8	Norwalk	597,295	597,295	597,295
21	Norwich	316,601	316,601	316,601
128	Old Lyme	50,565	50,565	50,565
104	Old Saybrook	69,229	69,229	69,229
80	Orange	98,794	98,794	98,794
91	Oxford	84,887	84,887	84,887
52	Plainfield	141,435	141,435	141,435
55	Plainville	132,769	132,769	132,769
77	Plymouth	101,673	101,673	101,673
130	Pomfret	47,327	47,327	47,327
109	Portland	67,091	67,091	67,091
119	Preston	53,691	53,691	53,691
114	Prospect	63,336	63,336	63,336
92	Putnam	84,786	84,786	84,786
103	Redding	71,627	71,627	71,627
47	Ridgefield	152,288	152,288	152,288
73	Rocky Hill	106,566	106,566	106,566
142	Roxbury	37,097	37,097	37,097
146	Salem	34,137	34,137	34,137
129	Salisbury	47,970	47,970	47,970
164	Scotland	20,786	20,786	20,786
68	Seymour	110,801	110,801	110,801
125	Sharon	52,767	52,767	52,767
28	Shelton	266,668	266,668	266,668
160	Sherman	26,080	26,080	26,080
45	Simsbury	159,984	159,984	159,984

Local Capital Improvement Program (LoCIP)

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
90	Somers	86,575	86,575	86,575
46	South Windsor	158,609	158,609	158,609
62	Southbury	117,352	117,352	117,352
26	Southington	272,043	272,043	272,043
154	Sprague	28,657	28,657	28,657
63	Stafford	117,095	117,095	117,095
6	Stamford	787,519	787,519	787,519
139	Sterling	37,685	37,685	37,685
65	Stonington	114,698	114,698	114,698
16	Stratford	385,269	385,269	385,269
101	Suffield	73,790	73,790	73,790
120	Thomaston	53,419	53,419	53,419
79	Thompson	100,168	100,168	100,168
74	Tolland	106,304	106,304	106,304
27	Torrington	267,384	267,384	267,384
30	Trumbull	239,133	239,133	239,133
169	Union	15,601	15,601	15,601
31	Vernon	234,085	234,085	234,085
157	Voluntown	27,066	27,066	27,066
22	Wallingford	304,057	304,057	304,057
163	Warren	22,123	22,123	22,123
117	Washington	57,942	57,942	57,942
4	Waterbury	1,248,402	1,248,402	1,248,402
70	Waterford	110,159	110,159	110,159
44	Watertown	165,052	165,052	165,052
12	West Hartford	433,620	433,620	433,620
7	West Haven	612,721	612,721	612,721
137	Westbrook	39,393	39,393	39,393
112	Weston	63,758	63,758	63,758
50	Westport	144,338	144,338	144,338
41	Wethersfield	178,325	178,325	178,325
105	Willington	69,206	69,206	69,206
66	Wilton	113,923	113,923	113,923
82	Winchester	97,468	97,468	97,468
34	Windham	210,971	210,971	210,971
37	Windsor	190,201	190,201	190,201
96	Windsor Locks	79,707	79,707	79,707
67	Wolcott	111,869	111,869	111,869
111	Woodbridge	65,129	65,129	65,129
99	Woodbury	76,695	76,695	76,695
94	Woodstock	83,864	83,864	83,864
	Subtotal	**$ 29,953,043**	**$ 29,953,043**	**$ 29,953,043**

Local Capital Improvement Program (LoCIP)

		FY 1996-97	FY 1997-98	FY 1998-99
	Boroughs			
8	Bantam	$ 385	$ 385	$ 385
2	Danielson	6,089	6,089	6,089
10	Fenwick	353	353	353
1	Groton (City of)	29,409	29,409	29,409
3	Groton Long Point	3,948	3,948	3,948
4	Jewett City	3,292	3,292	3,292
6	Litchfield	772	772	772
7	Newtown	631	631	631
5	Stonington	1,721	1,721	1,721
9	Woodmont	358	358	358
	Subtotal	**$ 46,572**	**$ 46,572**	**$ 46,572**
	Total	**$ 29,999,615**	**$ 29,999,615**	**$ 29,999,615**

Education Cost Sharing Grant

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
116	Andover	$ 1,239,536	$ 1,253,647	$ 1,267,947
28	Ansonia	9,442,505	9,581,849	9,743,537
86	Ashford	2,576,250	2,621,393	2,655,035
153	Avon	123,653	112,960	103,191
119	Barkhamsted	1,190,236	1,146,290	1,108,497
83	Beacon Falls	2,683,045	2,740,430	2,774,078
78	Berlin	3,064,469	3,089,289	3,111,745
112	Bethany	1,543,439	1,482,809	1,460,699
41	Bethel	7,430,289	7,168,802	6,908,444
123	Bethlehem	1,004,518	1,013,065	1,021,816
97	Bloomfield	2,090,420	2,090,923	2,105,953
102	Bolton	1,868,159	1,888,005	1,908,348
122	Bozrah	1,062,978	1,027,918	997,326
120	Branford	1,112,292	1,114,106	1,121,711
2	Bridgeport	106,734,737	108,931,448	111,102,802
161	Bridgewater	67,671	61,531	55,923
8	Bristol	26,120,746	26,559,680	26,921,995
117	Brookfield	1,255,605	1,182,780	1,115,002
58	Brooklyn	5,169,723	5,301,855	5,407,892
76	Burlington	3,231,473	3,119,371	3,011,397
146	Canaan	158,449	159,712	161,244
63	Canterbury	4,217,785	4,192,041	4,224,414
101	Canton	1,911,072	1,926,290	1,941,339
111	Chaplin	1,643,561	1,612,680	1,582,426
40	Cheshire	7,716,651	7,397,444	7,087,306
131	Chester	552,693	557,059	561,555
55	Clinton	5,692,022	5,749,639	5,808,011
38	Colchester	7,491,512	7,650,665	7,775,360
141	Colebrook	215,496	215,863	198,471
107	Columbia	1,832,930	1,769,738	1,786,692
169	Cornwall	12,892	11,741	10,693
47	Coventry	6,447,691	6,544,160	6,652,398
84	Cromwell	2,685,830	2,671,153	2,710,179
22	Danbury	12,842,719	12,942,103	13,048,367
155	Darien	107,418	101,413	94,475
114	Deep River	1,313,032	1,326,103	1,339,556
64	Derby	4,088,781	4,136,811	4,193,458
79	Durham	2,982,962	2,942,989	2,972,636
133	East Granby	556,581	530,568	522,618
90	East Haddam	2,456,698	2,485,916	2,510,082
57	East Hampton	5,446,181	5,530,156	5,589,151
15	East Hartford	14,472,547	17,302,412	17,540,882

Education Cost Sharing Grant

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
24	East Haven	11,752,012	11,949,766	12,139,388
59	East Lyme	4,964,673	5,033,593	5,082,103
72	East Windsor	3,400,307	3,442,127	3,482,915
126	Eastford	821,314	833,698	846,292
152	Easton	124,294	113,108	102,928
50	Ellington	6,309,096	6,391,523	6,474,511
12	Enfield	21,254,097	21,457,621	21,726,139
150	Essex	141,042	130,479	120,867
125	Fairfield	1,037,250	959,703	886,721
144	Farmington	199,858	192,161	179,026
127	Franklin	724,573	700,651	676,571
89	Glastonbury	2,462,804	2,488,623	2,507,096
156	Goshen	102,022	96,199	90,718
81	Granby	2,777,699	2,813,419	2,837,522
136	Greenwich	340,541	318,544	294,162
33	Griswold	8,649,383	8,836,611	8,985,260
10	Groton (Town of)	22,476,729	22,204,352	22,512,014
82	Guilford	2,814,690	2,745,543	2,615,784
138	Haddam	279,897	254,704	231,633
25	Hamden	11,417,466	11,530,013	11,648,994
124	Hampton	974,546	988,587	1,000,935
1	Hartford	148,069,218	150,311,329	153,217,067
121	Hartland	1,082,502	1,094,898	1,107,859
96	Harwinton	2,155,092	2,132,542	2,164,029
60	Hebron	4,760,677	4,818,282	4,916,990
159	Kent	80,018	75,089	70,389
23	Killingly	12,804,551	12,608,216	12,413,485
108	Killingworth	1,685,708	1,700,640	1,715,733
61	Lebanon	4,295,300	4,357,595	4,420,902
26	Ledyard	10,289,438	10,169,528	10,313,400
77	Lisbon	3,032,639	3,097,859	3,159,816
129	Litchfield	620,069	626,338	631,480
167	Lyme	30,099	27,390	24,925
130	Madison	628,458	590,815	553,745
13	Manchester	20,111,551	20,351,341	20,607,672
44	Mansfield	6,693,065	6,808,323	6,941,638
87	Marlborough	2,583,613	2,610,724	2,663,567
6	Meriden	31,081,007	31,799,352	32,425,404
142	Middlebury	223,470	210,263	197,813
115	Middlefield	1,249,126	1,260,354	1,271,824
30	Middletown	8,932,992	8,990,561	9,068,365
31	Milford	8,908,802	8,982,307	9,037,620
56	Monroe	5,773,071	5,541,974	5,316,992

Education Cost Sharing Grant

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
29	Montville	9,263,733	9,386,421	9,502,376
132	Morris	532,291	539,041	543,222
11	Naugatuck	21,449,891	21,856,689	22,339,524
5	New Britain	40,967,570	41,796,232	42,630,211
160	New Canaan	77,106	70,166	63,851
66	New Fairfield	3,995,334	3,924,250	3,753,569
94	New Hartford	2,190,316	2,210,478	2,230,863
3	New Haven	100,239,419	102,362,270	104,468,641
16	New London	16,050,690	16,384,685	16,711,759
32	New Milford	8,868,795	8,960,443	9,037,548
43	Newington	6,751,705	6,840,411	6,898,662
68	Newtown	4,036,802	3,845,041	3,662,193
135	Norfolk	324,879	327,241	329,819
51	North Branford	6,268,258	6,335,630	6,407,612
110	North Canaan	1,642,888	1,686,152	1,702,290
109	North Haven	1,793,630	1,689,283	1,586,316
88	North Stonington	2,564,646	2,505,661	2,463,434
36	Norwalk	7,992,607	8,023,130	8,024,026
9	Norwich	24,417,553	24,896,722	25,374,124
147	Old Lyme	159,654	147,920	137,140
140	Old Saybrook	215,035	219,610	202,277
137	Orange	332,290	309,557	288,292
70	Oxford	3,864,375	3,763,752	3,647,072
21	Plainfield	12,734,822	12,990,384	13,069,461
48	Plainville	6,482,740	6,520,136	6,594,365
37	Plymouth	7,558,824	7,676,072	7,800,455
98	Pomfret	2,031,803	2,065,372	2,092,114
85	Portland	2,621,045	2,645,618	2,671,215
91	Preston	2,499,349	2,444,655	2,410,547
69	Prospect	3,785,729	3,827,108	3,841,832
49	Putnam	6,312,062	6,463,088	6,581,106
157	Redding	87,295	79,438	72,281
139	Ridgefield	257,283	238,852	217,272
95	Rocky Hill	2,122,803	2,148,316	2,168,561
166	Roxbury	30,847	28,071	25,547
93	Salem	2,188,533	2,216,760	2,244,983
165	Salisbury	45,747	41,631	37,910
118	Scotland	1,161,173	1,182,548	1,193,852
53	Seymour	6,104,870	6,254,553	6,331,047
164	Sharon	49,652	45,266	41,285
62	Shelton	4,440,071	4,275,946	4,080,112
168	Sherman	14,836	13,503	12,453
106	Simsbury	1,920,807	1,825,670	1,734,686

Education Cost Sharing Grant

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
65	Somers	3,925,718	3,965,648	4,010,601
42	South Windsor	7,023,722	7,097,077	7,134,739
128	Southbury	637,282	641,187	645,025
20	Southington	14,139,269	13,689,397	13,816,615
100	Sprague	1,981,376	2,014,684	2,050,715
39	Stafford	7,471,670	7,595,025	7,699,337
67	Stamford	3,858,085	3,917,664	3,917,885
92	Sterling	2,379,271	2,338,717	2,299,259
104	Stonington	1,838,416	1,848,771	1,861,879
34	Stratford	8,556,045	8,675,911	8,777,796
80	Suffield	2,853,011	2,869,377	2,897,310
71	Thomaston	3,558,217	3,682,237	3,668,245
54	Thompson	5,831,119	5,935,188	6,053,892
46	Tolland	6,503,130	6,597,965	6,750,606
18	Torrington	15,334,075	15,572,818	15,736,998
103	Trumbull	1,997,332	1,880,535	1,768,057
145	Union	189,088	191,401	202,656
19	Vernon	13,539,686	13,735,366	13,979,190
105	Voluntown	1,796,925	1,832,865	1,869,807
17	Wallingford	15,726,191	16,004,129	16,198,667
163	Warren	52,597	48,710	45,077
158	Washington	83,532	76,013	69,081
4	Waterbury	66,106,158	67,568,776	68,903,325
151	Waterford	141,208	129,293	117,657
27	Watertown	9,516,753	9,622,948	9,730,471
73	West Hartford	3,412,758	3,438,531	3,456,601
7	West Haven	28,092,424	28,635,151	29,165,779
149	Westbrook	139,096	134,453	127,157
154	Weston	112,723	102,578	93,346
148	Westport	152,858	143,615	132,960
99	Wethersfield	2,144,022	2,045,654	2,020,033
75	Willington	3,097,378	3,141,023	3,185,384
162	Wilton	45,535	49,465	45,013
52	Winchester	6,440,503	6,298,912	6,162,818
14	Windham	18,223,131	18,297,010	18,474,698
45	Windsor	6,566,112	6,634,004	6,686,474
113	Windsor Locks	1,406,040	1,434,098	1,444,754
35	Wolcott	8,463,278	8,576,797	8,690,742
143	Woodbridge	215,389	199,235	183,106
134	Woodbury	399,314	401,900	404,343
74	Woodstock	3,339,889	3,386,143	3,427,858
	Total	**$ 1,245,712,090**	**$ 1,262,405,595**	**$ 1,278,028,806**

Public School Pupil Transportation

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
104	Andover	$ 62,332	$ 68,688	$ 73,037
66	Ansonia	169,729	151,227	160,801
78	Ashford	110,638	122,803	130,577
132	Avon	4,829	7,215	7,672
120	Barkhamsted	32,658	33,449	35,566
103	Berlin	76,522	73,439	78,088
123	Bethany	24,855	24,016	25,537
69	Bethel	135,559	138,636	147,412
98	Bloomfield	101,529	87,236	92,759
89	Bolton	95,862	105,505	112,185
113	Bozrah	53,264	47,924	50,958
56	Branford	177,537	193,194	205,424
1	Bridgeport	2,800,383	3,045,098	3,237,875
8	Bristol	721,400	684,538	727,874
101	Brookfield	65,583	83,211	88,478
42	Brooklyn	253,784	240,740	255,980
130	Canaan	6,718	10,044	10,680
50	Canterbury	210,769	210,688	224,026
80	Canton	107,586	121,202	128,875
108	Chaplin	59,124	59,838	63,627
60	Cheshire	155,283	170,167	180,940
126	Chester	12,454	17,864	18,995
54	Clinton	169,948	198,944	211,538
31	Colchester	301,961	323,766	344,263
134	Colebrook	3,807	6,770	7,198
93	Columbia	73,868	94,052	100,006
140	Cornwall	1,000	1,000	1,000
39	Coventry	234,683	253,248	269,281
73	Cromwell	124,060	128,222	136,339
16	Danbury	536,758	565,712	601,525
141	Darien	1,000	1,000	1,000
121	Deep River	18,951	28,692	30,509
85	Derby	87,110	112,111	119,209
125	East Granby	21,533	19,050	20,256
83	East Haddam	119,717	117,272	124,696
48	East Hampton	219,025	220,824	234,804
20	East Hartford	374,610	463,712	493,068
21	East Haven	410,810	455,347	484,173
71	East Lyme	128,414	137,856	146,583
59	East Windsor	142,116	176,754	187,944
111	Eastford	59,532	51,726	55,001
142	Easton	1,000	1,000	1,000

Public School Pupil Transportation

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
32	Ellington	286,442	316,176	336,192
9	Enfield	647,302	657,712	699,350
133	Essex	5,496	6,791	7,221
115	Fairfield	64,392	43,537	46,293
119	Farmington	19,358	35,537	37,786
122	Franklin	31,913	25,835	27,471
82	Glastonbury	118,203	118,148	125,627
96	Granby	87,247	90,888	96,642
143	Greenwich	1,000	1,000	1,000
25	Griswold	365,599	407,863	433,684
13	Groton (Town of)	571,489	593,482	631,053
86	Guilford	99,578	111,326	118,374
10	Hamden	676,719	641,177	681,769
117	Hampton	40,981	40,062	42,598
5	Hartford	1,015,823	1,376,821	1,463,984
112	Hartland	63,811	50,937	54,162
63	Hebron	152,943	160,047	170,179
127	Kent	21,571	16,102	17,121
24	Killingly	380,692	418,712	445,220
51	Lebanon	215,890	210,257	223,568
29	Ledyard	373,640	350,947	373,165
65	Lisbon	147,023	158,345	168,370
109	Litchfield	63,800	55,288	58,788
118	Madison	32,514	35,977	38,255
22	Manchester	437,177	440,806	468,713
35	Mansfield	272,432	295,790	314,515
94	Marlborough	92,903	93,675	99,605
11	Meriden	634,063	613,810	652,669
15	Middletown	544,991	578,598	615,227
37	Milford	257,587	288,640	306,913
75	Monroe	120,080	126,774	134,800
26	Montville	354,284	369,996	393,419
19	Naugatuck	472,918	494,060	525,337
4	New Britain	1,237,451	1,482,408	1,576,255
144	New Canaan	1,000	1,000	1,000
110	New Fairfield	55,365	55,009	58,491
102	New Hartford	73,014	75,998	80,809
2	New Haven	2,469,852	2,859,966	3,041,027
27	New London	328,736	362,316	385,253
33	New Milford	250,268	304,648	323,934
40	Newington	231,363	246,320	261,913
72	Newtown	172,864	131,808	140,153
129	Norfolk	13,524	13,478	14,331

Public School Pupil Transportation

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
47	North Branford	229,956	232,420	247,133
105	North Canaan	65,535	68,034	72,341
77	North Haven	93,329	123,993	131,843
68	North Stonington	115,962	140,642	149,546
64	Norwalk	176,995	159,778	169,893
7	Norwich	826,183	857,970	912,286
131	Old Saybrook	10,657	9,467	10,066
124	Orange	17,445	20,709	22,020
67	Oxford	142,159	142,440	151,457
18	Plainfield	489,260	515,540	548,177
43	Plainville	274,102	240,030	255,225
36	Plymouth	268,978	289,252	307,563
100	Pomfret	82,298	83,650	88,946
81	Portland	115,636	120,995	128,654
49	Preston	196,013	214,248	227,812
58	Putnam	179,104	186,309	198,104
145	Redding	1,000	1,000	1,000
136	Ridgefield	5,821	6,014	6,394
70	Rocky Hill	128,517	138,634	147,410
84	Salem	127,063	113,862	121,070
139	Salisbury	1,028	1,037	1,103
116	Scotland	43,190	41,756	44,399
55	Seymour	175,147	196,673	209,124
138	Sharon	1,000	1,796	1,910
45	Shelton	189,397	236,537	251,512
137	Sherman	2,800	2,691	2,862
106	Simsbury	54,775	67,877	72,174
61	Somers	159,252	169,429	180,155
41	South Windsor	209,415	245,586	261,133
46	Southington	216,737	234,081	248,900
88	Sprague	92,526	107,234	114,022
28	Stafford	353,947	357,991	380,655
62	Stamford	94,949	162,590	172,883
95	Sterling	74,874	92,384	98,233
79	Stonington	126,763	122,567	130,326
17	Stratford	466,950	528,988	562,477
97	Suffield	89,698	87,868	93,431
90	Thomaston	104,256	103,090	109,616
57	Thompson	197,092	188,476	200,408
52	Tolland	199,127	209,774	223,054
14	Torrington	580,322	584,067	621,043
91	Trumbull	63,537	103,016	109,538
114	Union	34,734	43,626	46,388

Public School Pupil Transportation

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
30	Vernon	333,799	327,199	347,913
87	Voluntown	116,069	111,181	118,220
23	Wallingford	425,028	435,072	462,615
3	Waterbury	1,552,493	1,901,468	2,021,844
135	Waterford	6,225	6,428	6,835
53	Watertown	211,929	202,686	215,517
74	West Hartford	131,183	127,201	135,254
6	West Haven	837,498	883,481	939,411
128	Westbrook	13,836	16,094	17,113
146	Weston	1,000	1,000	1,000
147	Westport	1,000	1,000	1,000
76	Wethersfield	114,292	124,546	132,431
99	Willington	107,555	83,834	89,141
148	Wilton	1,000	1,000	1,000
44	Winchester	251,383	239,100	254,236
12	Windham	533,410	598,366	636,246
38	Windsor	250,887	265,364	282,164
107	Windsor Locks	77,389	62,880	66,861
34	Wolcott	281,475	300,120	319,119
149	Woodbridge	1,000	1,000	1,000
92	Woodstock	90,144	96,884	103,017
	Subtotal	**$ 34,387,723**	**$ 37,151,872**	**$ 39,503,218**
	Regional School Districts			
17	District No. 1	$ 4,101	$ 5,371	$ 5,711
10	District No. 4	41,010	71,620	76,154
9	District No. 5	90,385	99,713	106,026
12	District No. 6	60,855	57,557	61,201
7	District No. 7	154,622	174,096	185,117
15	District No. 8	35,640	40,154	42,696
16	District No. 9	12,799	12,785	13,595
1	District No. 10	307,429	347,818	369,838
14	District No. 11	58,162	48,119	51,166
11	District No. 12	48,530	60,697	64,539
4	District No. 13	252,277	249,056	264,823
8	District No. 14	104,870	128,170	136,284
6	District No. 15	171,181	198,487	211,053
2	District No. 16	308,454	320,231	340,504
5	District No. 17	185,739	212,275	225,713
13	District No. 18	14,641	52,169	55,471
3	District No. 19	264,754	269,810	286,891
	Subtotal	**$ 2,111,348**	**$ 2,342,757**	**$ 2,491,071**
	Total	**$ 36,499,071**	**$ 39,494,629**	**$ 41,994,289**

Non-Public School Pupil Transportation

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
31	Ansonia	$ 25,394	$ 21,436	$ 22,524
58	Berlin	3,064	2,789	2,930
45	Bethel	13,520	13,107	13,773
52	Branford	7,513	7,820	8,217
2	Bridgeport	517,471	533,407	560,486
7	Bristol	179,551	161,318	169,508
56	Brookfield	3,713	4,511	4,740
53	Cheshire	5,465	5,683	5,972
6	Danbury	187,210	187,026	196,520
38	Derby	12,773	15,589	16,381
20	East Hartford	38,072	44,862	47,140
23	East Haven	37,709	39,643	41,655
10	Enfield	101,692	97,950	102,923
50	Fairfield	16,462	10,498	11,031
33	Griswold	18,289	19,341	20,323
19	Groton (Town of)	46,713	46,044	48,381
8	Hamden	150,375	135,062	141,918
37	Hartford	12,884	16,553	17,394
39	Killingly	14,929	15,565	16,355
61	Litchfield	319	262	276
57	Madison	3,506	3,678	3,865
11	Manchester	101,890	97,430	102,377
5	Meriden	219,381	201,321	211,541
9	Middletown	108,361	109,056	114,592
14	Milford	63,377	67,380	70,801
51	Monroe	10,035	10,078	10,590
40	Montville	15,554	15,397	16,179
21	Naugatuck	44,772	44,339	46,590
3	New Britain	467,588	530,997	557,954
1	New Haven	521,187	572,099	601,144
12	New London	69,074	72,957	76,661
60	New Milford	1,206	1,397	1,468
46	Newington	12,722	12,840	13,491
44	Newtown	19,839	14,166	14,885
24	Norwalk	46,104	39,453	41,456
16	Norwich	64,772	63,742	66,978
63	Orange	40	45	47
18	Plainfield	56,470	56,406	59,270
59	Preston	2,418	2,506	2,633
43	Putnam	15,212	15,000	15,762
28	Shelton	23,546	27,769	29,179
55	Simsbury	4,145	4,869	5,116

Non-Public School Pupil Transportation

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
62	South Windsor	182	202	212
35	Southington	16,624	17,034	17,898
42	Sprague	13,826	15,189	15,960
26	Stafford	32,557	31,191	32,775
22	Stamford	25,198	40,903	42,979
49	Stonington	12,560	11,421	12,001
17	Stratford	53,774	57,757	60,689
54	Thomaston	5,599	5,248	5,514
36	Thompson	18,465	16,739	17,589
15	Torrington	67,776	64,644	67,925
30	Trumbull	14,367	21,975	23,090
41	Vernon	16,481	15,310	16,087
34	Wallingford	19,892	19,302	20,282
4	Waterbury	298,110	346,121	363,693
48	Watertown	13,204	11,971	12,579
27	West Hartford	31,653	29,096	30,573
13	West Haven	71,547	71,550	75,182
47	Wethersfield	12,345	12,782	13,431
32	Winchester	22,518	20,303	21,334
25	Windham	30,566	32,509	34,160
29	Windsor	25,608	25,689	26,994
	Subtotal	**$ 4,067,169**	**$ 4,208,327**	**$ 4,421,973**
	Regional School Districts			
2	District No. 12	$ 3,799	$ 3,450	$ 3,625
1	District No. 16	23,597	23,223	24,402
	Subtotal	**$ 27,396**	**$ 26,673**	**$ 28,027**
	Total	**$ 4,094,565**	**$ 4,235,000**	**$ 4,450,000**

Adult Education

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
22	Ansonia	$ 65,863	$ 66,515	$ 81,705
118	Avon	609	661	812
116	Barkhamsted	888	936	1,150
84	Berlin	4,143	4,905	6,025
66	Bethel	7,382	8,491	10,430
55	Bloomfield	12,645	12,052	14,804
98	Bolton	2,024	2,327	2,858
87	Bozrah	4,275	3,997	4,910
50	Branford	12,215	13,946	17,131
4	Bridgeport	1,041,164	1,024,617	1,258,614
14	Bristol	110,465	115,280	141,607
105	Brookfield	1,562	1,656	2,034
43	Brooklyn	18,173	17,352	21,315
63	Canterbury	9,272	9,428	11,581
108	Canton	1,341	1,394	1,712
102	Chaplin	1,565	1,722	2,115
46	Cheshire	18,282	16,218	19,922
92	Clinton	2,886	2,907	3,571
42	Colchester	17,232	17,432	21,413
125	Colebrook	147	218	268
111	Columbia	1,195	1,254	1,540
68	Coventry	6,929	7,682	9,436
51	Cromwell	11,328	13,944	17,128
19	Danbury	70,159	78,510	96,440
128	Darien	-	23	28
27	Derby	45,278	51,062	62,723
117	East Granby	871	813	999
69	East Haddam	2,438	7,298	8,965
40	East Hampton	17,224	27,324	33,564
21	East Hartford	52,593	69,210	85,016
37	East Haven	26,382	29,775	36,575
54	East Lyme	12,320	12,196	14,981
64	East Windsor	6,979	8,601	10,565
100	Eastford	1,706	1,966	2,415
127	Easton	77	93	114
72	Ellington	6,442	6,848	8,412
28	Enfield	43,161	43,993	54,040
67	Fairfield	8,357	7,792	9,571
99	Farmington	2,890	2,106	2,587
107	Franklin	1,600	1,403	1,723
85	Glastonbury	4,712	4,745	5,829
101	Granby	1,818	1,831	2,249

Adult Education

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
145	Greenwich	1,837	-	-
32	Griswold	40,359	41,469	50,940
15	Groton (Town of)	89,842	103,198	126,766
96	Guilford	2,459	2,681	3,293
13	Hamden	108,031	126,186	155,004
115	Hampton	962	986	1,211
3	Hartford	1,733,033	1,588,867	1,951,729
112	Hartland	1,382	1,178	1,447
25	Killingly	58,135	56,407	69,289
88	Lebanon	3,513	3,521	4,325
49	Ledyard	14,359	14,170	17,406
61	Lisbon	9,308	9,821	12,064
119	Litchfield	622	553	679
106	Madison	1,357	1,439	1,768
33	Manchester	36,725	40,731	50,033
5	Meriden	696,197	795,371	977,014
8	Middletown	402,325	475,171	583,688
30	Milford	38,330	43,009	52,831
62	Monroe	8,451	9,803	12,042
44	Montville	16,936	17,275	21,220
11	Naugatuck	179,608	203,391	249,840
7	New Britain	572,180	566,745	696,175
129	New Canaan	27	20	25
93	New Fairfield	2,921	2,800	3,439
103	New Hartford	1,740	1,720	2,113
2	New Haven	1,518,438	1,775,982	2,181,571
6	New London	754,593	712,027	874,636
41	New Milford	20,421	22,186	27,253
48	Newington	14,454	14,822	18,207
94	Newtown	3,485	2,788	3,425
122	Norfolk	305	299	367
79	North Branford	5,214	5,351	6,573
70	North Haven	6,556	7,177	8,816
74	North Stonington	5,935	6,675	8,199
38	Norwalk	31,480	29,675	36,452
9	Norwich	286,900	290,487	356,827
80	Old Saybrook	2,029	5,314	6,528
114	Oxford	998	987	1,212
20	Plainfield	73,459	74,365	91,348
17	Plainville	95,117	94,481	116,058
97	Plymouth	2,767	2,612	3,208
90	Pomfret	2,970	2,971	3,649
59	Portland	8,544	11,028	13,547

Adult Education

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
45	Preston	15,793	16,449	20,206
31	Putnam	39,651	42,769	52,536
130	Redding	17	19	23
124	Ridgefield	256	256	314
82	Rocky Hill	4,920	5,137	6,310
89	Salem	3,083	3,024	3,715
113	Scotland	1,021	1,040	1,277
35	Seymour	28,127	31,243	38,378
36	Shelton	27,616	29,948	36,787
126	Sherman	53	102	125
95	Simsbury	2,257	2,780	3,415
76	Somers	5,659	5,973	7,337
73	South Windsor	5,576	6,680	8,205
53	Southington	13,121	12,872	15,812
60	Sprague	9,587	9,850	12,099
52	Stafford	12,180	13,553	16,648
18	Stamford	61,398	81,908	100,614
75	Sterling	5,986	6,094	7,486
47	Stonington	16,503	16,049	19,714
24	Stratford	41,264	56,895	69,888
91	Suffield	2,850	2,908	3,572
56	Thomaston	12,156	12,049	14,801
34	Thompson	33,924	35,046	43,050
77	Tolland	5,197	5,786	7,107
29	Torrington	45,292	43,352	53,253
39	Trumbull	31,336	29,255	35,936
110	Union	1,071	1,306	1,604
26	Vernon	46,682	54,054	66,399
78	Voluntown	5,434	5,738	7,048
10	Wallingford	213,457	203,863	250,420
1	Waterbury	1,633,624	1,932,591	2,373,946
104	Waterford	1,685	1,717	2,109
81	Watertown	5,507	5,264	6,466
23	West Hartford	58,863	59,545	73,144
16	West Haven	93,606	94,808	116,460
120	Westbrook	433	447	549
123	Weston	285	290	356
109	Westport	455	1,375	1,689
57	Wethersfield	9,829	11,650	14,311
121	Wilton	355	361	443
71	Winchester	7,248	7,104	8,726
12	Windham	192,924	196,218	241,029
58	Windsor	10,084	11,154	13,701

Adult Education

Rank	Municipalities	FY 1996-97	FY 1997-98	FY 1998-99
65	Windsor Locks	9,863	8,539	10,489
86	Wolcott	4,639	4,626	5,683
83	Woodstock	5,045	5,043	6,195
	Subtotal	**$ 11,236,808**	**$ 11,942,992**	**$ 14,670,469**
	Regional School Districts			
22	ACES	$ 80,688	$ -	$ -
13	CES	9,835	3,240	3,980
1	CREC	14,704	161,198	198,012
10	District No. 1	4,696	5,702	7,004
6	District No. 4	1,256	9,874	12,129
8	District No. 5	5,344	5,966	7,328
18	District No. 6	304	288	354
11	District No. 7	3,700	3,908	4,800
7	District No. 8	5,678	6,170	7,579
16	District No. 10	716	804	988
19	District No. 12	232	195	240
5	District No. 13	9,648	13,308	16,347
14	District No. 14	1,019	1,392	1,710
17	District No. 15	684	684	840
12	District No. 16	3,759	3,344	4,108
9	District No. 17	3,648	5,931	7,285
15	District No. 18	1,045	992	1,219
4	District No. 19	19,171	21,088	25,904
2	EASTCONN	154,872	154,599	189,905
3	Ed. Connection	58,050	62,583	76,875
	Subtotal	**$ 298,361**	**$ 461,266**	**$ 566,607**
	Total	**$ 11,535,169**	**$ 12,404,258**	**$ 15,237,076**

AGENCY INDEX

	Page
Administrative Services, Department of	175
African-American Affairs Commission	127
Agricultural Experiment Station	301
Agriculture, Department of	265
Attorney General	188
Auditors of Public Accounts	119
Banking, Department of	231
Blind, Board of Education and Services for the	499
Charter Oak College	531
Child Advocate, Office of the	260
Children, Commission on	123
Children and Families, Department of	559
Youth and Community Development Services	564
Support Services	566
Supplementary Services	572
Substitute Services	574
Management Services	583
Claims Commissioner, Office of	191
Comptroller, State	150
Connecticut Historical Commission	287
Connecticut State University	541
Consumer Counsel, Office of	237
Consumer Protection, Department of	241
Correction, Department of	547
County Sheriffs	589
Criminal Justice Commission	198
Criminal Justice, Division of	193
Deaf and Hearing Impaired, Commission on the	504
Debt Service - State Treasurer	608
Economic and Community Development, Department of	290
Education, Department of	483
Elections Enforcement Commission	135
Environmental Protection, Department of	271
Environmental Quality, Council on	285
Ethics Commission	137
Firearms Permit Examiners, Board of	211
Fire Prevention and Control, Commission on	228
Freedom of Information Commission	139
Gaming Policy Board	163
Governor's Office	128
Health Care Access, Department of	321
Higher Education, Department of	513
Human Rights and Opportunities, Commission on	255
Information Technology, Department of	180
Insurance, Department of	234
Insurance Purchasing Board, State	161
Judicial Department	593
Judicial Selection Commission	142
Labor, Department of	247
Latino and Puerto Rican Affairs, Commission on	125
Legislative Management	115
Library, State	507
Lieutenant Governor's Office	133

Medical Examiner, Office of the 325
Mental Health and Addiction Services, Department of 362
Community Services 368
Inpatient Hospital Services 377
Advocacy and Prevention 382
Management and Support 389
Disproportionate Share 394
Mental Retardation, Department of 328
Resource Services 334
Employment Opportunities and Day Services 340
Residential Services 348
Management Services 357
Personal Services Savings 361
Military Department 224
Miscellaneous Appropriations Administered by the Comptroller
Judicial Review Council 615
Refunds of Payments 617
Fire Training Schools 618
Maintenance of County Base Fire Radio Network 620
Maintenance of Statewide Fire Radio Network 621
Equal Grants to Thirty-Four Non Profit General Hospitals 622
CT. State Police Association 623
CT. State Firemen's Association 624
Emergency Communications 625
Interstate Sanitation Commission 627
Reimbursements to Towns for Loss of Taxes State Property 628
Grants to Towns 630
Reimbursements to Towns for Loss of Taxes on Private Tax-Exempt Property 631
Unemployment Compensation 633
State Employees Retirement Contributions 634
Higher Education Alternative Retirement System 636
Pensions and Retirements-Other Statutory 637
Judges and Compensation Commissioners Retirement 638
Insurance-Group Life 639
Administration and Residual-Licensing Fees 640
Tuition Reimbursement-Training and Travel 641
Employers Social Security Tax 642
State Employees Health Service Cost 643
Retired State Employees Health Service Cost 645
Miscellaneous Appropriations to the Governor 607
Motor Vehicle Department 213
Pardons, Board of 554
Parole, Board of 556
Persons with Disabilities, Office of Protection and Advocacy for 257
Police Officers Standards and Training Council 207
Policy and Management, Office of 164
Properties Review Board, State 144
Psychiatric Security Review Board 395
Public Defender Services Commission 604
Public Health, Department of 305

Public Safety, Department of 199
Public Utility Control, Department of 239
Public Works, Department of 182
Regional Community-Technical Colleges 536
Reserve for Salary Adjustments 612
Revenue Services, Department of 153
Secretary of the State 130
Soldiers', Sailors' and Marines' Fund 481
Social Services, Department of 423
Services Which Protect and Promote Physical, Social and Economic Well-Being 437
Economic Support for Essential Needs 442
Employment Services 471
Management Services 474
Personal Services Savings 480
Special Revenue, Division of 157
Teachers' Retirement Board 533
Transportation, Department of 397
Bureau of Engineering and Highway Operations 402
Bureau of Finance and Administration 407
Policy and Planning 410
Bureau of Aviation and Ports 412
Bureau of Public Transportation 416
Treasurer, State 146
University of Connecticut 518
University of Connecticut Health Center 527
Veterans Affairs, Department of 172
Women, Commission on the Status of 121
Workers' Compensation Claims - Department of Administrative Services 614
Workers' Compensation Commission 262

BOND ACTS

		Net Authorization FY 98	FY 99
SA 97-1-JSS1 (HB 7501, E-Cert.)	AAC The Authorization of Bonds of the State for Capital Improvements and Other Purposes	$209.0	$215.5
PA 97-1-JSS1 (HB 7502, E-Cert.)	AA Increasing Certain Bond Authorizations for Capital Improvements	163.3	115.2
PA 97-2-JSS1 (HB 7503, E-Cert.)	AAC The Authorization of Special Tax Obligation Bonds of the State for Certain Transportation Purposes	144.8	186.5
SA 97-5 (sSB 1050)	AAC The Authorization of Bonds of the State for the University of Connecticut	9.4	
PA 97-259 (sHB 5461)	AAC School Readiness and Child Day Care	0.8	
PA 97-265 (sHB 6707)	AAC Authorization of State Grant Commitments for School Building Projects and Clarification and Separation of the Grant and Bond Program for School Building Projects and the Grant and Bond Program for Interest Payment Subsidies	176.8	189.8
PA 95-230	AA To Enhance the Infrastructure of the University of Connecticut	93.1	64.3